



1           Characteristics of spatial and temporal distribution of heavy  
2           rainfall and surface runoff generating process in the  
3           mountainous areas of northern China

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10       **Abstract:** The intensity and duration of precipitation play an important  
11       role in surface runoff processes. A hilly area may have more complicated  
12       runoff processes. In this study, the characteristics of annual rainfall from  
13       1987 to 2023 in the Taihang Mountain were obtained by the Pearson-III  
14       frequency curve, homogeneity and MK test. Four surface runoff generation  
15       processes during 2014 to 2023 were monitored. The contribution of rainfall  
16       to changes in runoff were quantified based on the double cumulative curve  
17       method. Results showed that for the last decade, a significant upward trend  
18       in the frequency of moderate and heavy rainfall events. The spatial  
19       variability of rainfall in the Taihang Mountain and the influence of  
20       elevation are both smaller when the rainfall during 24 h is lower than 50  
21       mm. The two surface runoff processes in 2016 and 2023 were typical runoff

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22 resulted from excess rain, which belonged to the storm runoff. The two  
23 surface runoff processes in 2021 were runoff generation under saturated  
24 condition. For runoff generation under saturated condition, the contribution  
25 of rainfall was only 58.17%. While when the runoff coefficient was greater  
26 than 0.5, the surface runoff generating processes were entirely determined  
27 by rainfall. This study suggested that for semi-arid regions, where rainfall  
28 is unevenly distributed over the seasons, more soil water is needed to  
29 maintain local and downstream water demand during the non-rainy season.

30 **Key words:** Heavy rainfall, surface runoff, storm runoff, soil and rock  
31 mountainous area, Taihang Mountain

## 32 **1.Introduction**

33 Surface runoff is an important component that plays essential role in soil erosion,  
34 nutrient transport, carbon transport, and many other processes (Fei et al., 2019; Jing et  
35 al., 2022; Fu et al., 2024). Surface runoff can reach the stream quickly, and thus it  
36 significantly influences the response of the streamflow to precipitation (Maier et al.,  
37 2021). Land-use and rainfall characteristics are two crucial influencing factors that  
38 affect the surface runoff process (Martínez-Mena et al., 2020). Among them, the  
39 intensity and duration of precipitation play an important role in ecohydrological  
40 processes (Ran et al., 2012). Rainfalls with greater intensity or duration result in a  
41 greater peak runoff, leading to greater total runoff in watersheds (Wei et al., 2014).  
42 Moreover, rainfall patterns and regimes are important factors in water conservation  
43 (Mohamadi and Kavian, 2015; Chen et al., 2018). Different rainfall regimes cause



44 different surface runoff (Zhao et al., 2022). Global warming and climate change as a  
45 result of greenhouse gases emissions trigger the frequent and intensive floods, flash  
46 floods, extreme storms occurrences with inundation consequences in urban and  
47 agricultural areas (Yavuz, 2020). Because of its marked effects on hydrological  
48 processes, climate change has attracted considerable attention from scientists and  
49 governments worldwide (Liu and Wang, 2012; Yoon et al., 2015; Zheng, et al., 2018;  
50 Ju et al., 2023).

51 A hilly area may have more complicated runoff processes than a plain area (Fu et  
52 al., 2023). The Taihang Mountain region is a typical soil and rocky mountainous area  
53 in northern China (Du et al., 2018), and a critical transition zone between the Loess  
54 Plateau and the North China Plain (Qi et al., 2023). As an important ecological barrier  
55 and water conversion area for the North China Plain and the Beijing-Tianjin region,  
56 China (Yang, 2021), the Taihang Mountain provides 3.6 billion m<sup>3</sup> of water to the North  
57 China Plain annually (Wang et al., 2014). Due to the high degree of heterogeneity of  
58 topography, geomorphology, rocks, soils, climate and vegetation (Geng et al., 2018)  
59 and variable climatic conditions, complex geography and intensive human activities  
60 (Zhang et al., 2021), the evolutionary regimes, influencing factors and driving  
61 mechanisms of hydrological processes are complex and subject to great spatial and  
62 temporal variability and uncertainty (Sakakibara et al., 2017). Therefore, it is essential  
63 to understand the characteristics of surface runoff generating process under different  
64 heavy rainfall events in the mountainous areas, especially in soil and rocky  
65 mountainous area where an ecologically fragile area with more complex rainfall-



66 infiltration processes (Zhang et al., 2021).

67 For the Haihe River Basin, China, most of whose tributaries originate from  
68 originates the Taihang Mountain, the amount of water resources in the mountainous  
69 areas of the Haihe River Basin has been reduced by 40% (Yang et al., 2023). Moreover,  
70 Jia et al. (2019) also showed that runoff in the Taihang Mountain has declined  
71 significantly since 2000, with surface water resources declining by more than 40% in  
72 the eastern region and even more than 50% in the Taihang Mountain of Hebei province,  
73 China. Most of these tributaries have become seasonal rivers, especially in the plains,  
74 basically all rivers are dry (Xia and Zhang, 2017). Although the average multi-year  
75 rainfall has little inter-annual variability with a value of 548 mm (Geng et al., 2019),  
76 extreme rainfall events are frequent, triggering a series of flooding disasters, which  
77 threaten ecological and water security locally and in the downstream plains, and cause  
78 great losses to people. From 1990 to 2020, global annual economic losses from floods  
79 have amounted to tens of billions of US dollars and thousands of lives have been lost  
80 each year (Hirabayashi et al., 2013; Najafi et al., 2021). As the atmospheric temperature  
81 increases and rainfall patterns change, variability in surface runoff processes is  
82 intensifying (Huntington, 2006; Pour et al., 2020), in particular, the infiltration and  
83 runoff generation processes in small watersheds on mountain slopes.

