1	The unique features in the four-day widespread extreme rainfall event over North
2	China in July 2023
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13	Submitted to Natural Hazards and Earth System Sciences (NHESS)
14	August 2024
15	Second round November 2024
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ABSTRACT

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20 Synoptic forcings have traditionally played a pivotal role in extreme rainfall over North China. However, there are still large unexplained gaps in understanding the formation of 21 extreme rainfalls over this region. The heavy rainfall event, lasting from 29 July to 2 August 22 23 2023 (referred to as "23-7" event), is characterized by long duration, widespread coverage, 24 and high accumulated rainfall over North China. Overall, the persistent extreme rainfall is 25 closely associated with the remnant vortex originating from typhoon Doksuri (2305), tropical 26 storm Khanun (2306), and the unusual westward extended Western North Pacific Subtropical 27 High (WNPSH), as well as quasi-stationary cold dry air masses surrounding North China on 28 the west and north sides. Based on wind profiles and rainfall characteristics, the life history of 29 the "23.7" event is divided into two stages. In the first stage, the western boundary of the 30 WNPSH was destroyed by the tropical storm Doksuri, appearing that the WNPSH retreated 31 eastward with decreasing height. As a result, an inclined vertical distribution on the western 32 boundary was established below 500 hPa. Therefore, convections were limited by the tilted 33 WNPSH with warm-dry cover embedded in the low-to-middle troposphere. Meanwhile, the 34 orography in the west of North China was controlled by cold air masses above nearly 3.0 km. 35 Combining the orographic and cold air blockings, only a shallow southeasterly layer 36 (between 1.3 and 3.0 km) can overpass mountains. Although the warm and moist 37 southeasterly flows were lifted by orography, no convections were triggered because of the 38 local capped cold and dry air masses overhead. Under this framework, equivalent potential 39 temperature (θ_e) gradients were established between warm humid and dry cold air masses, 40 similar to a warm front, causing warm air to lift and generate widespread rainfall but low 41 intensity. However, the lifting was too weak to allow convection to be highly organized. In the 42 second stage, the WNPSH was further destroyed by enhanced Khanun, and thus the 43 embedded warm-dry cover associated with the tilted WNPSH was significantly thinned. 44 Consequently, convections triggered by orographic blocking can move upward and 45 consequently further develop, forming deep convections. Comparatively speaking, the convections in the second stage are much deeper than those in the first stage. The results 46 47 gained herein may shed new light on better understanding and forecasting of long-lasting 48 extreme rainfall.

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54 1. Introduction

55 A persistent severe rainfall event occurred over central and North China during the period 56 from 29 July to 2 August 2023 (referred to as "23.7" event), which was regarded as one of the 57 precipitation extremes of 2023 globally (Fowler et al., 2024). Despite the rainfall in low 58 intensity, it was long-lasting and widespread, resulting in large accumulated rainfall. Overall, 59 the average accumulated rainfall over North China (including Beijing, Tianjin, and Hebei 60 Province) was 175 mm, which was approximately 1/3 of the average annual precipitation in this 61 region. Flooding from this event affected 1.3 million people, bringing severe human casualties 62 and economic losses. The sustained severe rainfall over Beijing left 33 people dead and 18 missing persons. One of the distinct features of this rainfall event was closely associated with 63 64 the remnant vortex originating from typhoon Doksuri (2305), tropical storm Khanun (2306), 65 unusual westward extended Western North Pacific Subtropical High (WNPSH), and 66 quasi-stationary cold dry air masses surrounding North China on their west and north sides. 67 It is common for rainfall occurrence over North China due to strong water vapor supply by 68 tropical cyclones over the East China Sea and/or Southern China Sea (e.g., Ding, 1978; Feng 69 and Cheng,2002; Yin et al.,2022c). Like the "96.8" severe rainfall event (Sun et al.,2006; Bao 70 et al., 2024), the present persistent rainfall event was closely linked to two tropical storms of 71 Doksuri (2305) and Khanun (2306). Note that the Doksuri weakened to a typhoon remnant 72 vortex (typhoon-low pressure) at this moment as it moved inland after landfalling, while the 73 tropical storm Khanun was in a fast-developing stage. The tropical storm Khanun and the 74 typhoon remnant vortex built jointly a water vapor bridge, transporting a large amount of water 75 vapor to North China from the East China Sea. Previous studies (e.g., Hirata and Kawamura, 76 2014; Gao et al., 2022; Yang et al., 2017) pointed out that large amounts of water vapor brought 77 by a typhoon over the North Pacific were favorable for severe rainfall generation in eastern 78 China.

In the last several decades, considerable attention was paid to the remote rainfall events associated with tropical cyclones, <u>with substantial progress made (e.g., Wang et al.,2009; Xu et</u> al.,2023a; Xu et al.,2023b; Lin and Wu,2021). Commonly, sufficient water vapor provided by a tropical cyclone plays an important role in extreme rainfall over North China (e.g., Rao et al.,

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94 2023; Xu et al., 2023b). Besides, many studies confirmed that the WNPSH is closely related to 95 water vapor transportation and the spatial distribution of rainfall (e.g., Hu et al., 2019; Gao et al., 96 2022). Additionally, orographic forcing of the approaching warm and moist unstable airflow 97 plays a critical role in determining the location of convection initialization, although sometimes 98 orographic forcing played a small role compared to Typhoon's circulation (Wang et al., 2009). 99 Moreover, severe rainfall can be generated by the complicated cloud microphysical processes 100 due to the interactions between tropical oceanic warm-moist and mid-latitude cold-dry air 101 masses (Wang et al., 2009; Xu and Li, 2017; Xu et al., 2021). Despite some experiences gained, 102 there are still large unexplained gaps in understanding the formation of extreme rainfall (Meng 103 et al.,2019).

