Optimizing Rainfall-Triggered Landslide Thresholds to Warning Daily Landslide Hazard in Three Gorges Reservoir Area

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- Abstract. Rainfall is intrinsically connected<u>linked</u> to the incidence<u>occurrence</u> of landslide catastrophes. ExploringIdentifying the idealmost suitable rainfall threshold model (RTM) for an area in order to determine the rainfall warning level (RWL) is crucial for the regionestablishing effective daily landslide hazard warnings, which are essential for daily landslide hazard warning (LHW) is critical forthe precise prevention and management of local landslides. In this paper, a method for calculating rainfall thresholds using This study introduces a novel approach that utilizes multilayer perceptron (MLP) regression is proposed to calculate rainfall thresholds for 453 rainfall-induced landslides. First, the study area was divided into subareas based on topography and climate conditions. Then, two methods, This research represents the first attempt to integrate MLP and ordinary least squares (OLS), were utilized to explore methods for determining the optimal RTM for each subregion. Subsequently, 11 factors along with three models were selected to predict landslide susceptibility
- 15 (LS). Finally, to obtain daily LHW result for the study area, a superposition matrix was employed to overlay the daily RWL with the ideal LS prediction results. The following are the study's findings: (1) The optimal RTMs and calculation methods are different for different rainfall threshold model tailored to distinct subregions. (2) The Three, categorized by topographical and climatic conditions. Additionally, an innovative application of a three-dimensional convolutional neural network model produces more accurate LS prediction results. (CNN-3D) model is introduced to enhance the accuracy of landslide
- 20 susceptibility predictions. Finally, a comprehensive methodology is developed to integrate daily rainfall warning levels with landslide susceptibility predictions using a superposition matrix, thus offering daily landslide hazard warning results for the study area. The key findings of this study are as follows: (1) The optimal rainfall threshold models and calculation methods vary across different subregions, underscoring the necessity for tailored approaches. (2) The CNN-3D model substantially improves the accuracy of landslide susceptibility predictions. (3) The daily LHW was landslide hazard warnings were
- 25 validated using anticipated rainfall data forfrom July 19, 2020, thereby demonstrating the reliability of both the landslide hazard warning results and the validation results proved the correctness of rainfall threshold model. This study presents a substantial advancement in the LHW results precise prediction and RTMmanagement of landslide hazards by employing innovative modeling techniques.

1 Introduction

- 30 Landslide catastrophesAccording to the China Statistical Yearbook, landslides accounted for 71.55% of geological disasters in China frombetween 2005 toand 2021, according to the China Statistical Yearbook (http://www.stats.gov.cn/sj/ndsj/). Frequent landslide catastrophes endanger people'slandslides pose significant risks to both lives and property (Xing et al., 2021). Rainfall will lead to landslide disasterstriggers landslides by changing thealtering pore pressure in the soil body (Zhao et al., 2022) and weakeningreducing the shear strength of the geotechnical bodymaterials (Chan et al., 2018). According to
- 35 research (Marin et al., 2020; Yuniawan et al., 2022):Research indicates that rainfall is intrinsically connected<u>linked</u> to the great-majority of landslide deformation and instability.deformations and instabilities(Marin et al., 2020; Yuniawan et al., 2022). Therefore, it is significantcrucial to delineate the rainfall thresholds for different rainfallvarious conditions and areas through the study for the fine development of regions to improve landslide hazard warning (LHW)warnings and disaster prevention and control. LHW-efforts, Landslide hazard warning is described as the conditional prediction of probable
- 40 landslide_the_temporal and spatial probability under the limitations of probabilities of landslide occurrence based on triggering and inducing variables factors (Budimir et al., 2015). The In this study, the rainfall warning level (RWL) (i.e., the temporal probability of landslide occurrence) calculated by derived from the rainfall threshold model (RTM) is serves as the triggering factor in this study, and, while the landslide susceptibility predictions (i.e., the spatial probability of occurrence) act as the inducing factor is the prediction result of landslide.
- 45 <u>Landslide</u> susceptibility (LS) (i.e.,reflects the spatial probability of landslide occurrence) calculated by the susceptibility model. The spatial probability of landslide occurrence can be reflected by LS (Huang et al., 2022b). GeneralMethods for predicting landslide susceptibility include general linear models (Aksha et al., 2020), information value models (Yu et al., 2022), and various machine learning models, and others are among the methods used to predict LS... Machine learning models can fitare more effective than other types in capturing and predictpredicting the nonlinear relationshiprelationships
- 50 between LS and landslide <u>susceptibility and predisposing factors more effectively than other kinds of models</u> (Guo et al., 2021). Commonly used machine learning models include logistic regression (Baharvand et al., 2020), artificial neural networks (Jiang et al., 2014), support vector machines (SVM) (<u>Chang et al., 2023;</u> Zhu and Hu, 2012), random forests (RF) (Chen et al., 2014; <u>Huang et al., 2024</u>), Bayesian algorithms (He et al., 2019), and deep learning algorithms (Huang et al., 2020). However, <u>determining whichselecting the most suitable</u> model is <u>best suited</u> for <u>LSlandslide susceptibility</u> prediction
- 55 isremains challenging, and there is greatsignificant uncertainty exists in the LS prediction results of variousobtained from different machine learning models (Xia et al., 2020). Even littlesmall improvements in LS-prediction accuracy might have a significant influence on LS can significantly impact landslide susceptibility zoning (Chen et al., 2018). Therefore, to decrease thereduce uncertainty of LSin landslide susceptibility results, differentmultiple susceptibility models are frequently employed to predict LS in the study area often applied, and the model with the greatesthighest accuracy is chosen selected for the study area.

RTM<u>Rainfall threshold modeling</u> approaches primarily include of deterministic methods based on physical and hydrological models, as well as empirical methods based on landslide cataloguing and rainfall event statistics (Chung et al., 2017; Wu et al., 2015). The former establishesDeterministic methods establish</u> the relationship between rainfall and landslide stability through dynamic hydrological models and <u>determinesdetermine</u> the critical rainfall threshold for landslide instability in the

- 65 physical model (Ciurleo et al., 2019). However, due to the difficulty inchallenges of accurately obtaining hydrological parameters and geotechnical parameters on a large scale, this method is onlyprimarily applicable to smaller study areaareas (Wu and Yeh, 2020). The latter is Empirical methods are mainly derived by calculating the relationship between historical landslide and rainfall data (Abraham et al., 2020a; Pradhan et al., 2019). This method approach is widely used because of due to its advantages of convenience in data acquisition, strong convenience, applicability, and excellent results effectiveness
- 70 (Martinovic et al., 2018). Currently, commonlyCommonly used RTMrainfall threshold models include the intensity of rainfall _____duration of rainfall (I-D) threshold model (Abraham et al., 2019; Lee et al., 2014) and the effective rainfall _____ duration of rainfall (E-D) threshold model (Abraham et al., 2020b; Peruccacci et al., 2017). The regressionRegression methods used to calculate the RTMrainfall threshold model include logistic regression (Mathew et al., 2014), ordinary least squares (OLS) regression (Rossi et al., 2017) and quantile regression (Salee et al., 2022). There are differences in the The
- 75 applicability of different RTMvarious rainfall threshold models and different regression methods in differentdiffers across regions (Marin, 2020; Segoni et al., 2018). Therefore, to decrease reduce uncertainty in LHW, several landslide hazard warnings, multiple regression methods and RTM must rainfall threshold models should be used employed to establish determine the best most appropriate rainfall threshold for a certain specific location.

Given that many researchers have employed the log-log coordinatescoordinate system for RTM-regression analysis of rainfall threshold models (He et al., 2020), this study proposes to use of the multilayer perceptron (MLP) regression method to study theexamine rainfall thresholds under various rainfall durations. SimultaneouslyAdditionally, the third-_dimension indicator-", "daily rainfall for the day" (R) was introducedincorporated to createdevelop the E-D-R RTM-based onrainfall threshold model, extending the E-D RTMrainfall threshold model (Liu et al., 2022).

