

# The vulnerability of buildings to a large-scale debris flow and outburst flood hazard cascade that occurred on 30 August 2020 in Ganluo, Southwest China

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**Abstract:** In mountainous areas, damage caused by debris flows is often aggravated by subsequent dam-burst floods within the main river confluence zone. On 30 August 2020, a catastrophic disaster chain occurred at the confluence of the Heixiluo Gully and Niri River in Ganluo County, Southwest China, ~~that consisted~~ consisting of a debris flow, the formation of a barrier lake and subsequent dam break that flooded the community. This study presents a comprehensive analysis of the characteristics of the two hazards and the resulting damage to buildings from the cascading hazards. The peak discharge of the debris flow in the gully mouth reached 1,871 m<sup>3</sup>/s~~-. Following the dam break, the~~ and the change in the main river channel resulting from the dam-burst flood, which had with a peak discharge of 2,737 m<sup>3</sup>/s, significantly altered the main river channel, resulted incausing a fourfold increase in ~~the extent of~~ flood inundation compared to an ordinary flood. Three hazard zones were established based on the building damage patterns: (I) primary debris flow burial; (II) secondary dam-burst flood inundation and (III) sequential debris flow burial and dam-burst inundation. Vulnerability curves were developed for Zone (II) and Zone (III) using impact pressures and inundation depths, and a vulnerability assessment chart is presented that contains the three damage categories. This research addresses a gap in the vulnerability assessments of debris flow hazard cascades and can support future disaster mitigation within confluence areas.

**Keywords:** Multi-hazard risk, Debris flow, Dam-burst flood, Building damage, Vulnerability analysis.

## 1 Introduction

In mountainous areas, debris flows frequently block rivers and form temporary dammed lakes. The subsequent breach of these dammed lakes can result in a ~~vast flash~~ high-magnitude outburst flood (Yan et al., 2020). The hazard cascade consisting of debris flows and subsequent dam-burst floods usually devastate residential buildings in confluence zones. For instance, a large-scale debris flow occurred in the Wenjia Gully in Sichuan Province, Southwest China, on 13 August 2010 and

35 completely blocked the Mianyuan River, which formed a dammed lake 1,650 m long, 420 m wide,  
36 and 12 m deep. Then, the dammed lake breached and caused 7 fatalities and extensive damage to  
37 479 houses (Yu et al., 2013).

38 Multi-hazard analyses that incorporate potential hazard interactions have gained significant  
39 attention in recent years (Liu et al., 2015; Gallina et al., 2016; Tilloy et al., 2019; Luo et al., 2023).  
40 However, vulnerability assessments in risk analysis rarely consider the effects of hazard  
41 interactions (Luo et al., 2023). Argyroudis et al. (2019) introduced a new methodology for  
42 evaluating the vulnerability of transport infrastructure to multiple hazards. This approach is  
43 comprised of six steps and includes numerical and fragility models. Progress has been made in  
44 assessing the risk of buildings exposed to multiple hazards by considering the interaction between  
45 an earthquake and other hazards, such as dam breaks, flash floods, and tsunamis. Korswagen et al.  
46 (2019) proposed a methodology for assessing structural damage resulting from coupled hazards  
47 and used it to assess the vulnerability of a masonry building subjected to an earthquake and an  
48 earthquake-triggered dam break. Furthermore, Park et al. (2012) developed collapse fragility curves  
49 for earthquake and tsunami effects using a numerical model. Gautama and Dong (2018) outlined  
50 the vulnerability of vernacular stone masonry buildings to the flash floods that occurred after the  
51 Gorkha earthquake. Residential buildings in Nepal were found to have up to 300% damage  
52 resulting from the combined earthquake and subsequent flash flood. Petrone et al. (2020) simulated  
53 the response of reinforced concrete frames to earthquake and tsunami inundation, yielding fragility  
54 curves that showed a median decrease of less than 15% in terms of tsunami resistance when exposed  
55 to cascading hazards as compared to tsunami-only fragility functions.

56 The evaluation and mitigation of the multiple risks posed by debris flows and dam-burst floods  
57 in a confluence zone require a multi-risk analysis that considers hazard interactions and their  
58 cumulative effects on building vulnerability. Most studies on debris flow and dam-burst floods  
59 mainly focus on numerical simulations and the evolving processes of hazard cascades (Cutter, 2018;  
60 Ning et al., 2022; Chen et al., 2022), but studies on the vulnerability of building to hazard cascades  
61 are scarce. The vulnerability of buildings to the cumulative impact of debris flow and flash flood  
62 may differ from the sum or sequence of vulnerability resulting from a single debris flow or flash  
63 flood (Kappes et al., 2012). The effect that simultaneous hazards have on building vulnerability  
64 remains inadequately addressed, with only a few studies available (Kappes et al., 2012). Luo et al.  
65 (2020) proposed a framework for developing physics-based vulnerability models for buildings  
66 exposed to multiple surges of debris flows. Cumulative damage effects resulting from sequentially  
67 occurring debris flows were quantified by assessing the physical damage from primary debris flows.  
68 However, this approach may not apply directly to the debris flow-dam-burst flood hazard cascade.

69 Field investigations have shown that the pattern of damage to buildings in the confluence area  
70 of debris flow and flood is not consistent with those from the debris fan or on the floodplain. Our  
71 field investigations have revealed that the pattern of damage to buildings in the confluence area of  
72 debris flow and flood is distinct from those observed in areas affected by debris flow alone or by  
73 flood alone. Debris flow usually causes devastating damage to settlements on the fan, and the  
74 subsequent dam-burst flood significantly increases the damage (Xu et al., 2014; Yu et al., 2013).  
75 The risk amplification and cumulative effect on building vulnerability resulting from successive  
76 debris flows and dam-burst floods are not entirely clear. Therefore, in-depth analysis is essential  
77 for assessing the risks posed by the debris flow hazard cascade to develop a successful emergency  
78 management plan.

79 On August 30, 2020, a catastrophic debris flow and dam-burst flood occurred in the Niri River,  
80 Ganluo County, Sichuan Province, Southwest China. The debris flow-flash flood event killed 3  
81 people and caused significant serious damages to local infrastructure, including the destruction of  
82 110 buildings, the Chengdu-Kunming railway bridge near the gully mouth, 1.2 km along national  
83 road G245, and 5 highway bridges along the main river. This study aims to comprehensively  
84 analyze the damage to buildings caused by the Heixiluo debris flow-dam-burst flood disaster chain.  
85 Firstly, we calculated the dynamic characteristics of the debris flow and outbreak flood damage.  
86 We then systematically investigated and summarized the building damage characteristics ~~and~~  
87 analyzed, and compared the vulnerability of buildings considering different damage patterns.  
88 Finally, we discuss how the damage was amplified by the chain and offer suggestions for hazard  
89 mitigation.

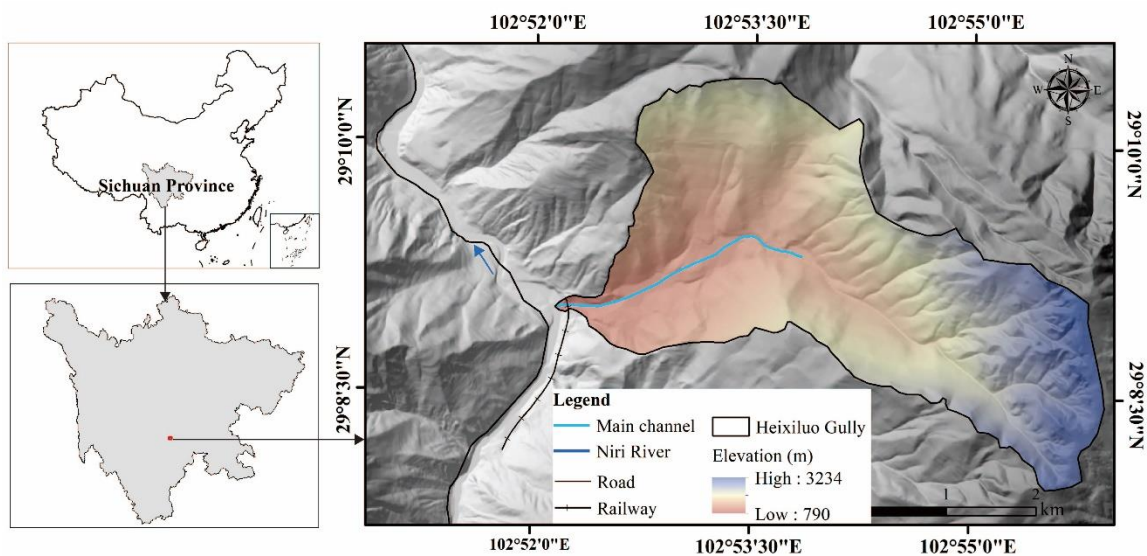
## 90 **2 Study area**

91 The study area is located in Ganluo County, Sichuan Province, Southwest China, which  
92 includes the Heixiluo Gully and the confluence area along the Niri River. Ganluo County lies north  
93 of the Liangshan Yi Autonomous Prefecture, occupying the alpine canyon zone in the transitional  
94 region between the western margin of the Sichuan Basin and the Qinghai-Tibet Plateau (Fig. 1).  
95 The geographic boundaries of the study area span from 102°27' to 103°01' east longitude and from  
96 28°38' to 29°18' north latitude. Ganluo County covers a total area of 2150.97 km<sup>2</sup> and had a  
97 permanent population of 205,991 at the end of 2020.

98 Ganluo County consists of an erosional tectonic landform that is defined by two primary  
99 structures, namely Sichuan-Yunnan north-south structure and the Qinghai-Tibet Yunnan zeta-type  
100 structure. The mountain and river systems flow from south to north due to the folds, uplift, and  
101 fractures of the Hengduan Mountains and the strong disruptive effect that widely distributed rivers,

102 undulating hills, ravines, and cliffs have on the study area. The valleys, which are characterized by  
103 a V-shaped cross-section, have considerable depths that typically exceed 1000 meters.

104 ~~Folds are ubiquitous in~~ The study area ~~has many typical geological structures, and such as~~ the  
105 N-S trending Teke fault, Suxiong anticline, and Maanshan anticline ~~are excellent examples of these,~~  
106 ~~typical geological structures.~~ These faults were ~~last~~ active during the early and middle Pleistocene  
107 and there is no discernible evidence that they were active during the late Quaternary period. The  
108 exposed strata in the study area are primarily ~~comprised~~ ~~composed~~ of Quaternary strata (Q),  
109 Presinian Ebian Group (Pteb), and Lower Sinian Suxiong Group (Zas). The upstream area is mainly  
110 occupied by sandstone, whereas rhyolite and tuff dominate the main part of the catchment, with  
111 slate occupying the left downstream area. The study area is situated in a seismically active region.  
112 The peak ground acceleration in the study area is 0.15 g, and the peak period of the seismic response  
113 spectrum is 0.45 s. Between 1327 and 1975, ~~nearly~~ ~~147 of~~  $M_s \geq 2.5$  earthquakes ~~were~~  
114 ~~recorded~~ ~~happened~~, including 15  $M_s \geq 5.0$  earthquakes with the highest magnitude of 7.5.



115  
116 **Figure 1** Location of the study area including the Heixiluo Gully and Niri River.

117 The Niri River is a first-order tributary ~~of in~~ the middle reaches of the Dadu River and flows  
118 from south to north and over an elevation range of 1,800-2,200 a.s.l. for most of the areas. The  
119 highest elevation in the river basin is 4,700 m a.s.l., and the lowest elevation is 1,170 m a.s.l. The  
120 study area has a subtropical monsoon climate. The average annual temperature is 16.2° and the  
121 average annual rainfall is 949 mm. The precipitation is distributed unevenly ~~throughout the year in~~  
122 ~~a year.~~ The rainfall is concentrated from April to October, with an average rainfall of 901.9 mm,  
123 accounting for 93.14% of the average annual rainfall. The precipitation varies significantly with  
124 elevation, ~~with an annual precipitation of 968 mm, and~~ the maximum hourly rainfall and ten-minute  
125 rainfall recorded are 40.3 mm and 14.8 mm, respectively.

