



Improving fire severity prediction in south-eastern Australia using vegetation specific information

Kang He^{1,2}, Xinyi Shen³, Cory Merow^{2,4}, Efthymios Nikolopoulos⁵, Rachael V. Gallagher⁶, Feifei
 Yang^{1,2}, Emmanouil N. Anagnostou^{1,2}

- ⁵ ¹Department of Civil and Environmental Engineering, University of Connecticut, Storrs, CT 06269, USA
- ⁶ ² Eversource Energy Center, University of Connecticut, Storrs, CT 06269, USA
- 7 ³School of Freshwater Sciences, University of Wisconsin, Milwaukee, Milwaukee, WI, 53204, USA
- 8 ⁴Department of Ecology and Evolutionary Biology, University of Connecticut, Storrs, CT 06269, USA
- 9 ⁵Department of Civil and Environmental Engineering, Rutgers University, Piscataway, NJ 08854, USA
- ⁶Department of Biological Sciences, Macquarie University, North Ryde, NSW 2109, Australia
- 11 Correspondence to: Emmanouil N. Anagnostou (emmanouil.anagnostou@uconn.edu)

12 Abstract. Wildfire is a critical ecological disturbance in terrestrial ecosystems. Australia, in particular, has experienced

- 13 increasingly large and severe wildfires over the past two decades while globally fire risk is expected to increase significantly
- 14 due to the projected increase in fire weather severity and drought condition. Therefore, understanding and predicting fire
- 15 severity is critical for evaluating current and future impacts of wildfires on ecosystems. Here, we firstly introduce a vegetation-
- 16 type specific fire severity classification applied on satellite imagery, which is further used to predict fire severity using
- 17 antecedent drought conditions, fire weather, and topography of the fire season. Based on a 'leave-one-out' cross-validation
- 18 experiment, we demonstrate high accuracy for both the fire severity classification and the regression using a suite of
- 19 performance metrics: determination coefficient (R^2), mean absolute error (MPE) and root mean square error (RMSE), which
- are 0.89, 0.05, and 0.07, respectively. Our results also show that the fire severity prediction results using the vegetation-type
- 21 specific thresholds could better capture the spatial patterns of fire severity, and has the potential to be applicable for seasonal
- 22 fire severity forecasting due to the availability of seasonal forecasts of the predictor variables.
- 23 Keywords: Fire severity; Normalized Burning Ratio; Random Forest; Vegetation type; Severity classification.

24 1 Introduction

- 25 Fire is recognized as a critical disturbance in ecosystems, which shapes vegetation across several continents (Archibald et al.,
- 26 2013; Gill, 1975; Giglio et al., 2010; Gomez et al., 2015). In recent decades, wildfires have affected extensive areas in forests
- and woodlands across the globe, including those in Australia where over 10 million hectares were burned in the 2019-2020
- 28 fire season (Gallagher et al. 2021). These fires are considered unprecedented in contemporary Australian fire history (Nolan
- et al., 2020; Shine, 2020), and more severe fires are expected in the future due to the impacts of climate change on fire-weather
- and dynamics (Hennessy et al., 2005). Changes in fire conditions are also anticipated globally (Abatzoglou et al. 2019).
- 31 Therefore, predicting fire characteristics such as severity will be essential for evaluating current and future impact of
- 32 wildfires on ecosystems worldwide.





33 Fire severity, defined here as the magnitude of change in vegetation associated with fire, is routinely used to describe the 34 impact of wildfires on vegetation, soil and wildlife (Lentile et al. 2006; Keeley 2009). Field survey and remote sensing-based 35 evaluations of burn severity are commonly used by fire scientists and managers. Field survey-based evaluations involve assessing the amount of biomass consumed (Keeley, 2009), measuring the changes in vegetation height (Wang and Glenn, 36 37 2009) or surface fuel consumption (Boby et al., 2010; Hudak et al., 2013). By contrast, remotely sensed evaluations of burn 38 severity use satellite imagery to quantify the magnitude of vegetation changes between pre-fire and post-fire conditions, in 39 terms of the changes in surface reflectance (Holden et al., 2009; Miller et al., 2009; Soverel et al., 2010) (e.g. the difference 40 between pre- and post-fire Normalized Burn Ratio (dNBR)). 41 Statistical approaches, which incorporate factors such as topography, weather and water availability provide insight into 42 possible drivers of fire severity (Morgan et al., 2014). For instance, Bradstock et al. (2010) investigated the effects of weather, 43 fuel and terrain on fire severity in south-eastern Australia. They found weather was the predominant influence on fire severity 44 while the influence of terrain was stronger under moderate conditions. Similarly, a study by Collins et al. (2013) examined the 45 relationships between environmental variables (i.e., fire weather, topography and fuel age) and fire severity in south-eastern 46 Australia and whether it can be modified by increasing mean annual precipitation. They concluded that the relationships 47 between crown fire and weather, topography and fuel age were largely unaltered across the precipitation gradient. Collins et 48 al. (2019) also examined the relative effect of fire weather, drought severity and landscape features (i.e., topography, fuel age, vegetation type) on the occurrence of fire refugia in south-eastern Australia. They found that the fire weather and drought 49 50 severity were the primary drivers of the occurrence of fire refugia, moderating the effect of landscape attributes. Furthermore, 51 Clarke et al. (2014) investigated fire severity control factors, including landscape/vegetation or weather, providing evidence 52 that even though strong weather controls, fire history, terrain and vegetation shape the immediate effect. In addition, Bowman 53 et al. (2021) demonstrated that overwhelming dominance of fire weather in driving complete scorch or consumption of forest 54 canopies in natural and plantation forests in the 2019-20 megafires. 55 Despite the emerging evidence that statistical modelling with multiple biophysical and environmental predictor variables can 56 provide high accuracy estimates of fire severity, this technique is not widely adopted in major areas of known fire risk. One such region is the southeast coast of Australia which is subject to annual fire seasons vary in extent and severity and has a high 57 58 richness of endemic plant species adapted to particular fire regimes (Gallagher et al., 2021). Besides, an accurate representation 59 of fire severity levels is important for managing and mitigating the effects of wildfires, both in terms of emergency response 60 and long-term ecological recovery. The most prevailing dNBR-based classification scheme, which rely on establishing the 61 relationship between in-situ measured Composite Burn Index (Key and Benson, 2006; Lutes et al., 2006) and satellite derived 62 dNBR, is designed only for certain regions and for limited vegetation types under certain climate (Eidenshink et al., 2007; 63 Keeley et al., 2009; Tran et al., 2018). While for the southeast coast of Australia, which is subject to annual wildfire seasons

