# Predicting Deep-Seated Landslide Displacements in Lushan Mountains through the Integration of Convolutional Neural Networks and an Age of Exploration-Inspired Optimizer

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#### 8 Abstract

9 Deep-seated landslides, becoming increasingly frequent due to changing climate patterns, pose significant 10 risks to human life and infrastructure. This research contributes to landslides have caused substantial 11 damage to both human life and infrastructure in the past. Developing an early warning system for this type 12 of disaster is crucial to reduce its impact on society. This research contributes to developing predictive 13 early warning systems for deep-seated slope displacements by employing advanced computational models 14 for environmental risk management. Our novel framework integrates machine learning, time series deep 15 learning, and convolutional neural networks (CNN), enhanced by the Age of Exploration-Inspired 16 Optimizer (AEIO) algorithm. Our approach demonstrates exceptional forecasting capabilities by utilizing 17 eight years of comprehensive data-including displacement, groundwater levels, and meteorological 18 information from the Lushan Mountain region in Taiwan. The AEIO-MobileNet model stands out for its 19 precision is precise in predicting imminent slope displacements with a mean absolute percentage error (MAPE) of 2.81%. These advancements significantly enhance geohazard informatics by providing 20 21 reliable and efficient landslide risk assessment and management tools. These safeguard road networks, 22 construction projects, and infrastructure within vulnerable slope areas.

Keywords: deep-seated landslide; displacement forecasting; landslide risk assessment; early warning system; machine learning; time-series deep learning; convolutional neural network; metaheuristic optimization.

# 26 1. Introduction

The 378 landslides recorded worldwide between 1997 and 2017 resulted in the deaths of 18,414 people and left 4.8 million others injured, with associated costs estimated at around USD 8 billion (Ageenko et al., 2022) Landslides are among the most devastating natural disasters (Huang and Fan, 2013), claiming an average of over 4,000 lives annually worldwide between 2004 and 2010 (Petley, 2012). Landslides represent a global hazard, particularly in developing countries, where rapid urbanization, population growth, and significant land use changes occur (Caleca et al., 2024). The identification, management, and monitoring of landslides are made difficult by the diversity of their types (shallow slides, 34 deep-seated slides, rock falls, rock slides, debris flows) and the complexity of their categorization based 35 on triggers, material composition, movement speed, and other characteristics (Das et al., 2022; Hungr et 36 al., 2014). These issues are further exacerbated in countries with complex geological and climatic 37 conditions.

38 Deep-seated landslides, or gravitational deformations, involve slow movement of soil or rock at 39 depths greater than 10m, impacting large areas and leading to significant debris flows (Dou et al., 2015). 40 A deep-seated landslide involves the gradual and persistent displacement of a substantial amount of soil 41 and rock, which can escalate into a sudden and devastating event (Kilburn and Petley, 2003; Geertsema 42 et al., 2006; Chigira, 2009). Unlike shallow landslides, which typically affect surface layers to a few 43 meters, deep-seated landslides extend deeper, often exceeding 10 meters, and can involve the movement 44 of underlying bedrock (Lin et al., 2013). Predicting these events is challenging and costly (Thai Pham et 45 al., 2019). Therefore, extensive efforts have been made to predict such disasters throughout history. One 46 method that has been employed involves thoroughly examining the physical and geological characteristics 47 of the mountainous areas at risk of landslides (Cotecchia et al., 2020). Furthermore, the level of 48 groundwater has been shown by numerous studies in the past to influence the mechanisms behind 49 landslide formation significantly (Miao and Wang, 2023; Preisig, 2020). Consequently, in this study, 50 groundwater levels will serve as inputs for models designed to predict landslides.

In pursuing a generalized approach to landslide forecasting, researchers have determined that the critical factors associated with slope instability exhibit temporal variability, necessitating using time series data (Chae et al., 2017). This approach combines slope deformation data collected through sensors drilled deep into the slope bed with data on the natural conditions of the monitoring area, which is collected simultaneously. Upon establishing that the data pertinent to landslide prediction falls within the category of time series data, a formidable challenge in research related to this type of disaster is devising a predictive model capable of forecasting the likelihood of such catastrophes based on related factors.

58 One of the most effective solutions for constructing models to predict time series data involves 59 applying data-driven techniques. The advancement of computational capabilities has driven the 60 widespread adoption of data-driven machine-learning models over physics-based models. This shift is 61 based on the premise that the data used for slope monitoring originates from nonlinear systems (Zhou et 62 al., 2018). In contemporary times, An increasing array of novel data-driven solutions is being developed 63 to overcome the constraints of traditional machine-learning approaches. Among these data-driven 64 solutions, convolutional neural networks (CNN) have emerged as one of the most effective methods. 65 These CNN models, which excel at automated feature extraction, can enhance efficiency in analyzing 66 complex datasets and improve the accuracy of prediction results (Alzubaidi et al., 2021).

Moreover, there is a noteworthy recent trend in employing metaheuristic optimization algorithms to fine-tune the hyperparameters of artificial intelligence (AI) models, thereby augmenting their efficiency. This approach has found application in geological and construction studies and other fields, showcasing substantial effectiveness. Consequently, the fine-tuning of hyperparameters represents a potent avenue for elevating the efficiency of AI models in research focused on predicting deep-seated displacements.

72 Leveraging the effective methodologies mentioned above, this study employs AI models optimized 73 by an innovative metaheuristic optimization algorithm to predict deep-seated landslides displacement on 74 the northern slope of Lushan Mountain in Ren'ai Ren'ai Township, Nantou County. The geological 75 characteristics of this area have undergone extensive research (Wang et al., 2015; Lin et al., 2020). 76 Previous studies have identified varying depths of the shear plane. Specifically, Lin et al. (2020) 77 determined the depth of the shear plane is 85m and 106m based on inclinometer data. This research paper 78 is firmly grounded in empirical evidence meticulously collected over eight years from extensometers at 79 depths of 70 and 40 meters. Our analysis also considers the cumulative impact of storms and heavy rainfall 80 on groundwater levels, utilizing data from four stations measuring groundwater levels in the study area 81 and other weather conditions that potentially trigger landslides. The objectives of our research were as 82 follows:

- 1) To analyze the application of machine learning and deep learning methods to time series data to forecast
   short-term, deep-seated slope displacements across the Lushan Mountain area.
- 85 2) To identify the optimal model and hyperparameters for accurately forecasting deep-seated86 displacements in the study area.
- 3) To evaluate the role of metaheuristic optimization algorithms in fine-tuning the hyperparameters of AI models.

89 This study represents the first instance of AI models being utilized to predict deep-seated landslides 90 in Lushan Mountain. Additionally, it marks the inaugural application of AEIO for fine-tuning AI models 91 in landslide-related research. Our findings provide a valuable resource for civil engineers, contractors, and 92 inspectors involved in the planning and monitoring of construction projects in landslide-prone areas. 93 Predicting the likelihood of landslide events can help minimize property loss, guide schedule adjustments, 94 improve work safety, and ensure smooth traffic flow during critical periods. Additionally, understanding 95 internal displacements provides engineers with precise data to evaluate the resilience of structures and 96 infrastructure in vulnerable areas, enabling the issuance of prudent warnings.

97 **2. Literature Review** 

#### 98 2.1 Groundwater Levels and the Forecasting of Deep-Seated Displacements

Landslide triggers can be attributed to loading, slope geometry, weather conditions, and
 hydrological conditions (Perkins et al., 2024; Van Natijne et al., 2023; Millán-Arancibia and Lavado-

101 Casimiro, 2023; Jones et al., 2023). Among these, hydrological conditions, especially groundwater levels, 102 have been one of the most critical elements considered in studies related to landslide prediction. Numerous 103 studies have substantiated this point. For instance, research by Take et al. (2015) demonstrated that the 104 distance and velocity of landslides triggered under high-antecedent groundwater conditions are 105 significantly more significant compared to scenarios with drier conditions. Another study has shown that 106 water accumulation at a soil-bedrock contact can develop positive pore water pressures, causing landslides 107 (Matsushi and Matsukura, 2007) (see Figure 1). Moreover, studies on past landslide events have also 108 demonstrated similar findings. exampleExamples of this research include the Tessina landslide in 109 northeastern Italy, where groundwater conditions triggered movement (Petley et al., 2005). Additionally, 110 the study by Keqiang et al. (2015) on water-induced landslides in the Three Gorges Reservoir project area 111 highlights the significant impact of hydrological conditions on the likelihood of such disasters (Keqiang 112 et al., 2015).

Similarly, Preisig (2020) developed a groundwater prediction model for analyzing the stability of a compound slide in the Jura Mountains (Preisig, 2020). Additionally, Srivastava et al. (2020) explored machine learning algorithms to forecast rainfall and established thresholds for landslide probabilities (Srivastava et al., 2020). Although the research by Srivastava et al. did not directly rely on groundwater levels to predict landslides, it is evident that rainfall, a crucial factor in their study for landslide prediction, also influences hydrological conditions. Therefore, their research further underscores the importance of considering groundwater levels in landslide prediction.

120 The northern slope in the Lushan area of central Taiwan, the region investigated in this study, 121 exhibits significant gravitational slope deformation, making it prone to landslides during typhoons or 122 heavy rainfall events. Lin et al. (2020) conducted in-depth studies on the mechanisms of landslide 123 occurrence based on the geological conditions of the area (Lin et al., 2020). While successfully providing 124 valuable insights into the evolution of deep-seated gravitational deformations, their research somewhat 125 overlooked the importance of hydrological conditions and groundwater levels in landslide formation, their 126 study focuses exclusively on employing traditional analytical methods in geological research, such as 127 analyzing data from geotechnical instruments and conducting geological borehole analysis.