In this study, the Three Gorges Reservoir Area (TGRA)-was usedselected as the study area, and the landslides. Landslides were first-catalogued to getobtain the E and D data duringfor the five days before the landslidespreceding each landslide, as well as the R data at the time of the landslides. Following thatSubsequently, the rainfall thresholds corresponding to the E-D and E-D-R models for distinctvarying landslide occurrence probabilities were calculated using both OLS and MLP regression methods, respectively. To . The study aims to explore the optimal RTMrainfall threshold model for the study area and, assess the feasibility of neural network for RTM research, as well as to networks in rainfall threshold modeling, and

90 categorize <u>RWLrainfall warning levels</u> based on the optimal <u>RTM. Then, select themodel. Landslide-inducing</u> factors that induce-were selected, and landslide occurrence and predict the <u>LS resultssusceptibility was predicted</u> using RF, SVM, and 3D convolutional neural network (CNN-3D) models, and utilize the <u>LS. The most accurate susceptibility</u> results with the best accuracywere used as the spatial probability of landslide occurrence in the study area. Finally, the daily <u>RWL is rainfall</u> warning level was combined with the LS result landslide susceptibility results using thea superposition matrix to achieve

95 thegenerate daily LHW, which serves aslandslide hazard warnings, providing a reference for precisionthe precise prevention and management of local landslide disasters. The study flowchart is shown in Fig. 1.



Figure 1. Flowchart of this study.

2. Methods

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100 2.1 Rainfall Threshold Model

2.1.1 OLS Regression

OLS regression is a <u>commonlywidely</u> used linear regression <u>method that can be used to establishtechnique for establishing</u> a linear relationship between the<u>an</u> independent variable (x) and <u>thea</u> dependent variable (y). It minimizes the <u>errordifference</u> between the predicted <u>value</u> and <u>the actual</u> observed value by <u>seekingfinding</u> the slope and intercept that best fits the data (Lim et al., 2023).

The basic form of itsthe OLS regression model can beis expressed as:

$$y = \beta_0 + \sum_{i=1}^n \beta_i \, x_i \,, \tag{1}$$

where y denotes the dependent variable, x_i denotes the independent variable, n denotes the number of independent variables, β_i denotes the coefficients of the independent variables, and β_0 denotes the constant intercept.

110 2.1.2 MLP Regression

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MLP is a common-commonly used neural network with the abilitycapable of nonlinear mapping, which canenabling it to learn complex nonlinear functional relationships through multiple layers of nodes. Currently, it-<u>It</u> has been widely usedapplied in manyvarious fields-such as, including geospatial analysis (Hasan et al., 2023; Wang et al., 2023b), aerodynamics (Barcenas et al., 2023), atmospheric science (Hoffman and Jasinski, 2023), rainfall prediction (Narimani et al., 2023), and image fusion (Mei et al., 2023). In-the regression analysis of scatter data, a scatter data set can be regarded is treated as composed a collection of multiple-input-output data pairs, and the. The model adjusts theirs weights of the model

by minimizing the error between the predicted value and the actual data, and finally realizes the <u>ultimately achieving accurate</u> regression of scatter data. In this study, we built an MLP model with two hidden layers (Fig. 1).



¹²⁰ Figure 1: Schematic diagram of the MLP model.

2.1.3 E-D-R Rainfall Threshold Model

The E-D-R RTM is based onrainfall threshold model builds upon the E-D RTM, with the introduction of rainfall threshold model by introducing the R metrics at the metric as a third latitude dimension to optimize the original RTM model. To investigate analyze the E-D-R RTM, rainfall threshold model, it is essential first to establish the E-D RTM must first be determined rainfall threshold model.

The E-D <u>RTM aims to investigate therainfall threshold model examines the relationship between</u> effective rainfall as a function of rainfall (Teja et al., 2019). The scatter <u>plot</u> is <u>generallytypically</u> analyzed <u>byusing</u> regression

in a log-log <u>coordinatescoordinate</u> system, <u>and then with</u> the resulting fitted <u>straight</u>-line <u>isthen</u> transformed into a <u>result in a</u> Cartesian coordinate system. The expression for this is:

(2)

$$130 \quad E = \alpha \times D^{\beta} ,$$

Assume that the linear equation obtained by fittingfitted in the log-log coordinates coordinate system has an intercept of *b* and a slope of *a*. Then, in the above equation this context, $\alpha = 10^b$, $\beta = a$, and where *D* denotes the duration of rainfall (d). in days), and *E* is the effective rainfall (in mm), which refers to defined as the total amount of rainfall that actually infiltrates and acts on impacts the landslide body in addition to the, excluding slope runoff and evaporation (Huang et al., 2022a). The effective rainfall formula used applied in this study is as follows:

$$E = \sum_{i=1}^{n} k^{i-1} E_i , (3)$$

where *E* denotes the effective rainfall, E_i is the rainfall on the previous *i* days, and *k* is the effective rainfall coefficient. The value of k is usually, typically set to 0.8 (Huang et al., 2022a). Furthermore Additionally, it has been showndemonstrated that the effective rainfall inwithin the first 5 days of the TGRA has a strong link in the Three Gorges Reservoir Area is strongly correlated with landslide events (Zhou et al., 2022). Therefore, the number of days of n considered for rainfall statistics *n*-in

140 <u>correlated</u> with landslide events (Zhou et al., 2022). Therefore, the number of days <u>ofn considered for</u> rainfall statistics n in this <u>workstudy</u> is set to 5.

The <u>indicator R is introduced as a third dimension</u> of the indicator R is added based onto extend the E-D RTM to expand the rainfall threshold model from two to three dimensions, and the RTL meetresulting in a model that satisfies the following relational equation:

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$$T = \max\{G_E, G_R\},$$
 (4)

where *T* denotes the final <u>RWL</u>rainfall warning level, while G_E and G_R denote the <u>RWL</u>rainfall warning levels for the E-D model and <u>RR dimension</u>, respectively.

2.2 CNN-3D Model

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<u>A</u> Convolutional Neural Network (CNN) is a deep learning algorithm, widely used extensively utilized in image recognition
 (Fan et al., 2022; Gill et al., 2022), natural language processing (Jin et al., 2023; Kaliyar et al., 2021) and various other domains. Its primary concept is to extractThe core principle of CNN involves extracting features from input data using athrough convolution operationoperations (Youssef et al., 2022). However, forin one- and two-dimensional CNNs, feature extraction for induced factor data is onlytypically performed at a single raster point. Both methods ignoreoverlook the spatial information aroundsurrounding the raster points (Yang et al., 2022). As a resultConsequently, this study presents introduces
 CNN-3D in order to fully useleverage the rich spatial information around thesurrounding raster points in order to increase, thereby enhancing the prediction accuracy of LS-landslide susceptibility. The structure of CNN-3D is similar tomirrors that

of <u>traditional</u> CNN, but <u>since the inputdue to the inclusion of additional spatial</u> data-<u>contains more information</u>, CNN-3D can <u>provideyield</u> more accurate results (Liu et al., 2023).

We picked a<u>A</u> three-dimensional structure was selected to creategenerate samples in this experiment. Before producing the samples<u>Prior to sample generation</u>, an n-channel pictureimage is formed<u>created</u> by superimposing *nn* components. Each pixel is then extended outwardsoutward by 7 pixels to generate <u>resulting in a 15 × 15 × *nn* image used as input. Subsequently, through operations such as convolution and pooling in the hidden layer, the map high-level features are mapped to thea low-dimensional space-and, which are then stored in the neural units of the fully connected layer, and finally classified. Finally, classification is performed using the Softmax function to obtain the results of landslidesdetermine
</u>



Figure 2: Schematic diagram of CNN-3D structurelandslide outcomes.

3. Overview of the Study Area

3.1 Physical and Geographical Characteristics

- 170 The study area is located in the upper reaches of the Yangtze River<u>between, extending from</u>Sandouping in Yichang City andto Jiangjin District in Chongqing, which is situated at longitude. It lies between longitudes 105°50′-<u>E and</u> 111°42′-E and latitudelatitudes 28°30′-<u>N and 31°45′-</u>N (Cheng et al., 2022), encompassing a total of . This area encompasses 29 administrative districts and counties, including 7 in Hubei Province and 22 in Chongqing Municipality (7 districts and counties in Hubei, and 22 districts and counties in Chongqing), and covering a total area of 5.67×10⁴km² (Fig. 32). The alignet of the region isovpariances a subtropical monscon elignete, with an average annual precipitation of ranging from 445.
- 175 climate of the region is experiences a subtropical monsoon climate, with an average annual precipitation of ranging from 445to 1813 mm (Long et al., 2021). And the The abundant rainfall in the area region is a major significant factor inducing landslides contributing to landslide occurrences (Guo et al., 2022).



180 Figure <u>32</u>: Geographic location of <u>the</u> study area and Thiessen polygon results for rainfall stations.

3.2 Landslide Data Cataloguing Geomorphology, geology, and Study Area Subdivision

Cataloging landslide data is crucial for studying rainfall thresholds (Gariano et al., 2021). This process involves recording essential information, including the time of occurrence, geographic location, and associated rainfall stations for each landslide event. The historical landslide data used in this study were provided by the Wuhan Geological Survey Center

185 (http://www.wuhan.cgs.gov.cn/). To identify the corresponding rainfall stations for each historical landslide, the Thiessen polygon method was employed to match each landslide point with the nearest rainfall station (Zhao et al., 2019), thereby obtaining the pre-landslide rainfall data (see Fig. 2, Thiessen polygons).