64 and varies greatly in vegetation types with high richness of endemic plant species adapted to particular fire regimes (Gallagher

65 et al., 2021), no fire severity classification scheme exists.





- 66 Understanding current and predicting future fire severity in eastern Australia is critical for evaluating the potential for increased 67 extinction risk due to recurrent high severity fires (Enright et al. 2015) and is important for supporting ecologically informed 68 fire management (Clarke et al. 2019). Therefore, the predictor variables involved in the fire severity model should be accessible
- 69 for both historical events and projected future events (e.g. seasonal, climate).
- 70 In this study, we newly propose a vegetation specific fire severity classification scheme for predicting fire severity and
- 71 demonstrate its performance across the Australian state of New South Wales (NSW). Using drought conditions, vegetation
- 72 type, and fire weather conditions during the fire season as input, our modelling approach applies the Random Forest (RF)
- 73 classification method to predict the dNBR an indicator of burn severity derived from Landsat imagery. We demonstrate
- rd model performance based on 20 years of wildfire data from NSW through a leave-one-year-out cross-validation experiment.

75 2 Study area

New South Wales (NSW) in south-eastern Australia (Figure 1) occupies a subtropical-temperate climate region with relatively 76 77 mild weather and distinctive seasons (e.g., hot summers and cold winters) (Speer et al., 2009). Mean annual and extreme 78 temperatures are highest in the northwest of the state whereas average maximum temperatures in coastal areas range from 79 26 °C to 16 °C, while the average minimum temperature falls between 19 ° and 7 °C. There is a strong precipitation gradient 80 from east to west across the state, with annual precipitation on the eastern coast ranging between 600 mm/year and 1200 81 mm/year decreasing to generally less than 180 mm/year in the north west of the state Vegetation across the study region is predominantly wet and dry sclerophyll forests, although is interspersed with areas of 82 83 rainforest, woodlands and coastal heath (Keith 2004).



Figure 1. Locations of study wildfires over New South Wales, Australia





- 84 **3 Data and method**
- 85 3.1 Model Input and output
- 86 3.1.1 Fire extent

The spatial extent of annual fires between 2000 to 2019 is accessed from the NSW National Parks and Wildlife Service (NPWS) Fire History – Wildfire and Prescribed Burns dataset (<u>https://data.nsw.gov.au/data/dataset/1f694774-49d5-47b8-</u> <u>8dd0-77ca8376eb04</u>), produced by the Department of Planning, Industry and Environment. The NPWS Fire History is a spatial polygon layer, with each polygon recording the boundary, start date, end date, and burn area. We use the NPWS polygons whose burn areas are greater than 1 km² as the mask to include only the fire impacted areas. While this dataset is

92 unlikely to be a complete record of all fire events, it represents the largest single repository of fire extent data in NSW.

93 **3.1.2 Fire severity**

As a widely used fire severity index, the dNBR is calculated by subtracting the post-fire NBR raster from the pre-fire NBR raster as in Eq (1):

96

dNBR = PrefireNBR - PostfireNBR(1)

97 The formula of NBR is similar to the normalized difference vegetation index (NDVI), except that it uses near-infrared (NIR)

and shortwave-infrared (SWIR) bands, as written in Eq (2) (García and Caselles, 1991; Key and Benson, 2006). NBR can be

99 computed by the Thematic Mapper (TM) and Enhanced Thematic Mapper Plus (ETM+) sensors on using Band 7 as the short-

- 100 wave infrared (SWIR) and Band 4 for Landsat 4-7 and Band 5 for Landsat 8 as the near infrared (NIR) reflectance, respectively.
- 101

 $NBR = \frac{NIR - SWIR}{NIR + SWIR}$ (2)

We calculate the dNBR within the fire boundaries from Landsat archive imagery, using the start date and end date to determine the pre-fire and post-fire dates. In this study, the pre-fire NBR (preNBR), is used as a proxy of the initial condition of vegetation.