Our research aims to adopt a novel approach compared to previous landslide studies at Lushan Mountain by utilizing AI models and metaheuristic optimization algorithms. This research will utilize <del>To</del> address the limitations of previous landslide research in the Lushan Mountain area, this study will explore using hydrological weather conditions and groundwater levels as inputs for AI models to predict deepseated displacement, thus aiding in landslide forecasting in this region.



#### 134 Figure 1. Schematic illustration showing the effects of groundwater on deep-seated slope failure

#### 135 **2.2 Forecasting Slope Displacements: Conventional Methods**

136 Several conventional methods are commonly employed to predict deep slope displacement. These 137 methods primarily involve simulating factors affecting slope stability in landslide-prone areas using data 138 collected from ground-based monitoring devices. An early approach to predicting deep-seated slope 139 movements is geotechnical mapping. This technique characterizes rock and soil's strength, density, and 140 porosity.

141 For instance, Crosta and Agliardi (2003) analyzed the geology and rock mass behavior using 142 Voight's semi-empirical failure criterion, incorporating time-dependent factors to generate velocity curves 143 that indicate risk levels (Crosta and Agliardi, 2003). Recently, Xu et al. (2018) utilized real-time remote 144 monitoring systems to measure internal stress, deep displacement, and surface strain. This data was used 145 to formulate forecasting models to assess slope stability, particularly in railway construction (Xu et al., 146 2018). However, a common challenge with this method is the instability and frequent changes in the terrain 147 and geology of landslide-prone areas. This necessitates constant updates to the computational model, 148 which can be time-consuming and labor-intensive.

149 Moreover, physical-based numerical and laboratory modeling methods, which simulate phenomena 150 at a laboratory scale, are also gaining traction in landslide research. These methods aim to maintain 151 forecasts using various data types while reducing human workload and ensuring high accuracy. For 152 example, Mufundirwa et al. conducted a laboratory study to examine the effectiveness of the inverse 153 velocity model in predicting rock mass destruction resulting from landslides at depths of 2m and 4m along 154 the sliding plane. This study utilized historically recorded data from Asamushi, Japan, and the Vaiont 155 reservoir in Italy (Mufundirwa et al., 2010). Meanwhile, Wu (2010) employed the numerical 156 discontinuous deformation analysis method to simulate a blocky assembly's post-failure behavior, 157 incorporating earthquake seismic data (Wu, 2010). Meanwhile Another study follows this trend by Jiang 158 et al. (2011), who utilized the fluid-solid coupling theory to simulate displacement and capture <del>capturing</del> 159 the interaction between fluid and solid materials (Jiang et al., 2011). However, both numerical models 160 and laboratory modeling methods require substantial effort from researchers. These approaches demand

161 deep expertise and the development of complex models. More importantly, they rely heavily on 162 assumptions during the simulation process and may not accurately reflect real-world conditions, leading 163 to significant errors.

164 Stability analysis is another commonly used method related to physics, which evaluates the forces 165 acting on a slope behavior. Fu and Liao (2010) presented a technique for implementing the non-linear 166 Hoek-Brown shear strength reduction, determining the correlation between normal and shear stress based 167 on the Hoek-Brown criterion (Fu and Liao, 2010). Subsequently, the micro-units (microscopic components of the rock mass) instantaneous friction angle and cohesive strength under specific stress 168 169 conditions are calculated. Although this approach effectively addresses cost and labor issues, it still 170 heavily relies on the researcher's researcher's assumptions and is limited by the ability to utilize only a 171 small portion of data from the research area.

172 However, in landslide studies, monitoring data is constantly updated, generating large volumes daily 173 with a temporal relationship (Peternel et al., 2022; Corominas et al., 2014). Hence, conventional methods 174 have shown limited success in handling big data, especially in identifying highly intricate samples that 175 require analysis of time series relationships or complex nonlinear associations. As previously mentioned, 176 using conventional methods in landslide research presents numerous challenges whenever data changes 177 or gets updated. In contrast, AI models can overcome these difficulties by automatically learning to 178 identify connections between input and output data. AI models can be updated to incorporate additional 179 input variables and handle increasing amounts of data flexibly in response to real-world conditions. 180 Therefore, AI models will be utilized in this research instead of conventional methods.

# 181 **2.3 Forecasting Slope Displacements: Machine Learning and Deep Learning**

182 In studies employing machine learning and deep learning models for landslide research, a plethora 183 of research utilizes discrete data to train AI models to predict the probability of landslides or to construct 184 maps depicting landslide susceptibility. For instance, Margarint et al. (2013) employed a logistic 185 regression model to predict landslides based on discrete data in four regions of Romania (Margarint et al., 186  $\frac{2013}{2013}$ . The logistic regression model yielded promising predictions, with an AUC value (area under the 187 curve) ranging between 0.851 and 0.94 for the validation dataset. Subsequently, these results were utilized 188 to construct a map of landslide susceptibility in the study area. In a similar study, Pham et al. (2016) used 189 multiple AI models, including support vector machines (SVM), logistic regression (LR), Fisher's Fisher's 190 linear discriminant analysis (FLDA), Bayesian network (BN), and naïve Bayes (NB), for landslide 191 susceptibility assessment in a region within the Uttarakhand state of India (Pham et al., 2016). The SVM 192 model yielded the best prediction results among the models used.

193 In addition to discrete data, many landslide studies utilize time series data. When it comes to 194 technical forecasting using time series data, machine learning regression prediction models, such as 195 extreme learning machine (ELM) (Li et al., 2018), least squares support vector machine (LSSVM) (Liu 196 et al., 2019), dynamic neural network (DNN) (Aggarwal et al., 2020), random forests (RFs) (Hu et al., 197 2021), SVM (Zhang et al., 2021), and Gaussian process regression (GPR) (Hu et al., 2019), have proven 198 highly effective at vielding reliable results. These models also provide scalability and the ability to handle 199 larger datasets. However, it is essential to note that machine learning models are sensitive to the white 200 noise typical of time series features. This can pose challenges in capturing subtle behaviors and complex 201 interrelationships, mainly when data availability is limited (Zhang et al., 2020). Finally, feature 202 engineering (the process of selecting and transforming input variables to enhance the performance of the 203 models) is computationally intensive and labor-intensive, limiting its applicability when rapid forecasting 204 is required.

205 Given that slope profiles and soil parameters are one-dimensional variables, Alongside the 206 aforementioned machine learning models, a range of neural network models, from simpler ones like 207 Artificial Neural Networks (ANN) to more advanced approaches such as Deep Neural Networks (DNNs) 208 and CNN can are also be employed in research related to landslide (Kumar et al., 2017; Zheng et al., 2022) 209 to uncover the relationship between slope stability and input parameters with minimal computational 210 overhead (Fu et al., 2022). Notably, CNN models have become increasingly popular and are widely used 211 in research related to this disaster. CNN models often yield superior predictive results than other models 212 in landslide susceptibility assessment and displacement prediction (He et al., 2024). Additionally, CNN models have been used in studies of this disaster. While CNN was initially designed for image processing, 213 214 its input and internal architecture are tailored for two-dimensional matrices, including the convolution 215 kernel and feature map. To address the one dimensional nature of slope profiles and soil physical and mechanical parameters, Pei, Meng, & Zhu developed a 1D-CNN model with dynamic inputs to account 216 217 for time-varying trigger factors (Pei et al., 2021). Their approach demonstrated superior performance to 218 conventional machine learning models regarding accuracy and robustness. However, it's worth noting that 219 this approach has yet to gain widespread adoption.

220 Moreover, another research trend in landslide forecasting involves the use of time series deep 221 learning models such as Recurrent Neural Networks (RNN), Long Short-Term Memory (LSTM), and 222 Gated Recurrent Units (GRUs), which use previous information to generate current outputs and provide 223 state feedback (Yang et al., 2019; Xu et al., 2022; Yang et al., 2022; Zhang et al., 2022). These time-series 224 deep learning models can effectively capture patterns of changes over time, making them highly suitable 225 for time-series data in landslide-related studies. However, there has yet to be a comprehensive study that 226 employs a combination of machine learning methods, time-series deep learning, and CNN models to 227 compare and determine the most suitable model for predicting landslide displacement prediction. 228 Therefore, our research aims to address this gap.

Another noteworthy research trend involves using AI models to predict landslides based on spatialtemporal data. For instance, Dahal et al. (2024) utilized spatial-temporal data to pinpoint where landslides may occur and predict when they might happen and the expected landslide area density per mapping unit (Dahal et al., 2024). The Ensemble Neural Network employed in this research yielded promising predictions, demonstrating its potential for forecasting landslides in Nepal's areas affected by the Gorkha Earthquake. However, our study only managed to gather temporal data. Consequently, the AI models developed in our research will be trained to learn and forecast time-series data.

# 236 **2.4** Hybrid metaheuristic optimization algorithm and AI models in landslide prediction

In landslide-related research, numerous studies have employed hybrid models, wherein metaheuristic optimization algorithms optimize the hyperparameters of AI models. For example, Balogun et al. (2021) studied landslide susceptibility mapping in Western Serbia (Balogun et al., 2021). This research collected 14 different condition factors to serve as input data for the Support Vector Regression (SVR) model to predict landslide occurrences. The study results indicate that SVR models, with hyperparameters finetuned by optimization algorithms such as gray wolf optimization (GWO), bat algorithm (BA), and cuckoo optimization algorithm (COA), all yielded better prediction results compared to using a single model.

Hakim et al. (2022) conducted a study utilizing CNN models optimized by the GWO and imperialist competitive algorithm (ICA) for landslide susceptibility mapping from geo-environmental and topohydrological factors in Incheon, Korea (Hakim et al., 2022). This research demonstrates that GWO and ICA effectively fine-tuned the CNN model, resulting in a highly accurate landslide susceptibility map.

