



1 Study on Seismic Risk Assessment Model of Water Supply

2 System in Chinese Mainland

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9 **Abstract:** Using the PGA under four exceeding probabilities calculated by China
10 probabilistic seismic hazard analysis method, the probability density function of PGA
11 was obtained by fitting utilizing the Cornell seismic hazard exceeding
12 probability-PGA function model. Combined with the seismic fragility function of the
13 water supply system calculated based on the empirical matrix of actual earthquake
14 damage and the exposure of fixed assets, the seismic loss expectation and loss rate
15 expectation models of the water supply system were established, and the classification
16 standard with the seismic loss rate expectation of the water supply system as the index
17 was proposed. The seismic fragility of the water supply system was classified, and the
18 exposure of the water supply system was analyzed. The total fixed assets in the Water
19 Supply Yearbook were taken as the exposure to earthquake in the region. The
20 accuracy of the fragility model in this paper was verified through the actual
21 earthquake damage losses in Deyang City. Taking the water supply system of 720
22 cities in Chinese Mainland as an example, the distribution maps of seismic loss
23 expectation and loss rate expectation were calculated and drawn. The loss rate
24 expectation model was verified by the key earthquake prevention areas in Chinese
25 Mainland. The assessment model based on loss expectation and loss rate expectation
26 was taken as the seismic risk assessment model of water supply system in Chinese
27 Mainland.

28 Introduction

29 Today, with the gradual improvement of human civilization and material wealth, the
30 increasing number of earthquake disaster around the world poses a huge threat to
31 urban water supply systems. 40% of major cities in China are located near major
32 earthquake zones, with 17% facing high risk, and 55% of cities may suffer serious
33 disasters (Gao Mengtan, 2020). After a strong earthquake, as an important component
34 of civil engineering, the urban water supply system and emergency rescue system in
35 lifeline engineering are called lifelines in lifeline engineering. Therefore, to ensure the
36 normal operation of lifelines after an earthquake, the government should increase
37 investment and management (Nigg J, 1998). Once the water supply system is
38 damaged by an earthquake, it not only cannot meet the normal water supply for
39 residents, but also cannot provide water for emergency rescue departments and
40 prevent the spread of fires. At the same time, the inability of enterprises to use
41 production water can also bring indirect economic losses. In 1994, the North Ridge



1 6.6 magnitude earthquake in the United States caused widespread rupture of over
2 1400 Los Angeles water supply pipelines, of which 100 were located on the main
3 water supply network (Han Yang, 2002). The 1995 Kobe 7.3 magnitude earthquake in
4 Japan caused damage to 1610 destruction of the main water supply system in the
5 earthquake area, causing 80% of users in 9 cities water-break, 90% of water supply
6 facilities in the Kobe area of Osaka to be damaged, and 120000 underground water
7 supply pipelines to leak. At the same time, the interruption of water supply also
8 seriously hindered firefighting work (He Weihua, 2009); The power failure of the
9 Fukushima nuclear power plant caused by the March 11 earthquake in Japan led to the
10 failure of the water supply system, which led to nuclear reactor meltdown. The 1976
11 Tangshan earthquake resulted in the paralysis of the city's water supply system, with a
12 pipeline damage rate of 4 per kilometer. 332 main networks in Tanggu District were
13 damaged, and after half a month of emergency repair, only 50% of the water supply
14 capacity was restored (Han yang, 2002). The water supply system of Mianzhu City
15 suffered devastating damage in the 2008 Wenchuan 8.0 earthquake (Institute of
16 Engineering Mechanics, CEA, 2009). Research has shown that the indirect economic
17 losses caused by water supply interruptions are often dozens of times greater than the
18 direct economic losses caused by earthquake damage in the water supply system
19 (Brozovic N, 2007). Therefore, the importance and urgency of building a regional and
20 urban water supply system seismic risk assessment model to provide decision-making
21 basis for the government and business departments has emerged.

22 In the 1984 UNESCO research plan (Jiang Hui, etc.,2022), Varnes proposed a
23 definition of natural disasters and risks, which has been widely recognized by experts
24 in the field of natural disaster research both domestically and internationally. The
25 basic model of earthquake (disaster) risk assessment also conforms to this definition.
26 At present, scholars at home and abroad have different definitions of the concept of
27 earthquake disaster risk. The commonly used earthquake disaster risk refers to the
28 possibility of damage and loss to buildings (structures) or lifeline projects in specific
29 areas in the future within a certain time limit, as well as the possibility of loss to life,
30 property, national economy, etc., which can be expressed as:

31
$$R = f(H, E, V)$$

32 Taking into account the impact of site conditions, the above equation can be
33 further expressed as:

34
$$R = H \cdot E \cdot V \cdot S$$

35 In the formula, R is the risk of earthquake (disaster), referring to the potential
36 losses caused by future earthquakes; H refers to the seismic hazard, which refers to
37 the probability of future earthquakes occurring within a certain region within a certain
38 period of time; E is the value of the disaster bearing body or social wealth, which
39 refers to the exposure level of the disaster bearing body (including buildings, lifeline
40 engineering, population, property, etc.) threatened by earthquakes in a given area; V is
41 the vulnerability of the disaster bearing body under earthquake action, or the loss rate
42 of the disaster bearing body under different earthquake intensities, which can be
43 represented by a number between 0 and 1 (0 represents no loss, 1 represents complete



1 loss); S is the site impact coefficient.

2 The risk assessment research in this paper was based on the above three elements
3 of earthquake disaster risk (seismic hazard, vulnerability of disaster bearing body, and
4 asset exposure) to establish a risk assessment model based on the loss rate expectation
5 of water supply system. Based on this approach, we carry out data collection,
6 organization, modeling, and other work.

7 **1. Basic database for risk assessment**

8 The risk assessment data involved in this study includes regional basic data of
9 the water supply system, including five categories. The first category is the material
10 of the water supply pipeline network extracted from the "Water Supply
11 Yearbook"(Statistical Yearbook of Urban Water Supply (2009-2018)). The second
12 category is the urban basic fortification intensity extracted from the "Seismic
13 Code"(GB50011-2010 Code for seismic design of buildings. (2010).). The third
14 category is the urban population, GDP and other data extracted from the Census
15 (National Bureau of Statistics of China. (2011).), which have been processed to
16 provide urban classification. The fourth category is site classification. The fifth
17 category is seismic hazard data extracted from the "Fifth Zonation
18 Map"(GB18306-2015Seismic ground motion parameters zonation map of China.
19 (2015).). The above basic data covers 720 cities in 31 provinces and autonomous
20 regions except Taiwan, Hong Kong, and Macau.

21 (1) Water supply system

22 This paper is mainly based on the pipeline material data in 2018 Water Supply
23 Yearbook, and mainly collects the length data of five pipeline materials, namely,
24 Ductile Cast iron pipe, steel pipe, Cast iron pipe, prestressed reinforced concrete pipe
25 and plastic pipe. At present, data from a total of 720 cities has been compiled.
26 Although the data covers 31 provinces and cities in mainland China, there are
27 differences in data coverage for each province. The western region does not have
28 complete data for the eastern region, such as Qinghai and Tibet, which only have data
29 for one city each.

