Review article: Towards Improved Drought Prediction in the Mediterranean Region – Modelling Approaches and Future Directions

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- 10 Abstract.

11 There is a scientific consensus that the Mediterranean region (MeDRRegion (MedR) is warming and as the 12 temperature continues to rise, extreme events such as droughts and heat waves are becoming more frequent, severe, 13 and widespread. Given the detrimental effects of droughts, it is crucial to accelerate the development of forecasting and early warning systems to minimize their negative impact. This paper examines reviews the current state of 14 15 knowledge in drought modeling and prediction usingapplied in the MedR, including statistical, dynamical, and 16 hybrid statistical-dynamical models. By considering the multifaceted nature of droughts, the study encompasses 17 meteorological, agricultural, and suggests some hydrological drought forms and spans a variety of forecast scales, from weekly to annual timelines. Our objective is to pinpoint the knowledge gaps in literature and to propose 18 19 potential research prospects to further trajectories to improve drought the prediction of droughts in this region. The 20 review finds that while all methods have theireach method has its unique strengths and shorteomingslimitations, hybrid statistical-dynamical methods can performmodels appear to hold the most promising potential for skillful 21 prediction with a longseasonal to annual lead timetimes. However, the application of these methods is still 22 23 challenging due to the lack of high-quality observational data and the limited computational resources. Finally, the 24 paper concludes by discussing the importance of using a combination of sophisticated methods such as data 25 assimilation techniques, machine learning models, and copula models and integrating data from different sources 26 (e.g., remote sensing data, in-situ measurements, and reanalysis) to improve the accuracy and efficiency of drought 27 forecasting.

28 Key Words: drought, forecasting, data assimilation, machine learning, Mediterranean, review

29 1 Introduction

30 Drought is a recurrent phenomenon in the Mediterranean basin (MEDBRegion (MedR). Throughout time, adaptation to this kind of climate eventsevent has been an important issue for the development of many countries 31 32 in the region. Yet, with the disruptive accelerated impact of global warming, already reflected in more regular and 33 intense droughts around the Mediterranean in the last few decades, building resilience to extreme weather 34 conditions remains a true challenge (Satour et al., 2021). For these reasons among others, the region is often 35 described as a Hotspothotspot for climate change (Tuel and Eltahir, 2020). The Intergovernmental Panel on 36 Climate Change (IPCC) pointed out in the Sixth Assessment Report (AR6) that global warming has been more 37 rapid in the Mediterranean than in the rest of the world (IPCC, 2021). This report projected an increase in the 38 frequency and/or severity of agricultural and ecological droughts across the Mediterranean and Western Africa-

39 (IPCC, 2021). A global increase of 2 °C is thought to correspond to a 3 °C increase in the daily maximum

- 40 temperature in the MEDBMedR (Seneviratne et al., 2016; Vogel et al., 2021). If this increase in temperature
- 41 continues at the same pace, the Mediterranean region (MEDR)MedR is susceptible to experience fearful
- 42 desertification by the end of the 21st century, driving an increase in aridity- (Carvalho et al., 2022).

43 This will surely lead to irreversible biodiversity loss and reducediminish the capacity capability of semi-arid

44 Mediterranean ecosystems to function as a effective carbon sinks in the future (Valentini et al., 2000;

45 <u>Briassoulis, 2017; Zeng et al., 2021).</u> forthcoming. All these <u>These</u> conditions exacerbate water stress that, which,

46 <u>in turn</u>, enhances in turn the probability of wildfire, (Turco et al., 2017a). A phenomenon already witnessed these
 47 two last summers (2021 and 2022) in several Mediterranean countries (Turkey, Greece, Italy, Algeria, and