Jaafari et al. (2022) employed an AI model known as the group method of data handling (GMDH) for classification purposes, optimizing it using the cuckoo search algorithm (CSA) and the whale optimization algorithm (WOA). In northwest Iran, they aimed to predict landslides based on various factors, including topographical, geomorphological, and other environmental factors (Jaafari et al., 2022). After training and testing, the GMDH-CSA model produced superior prediction results compared to the GMDH-WOA and the standalone GMDH model.

It is evident from numerous past studies on landslides that the application of metaheuristic optimization algorithms significantly enhances the predictive effectiveness of AI models. Therefore, this study also incorporates this approach to ensure the model's accuracy in landslide prediction. This study will also employ a recently developed metaheuristic algorithm, including a clustering algorithm. This algorithm is promising in yielding favorable results in fine-tuning hyperparameters for AI models.

259 **3. Methodology** 

#### 260 **3.1 Convolutional Neural Networks**

In 1998, LeCun introduced a novel type of DNN known as the CNN, specifically designed for processing data with a grid-like structure, such as images. The complex, layered system of CNN facilitates 263 the automated extraction of features without extensive preprocessing, making it ideal for object 264 recognition, image classification, and segmentation tasks. The detailed mechanism of the CNN model can 265 be found in Appendix A. The architecture of a typical CNN, as illustrated in Figure 2, comprises an input 266 layer (to receive image data), followed by hidden layers (including convolutional, pooling, and fully 267 connected layers), and concludes with the output layers. As depicted in Figure 2, the complexity of CNN 268 progressively increases from the convolutional layer to the fully connected (FC) layer. This design enables 269 CNN to recognize relatively simple patterns (lines, curves, etc.) before progressing to capture more 270 intricate features (faces, objects, etc.), with the ultimate aim of extracting relevant information for accurate 271 pattern identification.



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Figure 2. Structure of basic CNN.

As illustrated in Figure 3, the convolutional layer is responsible for most computations in the network. This involves extracting local features from an image using a set of learnable filters known as kernels. The behavior of the filter in the convolutional layer is influenced by two main factors: stride and padding. Stride refers to the pixel shift of the filter across the image, while padding aims to preserve information at the corners. In each iteration, a portion of the image is convolved with a filter to generate a dot product of pixels within its receptive field. This process is replicated across the entire image to produce a feature map. The convolution operation is defined as follows:

281 
$$C_i = b_i + \sum_{j=1}^{d_i} l_j * F_{ij}, \ i = 1 \dots d_c^-$$

where  $C_i$  is the output of the convolutional layer or feature map,  $b_i$  is the bias,  $d_i$  is the depth of input,  $I_j$ is the input image,  $F_{ij}$  is the filter, and  $d_e$  is the depth of the convolutional layer.

The multiplicative operations are usually followed by an activation function (the final element in the convolutional layer), which introduces nonlinearity and creates intricate mappings between network inputs and outputs. The activation function can be defined as follows:

 $287 \quad \frac{Y_i = f(C_i)}{Y_i}$ 

(2)

<del>(1)</del>

- 288 where,  $Y_t$  is the output of the convolutional layer after the activation function, and f is the activation
- 289 function.
- 290 A rectified linear unit ReLU is a nonlinear CNN function with output f(x) = max(0, x). A ReLU
- 291 converts all negative values to zero or returns the original input values if the input exceeds zero. ReLU is
- 292 only one of many activation functions; however, it has proven to be the most effective overall.





#### Figure 3. Processing flow in convolution layer.

Pooling layers after the convolution layer can down-sample feature maps by summarizing features within the coverage area of a 2-D filter to reduce sensitivity to feature location, thereby improving resilience to changes in the position of features. Pooling layers also decrease the dimensions of the feature map, reducing the number of parameters to be dealt with, thereby decreasing computational overhead. Output dimensions from the pooling layer are computed as follows:

 $300 \quad \frac{c_w - f_w + 1}{c} * \frac{c_h - f_h + 1}{c} * c_h \tag{3}$ 

301 where  $c_{\mu}$  is the number of channels in the feature map and  $f_{\psi} * f_{h}$  indicate the width and height of the 302 filter.

Max pooling and average pooling are commonly used in CNN. Max pooling accentuates salient features by selecting the maximum value within the filter's coverage area. In contrast, average pooling calculates the mean value within the exact location, providing a representative feature value. Illustrations of max pooling and average pooling are presented in Figure 4.

The final stage of a CNN comprises a series of fully connected (FC) layers. After the convolution and pooling operations, the feature map is flattened into a one-dimensional vector that connects to the FC layers, resembling an ANN. FC layers identify specific features, each represented by a neuron. In regression tasks, each neuron in the FC layer corresponds to a feature contributing to the final numerical output. The value transmitted by each neuron indicates its significance toward the regression result. FC layers are designed to predict the best continuous value for the target variable by combining and processing these neuron outputs. Figure 5 illustrates the structure of an FC layer.





#### Figure 4. Max Pooling and Average Pooling.



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#### Figure 5. Structure of fully connected layer.

This study will use various CNN models to predict deep-seated slope displacement. The CNN models employed in this research include VGG (Simonyan and Zisserman, 2014), ResNet (He et al., 2016), Inception (Szegedy et al., 2015), Xception (Chollet, 2016), MobileNet (Howard et al., 2017), DenseNet (Huang et al., 2017), and NASNet (Zoph et al., 2018). To clarify, the term "standard CNN models" will refer to models with structures that can be user-defined, while "retrained CNN models" will denote those with architectures that have been researched and developed by other scientists and have been proven to be highly effective.

CNN models are typically used for image processing tasks. However, the input data for this study is in numerical and vector form. Therefore, several transformation steps are required to convert this numerical and vector data into image data suitable for CNN input. Detailed information about these transformation steps can be found in the study by Chou and Nguyen 2023 (Chou and Nguyen, 2023).

# 329 **3.2 Deep Learning Models for Time Series**

RNN was introduced by Elman in 1990 (Elman, 1990). This model makes predictions based on
 sequential data, crucial for language modeling, document classification, and time series analysis. The
 architecture of an RNN model can be found in Appendix B.

333

The architecture of an RNN includes an input layer, a hidden layer with a variable number of RNN
 cells, and an output layer designed for label identification based on future displacement values. Figure 6
 illustrates the structure of simple RNNs.

- Each cell in an RNN acts as a memory cell, which is interconnected to enable the sequential transfer
   of time-dependent input information within a sliding window. This makes it possible to consider temporal
   correlations between events that may be widely separated in the time dimension. The following formula
   presents the hidden unit of standard RNNs at time t:
- 341  $h_{\varepsilon} = tanh(W_x * x_{\varepsilon} + W_h * h_{\varepsilon-1} + b)$  (4)
- 342 where  $x_{t}$  is the input vector at time t;  $h_{t}$  is the output vectors of hidden units for 343 time t;  $W_{x}$  and  $W_{h}$  respectively indicate the input and interconnected weight matrices for the output of the
- 344 hidden layer; *b* is the bias term; and *tanh()* represents the hyperbolic tangent activation function, i.e.,
- 345  $tanh(x) = \frac{1 e^{2x}}{1 + e^{2x}}$ . The mechanism of learning over time steps, stored within cells, enables RNNs to
- 346 capture complex relationships between cells and time sequences effectively. However, as the duration of
- 347 dependencies increases, RNN models are susceptible to issues related to vanishing gradients (Bengio et
- 348 al., 1994). Therefore, RNNs are well-suited to learning time series involving short-term dependencies.



349 350

- Figure 6. Structure of basic RNNs.
- In this study, advanced models of RNN, such as LSTM [54] and GRU [55], are also utilized, and their effectiveness in predicting deep-seated landslides will be compared.
- 353 **3.3 Machine Learning**

In addition to the aforementioned deep learning models, as elucidated earlier, machine learning models will be employed to predict deep-seated slope displacements in this research. The machine learning models utilized will encompass the following: linear regression (LR) (Stanton, 2001), ANN (Mcculloch and Pitts, 2021), SVR (Drucker et al., 1996), classification and regression tree (CART) (Breiman, 1984), radial basis function neural network (RBFNN) (Han et al., 2010), extreme gradient boosting (XGBoost) (Chen; and Guestrin). These machine learning models will be used to makepredictions and will be compared with other deep learning models.

### **361 3.4 Model Validation and Performance Metrics**

### 362 **3.4.1 Evaluation and Validation**

363 To obtain reliable (i.e., generalizable) evaluation and validation results, it is crucial that the data 364 used for testing does not include the data used for training. Therefore, a dataset must be divided into 365 training, validation, and testing subsets before training the AI model. Training data is used to learn patterns; 366 testing data is used to assess model performance and identify errors; and validation data is used to fine-367 tune the hyperparameters. In the current study, we opted to refrain from employing cross-validation, which 368 tends to be time-consuming. Instead, we adopted the holdout approach to manage our large dataset with 369 well-represented target variables (Figure 2). A 90:10 ratio is generally used to split datasets into learning 370 and testing data (Di Nunno et al., 2023). When implementing the holdout method during hyperparameter 371 optimization, 20% of the learning data is used for validation, and the remaining 80% is used for training.



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Figure 2. Data are splitting under the proposed Holdout scheme.

# 374 **3.4.2 Performance Metrics**

This study utilized four widely recognized performance measures to assess the model's model's effectiveness in prediction accuracy (Chou and Nguyen, 2023). The measures included mean absolute error (MAE), mean absolute percentage error (MAPE), and root mean square error (RMSE).

MAE represents the mean of absolute errors, calculated as the average of the absolute differences between actual and predicted values. Its advantage lies in its simplicity, which provides a straightforward measure of average prediction error. However, a drawback of MAE is its insensitivity to more significant errors, so it may not effectively highlight differences between models when significant errors are present. It is defined as:

383 
$$MAE = \frac{1}{n} \sum_{i=1}^{n} |y_i - \hat{y}_i|$$
 (1)

where *n* is the number of predictions,  $y_i$  is the *i*<sup>th</sup> forecasted value, and  $\hat{y}_i$  is the corresponding *i*<sup>th</sup> actual value.