30 (2) Fortification intensity data

31 This article extracts the seismic fortification intensities of 720 cities that have
32 been organized in the "Seismic Code".

33 (3) City category data (population and GDP)

34 Extract urban category data based on the urban population and GDP data from
35 the 6th National Population Census released by the national statistical department.
36 Determine the city categories of 720 cities through certain data processing methods.

37 (4) Site Category Data

38 In the national site classification database established using the BP neural
39 network site classification method (Allen, T. I., and Wald, D. J. (2007). Shi, D. C.
40 (2009).), 720 site categories representing the city's water supply system were
41 extracted.

42 (5) Seismic hazard data

43 According to the determined potential source area division scheme, seismicity



1 parameter scheme and ground motion parameter attenuation relationship, the peak
2 acceleration a_{ei} under four different exceeding probability levels of basic ground
3 motion, frequent ground motion, rare ground motion and extremely rare ground
4 motion in II site category of grid averaged distribution sites nationwide was given by
5 using the probabilistic seismic hazard analysis method and the basic database of the
6 Fifth Generation Zonation Map. The grid density is $0.1^{\circ} \times 0.1^{\circ}$. This article extracted
7 seismic hazard data for government residences in 720 cities from the database. The
8 probability density function of the PGA of 720 cities was calculated by the piecewise
9 fitting method of the seismic hazard curve.

10 This article collected seismic damage data from cities such as Haicheng,
11 Tangshan, and Wenchuan (Institute of Engineering Mechanics, CEA.,1979. Institute
12 of Engineering Mechanics, CEA.,2009.) and classified, organized and calculated the
13 seismic damage matrices of water supply pipelines, water tanks, and pump houses
14 according to the city classification and seismic damage data. A database of seismic
15 damage data for water supply systems was established.

16 The above basic data constitute the basic database for seismic risk assessment of
17 water supply system.

18 **2 Seismic risk assessment model based on loss (rate) expectation**

19 The seismic loss expectation is expressed by the coupling of three factors:
20 seismic hazard, structural vulnerability and social wealth (Chen Yong, 1999): as an
21 expression of earthquake disaster risk, the seismic risk loss (rate) expectation refers to
22 the intersection of seismic hazard, structural vulnerability of water supply system
23 facilities and total fixed assets of water supply system in a certain region in a certain
24 period of time in the future.

25 **2.1 Seismic Hazard**

26 The process of seismic hazard probability analysis includes complex earthquake
27 repetition models and earthquake motion prediction models, but the expression of
28 seismic hazard analysis results is not complex and is generally represented by seismic
29 hazard curves. The seismic hazard curve should provide exceeding probability curve
30 for the ground motion parameters, which is the probability of exceeding the given
31 ground motion parameter value on the probability distribution curve. The seismic
32 hazard curve is determined by the potential source and the attenuation law of ground
33 motion parameters. In this paper, the probability density function of peak ground
34 acceleration was calculated by using the piecewise fitting method of seismic hazard
35 curve.

36 The relationship between the seismic hazard function $H_t(a)$ of the engineering
37 site and the peak ground acceleration a is (Cornell, 1968):

$$38 \quad H_t(a) = 1 - \exp(-k_b t a^{k_H}) \quad (1)$$

39 Where a is peak ground acceleration, t is Time (year), k_b and k_H is



1 Parameters of seismic hazard curve.

2 This article used certain designated control points piecewise fitting the seismic
 3 hazard curve, while the exceeding probability of other PGA parameters was obtained
 4 from the seismic hazard curve.

5 The probability seismic hazard analysis method compiled by the "Fifth Zonation
 6 Map" was used to calculate the annual exceeding probability of the peak ground
 7 acceleration of the rock site in Mengzi City, Yunnan Province (Wen Manhua, 2017),
 8 as shown in Table 1.

9
 10 **Table 1 PGA of rock at a certain site in Mengzi City-annual exceeding probabilities**

PGA/gal	Annual exceeding probability	PGA/gal	Annual exceeding probability	PGA/gal	Annual exceeding probability
1	4.12E-01	60	6.87E-03	200	1.46E-04
5	3.27E-01	70	4.68E-03	250	5.31E-05
10	1.58E-01	80	3.29E-03	300	2.02E-05
15	9.31E-02	90	2.38E-03	350	7.85E-06
20	6.02E-02	100	1.74E-03	400	2.98E-06
30	2.99E-02	125	8.64E-04	450	1.11E-06
40	1.70E-02	150	4.60E-04	500	3.95E-07
50	1.05E-02	175	2.55E-04	600	2.33E-08

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12 The corresponding relationship between the peak ground acceleration of four
 13 control points of a rock site in Mengzi City and the exceeding probability in different
 14 time scales is shown in Table 2.

15 **Table 2 PGA of rock at a certain site in Mengzi City-exceeding probabilities**

PGA/g	37.92	94.31	156.80	224.76
1 year exceeding probability	1.97%	0.21%	0.04%	0.01%
10 year exceeding probability	18.03%	2.08%	0.40%	0.10%
50 year exceeding probability	63.00%	10.00%	2.00%	0.50%
100 year exceeding probability	86.31%	19.00%	3.96%	1.00%

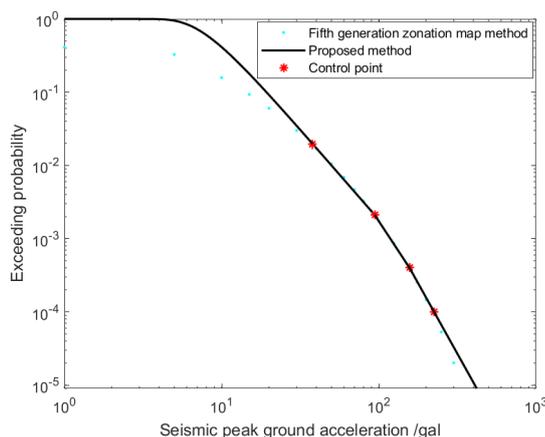
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17 According to Table 2, the parameters of the 1-year segmented seismic hazard
 18 function for the rock site in Mengzi City were fitted using the least squares method, as
 19 shown in Table 3. The data in Table 1 and the fitted 1-year seismic hazard curve for
 20 the rock site were plotted in the same coordinate system, as shown in Figure 1.

21 **Table 3 Parameters of Seismic Hazard Function in Mengzi City**

City	Fortification intensity	Site classification	Segmentation	1-year	
				k_H	k_b
Mengzi	VII	I ₁	1st segment	-2.47	-156.2
			2nd segment	-3.26	-5841.5
			3rd segment	-3.85	-113627.1

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Figure 1 Seismic hazard curve of 1-year rock site in Mengzi City

From Figure 2, it can be seen that the seismic hazard curve obtained by the piecewise fitting method is basically consistent with the seismic hazard calculation points obtained by the fifth generation seismic zonation map method. When the peak ground acceleration is small, the annual exceeding probability will be overestimated. In fact, when the peak ground acceleration is small, the water supply system is basically in good condition, and its loss ratio is 0. Even if the exceeding probability is overestimated, the accuracy of the seismic risk analysis results of the water supply system will not be affected. Therefore, it is feasible to obtain seismic risk curve parameters in different regions of Chinese Mainland by piecewise fitting four control points given in the Fifth Generation Zonation Map (GB18306-2015 Seismic ground motion parameters zonation map of China. (2015)).