- 48 Morocco), displacing thousands, killing hundreds, and causing irreparable damage (Rodrigues et al., 2023; Yilmaz
- 49 et al., 2023; Eberle and Higuera Roa, 2022).
- 50 The Mediterranean Sea (MEDS) is the body of water that separates three continents:), lying between Africa, 51 Europe, and Asia-, serves as a substantial source of moisture and heat, affecting atmospheric circulation and 52 weather patterns (Mariotti et al., 2008). Its narrow connection to the Atlantic Ocean via the-14 km wide Strait of Gibraltar is only 14 km wide. The MEDS is surrounded byand the surrounding varied topography (Fig. 1), with 53 54 vegetated areas to the north and desert areas to the south and east with narrow vegetated areas around, contribute 55 to the coastregion's complex climate dynamics (Michaelides et al., 2018). The topography of land surrounding the MEDS is varied with the existence of complex mountain ranges with high altitudes (Fig. 1). This is one of the 56 57 reasons that render the dynamic characteristics of the atmospheric flow complex at various scales, playing a critical
- 58 role in the regional and local climate (Michaelides et al., 2018).
- 59 The Mediterranean elimate MedR is defined as characterized by a mid-latitude temperate elimate with mild rainy

60 winters- and hot, dry- summers (Lionello et al., 2023). Notably, this area is positioned in a transitional band

61 between the midlatitude and subtropical regions, which makes climate modeling for this region quite challenging

62 (Planton et al., 2012). Precipitation has a marked annual cycle, with The Mediterranean climate exhibits a strong

63 spatial gradient in precipitation, with generally decreasing precipitation values towards the south and hardly any

64 precipitation during the summer. It is also unevenly distributed and characterized by a strong spatial gradient, with

65 values decreasing toward the South (Lionello, 2012). Droughts occurring during the wet season (or during the crop

66 growing season)Such conditions pose challenges in climate modeling and can severely impact lead to severe

67 <u>impacts on water supply</u>, and agricultural production agriculture, especially for countries in regions relying mostly

- 68 on rain-fed agriculture (Tramblay et al., 2020).
- 69 Water availability is unevenly distributed among the Mediterranean countries with 72% in temperate countries of 70 the North, against 5% in the South, and 23% in the East (Milano et al., 2013). Accordingly, several countries such 71 as Algeria, Morocco, Egypt, Libya, Malta, and some countries of southern Europe such as Portugal and Spain are 72 experiencing a structural water shortage that is likely to increase with the expected population growth- (Sanchis-73 Ibor et al., 2020). This situation is further aggravated when multi-annual droughts hit the region. Therefore In this 74 challenging context, drought forecasting at a sufficient that provides seasonal to annual lead time is of primary 75 importance times becomes critically important for the proactive management of agricultural and water resources 76 and agriculture in this difficult context. management.

77 Growing concern about the drought phenomenon in the last decades has spurred the development of improved 78 systems that predict the full cycle of drought (onset, duration, severity, and recovery) via a large number of indices 79 and models. Common approaches to predicting drought can be subdivided into two categories of models: statistical 80 models and dynamical models. Statistical models, also named data-driven models, rely on the estimated 81 correlations between several predictors (large-scale climate variables) and predictands (local climate variables 82 represented by historical observations). While The climatology-based or persistence-based models, like the 83 Ensemble Streamflow Prediction (ESP) system, form an essential tool in this category, leveraging both historical 84 and near real-time data to generate a probabilistic forecast of future drought events (AghaKouchak, 2014a; Turco 85 et al., 2017b; Torres-Vázquez et al., 2023). Meanwhile, dynamical drought prediction relies on the use of Global 86 Climate Models (GCMs) to simulate the dynamical processes that govern hydroclimatic variability. Nevertheless, 87 despite the usefulness of these models in drought prediction and early warning systems, their forecast accuracy 88 remains limited for longer lead times (exceeding one month) (Wood et al., 2015). The post-processing and multi-89 model ensemble techniques are usually used to improve prediction skills by avoiding systematic bias related to the 90 coarse resolution of GCMs (Han and Singh, 2020). Recently, drought prediction has also been tackled by the hybrid statistical-dynamical models which combine the two approaches mentioned above. These models constitute a 91 92 promising tool for long lead-time drought forecasting (Ribeiro and Pires, 2016).