104 Due to the tremendous impacts of the "23.7" event, many scholars have carried out 105 studies of the event from various aspects. Li et al (2024) provided a detailed analysis of fine 106 characteristics of the precipitation using radar and <u>dense</u> rain gauge observations. Xia et al. 107 (2025) investigated extreme hourly rainfalls at different episodes. Fu et al. (2023) paid attention 108 to the effects of dynamic and thermodynamic conditions on precipitation, while Gao et al. 109 (2024) focused on the impact of mountain-plain thermal contrast on precipitation distribution. 110 Although operational forecasts gave a reasonable spatial distribution of precipitation at that 111 time, the precipitation intensity was underestimated significantly. Indeed, it is found that, in 112 this event, the unusual westward-extended WNPSH played an important role in modulating 113 convection initialization and development, with several unusual features revealed, However, 114 given the unusual westward-extended WNPSH, some unexplainable questions have been raised, 115 while little attention has been paid to date. Firstly, what mechanism(s) could account for the 116 persistent severe rainfall? Besides, what is the role of the unusual westward-extended WNPSH 117 in governing the rainfall over North China? Therefore, we are motivated to conduct the present 118 modeling study to answer those questions.

The rest of the paper is organized as follows. A detailed description of the main features of extreme rainfall and synoptic-scale weather conditions is documented. Section 3 provides detailed model configuration and verification against observations. We present a detailed analysis of the extreme rainfall production in Section 4. The paper finishes with conclusions

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132 and outlooks.

133 2. Properties of rainfall and wind profiles

134 2.1 Characteristics of rainfall

Figure 1 shows the spatial distribution of 96-h accumulated rainfall from observations 135 during the period from 0000 UTC 29 July to 0000 UTC 2 August 2023, with the peak amount of 136 137 1004 mm at Liangjiazhuang station near Xingtai, Hebei Province of North China. Exceptionally long duration of rainfall is a notable feature of the event, with the longest 138 139 duration being 80 hours within the four days at some stations. The spatial distribution of the rain 140 belt with three severe rainfall cores is consistent with the orography direction of the Yanshan 141 Mountains on the north as well as of the Taihang Mountains on the south, suggesting that 142 orography plays an important role in the precipitation. It should be emphasized that three 143 rainfall cores, marked by Mentougou (MTG, 741 mm) in Beijing, and Yixian (YX, 753 mm) 144 and Xingtai (XT, 1004 mm) in Hebei Province, correspond respectively to the regions with 145 large topographic gradients (Fig. 1). Please refer to Li et al. (2024) for a detailed analysis of fine 146 features of this rainfall event.



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148 Fig. 1 Spatial distribution of 96-h accumulated rainfall (mm, shadings) from the intensive

149 surface rain gauge observations during the period from 0000 UTC 29 July to 0000 UTC 2

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August 2023; Gray contours denote orography from 50 m to 1000 m as marked. Three rainfall cores in Mentougou (MTG) in Beijing, and Yixian (YX) and Xingtai (XT) in Hebei Province are <u>denoted</u> by squares, <u>with</u> the values in parentheses <u>indicating</u> the maximum accumulated rainfall (marked by crisscross sign ×) for the regions, respectively. The blue asterisks (*) represent the locations of wind profiler observational stations near the three rainfall cores. The start ($\stackrel{<}{\xrightarrow}$) sign indicates the location of Beijing (BJ) City. (Similarly for the rest of figures).

158 2.2 Wind profiles

159	The observed wind profiles near MTG, YX, and XT are shown in Fig. 2. It is found that
160	temporal variations in horizontal wind fields are distinct during the rainfall event. Taking the
161	wind profiles near MTG as an example (Fig. 2a), the easterly or southeasterly wind at the
162	levels below 4 km was increased gradually from 2 m s ⁻¹ at 1200 UTC 28 to 24 m s ⁻¹ at 1200
163	UTC 30 July 2023. The easterly or southeasterly wind lasted to 0400 UTC 31 July 2023,
164	turned southerly except for near the ground, and then turned southwesterly near 0400 UTC 1
165	August 2023. After 0400 UTC 31 July, wind speed decreased significantly and then increased
166	drastically. More specifically, the wind speed <u>was</u> decreased from 8 m s ⁻¹ to 2 m s ⁻¹ , and then
167	increased to 14 m s ⁻¹ near 1 km above the ground. However, opposite variations were
168	observed above 4 km. One can see that the horizontal wind shifted from southwesterly to
169	southerly, then back to southwesterly. Overall, the shift in wind direction and speed altered the
170	vertical wind shear, which directly affected the development and organization of subsequent
171	convection (Pucik et al., 2021). Similar variations can also be found at YX and XT stations,
172	although the timing of changes is not synchronized with each other (Fig. 2c, d). The variations
173	proceeded from south to north, starting first at XT and finally at MTG, in pace with the typhoon
174	moving from south to north.