The ratio relationship between the PGA corresponding to the 50 year exceeding probability of 63%, the 50 year exceeding probability of 2%, and the annual exceeding probability of 10⁻⁴ and the basic ground motion PGA (50 year exceeding probability of 10%) is very complex, and its spatial distribution has a great correlation with the distribution of potential source areas, which is mainly affected by the seismotectonics environment, and the ratios in different regions vary greatly (Gao Mengtan, 2006; Lei Jiancheng, etc., 2010). Therefore, it is not possible to directly use the PGA(0.05g, 0.1g, 0.15g, 0.2g, 0.3g) corresponding to the 50 year exceeding probability of 10% of specific sites in the "Fifth Generation Zonation Map" to calculate the PGA under the other three exceeding probabilities in a fixed proportion. Instead, based on the basic database of the "Fifth Generation Zonation Map", further analysis and processing are conducted on the actual calculated seismic hazard data (using CPSHA method) extracted from the database.

Since the PGA provided in the Fifth Generation Zonation Map is under a specific site category, it is necessary to obtain the PGA under the corresponding site category by interpolation and transformation according to the actual site category of the city using the method provided in the Fifth Generation Zonation Map. This paper collected seismic hazard data (four control points) and actual site categories of 720 cities in Chinese Mainland.



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2 By using the relationship between the cumulative distribution function (CDF)
 3 and the exceeding probability, the functional relationship between the cumulative
 4 distribution function $C_i(a)$ and the PGA a can be obtained as follows:

$$5 \quad C_i(a) = 1 - H_i(a) = \exp(k_b t a^{k_H}) \quad (2)$$

6 The probability density function (PDF) of PGA can be obtained by calculating
 7 the first derivative of the cumulative distribution function, that is, the functional
 8 relationship between $f_i(a)$ and the PGA a is:

$$9 \quad f_i(a) = \exp(k_b t a^{k_H}) \cdot k_b \cdot t \cdot k_H \cdot a^{k_H-1} \quad (3)$$

10 Based on the above method, the relevant parameters of the probability density
 11 function $f_i(a)$ of the PGA of 720 cities in 10-year, 50-year and 100-year scales
 12 under the actual site categories are calculated, and a seismic hazard database that can
 13 be used for the seismic risk assessment model is formed. This article listed the
 14 parameters of segmented seismic hazard functions at the 10 year, 50 year, and 100
 15 year scales for the actual site categories of three typical cities, as shown in Table 4.
 16 The seismic hazard curves of four typical cities are plotted, as shown in Figures 2 to
 17 5.

18 **Table 4 Parameters of Seismic Hazard Function for Example Cities**

City	Site	Segmentation	10-year		50-year		100-year	
			k_H	k_b	k_H	k_b	k_H	k_b
Heyuan	II	1 st segment	-1.76	-3.00E-05	-1.76	-3.00E-05	-1.76	-3.00E-05
		2 nd segment	-1.86	-2.37E-05	-1.85	-2.40E-05	-1.85	-2.40E-05
		3 rd segment	-3.76	-1.31E-06	-3.78	-1.29E-06	-3.77	-1.30E-06
Deyang	III	1 st segment	-2.22	-3.46E-05	-2.21	-3.40E-05	-2.21	-3.50E-05
		2 nd segment	-4.08	-1.08E-06	-4.07	-1.11E-06	-4.07	-1.11E-06
		3 rd segment	-4.92	-3.26E-07	-4.94	-3.18E-07	-4.93	-3.22E-07
Kelamayi	II	1 st segment	-1.98	-1.76E-05	-1.98	-1.77E-05	-1.98	-1.80E-05
		2 nd segment	-2.49	-5.17E-06	-2.48	-5.29E-06	-2.48	-5.29E-06
		3 rd segment	-3.13	-1.68E-06	-3.15	-1.65E-06	-3.14	-1.67E-06

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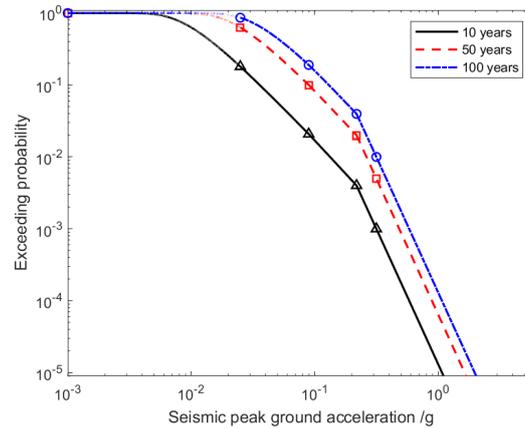


Figure 2 Seismic Hazard Curve of Heyuan City

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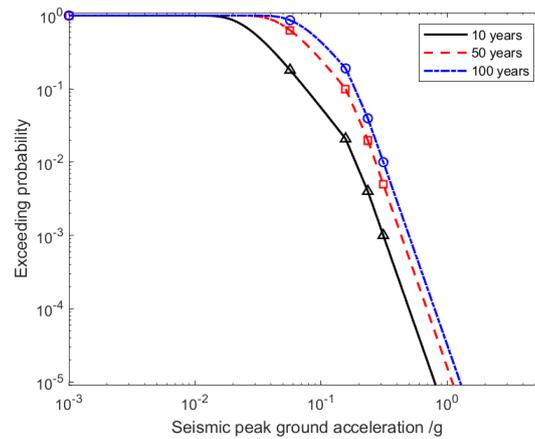


Figure 3 Seismic Hazard Curve of Deyang City

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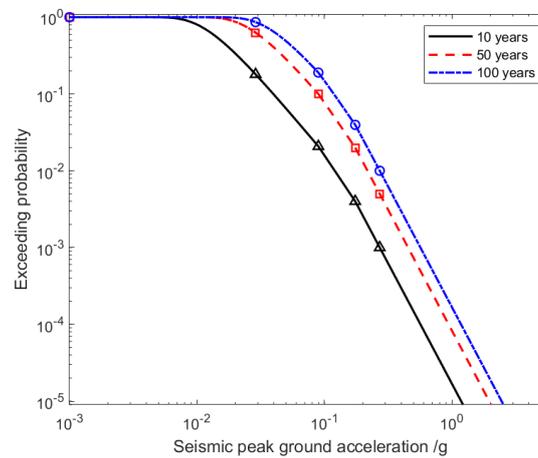


Figure 4 Seismic Hazard Curve of Kelayayi City

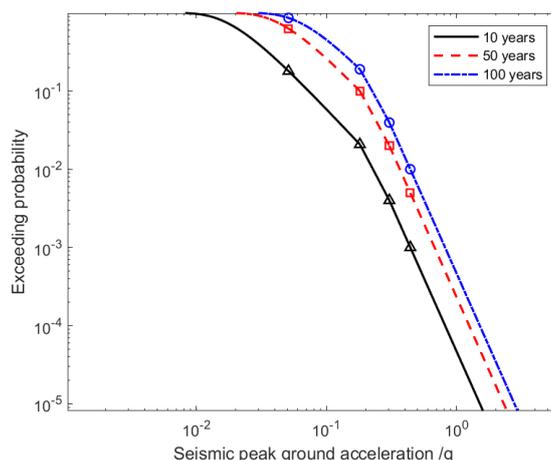


Figure 5 Seismic Hazard Curve of Mianzhu City

2.2 Seismic Fragility Analysis of Water Supply Facilities

The main purpose of seismic fragility analysis is to establish the relationship between the probability of water supply system facilities reaching or exceeding different seismic damage levels and ground motion parameters (intensity or peak ground acceleration). The main methods include earthquake damage investigation, theoretical analysis, and experimental analysis.