93 Despite the efforts made to predict drought phenomena, it remains largely little understood due to its multiple 94 causing mechanisms and contributing factors (Kiem et al., 2016; Hao et al., 2018). The complexity and variability 95 depicted by many physical mechanisms such as Sea Surface Temperature (SST), North Atlantic Oscillation 96 (NAO), El Niño-Southern Oscillation (ENSO), Mediterranean Oscillation (MO), and land-atmosphere feedback 97 are also responsible for the low performance of drought monitoring and forecasting (Ayugi et al., 2022). The 98 MEDB is positioned in a transitional band between the midlatitude and the subtropical regions rendering climate 99 modeling very challenging (Planton et al., 2012). Understanding the synoptic conditions leading to the drought 100 phenomenon becomes increasingly important given the upward trend in temperature in particular in the 101 Mediterranean region MedR. Further investigations to assimilate how large-scale teleconnections affect local 102 weather and climate anomalies, as well as how these latter later feedback into the larger context, are much needed 103 in this context.

To address these questions, manynumerous review papers triedhave sought to bring together consolidate the 104 scientific advances in the field of drought prediction from different regions of the world (e.g., Mishra and Singh, 105 2011; Hao et al., 2018; Fung et al., 2019; Han and Singh, 2020). However, drought is a region-specific phenomenon 106 107 since the meteorological conditions that drive its onset (precipitation deficit, high temperature, soil moisture, 108 evapotranspiration [ET]...) depend highly on the considered region. Consequently, solutions developed and 109 successfully applied in one region may not necessarily be appropriate to othersWhile these studies provided a 110 comprehensive overview of drought prediction at a global scale, our paper offers an in-depth analysis of drought 111 prediction methodologies specifically applied to the Mediterranean context. This is achieved through an examination of the applicability, strengths, and limitations of statistical, dynamical, and hybrid statistical-112 dynamical models, in line with the regional specifics of the MedR. This specificity is vital given that drought, as a 113 114 phenomenon, is highly region dependent. The unique meteorological conditions of the MedR necessitate dedicated 115 studies, as solutions developed for other regions may not be applicable or effective here.

116 Tramblay et al., (2020) emphasized the <u>urgent</u>need to <u>developfor</u> drought modeling and forecasting

- 117 tailored methods designed for the Mediterranean context. This research highlights the complexity and challenges
- 118 for, particularly as climate change continues to exacerbate drought conditions in this region. Building on this, our
- 119 work not only emphasizes the complexities of drought assessment in the MEDR under anthropogenic and climate
- change effects. This paper is intended to fill the knowledge gaps in the Mediterranean drought, reviews the but also
 conducts a critical review of recent drought forecast methods, and focus on the prospects forecasting methodologies
- 122 applied specifically to the MedR. In addition to shedding light on the merits and limitations of these methods, our
- 123 investigation also helps identify underexplored areas that constitute a promising tool to overcome the actual warrant
- 124 further research. Detecting these gaps is a crucial aspect of our work, as it directs future research towards these
- 125 relatively unexplored realms of drought prediction weaknesses.
- 126 The structure of this paper is as follows: Section 2 highlights the difficulty related to the definition of drought from 127 different perspectives. The causes of drought in MEDR MedR are provided in section 3. Sections 4, 5, and 6 present 128 the recent advances in drought prediction with statistical, dynamical, and hybrid statistical-dynamical models 129 respectively. Section 7 discusses the results found in this review, providing insights into the current state of drought 130 forecasting in the MEDR MedR and highlighting potential areas for improvement. The challenges in drought 131 prediction are reviewed with the prospects in section 8. Finally, the 9th section presents the conclusions of the 132 whole paper.
- 133 Figure 1 Topography of the Mediterranean Region. (30°N 46°N in latitude and 10°W 40°E in longitude).
- 134 2 Drought Definitions, Classification, and Indices

Drought is a compound phenomenon of creeping nature. Establishing an accurate prediction, well describing its
starting date and duration is extremely hard. The multidisciplinary and multiscale nature of drought renders the
understanding of this phenomenon very challenging. (AghaKouchak et al., 2021). As a matter of fact, literature
gives numerous definitions for drought.