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Fig. 2 Temporal evolution of <u>the</u> wind profile (a full barb is 4 m s⁻¹, and shadings denote wind speed over 10 m s⁻¹) from observations near (a) MTG, (b) YX, and (c) XT during the period of 0000 UTC 29 July to 0000 UTC 2 August 2023. Note <u>that</u> only the wind profile below 5 km above the ground <u>is able to</u> be observed due to the limitation of the instrumentation near

- 189 Xingtai (XT). (see Fig. 1 their locations).
- 190 2.3 Synoptic conditions on 28 July 2023

Figure 3 displays a weather chart <u>on</u> 500 hPa at 1200 UTC 28 July 2023. One can see that the large-scale flow patterns exhibited a coexistence of the tropical storm Khanun_(2306) with a remnant vortex originating from typhoon Doksuri_(2305)^{*}. Note that the Khanun was in the rapid development stage <u>then</u>, while the vortex weakened significantly at <u>that time</u>. Another important weather system was the WNPSH (denoted by the 588 isoline) with a square-head

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^{*}The typhoon Doksur i(2305) weakened to a typhoon remnant vortex as it was passing through East China's Anhui Province. The China Meteorological Administration (CMA) stopped issuing updates on the Doksuri at 0300 UTC 29 July 2023. The remnant of Doksuri remained in a vortex in the lower troposphere, although its wind force diminished as it moved northward.



202 precipitable water (PW) of over 68 mm. Similar patterns can be viewed at the level of 850 hPa

203 (not shown).





207 (a full barb is 4 m s⁻¹), and precipitable water (kg m⁻², shadings).

208 **3. Model configuration and verification**

209 3.1 Model description

210 In this study, the persistent severe rainfall event is reproduced with the WRF model 211 version 4.1.3. The WRF model is configured in two-way nested grids of horizontal grid sizes of 212 9 km, 3 km, and 1 km. Figure 4 displays the geographical coverage of the WRF model domains, 213 with the grid points of 901(nx)×601(ny), 973×1231, and 1231×1591 for the outer, intermediate, 214 and inner domains, respectively. The outermost domain (i.e., D01) is centered at 115°E, 35°N, 215 and a total sum of 58 sigma levels is assigned in the vertical with the model top fixed at 20 hPa. 216 Since the rainfall is closely associated with the spatial distribution of orography over North 217 China (Fig. 1), the Shuttle Radar Topography Mission (SRTM) high-resolution (90 m) 218 topographic data is employed in the present simulation. It should be noticed that the model vertical level distribution was carefully tested and has achieved good performance (Yin et al., 219 220 2020; Yin et al., 2022a; Yin et al., 2018; Yin et al., 2022b). The WRF model physics schemes are configured with the YSU scheme for the planetary boundary layer (Hong et al., 2006), and the 221

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227 revised MM5 Monin-Obukhov (Jimenez) scheme for the surface layer (Jiménez et al., 2012),

228 <u>as well as the Unified Noah Land Surface Model (Tewari et al., 2004).</u> The rapid radiative

transfer model (RRTM) (Mlawer et al.,1997) and the Dudhia scheme (Dudhia,1989) are used

230 for longwave and shortwave radiative flux calculations, respectively. The Kain-Fritsch cumulus

231 parameterization scheme (Kain,2004) is utilized for the outer two coarse-resolution domains

232 but is bypassed in the finest domain (i.e., D03). The Thompson-ensemble cloud microphysics

scheme is applied to the explicit cloud processes (Thompson et al., 2008; Yin et al., 2022a).



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23410150500150025004000235Fig. 4 The WRF model orography (m, shadings) and the nested model domains used for236simulations with the grid sizes of 9 km (D01), 3 km (D02), and 1 km (D03).

237 The WRF model is integrated for 108 hours, starting from 1200 UTC 28 July 2023, with 238 outputs at 6-min intervals. The model outputs in the first 12 h are considered as the spin-up 239 process and thus are not used for the present work. The initial and outermost boundary conditions are interpolated from the final operational global analysis of 1-degree by 1-degree 240 241 data at 6-h intervals from the Global Forecasting System of the National Centers for 242 Environment Prediction (NCEP). In order to force large-scale fields consistent with the driving 243 fields, grid analysis nudging is activated by performing the Four-Dimension Data Assimilation 244 (FDDA) throughout the model integration (Bowden et al., 2012; Stauffer et al., 1991). The innermost domain (i.e., D03) outputs are validated and used for further analysis, and the 245 246 outermost domain (i.e., D01) outputs are used to demonstrate weather-scale dynamical and 247 thermal features. The wind profiler and surface hourly observations are provided by the 248 National Meteorological Information Center (NMIC) of the China Meteorological

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252 Administration (CMA) after strict quality control.

253 3.2 Model verification

254 Figure 5 shows the spatial distribution of 96-h accumulated rainfall from the simulation 255 during the period from 0000 UTC 29 July to 0000 UTC 02 August 2023. Generally speaking, 256 the WRF model replicates well the spatial distribution of severe rainfall. The rainfall belt with 257 three rainfall cores coinciding with the orography is reproduced well, and the simulated 258 extreme rainfall amount matches well with the observed. Note that the model produces a peak 259 96-h accumulated rainfall of 778 mm over the XT region, while the maximum rainfall of 1004 260 mm was observed over the XT region. Despite the simulation underestimates rainfall over this 261 region, it captures the main features of rainfall over central and North China.



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Fig. 5 Same as in Fig. 1 but for the simulated rainfall (mm, shadings).