The water supply system facilities mainly include the water supply pipeline network, water pool, and pump station buildings. In this paper, the water supply pipeline network was divided into five types according to the material: Ductile Cast iron pipe, steel pipe, plastic pipe, reinforced concrete pipe and Cast iron pipe. Each pipe is divided into five different types of seismic capacity zones according to the pipeline's city category, that is, each pipe has a total of five types of fragility curves. When calculating, the corresponding pipe fragility curve must be selected according to the seismic capacity zone of the pipeline's city. The water pool and pump station buildings are divided into two categories based on the seismic capacity zone.

Based on the seismic damage data collected in this article and the "Classification of Seismic Damage Levels in Lifeline Engineering" (GB/T24336-2009 Classification of Earthquake Damage Levels for Lifeline Engineering. (2009).) specification, the seismic damage level of pipelines is determined by the pipeline seismic damage rate. The proportion of pipeline damage levels under the same seismic intensity obtained from seismic damage sample data is the damage ratio in the seismic damage matrix, which then forms the seismic damage matrix for pipelines of various materials.

Based on the seismic damage matrix of the pipeline, the distribution of different damage ratios under different intensities was obtained, and a fitting curve for the damage ratios of different damage levels under different intensities of the water supply pipeline network in 5 levels was established. The fitting results show that its distribution follows the trend of polynomial function distribution.

$$P_i = a_0 d_j^4 + a_1 d_j^3 + a_2 d_j^2 + a_3 d_j + y_0 \quad (4)$$



1 Where P_i is the damage ratio of i th level (totally 5 different seismic capacity
 2 zones), d_j is j th damage level (Basically intact-1, Slight damage-2, Moderate damage-3,
 3 Severe damage-4 and Destroyed-5), a_0, a_1, a_2, a_3, y_0 are parameters.

4 We obtained parameters (a_0, a_1, a_2, a_3, y_0) through polynomial fitting. Its
 5 goodness-of-fit is that the R-square value of polynomials of all pipes is above 0.98.

6 This article listed the fitting parameters of the damage ratio curve of seismic
 7 damage matrix for PE pipelines, as shown in Table 5.

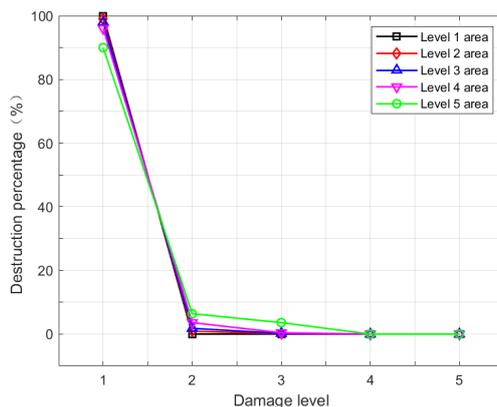
8 **Table 5 Parameter Values of Damage Ratio Curves for Different Damage Levels of PE**
 9 **Pipe under Different Intensities**

Seismic capacity level	Parameter	VI	VII	VIII	IX
Level 1 area	a_0	4.15	0.79	-0.77	0.42
	a_1	-58.03	-12.85	11.99	-5.50
	a_2	294.20	78.51	-62.18	21.58
	a_3	-638.10	-215.50	111.20	-27.50
	y_0	497.50	226.00	-18.20	31.00
Level 2 area	a_0	4.00	0.65	0.94	0.83
	a_1	-56.10	-10.48	-8.46	-11.50
	a_2	285.30	64.70	20.31	50.67
	a_3	-621.20	-182.50	-14.79	-79.00
	y_0	487.00	200.50	38.00	54.00
Level 3 area	a_0	3.83	0.04	1.88	0.63
	a_1	-53.87	-2.12	-19.92	-9.75
	a_2	274.70	23.41	67.63	47.38
	a_3	-600.60	-98.03	-88.58	-78.25
	y_0	474.00	143.70	71.00	50.00
Level 4 area	a_0	3.50	-0.17	1.67	-1.04
	a_1	-49.40	1.47	-18.17	8.08
	a_2	253.50	1.87	62.83	-14.46
	a_3	-559.60	-45.37	-79.33	4.42
	y_0	448.00	102.20	58.00	6.00
Level 5 area	a_0	3.58	-0.88	2.60	-2.00
	a_1	-49.43	11.12	-29.79	19.07
	a_2	247.40	-44.83	111.10	-56.30
	a_3	-533.60	47.78	-153.00	66.63
	y_0	422.00	40.80	87.00	-27.00

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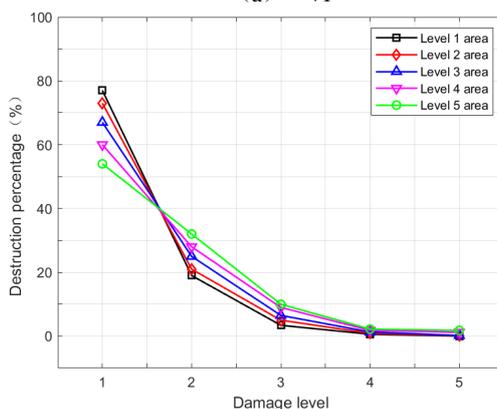


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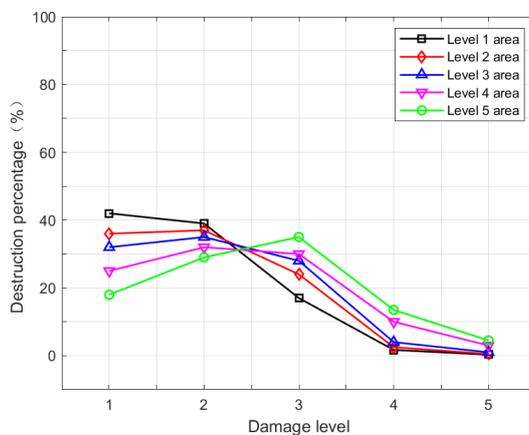
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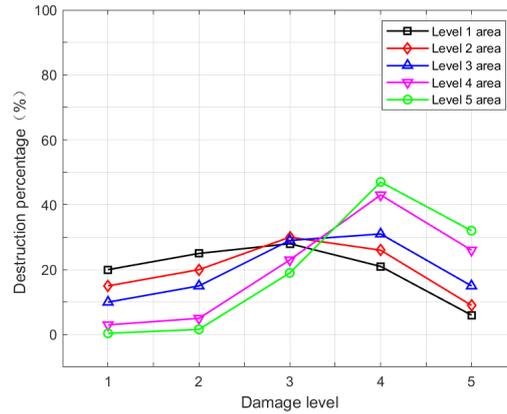


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Figure 6 Damage ratio of PE pipe under different seismic intensities(Level 1 area-Level 5 area)

This article established a seismic fragility function model with the input parameter of seismic peak ground acceleration. The seismic fragility analysis results can generally be represented by the seismic fragility curve or the seismic damage exceeding probability matrix. Therefore, it is necessary to convert the seismic damage matrix based on the peak ground acceleration into the exceeding probability matrix that reaches or exceeds a certain limit state.