105

106 **3.1.3 Vegetation**

107 Vegetation composition and structure are expected to influence fire propagation and severity (Collins et al., 2007) and the 108 vegetation type is also used as a proxy for vegetation structure (Hammill et al., 2006). The dominant vegetation over NSW is 109 wet and dry sclerophyll forests (Keith 2004). Wet sclerophyll forests can be divided into two subgroups (the shrubby sub-100 formation and the grassy sub-formation), which have a tall canopies dominated by Eucalyptus and a monophyllous understory 111 (https://www.environment.nsw.gov.au/threatenedSpeciesApp/VegFormation.aspx?formationName=Wet+sclerophyll+forests 112 +(grassy+sub-formation)). Two sub-formations of dry sclerophyll forests also occur: shrub/grass and shrubby. This study 113 focuses on burn severity for the dominant sclerophyll forests (Figure 1). The vegetation map is intersected with NPWS





(3)

114 polygons to identify the areas where sclerophyll forests have previously burned. The preNBR is derived from Landsat and

115 Sentinel-2 imageries.

116 **3.1.3 Topography**

117 Prior studies report strong control of topography on burn severity, by influencing fire behavior, fuel moisture, and water

- balances (Fang et al., 2018, Harris and Taylor, 2015, Holden et al., 2009). Therefore, , we include three topographic measures
- 119 from Shuttle Radar Topography (SRTM, https://www2.jpl.nasa.gov/srtm/world.htm) DEM, elevation (DEM), slope (Slope),
- 120 and exposure (Exposure). Exposure represents the maximum amount of sunlight received at a grid based on topography, which
- influences the moisture content of fuels and may influence the growth of vegetation. Exposure is calculated using the solar
- 122 radiation function in ArcMap 10.8.

123 3.1.3 Weather

Besides fuel and topography, weather is another important component of a wildfire environment. The McArthur Forest Fire Danger Index (FFDI, McArthur 1967) is an empirical relationship comprising the short-term meteorological conditions and the long-term drought factor (Dowdy et al. 2009). The FFDI is currently used operationally by the Australian Bureau of

- 127 Meteorology (BoM) to produce fire weather warnings to authorities, which is defined as:
- 128

 $FFDI = 2 \times e^{(-0.45 + 0.897 lnDF - 0.0345RH + 0.038T + 0.0234V)}$

where DF is the drought factor; and RH, T and V represent the relative humidity, surface air temperature and wind velocity,
 respectively. In this study, we extract daily temperature, relative humidity and wind speed data from the ERA5-Land global
 dataset over the burn areas (<u>https://cds.climate.copernicus.eu/cdsapp#!/dataset/reanalysis-era5-land?tab=form</u>).

132 The DF is estimated using the Keetch–Byram Drought Index (KBDI, Keetch and Byram 1968). KBDI is a continuous reference

133 scale describing the dryness of the soil and duff layers. The index increases for each day without rain and decreases when it

rains. KBDI is world widely used for drought monitoring for national weather forecast, wildfire prevention. KBDI over burnt

areas can be accessed in Takeuchi et al. (2015). The daily FFDI and KBDI values one day before the wildfires start are used

136 as the predictors in predicting burn severity.

137 3.2 Method

138 We newly propose an alternative way to determine the optimal thresholds in fire severity classification for different vegetation

139 types. The dNBR of all burnt pixels for each vegetation type are collected and a set of dNBR values at the quantiles from 0.05

- 140 to 0.95 are used as candidates of thresholds for fire severity classification. Secondly, a fire severity prediction model is
- 141 developed for each severity category based on the fire severity classification results, to provide the numeric prediction of
- 142 dNBR.





143 **3.2.1** Fire severity classification by RF

144 Random Forest is developed as an extension of the classification and regression tree (CART) to improve the accuracy and stability of the CART model (Breiman 2001). The steps of the RF algorithm are briefly summarized as: (i) randomly generate 145 146 ntree bootstrap samples of the original data. The elements not selected are referred to as 'out of bag' (OOB) samples. (ii) for 147 each split, randomly select m try predictors of the original predictors and choose the best predictor among the m try predictors 148 to partition the data. (iii) predict new data (OOB elements) by averaging predictions of the ntree trees; and (iv) the OOB 149 samples are used to estimate the prediction error. The RF can also provide a measurement of variable importance. One of the 150 approaches is to look at the increase in the OOB estimate error when the specific predictor variable is randomly permuted and 151 other predictors are constant. The more the error increases, the more important the variable is. These variable importance 152 values are used to rank the predictors in terms of their relative contribution to the model. The RF model was generated using the package randomforest in R (https://cran.r-project.org/web/packages/randomForest/). 153