Figure 6 compares the spatial distribution of daily rainfalls between observations and simulations during the period from 0000 UTC 30 July to 0000 UTC 2 August 2023. From observations, one can see that the daily rainfalls show obvious variations. On the first day (Fig. 6a), the rainfall occurred mainly in northern Henan <u>Province</u> and southern Hebei <u>Province</u>, on the east side of the Taihang Mountains with the rainfall cores over 250 mm. On the next day (Fig. 6b), the rainfall extended significantly northeastward, and a new strong rainfall core occurred, covering central Hebei Province and southwest Beijing. On the third day (Fig. 6c), 276 rainfall was significantly reduced in both coverage and intensity, mainly occurring in Beijing

and the surrounding areas. On the fourth day (Fig. 6d), rainfall moved eastward and weakened

rapidly. It is apparent that the model reproduces well the evolutions of the rainfall (Fig. 6e-h),

with general characteristics similar to the observed (Fig. 6a-d).

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Fig. 6 Spatial distribution of (a-d) observed and (e-h) simulated daily rainfall (mm) during the
period from 0000 UTC 30 July to 0000 UTC 2 August 2023.

284 Figure 7 compares the time series of hourly rainfall rates between the observed and the 285 simulated over the MTG, YX, and XT regions. The rainfall event is characterized by long 286 duration, widespread coverage, and high intensity. As has been mentioned above, the rainfall 287 extended from the south to the north, covering Henan Province, Hebei Province, and Beijing. 288 The rainfall first occurred in the XT region and ended near 0000 UTC 31 July 2023. In the wake 289 of that the rainfall belt moved northeastward, over both the MTG and YX regions rainfall occurred, and it ended nearly at 0000 UTC 2 August. The observed timings of initiating and 290 291 ending of the rainfall event are well replicated by the WRF model, with the observed peaks 292 reproduced as well, although there are some timing biases. For example, the strongest rainfall occurred over the MTG region during the period from 0000 UTC to 0600 UTC 31 July. 293 294 However, the simulated strongest rainfall has a 6-h lag, occurring from nearly 0600 UTC to 295 1200 UTC 31 July depending on the region. Overall, good agreements between the simulation 296 and observations are obtained in terms of the timing and location in the spatial distribution of

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The evolution of the simulated wind profile is presented in Fig. 8. Similar to the observed (Fig. 2), the simulated easterly wind increased_gradually from nearly 1200 UTC 29 July, corresponding to the start of the precipitation (Fig. 6e-h). The horizontal wind <u>shifted</u> from easterly to southerly except for near the ground and then turned to southwesterly with wind speed decreasing significantly. Overall, the variations of the simulated wind profile were consistent with those observed, indicating that the WRF model <u>was able to</u> well capture, the main features of the wind profile. Based on the wind profile and rainfall features, the simulated

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rainfall <u>process</u> is roughly divided into two stages. The shift moments (roughly marked by thick black lines) are near at 0800 UTC 31, 2000 UTC 30, and 1600 UTC 30 July for the MTG, YX, and XT regions, respectively. It should be noted that the wind field was significantly influenced by Typhoon Khanun (2306) and the remnant vortex originating from Typhoon Doksuri_(2305) in the present event. As the typhoon gradually moved northwestward and the vortex weakened, the first <u>region</u> to be affected was Xingtai (XT) in the south, then Yixian (YX) in the center, and finally Mentougou (MTG) in the north, <u>suggesting that</u> the wind shift

334 occurred at <u>a</u> different moment,



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Fig. 8 Same as Fig. 2 but for the simulated. The black lines denote wind shift from
southeasterly to southerly/southwesterly over the levels in the low to middle troposphere,

which roughly divided the rainfall<u>event</u> into two stages.

339 4. Unique features for the extreme rainfall

340 4.1 Dominant dynamic processes for convection initialization

341 The evolution of dynamical and thermal systems of the rainfall event in the first stage is

342 shown in Fig. 9. Although only a remnant vortex remained over central China at this time,

β43 typhoon Doksuri had an important influence on the WNPSH when it was strong as a super

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346 typhoon. Several days before the rainfall event, the super typhoon Doksuri was close to the WNPSH, and the southwest WNPSH edge was within the typhoon's outer region. Owing to the 347 348 inflow mass flux entering the typhoon region, the southwest part of WNPSH was severely 349 weakened by typhoon Doksuri (Sun et al., 2015). As a result, the west boundary of the WNPSH 350 appeared to an eastward retreat from 500 hPa to 850 hPa, showing an inclined vertical 851 distribution on its western boundary, especially from 700 hPa to 850 hPa. Capped by the 352 inclined WNPSH, water vapor was mainly transported to North China through a passage nearly 353 under 850 hPa that is built by the typhoon remnant vortex combined with the tropical storm 354 Khanun. At 500 hPa (Fig. 9a), the WNPSH (represented by the 588 isoline) covered a large part 355 of eastern China, with an unusual westward extension of the northwest corner to northwestern 356 China. At that time, the northwest corner extended much further westward, compared to that before 12-h (Fig. 3). Similar patterns can be seen at 700 hPa (Fig. 9b), but the west boundary of 357 WNPSH (represented by the 316 isoline) retreated to the East China Sea except for the 358 359 northwest corner. At 850 hPa (Fig. 9c), the WNPSH (represented by the 156 isoline) completely 360 retreated to the Western North Pacific, far away from China.