84 The objectives of this paper are to elucidate the processes and characteristics of  
85 surface runoff process under different heavy rainfall events in the small watersheds of  
86 the Taihang Mountains, to try to clarify the fact that the surface runoff process mode  
87 has been changed under the background of extreme rainfall, and to discuss the strategy

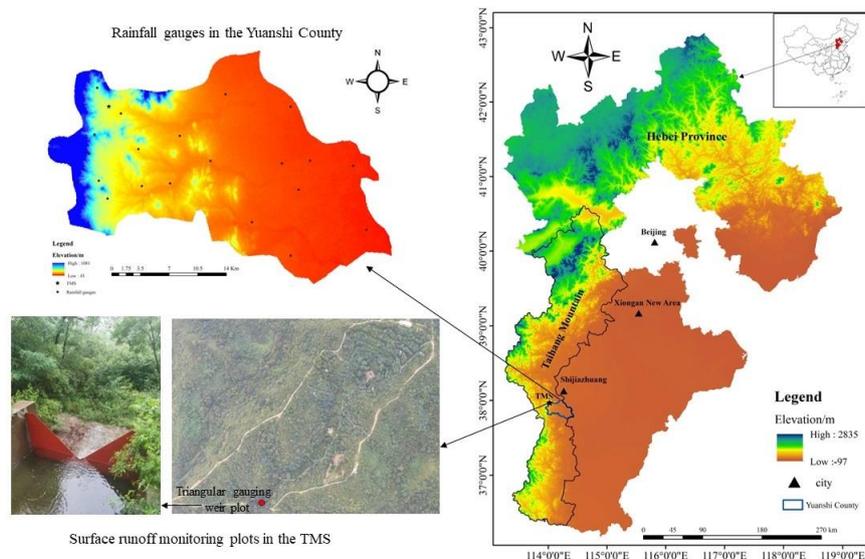


88 of guaranteeing the security of water resources in the Beijing-Tianjin-Hebei region,  
89 China. The results of the study are intended to provide new insights into the  
90 hydrological processes in the soil and rocky mountainous area, and to provide a  
91 scientific basis for the enhancement of the water conservation function in the Taihang  
92 Mountain area and the construction of water security in the Xiongan New Area, China.

## 93 **2. Materials and methods**

### 94 **2.1 Study area**

95 This study was carried out in the Taihang Mountain Ecological Experimental  
96 Station (TMS) of Chinese Academy of Sciences, China. The TMS (114°15'50"E,  
97 37°52'44"N) with an altitude of 350 m is located in the mountainous areas upstream of  
98 Xiongan New Area, China and belongs to middle of the eastern Taihang Mountain in  
99 Yuanshi County, Shijiazhuang City, Hebei Province, northern China (Fig.1). The study  
100 area has a semi-arid continental monsoon climate with an annual average temperature  
101 is 13.0 °C, and the water surface evaporation is 1200 mm. The average annual  
102 precipitation for long-term period (1987-2022) is 536.6 mm and more than 70% of the  
103 precipitation is mainly concentrated from June to September. The typical vegetation  
104 type is mainly 20-year-old secondary forest and scrub, the secondary forest is mainly  
105 Robinia pseudoacacia, and the shrubs are mainly Vervain Family and wild jujube (Cao  
106 et al., 2021). The hill slopes are composed of stratum characterized by "overlying soil  
107 and underlying rock". The overlying soil layer is thin, with a thickness of 20-50 cm and  
108 mainly constitutes of gravel. The thickness of the underlying rock layer, which is mainly  
109 a weathered layer of gneiss full of fissures, is 0.5-10 m (Cao et al., 2013).



110

111

Fig. 1 Location of the study area

## 112 2.2 Rainfall monitoring

113 A tipping bucket self-recording digital rain gauge (Rain Collector II, Davis  
114 Instruments Corp., Hayward, CA, USA) was installed to measure the rainfall with a  
115 resolution of 0.25 mm (Fig.1). The time interval of data recording is 1 h. The different  
116 daily rainfall intensity was obtained using these monitoring data. According to the  
117 classification of different 24 h rainfall amounts by China Meteorological  
118 Administration, namely, 24-hour rainfall of less than 10 mm is considered light rain, 10  
119 to 24.9 mm is considered moderate rain, 25 to 49.9 mm is considered heavy rain, and  
120 greater than or equal to 50 mm is considered rainstorm, and combined with the  
121 measured rainfall data meanwhile, we counted the proportion of total rainfall with  
122 rainfall intensity greater than 10 mm/24 h  $I_{10}$  and 25 mm/24 h  $I_{25}$  to the annual rainfall  
123 from 1987 to 2023.



124 Other rainfall data including 21 rainfall gauges in the Yuanshi County (Fig.1) were  
125 obtained from the Yuanshi county meteorological bureau.

### 126 2.3 Surface runoff monitoring

127 A self-recording water level gauge (HOBO U20-001-04, Onset Computer  
128 Corporation, Bourne, MA, USA) was arranged in a triangular gauging weir plot of the  
129 small watershed of the TMS (Fig.1) to determine the water level above the weir, which  
130 was measured every 1 h. In addition, the water level above the weir was manually  
131 performed during the same period of each runoff generation process to calibrate the  
132 measured data of the self-recording water gauge. The triangular gauging weir plot is a  
133 right-angled water measuring weir. According to Chen et al. (1984), the flow of surface  
134 runoff in the small watershed of the TMS was obtained using the water level over the  
135 weir by the following formula:

$$136 \quad Q = 1.4 H^{2.5} \quad (1)$$

137 where  $Q$  and  $H$  are the flow at the small watershed outlet (L/s) and water level over the  
138 weir (m), respectively.

139 The following formula was used to calculate surface runoff of the small watershed  
140 of the TMS:

$$141 \quad R = Q \times t / A \quad (2)$$

142 where  $R$ ,  $t$  and  $A$  are the surface runoff during 1 h at the watershed outlet (mm), 1 h and  
143 the area of the small watershed, 0.02625 km<sup>2</sup>, respectively.

### 144 2.4 Homogeneity and trend test

145 Homogeneous time series are extremely important for trend analysis studies of



146 hydro-meteorological variables, because the trends in them result from the changes in  
147 climate and air (Conrad and Pollak, 1950). Non-climatic changes may disrupt the  
148 homogeneity of the time series, and therefore, homogeneity test is required before trend  
149 analysis. We applied run homogeneity test for rainfall data is applied to determine the  
150 homogeneity of the data at 5% significance level before the trend analysis of annual  
151 rainfall with the following formula (Swed and Eisenhart, 1943):

$$152 \quad Z_R = \frac{R_n - \frac{2N_1N_2 + 1}{N_1 + N_2}}{\sqrt{\frac{2N_1N_2(2N_1N_2 - N)}{N^2(N-1)}}} \quad (3)$$

153 where  $Z_R$  is the run homogeneity test result,  $N$  is the number of data,  $R_n$  is the run  
154 number,  $N_1$  ( $N_2$ ) is number of lower (higher) values than the median.

