Automating tephra fall building damage assessment using deep learning

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In the wake of a volcanic eruption, the rapid assessment of building damage is paramount for effective response and recovery planning. Uninhabited aerial vehicles, UAVs, offer a unique opportunity for assessing damage after a volcanic eruption, with the ability to collect on demand imagery safely and rapidly from multiple perspectives at high resolutions. In this work, we established a UAV-appropriate tephra fall building damage state framework and used it to label ~50,000 building bounding boxes around ~2,000 individual buildings in 2,811 optical images optical images collected during surveys conducted after the 2021 eruption of La Soufrière volcano, St Vincent and the Grenadines. We used this labelled data to train convolutional neural networks (CNNs) for: 1) Building localisation (average precision = 0.728); 2) Damage classification into two levels of granularity: No Damage vs Damage (F1 score = 0.809); and Moderate damage vs Major damage, (F1 score = 0.838) (1 is the maximum obtainable for both metrics). The trained models were incorporated into a pipeline along with all of the necessary image processing steps to generate spatial data (a shapefile with damage state attributes) for rapid tephra fall building damage mapping. Our pipeline is expected to perform well across other volcanic islands in the Caribbean where building types are similar, though would benefit from additional testing. Through cross validation, we found that the UAV look angle had a minor effect on the performance of damage classification models, while for the building localisation model, the performance was affected by both the look angle and the size of the buildings in images. These observations were used to develop a set of recommendations for data collection during future UAV tephra fall building damage surveys. This is the first attempt to automate tephra fall building damage assessment solely using post-event data. We expect that incorporating additional training data from future eruptions will further refine our model and improve its applicability worldwide. All trained models and pipeline code can be downloaded from GitHub to facilitate collaboration and development.
1 Introduction

Tephra fall produced by explosive volcanic eruptions can have detrimental effects on buildings, which in turn affects the ability for a community to recover and rehabilitate. These effects range from surface-level issues such as corrosion of metal roofs (e.g., Rabaul, Papua New Guinea, Blong, 2003a) or damage to non-structural components (e.g., gutters: Ambae, Vanuatu, Jenkins et al., under review) through to complete building collapse (e.g., Pinatubo, Philippines, Spence et al, 1996).

After, or during, an eruption, the collection of empirical data detailing the damage incurred is critical to guiding the planning and implementation of response and recovery efforts. This involves estimation of damages and losses, which are needed to determine the necessary funding for repair or reconstruction; along with an assessment of building functionality, which can inform temporary housing requirements. In addition to its use in post disaster recovery, the collection of damage data are key to the development of fragility functions (Deligne et al., 2022), which relate hazard intensity to damage (e.g., Wilson et al., 2014; Williams et al., 2020; Spence et al., 2021) and can be used to inform resilient construction practises and/or for pre-event impact assessments.

Post-event building damage assessments usually consist of ground surveys, whereby the amount of damage to each building is described using a quantitative or qualitative damage state (e.g., Spence et al., 1996; Blong 2003a; Jenkins et al. 2013; Jenkins et al. 2015; Hayes et al. 2019; Meredith et al. 2022). However, tephra fall damage can extend tens or even hundreds of kilometres away from a volcano (Spence et al. 2005) meaning that comprehensive ground based damage assessments can be both time consuming and costly. Furthermore, the uncertainty that is often associated with the end of an eruption may prevent the safe completion of a ground based damage assessment before tephra is remobilised by winds and rain. This lag between the event itself and the completion of a damage assessment, can hinder recovery efforts and compromise the accuracy of data collected for the development of forecasting models.

Given the need for, but also the challenges associated with, conducting post-event building damage assessments quickly, approaches that use remotely sensed (RS) data, either optical or Synthetic Aperture Radar (SAR) imagery have been developed in volcanology (e.g., Jenkins et
al. 2013; Williams et al. 2020; Lerner et al. 2021; Biass et al. 2021; Meredith et al. 2022), and operationally by emergency management services (e.g., International Charter “Space and Major disasters”, Copernicus Emergency Management Service, ARIA: Advanced Rapid Imaging and Analysis system) (Yun et al., 2015)). The use of optical imagery largely consists of visual inspection, which may be influenced by image resolution and is prone to subjectivity (Novikov et al. 2018). Furthermore, visual inspection of satellite optical imagery can still be time consuming without crowd sourcing (e.g. Ghosh et al. 2011), and is constrained by satellite recurrence intervals and cloud cover. Automated SAR based methods (e.g., Yun et al., 2015) are not limited by cloud cover, but they may lack the resolution required for building level damage assessment (30 m for damage proxy maps generated from Sentinel data using the ARIA system; https://aria-share.jpl.nasa.gov/20210409-LaSoufriere_volcano).

While efforts to automate the assessment of building damage from volcanic hazards are minimal (to our knowledge there has been one study focusing on building damage from volcanic eruptions: Wang et al., 2024), attention has been given to more commonly occurring hazards such as earthquakes and hurricanes, with the development of both mono-temporal (post-event imagery only) and multi-temporal (uses pre- and post-event imagery) approaches (Table 1). Early approaches at automation with optical imagery used image processing methods, often focusing on identifying changes in pixel values between pre- and post-event imagery (e.g., Bruzzone and Fernàndez Prieto 2000; Ishii et al. 2002; Zhang et al. 2003). Image processing methods are susceptible to user biases such as the choice of thresholds that equate to distinct levels of damage severity, or damage states, and may require recalibration when applied to a new dataset. As a result, image processing methods were succeeded by the application of traditional machine learning algorithms that use ‘handcrafted’ image features. These features are observable properties that can be extracted from the image such as shape, colour, texture, and statistical properties of the image (e.g., Li et al. 2015; Anniballe et al. 2018; Lucks et al. 2019; Naito et al. 2020). The success of a given machine learning approach is dependent on the selection of the best features for the job; for example, a texture-based feature might be good for classifying buildings as damaged or not damaged due to an increased number of edges in damaged buildings but less useful for a task such as differentiating cats from dogs where the difference in textures between the classes is less significant. Deep learning, in particular the use of convolutional neural networks (CNNs), removes this need for feature selection. A CNN is a network of layers comprising filters which are small matrices of values.
When an image is passed through the network, at each layer the filters are convolved with the output from the previous layer to create a new representation of the image that is progressively more abstract with depth in the network. This process reduces the image's original spatial dimensions (X and Y) while increasing the number of channels, facilitating classification. During network training the filter values (known as weights) are optimised to reduce the loss between the predicted label for the image and the true label. Through this training a CNN learns the features of the images that are useful for classification. For a detailed background on deep learning see Aggarwal, (2018).