361 The spatial distribution of the high PW was consistent with that of a large equivalent 362 potential temperature (θ_e) of 344K at 500 hPa, indicating that the 334K contour covered a 363 relatively warm and/or wet region (Fig. 9a). Most importantly, the boundary of the high PW 364 corresponded to the large value of the potential temperature gradient over 8K on the east side 365 and 12K on both north and west sides. Previous studies (e.g., Rao et al., 2023) proposed that the 366 heavy rainfall region was closely attributed to the distributions of θ_e . Although the warm and 367 moist conditions were favorable for precipitation, the unfavorable large-scale forcings explain 368 well why no deep convection was formed over this region (marked with a dashed-line box in Fig. 9c,_d). The convergence, resulting from changes in wind direction and wind speed, was 369 370 conducive to triggering convection. Consequently, the weak convergence led to weak lifting 371 and consequent precipitation. Since the convergence occurred at the junction of cold and warm 372 air masses, like a warm front rainfall, rainfalls were formed in low intensity but long duration 373 and widespread coverage. It is important to note that the spatial distribution of rainfall is usually 374 considered to be consistent with the western boundary of WNPSH (i.e., the 588 isoline) at 500 375 hPa. However, the spatial distribution of rainfall in the present event is consistent with the dense zone of θ_e , instead of the western boundary of WNPSH. Therefore, in addition to the isoline 588 376

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385 at 500 hPa, the spatial distribution of θ_e needs to be given more attention in future operational

386 forecasts.



387 Fig. 9 Spatial distribution of geopotential height (blue contoured at 40 gpm), equivalent 388 potential temperature (red contoured at 2K intervals, θ_e), wind bars (a full barb is 4 m s⁻¹), and water vapor flux (g s⁻¹ cm⁻¹ hPa⁻¹, shadings) from the model D01 at 0000 UCT 30 July 2023: (a) 389 890 500 hPa, (b) 700 hPa, (c) 850 hPa, and (d) 925 hPa. The isolines of 588, 316, and 156 are 391 bolded to represent the WNPSH at 500 hPa, 700 hPa, and 850 hPa, respectively. The 392 convergence zone of southeast and southwest flows is marked by a dashed line box in panels (c) 393 and (d). The thick black line A-B denotes the locations for cross-section along the water vapor 394 transport pathway used in Fig. 10.

395	The warm and moist features over North China can also be seen from the cross-section
396	along the line A–B as shown in Fig. 10. The western orography region was <u>occupied</u> by cold air
397	mass over the levels above 3.0 km. Under the conditions, significant equivalent potential
398	temperature gradients were established between the warm and cold air masses, similar to a
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401 warm front. Meanwhile, owing to the blocking of orography below 1.3 km and the strong cold 402 air mass above 3.0 km, only the southeasterly flows between 1.3 and 3.0 km above the sea level 403 can overpass the mountains. It should be noted that although the warm and moist southeasterly 404 flows were lifted by the orography, they could not move further upward to trigger convection 405 because of the local capped cold and dry air masses overhead. Consequently, convergence 406 mainly resulted from the changes in wind direction and wind speed caused upward motion. As 407 the warm and moist air was lifted, condensation occurred and even generated precipitation. It 408 should be emphasized that the lifting was too weak to allow convection to be highly organized 409 (Fig. 10). For example, the updrafts in strong deep convective systems (e.g., Yin et al., 2020; 410 Yin et al., 2022c) are 5-10 times as large as the updrafts in the present event. Therefore, the weak 411 lifting was responsible for the rainfall in large coverage but low intensity. Besides, the 412 continuous and stable water vapor supply was another favorable factor for the precipitation.

413 Also from Fig. 10, one can see that North China was surrounded by warm dry air masses 414 on the east side and cold dry air masses on both north and west sides. More specifically, the air 415 mass at the levels above 1 km on the east side was over 3°C warmer than surrounding regions, 416 but the water vapor mixing ratio (q_v) was less than 14 g kg⁻¹ (humidity was less than 70%) 417 because this region was controlled by the WNPSH. The warm-dry cap overhead explains well 418 the absence of convection and rainfall over this region (cf. Figs. 5 and 6). On the north and west 419 sides, the air masses were dry with q_y less than 2 g kg⁻¹. The air was over 3°C colder than the 420 surrounding region except for the air near the ground. In view that warm air near the ground 421 might be associated with radiative heating from the ground, owing to being capped by the cold 422 and dry air overhead, jt would be understandable why convection could not be enhanced over 423 the mountains.

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Fig. 10 Vertical cross-section along line A–B given in Fig. 9 of temperature deviations (blue-contoured at 2°C intervals) from their level-averaged values in the cross-section, equivalent potential temperature (red-contoured at 4K intervals), water vapor mixing ratio (q_v , g kg⁻¹, shadings), and in-plane flow vectors (vertical motion amplified by a factor of 20) at 0000 UCT 30 July 2023, respectively. Gray shadings denote terrain.

436 In the second stage (Fig. 11), obvious differences in dynamical and thermal processes can 437 be seen, compared to those in the first stage (cf. Fig. 9). At 500 hPa (Fig. 11a), the WNPSH 438 further expanded westward with its western border reaching western China. It should be 439 emphasized that the southwestern part of WNPSH was severely damaged by the rapid 440 intensification of Khanun into a super typhoon. Meanwhile, as the trough deepened over 441 northeastern China, cold air from the north poured southward. Consequently, a north-south 442 orientated θ_e dense zone was established over eastern China. Similar patterns in θ_e and 443 horizontal wind field can be seen at 700 hPa (Fig. 11b). However, the WNPSH (represented by 444 the 316 isoline) was further disrupted as the Khanun continued to intensify, appearing that the 445 WNPSH retreated to the East China Sea except for the northwest corner. The north-south 446 orientated θ_e dense zone greatly prevented water vapor from transporting to North China above 447 850 hPa, and thus water vapor was mainly transported to North China by a shallow 448 southeasterly flow near the ground (Fig. 11c,_d). Consequently, the water vapor flux was 449 significantly reduced (Fig. 12a). Besides, North China was dominated by southerly flows over 450 levels above 500 hPa, and thus mid-tropospheric wind shear was significantly enhanced.