155 If the calculated run homogeneity test result  $Z_R$  value corresponds to 5%  
156 significance level or below then the data is non-homogeneous. Herein, only  
157 homogeneous data are used to identify trend conditions (Yavuz, 2020).

158 The non-parametric Mann-Kendall (MK) trend test is widely used to assess the  
159 significance of monotonic trends in hydro-meteorological time series (Silva et al.,  
160 2015). In order to write increasing or decreasing expressions, the Mann-Kendall  
161 positive or negative  $Z_{MK}$  value is taken into consideration (Mann, 1945, Kendall, 1975).  
162 If  $|Z_{MK}| \geq 2.576, 1.96, 1.642$  or  $1.282$ , the null hypothesis of no trend is rejected at the  
163 1%, 5%, 10% or 20% significance level respectively (Gocic and Trajkovic, 2013; Yavuz  
164 et al., 2020). Software MATLAB R2014a was used to MK trend test.

## 165 2.5 Runoff analysis

166 The runoff coefficient is a measure of the ability of a watershed to generate runoff  
167 (Zheng et al., 2021). The following formula was used to calculate the runoff coefficient:



$$168 \qquad RC = (R/P) \times 100\% \qquad (4)$$

169 where  $RC$  and  $P$  are the runoff coefficient of the small watershed (%) and precipitation  
170 (mm), respectively.

171 Based on the measured data from the triangular gauging weir, only four surface  
172 runoff generating processes occurred in the small watershed of the TMS since 2014,  
173 namely, from July 19 to 24, 2016, July 20 to 25, 2021, October 3 to 10, 2021, and July  
174 29 to August 4, 2023. Therefore, the analysis period of rainfall spatial characterization  
175 is the period of these four surface runoff generating processes. Combined with the  
176 location of the rainfall gauges, kriging interpolation with Arc GIS 10.5 was used to  
177 calculate the rainfall spatial distribution over the region of the TMS.

178 The double mass curve method is used to test the curves of two related cumulative  
179 variables, and is primarily used to detect the consistency and change in trends of long-  
180 term hydro-meteorological elements (Cheng et al., 2022). The linear regression  
181 equation of the baseline period double mass curve is established and further used to  
182 construct the change period equation. The relationship between observed cumulative  
183 runoff  $\sum R_b$  and cumulative precipitation  $\sum P_b$  (in the baseline period can be  
184 expressed as (Gao et al., 2017):

$$185 \qquad \sum R_b = a_1 \sum P_b + b_1 \qquad (5)$$

186 The relationship between observed cumulative runoff  $\sum R_c$  and cumulative  
187 precipitation  $\sum P_c$  in the changing period can be expressed as:

$$188 \qquad \sum R_c = a_2 \sum P_c + b_2 \qquad (6)$$

189 The runoff in the changing period  $\sum R_a$  can be modelled as:



$$\sum R_a = a_1 \sum P_c + b_1 \quad (7)$$

191 where the parameters  $a_1$  and  $a_2$  are the rates of change in cumulative runoff with the  
192 change of accumulated precipitation, and  $b_1$  and  $b_2$  are the intercept values.

193 The contributions of precipitation on runoff  $\Delta P$  (%) can be determined as below:

$$\Delta P = \frac{\overline{R_b} - \overline{R_a}}{\overline{R_b} - \overline{R_c}} * 100 \quad (8)$$

$$\overline{R_a} = \frac{\sum R_a}{T_c}, \overline{R_b} = \frac{\sum R_b}{T_b}, \overline{R_c} = \frac{\sum R_c}{T_c} \quad (9)$$

196 where  $\overline{R_b}$  and  $\overline{R_c}$  represent observed mean annual run-off for a certain time  $T_b$  and  $T_c$   
197 in the baseline and changing period;  $\overline{R_a}$  is modelled mean annual run-off for a certain  
198 time  $T_c$  in the changing period.

199 In this study, we set July 19 to 24, 2016 as the baseline period and the subsequent  
200 three runoff processes as the change period to determine the contribution of rainfall to  
201 runoff in the subsequent three runoff processes.

## 202 2.6 Other data analysis

203 The Pearson-III distribution (Singh, 1998) was utilized to analyze their frequency  
204 distribution. Depending on the P value, the annual rainfall patterns can be determined  
205 (Zhao et al., 2022), namely, wet years were defined as those with precipitation greater  
206 than or equal to  $P = 25\%$ , dry years were defined as those with precipitation less than  
207 or equal to  $P = 75\%$ , and normal years were defined as those when precipitation occurs  
208 between wet and dry years (Li et al., 2020).

209 Statistical analyses were carried out with IBM SPSS Statistics 20. Plotting of the  
210 Pearson-III frequency curve used to determine annual rainfall patterns (Zhao et al.,  
211 2022) and other processing and analysis of data and related graphing were done in

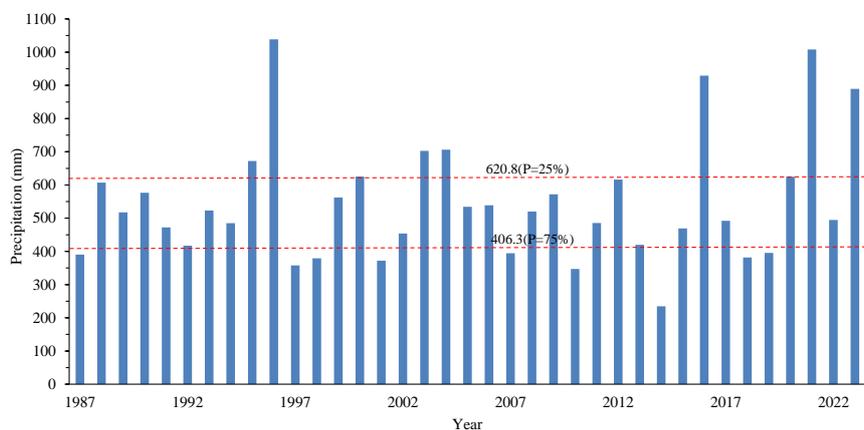


212 Microsoft Excel 2016.

### 213 3. Results

#### 214 3.1 Annual rainfall characteristics

215 As shown in Fig. 2, the annual variation in precipitation in the TMS from 1987 to  
216 2023 was large. Although the precipitation monitoring data for 2023 is only available  
217 till September, more than 70% of the precipitation is mainly concentrated from June to  
218 September. The average annual precipitation is 546.1 mm. The year with the least  
219 precipitation was 2014 (234.7 mm), while the year with the most was 1996 (1038.5  
220 mm), followed by 2021 (1008.1 mm) and 2016 (929.2 mm), respectively.