Thus far, deep learning models have been developed for optical image sets for hurricanes (Li et al. 2019; Dung Cao and Choe 2020; Pi et al. 2020; Cheng et al. 2021; Khajwal et al. 2023); earthquakes (Nex et al. 2019; Xu et al. 2019; Duarte et al. 2020; Moradi and Shah-Hosseini 2020); wildfires (Galanis et al. 2021); volcanic hazards (Wang et al., 2024); and models that have been proposed for multiple hazards (e.g., Gupta and Shah 2020; Weber and Kané 2020; Shen et al. 2021; Bouchard et al. 2022) (Table 1). However, building damage caused by different hazards looks very different (e.g., damage caused by vertical loading from volcanic tephra fall vs ground shaking from an earthquake). These observable differences mean that an optical imagery multi-hazard damage classification model that performs consistently well across the different hazards is not yet achievable. Therefore, distinct models tailored for specific hazards are required (Nex et al., 2019, Bouchard et al., 2022). It follows that models may also benefit from being regionalised, given the differences in building typologies (construction material and styles) that can also affect the observable damage (Nex et al., 2019).

Many of the approaches for automating building damage assessment use both pre- and post-event imagery (Table 1), which makes the task more straightforward since any changes to the pre-event imagery can be considered damage. However, pre-event imagery at a high-enough resolution is not always available in post-disaster scenarios. The automated assessment of building damage from volcanic hazards using only post-event optical imagery has not yet been achieved in part due to absence of the large datasets that are needed in order to train models. The 2021 eruption of La Soufrière volcano, St Vincent and the Grenadines, provided an unprecedented opportunity for the collection of high-resolution UAV imagery enabling the development of fully automated models that can assess tephra fall building damage from post-event data only. With their growing ubiquity and low cost, UAVs have become an increasingly
useful tool during and after volcanic eruptions (e.g., Andaru and Rau 2019; Gailler et al. 2021; Román et al. 2022). UAVs offer a distinct advantage over satellite imagery because they can be scheduled at any point, they do not suffer from cloud obscuring the images as they fly at relatively low altitude, and they capture imagery from multiple perspectives, which may lead to increased ability to capture damage information. In this study we used UAV optical imagery collected after the 2021 eruption of La Soufrière volcano for tephra fall building damage assessment; the main contributions of our work are three-fold:

1. We have devised a UAV appropriate building damage state framework, laying the foundation for future tephra fall UAV building damage surveys.

2. We have developed a deep learning pipeline that consists of all trained models and image processing steps to rapidly output building damage maps that can facilitate prompt post-event response and recovery, and enable data collection prior to further changes by natural or human processes (tephra clean-up).

3. Imagery used in this work is diverse in terms of the flight altitude, time of acquisition after the event, and UAV vantage point. We have conducted extensive testing to understand the best practises for building damage surveys and to create a series of recommendations for the collection of future UAV surveys for building damage assessment.

Table 1. A non-exhaustive list of works using deep learning on optical imagery for building damage assessment. Studies use different scores to evaluate performance: F1 scores are in italics, mean average precision scores are underlined, accuracy scores in bold. For all scores, 1 represents a perfect model.
1.1 The 2020-2021 eruption of La Soufrière volcano St Vincent

La Soufrière St Vincent is an active stratovolcano standing at 1220 meters above sea level on the island of St Vincent. On 27th December 2020 a thermal anomaly was detected inside the summit crater by the NASA Fire Information for Resource Management System (FIRMS). This was confirmed by the Soufrière Monitoring Unit to be caused by a new dome growing within the crater. Dome growth continued for three months until 9 April 2021, when, following two days of heightened seismic activity and lava effusion rate, the ongoing effusive eruption of La Soufrière entered an explosive phase (Joseph et al. 2022). Between 9 – 22 April, a total of 32 distinct explosions occurred, with the tallest plumes reaching heights of up to 15 kilometers above the vent (Joseph et al. 2022). Throughout this explosive phase, tephra blanketed the island, resulting in a total deposition thickness of up to 16 centimeters in coastal communities to the north of the island (Cole et al. 2023) (Figure 1).

The explosive phase was anticipated, and an evacuation order was issued on 8 April 2021 for the ~16,000 residents in the northern part of the island (Joseph et al. 2022). As a result, there were no reported fatalities directly attributable to the eruption, nevertheless, the overall damage to infrastructure services and physical assets were estimated at XCD 416.07 million (equivalent to USD 153.29 million) (PDNA, 2022). Approximately 63% of this monetary impact was borne by the housing sector. In St. Vincent, residential buildings are typically single-story, detached structures, with the majority in the more impacted north of the island (census districts of Chateaubelair, Georgetown, and Sandy Bay: Figure 1) constructed using concrete...
and blocks (84% in Chateaubelair, 74% in Georgetown, 50% in Sandy Bay), with sheet metal roofs (90-92% of all buildings in these areas) (SVG population and housing census, 2012).

Figure 1. The island of St Vincent with UAV survey locations included in this work labelled and marked in black. Tephra isopachs (Cole et al., 2023) mark lines of constant total tephra thickness. Census districts referred to in the text are: a) Chateaubelair, b) Sandy Bay and c) Georgetown. Building footprints are marked in pink, data source: © OpenStreetMap contributors 2024. Distributed under the Open Data Commons Open Database License (ODbL) v1.0. Coordinate reference system: WGS 84 (EPSG:4326).

2 Method

After the 2021 eruption of La Soufrière three UAV optical imagery datasets were collected to assess the extent of the damage. These were collected by different parties at separate times after the eruption. All UAV survey locations are shown in Figure 1, and representative examples of images can be found Section S1 of the supplementary material.
2.1 Dataset description

Dataset 1: April-May 2021 (UWI-TV)

Collected by UWI-TV at the request of The UWI Seismic Research Centre (SRC), this dataset consists of video footage for Chateaubelair, Fitz Hughes, Troumaca, and Sandy Bay acquired with a frame rate of 30 frames per second (fps) and a resolution of 1920 x 1080 pixels. Flight paths were not programmed, and the vantage point varies between at nadir (directly above buildings) and very off-nadir (showing the sides of buildings). Images do not contain GPS positioning or altitudes.

Dataset 2: 12th – 14th May 2021 (GOV)

Collected by the Government of St Vincent and the Grenadines Ministry of Transport, Works, Lands and Surveys, and Physical Planning for the purpose of assessing the eruption impact. This dataset consists of video footage for Chateaubelair, London, Richmond and Sandy Bay acquired with a frame rate of 30 fps and a resolution of 1920 x 1080 pixels. Buildings are imaged at a nadir to off nadir vantage point with an altitude of ~ 200 m (above the ground). Buildings are lower resolution in this dataset when compared to the other two. Images contain GPS positioning and altitudes.

Dataset 3: August -September 2021 (SRC)

This is the most extensive dataset, collected by SRC for the purpose of assessing eruption impact. It consists of photos and videos for Belmont, Chateaubelair, Fancy, London (video only), Orange Hill (video only), Owia, Point, Rabacca (video only), Richmond, Sandy Bay, Tourama, Videos were acquired with a frame rate of 30 fps and have a resolution of 1920 x 1080 pixels, while photos are 4056 x 3040 pixels. Flight paths were programmed to follow a linear swath like trajectory. Buildings are captured from nadir between 55-290 m above the ground. Images contain GPS positioning and altitudes.