After filtering and cleaning, a total of 453 historical landslides with accurate rainfall information, dates, and locations were identified (see Fig. 2, Landslides). Historical rainfall data indicate that precipitation in the study area is primarily

190 <u>concentrated between May and October. The differing climatic conditions between the dry and rainy seasons may lead to</u> varying impacts of rainfall on landslide movements (Soralump et al., 2021). <u>climate play the most important role in</u> preparatory process of landslide initiation in any regionBased on this information, the historical landslides were classified into rainy season and dry season landslides according to their occurrence times (Fig. 3(b)).



195 Figure 3: Zoning map of the study area. (a) Schematic diagram of the sub-region merger; (b) Number of historical landslide hazard sites in each sub-region.

Given the substantial influence of geomorphological, geological, and climatic conditions on landslide triggers during the rainy season (Dahal and Hasegawa, 2008), and the differences between them lead to different rainfall thresholds in various

can vary across different regions. Therefore, in Accordingly, this study, further subdivided the landslide data from the

- 200 whole<u>rainy season. The</u> study area was divided into 10 zones (Fig. 4) by considering the topographyseveral sub-regions based on terrain and climatic conditions of the study area, and the optimal RTM was-, with rainfall thresholds calculated for each zone separately. Among them, Z₁₁, Z₁₂ and Z₁₃ are the moderate rainfall zone, low rainfall zone and high rainfall zone in the folded-region; However, due to the limited historical landslide data in regions Z₂₁, Z₂₂, Z₂₃, Z_{24Z3} and Z₄, adjacent regions were merged to mitigate potential inaccuracies in rainfall threshold calculations caused by insufficient data.
- 205 Specifically, Z₂₁ and Z₂₂ were combined; Z₂₃, Z₂₄, and Z₃ were combined; and Z₂₅ are the low rainfall zone, and Z₄ were combined. The final regional subdivision is illustrated in Fig. 3(a). For dry season landslides, due to relatively high uniform rainfall zone, high rainfall zone, moderate rainfall zone and high rainfall zone in the low and medium mountain region, respectively; Z₃ is the high rainfall zone in the medium and high mountain region; and Z₄ is the high rainfall zone in the hilly and plain zone the small number of events, no further subdivision was performed, and the rainfall threshold was calculated 210 for the entire study area.





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Before landslide data cataloguing, the corresponding rainfall dataset needs to be acquired. Based on the abundance of rainfall stations in the study area (refer to Fig. 3, Rainfall Station), Thiessen polygon method were used for the delineation (Zhao et al., 2019), which facilitates the finding of rainfall stations corresponding to landslides. The Thiessen polygon method results

satisfy the following conditions: (1) each polygon contains one and only one rainfall station; (2) any point within each

polygon is the closest to the rainfall station within the unit; (3) the points on the boundary are the same distance to the two neighboring rainfall stations. The result of its division is shown in Fig. 5.



220 Figure 5: Thiessen polygon method results map.

Landslide data cataloguing is the basis for the study of rainfall thresholds (Gariano et al., 2021), and its main contents include basic information such as the time of occurrence of landslides, geographic location, associated rainfall stations, and so on. The landslide cataloguing data in this study were obtained from the historical landslide hazard data provided by Wuhan Geological Survey Centre (http://www.wuhan.cgs.gov.cn/).

225 A total of 453 historical landslides with precise rainfall information, particular dates, and places were acquired by aggregating historical landslide data, removing landslides with no rainfall and missing rainfall data (refer to Fig. 3, Landslide).

The rainfall in the study area is mainly concentrated from May to October, and the differences in climatic conditions between the dry and wet seasons might result in various impacts of rainfall on landslide movement-(Sorahump et al., 2021).

230 Therefore, in this study, according to the time of occurrence of historical landslides, landslides occurring from May to October are classified as rainy season landslides, while landslides occurring from November to April are classified as dry season landslides. According to the records, there were 412 rainy season landslides and 41 dry season landslides (Fig. 6). Among them, rainfall thresholds for rainy season landslides were calculated separately according to the sub districts; whereas the number of dry season landslides is small and further subdivision is not conducive to the calculation of rainfall

235 thresholds, so only rainfall thresholds for dry season landslides were calculated for the entire study area.



Figure 6 shows that the five zones Z_{21} , Z_{22} , Z_{23} , Z_3 and Z_4 have less catastrophe spots. To avoid insufficient data affecting rainfall threshold accuracy, this study merged some neighboring regions (Z_{21} and Z_{22} merged; Z_{23} , Z_{24} , and Z_3 merged; and Z_{25} and Z_4 merged) based on the geographic location of each region for rainfall threshold calculation.

4. Results

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4.1 Rainfall Threshold Model Results

4.1.1 E-D Rainfall Threshold Model

Rainfall-triggered landslide is a randomlandslides are rare and small probability event, and if onlyprobabilistic events.
245 <u>Relying solely on</u> the minimum threshold is used to warn offor geological hazards, it will produce many warnings can result in numerous ineffective warnings (i.e., False Positive Error) (Sarkar et al., 2023). While decreasing the public's This not only diminishes public trust in disaster warning, it will result in a waste of but also leads to wasted resources foron preventive and control activities, which is not favorable to the advancement of impeding progress in disaster prevention and mitigation. Therefore Consequently, most of the current studies on RTM use a variety of rainfall threshold models utilize various
250 threshold curves with different landslide probabilities (Sheng et al., 2022), in order to improve enhance the reasonableness reliability and accuracy of rainfall warning. Generally, the warnings. Typically, landslide probability

indicates<u>refers to</u> the proportion of the number of landslides triggered by rainfall exceeding a <u>certainspecified</u> threshold among all occurringrelative to the total number of landslides (Yang et al., 2020).

In the calculation of For OLS regression calculation, the E and D scatters of data from historical landslide hazard locations in 255 each area were firstinitially plotted intoin the E-D log-log coordinates coordinate system, and the. The 50% landslide probability rainfall threshold curve was then derived by fitting this data using OLS regression. The fitted curves were then used subsequently employed to runperform OLS regression analysis on the historical landslide hazard points above and below the these curves to get, resulting in the 75% landslide probability rainfall threshold curve and the and 25% landslide probability rainfall threshold europerform (Fig. 74). Finally, the straight lines from the log-log coordinates coordinate system 260 straight lines were transformed to converted into curves in the Cartesian coordinate system europer (Table 1).



Figure 7: Plot of 4: E-D rainfall threshold model results <u>plotted</u> in <u>the</u> log-log <u>coordinatescoordinate</u> system (<u>using</u> OLS regression). In the figure, <u>regions are labelled as follows:</u> a <u>isrepresents</u> the Z₁₁ region, b <u>isrepresents</u> the Z₁₂ region, c <u>isrepresents</u> the Z₁₃ region, d <u>isrepresents</u> the Z₂₁Z₂₂ region, e <u>isrepresents</u> the Z₂₃Z₂₄Z₃ region, f <u>isrepresents</u> the Z₂₅Z₄ region, and g <u>isrepresents</u> the Dry Season.

Table 1: E-D rainfall threshold equation (derived from OLS regression).