221

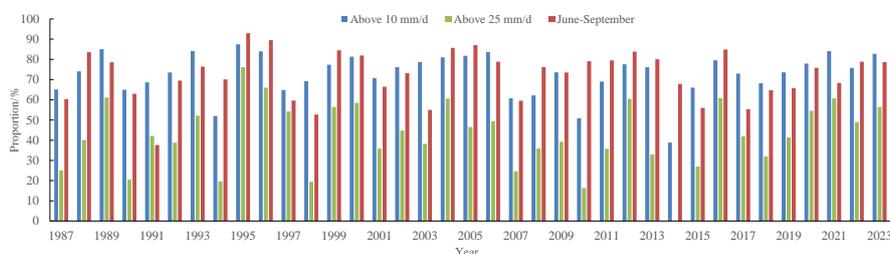
222 Fig. 2 Annual precipitation change in the TMS from 1987 to 2023

223 Using the Pearson-III frequency curve, a wet year is defined as one in which  
224 precipitation is greater than or equal to 620.8 mm and a dry year is defined as one in  
225 which precipitation is less than or equal to 406.3 mm. Therefore, the years 1995, 1996,  
226 2000, 2003, 2004, 2016, 2020, 2021 and 2023 were wet years, the years 1987, 1997,  
227 1998, 2001, 2007, 2010, 2014, 2018 and 2019 were dry years, and the remaining 19



228 years were normal years. It appears that 51.4% of the years have a normal rainfall year,  
229 and for the last decade (2014-2023), wet years and dry years account for 40% and 30%,  
230 respectively. Frequency of extreme weather years is dominant from 2014 to 2023.

231 Further, precipitation from June to September is plotted as a proportion of annual  
232 precipitation, as well as precipitation with daily precipitation intensity greater than  $I_{10}$   
233 and  $I_{25}$  as a proportion of annual precipitation in the TMS from 1987 to 2023 (Fig.3).  
234 Precipitation from June to September accounted for 72.3% of the annual precipitation  
235 from 1987 to 2023. Meanwhile, precipitation with daily precipitation intensity greater  
236 than  $I_{10}$  and  $I_{25}$  accounted for 72.8% and 50.5% of the annual precipitation, respectively.



237  
238 Fig. 3 Proportion of precipitation from June to September, daily precipitation intensity above  $I_{10}$   
239 and  $I_{25}$  of annual precipitation in the TMS from 1987 to 2023

240 Based on equation 3, the results of the homogeneity test were obtained for 1987 to  
241 2023, and the values  $Z_R$  for all the data ranged from 0.06 to 0.27, i.e., all the data passed  
242 the homogeneity test.

243 MK test was performed for total rainfall, total rainfall with daily rainfall intensity  
244 greater than  $I_{10}$  and  $I_{25}$  for the years 1987-2023, and total rainfall, total rainfall with  
245 daily rainfall intensity greater than  $I_{10}$  and  $I_{25}$  for the years 2014-2023, respectively, and  
246 the  $Z_{MK}$  results are shown in Table 1. Despite the upward trend in all data, total rainfall



247 with daily rainfall intensity greater than  $I_{10}$  and  $I_{25}$  for the years 2014-2023 passed the  
 248 test of significance at the 5% and 10% levels, respectively. It suggests a significant  
 249 upward trend in the frequency of moderate and heavy rainfall events between 2014 and  
 250 2023.

251 Table 1 The values  $Z_{MK}$  of total rainfall, total rainfall with daily rainfall intensity greater than  $I_{10}$   
 252 and  $I_{25}$  for the years 1987-2023, and total rainfall, total rainfall with daily rainfall intensity  
 253 greater than  $I_{10}$  and  $I_{25}$  for the years 2014-2023

Test item	Total rainfall	Above $I_{10}$	Above $I_{25}$	Total rainfall	Above $I_{10}$	Above $I_{25}$
	from 1987- 2023	from 1987- 2023	from 1987- 2023	from 2014- 2023	from 2014- 2023	from 2014- 2023
$Z_{MK}$	0.405	0.196	0.0392	1.61	2.33**	1.79*

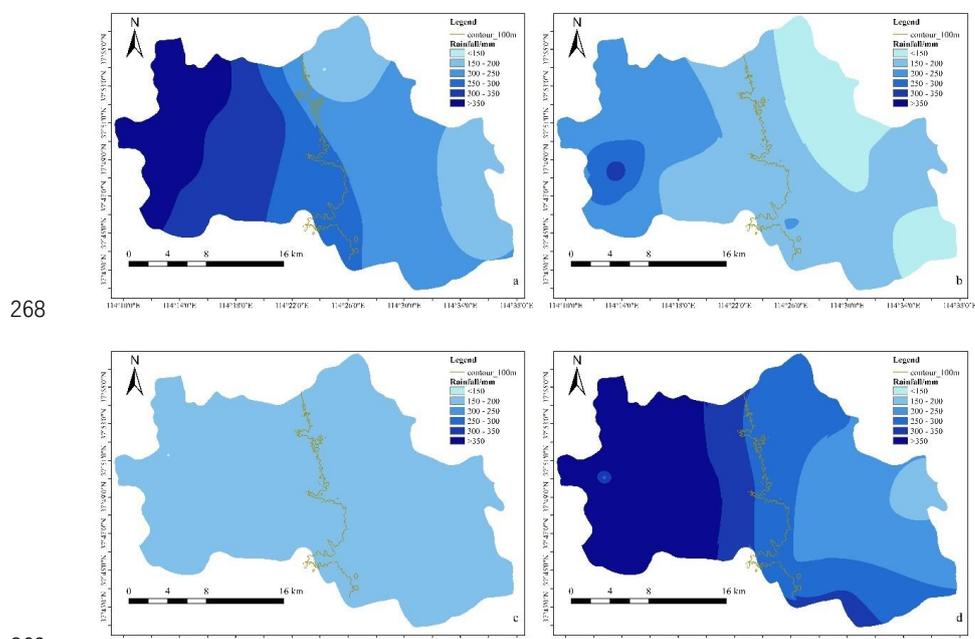
254 \*\* Statistically significant trends at the 5% significance level. \* Statistically significant trends at the 10%  
 255 significance level.