For all three datasets, image frames were extracted from the videos every two seconds, an interval chosen to reduce redundant homogeneous images, this resulted in a total of 7,956 image frames. Due to the UAV surveying approach (i.e., hovering in one place for a while) many near-identical images were generated. To avoid potentially biasing the training towards overrepresented buildings we manually filtered out duplicate images. After filtering, and the removal of images with no buildings present the full combined dataset consisted of 2,811 image
frames. We labelled all images by drawing bounding boxes around each building present and storing the bounding box positions. In total 49,173 building bounding boxes were drawn around ~2,000 individual buildings (with some buildings being present in multiple images). Given the absence of detailed building inventory information, this number was approximated by overlaying Open Street Map building footprints with UAV GPS tracks. Bounding boxes were drawn by a team of five including the lead author, and all boxes were checked by the lead author. Each box was then assigned one of three damage states, which are described below. For consistency the damage states were assigned by the lead author. All labelling, modeling, and analysis were conducted using MATLAB 2023b.

2.2 Developing and applying a building damage state framework

Ground based damage state frameworks for tephra fall have previously split damage into five damage states, plus one not damaged, based on damage to three critical aspects of a building: the roof covering, the roof structure, and the vertical structure (Spence et al., 1996; Blong 2003b; Hayes et al. 2019; Jenkins et al., under review). Remote damage assessments are often less able to resolve the detailed resolution achievable on the ground, and so a coarser resolution damage state framework is needed. In our study, most images depict buildings from an at nadir or close to nadir perspective making roof damage more discernible than damage to the vertical structure. Thus, we generated a damage state framework that is based on the proportion of observable damage to the roof, as in the work of Williams et al. (2020). Our final framework, which was developed over several iterations, classifies building damage into three classes: No observable or minor damage, Moderate damage, and Major damage (Table 2). Damage states are deliberately generic so that the range of possible damage to the range of different building types can be captured (Blong, 2003a). We included minor damage in the No damage class since the difference between the two can be subtle and not easily discernable through remote assessment. Furthermore, buildings with minor damage are typically habitable and unlikely to require costly repairs; therefore from a response and recovery perspective, we considered them better grouped with undamaged buildings.

In some images tarpaulins can be seen partially or fully covering roofs (~30 buildings). These were potentially placed to cover damage that occurred during the eruption, including corrosion due to prolonged presence of tephra on metal roofs or holes generated by nails lifted out
through sub-optimal cleaning approaches (VM personal communication). Alternatively, tarpaulins may have been placed as a preventative measure to help shed tephra (e.g., Ambae Vanuatu, Jenkins et al., under review). Erring on the conservative side, we considered buildings with a tarpaulin to be damaged; we assessed the severity of the damage for each building based on the level of visible deformation. We assigned buildings with a tarpaulin and no visible deformation to the moderately damaged class and those with a tarpaulin and visible deformation to the major damage class.

Table 2. The damage assessment framework developed for our UAV optical imagery dataset

<table>
<thead>
<tr>
<th>Damage Level</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>No damage to minor damage</td>
<td>- No visible damage/or - Up to 10% of the roof covering missing; and/or - No roof or structural collapse; and/or - Visible damage to non-structural elements e.g., gutters or decorative elements (fascia).</td>
</tr>
<tr>
<td>Moderate damage</td>
<td>- Up to 50% roof area damaged (evidence of bending) or collapsed; may include light damage to vertical structure (e.g. wooden slats above windows broken).</td>
</tr>
<tr>
<td>Major damage</td>
<td>- More than 50% roof area damaged or collapsed; may include damage to the vertical structure including total building collapse.</td>
</tr>
</tbody>
</table>

2.3 Model development

After labelling, we split the full combined image dataset (2,811 frames from the UWI-TV, GOV and SRC sets) into train/validation/test sets (Figure 2). Given that a sizable proportion of the data were not geotagged, images from each location were kept together to assure the train and test sets were independent. The partitioning was chosen to include diversity in both the image sets (UWI-TV/GOV/SRC) and in the location, which affects the thickness of tephra fall received. We aimed for a standard data split of 80/10/10, with the majority of data assigned for training, however given the above constraints, this produced a split of 80% train, 8% validation, and
12% test (considering the number of bounding boxes and not the number of images). These data were used to develop our approach for building damage assessment. In line with the work of previous authors (Cheng et al. 2021; Bouchard et al. 2022), we split the building damage assessment task into two subtasks, training and evaluating models for building localisation, which consists of identifying building bounding boxes within the images and building damage classification separately. We chose to further divide the task of building damage classification into two separate classifications based on preliminary analysis.

In deep learning, the performance of a model and its optimal hyperparameters can be highly dependent on the characteristics of the dataset used for training, and hyperparameters that work well for one dataset may not work well for another. Therefore, it's common practice to experiment with different hyperparameter settings, model architectures and training strategies to find the configuration that performs the best for a particular problem. For each task in our damage assessment approach (localisation, classification 1, classification 2) we conducted a series of experiments using different image preprocessing approaches, CNN architectures, and combinations of hyperparameters with the aim of iterating towards the best experimental setup (Model selection: Section 3.1.1; Section 3.2.1).

Once we identified the best performing experimental setup for each task (building localisation, classification 1, classification 2), we combined the training and validation datasets and conducted K-fold cross-validation using the experimental setup and optimal hyperparameters that were identified (Cross validation: Section 3.1.3, Section 3.2.2). To test the robustness to location, we trained models on 9 out of the 10 locations present in the combined training and validation sets and evaluated each model's performance on the remaining location. To test the robustness to the dataset, we trained models and evaluated the performance for each of the three locations that have data from more than one dataset (e.g., Chateaubelair-GOV, Chateaubelair-UWI-TV, Chateaubelair-SRC) separately.

Following model selection and cross validation we calculated the final performance of the best model identified for each task (building localisation, classification 1, classification 2) on the test set. Finally, to see if better performance could be achieved with more data available for training, we retrained the models on the combined training and validation data before evaluating on the test data (Evaluation on the test set: Section 3.1.4, Section 3.2.3). All stages of model
development, including model selection, cross validation, and final evaluation, are shown in Figure 3 and more information about the specific experiments conducted for model selection is given in Section S2 of the supplementary material.

In a post-disaster context, the seamless functioning of models will benefit from a sequential workflow. Beyond the creation of distinct models for each task, we designed a comprehensive pipeline that executes all optimized final models and the required processing steps to guide images through the models (Figure 3d). The pipeline runs on an orthomosaic image and generates spatial data in shapefile format that can readily be plotted in a GIS. In the following sections we provide more detail on the algorithms and architectures used for each of the tasks, and how the performance of each task was evaluated.