- In the eighties, Wilhite and Glantz (1985) found more than 150 published definitions of drought that can be categorized into four broad groups: meteorological, agricultural, hydrological, and socioeconomical. This classification based on both physical and socioeconomic factors is still adopted today. As this classification is human-centered, some recent works emphasized the need to consider the ecological drought as well, which creates multiple stresses in natural ecosystems, see for example (Crausbay et al., (2017;), Vicente-Serrano et al., (2020;), Bradford et al., (2020;) and Zhang et al., (2022). Since the aim of this study is to review forecasting drought methods, we will focus only on the first three categories that provide direct methods to quantify drought as a
- 146 physical phenomenon.
- In an attempt to associate a mathematical definition with each drought type, several drought indices have emerged.
 These indices are typically based upon some hydroclimatic variables or parameters (indicators) such as
 temperature, precipitation, soil moisture, streamflow, and snowpack to describe three major characteristics of the
- 150 drought event: severity, duration, and frequency. However, the lack of a universal definition of drought is also
- apparent in the huge variety of indices (more than 100) that have been developed for drought prediction- (Lloyd-
- 152 <u>Hughes, 2014</u>). Unfortunately, this plethora of indices creates more confusion than clarity (Lloyd-Hughes, 2014)
- and makes the choice of the most suitable indices a difficult task.

2.1. Meteorological Drought

155 The World Meteorological drought is often defined based on Organization (WMO) characterizes meteorological drought as "a prolonged absence or marked deficiency of precipitation deficit over a continuous period (". 156 157 Similarly, the IPCC defines meteorological drought as "a period of abnormally dry spell). This definition is 158 weather in a region-specific because the determination of the over an extended period". The threshold used to state 159 ifdistinguish between a period is dry or wet period often depends on the average amount of rainfall intypical for 160 the <u>specific area under study area. Hence, there is. This gives rise to</u> a considerable number<mark>variety</mark> of meteorological definitions belonging to different, each tailored to the distinct conditions of diverse regions or 161 162 countries (Isendahl, 2006). Therefore, coming up withRegarding the MedR, creating a single encompassing definition of meteorological drought in the MEDR, that takes into account theis particularly challenging. This 163 complexity of itsstems from the diverse climate and conditions across the region, particularly the pronounced 164 variability between the eastern and western meteorological conditions responsible for the that contribute to drought, 165 166 is complicated.

167 The Standardized Precipitation Index (SPI) (McKee et al., 1993) and the Standardized Precipitation 168 Evapotranspiration Index (SPEI) (Vicente-Serrano et al., 20102010a) are two of the most prevalent indicators used 169 to describe meteorological drought. They owe their popularity to the recommendation of the World Meteorological 170 Organization (WMO) (Svoboda et al., 2012). The SPI has been extensively used in previous studies for its ease of 171 computation, its probabilistic nature, and its ability to detect drought at multiple time scales (Madadgar and 172 Moradkhani, 2013; Chen et al., 2013; Li et al., 2020; Mesbahzadeh et al., 2020; Das et al., 2020). By fitting a 173 probability distribution to observed precipitation data, the SPI is calculated and subsequently transformed into a 174 standard normal distribution with a mean of 0 and a standard deviation of 1 (Livada and Assimakopoulos, 2007). Consequently, SPI values can be compared across various regions and timeframes (e.g., 1, 3, 6, 12, or 24 months). 175 176 This multiscale nature of SPI enables it to capture diverse aspects of drought depending on the selected time scale. 177 The shorter time scales (1-3 months) are suitable for monitoring agricultural drought, while longer time scales (6-178 12 months or more) are better suited for evaluating hydrological drought. However, it should be noted that the SPI 179 considers only precipitation data and neglects the variability of temperature and potential evapotranspiration (PET), 180 ignoring the effect of warming on droughts. Indeed, in relatively wet regions, precipitation deficit can constitute 181 an important indicator for drought onset (Gamelin et al., 2022). Yet, in midlatitude (or extratropic) regions such as 182 the Mediterranean where the climatological precipitation is modest or low, precipitation deficit may not be 183 sufficient to measure extreme droughts. Furthermore, knowing the upward trend in temperature and the influence 184 of high atmospheric evaporative demand (AED) in increasing severity of recent drought events in the MEDRMedR 185 (Tramblay et al., 2020; Mathbout et al., 2021; Bouabdelli et al., 2022), the choice of drought indices needs to 186 prioritize those including these variables in their formulation such as SPEI, or Palmer Drought Severity Index 187 (PDSI) (Palmer, 1965) and Reconnaissance Drought Index (RDI) (Tsakiris and Vangelis, 2005) to mention but a 188 few.