### 256 3.2 Rainfall spatial characteristics

257 From the above, it can be seen that during 2014-2023, only four surface runoff  
 258 generating processes occurred in the small watershed of the TMS. Therefore, we further  
 259 analyze the spatial distribution characteristics of rainfall for these four runoffs  
 260 generating processes. As shown in Fig. 4, except for this runoff generating process from  
 261 October 3 to 10, 2021, the rainfall spatial distribution of the remaining three runoff  
 262 generating processes is more obvious. The variance coefficient of the total rainfall at  
 263 each rainfall gauges during October 3 to 10, 2021 is only 5.96%, while for other three  
 264 rainfalls, the variance coefficient values are all greater than 30%. Especially the two



265 rainfalls of July 19 to 24, 2016 and July 29 to August 4, 2023, the rainfall dividing line  
266 of rainfall greater than 200 mm in July 19 to 24, 2016 and 250 mm July 29 to August  
267 4, 2023 basically coincides with the 100 m contour line.

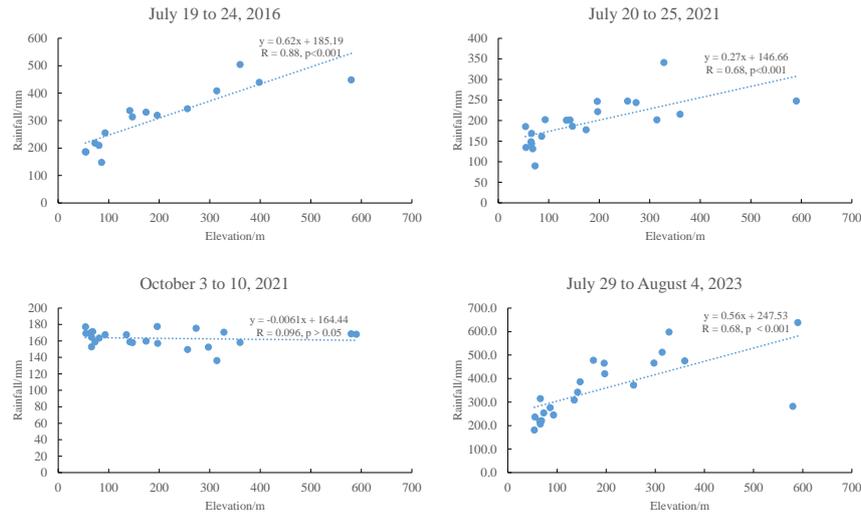


268  
269  
270 Fig. 4 Spatial distribution of rainfall during (a) July 19 to 24, 2016, (b) July 20 to 25, 2021, (c)  
271 October 3 to 10, 2021, and (d) July 29 to August 4, 2023

272 Further analysis of the relationship between elevation and rainfall at each station  
273 for the four rainfall events shows that (Fig. 5) except for this rainfall from October 3 to  
274 10, 2021, there was a significant positive correlation between rainfall and elevation for  
275 the remaining three rainfall events.



276



277

278 Fig. 5 Correlation analysis between elevation and rainfall during July 19 to 24, 2016, July 20 to  
279 25, 2021, October 3 to 10, 2021, and July 29 to August 4, 2023

280 Based on the rainfall duration of the four rainfall events, their average rainfall  
281 intensities were calculated and converted into 24 h rainfall amounts to show that the  
282 spatial variability of rainfall in the Taihang Mountain and the influence of elevation are  
283 both smaller when the rainfall during 24 h is lower than 50 mm.

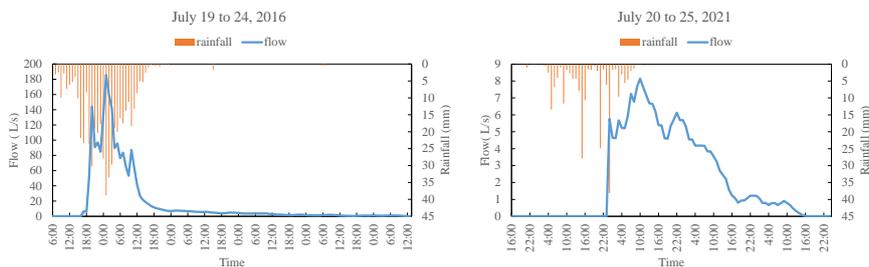
### 284 3.3 Surface runoff generating processes

285 Points plotting the four surface runoff generating processes versus rainfall  
286 variations (Fig. 6). For the surface runoff generating process during July 19 to 24, 2016,  
287 the rainfall started at 6:00 a.m. on July 19, 2016 and after 12 h of continuous rainfall,  
288 by 5:00 p.m. on July 19, 2016 the rainfall accumulated to 100.3 mm, with an intensity  
289 of 8.36 mm/h, and began to generate surface runoff, with a flow of 6.44 L/s, and then  
290 reached a maximum flow of 185.33 L/s at 1:00 a.m. on July 20, 2016. Followed by  
291 11:00 p.m. on July 20, 2016, the rainfall stopped, at which time the accumulated rainfall

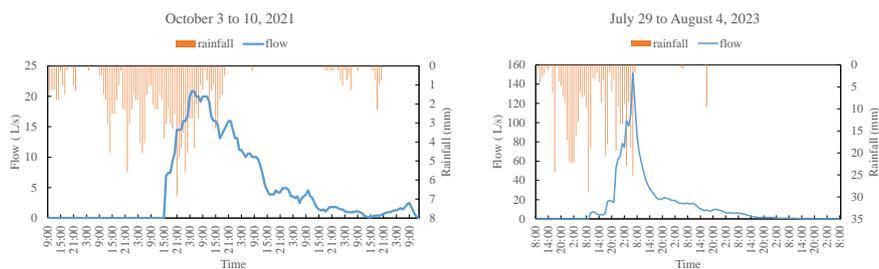


292 was 504 mm, lasting 42 h, with an average rainfall intensity of 12.0 mm/h. However,  
293 the surface runoff continued to generate, by 1:00 pm on July 24, 2016 the surface runoff  
294 ended, lasting 86 h after the rainfall stopped. The surface runoff generating process  
295 lasted a total of 117 h, with an average flow of 18.41 L/s, and runoff amounting to 7753  
296 m<sup>3</sup>. From 6:00 a.m. on July 19 to 1:00 p.m. on July 24, 2016, the cumulative rainfall  
297 was 506.8 mm. The runoff coefficient was 0.58.