Figure 2. The number of bounding boxes of each damage state in each UAV imagery dataset (UWI-TV, GOV, SRC) for each of the locations in this study. Imagery was divided into three groups: training, validation, and testing. The division of datasets between the three groups was chosen to incorporate diversity in the image sets (UWI-TV/GOV/SRC), whilst keeping images from the same location together and maintaining an approximate split of 80% training/10% validation/10% testing.
Figure 3. A schematic showing the full methodology for a) developing a model for building localisation, b) developing a sieve network, which acts as an add on to the building localisation model, c) developing a model for building damage classification and d) the building damage assessment pipeline developed in this work. The pipeline incorporates the final trained models for building localisation and two stages of building damage classification along with all the necessary processing steps to link the models. Dataset locations referred to are: Bl – Belmont, Ch – Chateaubelair, Fc – Fancy, Ftz – Fitz Hughes, Ldn – London, OH – Orange Hill, Ow – Owia, Pt – Point, Rb – Rabacca, Rc – Richmond, SB – Sandy Bay, Tr – Tourama, Tm- Troumaca.

2.3.1 Building localisation

For building localisation, we conducted experiments using the cutting edge two-stage object detector Faster R-CNN (Ren et al. 2017). Faster R-CNN is an improvement on the Fast R-CNN algorithm proposed by Girshick, (2015). The improvement comprises an initial region proposal network (RPN) which speeds up performance. Initially, image feature maps are extracted by passing the input image through a pretrained backbone CNN. The RPN then utilizes these features to generate proposals for potential object-containing areas, this is achieved by tiling a set of anchor boxes of assorted sizes across the extracted feature maps. The resulting region proposals are subsequently processed by the Fast R-CNN module, which includes a classifier that is used to determine the probability of the proposal containing an object, and a regressor that is used for adjusting the proposal box positions. When applied to a test image containing the relevant objects, Faster R-CNN outputs the positions within the image (X, Y, width, and height in pixels) of bounding boxes containing the object, and a confidence score for each box. As per customary practice (Zou et al. 2019) we used a confidence of > 0.5 meaning that only boxes with confidence greater than this are output.

For object detection, to reduce model training and inference time, full sized images were split into image patches. Experiments conducted as part of building localisation model selection included variations in the size of these patches and the amount of overlap between patches. We also experimented with the development of separate models for images captured with different viewing angles, training for only the SRC portion of the dataset (images mostly at nadir) and the combined UWI-TV-GOV portion (images mostly off-nadir). A total of 34 experiments were conducted to find the best experimental setup for building localisation.
2.3.2 Developing a sieve network

To improve the performance of the building localisation model we developed a small sieve network that runs as an add on to the Faster R-CNN building detector. Bounding boxes produced by the detector are passed to the sieve network to filter out detections that are false positives (i.e., detect a building when there is not one). To develop the dataset used for training and evaluating the sieve network we randomly cropped background samples from full sized images in the training and validation sets. Samples were cropped from each of the datasets, and samples containing buildings were removed until 100 no-building samples were achieved for each dataset. These samples were supplemented with an additional 10% targeted image samples on the observation that trained detectors were mistakenly detecting cars and boats.

For the building dataset we stochastically sampled the equivalent number (n=990 train, 660 validation) from the building images. Experiments for the sieve network were conducted using two different CNN architectures (ResNet50 and GoogleNet). A total of five experiments were conducted.

2.3.3 Building damage classification

For building damage classification, we conducted experiments separately for classifiers 1 and 2. Experiments consisted of fine-tuning two different pretrained CNNs to determine which was better and should be used in the final models for each classifier: ResNet50 (He et al., 2015) trained on the ImageNet dataset (Deng et al. 2009), and GoogleNet (Szegedy et al., 2015) trained on the places365 dataset (López-Cifuentes et al., 2019). Fine-tuning is a common approach to computer vision tasks where sufficiently large, labelled datasets are not available for the task at hand (typically hundreds of thousands of images are needed: Aggarwal, 2015). During fine-tuning, the high-level features that were learnt during the initial training on the large dataset can be leveraged for the new task. In addition to the different pretrained CNNs used, experiments also considered different ways of balancing the number of images for each damage state class (over-sampling the minority class, under-sampling the majority class and no balancing). When applied to a test building image, the trained classifier outputs the highest probability class and the associated probability. A total of 15 experiments were conducted for each of the classification tasks.
2.3.4 Model evaluation metrics

For building localisation Faster R-CNN experiments, we evaluated performance using the average precision (AP) at an intersection over union (IoU) threshold of 0.5, and the F1 score. The AP is the most frequently used measure of an object detector’s performance (Zou et al., 2019), and is calculated based on the number of times the detector gets it right (a true positive, TP) or wrong (a false positive, FP or a false negative, FN). A true positive occurs when the detector predicts a box that has an IoU with a labelled box of >0.5. A false positive occurs when the detector predicts a bounding box that does not have an overlapping labelled box, while a false negative occurs where the detector fails to predict a box that is present in the labelled data. The relative proportions of these are used to calculate the precision and recall, where precision is the number of things that were predicted as positive that were correct: Precision = TP/(TP+FP), and recall is the number of things that are truly positive that were identified: Recall = TP/(TP+FN). When a detector is run on a test image a confidence score is output for each predicted box (0-1). Once the trained detector has been run over the full test set, the precision and recall are calculated at different confidence score thresholds which can be plotted against one another to form a curve. The AP is the area underneath this precision-recall curve; it depicts the tradeoff between precision and recall and provides an overall measure of detection performance. AP values range between 0-1, where a higher value indicates a better performance.

For building localisation, the F1 score was calculated at IoU and confidence thresholds of 0.5. The F1 score is calculated as: F1 = 2x(Precision x Recall)/(Precision + Recall). To evaluate the performance of classification models, we use the macro-F1 score, this is the unweighted mean of the F1 scores calculated for each of the classes. Similarly, to the AP, values of the F1 score range between 0-1, where a higher value indicates a better performance.

3 Results
3.1 Building localisation
3.1.1 Model selection

The top five experiments (highest average precision) conducted for building localisation are shown in Table 3, with the full list of experiments provided in Table S2 of the supplementary material. Average precisions across the 34 experiments ranged from 0.295 to 0.701 (Table 3...
and Table S2). We found that block size played an important role in model performance; out of the 34 experiments conducted, the top three used a block size of 550 x 550 pixels, which was the middle of the sizes tested (450, 550, 650). We observed that models trained on the full dataset performed better than models trained separately for the nadir (SRC) and off-nadir imagery sets (UWI-TV and GOV sets combined) (Table 3 and Table S2). More details on the results of experiments run for building localisation model selection can be found in Section S2.1 of the supplementary material.

Table 3. The five highest scoring (average precision) experiments conducted for building localisation, ordered by average precision. The full table consisting of all 34 experiments is provided in the supplementary material.