154

155 **3.2.2 Fire severity prediction by XGboost**

156 For the regression model, we implement the Extreme Gradient Boosting (XGBoost) algorithm, one of the most popular 157 supervised machine learning algorithms proposed by Chen et al. (2015). XGBoost employs a gradient boosting framework 158 that iteratively trains a sequence of weak prediction models and combines them into a strong model. In addition to gradient boosting, XGBoost implements several advanced features, including regularization techniques to prevent overfitting, parallel 159 processing to speed up training, and built-in support for missing data (Chen and Guestrin, 2016). In the XGBoost algorithm, 160 161 complex interactions are modeled, and other complexities such as missing values in the predictors are managed without almost any loss of information. Selection of features is performed by a combination of parameters (e.g., number of iterations, learning 162 rate) and the unique combinations of each attribute in the training data set. The XGBoost model is generated using the package 163 164 xgboost in R (https://cran.r-project.org/web/packages/xgboost/). 165

166 **3.2.3 Calibration and validation**

To evaluate the model's performance, we use "leave -one group-out" for training and validation. The fire samples from 2000 to 2019 are firstly divided into 20 subsets depending on the year the fire occurred, and this holdout method is repeated 20 times. Secondly, at each time, one of the 20 subsets is used as the testing set, and the remaining 19 subsets are put together to form the training set. Thirdly, the average error across all 20 trials is computed. The advantage of this cross-validation method is that it gives us an indication of how well the model would do when making new predictions for data it has not already seen. For performance evaluation of multiclass event classification based on QWD, accuracy is expressed as the proportion of correctly predicted events over all predicted events, which is calculated as Eq (4):

174
$$Accuracy = \frac{Number of correct predictions}{Number of all predictions}$$
(4)





While precision is expressed as the proportion of events correctly predicted as label X (low, moderate, or high) over all events
predicted as label X (Eq (5)).

177

181

$$Precision = \frac{True Positive}{True Positive + False Positive}$$
(5)

in which True Positive represents the situation both observation and prediction are labelled as X, False Positive represents
 observation is not labelled as X but prediction as label X.

180 Recall is calculated as:

$$Recall = \frac{True Positive}{True Positive + False Negative}$$
(6)

182 in which False Negative represents the situation observation is label X but prediction is not label X.

183 Combining metrics of Precision and Recall, the F1 Score is the harmonic mean of Precision and Recall. The F1 Score gives

184 equal weight to Precision and Recall. A maximized F1 Score could create a balanced classification model, and is calculated as

185 follows:

$$F1 \ score = 2 * \frac{Precision*Recall}{Precision+Recall}$$
(7)

187

190

194

186

The coefficient of determination (R^2) is used to measure how well the prediction agreed with the actual values. The formula of R^2 is described as:

$$R^{2} = \frac{1}{n} \sum_{i=1}^{n} \frac{(o_{i} - \frac{\sum_{i=1}^{n} o_{i}}{n})(p_{i} - \frac{\sum_{i=1}^{n} p_{i}}{n})^{2}}{o_{i}p_{i}}$$
(8)

191 Where o_i and p_i represent the actual and predicted values for sample i; n is the total number of samples. The higher R^2 indicates

better fit of the model predictions to the actual values with best value of 1.

193 The mean absolute error (MAE) the mean relative error, the lower MAE is, the better the model performed.

 $MAE = \frac{\sum_{i=1}^{n} |p_i - o_i|}{n} \tag{9}$

195 The root mean square error (RMSE) is used to quantify the random component of the error. The lower RMSE indicates better 196 model performance.

$$RMSE = \sqrt{\frac{\sum_{i=1}^{n} (p_i - o_i)^2}{n}}$$
(10)

197 198

199 4 Results

200 4.1 Fire severity of burnt vegetation

201	Over the past 20 years, wildfire hi	story databases manage	ed by government a	agencies indicate that a	approximately 112,590 km ²

202 have been recorded as affected by fires in NSW, of which, almost 53,830 km² burned during the 2019-20 megafires (Figure





- 203 2). This dataset indicates that the annual burn area is typically below 5,000 km², but in exceptional years such as 2002 and
- 204 2003, the affected area can reach more than 10,000 km². The affected area from the 2019-20 fires is approximately 10 times
- 205 larger than those in other years from 2004 to 2018.



Figure 2. Annual burnt area (km²) across New South Wales, in south-eastern Australia.

206 Among the burnt area, the fractions of vegetation types are shown in Figure 2 (a). The dry sclerophyll forests (shrubby subformation) accounted for the largest proportion of the burnt area (32.1%), followed by the dry sclerophyll forests 207 (shrub/grass subformation) which account for 16%. The wet sclerophyll forests (grassy subformation) occupy 14.2% of the 208 burnt area, while for the wet sclerophyll forests (shrubby subformation) the proportion is 11%. Specifically, the cleared area 209 210 accounted for 11.3% of the burnt area, approximately equal to those of the wet sclerophyll forests (shrubby subformation). Other vegetation types largely affected by the wildfires are grassy woodlands, rainforest and heathlands, the proportion of 211 which are 6,7%, 2.5% and 2%, respectively. The distribution of fire severity indicated by dNBR for each vegetation type is 212 displayed as Figure 2 (b). These boxplots in Figure 2 (b) show that the fire severity varies significantly with vegetation type, 213 214 demonstrating that the vegetation specific thresholds should be applied in fire severity classification. For example, the fire 215 severity of cleared areas is overall the smallest while the fire severity of heathland shows the overall largest. The fire severity 216 varies even for the major vegetation type with different subgroups, for instance, the fire severity of dry sclerophyll forests with 217 shrubby subformation is larger than the fire severity of dry sclerophyll forests with shrub/grass subformation.