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Region	Landslide probability	Equations (Log-log coordinates system)	E-D equation
	75%	y=0.4383x+1.4679	E=29.3697×D ^{0.4383}
Z_{11}	50%	y=1.2420x+0.7552	E=5.6912×D ^{1.2420}
	25%	(Log-log coordinates system) $(Log-log coordinates system)$ $y=0.4383x+1.4679$ $y=1.2420x+0.7552$ $y=2.6894x-0.4164$ $y=0.6981x+1.3464$ $y=0.9113x+0.8721$ $y=1.8193x+0.0102$ $y=1.0019x+1.1887$ $y=1.4792x+0.6246$ $y=1.8201x+0.0759$ $y=0.9977x+1.2307$ $y=1.6825x+0.4075$ $y=1.7100x-0.0969$ $y=0.5633x+1.3125$ $y=1.7673x+0.2014$ $y=2.8230x-0.7986$ $y=1.1974x+1.0675$ $y=1.4525x+0.6027$ $y=2.4652x-0.2305$	E=0.3834×D ^{2.6894}
	75%	y=0.6981x+1.3464	E=22.2024×D ^{0.6981}
Z_{12}	50%	y=0.9113x+0.8721	E=7.4490×D ^{0.9113}
	25%	y=1.8193x+0.0102	E=1.0238×D ^{1.8193}
	75%	y=1.0019x+1.1887	E=15.4419×D ^{1.0019}
Z13	50%	y=1.4792x+0.6246	E=4.2131×D ^{1.4792}
	25%	y=1.8201x+0.0759	E=1.1910×D ^{1.8201}
	75%	y=0.9977x+1.2307	E=17.0098×D ^{0.9977}
$Z_{21}Z_{22}$	50%	y=1.6825x+0.4075	E=2.5556×D ^{1.6825}
	25%	y=1.7100x-0.0969	E=0.8000×D ^{1.7100}
	75%	y=0.5633x+1.3125	E=20.5353×D ^{0.5633}
$Z_{23}Z_{24}Z_{3}$	50%	y=1.7673x+0.2014	E=1.5900×D ^{1.7673}
	25%	y=2.8230x-0.7986	E=0.1590×D ^{2.8230}
	75%	y=1.1974x+1.0675	E=11.6815×D ^{1.1974}
$Z_{25}Z_4$	50%	y=1.4525x+0.6027	E=4.0059×D ^{1.4525}
	25%	y=2.4652x-0.2305	E=0.5882×D ^{2.4652}
	75%	y=0.7295x+0.9706	E=9.3454×D ^{0.7295}
Dry Season	50%	y=2.1754x-0.1679	E=0.6794×D ^{2.1754}
	25%	y=2.7079x-0.7646	E=0.1719×D ^{2.7079}

In the <u>calculation of MLP</u> regression <u>analysis</u>, the rainfall thresholds <u>corresponding tofor a</u> 50% landslide probability <u>were</u> <u>initially fitted separately</u> for each duration of rainfall (D) <u>were first fitted separately. The</u>). MLP regression was then <u>performed on the applied to</u> historical landslide data above and below <u>thethese</u> thresholds, <u>respectively</u>, to <u>obtain the 75%</u> landslide probability and 25% landslide probability determine the rainfall thresholds <u>corresponding tofor 75% and 25%</u> landslide probabilities for each D. <u>Due to the lack of Limited</u> historical landslide <u>hazard</u> data <u>atfor</u> a D of 1 in some regions (e.g., <u>region Z₁₂</u>) and <u>the small amount of historical landslide hazard insufficient</u> data <u>atfor</u> a D of 5 in <u>someother</u> regions (e.g., <u>region Z₁₁), these can) may</u> lead to <u>irrational results of inaccuracies in</u> the fitted rainfall thresholds. <u>InTo address</u> this <u>regard</u>, <u>this study usedissue</u>, Gaussian regression (Kumar and Kavitha, 2021) and GM(1,1) grey prediction model (Chen and Huang,

275 2013) were employed to correct the rainfall threshold results obtained thresholds derived from MLP regression. The corrected results are shown in Fig. <u>85</u> and Table 2.



Figure 8: Plot of 5: E-D rainfall threshold model results (plotted using MLP regression). In the figure, regions are labelled as follows: a isrepresents the Z₁₁ region, b isrepresents the Z₁₂ region, c isrepresents the Z₁₃ region, d isrepresents the Z₂₁Z₂₂ region, e isrepresents the Z₂₃Z₂₄Z₃ region, f isrepresents the Z₂₅Z₄ region, and g isrepresents the Dry Season. The red, blue, and purple points in Fig. 8 are thedenote rainfall threshold points obtained from the fit values fitted for different various landslide probabilities. The lineLine segments are justincluded solely for connecting the individual threshold points for viewing purposes visual clarity and have no do not convey any practical information.

Region	Duration of rainfall (D)	75% threshold (mm)	50% threshold (mm)	25% threshold (mm)
	1	14.2305	10.1800	1.9625
	2	36.4914	23.3267	8.7024
Z11	3	63.5907	37.0893	18.6210
	4	76.6291	41.7210	22.9260
	5	103.0000	53.8090	32.6260
	1	57.9690	2.4749	0.1550
7	2	59.6126	20.0312	6.8458
Z 12	3	62.3002	38.0666	17.3107
	4	61.0451	34.2639	14.1966

Table 2: E-D rainfall threshold (derived from MLP regression).

	5	63.2107	36.7170	19.0748
	1	10.8122	6.3897	1.9677
	2	42.1870	26.1761	10.1656
Z13	3	66.7259	29.0723	11.5028
	4	73.7542	48.4590	24.8502
	5	87.3909	55.1944	31.0476
	1	24.2575	7.4117	1.1585
	2	42.5658	15.8642	2.5160
$Z_{21}Z_{22}$	3	67.0825	35.8785	9.5152
	4	84.8807	47.0166	20.3769
	5	102.6789	58.1546	18.9942
	1	5.5210	1.0893	0.5702
	2	33.3538	10.1252	3.7901
$Z_{23}Z_{24}Z_{3}$	3	59.1386	25.2715	7.0353
	4	57.8357	27.9044	10.4444
	5	162.7467	87.5204	37.3694
	1	15.9482	8.6114	1.2742
	2	29.2418	21.1900	10.4545
$Z_{25}Z_{4}$	3	64.6284	29.0526	14.8209
	4	73.3920	52.0651	20.0756
	5	104.1990	70.4430	25.8100
	1	5.0503	0.6647	0.5818
	2	15.7035	5.1495	1.6332
Dry Season	3	22.2420	10.8428	3.2452
	4	30.0733	18.1523	10.2084
	5	47.1948	33.3588	26.4428

285 The threshold curves generated<u>derived</u> from OLS regression in the log-log <u>eoordinatescoordinate</u> system <u>often</u> <u>exhibittypically display</u> an upward trend, as <u>shownillustrated</u> in Fig. 7, and<u>4</u>, with the slopes of the rainfall threshold curves for 25%, 50%, and 75% landslide <u>probability gradually decrease</u>. From Fig. 8probabilities decreasing progressively. As shown in Fig. 5, the rainfall thresholds obtained from MLP regression for <u>differentvarious</u> landslide probabilities <u>also show a</u> generally <u>exhibit an</u> increasing trend, <u>but</u>. However, the relatively small amount <u>oflimited</u> historical landslide data in some subregions results in relatively unreasonableleads to less accurate rainfall thresholds (e.g., the rainfall threshold for the

 $Z_{23}Z_{24}Z_3$ region shows a large increase when D is 5).

4.1.2 E-D-R Rainfall Threshold Model

BasedBuilding on the above-E-D rainfall threshold model, the third dimension indicator R was introducedincorporated to constructdevelop the E-D-R rainfall threshold model. In this model, the value of R is taken equalset to the rainfall threshold corresponding to when a duration of D isequal to 1 in the E-D RTM-rainfall threshold model. These three indicators

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visually<u>collectively</u> form a closed "box" (Fig. 9), with<u>6</u>), demonstrating "nested" relationships between the<u>among</u> different landslide probability levels.



Figure <u>96</u>: Schematic <u>diagram</u> of the E-D-R rainfall threshold model <u>obtained fromillustrated using</u> the OLS regression (<u>results</u> 300 <u>from the Z13).In Fig. 9, the region as an example. The</u> green, yellow, and red boxes <u>indicatein the figure represent landslide</u> <u>probabilities corresponding to</u> rainfall thresholds of <25%, 25-50%, and 50-75%, respectively.

4.1.3 Model Accuracy Verification

The accuracy of the model was tested in this research utilizingevaluated using 82 landslide hazardshazard events from 2019 and 2020 that were not involvedincluded in the RTM rainfall threshold model calculations in 2019 and 2020. Figure 10 depicts7 shows the number distribution of landslide hazardshazard events in each regionacross different regions.

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Figure 10: The number7: Number of landslide hazard events in each region of the validation set.

In the actual<u>practical</u> landslide control work, it is impossible to obtain the<u>prevention</u>, real rainfall on a certain day in the <u>_</u> time_future, so it can only be replaced by the forecast_rainfall. In order to make the <u>_</u> data is unavailable and must be substituted with forecasted rainfall. To enhance the realism of the validation data source offor the rainfall threshold model

- more realistic, this study relies on the abundantused numerous rainfall forecastingforecast stations inwithin the study area (Fig. 11) and counts the forecastto gather forecasted rainfall amounts for the 82 landslide events on the day of the occurrence of these 82 landslide hazards as well as the previous 5and for the five days forprior. Notably, the validation of the model. The rainfall forecast stations in Fig. 11 are distributed used here were established later and differ from the rainfall stations.
- 315 used in the landslide cataloguing (Fig. 2, Rainfall Station). These forecast stations, covering the entire study area at 0.05° intervals, and the forecast rainfall data were provided by the Wuhan Geological Survey Centre. The data are updated inprovide real-time according to meteorological changes, and the data used in the study are adopted from the latest update of the forecast data to ensure the accuracy of the data-time updates on forecasted rainfall.