In this paper, the logarithmic normal distribution function model (Chen Libo et al., 2012; Chen Bo, 2018) is used as the seismic fragility function $F_m(a)$, $F_m(a)$ is the function of the peak ground acceleration a :

$$F_m(a) = \Phi \left[\frac{\ln \left(\frac{a}{\theta_m} \right)}{\beta_m} \right] \quad (5)$$

a : Peak ground acceleration,

m : Seismic damage level, $m=1, 2, 3, 4$ and 5 represents damage levels of Basically intact, Slight damage, Moderate damage, Severe damage and Destroyed respectively.

Φ : Standard normal distribution function,

θ_m : The median value of the seismic fragility curve for the m th damage level,

β_m : Logarithmic standard deviation of seismic fragility curve for the m th damage level.

The probability of being at the m th damage level can be calculated using the following formulas:

$$P_1(D|a) = 1 - F_2(a) \quad (6)$$



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$$P_m(D|a) = F_m(a) - F_{(m+1)}(a) \quad (7)$$

2
$$P_5(D|a) = F_5(a) \quad (8)$$

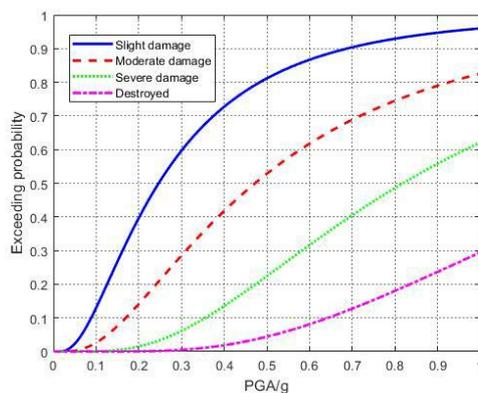
3 The two parameters of the seismic fragility function $F_m(a)$ in formula (5) θ_m and
 4 β_m is obtained by firstly converting from the pipe seismic damage matrix to the
 5 exceeding probability matrix, and then fitting using the least squares method.

6 This article took the PE pipe as an example and listed the parameters of the
 7 seismic fragility function in Table 6. The fragility curve is shown in Figures 7 to 11.

8 **Table 6 Seismic Fragility Function Parameters of PE Pipe under Different Seismic**
 9 **Capability Levels**

Seismic capacity level	Parameter	Slight damage	Moderate damage	Severe damage	Destroyed
1	θ	0.2466	0.4724	0.8187	1.3791
	β	0.8000	0.8000	0.6500	0.5952
2	θ	0.2255	0.4066	0.7047	1.1724
	β	0.7500	0.7500	0.6667	0.6427
3	θ	0.1993	0.3234	0.5488	0.8607
	β	0.6333	0.7000	0.6800	0.5302
4	θ	0.1597	0.2594	0.4066	0.7469
	β	0.7446	0.6574	0.7000	0.6539
5	θ	0.1319	0.2466	0.3679	0.6703
	β	0.5391	0.5600	0.5800	0.7000

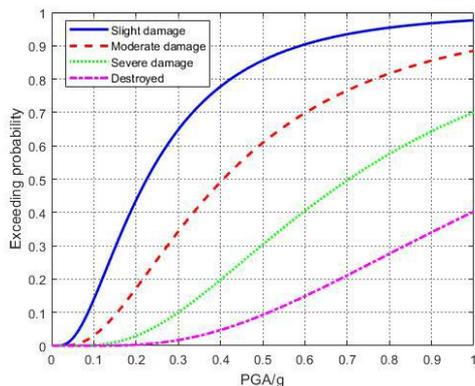
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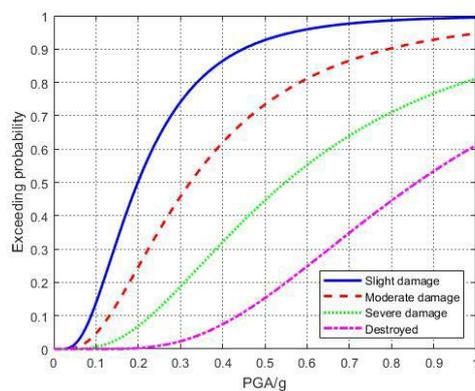
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Figure 7 Fragility Curve of PE Pipe in Seismic Capacity Level 1 Area



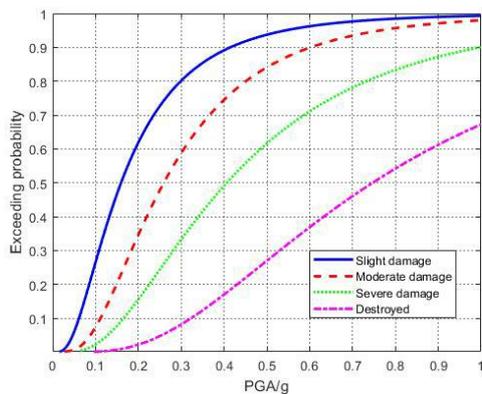
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Figure 8 Fragility Curve of PE Pipe in Seismic Capacity Level 2 Area



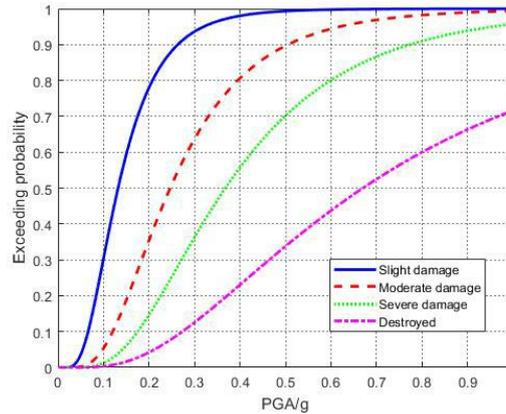
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Figure 9 Fragility Curve of PE Pipe in Seismic Capacity Level 3 Area



5
6

Figure 10 Fragility Curve of PE Pipe in Seismic Capacity Level 4 Area



1

2

Figure 11 Fragility Curve of PE Pipe in Seismic Capacity Level 5 Area

3

2.3 Water supply system exposure

4

Before assessing the seismic risk in the water supply system, it is necessary to know the exposure of the water supply system. The total fixed assets of the water supply system, as the quantitative characteristics of the expected loss caused by the possible earthquake disaster in the region, can represent its exposure. Using the "Water Supply Yearbook" to collect the total fixed assets of the regional water supply system, it is necessary to know the proportion of water supply network, pool, and pump station building assets in the total fixed assets. Based on literature statistics and analysis, this article determined that in the water supply system, pipeline assets account for 70%, pool assets account for 22% (with clean water pools and water treatment pools each accounting for 50% of pool assets), and pump station buildings account for 8%. (Fan Wenting, 2020; Nong Weiwen, 2006; China Water Supply Association, 2009)

16

2.4 Comparison with actual earthquake damage losses

17

When the water supply system encounters a seismic peak ground acceleration of a , the loss is (Yin Zhiqian, 2004):

19

$$L(a) = \sum_s \sum_m (W_s r_{ms}) P_{ms}(D|a) \quad (9)$$

20

$L(a)$: The loss of the water supply system when encountering a seismic peak ground acceleration of a .