MAPE quantifies the average absolute error ratio to the actual value derived from the differences between actual and forecasted values. It provides a clear metric in percentage terms, facilitating straightforward interpretation across various datasets. However, MAPE's limitation arises from its sensitivity to zero values in the actual data, which can become undefined or impractical to compute, limiting its utility in scenarios involving zero or near-zero actual values. The expression for MAPE is as follows:

392 
$$MAPE = \frac{1}{n} \sum_{i=1}^{n} \left| \frac{y_i - \hat{y}_i}{y_i} \right|$$
 (2)

where *n* is the number of predictions,  $y_i$  is the *i*<sup>th</sup> forecasted value, and  $\hat{y}_i$  is the corresponding *i*<sup>th</sup> actual value.

RMSE represents the square root of the average squared error between actual and forecasted values and is widely used for its ability to indicate the dispersion of errors. This method captures the magnitude and direction of errors, making it practical for assessing overall prediction accuracy. However, RMSE tends to be more sensitive to outliers and significant errors than MAE due to its squaring of errors during computation. This sensitivity can disproportionately affect its evaluation in datasets with extreme values. The expression for RMSE is as follows:

401 
$$RMSE = \sqrt{\frac{1}{n} \sum_{i=1}^{n} (y_i - \hat{y}_i)^2}$$
 (3)

402 where *n* is the number of predictions,  $y_i$  is the *i*<sup>th</sup> forecasted value, and  $\hat{y}_i$  is the corresponding *i*<sup>th</sup> 403 actual value.

# 404 **3.5 Age of Exploration-Inspired Optimizer**

405 This study employs a range of AI models to forecast deep-seated displacement in mountainous 406 regions. To enhance the prediction accuracy of these AI models, the study incorporates a novel 407 metaheuristic optimization algorithm known as the Age of Exploration-Inspired Optimizer (AEIO). 408 Developed by Chou and Nguyen in 2024, this algorithm has demonstrated high effectiveness in fine-409 tuning the hyperparameters of AI models. This algorithm treats each particle in the search domain as an 410 explorer. The movement of particles toward regions with higher fitness values parallels the exploratory 411 activities of the Age of Exploration, where explorers sought ideal locations for establishing colonies. In 412 this study, each particle represents a set of hyperparameters, with the ultimate goal of the search process 413 being to identify the optimal particle or hyperparameter set that minimizes prediction error for AI models. 414 Figure 3 illustrates the AEIO algorithm.

The strength of the AEIO algorithm lies in its ability to develop specific strategies for particles based on their positions, enabling faster convergence to the optimal point. Using density-based spatial clustering 417 of applications with noise (DBSCAN) for particle clustering, the AEIO determines whether particles are 418 in favorable or unfavorable positions, reminiscent of explorers during the Age of Exploration. The 419 proximity (within clusters) allows explorers to gather information and move toward optimal locations, 420 thereby enhancing their ability to establish new colonies. In contrast, explorers far apart (outside clusters) 421 adopt different strategies, relying on limited peer guidance or general trends in their quest for new 422 territories.



423 424

#### Figure 3. Illustration of Age of Exploration-Inspired Optimizer

In each iteration, explorers forecast their next move. If it promises a better position, they relocate. Otherwise, if the new spot is less favorable for colony establishment, they stay put and await the next iteration. The algorithm employs specific mathematical formulas to calculate the movement step of explorers or particles in the AEIO. The exploratory steps of an explorer in the AEIO algorithm will continuously iterate until the stop condition is satisfied.

**430** • Explorers follow general trends

431 The explorer choosing this movement type will calculate the distance from their location  $x_{i,d}(t)$  to 432 the center of all other explorers (*Meanvl*<sub>d</sub>(t)), then attempt to move towards that central point in the 433 hope of finding a better location with the potential to establish a new colony. The following formula 434 determines the explorer's position after the movement:

435 
$$x_{i,d}(t+1) = x_{i,d}(t) + \alpha * \left( Meanvl_d(t) - x_{i,d}(t) \right) \times rand(0,1) \times R$$
(4)

436 
$$Meanvl_d(t) = \frac{x_{1,d}(t) + x_{2,d}(t) + \dots + x_{n_{Pop},d}(t)}{n_{Pop}}$$
 (5)

437 where d = 1, 2, ..., D; *D* is the number of dimensions;  $i = 1, 2, ..., n_{Pop}$ ;  $n_{Pop}$  is the total number of 438 explorers; t = 1, 2, ..., MaxIt is the number of iterations; *MaxIt* is the maximum value of iteration;  $\alpha$  is a 439 parameter for adjusting the particle's movement toward the centroid position (usually equals 3). 440 *Meanvl<sub>d</sub>*(*t*) is the centroid of all particles in dimension *d*. *rand*(0,1) is the random number in the range 441 [0,1]. *R*: a number that equals 1 or 2 depending on the value of *rand*(0, 1) per the equation. *R* = 442 *round*(1 + *rand*(0,1) × 1),  $x_{i,d}(t)$  is the location of particle *i* in iteration *t*,  $x_{i,d}(t + 1)$  is the location 443 of particle *i* in iteration (*t* + 1).

#### **444** • Explorers follow three other peers

Explorers employing this movement method will calculate the average position of three randomly selected other explorers  $\left(\frac{x_{1,d}(t)+x_{2,d}(t)+x_{3,d}(t)}{3}\right)$  and then move toward this newly calculated average position. The explorer's new position is computed using the following formula:

448 
$$x_{i,d}(t+1) = x_{i,d}(t) + \left(\frac{x_{1,d}(t) + x_{2,d}(t) + x_{3,d}(t)}{3} - x_{i,d}(t)\right) \times rand(0,1) \times R$$
 (6)

449 where:  $x_{1,d}(t)$ ,  $x_{2,d}(t)$  and  $x_{3,d}(t)$  are three random explorers in dimension *d* at iteration *t*, d = 1, 2, ..., D; 450 *D* is the number of dimensions;  $i = 1, 2, ..., n_{Pop}$ ;  $n_{Pop}$  is the total number of explorers; t = 1, 2, ..., MaxIt451 is the number of iterations; MaxIt is the maximum value of iteration.

#### 452 • Explorers follow the best one

453 According to this strategy, the explorer  $(x_{i,d}(t))$  will move closer to the position of another explorer 454 currently holding the best position (*Best<sub>d</sub>(t)*), as determined by the following formula:

455 
$$x_{i,d}(t+1) = x_{i,d}(t) + (Best_d(t) - x_{i,d}(t)) \times rand(0,1) \times R$$
 (7)

456 where:  $Best_d(t)$  represents the position of the particle with the best fitness in dimension d at iteration t,

457 the parameters d and t hold the same significance as defined in Equation 10.

#### **458** • Explorers follow guidance from another one

Explorers in favorable positions with access to information can execute this movement strategy. In this scenario, explorers  $(x_{i,d}(t))$  will consult with each other another explorer. The consulted explorer will compare their direction and distance to the best individual, who holds the most favorable position  $(Best_d(t))$  and guide the inquirer. This algorithm assumes that the inquirer can be any explorer, i.e., a random explorer  $(x_{1,d}(t))$ . The following formula describes how to calculate the new position of the explorer following this strategy:

465 
$$x_{i,d}(t+1) = x_{i,d}(t) + (Best_d(t) - x_{1,d}(t)) \times rand(0,1) \times R$$
 (8)

466 where:  $x_{1,d}(t)$  is a random explorer in dimension d at iteration t. the parameters d and t hold the same

467 significance as defined in Equation 10.

468

#### • Crowd control mechanism

To enhance the efficiency of AEIO in transitioning between exploration and exploitation, a mechanism is employed to adjust the parameters of DBSCAN throughout each cycle, according to the following formula:

472 
$$\varepsilon_d = \left(0.1 + \frac{t}{MaxIt}\right) \times (Meanvl_d(t) - Best_d(t))$$
 (9)

473 
$$MinPts = round\left(1 + \frac{t}{MaxIt} \times 10\right)$$
 (10)

The exploratory steps in the AEIO algorithm begin by classifying positions using the DBSCAN algorithm. Subsequently, the explorers update the crowd control mechanism according to equations (13) and (14), and move according to various strategies defined by equations (8), (10), (11), and (12). This process is conducted iteratively until the maximum number of iterations is reached.

To fine-tune the hyperparameters of AI models, the AEIO algorithm treats each hyperparameter as a variable. Furthermore, the objective function of the AEIO algorithm seeks to minimize the prediction error of AI models, which is quantified by an evaluation metric (MAPE). Figure 4 presents a flowchart illustrating the process by which the AEIO algorithm aids in fine-tuning hyperparameters for AI models. **3.6 Experiment Setup** 





Figure 4. Flowchart of the fine-tuning process of AI models by the AEIO algorithm

**3.6.1 Research Area** 



488 Image source: Imagery ©2022 CNES/Airbus, Maxar Technologies, Map data ©2022 Google

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490 491



The current study focuses on the northern slope of Lushan hot spring in Ren'ai Township, Nantou County (Figure 5), with Nenggao Mountain to the east, Hehuan Peaks to the north, Zhuoshe Mountain to the south, and Puli Basins to the west. The terrain features rugged mountain ranges, youthful valleys, and

495 notable river erosion (Lee and Chi, 2011). Lushan Hot Springs is located below the hill, and the main
496 access roads for nearby settlements and hot spring sites include Provincial Highway 14 and County
497 Highway 87.