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Figure 11: Map of rainfall forecasting stations.

The research regionstudy area was classified into four warning categories based on the rainfall threshold elassification-results: attentionAttention (<25%), special attentionSpecial Attention (25-50%), warningWarning (50%-75%), and severe warningSevere Warning (≥75%). Figure 12-displays8 presents the ultimate-outcomesresults of the validation process for 325 each region's four RTMrainfall threshold model categories. FurthermoreAdditionally, Table 3 displayshows the proportion of hazardous circumstancessituations corresponding to the two warning"Severe Warning" and "Warning" levels of "severe warning" and "warning" in the E-D-R RTMrainfall threshold model validation results.



Figure 12: The distribution8: Distribution of warning levels in the validation set for each partitioned region. In the figure, a isRegions are labelled as follows: a represents the Z₁₁ region, b isrepresents the Z₁₂ region, c isrepresents the Z₁₃ region, d isrepresents the Z₂₁Z₂₂ region, e isrepresents the Z₂₃Z₂₄Z₃ region, f isrepresents the Z₂₅Z₄ region, and g isrepresents the Dry Season.

Table 3: Proportion of hazard events corresponding to the "Severe Warning" and "Warning" levels in the E-D-R <u>RTMrainfall</u> threshold model for each partitioned region.

Region	Regression approach	Level	Percentage (%)
	MLD	Severe Warning	46.88
7	WILP	Warning	12.50
Z11	OL S	Severe Warning	40.63
	OLS	Warning	40.63
	MLD	Severe Warning	7.69
7	MILP	Warning	92.31
Z 12	OL S	Severe Warning	53.85
	OLS	Warning	46.15
	MLD	Severe Warning	80.00
Z13	WILF	Warning	20.00
	OLS	Severe Warning	60.00

		Warning	40.00
	MUD	Severe Warning	44.44
77	MILP	Warning	33.33
L 21 L 22	OL S	Severe Warning	44.44
	ULS	Warning	55.56
	MLD	Severe Warning	33.33
77.7.	MILP	Warning	66.67
L23L24L3	OLS	Severe Warning	0.00
		Warning	100.00
	MID	Severe Warning	50.00
77.	WILF	Warning	20.00
L25L4	OLS	Severe Warning	70.00
		Warning	30.00
		Severe Warning	40.00
Dury Gaaraan	MLP	Warning	50.00
Dry Season		Severe Warning	60.00
	ULS	Warning	30.00

The following conclusions maycan be drawn from an analysis of analyzing the prediction accuracy of the four categories of RTM: rainfall threshold models:

(1) The accuraciesaccuracy of the E-D-R RTM-rainfall threshold model, as computed using both MLP regression and OLS regression-are-much better than, significantly surpasses that of the comparable E-D RTM. The E D R RTM predict outputs rainfall threshold model. With the inclusion of the R indicator in the third dimension, the E-D-R rainfall threshold model's predictions no longer include the "Attention" warning level for all areas (except Z₁₁-excepted) when the R indicator was included in the third dimension. Furthermore). Moreover, there has been a risean increase in the percentage of hazard incidents categorized asclassified under the "Warning" and "Severe Warning" categories across all regions. Compared withto the E-D model, the proportion of hazardous conditions categorized as "Warning" and "Severe Warning" in the "Warning" and "Severe Warning" warning levels of the E D R RTM increasesE-D-R rainfall threshold model increased from 41.46% to 76.82%, and while the result of proportion for OLS regression increases from 69.51% to 91.46%.

345 (2) The<u>Although the</u> prediction accuracies of the E-D-R <u>RTM for each region arerainfall threshold model vary</u> slightly different between the MLP regression and the OLS regression, but in general for each region, the totaloverall proportion of hazardous conditions atin the warning levels of "Warning" and "Severe Warning" islevels remains similar.

(3) The optimal RTM for each region is shown in Table 4.

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(3) Table 4 presents the optimal rainfall threshold model for each region. The E-D-R models obtained from MLP regression

350 are identified as the optimal models for the Z_{13} and $Z_{23}Z_{24}Z_3$ regions, demonstrating the feasibility of utilizing neural networks (MLP) for rainfall threshold model research.

Region	Optimal rainfall threshold modelling (regression approach)
Z11	E-D-R (OLS)
Z_{12}	E-D-R (OLS)
Z ₁₃	E-D-R (MLP)
$Z_{21}Z_{22}$	E-D-R (OLS)
$Z_{23}Z_{24}Z_{3}$	E-D-R (MLP)
$Z_{25}Z_{4}$	E-D-R (OLS)
Dry Season	E-D-R (OLS)

Table 4: Optimal RTMrainfall threshold model for each partitioned region.

The optimal RTM for Z_{13} and $Z_{23}Z_{24}Z_3$ regions are the E D R models obtained from the MLP regression, proving the feasibility of using neural networks (MLP) for RTM research.

355 4.2 Landslide Susceptibility Results

4.2.1 Landslide Inducing Factor Selection

Combined with<u>Based on</u> the research results<u>findings</u> of previous scholars (Chen et al., 2021; Chen et al., 2020; Habumugisha et al., 2022; Li et al., 2022; Li et al., 2020; Rohan et al., 2023) and <u>considering</u> the actual situationspecific conditions of the study area, this study selected a total of 11 landslide inducing factors, including that potentially induce

360 <u>landslides. These factors include</u> elevation, Normalized Difference Vegetation Index (NDVI), Topographic Wetness Index (TWI), road density, stratigraphic lithology, tectonic density, river distance, slope, curvature, land cover, and slope structure, were selected in this study. (Table 5).

Table 5: Sources of data for landslide-inducing factors.

Factor Category	Data Source	Inducing Factor
		Elevation
Tono months, and Coord ample as	Geological Map	Slope
ropography and Geomorphology	STRM DEM-(30m)	Curvature
		Slope Structure
	Carlarial Mar	Stratigraphic Lithology
Geological Lithology	Geological Map	Tectonic Density
	National Basic Geographic Database	TWI
Hydrological Factor	STRM DEM (30m)	River Distance
Land Use	Landsat Remote Sensing Image-(30m)	NDVI

		Land Cover Type
Human Engineering Activities	OpenStreetMap	Road Density

Among them, the these factors, slope structure considers refers to the relationship between the slope aspect of the slope and the inclination of the rock formation (Niu et al., 2014), and different. Different types of slope structures can lead to differences result in variations in landslide size and intensity. Based on different the slope gradient (σ), slope direction (γ), and inclination (α) and tendency (β) of the rock formation, the following eight types of slope structures are classified into the following eight types (Table 6).

Table 6: Classification of slope structure types and percentage of each type intheir respective percentages within the study area.

Code <u>Class</u>	Relationship between α , β , γ and σ	Area (%)
ANearly horizontal slope	α≤5°	1.720
BOver-dip slope	$\alpha\!\!>\!\!5^\circ, \gamma\!\!-\!\beta \!\in\!\![0^\circ, 30^\circ) \text{ or } \gamma\!\!-\!\beta \!\in\!\![330^\circ, 360^\circ), \sigma\!\!>\!\!\alpha$	5.127
CFlat-dip slope	$\alpha \!\!>\!\!5^\circ, \gamma\!\!-\!\!\beta \!\in\! [0^\circ, 30^\circ) \text{ or } \gamma\!\!-\!\!\beta \!\in\! [330^\circ, 360^\circ), \sigma \!\!=\!\!\alpha$	0.000
D <u>Under-dip slope</u>	$\alpha \!\!>\!\!5^\circ, \gamma\!\!-\!\!\beta \!\in\! [0^\circ, 30^\circ) \text{ or } \gamma\!\!-\!\!\beta \!\in\! [330^\circ, 360^\circ), \sigma\!\!<\!\!\alpha$	13.581
EDip-oblique slope	$\alpha > 5^\circ$, $ \gamma - \beta \in [30^\circ, 60^\circ)$ or $ \gamma - \beta \in [300^\circ, 330^\circ)$	17.559
FTransverse slope	$\alpha > 5^\circ$, $ \gamma - \beta \in 60^\circ$, 120°) or $ \gamma - \beta \in [240^\circ, 300^\circ)$	32.066
GAnticlinal-oblique slope	$\alpha \!\!>\!\!5^\circ, \gamma\!\!-\!\beta \!\in\! [120^\circ, 150^\circ) \text{ or } \gamma\!\!-\!\beta \!\in\! [210^\circ, 240^\circ)$	15.089
HAnticlinal slope	α>5°, γ-β ∈[150°, 210°)	14.857