126 The Heixiluo Gully is located on the right bank of the Niri River in Suxiong town, Ganluo County  
127 (Fig. 1). The coordinates of the gully mouth are  $29^{\circ} 09' 47''$  N and  $102^{\circ} 52' 53''$  E and the  
128 gully extends from the east to the west. The gully covers an area of  $13.36 \text{ km}^2$  and is situated at a  
129 moderate elevation on the mountainous landform. The catchment elevation ranges from 3,220 m  
130 a.s.l. to 760 m a.s.l., with a relative height of 2,460 m. The main channel of the gully stretches for  
131 6.93 km, with an average gradient of 0.355. ~~The average slopes for areas above and below 1,990~~  
132 ~~m are approximately 0.6 and 0.256, respectively.~~

133 The field investigation indicates that debris flow initiated in the area above an elevation of  
134 1,990 m a.s.l. The gradient of the channel in this area is steep, with an average value of 0.6. The  
135 transportation zone is mainly located between 820 m a.s.l. and 1,990 m a.s.l. in elevation and  
136 occupies an area of  $5.96 \text{ km}^2$ . The length of the main gully is 4.65 km, and the average gradient of  
137 the main gully is 0.252. Two platforms were distributed at altitudes of 1,160 m a.s.l. and 1,030 m  
138 a.s.l. and divided the main channel of the transportation zone into three parts. A narrow channel  
139 developed between the platform and the deposition fan at 1,023 m a.s.l. The length and gradient of  
140 the channel are approximately 670 m and 0.243, respectively.

### 141 **3 Data and methods**

142 — We conducted field investigations on the debris flow-flash floods that occurred on 31 August  
143 and 3 December 2020. The field survey mainly focused on the main transportation and deposition  
144 zones. Interviews, measurements, and aerial photography were conducted to investigate the  
145 formation and disaster mechanisms. The geomorphic settings of the Heixiluo Gully and adjacent  
146 Niri River were carefully measured and analyzed, including the channel width, deposition and  
147 erosion height, channel slope, and particle size distributions. The damage to buildings was also  
148 investigated by comparing the drone photos taken before and after the disaster.

#### 149 **3.1 Data collection**

150 The Digital Elevation Models (DEMs) collected before and after the event were used for hazard  
151 cascade analysis. The pre-event DEM was converted from a 1:10000 topographic contour map  
152 provided by the Sichuan Bureau of Surveying, Mapping, and Geoinformation which had a spatial  
153 resolution of 10 m. The post-event DEM of the study area was produced by synthesizing high-  
154 resolution aerial images captured by a Dajiang unmanned aerial vehicle (UAV) on 3 December  
155 2020. To calibrate the post-event terrain, 10 image control points that were not affected by the  
156 disaster were selected, and their elevation values were sampled from the pre-DEM and assigned as  
157 input conditions. The mean RMS error of georeferencing of the post-event DEM was within the  
158 usable range with a value of 0.1 m.

## 159 3.2 Methodology

160 The dynamic parameters of the debris flow and discharge of the dam-burst flood were calculated  
161 by the formulas presented in Table 1.

162 **Table 1** Models used in parameter calculation for this study

Category of Calculation	Applied formula	Description parameters
Debris flow density (Hu et al., 2019)	$\gamma_c = -1320x^7 - 513x^6 + 891x^5 - 55x^4 + 34.6x^3 - 67x^2 + 12.5x + 1.55$	x is the clay content in the debris flow sample. The average clay content in particles less than 0.005 mm in size accounts for 2.55%.
Debris flow peak discharge and velocity (Kang, 1987; Yang, 1985)	$Q = \frac{1}{n_c} AR^{\frac{2}{3}} J^{\frac{1}{2}}$ $n_c = \frac{1}{18.5H^{-0.42}}$ $U = \frac{Q}{A}$	A is the cross-sectional area, R is the hydraulic radius, J is the channel bed gradient, and $n_c$ is the roughness coefficient for viscous debris flow. The method for calculating $n_c$ was deduced from analysis of viscous debris flows in Huoshao gully in China.
Dam-burst flood discharge	$Q = \frac{1}{n} AR_n^{\frac{2}{3}} J^{\frac{1}{2}}$	A is the cross-sectional area, $R_n$ is the hydraulic radius, J is the channel bed gradient, and n is the Manning roughness coefficient. The values of A, $R_n$ , and J were directly measured by the field investigation.

163 The debris flow depth and velocity were obtained by numerical simulations performed using  
164 FLO-2D software (O'Brien,1986). FLO-2D is a simple volume conservation model that can  
165 simulate non-Newtonian flows and has been employed successfully to simulate debris flows by  
166 many researchers. The input parameters in FLO-2D include Manning's  $n$  coefficient, laminar flow  
167 resistance parameter  $k$ , and empirical coefficients  $\alpha$  and  $\beta$ . The estimated peak discharge at the  
168 gully mouth using Kang's equation (1987) was applied in the simulation. The data used in the debris  
169 flow simulation are presented in Table 2.

170 **Table 2** Data used in the flood simulation

Discharge	Manning's n-value	Viscosity coefficient		Yield stress coefficient		Laminar flow resistance coefficient k
		$\alpha_1$	$\beta_1$	$\alpha_2$	$\beta_2$	
Estimated by Kang's equation (1987) at the gully mouth	0.4 (river channel), 0.2 (building in the floodplain), 0.03 (cultivated land)	3.22	5.8293	0.0612	15.877	2,285

171 Dam-burst flood hydraulics were simulated by HEC-RAS 5.0.7 (Hydrologic Engineering Center,  
172 2016) using the post-event DEM. The computation procedure employed a one-dimensional steady  
173 flow simulation and assumed a subcritical flow regime. The boundary conditions are established at  
174 all the ends of the river nodes by entering the normal depth value. The initial conditions were set  
175 using the corresponding discharge of the dam-burst flood estimated at a typical river section using  
176 Manning's equation. Manning's  $n$  coefficient, expansion, and contraction coefficients account for  
177 flow energy losses in HEC-RAS. Due to the difficulty of acquiring terrain data for the initial stage

of the dam break, it was assumed that the peak discharge of the dam-burst flood formed the post-event terrain, which was adopted to simulate the dam-burst flood.

~~The upstream inflow flood hydraulics were also calculated by HEC RAS 5.0.7. The flood discharge was obtained from upstream hydrological observation stations located approximately 15 km from Heixiluo Gully. Due to the difficulty of acquiring terrain data for the initial stage of the dam break, it was assumed that the peak discharge of the dam-burst flood formed the post event terrain, which was adopted to simulate the dam-burst flood.~~

To analyze the impact of debris flows on river dynamics, we also simulated an ordinary flood unaffected by debris flows using the pre-event DEM. The flood discharge was obtained from upstream hydrological observation stations located approximately 15 km from Heixiluo Gully.

The Manning's *n* values for the river channel and floodplain were 0.4 and 0.2, respectively. These values are the suggested values for main channels that are clean and winding, have some pools and shoals, some weeds and stones, and have flood plains for cultivated areas but are free of crops (Hydrologic Engineering Center, 2016). The data applied to the flood calculations are presented in Table 3.

**Table 3** Data used in the flood simulation

Flood processing	Data	Data source	Manning's <i>n</i> -value	Expansion and contraction coefficients
Debris flow dam-burst flood	Topography	Post-event DEM of the river channel	0.5 (river channel and floodplain)	0.1 (expansion coefficient) 0.3 (contraction coefficient)
	Discharge	Estimated by Manning's equation in a typical section		
Flood not affected by debris flow	Topography	Pre-event DEM of the river channel	0.4 (river channel), 0.2 (floodplain)	
	Discharge	Record in the Yanrun Hydrometric station (located upstream 23 km from Heixiluo Gully)		

A vulnerability curve was developed to describe the relationship between the hazard intensity and the degree of damage to the buildings. Following the classification of the damage degrees proposed by Hu et al. (2012), the degree of damage to buildings caused by multi-hazards was determined through a comprehensive analysis of photographs taken on site and aerial images collected over the disaster scene. Hazard intensity parameters were applied, such as flow depth and average total impact pressure, with average total impact pressure calculated as  $P = \rho v^2 + 0.5\rho gh$  (Zanchetta et al., 2014) where *P* is the average total impact pressure,  $\rho$  is the flow density, *v* is the velocity, and *h* is the flow depth. The deposition depth of the debris flow was obtained by field investigation, while the velocity was calculated using the method outlined in Table 1. The

203 maximum flow depth and velocity of the flood were extracted from the HEC-RAS model. A  
204 nonlinear regression analysis was conducted using a logarithmic form expression to relate the  
205 vulnerability to the intensity parameters of the hazard.

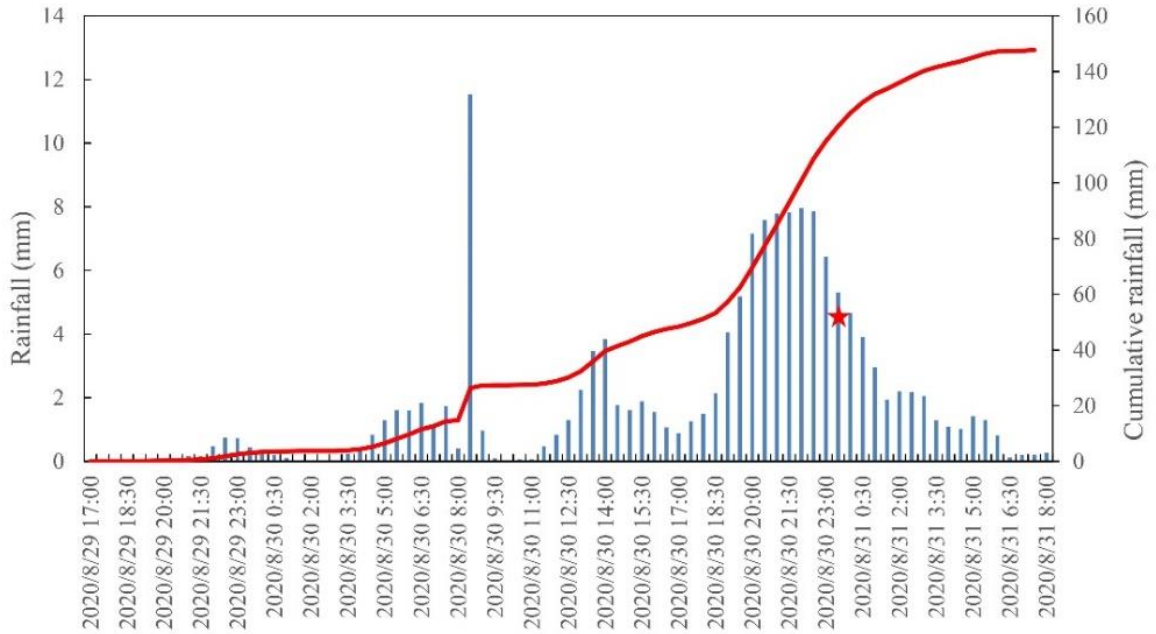
## 206 **4 Results**

### 207 **4.1 Hazard cascade**

208 The debris flow event was triggered by a ~~once-in-a-century~~ short-term heavy rainfall ~~event~~with  
209 100 years return period. According to the precipitation data from two automated stations located  
210 10 km away, the 24-hour cumulative rainfall from 8:00 on 30 August was approximately 82.8 mm.  
211 The rainfall data extracted from the Global Precipitation Measurement (GPM) rainfall product in  
212 the Heixiluo Gully showed that the rainfall started on 29 August at 22:00 and lasted until 6:00 on  
213 31 August ~~and delivered with~~ a total cumulative amount of 147.2 mm ~~of rain~~. The hourly rainfall  
214 increased to 5.18 mm at 19:30 on 30 August, which triggered the debris flow due to the  
215 approximately accumulated 61.4 mm of rainfall. The debris flow lasted approximately 40 minutes,  
216 and the rainfall intensity reached 6.63 mm/h (Fig. 2). Heavy rainfall caused flooding in the Yanrun  
217 Hydrometric station (located 15 km upstream from the study area), resulting in a peak discharge of  
218 893 m<sup>3</sup>/s (He et al., 2020), which was nearly nine times the average discharge of the Niri river.