22

W_s : Total replacement cost of Class S water supply system facilities.

23

r_{ms} : The loss ratio of Class S water supply system facilities in the M damage level,

25

$P_{ms}(D|a)$: The probability of Class S water supply system facilities experiencing M damage level when peak ground acceleration is a .

27

According to the seismic hazard curve of Deyang City, combined with the seismic fragility of various facilities of the water supply system and the distribution of

28



1 various facilities assets of the water supply system, the 50-year exceeding
 2 probabilities of 63%, 10% and 2% were respectively predicted, which corresponded
 3 to the earthquake disaster losses of the water supply system in Deyang City when the
 4 intensity was VI, VII and VIII. The actual earthquake losses and predicted losses are
 5 shown in Table 7.

6 **Table 7 Earthquake disaster Loss Prediction of Deyang Water Supply System**

Intensity	Actual losses (10,000 yuan)	Predicted losses (10,000 yuan)	50-year exceeding probability (%)
VI		613	63
VII	3500	3394	10
VIII		5634	2

7
 8 The probability of occurrence of intensity VI and VII in Deyang City is 39.24%
 9 and 24.63%, respectively, which are one to two orders of magnitude higher than the
 10 probabilities of occurrence of other intensities. This indicates that the seismic
 11 intensity threat in Deyang City in the next 50 years mainly comes from intensity VI
 12 and VII. Although the exceeding probability of degree VI is 63%, which belongs to
 13 the level of frequent seismic motion, the predicted loss of degree VI is less than that
 14 of degree VII by one order of magnitude, and the destructive effect is relatively small.
 15 Although the predicted loss of degree VIII is greater than that of degree VII, the
 16 exceeding probability of degree VIII is only 2%, which belongs to the level of rare
 17 seismic motion. Therefore, the seismic risk faced by Deyang City is mainly the
 18 earthquake loss caused by intensity VII. The predicted loss of intensity VII in Deyang
 19 City is 33.94 million yuan, which is more consistent with the actual loss of 35 million
 20 yuan caused by Wenchuan earthquake. This confirms the reliability of the seismic
 21 fragility function proposed in this article.

22
 23 **3 Seismic risk distribution based on loss (rate) expectation in water**
 24 **supply systems**

25 Using the seismic hazard analysis method, the seismic fragility model of water
 26 supply system and the distribution of fixed assets introduced in Part 2, the loss
 27 expectation and loss rate expectation of earthquake disaster in a certain area at
 28 different time scales were calculated. In the scale of future t years, the full probability
 29 of the class s water supply system facilities experiencing m damage level is:

30
$$PDF_{ms} = \int P_{ms}(D|a) f_t(a) da \quad (10)$$

31 PDF_{ms} : Full probability of the class s water supply system facilities experiencing
 32 m damage level in future t years,

33 $P_{ms}(D|a)$: The probability of Class S water supply system facilities experiencing



1 M damage level when peak ground acceleration is a .

2 $f_t(a)$: Probability density function of peak ground acceleration in future t-year
3 scale.

4 At the scale of t years in the future, the loss expectation of water supply system
5 facilities caused by the peak ground acceleration of various intensities that may occur
6 in the local area is expressed as the sum of the product of direct loss when the s-class
7 water supply system facilities experience m damage level and the full probability. The
8 calculation model is:

$$9 \quad E[L_t] = \sum_s \sum_m (W_s r_{ms}) P D f_{ms} \quad (11)$$

10 $E[L_t]$ is water supply systems loss expectation in the future t years.

11 W_s is total replacement cost of s-class water supply system facilities (s-class
12 total fixed assets).

13 r_{ms} is the loss ratio of s-class water supply system facilities in the m damage
14 level.

15 In the scale of t years in the future, the loss expectation of water supply system
16 facilities caused by peak ground accelerations of various intensities that may occur in
17 the local area divided by the total cost of resetting the water supply system facilities in
18 the local area is loss rate expectation:

$$19 \quad E[R_t] = \frac{E[L_t]}{\sum_s W_s} \quad (12)$$

20
21 China's capital circle, southern Liaoning, north-south seismic belt, northwestern
22 Xinjiang, Yangtze River Delta and Pearl River Delta regions, and most provincial
23 capital cities have high seismic loss expectations. The high level of seismicity and the
24 high risk of seismic hazard are the main reasons for Xinjiang and the north-south
25 seismic belt; The eastern region is due to its developed economy, high level of
26 urbanization, abundant water supply system facilities, and high exposure of disaster
27 bearing bodies; The capital circle and southern Liaoning region are the results of the
28 combination of seismic hazard and exposure of disaster bearing bodies. The top 10
29 cities in descending order of loss expectation are Beijing, Kunming, Tianjin, Shanghai,
30 Guangzhou, Guyuan, Shenyang, Chengdu, Ningbo, and Xi'an. Among them, mega
31 cities may not necessarily be in seismic hazard areas, such as Shanghai and
32 Guangzhou, mainly due to the large stock of water supply networks in mega cities and
33 the high asset value affecting loss expectations. Cities with high loss rate expectation
34 are generally located in seismic hazard areas or have high seismic fragilities, not only
35 affected by the large stock of water supply networks and high assets; Moreover, the
36 loss rate expectation is expected to have exponential characteristics, which can be
37 used as a regional seismic risk index to compare the seismic risk between cities.

38 Considering the difference between the seismic loss rate expectation of the water



1 supply system or a certain facility in different time scales due to the seismic hazard
 2 probabilities, the 10-year scale and 100-year scale standards adopt the 50-year scale
 3 seismic loss rate expectation index classification standard.