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Fig. 11 Same as Fig. 9 but for 0800 UTC 31 July 2023. Thick black lines A–B and C–D denote the locations for the cross-section in Fig. 12.

457 As addressed above, it was the variation, in environmental conditions that caused 458 consequent rainfall changes in nature. Especially, the shift in the wind field brought changes 459 in thermodynamic processes and water vapor sources. Before the wind shift (Figs. 9 and 10), 460 water vapor was mainly from the East China Sea associated with the cyclonic circulation of 461 the typhoon remnant vortex and the tropical storm Khanun and southeasterly flow below 925 462 hPa. After the shift, water vapor flux was significantly reduced from both southwesterly and 463 southeasterly flows (Fig. 11). Under such a framework, convections were largely triggered by 464 orographic blocking and lifting of southerly/southwesterly flows as convective instability air 465 approached the orography (Fig. 12). Unlike in the first stage, convections were further 466 developed northward over mountains, forming deep convections (Fig. 12b), which might be **删除的内容:**s

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471 <u>attributed to the fact</u> that the cold air on the north side moved northward. <u>Generally</u> speaking,

472 the convections in the second stage are much stronger and deeper than those in the first stage.

473 Consequently, the rainfall intensity is increased, compared to those in the first stage (Figs.

474 7d,e)



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Fig. 12 Vertical cross-sections along lines (a) A–B and (b) C–D given in Fig. 11 of equivalent potential temperature $(\theta_e, \text{ red-contoured at 4K intervals})$, water vapor mixing ratio $(q_v, \text{ g kg}^{-1},$ shadings), and in-plane flow vectors (vertical motion amplified by a factor of 10) at 0800 UCT 31 July 2023. Gray shadings denote terrain.

481 4.2 Moisture budget

The shift in wind direction and speed implies a change in water vapor source and rainfall properties (Fig. 13). As stated above, water vapor was mainly from the East China Sea associated with the cyclonic circulation of typhoon Khanun before the wind shift, and was 删除的内容:

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fueled by the southeasterly flow below 925 hPa. After the shift, the water vapor supply was significantly reduced <u>due to both southwesterly and southeasterly flow variations</u>. Figure 13 shows the time-height cross-sections of moisture flux across eastern, southern, western, and northern boundaries and total lateral boundary moisture flux for the MTG region. The moisture flux is calculated <u>by</u>.

492
$$QFlux = \int_0^L q_v \vec{v} dl \,.$$

Here, *QFlux* is moisture flux across one of the four boundaries, and q_v , v, and *L* are water vapor mixing ratio, wind vector, and the length of the boundary, respectively. The TOT is a summation of the *QFluxs* from the four boundaries by taking inward_(outward) as positive (negative).

497 One can see that the MTG region experienced vigorous lower-to-middle level inward 498 (outward) moisture fluxes across their eastern and southern (western and northern) boundaries. For the eastern boundary (Fig. 13a), the inward moisture flux began to increase gradually from 499 500 0000 UTC 29 July, with the maximum values over 13,500 kg kg⁻¹ m² s⁻¹ occurring between 501 1200 UTC 30 and 0000 UTC 31 July 2023. Then, the inward flux moisture decreased rapidly 502 and even transformed to the outward flux at 0000 UTC 1 August 2023. The inward moisture 503 flux was mainly concentrated below 3 km above the sea level because upper levels were capped 504 by the warm dry air masses associated with the WNPSH (cf. Figs. 9 and 10) movement. However, owing to weak lifting over, most of the water vapor flowed out through the western 505 506 boundary (Fig. 13b). Meanwhile, part water vapor was transported in this region from the 507 southern boundary except for the lower levels during 0000 UTC 30 to 0000 UTC 31 July 2023 508 (Fig. 13d). The outward flow water vapor is caused by the northeasterly branch around flow 509 due to the blocking of the Yanshan Mountains. Similar patterns can be found in the northern 510 boundary with almost the same outward water vapor flux (Fig. 13c). The temporal evolution of 511 the water vapor flux across the eastern boundary is consistent with that of rainfall over this 512 region (Figs. 13a and 7d), suggesting that rainfall formation was dominated by the inward of 513 water vapor from the eastern boundary. Overall, the inward net moisture fluxes were 514 concentrated in the lower troposphere between 0.5 km and 1.5 km (Fig. 13e), suggesting that

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520 most of the water vapor was consumed at this layer by condensation. Despite the high water

521 vapor flux, the water vapor-rich layer is too thin (nearly 1 km) to be favorable for the formation

522 of <u>severe</u> rainfall. Similar patterns can be found over both YX and XT regions (not shown),

523 although there were temporal and quantitative differences.



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526 eastern, (b) western, (c) northern, and (d) southern boundaries of the MTG region in Fig. 1; (e)

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529 TOT provides the total net moisture flux of all boundaries.