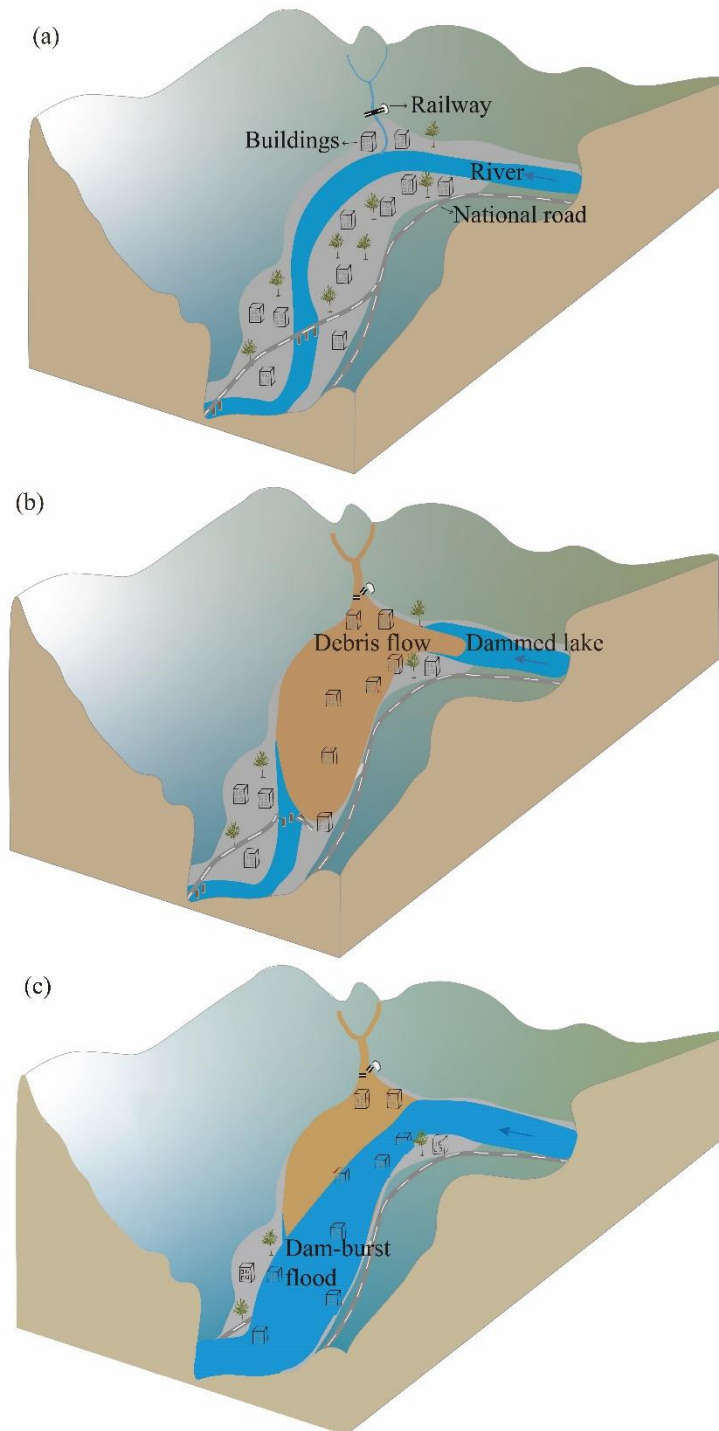
219 The debris flows firstly transported approximately 1,050,000 m<sup>3</sup> of material sediment to the Niri  
220 River, forming a temporary debris dam. ~~The debris flow swept away the railway bridge that crossed~~  
221 ~~the gully mouth and impacted the national road across the river. It also destroyed the buildings~~  
222 ~~close to the gully mouth and those on the opposite bank of the main river. Approximately 40~~  
223 ~~minutes later, the debris flow barrier dam was breached, triggering a massive high-magnitude flash~~  
224 ~~flood that damaged the national road and buildings near the altered flooding path (Fig. 3). that~~  
225 ~~breached after approximately 40 minutes, resulting in a massive flash flood. The debris flow flash~~  
226 ~~flood event caused significant damages, including the destruction of 108 buildings, the Chengdu~~  
227 ~~Kunming railway bridge near the gully mouth, 1.2 km along national road G245, and 5 highway~~  
228 ~~bridges along the main river (Fig. 3).~~





229  
 230  
 231

**Figure 2** Hourly and cumulative rainfall on 29, 30, and 31 August 2020 extracted from the Global Precipitation Measurement (GPM) rainfall product.



232

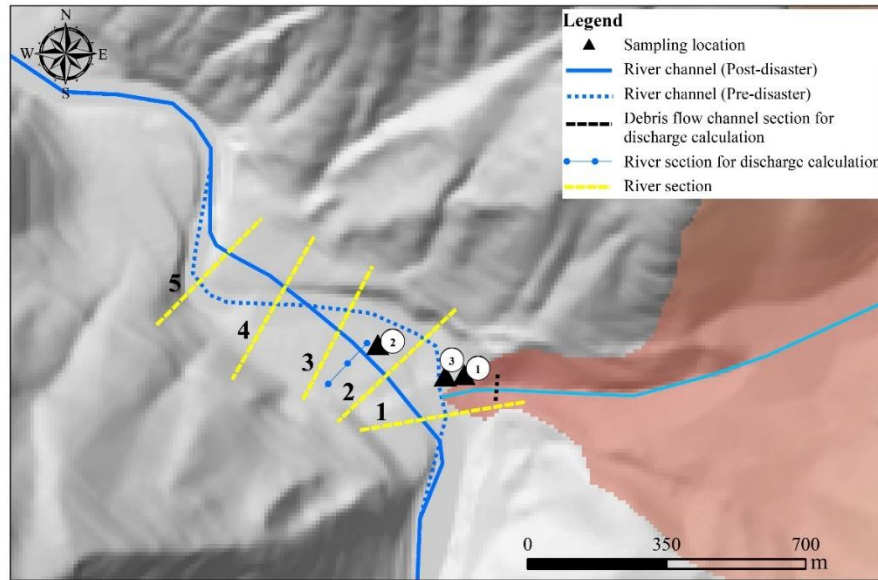
233 **Figure 3** Illustration of the hazard cascade process: (a) the normal flow of river flow before the occurrence

234 of debris flow; (b) debris flow blocks the river, creating a dammed lake that destroys the railway, roads, and

235 buildings; (c) the dammed lake bursts, causing a flood that damaged and the road and buildings

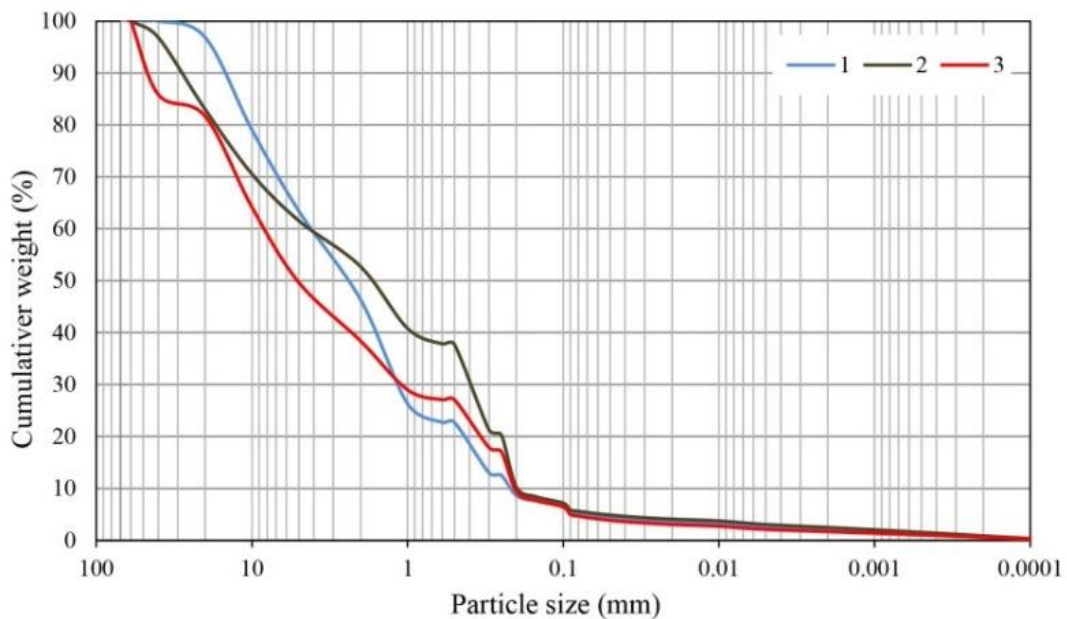
236 **4.2 Dynamic characteristics of the debris flow**

237 Samples of debris particles smaller than 10 cm were taken from three locations (see Fig. 4). The  
238 particle size distribution of the debris flow samples is presented in Fig. 5. The calculated bulk  
239 density of the debris flow is 1.825 g/cm<sup>3</sup>, which indicates a viscous debris flow (Kang et al., 2004).



240

241 **Figure 4** Distribution of river and debris flow channel sections and debris flow sampling locations.



242

243 **Figure 5** Particle size distribution of debris flow samples.

244 The debris flow destroyed the Chengdu-Kunming railway bridge situated at the gully mouth and  
245 had a flow depth of approximately 4.7 m and a section area of approximately 188 m<sup>2</sup>. The estimated

246 peak discharge at the gully mouth using Kang's equation (1987) was 1871 m<sup>3</sup>/s, which resulted in  
247 a high impact pressure of 223 kPa.

248 The temporal distributions of the maximum depth and velocity of the debris flow are presented  
249 in Fig. 6. Majority of buildings close to the river channel and debris flow channel were buried by  
250 the debris flow. The debris flow lasted for approximately 40 minutes and transported ~~approximately~~  
251 great volume of 1,050,000 m<sup>3</sup> of sediment downstream. The deposition zone extended from the  
252 gully mouth to the floodplain of the Niri River, covering a length of 320 m. The deposition area  
253 obtained from the simulation is 0.15 km<sup>2</sup>, ~~The~~ which is close to the area measured from the UAV  
254 image, ~~was~~ approximately 0.15-16 km<sup>2</sup>. The thickness of the sediment deposits ranged from 5 m  
255 to 15 m, with an average value of 7 m. Fig. 7 shows that the debris flow buried one floor of Building  
256 3 and nearly two floors of Building 4 (locations indicated in Fig. 6). The simulated maximum  
257 depths at Buildings 3 and 4 are 3.2 m and 5.5 m, respectively, close to the actual deposition heights.  
258 The debris flow flushed into the main river and blocked the Niri River. The river channel was filled  
259 with sediment, which led to the formation of a dammed lake that raised the water level by 7-8 m.  
260 After 40 minutes, the unstable dammed lake breached, which resulted in a massive flash flood.