218







Figure 3. (a) The proportion of burnt area and (b) the distribution of fire severity grouped by vegetation type, over NSW from 2000 to 2019

219

220 4.2 Threshold determination for fire severity classification

Given the variability shown in Figure 2, we proposed an alternative way to determine the optimal thresholds in fire severity classification for different vegetation types. To determine these thresholds thedNBR of all burnt pixels for the vegetation type werecollected and a set of dNBR values at the quantiles from 0.05 to 0.95 are used as the candidates of thresholds for the fire severity classification.

225 The classified samples using the threshold of dNBR at the quantiles are imported as the training set in RF models and the OOB 226 estimate of error rate is recorded for the training samples. Figure 4 (a), (b), (c) and (d) show the variations of OOB estimate of 227 error rate changes with thresholds of dNBR at the quantiles varying from 5% to 35% (low severity threshold)/35% to 65% (moderate severity threshold), when the high severity threshold are set as the dNBR values at the 65%, 75%, 85% and 95% 228 229 quantiles, respectively. The optimal thresholds are determined when the lowest OOB estimate of error rate is found. For example, for dry sclerophyll forests (shrubby subformation), the thresholds for high, moderate and low severity classification 230 are 0.55 (85% quantile), 0.38 (55%) and 0.20 (25%), respectively. Note that the classification step is merely used to improve 231 the consecutive regression accuracy, rather than the final severity categorization result. The choice of threshold in this step 232 therefore will not affect severity categorization. The categorization will be solely based on predicted severity value, using user 233 234 defined thresholds.







Figure 4. Variations of OOB estimate of error rate changes with thresholds of dNBR at the quantiles varying from 5% to 35% (low severity threshold)/35% to 65% (moderate severity threshold), when the high severity threshold are set as the dNBR values at the (a) 65%, (b) 75%, (c) 85% and (d) 95% quantiles.

235

236 The thresholds of dNBR for fire severity classification for different vegetation types are determined by the proposed method and the results are presented in Table 1. It is shown that the thresholds vary significantly with vegetation type. For example, 237 for rainforests when dNBR of burnt area is around 0.20, this area should be classified as high severity. However, the burnt 238 area with the same dNBR (0.20) would be classified as moderate severity when wildfire burns over other vegetation types. 239 240 This difference is also found in the major vegetation type within different subgroups. A burn area with dNBR around 0.53 is 241 classified as extreme high severity when fire burns over wet sclerophyll forests (grassy subformation), while this burn area is classified as high severity when fire burns over wet sclerophyll forests (shrubby subformation). The differences in 242 243 classification thresholds are more significant between dry sclerophyll forests with shrub/grass subformation and shrubby 244 subformation. The thresholds for high severity classification are 0.44 and 0.55 for burnt area over dry sclerophyll forests 245 (shrub/grass subformation) and dry sclerophyll forests (shrubby subformation), respectively. These results indicate that using the vegetation specific thresholds would obtain more reasonable fire severity classification results, while a lot of mis-246





- 247 classifications are found when applying fixed thresholds in fire severity classification without considering the variations in
- 248 vegetation cover.
- 249

250 Table 1. Thresholds of dNBR for fire severity classification by vegetation type.

Vegetation	Low	Moderate	High	Extreme
Rainforests	< 0.05 (25%)	0.05 - 0.18 (25%-45%)	0.18 - 0.41 (45%-75%)	> 0.41 (75%)
Wet sclerophyll forests (Shrubby subformation)	< 0.15 (35%)	0.15 - 0.34 (35%-55%)	0.34 - 0.56 (55%-85%)	> 0.56 (85%)
Wet sclerophyll forests (Grassy subformation)	< 0.17 (35%)	0.17 - 0.34 (35%-55%)	0.34 - 0.52 (55%-85%)	> 0.52 (85%)
Grassy woodlands	< 0.15 (35%)	0.15 - 0.36 (35%-55%)	0.36 - 0.55 (55%-85%)	> 0.55 (85%)
Dry sclerophyll forests (Shrub/grass subformation)	< 0.12 (15%)	0.12 - 0.26 (15%-45%)	0.26 - 0.44 (45%-75%)	> 0.44 (75%)
Dry sclerophyll forests (Shrubby subformation)	< 0.20 (25%)	0.20-0.38 (25%-55%)	0.38-0.55 (55%-85%)	> 0.55 (85%)
Heathlands	< 0.26 (35%)	0.26 - 0.40 (35%-55%)	0.40 - 0.57 (55%-75%)	> 0.57 (75%)

251

252 **4.3 Fire severity prediction results**

253 The performance of vegetation specific thresholds and the importance of vegetation type are validated by the cross-validation

in the RF model. Figure 5 (a) and (b) show the relative importance of variables in the MF based on samples classified by

255 vegetation specific thresholds and fixed thresholds, respectively. The error bar represents the standard deviation (sd) of relative

256 importance in RF models in the cross-validation experiments. The preNBR is the most influential variable with relative

257 importance around 28% and sd around 7%. The FFDI also plays an important role in the model with relative importance and

sd of 21% and 6%, respectively. The KBDI shows close relative importance to those of FFDI, the values of mean relative

importance and sd are 19% and 5% respectively. While for vegetation type, the relative importance (13%) is higher than those

260 of topographic variables when the vegetation specific thresholds are applied. The sd of vegetation type is the largest (9%),

261 owing to the differences in vegetation diversity in the training samples.