370 Stratigraphic lithology data was obtained by vectorizing and classifying geological maps (scaleat a 1:200,000), scale. Each lithology has a differentis associated with distinct pedogenic environment and will varyenvironments, leading to variations in composition and stability, which affects the in turn influence landslide occurrence of landslides (Cobos-Mora et al., 2023). In this paper, the study, the area iswas classified into four lithological categories: carbonate, clastic, carbonate and clastic, as well as Igneousigneous and metamorphic rocks. In addition, when the research area is Furthermore, in large and most of the tectonics are study areas where tectonic features are highly intertwined with each other, the distance from tectonics is no longer suitable to tectonic structures becomes less relevant as a correlation_correlating factor, and; instead, tectonic density

- should be used instead<u>considered</u> (Wang et al., 2014). Also, since the road data also show interlocking status, this paper uses tectonic density and road density as evaluation factors. When using ArcGIS to calculate the density, the search radius is kept as default, and the area unit is square kilometers.
- To ensure the <u>reasonableness</u> of the <u>rational</u> selection of landslide—inducing factors, <u>this study used</u> Pearson correlation analysis <u>was employed</u> to <u>explore examine</u> the degree of correlation among the selected <u>inducing</u> factors (Zhang et al., 2022) (Fig. <u>139</u>). The <u>value of</u> correlation <u>coefficient</u> ranges from -1 to 1. <u>The</u>, <u>where values</u> closer <u>the value is</u> to 1 or -1, <u>the</u> <u>indicate a</u> stronger <u>the</u> correlation between the <u>two</u>-variables, and <u>the values</u> closer <u>the value is</u> to 0, <u>the indicate a</u> weaker <u>the</u> correlation <u>between the two variables</u> (Cao et al., 2023).

385 The<u>As shown in Fig. 9, the</u> correlation coefficients between the<u>most</u> inducing factors are low, as shown in Fig. 13, with the exception of the<u>a</u> somewhat higher correlation value between elevation and river distance (0.53). Given that elevation <u>Elevation</u> and river distance are two importantboth critical factors for eausing landslides (in landslide occurrence—elevation is inherent in the<u>fundamental to landslide susceptibility</u> assessment of LS (Wang et al., 2022b), which affectsaffecting the distribution of submerged layers as well as<u>and</u> the intensity of human activities; and the erosive effect of the<u>while</u> river on the shorelineerosion can damagedestabilize slopes by undercutting the foot of the slopebase and soften the<u>softening</u> rock and soil massmasses (Selamat et al., 2022), they are all-). Therefore, both factors were retained in this study. TheseUltimately, 11 inducing factors were finally determined to be used in the TGRA's LSselected for landslide susceptibility assessment researchin the study area.



395 Figure 9: Pearson correlation analysis results for landslide-inducing factors.

4.2.2 Grading of Landslide Susceptibility Factors

Combined withConsidering the actual situationspecific conditions of the study area and the results of insights from previous studies research, the class classification of each landslide predisposing factor and, along with the corresponding result map of this study are shown, is presented in Table 7 and Fig. 1410. The landslide susceptibility evaluation was carried out inconducted using raster cells with a sizedimensions of 30m × 30m. It's also worth noting It is important to emphasize that the historical landslide data utilized used for LSsusceptibility prediction includes encompasses all 6,888 recorded landslideslandslide events, not just the 453 events filtered for inclusion in the RTMrainfall threshold model calculations.

Predisposing Factor	Classification Criteria	Code	
	≤300		
	(300,600]		
Elevation (m)	(600,900]	а	
	(900,1200]	a	
	(1200,1500]		
	>1500		
	[-1,0]		
	(0,0.2]		
NDVI	(0.2,0.4]	h	
NDVI	(0.4,0.6]	U	
	(0.6,0.8]		
	(0.8,1]		
	≤6		
	(6,8]		
TWI	(8,10]	с	
	(10,14]		
	>14		
	[0,0.5]		
	(0.5,1.2]		
Road Density (km/km ²)	(1.2,2.5]	d	
-	(2.5,5.0]		
	>5.0		
	Carbonates		
	Clastic rocks		
Stratigraphic Lithology	Carbonates and clastic rocks	e	
	Igneous and metamorphic rocks		
	[0,0.03]		
	(0.03,0.12]		
Tectonic Density (km/km ²)	(0.12,0.24]	f	
	(0.24,0.38]		
	>0.38		
	≤500		
	(500,1000]		
River Distance (m)	(1000,1500]	g	
	>1500		
	[0,10]		
Slope (°)	(10,20]		
• • *	(20.30]		

Table 7: Classification of landslide-inducing factors used in this study.

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	(30,40]	
	(40,50]	
	>50	
	<u>≤-3</u>	
	(-3,-1]	
Curvature (m ⁻¹)	(-1,0]	i
	(0,1]	
	>1	
	Urban land	
	Agricultural land	
L C	Forest land	:
Land Cover	Grassland	J
	Water	
	Other Land	
	ANearly horizontal slope	
	BOver-dip slope	
	D <u>Under-dip slope</u>	
Slope Structure	EDip-oblique slope	k
	F <u>Transverse slope</u>	
	GAnticlinal-oblique slope	
	HAnticlinal slope	

I





Figure 14: Landslide-10-1: Grading results for landslide-inducing factors grading results map. (a) Elevation; (b) NDVI; (c) TWI; (d) Road density; (e) Stratigraphic lithology; (f) Tectonic density.



410 Figure 10-2: Grading results for landslide-inducing factors (continued). (g) River distance; (h) Slope; (i) Curvature; (j) Land cover; (k) Slope structure.

4.2.3 Landslide Susceptibility Evaluation Results

In this study, three models, CNN-3D, RF and SVM, were <u>usedemployed</u> to evaluate the <u>LSIandslide susceptibility</u> of the study area, and the. The optimal <u>LS result was chosenlandslide susceptibility results obtained from these models were then</u> <u>selected</u> for subsequent daily <u>LHW-landslide hazard warnings</u>. The relevant <u>indicators obtainedperformance metrics</u> from the training of the three models are shownpresented in Table 8.

415 training of the three models are shownpresented in Table 8.

Table 8 indicates that the AUC values for the CNN-3D, RF, and SVM models are 0.96, 0.82, and 0.83, respectively. These AUC values demonstrate that all three models effectively predict the probability of landslide occurrence in the study area, with the CNN-3D model exhibiting superior predictive accuracy compared to the RF and SVM models. Furthermore, the CNN-3D model outperforms the RF and SVM models across the other four metrics. Consequently, the landslide

420 <u>susceptibility results from the CNN-3D model were classified into five categories using the natural breaks method (Fig. 11)</u> and were subsequently utilized for daily landslide hazard warnings.

M - 1-1		Mod	el Evaluation Indica	ators	
Model	AUC	Accuracy	Precision	Recall	F1_score
CNN-3D	0.96	0.9003	0.8663	0.9295	0.8968
RF	0.82	0.7500	0.7656	0.7416	0.7534
SVM	0.83	0.7630	0.7625	0.7623	0.7624

Table 8: Results offrom the training of the susceptibility evaluation modelmodels.

Table 8 shows that the AUC values for CNN 3D, RF, and SVM models are 0.96, 0.82, and 0.83, respectively. The AUC values indicate that all three models can better predict the probability of landslide occurrence in the study area, but the CNN3D model has a greater prediction accuracy than the RF and SVM models. In addition, for the other four metrics, the CNN3D model outperforms the RF and SVM models. As a consequence, in this study, the CNN 3D model's LS result was divided into five classes using the natural breaks approach (Fig. 15) and was used for subsequent daily LHW.





430 <u>As a whole, the Overall, areas of high landslide disaster high susceptibility areas in the study arearegion</u> are mainly concentratedpredominantly located along the riverbanks and in the central and eastern regions. In terms of sections. Within the district and county scopes, the landslide disasterboundaries, high susceptibility areas are mainlyprimarily concentrated

atin Zigui, the northern part of Badong, the southern part of Xingshan, the central part of Fengjie, the central part of Wanzhou, and the southeastern part of Zhongxian.

435 4.3 Landslide Hazard Warning

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4.3.1 Landslide Hazard Results for Each Rainfall Warning Level

In this study, a superposition matrix (Table 9) was created to couple the daily RWL with the LS result to generate the daily LHW result. Based on the superimposed matrix, four categories of landslide hazard levels will be obtained, where 1 indicates relatively stable zone, 2 indicates general prevention zone, 3 indicates secondary prevention zone, and 4 indicates priority prevention zone integrate the daily rainfall warning level with the landslide susceptibility results, thereby generating daily landslide hazard warnings.