530 In the second stage, the north-south orientated θ_e dense zone greatly prevented water vapor 531 from being transported to North China by southeasterly flows from the East China Sea, and thus 532 water vapor was mainly transported to North China across the south boundary (Figs. 13b,_d). 533 Unlikely, the water vapor was mainly provided by southeasterly (southwesterly) flow 534 below(above) 500 hPa. Figure 13 shows the time-height cross-sections of the moisture fluxes. 535 It is seen from Fig. 13 that the water vapor flux amount was significantly reduced. Despite the 536 thickening of the water vapor flux layer associated with the southerly/southwesterly flows, the 537 water vapor flux is much less, compared to the first stage. Therefore, the wind shift had strong effects on the reduction in water vapor flux and consequent rainfall over North China. The same 538 539 results can also be obtained in the YX and XT regions (not shown). It is worth emphasizing that 540 strong hourly rainfalls occurred during the wind shift period (cf. Figs. 2, 7, and 8), suggesting 541 that the changes in wind direction enhanced wind shear and thus promoted the development of 542 convections and consequent precipitation under moisture and instability conditions (Chen et al., 543 2015; Rotunno et al., 1988; Schumacher and Rasmussen, 2020). Therefore, it is important to pay 544 special attention to environmental wind alterations in future remote rainfall forecasts.

545 4.3 Properties of convection

546 Figure 14 shows the temporal evolution of maximum upward motion and radar reflectivity over the MTG region during the rainfall period from 0000 UTC 29 to 0000 UTC 2 August 2023. 547 In the first stage (i.e., before 0800 31 July), most of the maximum updrafts were almost less 548 than 3 m s⁻¹. Owing to the weak updrafts, the storm did not stretch as high as typical convective 549 550 systems over North China, with hydrometeors concentrated on the levels with a temperature above 0°C (Fig. 14a). As addressed above (Fig. 10), weak updrafts were attributed by the 551 552 unfavorable large-scale conditions. The vertical distribution of hydrometeor indicates that the 553 warm rain processes were dominant in the persistent rainfall event. The result is consistent with 554 the water vapor consumed layer between 0.5 km and 1.5 km (Fig. 13e). Unlikely, the maximum 555 updraft was over 11 m s⁻¹ in the second stage (i.e., after 0800 31 July), which is much stronger than that in the first stage (Fig. 14). Correspondingly, the radar reflectivity penetrated through 556 557 the 0°C level with a cloud top exceeding 12 km, indicating that both warm and cold rain 删除的内容: Note

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560 processes were active in this stage. Correspondingly, the intensity of hourly rainfall increased 561 significantly, with the maximum value exceeding 100 mm (Fig. 7d). Generally speaking, there 562 are larger strong convective areas in the second than those in the first stage. The same features 563 were also found in the regions of YX and XT (not shown). Unlike the usual short-duration 564 heavy rainfall in North China (Mao et al., 2018; Xia and Zhang, 2019; Yin et al., 2022b; Li et 565 al., 2024), this precipitation was mainly dominated by warm cloud processes (Fig. 14), 566 consistent with observations (e.g., Fu et al., 2023). As addressed above, the weak updrafts 567 with warm-moist air were responsible for persistent rainfall but low intensity. A detailed 568 analysis of cloud microphysical processes for this event will be given in a forthcoming study, 569 in which all microphysical source and sink terms will be explained.





575 5. Conclusions and outlook

570

576 In this study, we examined the convective initiation and subsequent persistent heavy 577 rainfall over North China during the period from 29 July to 2 August 2023 in terms of

578 observations and simulations with the WRF model. From observations, the rainfall was

579 featured by long duration and widespread coverage but low intensity, like a warm front rainfall.

580 Firstly, the persistent <u>severe</u> rainfall event was reproduced by the WRF model. Further

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combination of a remnant vortex originating from typhoon Doksuri_(2305), the tropical storm
Khanun_(2306), the Western North Pacific subtropical high (WNPSH) with an unusual
westward extension of the northwestern corner, and stable cold dry air from over northern
China.

591 According to the simulated wind profiles and rainfall features, the persistent heavy rainfall 592 event was divided into two stages. Figure 15 summarizes the synoptic-scale forcings and 593 possible dynamic mechanisms for the persistent heavy rainfall. In the first stage (Fig. 15a), a 594 water vapor transportation passage was built by a typhoon remnant vortex and a tropical storm 595 Khanun, providing a stable warm moist water vapor supply. Several days before the rainfall 596 event, the southwestern WNPSH was within the typhoon Doksuri's outer region, and thus the 597 southwestern WNPSH was weakened by the tropical storm Doksuri. It appears that the west 598 boundary of the western North Pacific subtropical high (WNPSH) retreated eastward from 500 599 hPa to 850 hPa, showing an inclined vertical distribution on the western boundary, especially 600 from 700 hPa to 850 hPa. Capped by the inclined WNPSH, water vapor was mainly transported 601 to North China through a water vapor passage under nearly 850 hPa (Fig. 10). Although the 602 warm and moist regions were favorable for precipitation over North China, organized strong 603 convective systems were seldom because of the absence of favorable large-scale conditions. At 604 the same time, the orography in the western part of North China was occupied by dry cold air 605 mass over levels above 3.0 km. Owing to the blockings of orography below 1.3 km and the 606 strong cold air mass above 3.0 km, only the southeasterly flows between 1.3 and 3.0 km above 607 the sea level can overpass the mountains. Although the warm and moist southeasterly flows 608 were lifted by the orography, they could not go further upward to trigger convections because of 609 the locally capped cold and dry air masses overhead. Under the conditions, significant 610 equivalent potential temperature gradients were established between the warm and cold air 611 masses, similar to a warm front. Consequently, convergence mainly resulted from the changes 612 in wind direction and wind speed led to upward motion. As the warm and moist air was lifted, 613 condensation occurred and further generated precipitation. However, the lifting was too weak 614 to allow convection to be highly organized (Fig. 14), leading to the rainfall in low intensity but

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620 large coverage. Besides, the continuous and stable transportation of water vapor provided by 621 tropical storm Khanun ensured stable precipitation over a long period of over 80 h. Therefore, 622 this event shows similar rainfall features to those of a warm front rainfall with a long duration 623 and widespread coverage but low intensity.