189 The SPEI was developed by Vicente-Serrano et al. (20102010a) using the climatic water balance concept of

190 climatic water supply and AED. It is based on precipitation and PET and has the advantage of combining the multi-

scalar character of the SPI with the ability to include the effects of temperature variability (Vicente-Serrano et al.,

192 <u>2010).2010a).</u>

A global assessment of drought indices conducted by Vicente-Serrano et al. (2012) found that SPEI provided a 193 194 superior capability in capturing drought impacts, particularly during the crucial summer season. Bouabdelli et al. (2022) used SPI and SPEI indices and Copulacopula theory to study the impact of temperature on agricultural 195 196 drought characteristics under future climate scenarios over seven vast Algerian plains located in the Mediterranean 197 region.MedR. The results of this study confirmed that the frequency of drought events is much higher using SPI while their duration and severity are more intense using SPEI. Russo et al. (2019) performed drought 198 199 characterization in MEDRMedR using both the SPEI and the SPI by, considering the period 1980–2014. They 200 concluded Their findings indicated that SPEI is better correlated for the exhibits a stronger correlation with drought 201 conditions over a 3-months'-month time scale-and, while SPI shows a better correlation for thea 9-months, which reflects the capacity-month duration. This result highlights the ability of SPEI to capture earlier the early shifts in 202 the balance between ETevapotranspiration and precipitation more efficiently than SPI (Russo et al., 2019). 203 204 However,

205 Despite the main weakness utility of this index is its sensitivity to SPEI in drought characterization, it does have a 206 noteworthy limitation. The effectiveness of SPEI significantly relies on the method that estimates used for 207 estimating PET such as the Penman-Monteith equation, the Thornthwaite method, the Hargreaves method, and the 208 Priestley-Taylor method among others. These estimation methods can yield varying results, leading to 209 inconsistencies in SPEI values. In essence, the sensitivity of SPEI to the PET estimation method used could 210 potentially affect the accuracy and reliability of the index in representing drought conditions (Vicente-Serrano et 211 al., 20102010b; Stagge et al., 2014).

212 The PDSI has also been widely used to quantify the drought characteristics for a given location and time. It includes precipitation, temperature, and soil moisture data to estimate water supply and demand and to reflect long-term 213 214 drought. But it has shown some inconsistencies when used at various locations (Wells et al., 2004). A self-215 calibrating variant of this index (scPDSI) was proposed by Wells et al. (2004) to automatically calibrates the 216 behavior of the index by replacing empirical constants in its computation with dynamically estimated values to 217 account for the variability of precipitation and the climate characteristics between locations (Wells et al., 2004). 218 Ionita and Nagavciuc (2021) evaluated the drought characteristics at the European level over the period 1901–2019 219 using SPI, SPEI, and scPDSI. The results based on SPEI and scPDSI show that the increase in mean air temperature and PET are making central Europe and the Mediterranean regionMedR dryer, whereas Northern Europe is getting 220 221 wetter. While results based on SPI using only precipitation data did not reveal this drought variability. This 222 underscores the findings of Vicente-Serrano et al. (2012), who emphasized the benefits of using more integrative 223 indices like SPEI in understanding and predicting drought variability more effectively.