298 For the surface runoff generating process during July 20 to 25, 2021, the rainfall  
299 began at 4:00 p.m. on July 20, 2021 and after 32 h of continuous rainfall, by 11:00 p.m.  
300 on July 21, the rainfall accumulated to 147.3 mm, and began to generate surface runoff,  
301 with a flow of 5.76 L/s, and by 10:00 a.m. on July 22, 2021 the flow reached a maximum  
302 value of 8.14 L/s. At the same time, the rainfall stopped and the total rainfall  
303 accumulated at this time was 215.4 mm, which lasted for 43 h, with an average rainfall  
304 intensity of 5.0 mm/h. While, the surface runoff continued to generate, to 12:00 p.m.  
305 on July 25, 2021, the surface runoff ended, lasting 62 h after the rainfall stopped. The  
306 surface runoff generating process lasted a total of 73 h, the average flow was 3.07 L/s  
307 and runoff amounting to 806 m<sup>3</sup>. From 4:00 p.m. on July 20 to 12:00 p.m. on July 25,  
308 2021, the cumulative rainfall was 215.4 mm. The runoff coefficient was 0.14.



309



310

311 Fig. 6 Surface runoff generating processes during July 19 to 24, 2016, July 20 to 25, 2021,

312 October 3 to 10, 2021, and July 29 to August 4, 2023

313 For the surface runoff generating process during October 3 to 10, 2021, the rainfall  
314 started at 9:00 a.m. on October 3, 2021, and after 55 h of continuous rainfall, by 3:00  
315 p.m. on October 5, 2021 the rainfall accumulated to 85.3 mm, and began to generate  
316 runoff with a flow of 0.07 L/s, and then at 4:00 a.m. on October 6, 2021 the flow reached  
317 a maximum value of 20.82 L/s, and then by 7:00 p.m. the rainfall stopped, and the total  
318 rainfall accumulated at this time was 162.1 mm, which lasted for 83 h, and the average  
319 rainfall intensity was 1.95 mm/h, but the runoff continued to generate, and by 3:00 p.m.  
320 on October 10, 2021, the flow ended and lasted 90 h after the rainfall stopped. The  
321 surface runoff generating process lasted a total of 119 h, the average flow was 6.67 L/s,  
322 and runoff amounting to 2859 m<sup>3</sup>. From 9:00 a.m. on October 3 to 3:00 p.m. on October  
323 10, 2021, the cumulative rainfall was 173.7 mm. The runoff coefficient was 0.63.

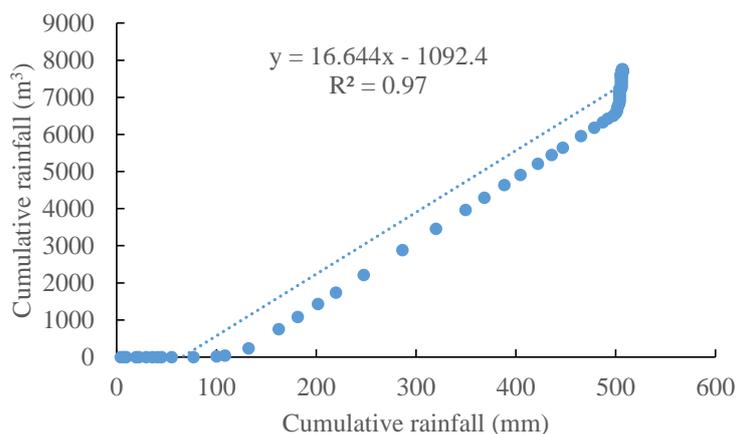
324 For the surface runoff generating process during July 29 to August 4, 2023, the  
325 rainfall started at 8:00 a.m. on July 29, 2023, and after 27 h of continuous rainfall, by  
326 10:00 a.m. on July 30, 2023 the rainfall accumulated to 259.1 mm, and began to produce  
327 flow with a flow of 6.20 L/s, and then at 6:00 a.m. on July 31, 2023 the flow reached a  
328 maximum of 151.43 L/s, and then by 9:00 a.m., the rainfall stopped, and by this time,



329 the cumulative rainfall amounted to 475.3 mm, which lasted for 49 h. The average  
330 rainfall intensity was 9.7 mm/h, but the basin continued to produce flow, and by 8:00  
331 a.m. on August 4, 2023, the runoff ended, lasting 96 h after the rainfall stopped. The  
332 surface runoff generating process lasted a total of 119 h, with an average flow of 17.14  
333 L/s, and runoff volume of 7342 m<sup>3</sup>. From 8:00 a.m. on July 29 to 8:00 a.m. on August  
334 4, 2023, the cumulative rainfall was 487 mm, and the runoff coefficient was 0.57.

### 335 3.4 Contributions of precipitation on variation in runoff

336 We used the surface runoff generating process from July 19 to 24, 2016 as the  
337 baseline period (Fig. 7), quantifying the contribution of rainfall to changes in runoff can  
338 be obtained based on the double cumulative curve method (Table 2). From Table 2, it  
339 can be seen that for the two surface runoff generating processes of October 3 to 10,  
340 2021 and July 29 to August 4, 2023, the contribution of rainfall was more than 100%,  
341 and it is inferred that these two surface runoff generating processes were entirely  
342 determined by rainfall. In the case of July 20 to 25, 2021, the contribution of rainfall  
343 was only 58.17%, while 41.83% of the contribution came from factors other than  
344 rainfall. The preliminary inference is the water holding properties of the soil and rock.