Figure 5. Relative importance of variables in RF models based on samples classified by (a) vegetation specific thresholds and (b) fixed thresholds.

262

The confusion matrix of the fire severity classification results is shown in Table 2. More samples are classified into extreme high severity classification when applying vegetation specific thresholds than those using fixed thresholds. Similarly, more samples are classified into low severity while implementing fixed thresholds than vegetation specific thresholds. This indicates that using fixed thresholds without considering the vegetation type tends to underestimate the fire severity levels. While for the performance of fire severity prediction, most events of extreme high severity are correctly identified by the RF model trained by samples classified by vegetation specific thresholds while more misclassified extreme high severity and high severity events are predicted by the RF model trained by samples classified by fixed thresholds.

271 Table 2. Confusion matrix of prediction results based on RF model trained by samples classified by vegetation specific and

272 fixed thresholds.

Vegetation specific			Fixed						
	Extreme	High	Moderate	Low		Extreme	High	Moderate	Low
Extreme	52680	22782	813	9	Extreme	36573	24573	1755	30
High	4749	94899	17265	171	High	3930	64740	21498	471
Moderate	501	20487	103536	3948	Moderate	852	19794	94857	8739
Low	147	1422	22239	36897	Low	357	2754	31299	70347

273





The overall classification accuracy calculated by equation (4) is 0.75 and 0.69, for RF models trained by samples classified by 274 275 vegetation specific and fixed thresholds, respectively. Figure 6 (a), (b) and (c) show the Precision, Recall and F1 score of event severity classification results for each class label calculated by equations (5) - (7). The Accuracy, Precision, Recall results and 276 277 F1 Score close to 1 indicate accurate classification results. For the classification metrics of each class label, the high severity events class exhibit the best Precision (0.85) relative to the moderate (0.76) and extreme high severity event classes (0.68), 278 while the Recall and F1 score for high severity events class are 0.64 and 0.73, respectively. The extreme high severity events 279 class exhibit the best Recall (0.89) relative to the other two classes, and the Precision and F1 score are 0.68 and 0.77, 280 respectively. The performances of fire severity classification are worse for the RF model trained by samples classified by the 281 282 fixed thresholds, with lower precision, recall and F1 score.











Figure 6. The results of Precision for predictions based on (a) vegetation specific thresholds and (b) fixed thresholds; The results of Recall for predictions based on (c) vegetation specific thresholds and (d) fixed thresholds; The results of F1 score for predictions based on (e) vegetation specific thresholds and (f) fixed thresholds;

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Figure 7 displays the fire severity map for the 2002, 2008, 2011 and 2019 wildfires in NSW based on the vegetation specific

- thresholds and fixed thresholds. It is obvious that the results using the fixed thresholds tend to underestimate the severity levels
- 286 compared to the results using the vegetation specific thresholds, especially for the 2002 and 2011 wildfires. While the predicted
- 287 severity using the vegetation specific thresholds could better capture the spatial patterns of fire severity which demonstrate the
- 288 benefits of applying fixed thresholds to different vegetation in fire severity predictions.







Figure 7. Fire severity classification map based on vegetation specific thresholds and fixed thresholds.

289

290 To evaluate the model's performance in fire severity prediction, we apply the leave-one-year-out cross-validation method. We 291 validate the fire severity predictions against the observed burn severity derived from Landsat images and compare the 292 predictions based on the RF model with (and without) severity classification method. Figures 8 (a), (b) and (c) display the 293 scatterplots of fire severity prediction against fire severity observations based on RF model without severity classification, 294 with severity classification using the fixed threshold and using the vegetation-specific threshold, respectively. Arguably, the 295 predictions without severity classification show strong underestimation of high fire severity events and overestimation of low 296 burn severity events, with R^2 value of 0.62, RMSE and MAE are 0.14 and 0.11, respectively. The distributions of predictions 297 with severity classification using the fixed threshold do not agree well with observations, though showing higher R^2 (0.79), 298 lower RMSE and MAE values of 0.11 and 0.08, respectively. Predictions with severity classification using the vegetation-





- 299 specific threshold exhibit better fire severity prediction results for high-, moderate- and low-severity events with improved R2,
- 300 RMSE and MAE, which are 0.89, 0.07 and 0.05, respectively.









(a)

(c)





Figure 8. Scatterplots of fire severity prediction against observations based on XGBoost model (a) without severity classification; (b) with severity classification using the fixed threshold; and (c) with severity classification using the vegetation-specific threshold.