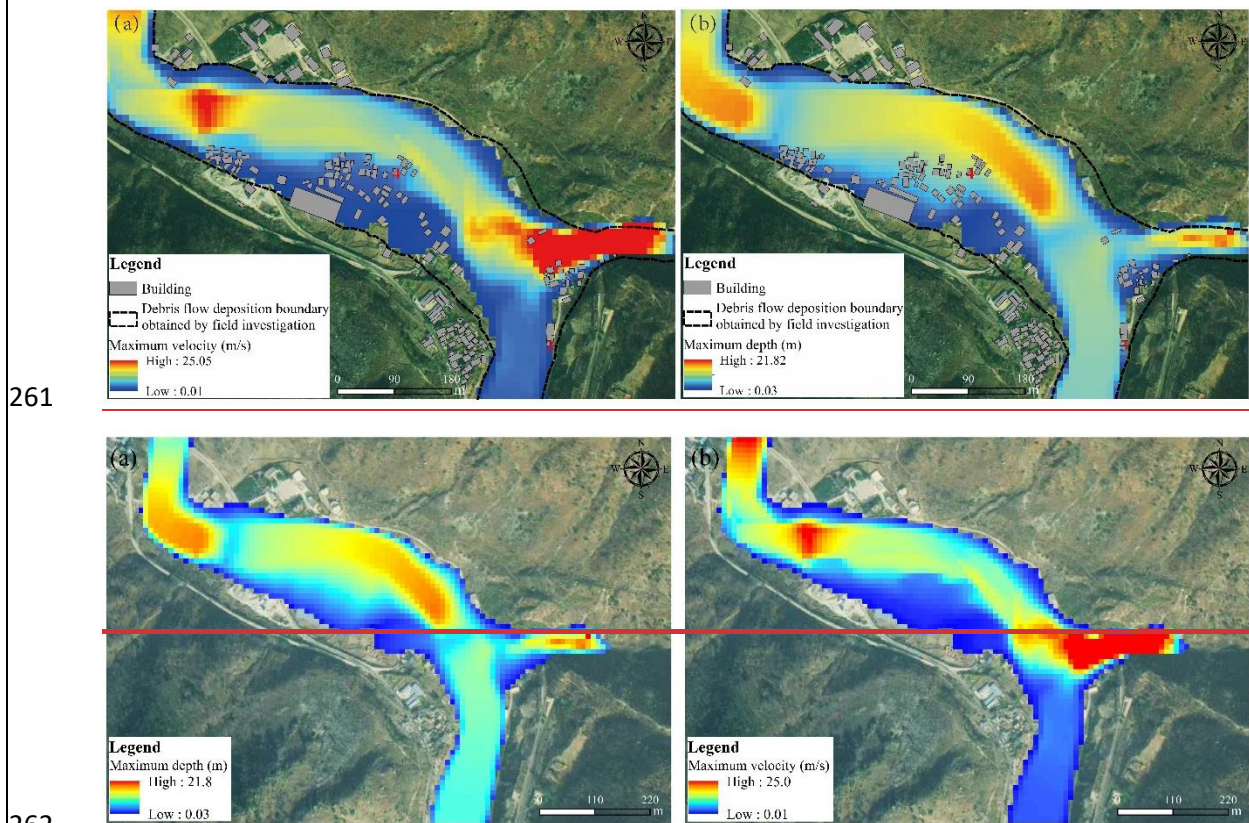


Figure 6 Distribution of maximum depth and velocity of the debris flow



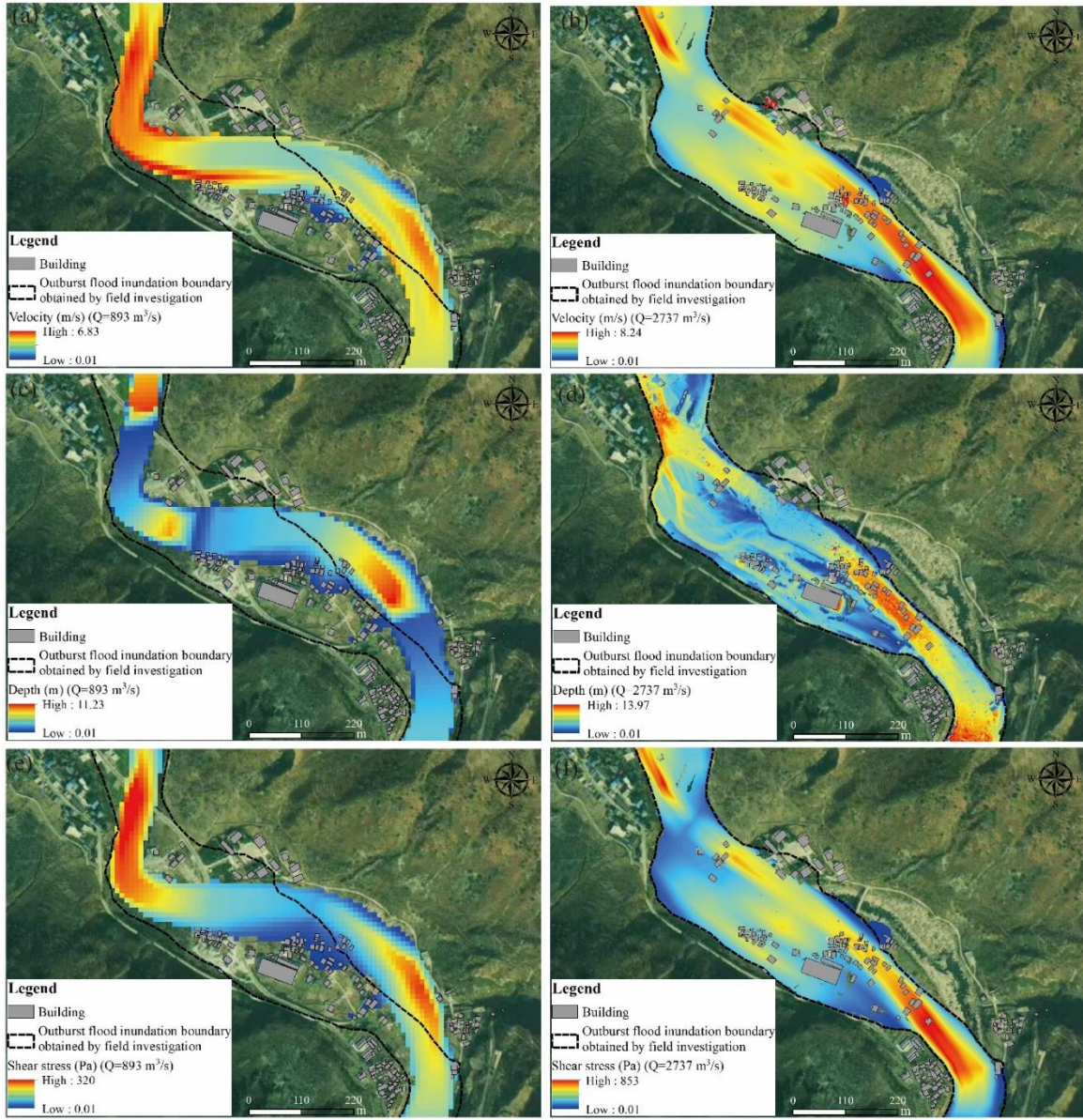
Figure 7 Simulated maximum flow depth of debris flow at the location of Building 3 and Building 4

### 4.3 Dynamic characteristics of the outbreak flood

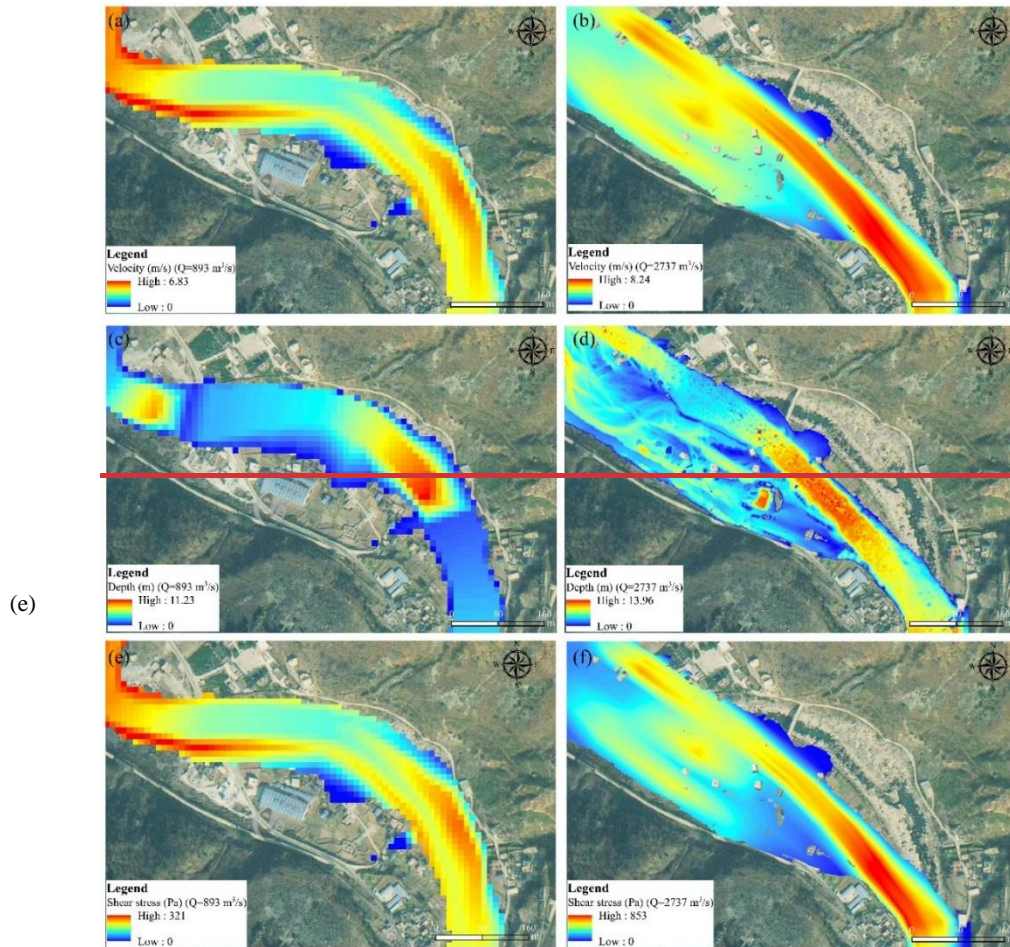
The outburst of the debris flow lake caused a sharp increase in flood peak discharge. To analyze the dynamic characteristics of the flood caused by the dam burst, we first used Manning's hydraulic formula for open channel flow (presented in Table 1) to calculate the peak discharge. Then, we selected empirical formulas for dam-burst floods to verify the discharge. A typical section adjacent to buildings damaged by the flood was chosen for the calculation (Fig. 4). Based on flood traces on the outer walls of buildings and the damaged height of buildings, the flood depth was estimated to be 6 m. The cross-sectional area and hydraulic radius were calculated according to the section geometry and channel profile. The channel bed gradient was determined based on the longitudinal channel profile. The resulting peak discharge was 2,737 m<sup>3</sup>/s. Field investigation revealed that the height of the debris flow dam was approximately 12 m. The volume of the barrier lake was calculated based on the terrain data collected before the disaster. The peak discharge was estimated using the empirical formula proposed by Costa (1985) ( $Q_{max} = 1.122V_s^{0.57}$ , where  $V_s$  is the barrier lake volume), resulting in a flow discharge of 2,273 m<sup>3</sup>/s with a relative error of 18%–%, which is comparable to the result obtained by Manning's equation. The temporal distributions of flood depth, velocity, and shear stress in the two scenarios are presented in Fig. 78. The simulated inundation area of the outburst flood is 0.18 km<sup>2</sup>, which is consistent with the field investigation result with an error of 1.1%. The flood completely submerged all buildings on the left bank near the middle of the river channel, and the buildings on the river terrace on the right bank were strongly eroded. The maximum water depth and velocity of the dam-burst flood were 13.96 m and 8.24 m/s, respectively, which were 1.24 and 1.31 times higher than those of the ordinary flood, respectively. The maximum depth of the dam-burst flood at locations of Buildings 8 and 26 were 6.4 m and 3.7 m, respectively (Fig. 9) (building locations indicated in Fig. 8), which are close to the result obtained by field investigation. The maximum shear stress of the flood in the main channel increased sharply from 320 Pa to 853 Pa, indicating a 2.67-fold increase compared to the ordinary flood. For the ordinary flood scenario, the water depth and velocity were high in the

292 channel and decreased in the floodplain. In contrast, the high velocity and shear stress zones that  
293 resulted from the dam-burst flood were mainly distributed in the main channel and along the left  
294 bank, indicating that the material deposited by the debris flow and the original river bank are highly  
295 susceptible to erosion.

296 The critical shear stress for bedload transport in the gravel-bed river is determined by the equation  
297  $\theta = \frac{\tau}{(\rho_s - \rho)gD} = 0.04$ , where  $\theta$  is the critical shear stress,  $\tau$  is the bed shear stress,  $\rho_s$  is the soil mass  
298 density,  $\rho$  is the water mass density,  $g$  is the gravitational acceleration, and  $D$  is the sediment  
299 diameter (Petit et al., 2015). The dam-burst flood had the potential to transport large boulders up to  
300 1.3 m in diameter, while an ordinary flood could only move gravel up to 0.49 m in diameter. Such  
301 high shear stress also demonstrated the strong erosional ability of the dam-burst flood, which  
302 seriously scoured the debris sediment deposit and original riverbank, transporting coarse gravel and  
303 forming a new straight river channel. The new channel is straighter and steeper than the original  
304 channel, raising the bed of the Niri River by 1-17 m and burying buildings up to 1 km downstream  
305 of Heixluo Gully. The channel length shortened from 1010 m to 842 m, and the channel gradient  
306 increased from 1.71% to 2.72%. The change in the river channel led to an inundation area that  
307 deflected to the left. Buildings built on the original left riverbank were first impacted by debris flow  
308 and subsequently destroyed by the flood. The river terrace on the original right bank was strongly  
309 eroded by the flood, leading to the collapse and demolition of buildings. Five river sections (Section  
310 1 to Section 5) were selected to analyze the terrain changes (see Fig. 4). From Section 1 to Section  
311 3, the main channel varied from the right bank to the left bank with a distance between 40 m and  
312 100 m, the average width of the new river channel was 50 m, and the vertical distance between the  
313 new riverbed and floodplain was 11.23 m. In Section 5, the channel migrated from the left bank to  
314 the right bank due to the severe erosion of the original river terrace and had a maximum depth of  
315 10 m (Fig. 810). The channel width increased to approximately 100 m, and the channel depth  
316 decreased to less than 5 m.