345

346 Fig. 7 The double cumulative curve of surface runoff generating process during July 19 to 24,

347

2016

348

Table 2 Contribution of rainfall to changes in runoff of four surface runoff generating processes

349

during July 20 to 25, 2021, October 3 to 10, 2021, and July 29 to August 4, 2023

Baseline period	Changing period	$\bar{R}_b/m^3$	$\bar{R}_c/m^3$	$T_b/h$	$T_c/h$	$\bar{R}_a/m^3$	$\Delta P/\%$
July 19 to 24,		66.27	-	117	-	-	-
2016							
	July 20 to 25,	-	11.04	-	73	34.14	58.17
	2021						
	October 3 to 10,	-	24.03	-	119	15.12	121.09
	2021						
	July 29 to	-	61.70	-	119	58.92	160.70
	August 4, 2023						

350

#### 4. Discussion

351

Based on the analysis of four surface runoff generating processes above, it can be



352 seen that in terms of rainfall characteristics, both temporally and spatially, the rainfall  
353 characteristics from October 3 to 10, 2021 are completely different from those of the  
354 other three surface runoff generating processes. For October 3 to 10, 2021, the average  
355 rainfall intensity was 1.46 mm/h. The average rainfall intensity of remaining three  
356 surface runoff generating processes were 4.33 mm/h, 2.95 mm/h and 4.09 mm/h,  
357 separately. While, it is true that the surface runoff generating process was characterized  
358 by July 20 to 25, 2021 as being different from the other three processes. Specifically,  
359 for July 20 to 25, 2021, the duration of the surface runoff generating process was only  
360 73 h, and the runoff coefficient was 0.14. For the remaining three periods, the values of  
361 duration and the runoff coefficient were both closer, at about 120 h and 0.6 (Table 2).  
362 However, the surface runoff generating process during October 3 to 10, 2021 did have  
363 the longest time to generate runoff, beginning after the rainfall had lasted 55 h. The  
364 reason for these differences is assumed to be related to the infiltration and runoff  
365 generation pattern of rainfall.

366 Runoff generation is the process by which rainfall losses are deducted to form net  
367 rainfall. Rainfall losses include vegetative retention, infiltration, infill and  
368 evapotranspiration, with infiltration being the most important. Generally speaking,  
369 runoff generation is usually generalized into two forms, including (1) runoff resulted  
370 from excess rain, which is in the southern humid areas or the northern rainy season, the  
371 basin water storage is large, the water table is high, after a rainfall, the basin water  
372 storage is easily saturated, which not only generates surface runoff, but also seepage is  
373 not all loss, part of which becomes subsurface runoff, so the runoff generation includes



374 surface runoff and subsurface runoff; (2) runoff generation under saturated condition,  
375 which is in the northern dry areas or the southern rainy season, watershed water storage  
376 is less, the groundwater is buried deeper, after a rainfall, the basin water storage is not  
377 saturated, the infiltration of all the water is a loss, not the generation of subsurface  
378 runoff, only when the intensity of rainfall is greater than the intensity of the infiltration,  
379 the rainfall is generated by over-permeation and the surface runoff generates.

380 Obviously, the four monitored surface runoff processes, the two surface runoff  
381 processes in 2016 and 2023 occurred after 12h and 27h of continuous rainfall,  
382 respectively, and were typical runoff resulted from excess rain, with the maximum  
383 instantaneous flow amounting to 185.33 L/s and 151.43 L/s, respectively, and the  
384 average flow amounting to 18.41 L/s and 17.14 L/s, and the duration of the continuous  
385 rainfall being 42 h and 49 h, with the average rainfall intensity was 12.0 mm/h and 9.7  
386 mm/h. The rainfall duration was short and the intensity was high, which belonged to  
387 the storm runoff. Although the runoff coefficient was about 0.6, this form of runoff did  
388 not have any effective supplementation of the soil moisture and subsurface runoff, and  
389 did not form effective water resources downstream, which was not very significant for  
390 maintaining the local soil water conservation capacity. For semi-arid regions, where  
391 rainfall is unevenly distributed over the seasons, more soil water is needed to maintain  
392 local and downstream water demand during the non-rainy season.

393 For the two surface runoff processes in 2021, we inferred that they were both  
394 runoff generation under saturated condition. Especially for the surface runoff process  
395 from October 3 to 10, 2021, although the runoff generation occurred after 55 h of



396 continuous rainfall, the duration of continuous rainfall was 83 h. The average rainfall  
397 intensity was 1.95 mm/h, while the runoff coefficient was 0.63, which is typical runoff  
398 generation under saturated condition. This type of runoff generation is generated after  
399 the topsoil layer is saturated with soil moisture, and at the same time, a loamy stream  
400 is formed inside the topsoil layer to flow in the soil. This explains why, although the  
401 rainfall was only 173.7 mm, the runoff generation continued after the rainfall stopped,  
402 and the runoff generation duration was comparable to that of the two runoff generation  
403 processes in 2016 and 2023 when the rainfall was 506.8 mm and 487 mm. The main  
404 reason for this phenomenon is because of the runoff generation in July 2021, the rainfall  
405 in this runoff generation process mainly replenishes the soil moisture in the form of  
406 infiltration, which is why the rainfall is 215.4 mm, the runoff coefficient is only 0.14,  
407 and the average flow rate is 3.07 L/s. From this, we deduce that, for the semi arid areas,  
408 the rainfall pattern and the moisture content of the rock and soil are the main factors  
409 determining the runoff generation. Under the premise that rainfall conditions can not  
410 be changed, for the soil structure characteristics of the soil and rocky mountainous areas,  
411 the ability of rock and soil to contain water can be improved by reducing surface runoff,  
412 increasing the infiltration capacity of soil moisture, improving soil structure, and  
413 increasing the content of soil organic matter, so as to realize the enhancement of water  
414 conservation functions in mountainous areas.