301

302 We also evaluate the model's ability of capturing the fire severity dynamics and magnitude in terms of mean fire severity for

- 303 the selected wildfires. Figure 9 (a) displays the dynamics of predicted fire severity based on RF model with and without severity
- 304 classification, while Figures 9 (b), (c) and (d) show the dynamics of associated performances of R^2 , RMSE and MAE,
- 305 respectively. The predictions without severity classification are unable to capture the dynamics of mean fire severity, having
- 306 the lowest R2 and highest RMSE and MAE values. While the dynamics of the predicted fire severity with severity classification
- 307 has better correlation with the observed ones compared to those without severity classification, especially the results with
- 308 severity classification using the vegetation-specific threshold, which exhibit the best performance of predicting fire severity
- magnitude with the largest R2 and lowest RMSE and MAE values. These results indicate that severity classification is an
- inaginade with the ingest results remote and initial values. These results indeate that severity ends in
- 310 important process to improve the performance of fire severity prediction models.



Figure 9. Time series of (a) mean fire severity, (b) R2, (b) RMSE and (c) MAE from 2000 to 2019 based on XGBoost models without severity classification and with severity classification using the fixed and vegetation-specific threshold.

311





312 Figure 10 depicts a summary plot of estimated SHAP values coloured by the feature values, ranked from top to bottom by their 313 importance. It is shown that preNBR is the most important feature in the model, followed by FFDI. The KBDI is also crucial in the model. The topographic factors are also contributing to the model. We can find that having a high preNBR is associated 314 315 with high and positive values on the model output, indicating the larger preNBR is the prerequisite of more severe wildfire. 316 Similar to the effect of preNBR on the model output, a high FFDI is always associated with high and positive SHAP values, 317 which means the more severe fire weather could lead to more destructive wildfires. Though some high KBDI is found to be 318 associated with negative SHAP values, the KBDI still shows strong positive effect on the model output, reflecting the fact that 319 the dry condition could favour the fire behaviour. Regarding the topography, the large slope and TPI tend to have positive 320 SHAP values, meaning the more severe fire tends to occur in steeper and higher position.

321



Figure 10. The SHAP values for variables predicting fire severity based on XGBoost model.

322

Fig. 11 displays the partial dependence plot (PDP) for each feature in the model. From Figure 11, it can be shown that the preNBR has a strong positive association with the dNBR, implying that dNBR increases with the preNBR rapidly. The FFDI shows a non-monotonic relationship with dNBR, with a decreasing trend observed when it is less than 30, a steady increasing trend between 30 to 65 and significant increasing after it exceeds 65, suggesting that the fire weather dependence is more complex. The weak correlation between KBDI and dNBR, within the range of KBDI lower than 400, indicates that KBDI has nearly no influence when it is below 400. While the positive correlation between KBDI and dNBR, within the range of 400 to 600, suggest that the dry condition would intensify the fire severity. However, a declining trend of KBDI is found when it





- 330 exceeds 600, meaning the impact of KBDI on dNBR becomes weaker. Regarding the slope, a negative association with dNBR
- is observed when it is below 3, while a positive relationship is found when it exceeds 3. The TPI shows an overall positive
- association with dNBR. These findings demonstrate that fire severity tends to be higher on steeper slopes and in hilltops.
- 333



Figure 11. The variation of SHAP values as variables change.

334 5 Discussion

335 This study shows that the proposed predictive technique is capable of providing robust fire severity prediction information,

which can be used for forecasting seasonal fire severity and, subsequently, impacts on biodiversity and ecosystems under

337 future projected climate conditions.

338 We find that the RF method is effective in classifying fire events into different levels of fire severity and XGBoost method is

a useful method to characterise the relationships between fire severity and explanatory variables (e.g., preNBR, FFDI, KBDI,

340 slope and TPI). Fire severity is a complex function of explanatory variables gradients and these relationships may vary in

341 different vegetation type and severity levels. The preNBR, an approximation of the pre-fire vegetation condition, plays an

important role in classification and prediction, as the change in NBR pre- and post-fire, i.e. dNBR, will be dependent on both

the condition of the vegetation before the fire and the degree of change to vegetation after the fire. The preNBR, indicating the

344 pre-fire vegetation condition, might be related to the pre-fire drought. For example, drought reduces the water content of

345 foliage (Choat et al. 2018), thus reducing preNBR, so the maximum absolute change in NBR (dNBR) possible might be smaller





346 during a drought year than a non-drought year. The FFDI is found to be important in fire severity classification and prediction. 347 The meteorological conditions are proven to be the most influential predictors in determining the magnitude of fire severity (Clarke et al., 2014; Bowman et al., 2021). The FFDI is the index of fire weather severity during the fire season thus is workable 348 349 in determining the potential burn severity level. KBDI is another important variable in fire severity classification. It is known 350 that drought can create conditions that favour severe fires (Abram et al. 2021) and that the combined effects of fire and drought 351 can contribute to plant population declines (Gallagher et al. 2022; Nolan et al. 2021) and ecosystem transformation (Keith et 352 al. 2022). Severe drought conditions also directly contribute to forest flammability (Nolan et al. 2020). More importantly, the 353 frequency, intensity and duration of drought conditions are projected to shift under future climates (Ukkola et al. 2020). These 354 changes in drought regimes will likely be associated with increases in the size, frequency and severity of fires (Abram et al. 355 2021). TPI and slope, as important topographic factors, also have considerable influence on low fire severity. For example, Bradstock et al. (2010) found burn severity is lower in valleys, probably due to effects of wind protection and higher fuel 356 357 moisture in moderating fire behaviour. Barker et al. (2018) found that the probability of low severity increased with slope. In 358 this study, we find that fire severity tends to be higher on steeper slopes and higher position, this might be that steep slopes 359 can intensify fire behaviour by creating a chimney effect that draws in air and accelerates the fire (Andrews and Bradshaw, 2012; Jolly et al., 2015; Seginer and Brandl, 2007.). Besides, higher elevations generally have lower air pressure and reduced 360 361 humidity, which helps fire burn more intensely (Abatzoglou and Kolden, 2011; Holden et al., 2018). Additionally, vegetation on steep slopes can be thicker and more continuous, providing more fuel for the fire (Collins et al., 2009; Pausas and Fernández-362 363 Muñoz, 2012). 364 The introduction of vegetation specific thresholds is proven to be beneficial for fire severity classification. The range of dNBR