<table>
<thead>
<tr>
<th>Row id</th>
<th>Block size</th>
<th>Mixed block size?</th>
<th>Block overlap</th>
<th>Block resized?</th>
<th>Pretrained on best classifier?</th>
<th>Remove boxes &lt; 32 x 32?</th>
<th>All training/ UWI-TV&amp;GOV/ SRC</th>
<th>Max Average Precision</th>
<th>F1 score</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>550</td>
<td>N</td>
<td>50%</td>
<td>Y</td>
<td>N</td>
<td>Y</td>
<td>all</td>
<td>0.701</td>
<td>0.669</td>
</tr>
<tr>
<td>2</td>
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<td>N</td>
<td>20%</td>
<td>Y</td>
<td>N</td>
<td>Y</td>
<td>all</td>
<td>0.700</td>
<td>0.668</td>
</tr>
<tr>
<td>3</td>
<td>550</td>
<td>N</td>
<td>20%</td>
<td>Y</td>
<td>Y</td>
<td>Y</td>
<td>all</td>
<td>0.700</td>
<td>0.642</td>
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<td>N</td>
<td>50%</td>
<td>Y</td>
<td>N</td>
<td>Y</td>
<td>all</td>
<td>0.691</td>
<td>0.654</td>
</tr>
<tr>
<td>5</td>
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<td>N</td>
<td>20%</td>
<td>Y</td>
<td>N</td>
<td>Y</td>
<td>all</td>
<td>0.678</td>
<td>0.670</td>
</tr>
</tbody>
</table>

3.1.2 Sieve Network

All trained sieve networks achieved macro and class F1 scores that were > 0.973 (Table 4). The best performing sieve network experiment achieved a macro F1 score of 0.977. The best detector identified through model selection (Table 3, row 1) achieved an F1 score of 0.669 (Table 6), with a precision and recall of 0.588 and 0.776, respectively, on the validation data. The lower value of precision is due to the substantial number of false positive detections. After the results of the detector were passed through the sieve network, the number of false positives was reduced, with an improved F1 score of 0.712 (Table 6).

Table 4. Experiments conducted for the sieve network, a small network that runs on the boxes produced by the object detector. Results are ordered from high to low by the Macro F1 score. ResNet50 and GoogleNet refers to the convolutional neural network architecture used in the
experiment; the value after the underscore reflects the experiment ID where different IDs have different training parameters (see Section S2 of the supplementary material).

<table>
<thead>
<tr>
<th>Experiment ID</th>
<th>F1 building</th>
<th>F1 background</th>
<th>F1 macro</th>
</tr>
</thead>
<tbody>
<tr>
<td>ResNet50_4</td>
<td>0.978</td>
<td>0.977</td>
<td>0.977</td>
</tr>
<tr>
<td>ResNet50_3</td>
<td>0.977</td>
<td>0.976</td>
<td>0.977</td>
</tr>
<tr>
<td>ResNet50_1</td>
<td>0.975</td>
<td>0.975</td>
<td>0.975</td>
</tr>
<tr>
<td>ResNet50_2</td>
<td>0.976</td>
<td>0.974</td>
<td>0.975</td>
</tr>
<tr>
<td>GoogNet_1</td>
<td>0.973</td>
<td>0.973</td>
<td>0.973</td>
</tr>
</tbody>
</table>

3.1.3 Cross validation

Cross validation was conducted for the single best performing building localisation model (without the sieve network) to understand how the choice of training and validation data affects performance, along with the potential for the model to generalize to a new dataset. We found that the performance of the selected object detector varies, depending upon the location (Figure 4a) or imagery dataset (Figure 4b) used for testing. For models tested on different locations (Figure 4a) average precisions > 0.7 were obtained for Point and Fancy in line with AP achieved on the full validation set (0.701). The lowest AP values were for London (0.063) and Fitz Hughes (0.187). The standard deviation (SD) (Figure 4) shows the variability in performance between the three replicates that were trained for each test, which arises due to the stochastic nature of the training process. For models tested on the different imagery datasets individually the AP was low (Figure 4b), with a mean value across all datasets of < 0.2. For all three locations (Chateaubelair, Sandy Bay, London), AP for models evaluated on the SRC dataset were higher than for the UWI-TV or GOV datasets.
Figure 4. Cross validation of the best experimental setup for building localisation models which are trained to predict building box positions within the image. a) The effect of changing the location used as the test set on detector average precision (AP) and b) the effect of changing the imagery dataset (UWI-TV/GOV/SRC) used as the test set on AP. For b) cross validation of the imagery dataset, models are trained on all data from that location excluding the location used for testing as indicated by the bar. For London there is data from the GOV dataset, however the number of images in the SRC dataset is insufficient for training, so no bar is shown for GOV. The AP shown is the mean value from three trained models with the same setup while the error bars show the standard deviation. Black dashed lines show the mean AP value across all cross validation trained models, red dashed lines show the best AP from the experiments (0.701: Table 3).

3.1.4 Evaluation on the test set

Evaluation of the best detection model on the test set, which consists of completely unseen data from Owia, Richmond and Troumaca (Figure 2) produced an AP value that is the same as the value on the validation data (0.701) (Table 3). Retraining the best experimental setup for the detector using the combined training and validation data caused the AP when applied to the test data to increase to 0.751 prior to sieving and 0.728 after sieving. Comparing the precision and recall of the retrained detector and the retrained detector + sieve network shows that while the AP is higher for the retrained detector without the sieve, the addition of the sieve network creates a better balance between the precision and recall, reflected in a higher F1 score. We therefore selected the retrained detector + sieve network as the final building localisation model (Table 6).

3.2 Building damage classification

3.2.1 Model selection

The top five experiments (highest macro F1 score) conducted for building damage classification are shown in Table 5, with the full lists provided in Tables S3 and S4 of the supplementary material. Macro F1 scores ranged from 0.753 to 0.836 and 0.776 to 0.810 for classifier 1 and 2 respectively (Tables 5, S3, S4). The best performing models for both classifiers used the ResNet50 architecture rather than GoogleNet with an unbalanced dataset. For Classifier 1 the
best model had F1 = 0.962 for the Not Damaged class and F1 = 0.710 for the Damaged class.
While for Classifier 2 the Moderate damage class had F1 = 0.770 and Major damage F1 = 0.851.

Table 5. The top five experiments conducted for each of the building damage classifiers, ordered by the macro F1 score. The full list consisting of all 15 experiments for each classifier is provided in Tables S3 and S4 of the supplementary material.