- The MedPDSI, which is an update of the PDSI formulation in terms of its soil water balance to consider real
 evapotranspiration (based on reanalysis data instead of PET) in the MEDBMedR, has allowed an earlier
 identification of longer and more severe droughts (Paulo et al., 2012). (Paulo et al., 2012) compared SPI, SPEI,
- 227 PDSI, and MedPDSI in detecting drought characteristics in Portugal for the period 1941 to 2006. They concluded
- that PDSI and MedPDSI are likely to identify better the supply-demand dynamics and that they may be of great
- 229 interest for drought warning applications, aiming namely at agriculture (Paulo et al., 2012).

230 2.2. Agricultural Drought

231 Agriculture is very sensitive to climate variation especially extreme weather. Due to its dependency on water 232 availability, this sector is strongly impacted by drought events. In the Mediterranean basin, agriculture is mainly 233 rain-fed (wheat, barley, olive, and orange trees...). IfIn the Mediterranean Basin, agricultural practices span both 234 rain-fed and irrigated systems. Rain-fed agriculture is prevalent, particularly for crops such as wheat and barley, 235 while crops like olives and citrus fruits, such as oranges, often utilize controlled irrigation systems to supplement 236 natural precipitation (Rodrigo-Comino et al., 2021). Regardless of the system employed, if meteorological drought 237 lasts for a prolonged period, it can lead to a reduction in soil moisture to such a level that it harmfully affects crop 238 production, especially during the active plant growth season, (Wilhite and Glantz, 1985; Mishra and Singh, 2010). At this stage the agricultural drought sets in. 239

Therefore, in addition to meteorological factors, the agricultural drought definition is also related to the retention capacity of soil in the crop growth season (Kuśmierek-Tomaszewska and Żarski, 2021) which depends on crop types, soil characteristics, and soil management. All these indicators can be employed to develop relevant agricultural drought indices. Among them, we cite Crop Moisture Index (CMI) (Palmer, 1968); Soil Moisture Deficit Index (SMDI); Evapotranspiration Deficit Index (ETDI) (Narasimhan and Srinivasan, 2005); Normalized Soil Moisture index (NSMI) (Dutra et al., 2008) and Empirical Standardized Soil Moisture Index (SSMI) (Carrão et al., 2016).

247 All-The formulation of these indices includeintegrates soil moisture data-, leveraging a variety of assessment 248 techniques, each with unique advantages. These include in their formulation, situ soil moisture probes, cosmic-249 ray neutron probes, and physically driven models such as the ISBA land surface model (Tramblay et al., 2019). Each of these techniques has distinct advantages and is suitable for different application contexts (Miralles et al., 250 2010; Martens et al., 2017). However, when faced with the scarcity of observed soil moisture data are still limited. 251 Currently, the only way, remote sensing comes to obtain the forefront. It furnishes extensive and frequent 252 253 measurements of soil moisture characteristics is through remotely sensed, effectively supplementing areas where 254 observed data (Gruber and Peng, 2022). Those have already some knownfalls short. Yet, it is crucial to be aware 255 of the limitations such as the of these tools. Despite its indispensable role, remote sensing is constrained by factors 256 such as coarse timetemporal and spacespatial resolution, low depth of limited penetration depth, and incompatible governing hydrologic principles (Mohanty et al., 2017; Gruber and Peng, 2022). As an alternative, hydrological 257 258 models have been commonly used to simulate and calibrate this variable in the context of agricultural drought 259 forecasts (Hao et al., 2018). Mimeau et al., (2021) used a modeling framework to estimate soil moisture sensitivity 260 to changes in precipitation and temperature at 10 plots located in southern France. They concluded that the current 261 climate change scenarios may induce longer periods of depleted soil moisture content, corresponding to 262 agricultural drought conditions.

In general, when soil moisture in the root zone reaches a critical level, farmers resort to irrigation to save crops-(Kang et al., 2000). However, if nowadays agriculture consumes approximately 85% of global fresh water for irrigation (D'Odorico et al., 2019; Tatlhego et al., 2022), this figure tends which is expected to increase in the years to come by growing population, increasing food consumption, and rising temperatures that accelerate PET and promotespromote hydrological stress.