 Table 9: Landslide
 Landslide
 Superposition
 matrix
 levels. In the table, the numerical codes represent the following zones: 1 – Relatively stable zone, 2 – General prevention zone, 3 – Secondary prevention zone, and 4 – Priority prevention zone.

Susceptibility Rainfall Threshold Level	Very Low	Low	Moderate	High	Very High
Caution	1	1	1	1	2
Special Caution	1	1	1	2	3
Warning	1	1	2	3	4
Severe Warning	1	2	3	4	4

445 Based on the <u>LSlandslide susceptibility</u> results <u>showndepicted</u> in Fig. <u>15, combined with <u>11 and utilizing the superposition</u> <u>matrix from</u> Table 9, the <u>LHW resultslandslide hazard warning outcomes</u> corresponding to each rainfall level were <u>obtaineddetermined</u> (Fig. <u>1612</u>).</u>



Figure <u>1612</u>: Landslide hazard maps for each rainfall warning level. (a. attention) <u>Attention</u> level hazard; (b. special) <u>Special</u> 450 attention level hazard; (c. warning) <u>Warning</u> level hazard; (d. severe) <u>Severe</u> warning level hazard;...

4.3.2 Daily Landslide Hazard Warning

In 2020, the Yangtze River experienced its worst basin-wide flood since 1998. onOn July 19, the "Yangtze River Flood No. 2 of 2020" was progressingadvancing through the TGRA to study area toward the middle and lower reaches of the Yangtze River, and the river, leading to persistent rainfall induced manyand numerous landslides. Therefore, in this studyThus, 19
July, 2020 was usedselected as an examplea case study for LHW landslide hazard warning and validation. Based on the anticipated rainfall data at (Fig. 13). Using the superposition matrix in Table 9, Fig. 13.d was overlaid on Fig. 12 to derive the time, E and Dlandslide hazard warning results for the rainfall forecast stations from 1419 July, 2020 to 18 July 2020, and R for 19 July 2020, were calculated. Kriging interpolation was used to generate E (Fig. 17.a) and R (Fig. 17.b) for the whole research region. Since D is an integer ranging from 0 to 5, interpolation cannot be used to acquire D for the whole research
region; thus, this study uses the Thiessen polygon method and feature to raster method to obtain D for the entire study area

(Fig. 17.c).The RWL for 19 July 2020 was calculated per sub-region (Fig. 17.d) using the optimum RTM for each sub-region obtained above (Table 4<u>14</u>).



Figure <u>1713</u>: Various rainfall parameters and rainfall warning levels for <u>19 July 2020July 19, 2020. (a) Effective rainfall</u> interpolated by Kriging; (b) Daily rainfall interpolated by Kriging; (c) Duration of rainfall estimated using Thiessen polygons; (d) Rainfall warning levels calculated using the optimal rainfall threshold model.



Figure Figure 1814: Landslide hazard warning results for 19 July, 2020.

On July 19, 2020, there were seven landslide hazards were identified, as showndepicted in Fig. 18. Five of them fell in 14. Of

470 <u>these, five were classified within</u> the priority prevention zone, and two inwithin the secondary prevention zone, demonstrating which confirms the accuracy of both the LHWlandslide hazard warning results and the rainfall threshold model.

5. Discussion

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5.1 Discussion of Rainfall Threshold Model

To investigateidentify the bestmost effective rainfall thresholds in the TGRA,study area, this study employs two regression methods, OLS and MLP, andalongside two RTMrainfall threshold models, E-D and E-D-R, are used in this study. Regardless of the regression approachmethod used, the results reveal that the E-D-R model has greaterexhibits superior warning accuracy thancompared to the E-D model. In additionAdditionally, the optimal RTMrainfall threshold models for two areas, the Z₁₃ and Z₂₃Z₂₄Z₃₇ areas are the E-D-R models obtainedderived from the MLP regression, indicatingdemonstrating the feasibility viability of using neural networks (MLP) for the study of RTM.-in rainfall threshold modeling. However, sincegiven that the dataset ofin this study is not large (relatively small (comprising only 453 landslides) nor complex (and simple (involving only 3 variables), it may not be able to clearly demonstratefully capture the advantages of neural networks for rainfall threshold modeling. ButNevertheless, we believe thatconsider this is a valuable attempt, and moreeffort. Future studies could incorporate additional variables, such as peak rainfall and rainfall intensity-can be added in subsequent studies, and the application of , and applying neural networks will certainly improve is likely to enhance the

accuracy of RWM<u>rainfall warning models</u>.

To explore the reasons for the E-D-R model's <u>highersuperior</u> warning accuracy, this study <u>usesexamines</u> area Z_{12} as an <u>example,a case study</u> and <u>shows some of theillustrates</u> points where the <u>RWL rainfall warning level</u> has been <u>changed modified</u> (i.e. landslides <u>where the RWL has been with</u> increased <u>warning levels</u>) in the R-E plane view (Fig. <u>19)</u>, where the colors of the landslides indicate the different RWL, 15).







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The chart shows<u>illustrates</u> that <u>after the inclusion of</u> the R indication was added, the RWL of the four landslides rose dramatically. Thesignificantly elevated the rainfall warning level of P₁-in-for the four landslides. In the E-D model, P₁ was onlyclassified as "Caution", andwhile the warning levels of the remainingother three landslides were <u>onlycategorized as</u> 500 "Special Caution", whereas. However, in the E-D-R model <u>usingwith</u> OLS regression, the warning level of P₂ was raised<u>upgraded</u> to "Warning", and the warning levels of the remaining three landslides were <u>raisedelevated</u> to "Severe Warning". Similarly, the alert levels of all four <u>landslip pointslandslides</u> were <u>raisedclassified</u> to "Warning" in the E-D-R model using the MLP regression-method. These. The transitions in rainfall warning levels for these landslides with RWL transition were directly contributed to the direct reason of the E-D-R model's improved accuracy of the E-D-R model in the Z_{12} region.

Further exploration<u>An in-depth analysis</u> of the rainfall process of processes for these four landslides before the landslide occurredprior to their occurrence (Fig. 2016) reveals that these four landslides received less they experienced relatively low rainfall in the four days before leading up to the landslide, resulting in a lower E value, but more substantial rainfall on the day of the landslide. The above These characteristics make these four landslides have resulted in higher warning accuracy

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0 infor these four landslides within the E-D-R RTM, indicatingrainfall threshold model, suggesting that the R indicator R-has somenotable sensitivity in terms ofto landslides caused triggered by heavy rain-rainfall.

5.2 Discussion of Daily Landslide Hazard Warning

In this study, RF, SVM, and CNN-3D models were used to predict <u>LSlandslide susceptibility</u> in the <u>TGRA</u>, and a comparison of the three models' results showed Three Gorges Reservoir Area. A comparative analysis revealed that the

- 515 CNN-3D model predicts LS with more offers superior predictive accuracy infor landslide susceptibility within the study area. In addition, further analysis Further examination of the CNN-3D model's LS results show that the very regions with high LS zone is primarily distributed and slide susceptibility are predominantly located in areas with sparse vegetation, fragile stratigraphic lithology, close to rivers, and active human engineering activities, which is similar with the results of reported by Wang et al., 2022a).
- 520 In terms of Regarding daily LHW, RWL are landslide hazard warnings, rainfall warning levels were calculated using the optimal RTMrainfall threshold model for each sub-district based on forecast rainfall data from rainfall stations. Subsequently, the The daily LHWlandslide hazard warning results were derived then generated by utilizingemploying a superposition matrix to combine integrate the rainfall warning levels with the RWL and LSlandslide susceptibility results. On July 19, 2020, all seven identified landslide hazards are were confirmed to be inwithin the priority prevention and secondary prevention
- 525 zones. It can be observedThis indicates that the LHWlandslide hazard warning results obtained through the RTM have very high accuracy and are of great significance in the derived from the rainfall threshold model are highly accurate and significantly contribute to effective landslide disaster prevention and control-of landslide disasters. In addition. Moreover, the process of transforming the LStranslating landslide susceptibility results into LHW results hazard warnings through the RWLrainfall warning levels and superposition matrix is essentially serves as a refinement mechanism. This correction
- 530 process of the LS results. After the correction, reduces the areas that need to be requiring focused on prevention and attention can be reduced to a certain extent, which saves the cost of manpower and material, thereby optimizing the allocation of resources infor landslide prevention management.