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**Figure 7-8** Distribution of depth, velocity, and shear stress of ordinary flood and dam-burst flood: (a) Maximum velocity distribution of ordinary flood; (b) Maximum velocity distribution of dam-burst flood; (c) Maximum depth distribution of ordinary flood; (d) Maximum depth distribution of dam-burst flood; (e) Maximum shear stress distribution of ordinary flood; (f) Maximum shear stress distribution of dam-burst flood.



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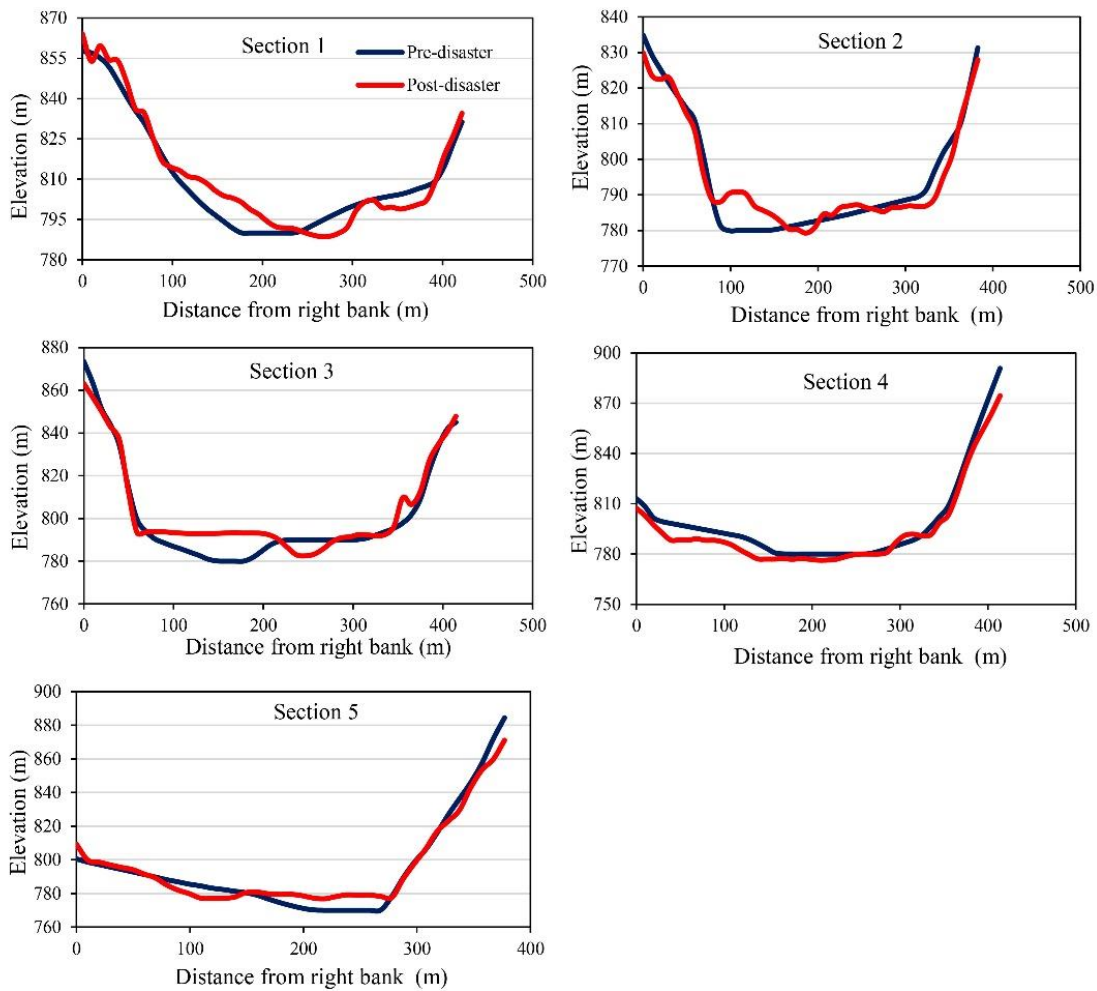
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**Figure 9** Simulated maximum flow depth of the dam-burst flood at the location of Buildings 8 and Building 26





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**Figure 8-10** Cross-section profile before and after the disaster.

330 **4.4 Damage patterns of buildings**

331 ~~The debris flow outburst flood hazard cascade caused damage to 108 buildings, a 1.2 km stretch~~  
 332 ~~of national road G245, and 5 highway bridges along the main river. Nearly 70% of buildings were~~  
 333 ~~destroyed by the hazard chain. The~~ The evolution of this hazard cascade occurred in two phases.  
 334 First, the debris flow blocked the main river and formed a barrier dam and dammed lake, which  
 335 was, second, followed by the outburst of the lake that led to the subsequent flooding and inundation.  
 336 During the first phase, a significant amount of sediment was transported by the debris flow to the  
 337 confluence area and deposited in the river channel, which formed a barrier lake with a volume of  
 338 857,504 m<sup>3</sup>. The barrier lake breached completely only approximately 40 minutes later, leading to  
 339 a highly energetic flood that caused serious erosion of the riverbank and the formation of the  
 340 outburst flood, a new straight river channel.

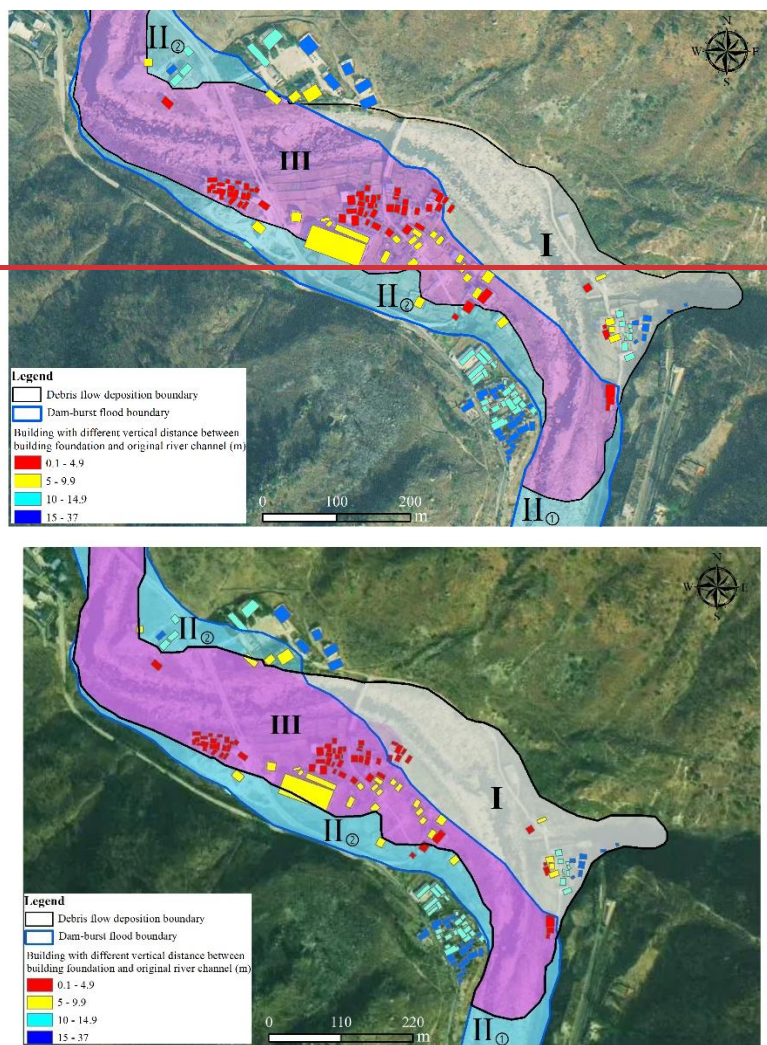
341 Fig. 9-11 illustrates the boundary of debris flow deposition and dam-burst flood inundation. The  
342 debris flow deposition boundary was determined by the simulation. Flooding boundary was  
343 obtained by combining the results of the HEC-RAS simulation with field survey data. The  
344 confluence area was heavily impacted by the debris flow, resulting in the transportation of a  
345 significant amount of solid materials over an area of 0.157-189 km<sup>2</sup>. As a result, the majority of the  
346 village's buildings were inundated by the debris flow. The dam-burst flood caused serious damage  
347 to buildings by flushing a large volume of debris flow sediment and riverbank material downstream.

348 Three hazard zones are identified based on the boundary of the debris flow and dam-burst flood,  
349 as shown in Fig. 9-11 and Fig. 4012. The damage patterns of buildings in the different hazard zones  
350 can be classified into three categories, namely, (I) buildings only buried by debris flow; (II)  
351 buildings only inundated by dam-burst flood; and (III) buildings sequentially buried by debris flow  
352 and inundated by dam-burst flood. Zone (I) is situated near the Heixiluo gully mouth, where the  
353 debris flow transported a large volume of sediment and seriously eroded the sidewall and bed of  
354 the channel, expanding the channel's width from 10 m to 40 m. All buildings were inundated by  
355 sediment to a depth of over 6 m.

356 Zone (II) is subdivided into two subzones, Zone (II) ① and Zone (II) ②, based on the spatial  
357 location. Zone (II) ① is situated in the upstream reach of the Niri River, near the debris flow dam,  
358 and is mainly inundated by the static water of the dammed lake (Fig. 4012(b)). Zone (II) ② lies on  
359 the right bank of the downstream reach of the Niri River, outside the debris flow fan. The original  
360 right riverbank in Zone (II) ② was a terrace 10 m high that was severely scoured by the highly  
361 energetic flood with a shear stress greater than 450 Pa. The entire terrace was cut off, and a new  
362 channel was formed across the middle area (Fig. 4012(c)). The erosion area on the river terrace  
363 measures approximately 1800 m<sup>2</sup> with a length of 300 m and a width of 60 m. Two buildings  
364 situated on the upper part of the river terrace collapsed and disintegrated due to the impact of the  
365 flood (part (a) in Fig. 4012(d)). A three-story building was partially destroyed due to foundation  
366 erosion. The buildings on the lower part of Zone (II) ② were simultaneously buried by the sediment  
367 transported by floods and inundated by floodwater (part (b) in Fig. 4012(d)).

368 Zone (III) is primarily located on the left bank of the original river and the lower part of the  
369 debris flow fan. The original river channel is filled with debris up to a depth of 10 m. The debris  
370 flow transported sediment across the raised riverbed into villages and formed a slope that was high  
371 on the right and low on the left in the confluence area. Then, the flood breached the debris flow  
372 dam and severely eroded the deposited debris and the original floodplain surface, resulting in a new  
373 straight channel. The buildings on the left bank of the river, which were buried by the debris flow,  
374 were sequentially impacted by the dam-breach flood. The flood heavily damaged buildings near

375 the new river channel and floodwater from the channel was observed to always inundate the  
 376 buildings. Notably, the boundaries of the different damage zones are not static. The extent of the  
 377 damage zone is not the same for other confluence areas; it is determined by the dynamic  
 378 characteristics of hazards and is also influenced by the local terrain.