624 In the second stage (Fig. 15b), the WNPSH further expanded westward at 500 hPa, with its 625 western border reaching western China. However, the southwest part of WNPSH was further 626 damaged by the rapid intensification of Khanun into a super typhoon. Consequently, the 627 embedded warm-dry cover associated with the tilted WNPSH was significantly thinned, 628 favoring convective development. Meanwhile, as the trough deepened over northeastern China, 629 cold air from the north poured southward. Consequently, a north-south-orientated equivalent 630 potential temperature (θ_e) dense zone was established over eastern China, which greatly 631 prevented water vapor from being transported to North China (Fig. 12a). However, owing to the 632 clockwise rotated southeasterly flow, a deep southerly southwesterly flow was built over North 633 China. The convections were triggered by orographic blocking and lifting of 634 southerly/southwesterly flows as convective instability air approached orography. Unlike the 635 first stage, the convections were further developed northward over mountains, forming deep 636 convections. It should be noted that the northward-moved cold air on the north side was 637 another favorable condition. Therefore, the convections in the second stage are much stronger 638 and deeper than those in the first stage, although water vapor flux is smaller in the second 639 period. Consequently, the rainfall intensity is increased, compared to that in the first stage. 640 Correspondingly, both warm and cold rain processes were active in the second stage, while 641 warm rain processes were dominant in the first stage.

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649 Fig. 15 (a) Three-dimensional diagram of the mechanisms for the persistent heavy 650 precipitation in the first stage. Several distinct synoptic systems, including the tropical storm 651 Khanun (2306), a remnant vortex originating from the typhoon Doksuri (2305), 652 quasi-stationary cold dry air masses, and an abnormal Western North Pacific subtropical high 653 (WNPSH) with inclined vertical distribution on the western boundary (thick black line). Blue 654 lines marked with 588 and 156 represent the WNPSH at 500 hPa and 850 hPa, respectively. 655 Red lines denote the spatial distribution of equivalent potential temperature (θ_e) dense zone 656 between 336 K and 344K. At 850 hPa, black arrows indicate jets with wind speed over 12 m 657 s⁻¹, and shadings denote water vapor flux. Orange shadings imply 96-h accumulated rainfall 658 over 200 mm; blue contours denote sea level pressure; gray arrows denote surface (i.e., z = 10m) horizontal wind with wind speed over 5 m s⁻¹, and black contours indicate orography (m). 659 (b) Same as (a) but for rainfall in the second stage. 660

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662 In this study, we have gained principal results of the persistent heavy rainfall event. It is important to note that the spatial distribution of rainfall is usually considered to be consistent 663 664 with the western boundary of WNPSH (i.e., the 588 isoline) at 500 hPa. In the present event, the 665 spatial distribution of rainfall is consistent with the dense zone of θ_e , rather than the western boundary of WNPSH. Therefore, in addition to the 588 isoline, the spatial distribution of θ_e 666 needs to be given more attention in future operational forecasts. Besides, we should give weight 667 to environmental wind shifts, which may lead to changes in convections and the nature of 668 669 consequent precipitation. Although reasonable dynamic mechanisms for the present persistent heavy rainfall have been proposed, there are still several questions that need to be answered. 670 671 Among those, more work is required to understand detailed cloud and precipitation processes. 672 In addition, diagnostic and budget analyses will be conducted to understand how the orography facilitates the generation of the rainfall belt with three rainfall cores along the mountains. 673 674 Nevertheless, the concept of synoptic-forcing-based forecasting is discussed as it might apply 675 to a broader spectrum of forecast events than just over North China.

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677 Code and data availability

The source code of the Weather Research and Forecasting model (WRF v4.1.3) is available at

679 https://github.com/wrf-model/WRF/releases (last access 1 August 2024). The National

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681 Centers for Environmental Prediction (NCEP) Global Forecast System one-degree final 682 analysis data at 6 h intervals used for the initial and boundary conditions for the specific 683 analyzed period can be downloaded at https://rda.ucar.edu/datasets/d083002/ (last access 1 684 August 2024). Modified WRF model codes and all the data used in this study are available 685 from the authors upon request.

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687 Author contributions

Conceptualization: JY, JS, and XL; methodology: JY and JS; data curation: JY and FL;
writing – original draft preparation: JY, and FL; writing – review and editing: JY, ML, RX,
XB, and JS; project administration: XL; funding acquisition: JY and XL. All authors have
read and agreed to the published version of the paper.

692

693 Competing interests

694 The contact author has declared that none of the authors has any competing interests.

695

696 Acknowledgments

The authors acknowledge the use of the NCAR Command Language (NCL) in the preparationof figures.

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700 Financial support

This study is jointly supported by the National Key R&D Program of China (2022YFC3003903), National Natural Science Foundation of China (42075083), Open Project Fund of China Meteorological Administration Basin Heavy Rainfall Key Laboratory (2023BHR-Z03), Basic Research Fund of Chinese Academy of Meteorological Sciences (2023Z001), and the Development Foundation of Chinese Academy of Meteorological Sciences (2019KJ026).

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