It is also important to note that the spatial probability of landslide occurrence may vary between dry and rainy seasons, and the influence of different landslide-inducing factors may change under varying climatic conditions. This study primarily 535 focused on the differences in rainfall thresholds across various climatic and control topographic conditions, while the variations in spatial probability of landslide occurrence were not extensively explored. Additionally, changes in reservoir water levels and groundwater fluctuations in the Three Gorges Reservoir Area are significant factors influencing landslide occurrence; however, these factors were not included in this study due to data limitations.

5.3 Practical Application of the Rainfall Threshold Model and Daily Landslide Hazard Warning

- 540 In the <u>actual practical</u> prevention and control of landslide hazards, <u>it is cost considerations are</u> inevitable to consider the <u>factor of cost</u> (Wang et al., 2023a). To <u>safeguard as many people'smaximize the protection of</u> lives and property <u>as possible</u> within <u>the limited cost rangea constrained budget</u>, it is <u>necessaryessential</u> to <u>narrowprioritize</u> and refine the <u>regionsareas</u> that <u>must be prioritized</u> <u>require focused attention</u>, while <u>guaranteeingmaintaining</u> the accuracy of <u>the LHW landslide hazard</u> <u>warning</u> results.
- 545 The E-D-R RTM, while considering the advantages<u>rainfall threshold model</u>, by incorporating the benefits of the E-D RTM, increases the<u>model</u>, enhances sensitivity to landslides induced by heavy rainfall on the same day, and has<u>achieves</u> higher landslide-warning accuracy. <u>MeanwhileConcurrently</u>, the CNN-3D model<u>fully considers the</u>, which effectively integrates spatial information around each raster point, and its predicted LS results have higher prediction accuracy than those of provides more accurate landslide susceptibility predictions compared to the RF and SVM models. Therefore,Thus, both the
- 550 E-D-R <u>RTMrainfall threshold model</u> and the CNN-3D model have a broad-hold significant potential for application space and development prospect-in the<u>landslide</u> warning and prevention-of landslide disasters. The <u>LHWcombination of these</u> <u>models'</u> results obtained bythrough superposition of the results of the two models can ensure high accuracy and at the same time narrow down the areas that need to be focused on by virtue of the RWL results obtained by the RTM, so as to<u>in</u> landslide hazard warnings while also narrowing the focus areas using the rainfall warning levels derived from the rainfall
- 555 <u>threshold model. This approach helps</u> meet the <u>requirementsdemands</u> of <u>effective</u> landslide disaster prevention and control work.

In addition, although the <u>Nevertheless</u>, despite the high accuracy of the E-D-R RTM as well as <u>rainfall</u> threshold model and the CNN-3D model have high accuracy, there are <u>,</u> certain uncertainties <u>persist</u>. For the <u>RTM</u>rainfall threshold model: (1) The rainfall station can only accurately reflect the rainfall situation of the siteRainfall stations provide localized data, and

there will<u>may</u> be inaccuracies and uncertainties whether the rainfall<u>when extending this</u> data are extended to the whole<u>entire</u> study area <u>byusing</u> interpolation or Thiessen polygon <u>methodmethods</u>. (2) Historical landslide data <u>play a</u> <u>decisivesignificantly</u> influence on the results of the rainfall threshold model. <u>Either less historical landslide; insufficient</u> data or <u>the existence of more</u> extreme rainfall conditions <u>willcan</u> lead to <u>uncertaintyuncertainties</u> in the final <u>RWL.rainfall</u> <u>warning levels</u>. (3) Although this study <u>dividedanalyzed</u> 10 regions as <u>well asacross</u> both dry and rainy seasons-for the rainfall threshold study, the overall, the broad regional scope is still large. There will be someintroduces uncertainty in the rainfall thresholds for different topographydue to varying topographic and geomorphology in the regiongeomorphological

<u>conditions</u>. For the CNN-3D model, <u>uncertainties may arise from</u> the selection of landslide-inducing factors, the size of the evaluation unit, <u>and</u> the division ratio of the training <u>setand</u> test set, <u>and so on, will produce uncertainty</u>.

- Therefore, in practical application of landslide prevention and control applications, it is necessarycrucial to combinetailor the actual situation of the local area and select appropriate predisposing factors as well asand evaluation units to the specific local context to ensure the accuracy of the LSIandslide susceptibility results (Zhang et al., 2023). Simultaneously, aconstructing a comprehensive historical landslide database can recommended. This database should be constructed. When aupdated with new landslide occurs, theevents and corresponding rainfall data will be summarized intoto recalibrate the database and thearea's rainfall threshold of and refine the rainfall warning levels. As the historical landslide data accumulate, the area will be recalculated for the subsequent RWL. The uncertainty of the RTM rainfall threshold model is expected to reduce as the quantity of historical landslide data grows, and thedecrease, leading to more precise rainfall thresholds-will continue to converge to the ultimate rainfall thresholds for the region. Furthermore, when the historical landslide data are -With a sufficiently rich, the region may be split historical dataset, further to constantly regional subdivision may enhance rainfall warning accuracy. Ultimately, this approach will improve the accuracy of the rainfall warning level. Ultimately, the
- 580 accuracy of LHW will be increased to giveprecision of landslide hazard warnings and provide valuable technical assistance for subsequent assessment of support for vulnerability as well as assessment and disaster preventive and mitigation efforts.

6. Conclusion

Landslide disaster warning is an essential<u>a critical</u> tool infor the prevention and management of landslides. To improveenhance the accuracy of landslide warning, this paper first chosestudy employed two regression methods, ___MLP
and OLS, ___and two RTM, rainfall threshold models ___E-D and E-D-R, and . The study area was divided the TGRA-into two seasons, dry and rainy-seasons, as well as several sub-districts based on topography and rainfall patterns, to explore identify the optimal RTMrainfall threshold model for the study arearegion and obtaindetermine the daily RWL. Subsequentlyrainfall warning levels. Additionally, 11 inducing factors were selected to investigate the LS in assess landslide susceptibility in the study area utilizingusing three models: RF, SVM, and CNN-3D. Finally, The final step involved integrating the rainfall
warning levels with the landslide susceptibility results using a superposition matrix, the RWL was overlaid on the LS results to achieve produce daily LHW in the TGRA landslide hazard warnings for the Three Gorges Reservoir Area.

In terms of rainfall threshold models, the study's The results suggest indicate that the E-D-R RTM has rainfall threshold model exhibits superior sensitivity in terms ofto landslides induced triggered by heavy rainfall, therefore the resulting in higher rainfall warning accuracy produced by compared to the E-D model when either regression method is higher than that of the 595 E D model. In addition, for each applied. Specifically, for sub-district, the optimal RTM for the four zones Z₁₁, Z₁₂, Z₂₁Z₂₂, Z₂₅Z₄, and Dry Season, the optimal rainfall threshold model is the E-D-R RTM calculated by model derived from OLS regression; whereas the optimal RTM for the two zones. Conversely, for sub-districts Z₁₃ and Z₂₃Z₂₄Z₃, the optimal model is the E-D-R <u>RTM</u>threshold obtained bythrough MLP regression. In terms of <u>LSR</u>egarding landslide susceptibility, the CNN-3D model's <u>AUC and Accuracymodel</u> achieved <u>an AUC of 0.96</u> and <u>an accuracy of 0.9003</u>, respectively, and its prediction

600 accuracy outperformed the RF and SVM models in prediction accuracy.

The daily LHW is Daily landslide hazard warnings were calculated by combining the daily RWL and rainfall warning levels with the landslide susceptibility results. Data from the 19-The accuracy of these warnings was validated using data from the landslide event on July 19, 2020 hazard event were utilized to verify the LHW results in this research. Of the seven landslide hazardslandslides on that date, five felloccurred in the priority prevention zone and two in the secondary prevention zone, proving the accuracy confirming the reliability of the landslide hazard warning results and the effectiveness of the

605 proving the accuracy_confirming the reliability of the landslide hazard warning results and the effectiveness of the LHW_rainfall threshold model.

The integration of rainfall warning levels with landslide susceptibility results provides actionable guidance and reference for local landslide disaster prevention and control operations. In additionefforts. Moreover, the introduction of MLP to into the regression analysis of rainfall thresholdthresholds in this study also further enrichescontributes to the calculation method development of RTM, which is of some significance rainfall threshold models and offers a valuable approach for

promotion broader application.

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Code and data availability

The data and code can be accessed at https://doi.org/10.5281/zenodo.11311851 (Peng, 2024).

Author contributions

615 **Bo Peng**: Writing - original draft, Writing - review & editing, Data curation, Formal analysis, Validation.

Xueling Wu: Writing - review & editing, Funding acquisition, Conceptualization, Methodology.

Competing interests

The authors declare that they have no conflict of interest.

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