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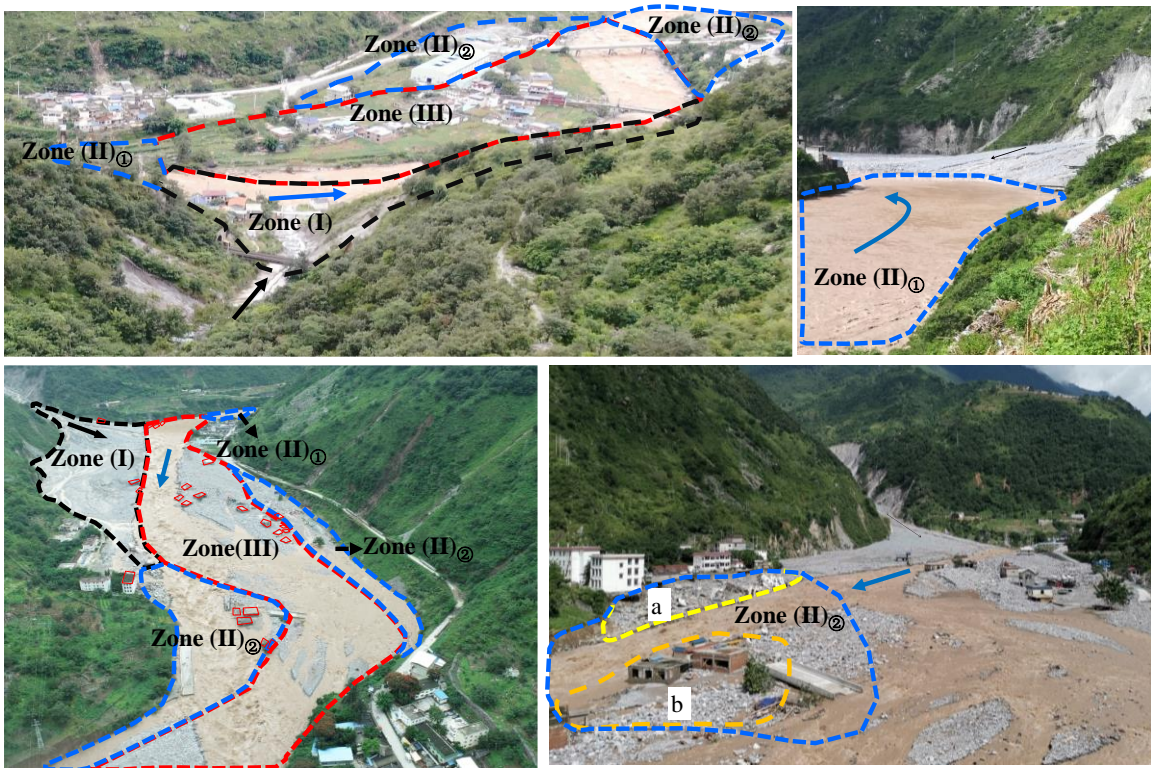
381 **Figure 9-11** Inundation boundary of debris flow and dam-burst flood and spatial division of the hazard  
 382 zone based on building damage patterns: (I) buried by debris flow; (II) inundated by dam-burst flood; (III)  
 383 buried by debris flow and the inundated by dam-burst flood.

384 A total of ~~108~~110 buildings in the village were impacted by the multi-hazards, accounting for  
 385 ~~67.9~~69.2% of the total buildings. Among them, ~~75~~70 buildings located in Zone (III) were impacted  
 386 by the debris flow and flood in succession, which accounted for ~~47.2~~44.0% of the total buildings.  
 387 In contrast, buildings destroyed by the debris flow in Zone (I) and dam-burst flood in Zone (II)  
 388 accounted for only ~~15~~18.1% and ~~5.7~~6.9% of the total buildings, respectively (Table 4). Overall,  
 389 the number of buildings within the debris flow deposition boundary and flood inundation boundary  
 390 is 99 and ~~84~~81, respectively, accounting for ~~63.5~~62.2 % and ~~53.8~~50.9% of the total buildings in the

391 village.

392 The impact force of fluvial sediment transport is greatly influenced by the relative distance of  
 393 buildings to channels (Wei et al., 2022). Buildings that are close to the channel are always more  
 394 vulnerable to damage than those located farther away from the river. During the hazard cascade, a  
 395 total of ~~84~~81 buildings in Zone (II) and Zone (III) were impacted by the dam-burst flood (Fig.  
 396 ~~10~~12). To assess the influence of building distance from the river channel, we analyzed the vertical  
 397 distances between the damaged building foundation and the original river channel based on pre-  
 398 event terrain (Table 5). We found that ~~67.8~~65~~1.8~~1.8% of all damaged buildings were within 5 m of the  
 399 channel, while ~~23.8~~18.2% of all damaged buildings were between 5 m and 10 m of the original  
 400 channel. Buildings that were located at distances greater than 10 m only accounted for ~~8.3~~6.3%  
 401 of the total damaged buildings. In contrast, the average vertical distance of undamaged buildings  
 402 was 15.3 m, with a minimum value of 11.4 m.

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405 **Figure 10-12** Spatial distribution of the three hazard zones before and after the disaster: (a) before the  
 406 disaster; (b) (c) (d) after the disaster.

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**Table 4** Statistics of buildings damaged by the debris flow and dam-burst flood

Damage pattern	(I) Buried by debris flow	(II) Inundated by dam-burst flood	(III) Buried by debris flow and inundated by dam-burst flood sequentially	Sum
----------------	---------------------------	-----------------------------------	---------------------------------------------------------------------------	-----

Total number of buildings destroyed	<del>2429</del>	<del>911</del>	<del>7570</del>	<del>108110</del>
The proportion of damaged buildings to the total <u>number of</u> buildings in the village (%)	<del>15.118.2</del>	<del>56.66.9</del>	<del>47.244.0</del>	<del>67.969.1</del>

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**Table 5** Statistics of the vertical distance between the damaged building foundation and original river channel within the whole flooding boundary

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The vertical distance between the building foundation and original river channel (m)	(0, 5)	(5,10)	(10,16)	Sum
Total number of buildings destroyed	57	20	7	84
The proportion of damaged buildings to the total <u>number of damaged buildings</u> (%)	<del>67.8651.8</del>	<del>23.8118.2</del>	<del>8.336.3</del>	<del>10076.3</del>

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#### 4.5 Vulnerability analysis of the buildings

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Most of the buildings in the village were completely buried by sediments or collapsed with no visible remains. To construct vulnerability curves, ~~29-27~~ damaged buildings with brick-concrete structures located in the three hazard zones were selected (Fig. ~~1012~~(c), Fig. ~~1113~~). Of these, 6 buildings were located in Zone (II), and the rest were distributed throughout Zone (III).

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The building characteristics and hazard intensity are presented in Table 6. In Zone (III), buildings located near the debris flow dam (such as buildings 1, 2, and 3) were first buried by the debris flow and then inundated by water from the dammed lake for 40 minutes. These buildings were then impacted by the dam-burst flood. Additionally, buildings near the new river channel suffered greater impact pressure than other buildings. For example, the residual broken structures of buildings 5 and 6 were heavily damaged by the direct impact of the flood in the vertical direction. The walls of the two buildings were severely abraded by impact pressures of ~~75.5-1~~ kPa and ~~71.1~~ 59 kPa, respectively. Additionally, the foundations of the two buildings were partially scoured by floods with high shear stresses of 562 Pa and 553 Pa, respectively.

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Buildings located in Zone (II) were only severely impacted by the dam-burst flood. For instance, the foundation of the three-story school building (building 26) was severely eroded by the flood to a scour depth of 1 m, and the floors on the right collapsed. There was no evidence on the walls of the building that the debris flow had abraded the structure. The velocity and shear stress of the flood in this location were 4.4 m/s and 463 Pa, respectively. Buildings 23-25, which were close to the new river channel, were thoroughly buried by the sediment transported by the flood and inundated by floodwater.

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**Figure 11-13** Buildings with different degrees of damage within three hazard zones.

**Table 6** Database of the damaged buildings

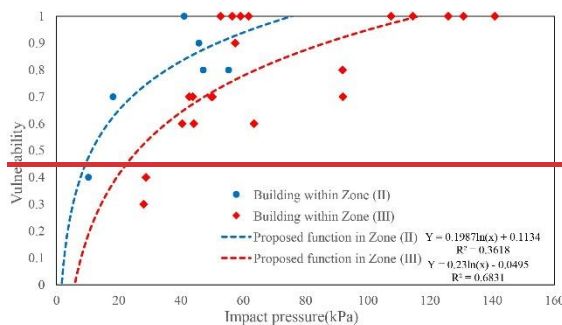
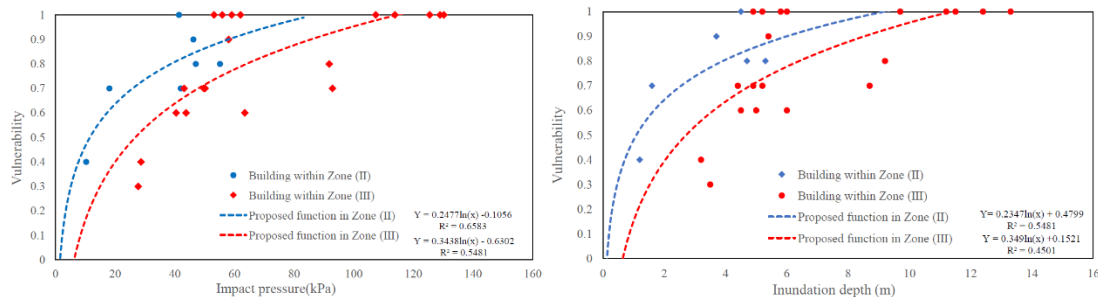
Building	Debris flow deposition depth (m)	Debris flow velocity (m/s)	Debris flow impact pressure (kPa)	Flood depth (m)	Flood velocity (m/s)	Flood impact pressure (kPa)	Damage ratio	Hazard zone
1	44.0	0.5 0.5	36.3 36.8	1.2 1.2	1.0 1.0	6.9 7.0	0.70 7	III
2	3.73 7	0.4 0.4	33.4 33.8	1.3 1.3	2.0 2.0	10.4 10.3	0.60 6	III
3	3.23 2	0.3 0.3	28.8 28.8	1.3 1.3	2.3 2.3	11.7 11.6	0.60 6	III
4	5.55 5	1.8 1.8	55.1 55.2	3.73 7	4.3 4.3	36.6 36.8	0.80 8	III
5	5.75 7	1.5 1.5	55.1 55.3	6.76 7	6.5 6.5	75.1 75.5	1 1	III
6	77.0	2.0 2.0	70.0 69.7	6.36 3	5.3 6.3	59.0 71.1	1 1	III
7	3.93 9	0.9 0.9	36.4 36.2	2.12 1	4.1 4.1	27.1 27.3	0.60 6	III
8	5.15 1	1.4 1.4	49.2 49.2	6.46 4	6.7 6.7	76.3 76.6	1 1	III
9	4.94 9	1.3 1.3	46.9 47.3	6.36 3	6.0 6.0	66.9 67.1	1 1	III
10	3.53 5	0.9 0.9	32.8 32.5	0.90 9	3.6 3.6	17.4 17.6	0.70 7	III
11	5.35 3	1.4 1.4	51.0 51.2	4.44 4	5.9 5.9	56.4 56.3	1 1	III
12	5.15 1	1.4 1.4	49.2 48.7	3.63 6	5.1 5.1	43.7 43.3	0.70 7	III
13	2.52 5	0.6 0.6	23.0 22.9	0.70 7	1.5 1.5	5.7 5.8	0.40 4	III
14	2.32 3	0.6 0.6	21.2 21.5	1.2 1.2	0.8 0.8	6.5 6.5	0.30 3	III
15	1.91 9	0.4 0.4	17.3 17.0	33.0	4.6 4.6	35.9 35.7	1 1	III
16	1.31 3	0.3 0.3	11.8 12.1	3.93 9	5.0 5.0	44.1 44.4	1 1	III
17	2.52 5	0.8 0.8	23.5 23.8	2.42 4	3.8 3.8	26.2 26.0	0.70 7	III
18	33.0	1.2 1.2	29.5 29.3	2.42 4	4.1 4.1	28.6 28.1	0.90 9	III
19	2.32 3	1.1 1.1	22.8 22.2	3.53 5	4.7 4.7	39.3 39.5	1 1	III
20	0.90 9	0.1 0.1	8.1 7.7	5.15 1	5.1 5.1	51.0 51.4	1 1	III
21	1.2 1.2	0.3 0.3	10.9 11.2	3.73 7	3.6 3.6	31.1 31.5	0.70 7	III
22				1.2 1.62	2.1 3.20	10.3 10.3	0.40 4	II
23				5.34 74	5.4 4.89	55.2 55.3	0.80 8	II