365 varies significantly with vegetation types, and thus applying a fixed threshold in dNBR would lead to a large amount of 366 misclassification in fire severity levels. This kind of mis-classification error is mitigated by using vegetation specific thresholds 367 in dNBR. The vegetation type also plays an important role in the RF model. The relative influence of vegetation type is larger 368 than the topographic factors while the deviation of vegetation type is the largest in the meantime. The relative influence of 369 vegetation type and the deviation changes with the number of vegetation types and its fractions in the fire event. For example, five vegetation types were affected in the2002 wildfire, and the fractions of vegetation types are: dry sclerophyll forests 370 371 (shrubby subformation) (30%), grassy woodlands (31%), wet sclerophyll forests (grassy subformation) (23%), dry sclerophyll forests (Shrub/grass subformation) (14%) and grasslands (2%). While in the 2019 wildfire, seven vegetation types were 372 affected, dry sclerophyll forests (shrubby subformation) accounts for 92% of the burn area. The relative influence of vegetation 373 374 type in the 2002 wildfire is around 10% while only 5% in the 2019 wildfire. This could also explain why no significant 375 differences are found between fire severity maps using vegetation specific thresholds and fixed thresholds in the 2019 wildfire. Since more than 90% of the burn area in the 2019 wildfire is covered by dry sclerophyll forests (shrubby subformation) and 376 377 the fixed thresholds are adopted from the thresholds of dry sclerophyll forests (shrubby subformation), the fire severity 378 classification for 2019 wildfire is almost equal to the fire severity classification for dry sclerophyll forests (shrubby 379 subformation).





380 This study develops a predictive technique which is capable of providing robust fire severity classification and prediction 381 information for historical events, which also has the potential to forecast the seasonal fire severity. The input variables to the model could be obtained from other forecast models: fire weather related variables can be extracted from the Weather Research 382 and Forecasting (WRF) model. The preNBR has the seasonality characteristics, which can be predicted based on the historical 383 384 preNBR time series. The vegetation type and topographic factors are static variables, while the variables for calculating FFDI and KBDI, e.g., wind speed, relative humidity, precipitation, air temperature, are available from WRF outputs. Quick 385 386 assessment of fire severity for wildfires are accessible based on the proposed predictive technique, once the burn area are 387 derived from the burn area prediction models (Alkhatib, 2014: Castelli et al., 2015) or monitoring products (e.g., MODIS Burned Area Product, MCD64A1) 388

389 6 Conclusions

390 This study introduces the vegetation specific thresholds in fire severity classification for wildfires over NSW, Australia. We

391 use the pre-fire season drought conditions, topography, and the fire season meteorological conditions as input to build the

- predictive model and the performances are validated by EXtreme Gradient Boosting (XGBoost) to predict the fire severity,proxied by dNBR.
- 394 Using the vegetation specific thresholds we could improve the classification accuracy in fire severity levels. Specifically, using a leave-one-out cross-validation, the severity classification results showed an improved classification accuracy of 0.75 based 395 396 on the proposed vegetation specific thresholds, compared to those based on fixed thresholds (0.69). The predictive performance 397 of XGBoost model is improved as well based on the classification results, with determination coefficient (R^2), mean absolute 398 error (MPE) and root mean square error (RMSE) values of 0.89, 0.05, and 0.07, respectively. We show that the preNBR is the 399 most important variable in fire severity classification and prediction, followed by FFDI and KBDI. The PDP of FFDI and 400 KBDI indicate that the likelihood of high severity increases when weather and drought conditions become more severe. From 401 the responses of dNBR to topographic factors, the probability of high severity increases with slope and elevation. The role of
- 402 vegetation type in fire severity prediction becomes more important for large fires where more diverse vegetation is affected.
- 403 The results demonstrate that the prediction technique performs well predicting fire severity of historic fires (2000-2019) in the
- 404 Australian state of NSW, while it also shows the potential to be applicable for seasonal fire severity forecasts, owing to the 405 availability of the predictor variables in seasonal forecasting outputs. With the expected increase in wind speed, temperature 406 and drought conditions exhibited in future climate projections, this prediction technique can also be used to evaluate the 407 variation of fire severity under climate change.
- 408
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- 410 Methodology, Writing- Reviewing and Editing. Emmanouil N. Anagnostou: Supervision, Methodology, Writing-Reviewing
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