415 The water shortage situation in North China, which is characterized by a  
416 significant attenuation of river runoff and an obvious decline in the water table, has  
417 been alleviated in the context of the South-to-North Water Diversion, but the

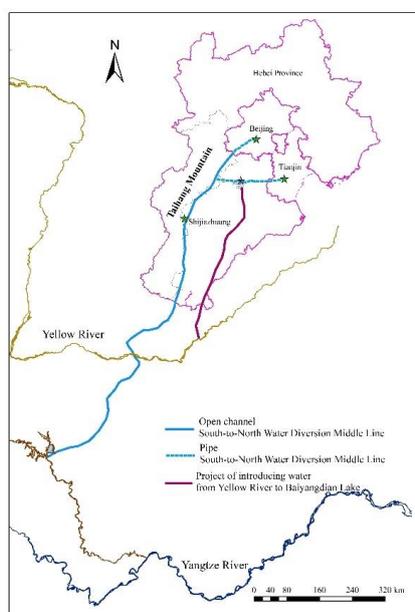


418 increasingly serious water ecological problems have not been effectively solved,  
419 especially in the mountainous areas of Beijing-Tianjin-Hebei, which are still faced with  
420 the dual responsibility of ecological and environmental support zones and the  
421 construction of a functional area of water conservation, and have become one of the  
422 primary issues to be resolved in the coordinated development of Beijing-Tianjin-Hebei  
423 (Wang et al., 2020). How to repair the water ecosystem of the Haihe River Basin and  
424 enhance the water-sourcing and nutrient-supporting function of the mountainous areas  
425 has become even more important (Xia et al., 2017). The water shortage problem in the  
426 North China Plain has now attracted a great deal of attention. China has also initiated a  
427 series of water transfer projects, including the South-to-North Water Transfer Project  
428 and the Yellow River Diversion and Replenishment of Hebei province (Fig. 8).  
429 According to the statistics of the Haihe River Basin Water Resources Bulletin, the  
430 proportion of water supplied by inter-basin water transfer projects in the Haihe River  
431 Basin's water supply from surface water sources is about 50%. The ratio of external  
432 water transfers to total water supply increases from 17.6% in 2016 to 30.2% in 2021  
433 (Haihe Water Resources Commission, 2022).

434         However, for the North China Plain, the lack of water can be transferred. The  
435 disasters caused by the unpredictable rainstorm weather, like torrential floods, flash  
436 floods and geologic disasters and urban waterlogging, etc., are immeasurable. Taking  
437 July 19, 2016 as an example, this rainstorm weather led to 142 counties in Hebei  
438 Province were affected by the disaster, the affected population was 7.433 million, the  
439 number of deaths was 36, and the missing population was 77, the rainstorm process



440 also led to a large number of house collapses, and the direct economy due to the disaster  
441 was as high as 8.973 billion RMB yuan, which led to the affected area of crops was as  
442 high as 604.1 km<sup>2</sup>, and the area of crops that had gone out of harvest was 18.1 km<sup>2</sup>  
443 (Zhu et al., 2019).



444

445 Fig. 8 Schematic diagram of different water transfer projects in the North China Plain

446 The community of life in the mountains, waters, forests, fields, lakes and seas,  
447 which profoundly reveals the fundamentals of the life process of man and nature, is an  
448 organic whole of energy flow, material circulation and information transmission among  
449 different natural ecosystems, and is also a life organism that is closely dependent on  
450 mankind, rich in biodiversity and with a larger regional scale. Integral protection,  
451 systematic restoration and comprehensive management should be carried out. On the  
452 basis of the existing Yellow River water as the water source in the Beijing-Tianjin-  
453 Hebei region, the water line in the Yangtze River and the east line of the Yangtze River



454 are fully utilized as the external inputs to the Beijing-Tianjin-Hebei region, so as to  
455 alleviate the pressure on the regional water supply, and to provide a basic guarantee for  
456 the safety of drinking water. At the same time, the water production performance, water  
457 containment performance, water resources utilization rate and water purification  
458 capacity within the basin will be enhanced from four aspects: water production, water  
459 containment, water conservation and water purification. Through the synergistic effect  
460 of external and internal, the goal of sustainable drinking water health is achieved.  
461 Eventually, a water ecological corridor will be constructed to control groundwater  
462 overexploitation and appropriately restore groundwater, safeguard ecological base flow,  
463 and form a "mountains, water, forests, fields, lakes, and seas" water ecological pattern  
464 (Cao et al., 2019).

465 Changes in rainfall patterns directly lead to changes in runoff generation of small  
466 mountain watersheds. When extreme rainfall events occur, only by preventing is unable  
467 to ensure the ecological security of the downstream plains and water security, but also  
468 need to be managed from the source, people should pay attention to further clarification  
469 of the intrinsic mechanisms of hydrological processes in mountainous areas and the  
470 importance of the factors that influence them as a whole, including the surface runoff  
471 generation process, the soil and rock infiltration process and the subsurface runoff  
472 generation process, and so on. From the perspective of the Earth's critical zone, a  
473 comprehensive approach should be taken into consideration, in order to give full play  
474 to the important ecological function of the mountainous areas of the water and soil  
475 conservation and maintenance of the water source.



## 476 **5. Conclusions**

477 In this study, the surface runoff generation processes differed among the different  
478 heavy rainfall events in the Taihang Mountain. Frequency of extreme weather years is  
479 dominant from 2014 to 2023. The heavy rainfall shows obvious spatial distribution, the  
480 rainfall dividing line of rainfall greater than 200 mm basically coincides with the 100  
481 m contour line. The spatial variability of rainfall in the Taihang Mountain and the  
482 influence of elevation are both smaller when the rainfall during 24 h is lower than 50  
483 mm.

484 The two surface runoff processes in 2016 and 2023 were typical runoff resulted  
485 from excess rain, which belonged to the storm runoff. The two surface runoff processes  
486 in 2021 were runoff generation under saturated condition. For runoff generation under  
487 saturated condition, the contribution of rainfall was only 58.17%. While when the  
488 runoff coefficient was greater than 0.5, the surface runoff generating processes were  
489 entirely determined by rainfall. For semi-arid regions, where rainfall is unevenly  
490 distributed over the seasons, more soil water is needed to maintain local and  
491 downstream water demand during the non-rainy season. For the soil structure  
492 characteristics of the soil and rocky mountainous areas, the ability of rock and soil to  
493 contain water can be improved by reducing surface runoff, increasing the infiltration  
494 capacity of soil moisture, improving soil structure, and increasing the content of soil  
495 organic matter. The result of this study is so of great significance for realizing the  
496 enhancement of water conservation functions in mountainous areas of north China.

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### 507 **Competing Interest**

508 The authors declare that they have no known competing financial interests or  
509 personal relationships that could have appeared to influence the work reported in this  
510 paper.

### 511 **Data availability**

512 Data is contained within the paper.

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