<table>
<thead>
<tr>
<th>Classifier 1</th>
<th>Row ID</th>
<th>Architecture</th>
<th>Class balancing: Not Balanced/under-sampled/over-sampled</th>
<th>Dropout</th>
<th>F1 Not Damaged</th>
<th>F1 Damaged</th>
<th>F1 Macro</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>1</td>
<td>Resnet50</td>
<td>not</td>
<td>0.4</td>
<td>0.962</td>
<td>0.710</td>
<td>0.836</td>
</tr>
<tr>
<td>2</td>
<td>2</td>
<td>Resnet50</td>
<td>not</td>
<td>0</td>
<td>0.960</td>
<td>0.696</td>
<td>0.828</td>
</tr>
<tr>
<td>3</td>
<td>3</td>
<td>Resnet50</td>
<td>not</td>
<td>0.6</td>
<td>0.957</td>
<td>0.699</td>
<td>0.828</td>
</tr>
<tr>
<td>4</td>
<td>4</td>
<td>Resnet50</td>
<td>not</td>
<td>0.2</td>
<td>0.962</td>
<td>0.692</td>
<td>0.827</td>
</tr>
<tr>
<td>5</td>
<td>5</td>
<td>Resnet50</td>
<td>under</td>
<td>0</td>
<td>0.951</td>
<td>0.646</td>
<td>0.799</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Classifier 2</th>
<th>Row ID</th>
<th>Architecture</th>
<th>Class balancing: Not Balanced/under-sampled/over-sampled</th>
<th>Dropout</th>
<th>F1 Mod damage</th>
<th>F1 Maj damage</th>
<th>F1 Macro</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>1</td>
<td>Resnet50</td>
<td>not</td>
<td>0</td>
<td>0.770</td>
<td>0.851</td>
<td>0.810</td>
</tr>
<tr>
<td>2</td>
<td>2</td>
<td>GoogleNet</td>
<td>over</td>
<td>0</td>
<td>0.737</td>
<td>0.848</td>
<td>0.793</td>
</tr>
<tr>
<td>3</td>
<td>3</td>
<td>Resnet50</td>
<td>over</td>
<td>0</td>
<td>0.749</td>
<td>0.835</td>
<td>0.792</td>
</tr>
<tr>
<td>4</td>
<td>4</td>
<td>Resnet50</td>
<td>not</td>
<td>0.4</td>
<td>0.749</td>
<td>0.835</td>
<td>0.792</td>
</tr>
<tr>
<td>5</td>
<td>5</td>
<td>Resnet50</td>
<td>under</td>
<td>0.6</td>
<td>0.735</td>
<td>0.845</td>
<td>0.790</td>
</tr>
</tbody>
</table>

3.2.2 Cross validation

Cross validation was conducted for both of the single best performing models for Classifiers 1 and 2 identified through model selection. As was the case for the best building localisation model, this was done to understand how the choice of training and validation datasets affected model performance and to understand the potential for our model to generalize to a new dataset.
Figure 5. Cross validation for Classifiers 1 and 2. For rows 1 and 3 the best experimental setup was retrained on all the data from locations in the combined training and validation data and evaluated on the location shown. For rows 2 and 4 the best experimental setup was retrained on all the data from the location shown and evaluated on each dataset (UWI-TV/GOV/SRC) separately. Each training was conducted three times, the value plotted is the mean, and the error bars show the standard deviation. Black dashed lines show the mean F1 score across all cross
validation trained models, red dashed lines show the best F1 score for each class from the experiments (Table 5).

Figure 5 shows that the performance of Classifier 1 for the Not damaged class is consistent across the distinct locations and datasets used for testing with mean F1 scores between 0.913-0.983 for locations and 0.898-0.976 for datasets. For the Damaged class there is more variety in the performance the choice of location and dataset used for evaluation. The mean F1 scores for the separate locations range from 0.588 (Fitz Hughes) to 0.779 (Tourama) while for the different datasets the range is 0.393 (London-SRC) to 0.745 (Sandy Bay-SRC).

For Classifier 2, the Moderate damage class is more sensitive to the choice of location used for the validation than the Major damage class (Figure 5). For the Moderate damage class, the mean F1 score ranged from 0.583-0.974. Similarly to Classifier 1, Fitz Hughes produced the lowest mean F1 score, whereas the highest score was produced for Orange Hill. For the Major damage class F1 scores for the distinct locations are between 0.728-0.933. For Classifier 2 the sensitivity to the choice of dataset (UWI-TV/GOV/SRC) for the Moderate damage class is greater than for the Major damage class. For Moderate damage, the range is between 0.522-0.746, while for Major damage the range is from 0.711-0.867.

3.2.3 Evaluation on the test set

Evaluation of the single best models for classification 1 and classification 2 on the unseen test set produced Macro F1 scores that were comparable with the scores for the validation set: 0.829 for Classifier 1 and 0.791 for Classifier 2 (Table 6). For Classifier 2, retraining the model on the combined training and testing data increased the Macro F1 score from 0.791 to 0.838. Whereas for Classifier 1 retraining produced a slightly lower Macro F1 score (0.809 compared to 0.829). Nevertheless, the retrained model for Classifier 1 achieved a higher recall on the damaged class than the non-retrained model. In an operational setting it’s desirable to correctly classify as many of the damaged buildings as possible, since in our pipeline these will be passed onto Classifier 2, therefore we took the retrained models for both classifiers as the final models. The confusion matrices for both final models are plotted in Figure 6, these show class accuracy i.e., how many of the true class were correctly classified. For Classifier 1 89% of the Not damaged buildings were correctly classified, and 73% of the Damaged buildings were correctly
For Classifier 2, 81% of the moderately damaged buildings were correctly classified, while 87% of the buildings with major damage were correctly classified.

Table 6. Comparison of the best model’s performance when evaluated on the validation and the test sets. AP is average precision, P is precision, and R is recall. * Retrain models are trained on the combined training and validation sets. Results for the final models that are used in the damage assessment pipeline are in bold.

<table>
<thead>
<tr>
<th></th>
<th>Validation set</th>
<th>Test set</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>AP</td>
<td>P</td>
</tr>
<tr>
<td>Detector (0.5 conf)</td>
<td>0.701</td>
<td>0.588</td>
</tr>
<tr>
<td>Detector + Sieve (0.5 conf)</td>
<td>0.681</td>
<td>0.695</td>
</tr>
<tr>
<td>Detector retrain</td>
<td>0.751</td>
<td>0.642</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th></th>
<th>Not damaged</th>
<th>Damaged</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>F1</td>
<td></td>
</tr>
<tr>
<td>Classifier 1</td>
<td>0.950</td>
<td>0.976</td>
</tr>
<tr>
<td>Classifier 1 retrain</td>
<td>0.962</td>
<td>0.793</td>
</tr>
<tr>
<td>Mod Damage</td>
<td>0.891</td>
<td>0.940</td>
</tr>
<tr>
<td>Maj Damage</td>
<td>0.894</td>
<td>0.896</td>
</tr>
<tr>
<td>Classifier 2</td>
<td>0.769</td>
<td>0.660</td>
</tr>
<tr>
<td>Classifier 2 retrain</td>
<td>0.770</td>
<td>0.852</td>
</tr>
</tbody>
</table>
Figure 6. Confusion matrices for the final models for Classifiers 1 and 2 (Classifier 1 retrain and Classifier 2 retrain; Table 6) evaluated on the test dataset. Confusion matrices show the proportions of each class that are classified correctly. Horizontal values sum to 100%.