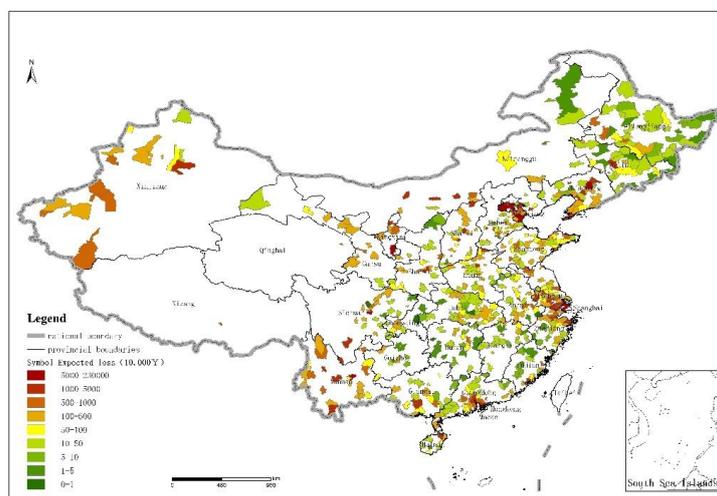
4 For the 50-year scale, considering that the seismic loss rate expectation of the
 5 water supply system is independent, when determining the classification standard of
 6 the seismic loss rate expectation index of the water supply system, this paper divided
 7 the classification standard of the seismic loss rate expectation index of the water
 8 supply system according to the principle that the number of cities in all categories
 9 accounts for basically the same proportion.

10 The seismic loss rate expectation of the water supply system can be used as the
 11 regional seismic risk index to compare the seismic risk between cities, so as to carry
 12 out the seismic risk assessment for the regional water supply systems.

13 **Table 8 Grade classification standard of seismic loss rate expectation index of regional water**
 14 **supply systems**

Classification of loss rate expectation	A	B	C	D	E
Loss rate expectation index	[0.085-1.0)	[0.030-0.085)	[0.018-0.030)	[0.0075-0.018)	(0-0.0075)
Risk level	Very high	High	Medium	Low	Very Low
Symbol color	Red	Orange	Yellow	Blue	Green

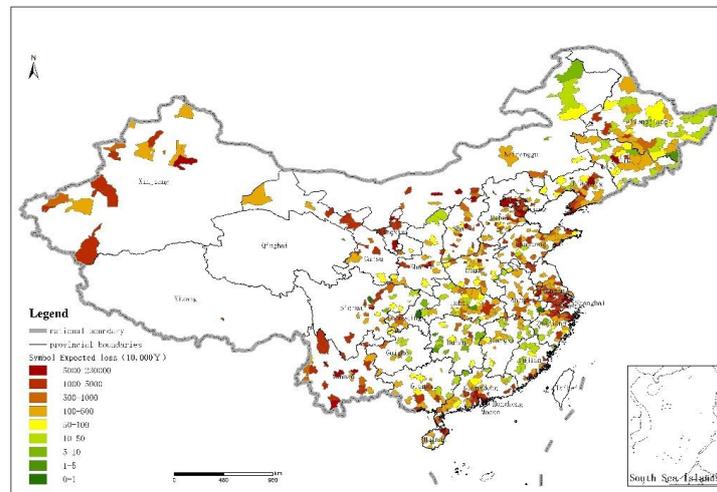
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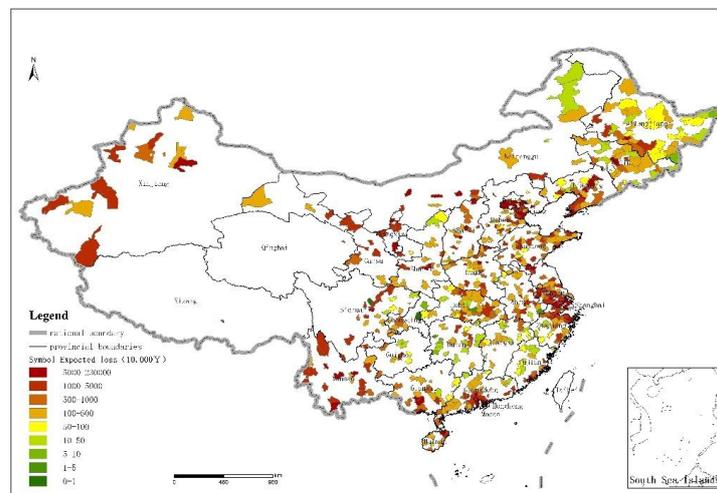
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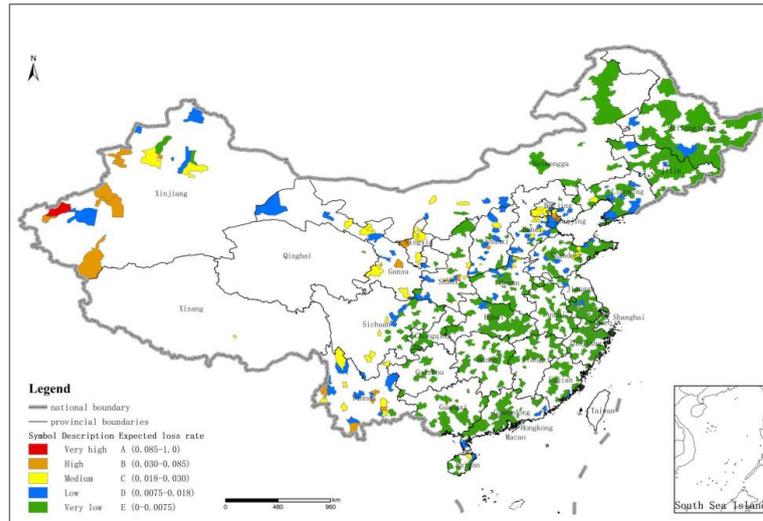
1 **Figure 12 Distribution Map of 10-year seismic loss expectation of Water Supply Systems in**
2 **720 cities in Chinese Mainland**



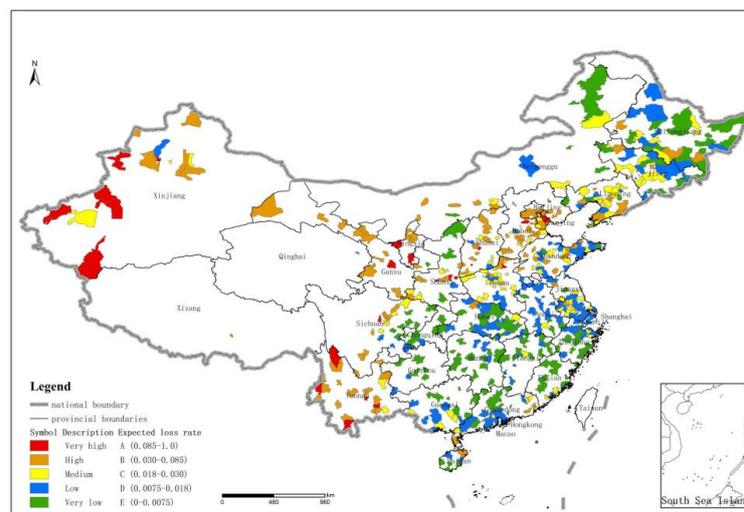
3 **Figure 13 Distribution Map of 50-year seismic loss expectation of Water Supply Systems in**
4 **720 cities in Chinese Mainland**
5



6 **Figure 14 Distribution Map of 100-year seismic loss expectation of Water Supply Systems in**
7 **720 cities in Chinese Mainland**
8



1
2 **Figure 15 Distribution Map of 10-year seismic loss rate expectation of Water Supply Systems**
3 **in 720 cities in Chinese Mainland**