498 In an early study of deep landslides in this area, Lin et al. (2020) reported that the Lushan 499 slope exhibits large-scale deep-seated gravitational slope deformation, characterized by a steep scarp, a 500 gently inclined head, and a curving river at its base. Figure 6 illustrates the geological details of the 501 research area and shows the distribution of four survey boreholes (G20, G21, G18, and G25) along the 502 slope. Regolith, slate, and meta-sandstone are three distinct lithological units revealed through drilling. 503 Additionally, the study by Lin et al. identified the depths of failure planes in these survey boreholes. 504 Specifically, boreholes G18 and G25 did not record any failure planes, while boreholes G20 and G21 505 recorded failure planes at depths of 85 meters and 106 meters, respectively. These failure planes were 506 identified based on inclinometer data from the corresponding study (Lin et al., 2020).

507 Initially, the thickness of the topmost regolith layer was found to be less than 10 meters. Secondly, 508 slate predominated, exhibiting a notable presence with sporadic evidence of weathering that resulted in 509 brecciated patterns. This composition frequently broke into breccia and gouges, particularly along 510 cleavage planes and thin shear zones, indicating its susceptibility to collapse. This geological layer is 511 identified as the area's primary cause of landslide risk. Finally, meta-sandstone appeared intermittent 512 compared to the more prevalent lithological units, characterized by its fragility and fractures and occurring 513 less frequently in the drilled samples.

514 Previous research has detected signs of brittle deformation in the area. These indications include 515 chevron folds within cleavages, visible cracks, and intricate jigsaw puzzle-like patterns at the head of the 516 rock formations. Overturned and flexural toppling cleavages are prevalent towards the toe of the slope. 517 Additionally, kink bands are observable on eleavages fractures that have recently undergone recently 518 undergoing flexural folding along the eastern boundary. Notably, horizontal cleavages near the toe region 519 also exhibit inter-cleavage gouges. Further details on this geological information can be found in the study 520 by Lin et al. (2020). These instances highlight the potential for significant geological changes and 521 landslide risk in this region.

522 **3.6.2 Data Collection and Preprocessing** 

In this study, hourly data of deep-seated displacement and groundwater level were collected by the Department of Civil Engineering, College of Science and Technology, at the National Chi Nan University research group over eight years from July 2009 to June 2017, yielding 68,317 data points. The installation time points and locations are presented in Table 1 and Figure 5, respectively.

527 The data used in this study were collected using an in-hole telescopic gauge (E-2), a multidirectional 528 shape acceleration array sensor (SAA) with an underground displacement gauge, and four groundwater 529 level gauges (A-17, A-18-2, A-20, and A-24). The transmission, storage, and processing of data are 530 described in detail in the research of Lau et al. in 2023 Lau et al. (2023).

531 The operation of the in-hole extensometer entailed the installation of a borehole through the sliding 532 surface. One end of a steel cable was anchored at the bottom, and a displacement gauge was placed at the 533 free end to measure deformations automatically. The fixed stops for E-2 and SAA were situated at depths 534 of 70 meters and 40 meters below the surface, respectively. In addition to groundwater level data, 535 information regarding significant rainfall events in this area was also measured and is presented in Table 536 2.

537 Table 1. Device installation timepoints

Year	2008	2009	2010	2011	2012	2013	2014	2015	2016	2017
Groundwater		A-17								
level gauge	No data						A-18-2			
	No data					A-20				
	No data	A-24								
Extensometer	No data	E-2								
	1	No data					SAA			

538

539 Table 2. Heavy rainfall events in the study area

No.	Rain onset (month/day/year hour: minute)	Rain end time (month/day/year hour: minute)	Accumulating rainfall (mm)	Drop rain hour (hr)	Event
1	7/17/2008 14:00	7/19/2008 21:00	418	55	Kameiji typhoon
2	9/112008 16:00	9/15/2008 12:00	943.5	92	Pungentmusc typhoon
3	9/28/2008 1:00	9/30/2008 10:00	523.5	57	Rose honey typhoon
4	8/4/2009 3:00	8/12/2009 20:00	931	209	Mopull typhoon
5	6/8/2012 13:00	6/17/2012 16:00	1029	219	Torrential rain
6	7/30/2012 7:00	8/3/2012 11:00	370	100	Supull typhoon
7	5/10/2013 16:00	5/25/2013 1:00	597	345	Torrential rain
8	7/12/2013 19:00	7/15/2013 23:00	330	76	Suprofit typhoon
9	9/20/2013 22:00	9/23/2013 18:00	347	68	Usagi typhoon
10	5/9/2014 5:00	5/22/2014 3:00	326.5	310	Torrential rain
11	7/22/2014 14:00	7/24/2014 0:00	321.5	34	Madham typhoon
12	6/1/2017 11:00	6/4/2017 21:00	897	82	Torrential rain
13	6/11/2017 17:00	6/19/2017 3:00	638.5	178	Torrential rain

540

Based on the collected data, analyses have examined the correlation between groundwater levels 541 and deep-seated displacement at Lushan Mountain. To observe this correlation, graphs illustrating the





The graphs above show that the displacement values at both stations often exhibit significant increases coinciding with periods of pronounced fluctuations in groundwater levels. Specifically, in June 2012, there was a notable surge in groundwater levels attributed to heavy rainfall from June 8, 2012, to June 17, 2012, totaling 1029 mm over 219 hours (as indicated in Table 2 and Figure 7A). The abnormal rise in groundwater levels caused a structural alteration in the area's soil, consequently amplifying deepseated displacement at both stations, namely E\_2 and SAA, as evidenced in Figure 7B and Figure 7C.

557 Similar events occurred in November 2017. Heavy rainfall totaling 638.5 mm over 178 hours during 558 this period also caused a sudden alteration in groundwater levels, resulting in significant deep-seated 559 displacement. Through comparison, it is apparent that there were up to 13 instances of anomalous heavy 560 rainfall during the study period. However, not every example of heavy rain resulted in significant 561 fluctuations in groundwater levels, leading to substantial displacement. Hence, data regarding 562 groundwater level elevation will be used to predict deep-seated landslides rather than rainfall data.

563 In addition to groundwater level data, weather factors such as temperature and humidity are also 564 utilized as input data for the prediction model. This study includes temperature as an input variable for AI 565 models to predict deep-seated displacement due to its impact on soil structure. Elevated temperatures can 566 cause thermal expansion of soil particles, which can increase pore water pressure and reduce effective 567 frictional resistance forces (Pinyol et al., 2018). Additionally, previous research has shown a relationship 568 between temperature and the likelihood of landslides in clay-rich soils, which are also present in the 569 geological composition of Lushan Mountain (Shibasaki et al., 2017; Loche and Scaringi, 2023). These 570 factors significantly impact the soil structure and can trigger substantial displacement or landslides.

571 This study collected groundwater level and displacement data on-site using sensors. Furthermore, 572 temperature and humidity data were obtained from the website https://power.larc.nasa.gov. This dataset 573 is part of the Prediction of Worldwide Energy Resource (POWER) project, developed by the National 574 Aeronautics and Space Administration (NASA) of the United States. The POWER solar data derives from 575 satellite observations, which are used to infer surface insolation values. Meteorological parameters are 576 sourced from the Modern-Era Retrospective analysis for Research and Applications, Version 2 (MERRA-577 2) assimilation model. The primary solar data is available with a global resolution of 1° x 1° 578 latitude/longitude, while the meteorological data is provided at a finer resolution of 1/2° x 5/8° 579 latitude/longitude. Users can download the data hourly, daily, or monthly through this website.

Table 3 displays the input and output variables for AI models to predict deep-seated displacement at Lushan Mountain. Two datasets will be generated: one for predicting displacement at the E\_2 station and another for indicating displacement at the SAA station. Table 4 outlines the number of data points for each dataset and illustrates how the data is divided into training and testing sets.

	Attributes group	Attributes	Variable ID	Dataset of <b>E_2</b> station	Dataset of SAA station
Output variables	Deep-seated	Displacement extensometer at station E_2 (mm)	Y1	$\checkmark$	-
	measures	Displacement extensometer at station SAA (mm)	Y2	-	$\checkmark$
		Groundwater level at station A-17 (m)	X1	$\checkmark$	$\checkmark$
	Groundwater level data	coundwater level at station A-18-2 (m)		$\checkmark$	$\checkmark$
Input		Groundwater level at station A-20 (m)	X3	$\checkmark$	$\checkmark$
variables		Groundwater level at station A-24 (m)	X4	$\checkmark$	$\checkmark$
	Weather data	Temperature at 2 meters (°C)	X5	$\checkmark$	$\checkmark$
		Specific humidity at 2 meters (g/kg)	X6	$\checkmark$	$\checkmark$

#### 584 Table 3. Input and output variables of a model predicting deep-seated displacement.

#### 585 Table 4. Number of data points

Quantity of data points	Dataset of the E-2 station	Dataset of SAA station
Total data samples	68312	51679
Count of training samples	61477	46523
(90% of the total sample)	(2009/07/15-2016/09/07)	(2011/07/13 - 2016/11/16)
Count of testing samples	6835	5156
(10% of the total sample)	(2016/09/07-2017/06/20)	(2016/11/16-2017/06/20)

# 586 **3.6.3 Data Preprocessing**

- 587 Firstly, the data in this study will undergo a normalization process to scale all features to a consistent 588 range (typically between 0 and 1). This step is essential to ensure that the model considers the importance 589 of each feature, thereby enhancing overall prediction accuracy (Han et al., 2006).
- In the current study, the sliding window technique is implemented after data normalization to organize data according to a specific time frame. This involves using historical data from previous steps to predict the output for subsequent steps (Chou and Ngo, 2016). The forecasting horizon refers to the length of time into the future for which output forecasts are made.

The basic process of the sliding window technique is illustrated in Figure 8. To train AI models, this study opts for a window size of one week (equivalent to 168 hours). This fixed window size is utilized exclusively for single AI models. Subsequently, the hybrid model's AEIO algorithm and other hyperparameters will fine-tune the window size to determine the most suitable settings.