4 Example application of the full damage assessment pipeline

In this work we have developed separate models for building localisation and two stages of damage classification. However, in an operational context models need to work sequentially, this led to the development of our damage assessment pipeline (outlined in Figure 3d). The pipeline operates on an orthomosaic image, which can easily be generated using software such as Agisoft Metashape, OpenDroneMap or Pix4D. The pipeline outputs a shape file, with the following attributes for each building that is detected: detection (box confidence score), \textit{ClassPred}\_1 (output class from classifier 1, damaged or not damaged), \textit{ClassProb}\_1 (the probability of that class), \textit{ClassPred}\_2 (output class from classifier 2, moderate damage or major damage, this is only run if classifier 1 outputs damage), \textit{ClassProb}\_2 (the probability of the class output by classifier 2). Figure 7 shows an example tephra fall building damage map produced by running the pipeline on an orthomosaic image generated using Agisoft Metashape software and plotting both the orthomosaic and output shapefile in QGIS. The example which consists of 417 buildings took 1 hour to run on a standard 16GB RAM 2021 MacBook Pro, with an M1 Pro chip. Most of the inference time was attributed to the building localisation module in the pipeline, which may be bypassed if building footprints are already available. When only the classifiers were run the time taken to run was reduced to < 5 mins.
Figure 7. Application of the full tephra fall building damage assessment pipeline on the orthomosaic image for Owia. Coordinate reference system: WGS 84 (EPSG:4326). Satellite basemap © Google Maps 2024.

5 Discussion

In this work we have developed models for building localisation, and two levels of damage classification for building damage resulting from tephra fall. Our final models demonstrate strong performance for both building localisation (AP = 0.728; F1 = 0.744) and building damage classification (Classifier 1, F1 = 0.809, Classifier 2, F1 = 0.838). Despite using post-event imagery only, which makes the task more challenging than approaches that use both pre- and post-event imagery, our results are comparable to existing optical imagery building damage assessments developed for various hazards that use both mono-temporal and multitemporal images (Table 1).
5.1 Building localisation

Through running our building localisation experiments we found that the pre-processing of images before detector training (particularly the block size) significantly influenced detector performance. Cross-validation results demonstrated variability in average precision (AP) for models trained on different locations and imagery datasets (UWI-TV/GOV/SRC) (Section 3.1.3; Figure 4). Deep learning models are known to perform well when data come from the same distribution, though have more difficulty when working with out of distribution samples. Given the relatively consistent building typology across locations (the majority of buildings observed are detached single storey buildings with either a gable or hip shaped metal sheet roof; a lesser proportion have flat concrete roofs), the differences in AP are likely due to observable variations in UAV altitude, off-nadir angles, tephra thicknesses, and varying training sample sizes.

The London images (from SRC and GOV datasets) and Fitz Hughes images (UWI-TV) exhibited the lowest average precisions (Figure 4). Both London datasets featured smaller buildings than the rest of the locations, evident in Section S3 of the supplementary material, while the UWI-TV images had more tephra on the ground, which affects background colour and, viewed buildings from an off-nadir perspective. The training data, predominantly nadir images from the SRC dataset, had fewer UWI-TV examples which are off-nadir and, collected more closely in time after the eruption, meaning more tephra was present in images. This under representation in the training data may have impacted the model’s ability to recognize such instances in the test data. The application of sampling approaches like those used for the damage states in the classification model development (over or under sampling) could have been applied to balance the data, however the SRC dataset is much larger than either of the UWI-TV and GOV sets (Figure 2), therefore we did not use this approach as we considered that oversampling would introduce significant bias towards the specific examples in the under-represented dataset, whereas through under sampling we would lose a large amount of the data that are available to learn from. Future work might consider the application of generative AI algorithms such as generative adversarial networks (GANs) to expand the dataset (e.g., Yi et al. 2018; Yorioka et al., 2020), although more work needs to be done to quantify the diversity in the generated data.

The variability in cross-validation results for the building localisation model (Section 3.1.3) likely comes from a combination of the above factors (differences in UAV altitude, off-nadir...
angles, tephra thickness, and varying training sample sizes), and suggests that there was insufficient information in the training data for our detection models to perform well across the range of characteristics present. However, this requires further investigation to separate the unique effect of each aspect.

5.2 Building damage classification

The final classification models achieved better performance than the final localisation model with macro F1 scores of 0.809 and 0.838 on the test data (Table 6). Cross-validation showed that classification models were less sensitive than the localisation model to the choice of datasets used for training and evaluation (Section 3.2.2). We found that class wise our models performed better on the not damaged class followed by the major damage class. This is in agreement with other multi-class studies that have found the extremities of the damage state scheme used to be easier to classify than the intermediate ones (Kerle et al. 2019).

5.3 Application of the pipeline

Our pipeline consists of separate models for localisation and building damage classification. One of the benefits of this is that in locations where precise building location information is available for the assessment area, the localisation step can be bypassed and only the classifiers run. This not only enhances overall performance but also significantly reduces computation time. Furthermore, either of the classifiers can be run independently and/or combined with other damage assessment procedures; for example, an initial synthetic aperture radar (SAR) based assessment (e.g., Yun et al. 2015, Jung et al., 2016), could be followed with our classifier 2 to provide additional granularity on the severity of the damage at a building level rather than a pixel level.

5.4 Generalisability to other locations

Our models have performed well for images collected on the island of St Vincent where building typologies are relatively consistent. We therefore expect that our models will perform well in other locations with similar building types, such as the other islands in the Lesser Antilles. This hypothesis should be validated through further testing. In absence of additional UAV datasets that include damaged buildings, testing can be done by conducting pre-event surveys to test the
performance of the building localisation model and classifier 1 for the Not damaged class. While
this is unable to assess the ability of our approach to classify damage, it would provide some
indication of performance following an event in a new location.

To develop a model that is robust to the diverse building types found across the world
necessitates assembling diverse datasets showcasing potential variations in building types and
the associated tephra fall damage. To our knowledge the UAV datasets described in this work
are the first of their kind. However the increasing utilisation of UAVs during and after volcanic
events suggests the possibility of the emergence of more datasets in the years to come. Our
model represents a crucial initial step towards the operational implementation of this approach
globally. The compilation of global tephra fall building damage UAV datasets will facilitate the
ongoing refinement of building damage assessment approaches, including the one presented
here. In pursuit of this objective, our models stand ready for retraining as more data becomes
available. While our approach leverages images captured under a spectrum of flight conditions
(off-nadir angle, altitude, flight trajectory), our investigation has pinpointed specific conditions
that are best suited for capturing building damage, which are detailed in Section 6.