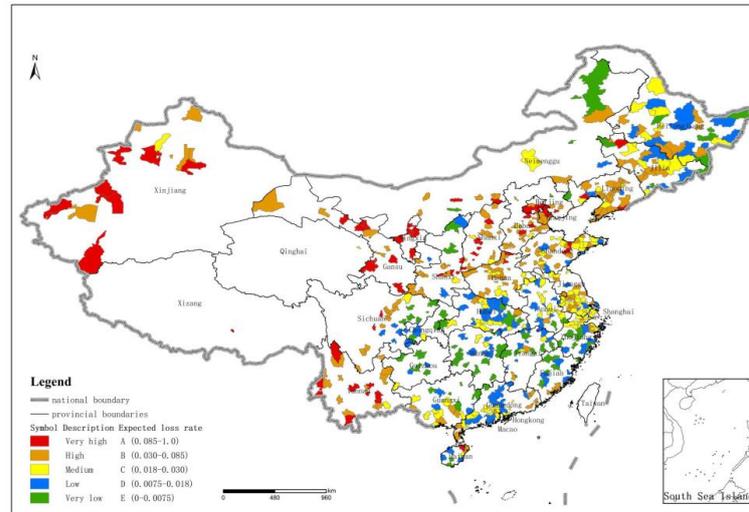


4
5 **Figure 16 Distribution Map of 50-year seismic loss rate expectation of Water Supply Systems**



1

in 720 cities in Chinese Mainland



2

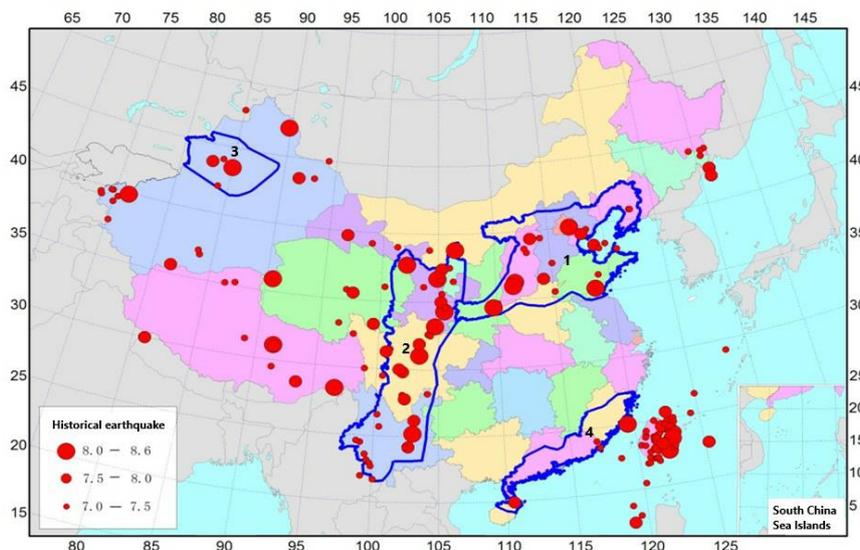
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Figure 17 Distribution Map of 100-year seismic loss rate expectation of Water Supply Systems in 720 cities in Chinese Mainland

4

5

6 The seismic loss rate expectation in the capital circle, southern Liaoning (Beijing,
7 Tianjin, Hebei, Shanxi and Southern Liaoning), north-south seismic belt (Gansu,
8 Qinghai, Ningxia, Shaanxi, Sichuan and Yunnan), northwest Xinjiang, and southeast
9 coastal areas (Fujian Guangdong border area, Taiwan Strait, Haikou City, Hainan
10 Province) is expected to be higher. This result is consistent with the research results of
11 seismic hazard and key monitoring and defense areas in China from 2006 to 2020. As
12 shown in Figure 18. Because the above areas are located in the seismic zone,
13 seismicity is frequent and the seismic hazard is high.



1
2 **Figure 18 Seismic hazard and key monitoring and defense areas in China from 2006 to 2020**

3

4 **4 Conclusion**

5 This paper proposed an assessment model based on loss expectation and loss rate
6 expectation for seismic risk assessment of water supply system in Chinese Mainland.
7 This model solves the different needs of government departments for the risk level of
8 seismic risk in the water supply system, and provides technical support for the risk
9 zonation and risk mapping of earthquake disaster in the water supply system. The
10 specific conclusions obtained through this study are as follows:

11 1) Based on multi-source basic data such as urban industry yearbook, seismic
12 zonation, seismic code, population GDP and historical earthquake damage data, a
13 basic database for seismic risk assessment of 720 urban water supply systems in
14 Chinese Mainland was established. The probability density functions of peak ground
15 acceleration were calculated by using the seismic hazard analysis method, and the
16 parameters of the seismic risk curves of 720 cities were calculated. The seismic
17 damage matrix of pipelines and facilities is obtained based on the actual seismic
18 damage through statistical calculation, and the seismic fragility curves of various
19 facilities in the water supply system were given based on the logarithmic normal
20 distribution model.

21 2) The risk index of earthquake disaster is the result of the joint action of
22 earthquake occurrence probability, vulnerability and exposure. The seismic loss rate
23 expectation index is used as the seismic risk assessment index to evaluate the water
24 supply systems. The grading evaluation criteria of risk index (A-E) were established,
25 and the distribution maps of seismic loss expectation and the classification maps of
26 loss rate expectation index of 720 urban water supply systems in Chinese Mainland in
27 medium and long-term were given.



1 3) According to the conclusion that the region where the cities with risk levels A
2 and B are located is more consistent with the research results of China's seismic
3 hazard and key monitoring and defense areas from 2006 to 2020, it shows that the
4 seismic risk assessment of regional water supply systems is highly correlated with the
5 medium and long-term earthquake prediction results, which is suitable for the medium
6 and long-term risk assessment, and verifies the rationality and applicability of the
7 model proposed in this paper. In particular, we should strengthen the prevention and
8 control of seismic risk in key cities in North China, Northwest China, Southwest
9 China and South Northeast China, and improve the seismic capacity of water supply
10 systems and facilities in these key risk cities.
11

12 **Data availability**

13 The datasets used in the study were derived from the following resources
14 available in the public domain: Communiqué of the National Bureau of Statistics of
15 the People's Republic of China on Major Figures of the 2010 Population Census,
16 Statistical Yearbook of Urban Water Supply (2009-2018), GB50011-2010Code for
17 seismic design of buildings (2010), Summary report on scientific investigation of
18 earthquake damage in Wenchuan earthquake, GB18306-2015Seismic ground motion
19 parameters zonation map of China. (2015). Site category data was calculated through
20 BP neural network method. Seismic hazard control points were calculated using
21 CPSHA method. Both site category and seismic hazard control points data are
22 classified and could not be available in the public domain.

23 **Author contributions**

24 Tianyang Yu initiated the research. Tianyang Yu and Banghua Lu gathered the
25 data. Tianyang Yu analyzed the data and plotted the maps and graphs. Tianyang Yu
26 wrote the manuscript draft. Hui Jiang and Zhi Liu reviewed the manuscript.

27 **Competing interests**

28 The contact author has declared that none of the authors have any competing
29 interests.

30 **Acknowledgement**

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34

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