598 599

Figure 8. Sliding window technique

This study focuses on predicting deep displacement values at two distinct time intervals: 1 day ahead (+24 hours) and seven days ahead (+168 hours). These forecast horizons are strategically chosen to provide timely information, enabling management departments to make accurate decisions regarding evacuating people and assets from areas prone to landslides.

504 Specifically, for valuable assets and machinery that require time for relocation from landslide-prone 505 areas, having advance knowledge of the landslide event one week ahead of relocation is crucial. 506 Furthermore, for humans, animals, or other assets that can be evacuated more swiftly, predicting the 507 landslide one day in advance is sufficient to ensure safety.

The predicted outputs are quantified in mm/day, facilitating decision-making for administrators according to the TGS-SLOPEM106 standard (Ruitang et al., 2017). Table 5 outlines suggested actions corresponding to different degrees of deep displacement as per the TGS-SLOPEM106 standard issued by the Taiwan government.

Table 5. Recommendations are taken from TGS-SLOPEM106 for addressing displacement values in the

613 early stages of deep sliding.

Classification of the displacement value	Attention value	Warning value	Action value
Corresponding	2 mm/month	0.5  mm/day	10  mm/day
displacement value	2 11111/111011111	0.5 mm/day	10 mm/day

Classification of the displacement value	Attention value	Warning value	Action value
Condition of slopes	The slope started to slip or slowly move	The hill is undergoing constant-velocity descent.	The rate of slope movement is increasing, elevating the risk of collapse.
Recommendations on monitoring activities	- Inspect the monitoring system for any irregularities and consider increasing the frequency of visual inspections	- Enhance the frequency of the automated monitoring system	- Implement a rigorous monitoring system frequency
Countermeasures	- Conduct a slope stability investigation and assessment - Develop a reinforcement and improvement plan to enhance slope stability	<ul> <li>Execute emergency slope reinforcement procedures</li> <li>Develop an emergency response plan for individuals and vehicles within the landslide area</li> </ul>	- Evacuate people and vehicles from the landslide area

# 614 4. Model Establishment and Analysis Results

# 615 **4.1 Model Establishment**

616 Predicting deep-seated displacement landslides at Lushan Mountain is undoubtedly highly 617 challenging, given that such landslides depend on numerous factors. Therefore, multiple methods will be 618 employed simultaneously to identify the optimal AI model for prediction. These methods include single 619 machine learning, time series deep learning, CNN, and hybrid models.....

620 This study will conduct a testing process to systematically identify the optimal model capable of 621 accurately predicting deep-seated landslides. An illustration of this process can be found in Figure 9. 622 Initially, the study will sequentially employ various single numerical AI models, such as machine learning

623 models (LR, ANN, SVR, CART, RBFNN, XGBoost) and time series deep learning models (RNN, R-

b25 models (ER, Alviv, SVR, CART, RDI WY, KODobst) and time series deep learning models (R

624 RNN, LSTM, R-LSTM, GRU, R-GRU), to forecast displacement.



625

Figure 9. Diagram depicting the steps of choosing the optimal AI model to predict deep-seated landslide
 displacement

528 Subsequently, the model with the highest prediction accuracy will be selected for integration with 529 the AEIO algorithm, forming a hybrid model. In this hybrid model, the hyperparameters of the best 530 numerical AI model will be fine-tuned by the AEIO algorithm to enhance prediction accuracy.

In addition to the numerical AI models, this study employs individual CNN models for predicting deep-seated displacement. Subsequently, similar to the approach above, the best CNN model with the highest displacement prediction capability will be fine-tuned by the AEIO algorithm within a hybrid model. In the final step, a comparison process between the two hybrid models— one comprising the best numerical model and the other involving the best CNN model fine-tuned by AEIO— will be conducted to select the optimal model for this study.

637 4.2 Analysis Results

638 This section will present the experimental results of the steps outlined in Figure 9, along with relevant

639 metrics and analysis.

## 640 **4.2.1 AI Models**

## 641 a. Machine Learning Models

642 Initially, single machine learning models will be employed to predict deep-seated displacement. In 643 this phase, machine learning models will utilize default hyperparameters, as detailed in research of Chou 644 and Nguyen's research in 2023 Chou and Nguyen (2023). The prediction results of these models at both 645 E-2 and SAA stations are displayed in Table 6. These results show that most machine learning models 646 demonstrate a relatively good predictive capability for displacement, particularly the XGBoost model, 647 which exhibits MAPE values ranging from 8.14% to 9.58%. Following closely, CART also produces 648 favorable prediction results, with MAPE ranging from 8.53% to 9.76%. Regarding prediction accuracy, 649 XGBoost and CART models outperform LR, ANN, SVR, and RBFNN models.

650	Table 6. Perfe	ormance results of mach	nine learning models for	predicting deep-seated	displacement.

	MAPI	E (%)	MAE	(mm)	RMSI	E (mm)	Tin	ne (s)
Model	1-day-	7-day-	1-day-	7-day-	1-day-	7-day-	1-day-	7-day-
	ahead	ahead	ahead	ahead	ahead	ahead	ahead	ahead
			E-	2-station				
LR	10.70	11.22	22.61	21.32	28.17	31.96	0.0001	0.003
ANN	12.31	13.31	22.19	24.92	26.56	32.54	129.80	212.83
SVR	12.46	12.47	21.98	22.56	26.27	28.05	162.55	174.44
CART	8.53	8.67	15.67	16.87	25.16	27.81	1.50	2.57
RBFNN	15.13	15.19	23.81	22.56	28.42	31.96	2.32	4.10
XGBoost	8.14	8.36	14.80	14.68	23.07	23.92	1.58	3.28
			SA	A-station				
LR	11.18	12.11	11.51	11.64	17.26	16.07	0.01	0.01
ANN	10.91	10.93	9.43	10.45	16.55	15.92	116.78	190.69
SVR	10.55	10.94	10.87	9.18	15.64	13.42	136.01	346.30
CART	10.57	10.76	7.11	7.30	13.51	10.63	0.91	1.59
RBFNN	14.51	14.95	11.38	12.68	17.13	19.06	4.20	8.76
XGBoost	9.17	9.58	8.43	7.83	16.36	16.97	1.12	2.29

Moreover, the results in Table 6 also indicate that there is not a significant difference in the prediction errors of the machine learning models at both E-2 and SAA stations, as the error values for both stations are nearly equal across all machine learning models. Regarding the running time, the LR model demonstrates the shortest duration, ranging from 0.001 to 0.1 seconds for all runs. However, the prediction accuracy of this model could be higher, as mentioned earlier. In this case, the machine learning model with the longest running time is SVR, ranging from 136.01 to 346.3 seconds. This, combined with the low MAPE score, indicates that the SVR model operates inefficiently with the dataset in this study. After reviewing the results of the machine learning models in this section, it is observed that XGBoost is the most suitable machine learning model for predicting deep-seated landslides, exhibiting both high prediction accuracy and a short running time. The following section will compare this model with the best time series deep learning model to select the optimal numerical model for fine-tuning.

#### 662 **b.** Time series deep learning models

663 Similar to the machine learning models, in this section, the time series deep learning models will 664 also be trained with default hyperparameters, as found in the research of Chou and Nguyen's research in 665 2023 Chou and Nguyen (2023). The performance results of these models are shown in Table 7. Overall, 666 akin to the machine learning models, the time series deep learning models also demonstrate fairly good 667 prediction accuracy, especially the best model - R-GRU model, with MAPE ranging from 7.95 to 9.13%.

The performance of the R-GRU model surpasses that of the GRU model because the R-GRU model learns patterns from time series data in both forward and backward directions on the timeline, thereby capturing more patterns. Furthermore, the R-GRU model produces significantly better prediction results with a more complex learning mechanism than other time series deep learning models. However, due to its complex operational mechanism, the R-GRU model also requires more processing time than other time series deep learning models. From the results of Table 7, it is observed that the operating time of the R-GRU model ranges from 79.81 to 212.75 seconds.

From the conducted analyses, R-GRU has been identified as the best time series deep learning model, owing to its excellent prediction performance. Compared to the best machine learning model, XGBoost (with MAPE ranging from 8.14% to 9.58%), the R-GRU model (with MAPE ranging from 7.90 to 9.13%) demonstrates higher prediction accuracy. Therefore, the R-GRU model will be chosen as the best numerical AI model. R-GRU will undergo fine-tuning in the following section using the AEIO algorithm, further enhancing this model's accuracy.

581	Table 7. Perform	nance results of time	series deep	learning mo	dels for pro	edicting dee	p-seated displaceme	ent
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	MAPE (%)		MAE (mm)		RMSE (mm)		Time (s)	
Model	1-day- ahead	7-day- ahead	1-day- ahead	7-day- ahead	1-day- ahead	7-day- ahead	1-day- ahead	7-day- ahead
	I	I	E	-2-station		I		
RNN	12.72	12.92	23.61	24.75	31.18	29.62	83.24	177.53
R-RNN	12.31	12.84	22.88	21.97	30.20	34.42	91.47	114.33
LSTM	8.42	8.57	17.87	16.31	21.41	22.98	123.10	151.91

	MAPE (%	<b>b</b> )	MAE (mm)		RMSE (mm)		Time (s)	
Model	1-day-	7-day-	1-day-	7-day-	1-day-	7-day-	1-day-	7-day-
	ahead	ahead	ahead	ahead	ahead	ahead	ahead	ahead
R-LSTM	8.13	8.75	16.63	17.84	22.85	24.67	148.56	161.14
GRU	8.43	10.15	16.06	19.38	22.46	26.75	141.50	164.26
R-GRU	7.90	8.16	15.09	15.69	20.84	23.32	156.97	172.96
			SA	AA-station	·	·		·
RNN	11.92	13.98	17.61	12.65	25.71	23.19	36.77	60.31
R-RNN	14.60	14.73	18.77	13.85	26.19	24.97	49.26	59.06
LSTM	10.64	10.94	12.73	12.25	29.21	29.57	62.84	113.76
R-LSTM	10.14	10.35	11.77	11.60	26.10	27.48	70.94	87.48
GRU	9.32	9.28	18.05	18.11	25.26	22.41	69.56	211.77
R-GRU	8.03	9.13	18.84	17.85	21.57	21.86	79.81	212.75

682 **4.2.2 Best AI Model Finetuned by AEIO Algorithm** 

This section will focus on fine-tuning the hyperparameters of the numerical model to enhance its performance in predicting deep-seated <del>landslides</del> displacement. The AEIO algorithm will fine-tune the hyperparameters of the study's best numerical AI model, the R-GRU model. Details regarding the names and search ranges of the hyperparameters are outlined in Table 8. The objective function of the AEIO algorithm during the fine-tuning process is to minimize the MAPE value of the R-GRU model.