5.5 Improving model performance and future perspectives

The advantages of acquiring additional UAV datasets both before and after an event have been
outlined in Section 5.3. In addition to this, pre-event surveys may be particularly beneficial in
constructing building inventories, which include details about building typologies such as
construction materials and styles. Surveys can be interrogated manually to extract building
attributes or using machine learning methods such as the work of Meng et al., (2023). Prior to
an eruption, given knowledge about the building typologies, an idea about how the buildings
will respond under certain tephra loadings (i.e., the forecasted damage state) can be obtained
through the application of fragility functions. This information could enhance our model by
serving as prior information. The forecasted damage state could be subsequently refined
through Bayesian updating based on our damage assessment models output.

Alternatively, with ample individual building inventory data available, tailored damage
classification models for specific building typologies could be developed and applied. The
rationale is that a model dedicated to a specific building type is expected to outperform a generic multi-typology model.

In this work, we established a three class damage state framework. Existing frameworks that were developed for ground based tephra fall damage assessment split damage into five damage states classes and one non-damage class (Spence et al, 1996; Blong, 2003; Hayes et al., 2019; Jenkins et al., in review) however in our preliminary analyses we found that: 1) in many images we were unable to confidently apply a six-class scheme due to only being able to see one side of the building, and 2) there were not enough examples of each damage state class to be able to train a six-class model. Nevertheless, the damage states developed in our work can be equated to existing damage states generated for ground surveys such that: No damage – minor damage = DS0-DS1, Moderate damage = DS2 and Major damage = DS3-5. With the addition of future tephra fall building damage datasets a finer resolution damage state framework may be applied that is capable of providing more detail on the observable damage. We developed our approach using deep learning on 2D optical imagery, while some studies have used 3D point-cloud information (Cusicanqui et al., 2018), or combined point cloud information with deep learning on optical imagery for damage level classification (Vetrivel et al., 2018). While the use of 3D spatial data has shown potential, and may be used to provide additional granularity to our damage states we opted against integrating point cloud analyses into our model. This decision was motivated by the considerably longer processing times associated with such an approach, which would undermine the swift processing requirement inherent in our methodology.

5.6 Caveats

During the assignment of building damage states, uncertainties arose, particularly concerning the interpretation of tarpaulins and, pre-existing damage. For tarpaulins, the ambiguity arose from whether these were either strategically placed prior to the eruption as preventative measures to cause tephra to slide off the roof more easily; or they were placed post event to cover damage caused by tephra fall. Additionally, in certain instances, distinguishing between a collapsed roof and a section of the building initially lacking roofing material—possibly functioning as a walled storage area —proved challenging. Pre-existing damage not related to volcanic activity or buildings that were under construction at the time of image acquisition
were considered as damaged and classified accordingly. Pre-event imagery would have provided clarity on these matters, however this was not available at high enough resolution for this region.

The majority of images used for training and evaluating our models came from the SRC dataset, which was collected several months after the eruption. As a result the majority of images do not have much tephra present. In an operational context, to expedite the recovery process, data would ideally be collected as quickly after the eruption as it is safe to do so, therefore more tephra would be present in the images. Given the compound effects of variations in flight angle, image lighting, resolution and also the presence of tephra, we do not have enough information to test the effect of tephra thickness on model performance, and caution should be taken when using the model on data collected at different times after the eruption.

6 Recommendations for UAV building damage assessment data collection

In the future we advocate for the adoption of a standardised protocol for data collection for the purpose of UAV damage assessment. While our model was developed using a diverse dataset, there were some disparities in performance across distinct data types. Consequently the standardisation of image collection serves two purposes, 1) to allow the best results to be achieved when implementing our models, and 2) to collect data that is rich in information useful for damage assessment with the aim of working towards the development of global datasets for tephra fall damage. For best results we have the following recommendations:

- The bulk of our dataset was collected several months after the eruption of La Soufrière however, for generating a global dataset that can be used for response and recovery, models should ideally be trained on images collected shortly (days to weeks) after an event.

- Flight paths should be pre-programmed to ensure comprehensive coverage of the area and limit bias associated with overrepresentation of certain buildings. Ideally two flights would be conducted with two sets of perpendicular flight lines to capture buildings from a different perspective. GPS positioning should be enabled.
A fixed altitude of 50-80 m above the ground should be maintained where possible. This is appropriate to capture sufficient data for accurate damage classification based on the established framework and strikes a balance between detailed information capture and overall coverage. In mountainous areas this may not be achievable for some UAV types. In which case a uniform height should be maintained such that buildings size is consistent across image frames.

We suggest a slightly off-nadir camera positioning (~5-15°), which is sufficient to capture any bending in the roof that may not be captured from a nadir perspective.

Overlap between images should be enough to generate orthoimages, 80% forward and 70% lateral overlap is sufficient.

In addition to the development of optimum post-event data collection practises we advocate for the collection of pre-event UAV datasets. Ideally, pre- and post-event imagery is collected using the same flight paths, altitudes, and camera positioning. Pre-event datasets serve multiple purposes:

- Facilitates the creation of building inventories.
- Enables precise comparison of pre- and post-event imagery, reducing uncertainty regarding initial building conditions.
- Supports the development of high-resolution change detection models potentially yielding more accurate results than relying solely on post-event imagery.
- Provides an opportunity for UAV pilots to gain experience in capturing building datasets during ‘quiet times’.

### 7 Conclusions

Following a large tephra fall event, building damage assessment needs to be conducted rapidly for the purpose of response and recovery, and for the collection of data that can be used to forecast building damage from future events. By leveraging post-event optical imagery obtained after the 2021 eruption of La Soufrière volcano on the island of St Vincent, and convolutional neural networks, we have developed an automated tephra fall building damage assessment pipeline. The pipeline incorporates models for building localisation and two distinct levels of damage classification: distinguishing between no damage and damage, as well as between moderate and major damage, which were trained and evaluated separately. When
provided with UAV optical imagery, our pipeline can rapidly generate spatial building damage information. Our models perform well for the St Vincent datasets and are anticipated to perform well in locations where building typologies are similar, but this requires more testing to understand the limits of their application.

Building localisation model cross validation results underscore the influence of factors such as UAV altitude, off-nadir angles, tephra thickness, and training sample sizes on model performance, while results show that building damage classification models were affected by these factors to a lesser extent. We acknowledge the challenges posed by diverse datasets and by limited data, and we propose a series of recommendations to guide the collection of future UAV building damage datasets. In addition to the collection of post-event datasets we advocate for the collection and incorporation of pre-event datasets, which can be used to support the advancement of change detection models; to partially evaluate the models presented here during quiescent times, and to develop building inventories that can be used along with fragility functions for forecasting building damage.

Our research marks a step forward in tephra fall building damage assessment, offering a versatile and effective pipeline with the potential for regional applicability. As the field of UAV-based damage assessment in volcanology continues to evolve, our work lays a foundation for further advancements, contributing to the resilience of communities in the face of volcanic eruptions.

8 Author contributions


9 Competing interests

The authors declare no competing interests.

10 Acknowledgements
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11 Data availability

All trained models along with the code required to execute the damage assessment pipeline and instructions for usage are provided at:
https://github.com/EllyTennant/UAVdamageAssessment

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