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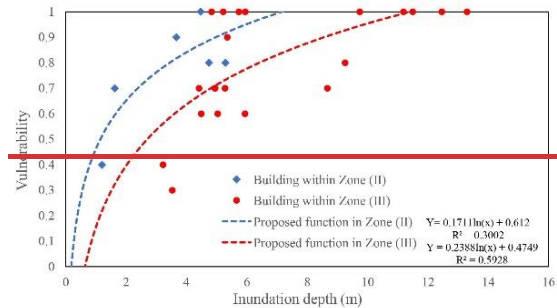
24	<u>1.63</u>	<u>3.2</u>	<u>3.64</u>	<u>18.1</u>	<u>18.2</u>	<u>0.70</u>	II
25	<u>4.73</u>	<u>4.9</u>	<u>5.27</u>	<u>47.1</u>	<u>47.2</u>	<u>0.80</u>	II
26	<u>3.74</u>	<u>5.3</u>	<u>4.37</u>	<u>46.2</u>	<u>45.8</u>	<u>0.90</u>	II
27	<u>4.55</u>	<u>4.4</u>	<u>5.14</u>	<u>41.4</u>	<u>41.0</u>	<u>1</u>	II

435 The vulnerability curve in Zone (II) and Zone (III) was developed by summing up the damage  
436 caused by the multiple hazards and impact pressure (Fig. ~~12~~14). Logistic functions were proposed  
437 separately for the two hazard zones, and the corresponding determination coefficient ( $R^2$ ) and root  
438 mean square error (RMSE) were also obtained. The determination coefficients of the two regression  
439 curves in Zone (III) have a higher  $R^2$ . The RMSEs of the curves in Zone (II) and Zone (III) are ~~0.36~~  
440 ~~66~~ and ~~0.68~~~~55~~, respectively. The correlation between vulnerability and inundation depth in the two  
441 zones is shown in Fig. ~~13~~15, with an  $R^2$  lower than impact pressure ( $R^2=0.559$  for Zone (II) and  
442  $R^2=0.30$ ~~45~~ for Zone (III)). Building vulnerability increases with increasing hazard intensity, and  
443 the trend is similar in the two zones. The impact pressure thresholds for Zones II and III, where  
444 vulnerability is equal to 1, are ~~75~~-~~84~~ kPa and ~~110~~-~~116~~ kPa, respectively. For the same impact  
445 pressure and inundation depth, the damage to buildings in Zone (II) is greater than that in Zone  
446 (III).

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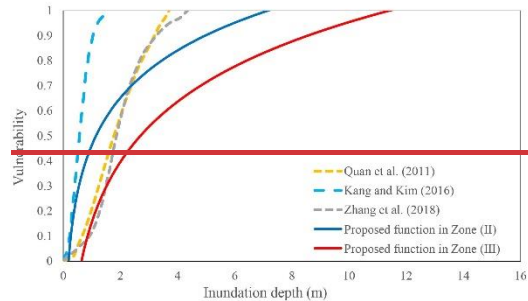
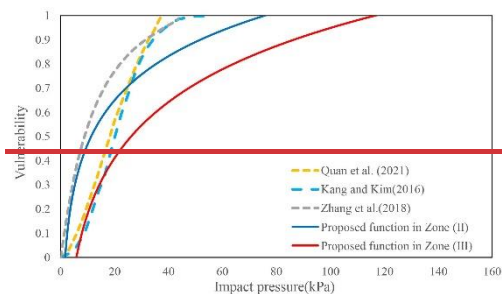
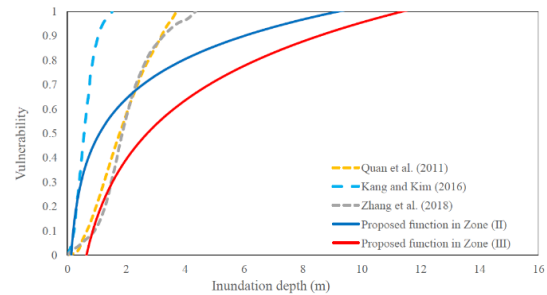
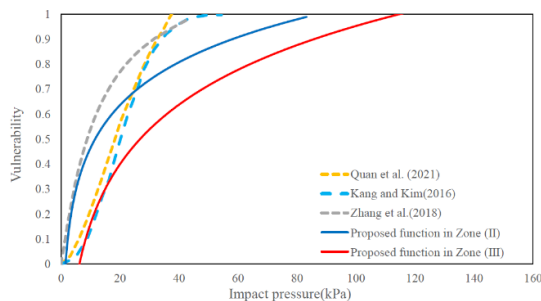
**Figure 12-14** Proposed vulnerability functions based on the impact pressure in Zone (II) and Zone (III).



**Figure 13-15** Proposed vulnerability functions based on the inundation depth in Zone (II) and Zone (III).

448 The vulnerability curves proposed for Zone (II) and Zone (III) were compared to the three  
449 functions used in debris flow risk assessment (Fig. ~~14~~16, Fig. ~~15~~17). The functions developed by

450 [Quan et al. \(2011\)](#) and [Kang et al. \(2016\)](#) were calculated based on damage done to brick masonry  
 451 and nonreinforced concrete structures that had been impacted by the debris flows in South Korea  
 452 and Italy, respectively. The vulnerability curve proposed by [Zhang et al. \(2018\)](#) was developed for  
 453 buildings with brick-concrete structures from the Zhouqu debris flow event in China. The slope of  
 454 the two proposed vulnerability curves based on impact pressure is smaller than those of the three  
 455 curves. When the impact pressure is less than 20 kPa, the proposed curves show a similar increasing  
 456 trend compared to the three functions. However, when the impact pressure is greater than 20 kPa,  
 457 the slope of the two proposed vulnerability curves is much smaller than those of the three curves.  
 458 For the curves based on inundation depth, when the depth is less than 1.5 m, the slope is steeper  
 459 than that of [Quan et al. \(2011\)](#) and [Zhang et al. \(2018\)](#) and slower than that of [Kang et al. \(2016\)](#).  
 460 When the depth is greater than 2 m, the damage increases slower than the curves of [Quan et al.](#)  
 461 [\(2011\)](#) and [Zhang et al. \(2018\)](#). This disparity may be attributed to the different damage patterns  
 462 and structures of the buildings in this study. The three vulnerability functions were generated for a  
 463 single debris flow event, whereas the mechanisms by which buildings impacted by floods fail are  
 464 not the same when those buildings are Zone subjected to a debris flow. The structures of most buildings  
 465 in the study area are tougher than those in the three events, and nearly half of the buildings had  
 466 been recently built by a more professional construction team. For example, the newly built four  
 467 building 7 was not completely damaged by hazard cascade under impact pressures greater than 63.5  
 468 kPa.



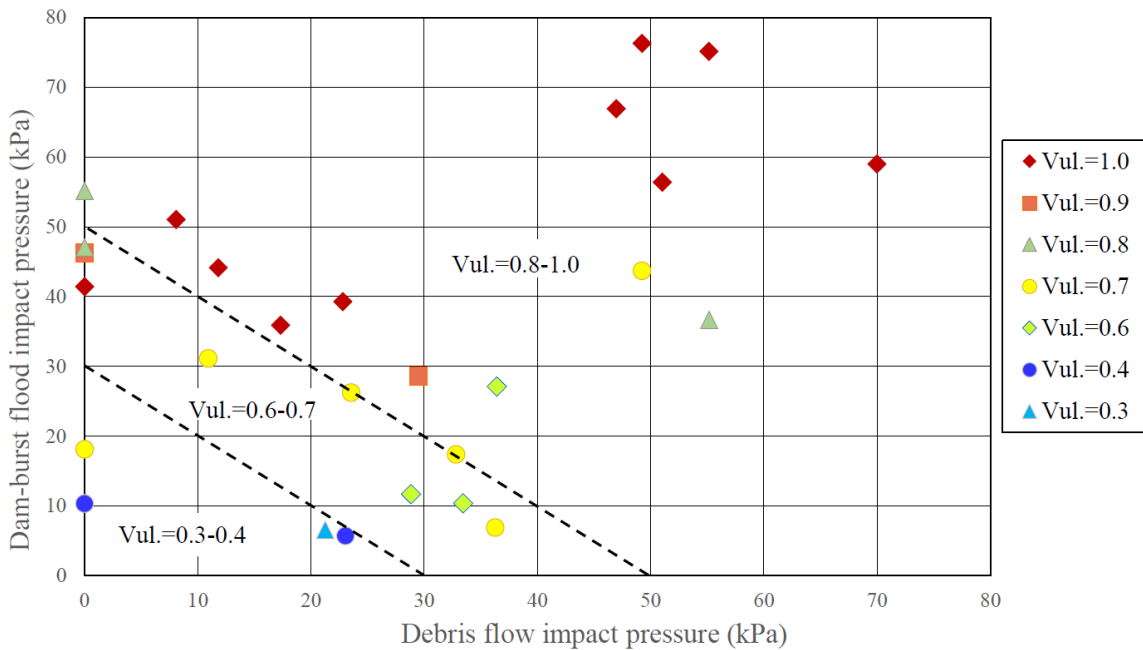
**Figure 14-16.** Comparison of the building vulnerability functions with the impact pressure

**Figure 15-17.** Comparison of the building vulnerability functions with the inundation depth

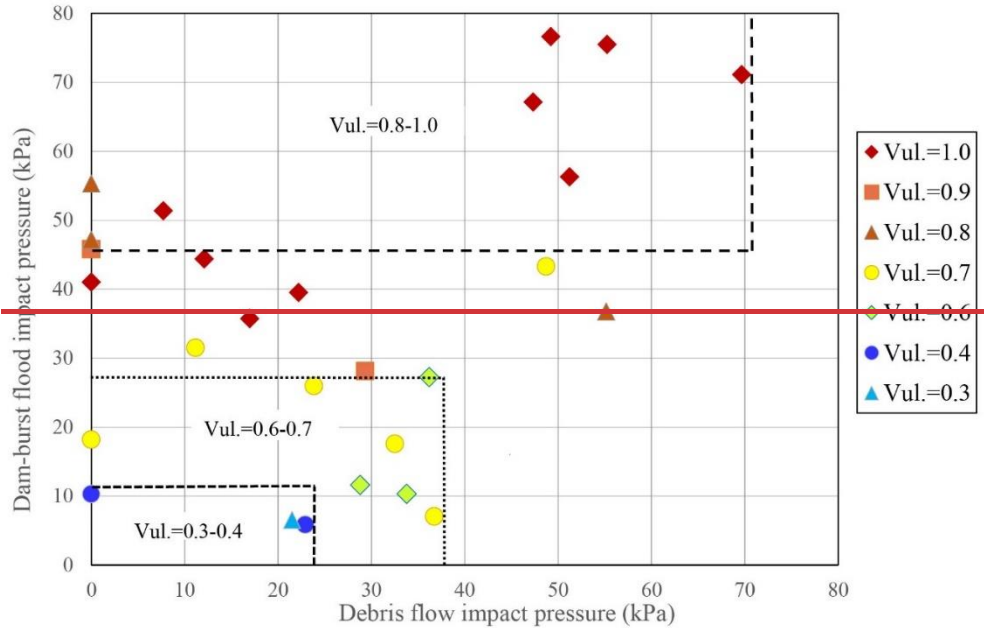


functions proposed by Quan et al. (2011), Kang et al. (2016), and Zhang et al. (2018). proposed by Quan et al. (2011), Kang et al. (2016), and Zhang et al. (2018).

469 The building damage distribution chart shows building damage plotted as a function of debris  
 470 flow and flood impact pressure (see Fig. 4618). The figure includes aggregated damage to buildings  
 471 impacted by the sequentially occurring hazards in Zone (III) and damage caused by a single hazard  
 472 in Zone (II). Damage is divided into three categories based on the threshold impact pressure: slight  
 473 damage (0.3-0.4), moderate damage (0.6-0.7), and heavy and complete damage (0.8-1.0). Heavy  
 474 and complete damage mainly occurs at impact pressures greater than 60-50 kPa, while slight  
 475 damage occurs below 30 kPa. Moderate damage mainly occurs at impact pressures between 40-30  
 476 kPa and 60-50 kPa. The threshold impact pressure is compared with that proposed by Hu et al. (2012)  
 477 and Zanchetta et al. (2004), which were derived from a single debris flow disaster in China and  
 478 Italy, respectively. Although the detailed definition of the damage scales differs, the threshold of  
 479 the impact pressure for buildings at the slight, heavy, and complete damage scales is generally  
 480 larger than that for the brick-concrete structures presented in Hu et al. (2012) and smaller than that  
 481 for the reinforced concrete frames also presented in Hu et al. (2012) and the masonry structures  
 482 with basements presented in Zanchetta et al. (2004). A similar trend for the threshold of the impact  
 483 pressure for buildings with a moderate damage scale can be observed.