Table 9 illustrates the results of the fine-tuning process. From this table, it is observed that the AEIO algorithm has successfully identified the optimal hyperparameters of the R-GRU model, significantly improving the prediction accuracy of this model. For instance, the MAPE in predicting 1-day-ahead displacement of R-GRU before fine-tuning was 7.9%, but this number decreased to only 3.03% after finetuning. All other predictions similarly show a decreasing trend.

Fine-tuning the R-GRU model using AEIO will maximize its potential, minimizing the prediction error to the lowest possible level. Therefore, the results obtained in this section reflect the actual quality of the dataset as well as the level of difficulty in prediction. Specifically, based on the results in Table 9, it is observed that the predictions for one-day ahead displacement (with MAPE of 3.03% and 3.94%) consistently outperform those for seven-days ahead displacement (with MAPE of 6.38% and 7.96%).

One-day-ahead predictions have a shorter time horizon, making them less affected by environmental fluctuations and making changes more accessible to predict. Conversely, in the case of seven-day-ahead displacement prediction, this timeframe is long enough for various factors, such as weather conditions and human interventions, to occur, increasing uncertainty and volatility in the predicted figures. Additionally, Table 9 indicates that predictions from the dataset of the E-2 station consistently outperform those of the SAA station. Specifically, the displacement prediction at the E-2 station is 3.03% and 6.38%, better than the corresponding numbers for the SAA station, which are 3.94% and 7.96%, respectively. This is attributed to the dataset collected by the E-2 station being more comprehensive and gathered over a more extended period than the SAA station (as shown in Table 4).

Table 10 presents the optimal hyperparameters identified by the AEIO algorithm. Furthermore, in terms of running time, most models, after fine-tuning, exhibit longer running times compared to the original model. However, this increase is entirely acceptable since the additional running time is minimal, and the benefits of fine-tuning are significant, as mentioned above, aiding in the model's model's more efficient operation.

Table 8. Search ranges of the hyperparameters of the optimal hybrid numerical models (Chou and Nguyen,

713 2023).

Hybrid model	Hyperparameter	Search range
AEIO-R-GRU	Window size	[1-720]
	Number of hidden units	[1-400]
	Learning rate	[0.0001, 0.5]
	Dropout	[0.00, 0.99]
	Number of epochs	[10, 120]
	Batch size	[32, 64]

Table 9. Performance results of hybrid time-series deep learning model with AEIO in deep-seated

715 landslide prediction

	Model	MAPE (%)	MAE (mm)	RMSE (mm)	Time (s)
One-day-			E-2-station		
ahead	AEIO-R-GRU	3.03	6.89	17.98	196
displacement			SAA-station		
prediction	AEIO-R-GRU	3.94	4.16	11.20	184
Seven-day-			E-2-station		
ahead of	AEIO-R-GRU	6.38	10.02	18.05	261
displacement			SAA-station		
prediction	AEIO-R-GRU	7.96	12.49	7.82	248

716

717 Table 10. Optimal hyperparameter of time series deep learning model found by AEIO algorithm

	Model	Window size	Number of hidden units	Dropout rate	Learning rate	Number of epochs	Batch size		
One-day-			<b>E-2</b>	-station					
ahead	AEIO-R-GRU	41	81	0.27	0.7	18	64		
displacement		SAA- station							
prediction	AEIO-R-GRU	54	145	0.19	0.46	32	32		
Seven-day-	E-2- station								
ahead of	AEIO-R-GRU	97	164	0.24	0.61	20	32		
displacement	SAA- station								
prediction	AEIO-R-GRU	69	147	0.28	0.31	17	32		

718 **4.2.3 CNN Models** 

This section presents the results of utilizing CNN models, including VGG, ResNet, Inception, Xception, DenseNet, and NASNet, to predict deep-seated landslide displacement. The CNN models in this part use the default settings (Chou and Nguyen, 2023). Table 11 displays the prediction error results of the CNN models for one-day-ahead and seven-day-ahead forecasts for both E-2 and SAA stations.

723 The prediction results demonstrate that most CNN models produce highly accurate predictions. 724 Specifically, predictions made by VGG, ResNet, MobileNet, DenseNet, and Inception exhibit MAPE 725 values below 5%. Among these, MobileNet and DenseNet201 emerge as the two models with the highest 726 accuracy. For one-day-ahead prediction, the best model for predicting displacement at the E-2 station is 727 MobileNet, with a MAPE of 4.11%, and the best model for predicting displacement at the SAA station is 728 DenseNet201, with a MAPE of 6.36%. For seven-day-ahead prediction, the best model for predicting 729 displacement at the E-2 station is DenseNet201, with a MAPE of 5.3%, and the best model for predicting 730 displacement at the SAA station is MobileNet, with a MAPE of 6.8%. These models will be selected 731 accordingly for fine-tuning in the subsequent section.

Regarding running time, the CNN models in this section exhibit significantly longer running times compared to the numerical models in the previous sections. For example, the running time of the best CNN model to predict one-day-ahead displacement at the E-2 station—MobileNet—is 1.21 hours. In contrast, the running time of the best single numerical model for predicting this index is 159.97 seconds.

While CNN models yield better prediction results, considering their extended running times, users need to weigh practical considerations before opting for this type of model. For instance, CNN models should be employed in cases requiring accurate predictions for research and measurement purposes. Conversely, numerical models like R-GRU are more suitable for real-time predictions and computations on low-performance devices.

Table 11. Performance results of the CNN models for deep-seated displacement prediction

	MAP	E (%)	MAH	E (mm)	RMS	E (mm)	Time	(hour)
Model	1-day-	7-day- ahead	1-day- ahead	7-day- ahead	1-day- ahead	7-day- ahead	1-day- ahead	7- day-
	ancau	ancau	ancau	ancau	ancau	ancau	ancau	ahead
			E-2- sta	ation				
VGG16	4.58	7.38	12.73	13.97	26.54	35.69	3.03	3.31
VGG19	4.47	6.30	12.53	15.11	25.74	32.82	3.14	2.82
ResNet50V2	4.87	7.68	15.28	12.52	31.82	27.19	2.99	3.44
ResNet101V2	4.61	6.60	9.81	9.08	34.67	32.74	2.24	2.96
ResNet152V2	4.71	6.46	7.26	12.60	21.13	19.08	2.94	2.05
InceptionV3	4.99	7.30	11.18	11.65	32.97	34.92	2.43	3.27
InceptionRestNetV2	13.32	15.78	22.51	27.08	76.75	61.11	3.22	3.08
Xception	5.27	7.34	11.60	10.20	35.86	30.68	2.94	3.29
MobileNet	4.11	8.92	12.22	13.62	47.43	31.72	1.21	1.44
DenseNet121	11.15	11.13	16.30	21.49	37.68	46.51	3.32	3.99
DenseNet169	4.74	7.86	11.44	12.20	17.09	36.28	3.02	3.52
DenseNet201	4.66	5.30	8.11	7.44	21.82	10.39	2.09	2.29
NASNetMobile	13.82	15.91	31.00	19.52	46.07	55.65	2.53	3.13
NASNetLarge	13.20	34.23	20.46	61.81	61.52	75.39	3.89	3.93
			SAA- st	ation				
VGG16	5.76	7.90	6.07	12.76	9.48	8.95	3.14	3.36
VGG19	5.95	7.32	9.14	13.45	11.68	7.03	3.55	3.20
ResNet50V2	9.87	9.35	12.43	13.81	15.71	9.75	4.57	3.83
ResNet101V2	8.48	17.68	10.56	19.36	11.47	21.94	3.54	3.40
ResNet152V2	9.43	11.42	12.32	10.35	14.91	13.27	3.35	3.88
InceptionV3	10.96	8.11	12.73	9.13	14.48	12.71	3.80	3.18
InceptionRestNetV2	9.86	11.08	13.51	16.75	18.04	21.59	3.23	2.91
Xception	7.42	7.28	7.82	7.08	10.13	10.47	3.48	3.60
MobileNet	7.12	6.80	8.28	9.92	11.58	13.83	1.43	2.13
DenseNet121	8.69	11.69	8.56	14.39	12.54	15.76	3.93	3.42
DenseNet169	6.55	9.56	6.16	9.61	11.08	15.51	3.60	3.76
DenseNet201	6.36	10.45	7.46	11.62	9.37	14.51	2.51	3.13
NASNetMobile	10.31	22.12	13.86	62.04	18.95	43.51	3.56	2.88

	MAP	<b>MAPE (%)</b>		MAE (mm)		RMSE (mm)		Time (hour)	
Model	1-day- ahead	7-day- ahead	1-day- ahead	7-day- ahead	1-day- ahead	7-day- ahead	1-day- ahead	7- day- ahead	
NASNetLarge	10.25	13.69	11.20	14.05	15.95	19.09	3.18	3.34	

# 742 **4.2.4 Best CNN Models Finetuned by AEIO Algorithm**

In this section, as analyzed in part 4.2.3, the AEIO algorithm will sequentially fine-tune CNN models to enhance prediction accuracy. Table 12 illustrates the search range of hyperparameters for the CNN models to be fine-tuned. Table 13 presents the performance results of the CNN models after being finetuned.

However, a challenge in this section is that CNN models primarily analyze and learn from image data. Therefore, numerical data must be converted into image data before training. This poses a challenge because current computer hardware may need to be fully capable of efficiently converting numerical data into images for each computation. Hence, this study utilizes the optimal window sizes previously identified for fine-tuning numerical models (Table 10) for this scenario and employs these fixed window sizes for CNN models.

The results of the fine-tuning process demonstrate that the AEIO has successfully identified the optimal hyperparameters for the CNN models, enhancing their accuracy. For instance, in the case of the MobileNet model used for one-day-ahead prediction at the E-2 station, the fine-tuning process reduced the MAPE of this model from 4.11% to 2.81%. A similar trend is also observed in the remaining prediction scenarios.

758 Furthermore, similar to the case of AEIO-R-GRU, the CNN models exhibit the same trend, where 759 one-day-ahead predictions are more accurate than seven-day-ahead predictions. Similarly, forecasts at the 760 E-2 station demonstrate higher accuracy than predictions at the SAA station. The rationale for this has 761 been explained in section 4.2.2. Lastly, the optimal hyperparameters of each CNN model, identified by 762 the AEIO algorithm, are presented in Table 14. Compared to models in previous sections, CNN models 763 with optimal hyperparameters obtained in this section exhibit the most minor errors, indicating that these 764 are the most effective models in this study for predicting deep-seated displacement landslide occurrences. 765 Table 12. Search ranges of the hyperparameters of the optimal hybrid numerical models (Chou and

766 Nguyen, 2023).

Hybrid model	Hyperparameter	Search range
AEIO-CNN	Learning rate	[0.00, 0.1]
	Decay	[0.00, 0.1]

Hybrid model	Hyperparameter	Search range
	Momentum	[0.00, 0.99]
	Epsilon	[1.0e-7, 0.001]
	Dropout	[0.00, 0.99]
	Epochs	[10, 120]
	Batch size	[32, 64]

767 Table 13. Performance results of best CNN models with AEIO in deep-seated landslide prediction

	Model	MAPE (%)	MAE (mm)	RMSE (mm)	Time (hour)
One-day-			E-2-station		
ahead	AEIO-MobileNet	2.81	5.09	11.92	1.25
displacement		Š	SAA-station		
prediction	AEIO-DenseNet201	3.30	6.32	15.65	3.48
Seven-day-			E-2-station		
ahead of	AEIO-DenseNet201	4.30	5.32	15.65	3.48
displacement			SAA-station		
prediction	AEIO-MobileNet	5.63	9.35	14.27	3.39
-					

# 768

# 769 Table 14. Optimal hyperparameter of CNN models found by AEIO algorithm

	Model	Learning rate	Decay	Momentum	Epsilon	Dropout	Epochs	Batch size
One-day-				E-2-station				
ahead	AEIO-	0.0011	0.00095	0.00001	3.0e-7	0.56	15	64
displacement	MobileNet							
prediction				SAA-station				
	AEIO-	0.00012	0.0012	0.00011	1.0e-7	0.49	16	64
	DenseNet201							
Seven-day-				E-2-station				
ahead of	AEIO-	0.0012	0.0011	0.00022	1.0e-7	0.51	15	64
displacement	DenseNet201							
prediction				SAA-station				
	AEIO-	0.00014	0.00098	0.00011	2.0e-7	0.50	14	64
	MobileNet							

Figure 10 illustrates the differences between typical AI models' actual and predicted deep-seated displacement. Specifically, Figure 10a compares the performance of single models against the predicted values, while Figure 10b does the same for hybrid models. The chart shows hybrid models demonstrate superior predictive capability for deep-seated landslides compared to single models. This is evident from the displacement line of the hybrid models in Figure 10b, which closely aligns with the actual deep-seated displacement and significantly outperforms the single models depicted in Figure 10a.



a) Prediction results of deep-seated displacement by single AI models.



776 777

778

b) Prediction results of deep-seated displacement by AI models optimized using the AEIO algorithm.
Figure 10. Graph comparing the real and predicted deep-seated displacement.

# 781 **4.3 Discussion**

This study centers on landslides in Lushan Mountain, Taiwan, adopting a fundamentally different approach than previous research. While past studies primarily focused on constructing AI models for classification, calculating the probability of landslide occurrences, and generating landslide susceptibility maps (Balogun et al., 2021; Hakim et al., 2022; Jaafari et al., 2022), our study is oriented towards predicting displacement to provide warnings about potential landslide hazards. As utilized in our calculations, computing deep-seated displacement offers several benefits. Firstly, understanding internal displacements provides accurate information for engineers to assess the resilience of structures and infrastructure in at-risk areas, facilitating the issuance of sensible warnings. Secondly, forecasting deep-seated displacement offers insights into the severity of the disaster, aiding in effective evacuation and rescue planning.

Moreover, unlike AI models in previous studies (Balogun et al., 2021; Hakim et al., 2022; Jaafari et al., 2022), our research incorporates machine learning, time series deep learning, and CNN models, utilizing metaheuristic optimization algorithms to fine-tune their hyperparameters. However, the novelty of our study lies in adopting pre-trained models, such as MobileNet, DenseNet, Inception, and VGG, rather than conventional standard CNN models. The practicality of employing these pre-trained models has demonstrated effectiveness in predicting displacement in this research.

798 By employing various AI models, this study identifies the most effective model for predicting deep-799 seated landslides and offers a comprehensive overview of the performance of different AI models. Initially, 800 machine learning models exhibited relatively high prediction errors, with MAPE ranging from 8.14% to 801 15.19%. This performance was generally lower than time-series deep learning models, which showed 802 MAPEs ranging from 7.9% to 14.73%. The superior performance of the time series deep learning models 803 is attributed to their ability to process sequential data and retain information from previous steps. This 804 enables them to learn patterns from the dataset more effectively than traditional machine learning models. 805 However, compared to CNN models, the results of the time series deep learning models are not as 806 strong. This disparity is attributed to CNN's superior learning mechanism. The convolutional and pooling 807 layers in CNN enable robust feature extraction from the input data. Convolutional layers are particularly 808 effective at identifying complex patterns and subtle features within time series data, primarily when spatial

correlations exist. This capability allows CNN to uncover essential features that other models mightoverlook.

811 The models developed in this study demonstrate predictive solid capabilities for deep-seated 812 displacement. Among them, the AEIO-MobileNet model is the most effective, achieving predictions with 813 deficient error, indicated by a MAPE of 2.81%. However, these models' practical applicability in real-814 world scenarios must be improved due to the time-consuming processes involved in data collection, 815 processing, and AI model operation, making timely predictions challenging. Meanwhile, there have been 816 studies that successfully built real-time landslide detection systems (Wang et al., 2023; Das et al., 2020; 817 C. et al., 2021). We acknowledge this limitation of our study. Therefore, future research endeavors will 818 aim to address this issue.

The input data used for the AI models were selected because they significantly influence the likelihood of deep-seated landslides, as detailed in Section 3.6. However, a limitation of this study is that it does not evaluate the relative importance of each input data type on prediction accuracy. Future research
should explore the impact of different combinations of input data on AI model performance. This could
help identify the significance of each input type and potentially reveal the optimal combination of inputs
to enhance prediction accuracy further.

#### 825 **5.** Conclusion and Recommendations

826 This study addresses the persistent threat of landslides, a primary concern due to their severe impact 827 on lives and property. Employing various AI models, such as machine learning, time series deep learning, 828 CNN models, and metaheuristic optimization algorithms, the research focuses on predicting deep-seated 829 landslides at Lushan Mountain in Ren'ai Township, Nantou County. The study aims to enhance early 830 prediction accuracy by utilizing eight years of displacement and groundwater level data from Lushan 831 Mountain and weather data from the POWER project. The predictions cover one-day and seven-day 832 intervals, serving diverse purposes in landslide forecasting for timely evacuation. The research explores 833 single and hybrid AI models to determine the most effective approach. The following conclusions are 834 drawn from this research:

835 (a). CNN models optimized by the novel AEIO algorithm yield the best prediction results. In particular,

836 AEIO-MobileNet predicts one-day-ahead displacement at the E-2 station with a MAPE score of only

837 2.81%, demonstrating high accuracy.

838 (b). While CNN models boast high prediction accuracy, their computational time is also considerable.

839 Therefore, decisions regarding their usage should also consider real-world constraints.

840 (c). The AEIO-R-GRU model also yields reasonably good prediction results, although not on par with

841 CNN models. The best result achieved by the AEIO-R-GRU model is a MAPE of 3.03% for one-day-842 ahead prediction at the E-2 station.

(d). The AEIO algorithm has successfully fine-tuned hyperparameters for AI models. Especially in the
case of predicting one-day-ahead displacement, it has aided the MobileNet model in improving its
predictive capability by 31.6%, enabling this model to provide more accurate predictions.

(e). The prediction results from the E-2 station consistently outperform those from the SAA station. This
is attributed to the fact that data from the E-2 station has been collected over a longer and more
comprehensive period.

(f). The study results demonstrate that AI models can accurately predict deep-seated displacement, whichcan be implemented in real-world scenarios.

#### 851 Declare of Competing Interest

The authors declare that there are no known conflicts of interest associated with this publication, and there has been no significant financial support for this work that could have influenced its outcome.

### 854 Data Availability Statement

38

- 855 The data codes supporting this study's findings available and source are at 856 https://www.researchgate.net/profile/Jui-Sheng-Chou and from the corresponding author upon reasonable 857 request.
- ....
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- 861 Author contribution
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- 864 processing, coding, and manuscript writing. Kuo-Lung Wang: data preparation, supervision, and
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