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**Figure 16-18** Accumulation of building damage due to debris flow and dam-burst flood. The damage distribution is based on the debris flow and flood impact pressure (Vul. refers to vulnerability).

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The building damage distribution chart remains a valid tool for assessing the vulnerability of buildings affected by debris flows and flash floods, despite not incorporating all damage ratios. However, some limitations and uncertainties exist within the vulnerability functions. For instance, calculating a single average impact pressure value prebuilding for building clusters introduces uncertainty, as water depth and velocity differ significantly at different sides of the building due to the shielding effect (Hu et al., 2012; Arrighi et al., 2020). Furthermore, the building's geometry, direction, orientation, and maintenance condition are not considered in the vulnerability analysis. The amplification of debris flow damage is due to subsequent flooding in time and space. Aggregated damage (i.e., damage caused by both debris flows and floods) is applied in the vulnerability analysis for areas that are successively struck by debris flows and floods. However, the amplified damage effect of the dam-burst flood on debris flow was not accurately quantified because of the absence of a database containing information regarding the damage done by the debris flow before the dam burst. As a result, more detailed data are needed to assess the cumulative impact of hazard cascades on building vulnerability.

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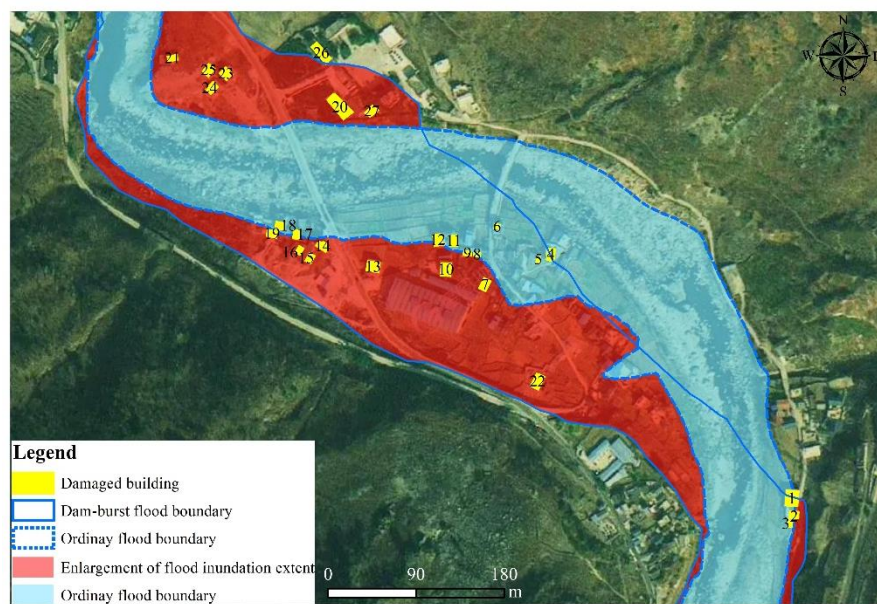
501

## 502 5 Discussion

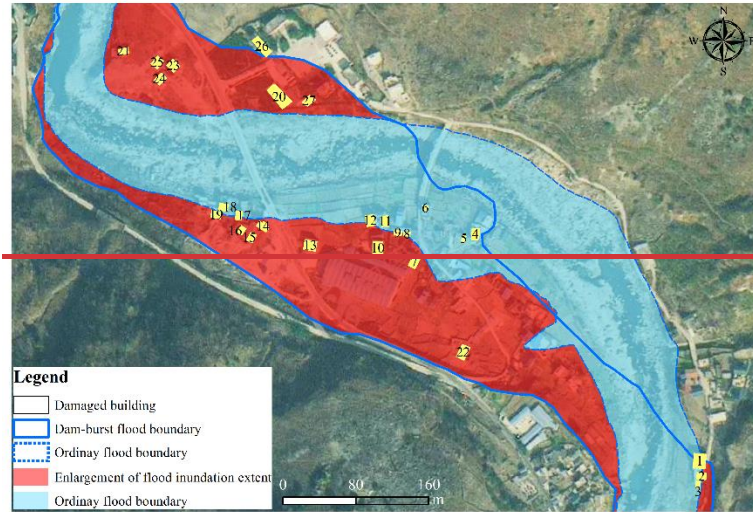
### 503 5.1 Damage aggravation due to hazard cascade

504 As a result of the confluence zone's location on a river bend, the dam-burst flood typically flows  
505 in a straight direction and creates a new straight channel when the river channel becomes  
506 completely blocked. This channel translocation leads to a larger flooded area and causes more  
507 severe damage to buildings on the floodplain. The flood inundation zones in the village expanded  
508 to  $110^5 \text{ m}^2$ , which is up to 4 times the area of an ordinary flood due to flood amplification (Fig.  
509 [4719](#)). In the expanded inundation zone, 41 buildings, a traffic road spanning 410 m, and farmland  
510 with an area of  $10 \times 10^4 \text{ m}^2$  were submerged. The buildings located in the middle of the inundation  
511 zones suffered the most severe damage due to the floodwater's high scouring capability and  
512 sediment transport capacity. Many buildings near the flow collapsed, and most structures were  
513 carried away by the water current.

514 Table 7 presents a comparison of the dynamic characteristics and damage increments between  
515 ordinary and dam-burst floods in different locations. The damage increment is calculated based on  
516 the proposed function in Zone II and is the ratio of the damage caused by the two floods. Buildings  
517 4, 5, 6, 9, 11, 18, and 19 were situated close to the new river channel, and the average bed shear  
518 stress and impact pressure increased up to 14.2 times and 3.8 times that of an ordinary flood,  
519 respectively, due to flood amplification. The average damage to the seven buildings located near  
520 the new channel increased by 140% due to the lake created by the debris flow barrier.



521



**Figure 17-19** The inundation extent of ordinary floods and dam-burst floods.

**Table 7** Comparison of dynamic characteristics and degree of damage between ordinary floods and dam-burst floods in different locations

Location	The ratio of dam-burst flood to ordinary flood				
	Depth	Velocity	Bed shear stress	Impact pressure	Damage degree
Building 4	1.5	1.5	8.3	1.9	1.2
Building 5	0.8	2.0	13.1	2.0	1.2
Building 6	2.3	1.7	11.8	2.5	1.3
Building 9	15.0	3.2	33.8	11.2	2.4
Building 11	4.6	2.2	19.0	4.9	1.6
Building 18	2.6	1.1	6.2	1.5	1.1
Building 19	18.6	1.3	7.4	2.7	1.3
Average value	6.5	1.9	14.2	3.8	1.4

## 5.2 The implication of hazard mitigation

In recent years, the hazard cascade of debris flows and outburst floods has become more frequent in high mountain regions due to the impact of climate change and earthquakes (Chen et al., 2022). The damage caused by the primary debris flow can be intensified and enlarged due to the successive dam-burst flood.

Risk assessment for debris flow-outburst flood hazard cascades is crucial to mitigate the damage posed to structures in the confluence zone. Risk analysis should incorporate both the debris flow initiation mechanism and the mechanism that generates the dam-burst flood (Chen et al., 2022). A detailed investigation should be conducted for the exposed elements in the confluence zone and both the upstream and downstream reaches of the river. Based on the disaster transformation process and the failure mechanisms of structures, hazard zones should be identified, and corresponding disaster reduction measures should be developed (Cui and Guo, 2021). Moreover,

538 specific structural measures are urgently needed. First, engineering measures should be  
539 implemented in the watershed to mitigate debris flows (Cui and Lin, 2013). Second, buildings  
540 should not be constructed near debris flow gullies, and new buildings should be built on elevated  
541 ground or at certain elevations above the ground (Attems et al., 2019). Third, deflection walls  
542 should be considered and constructed in villages susceptible to debris flows to protect entire  
543 buildings (Wang et al., 2022), and flood protection walls should be built along the main river to  
544 protect the entire flood-prone village.

545 This study presents a comprehensive analysis of the damage to buildings resulting from a large-  
546 scale debris flow and outburst flood hazard cascade. The study develops building vulnerability in  
547 different areas of the confluence zone, which is useful for building risk assessment and management  
548 along the riverbank. However, some uncertainties and limitations are involved in vulnerability  
549 analysis. Firstly, the study did not consider the building's physical characteristics, such as shape,  
550 orientation, and maintenance condition. Secondly, in the area affected by the two hazards, the  
551 capacity of buildings first damaged by debris flow had declined, leading to a higher failure  
552 probability under the impact of sequential flood (Luo et al., 2020). The study analyzed the  
553 buildings' structural vulnerability based on debris flows and dam-break flood separately, and did  
554 not consider the building response to the primary debris flow or quantify the cumulative effect of  
555 the debris flow and the dam-break flood (Luo et al., 2023). A physics-based vulnerability model is  
556 required to quantify the dynamic evolution of building vulnerability.

## 557 **6 Conclusions**

558 Buildings in the confluence zone of a debris flow-prone catchment and along a main river  
559 channel are highly vulnerable to a debris flow-dam-burst flood hazard cascade. Assessing building  
560 damage is essential for risk mitigation and resilient construction. However, research concerning  
561 building damage mainly focuses on a single debris flow or flash flood and fails to consider the  
562 different damage characteristics of buildings exposed to both hazards simultaneously. Therefore,  
563 studying the characteristics and patterns of building damage in confluence areas can help to develop  
564 a reliable vulnerability assessment method. In this study, we investigate the dynamic characteristics  
565 of the hazards and damage patterns of the 2020 Heixiluo debris flow and dam-burst flood disaster.  
566 We draw the following conclusion:

567 1. The dam-burst flood, which had a peak discharge of 2,737 m<sup>3</sup>/s, seriously eroded the debris  
568 flow fan and formed a new straighter and steeper channel. The maximum estimated velocity was  
569 8.24 m/s, and the bed shear stress reached 853 Pa. The flood's inundation extent in the confluence  
570 zone was expanded by a factor of 4, and the impact pressure increased up to 6.8 times due to flood

571 amplification. The average damage to buildings near the new river channel was 1.4 times more  
572 intense due to the hazard cascade.

573 2. The damage patterns of the buildings were classified into three types: (I) buried by primary  
574 debris flow, (II) inundated by secondary dam-burst flood, and (III) buried by debris flow and  
575 inundated by dam-burst flood sequentially. The spatial division of hazard zones can be applied to  
576 the selection of building sites and the planning of structural measures in the confluence area.

577 3. The vulnerability curves show a similar increasing trend with impact pressure and inundation  
578 depth in Zones II and III, and the threshold of the impact pressures in Zones II and III where  
579 vulnerability is equal to 1 is ~~75-84~~ 75-84 kPa and ~~110-116~~ 110-116 kPa, respectively. A vulnerability assessment  
580 chart was developed, and three categories, namely, slight damage (0.3-0.4), moderate damage (0.6-  
581 0.7), and heavy and complete damage (0.8-1.0), were identified. Heavy damage occurs at an impact  
582 pressure greater than ~~40-50~~ 40-50 kPa, while slight damage occurs below 30 kPa. Moderate damage  
583 occurs at an impact pressure between ~~40-30~~ 40-30 kPa and ~~60-50~~ 60-50 kPa.

584 4. Some uncertainties and limitations are involved in vulnerability analysis. The building's  
585 physical characteristics, such as shape, orientation, and maintenance condition, should be  
586 considered for the vulnerability analysis. Further investigation and research are recommended to  
587 explore the cumulative effect of multiple hazards on building vulnerability. Despite the deficiencies,  
588 vulnerability curves, and assessment charts are valuable for analyzing the risk posed by debris flow  
589 hazard cascades within the confluence zone.

590

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595

## 596 **Data availability**

597 All raw data can be provided by the corresponding authors upon request.

598

## 599 **Author contributions**

600 Kaiheng Hu contributed to the conception of the study; Li Wei performed the data analyses and  
601 wrote the manuscript draft; Shuang Liu performed the data analyses. Lan Ning, Xiaopeng Zhang

602 and Qiyuan Zhang performed the field investigation; Md Abdur Rahim reviewed and edited the  
603 manuscript.

604

605 **Competing interests.**

606 The authors declare that they have no conflict of interest.

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