268 2.3. Hydrological Drought

269 Unlike agricultural drought which is mainly affected by the depletion of soil moisture after a dry period, a lack of 270 precipitation impacts many components of the hydrological system in a river basin or watershed (streams, 271 reservoirs, and lakes). These define water availability that can be used for commercial navigation, generation of 272 hydroelectric power, irrigation of farmlands, industry, and domestic activities for several months after the 273 deficiency in precipitation. Consequently, hydrological drought lags behind the occurrence of meteorological and 274 agricultural droughts. This lag time is a characteristic of the watershed, which is defined based on many physical 275 drivers such as evapotranspiration capacity, soil properties, vegetation types, snow accumulation/melt, local water 276 management such as dams' construction and control, water supply operation rules, and irrigation strategy (Van 277 Loon and Laaha, 2015).

278 A hydrological drought is generally proclaimed when the water levels in streamflow, reservoirs, lakes, aquifers,

279 and other water storage systems fall below a specific threshold. Therefore, the hydrological drought prediction

280 necessitates the analysis of climate variables such as precipitation and temperature and initial catchment conditions

281 (e.g., snow cover, and soil moisture) (Hao et al., 2018).

282 In the Mediterranean basinBasin, a common tendency for water levels to drop in shallow lakes and aquifers has 283 motivated many researchers to study the hydrological drought in this region: Greece (Myronidis et al., 2012); 284 Turkey (Akyuz et al., 2012); Tunisia (Hamdi et al., 2016); Lebanon (Al Sayah et al., 2021); Italy (Di Nunno et al., 285 2021); Portugal (Mendes et al., 2022); Algeria (Bouabdelli et al., 2022); Syria (Mohammed et al., 2022). The most 286 common hydrological drought indices include Palmer Hydrologic Drought Index (PHDI) (Palmer, 1965), the 287 Streamflow drought index (SDI) (Nalbantis, 2008), and Standardized Runoff Index (SRI) (Shukla and Wood, 288 2008).

289 As part of the effort made by Palmer in the sixties, the PHDI has been developed by using the same two-layer soil 290 model as the PDSI, but it applies a stricter criterion for determining the ends of drought to account for long-term 291 drought events that reduce surface and groundwater supply. (Vasiliades and Loukas, (2009) tested the Palmer 292 indices in a Mediterranean basin (in Greece) they concluded that these indices were successful in the identification

293 of drought severity of historical events, but they were unable to identify drought duration.

294 The SRI is an index that uses the same computational principles as SPI but uses monthly mean streamflow rather 295 than precipitation only to account for the hydrologic process that determines seasonal lags in the influence of 296 climate on streamflow (Shukla and Wood, 2008). Shukla and Wood (2008) compared the SRI and the SPI results 297 during drought events in a snowmelt region. They concluded that the SRI can be used as a complement to the SPI 298 for depicting hydrologic aspects of drought.

299 The SDI is also a simple index that uses the cumulative monthly streamflow volumes for a given hydrological year 300

- to predict wet and dry periods and identify the severity of a hydrological drought (Nalbantis, 2008). Bouabdelli et
- al., (2022) compared . (2020) conducted a comparison study of the SPI and the SDI and, focusing on their 301
- 302 characteristics in<mark>across</mark> three watersheds in the karst area of northwestern Algeria. They found a good
- 303 agreement Their analysis revealed a substantial similarity between meteorological drought events (as represented
- 304 by SPI-12) and hydrological drought events expressed(as indicated by SPI-12 and SDI-6, respectively, which
- 305 reflects the sensitivity). This correlation emphasizes the sensitive and responsive nature of the response of a basin

- 306 towards these basins to dry conditions, further illustrated by the swift transition from meteorological to
 307 hydrological drought events in the studied basins (Bouabdelli et al., 2020).
- 308 The application of hydrological drought indices seems appears to be very useful. But valuable. However, the main
- 309 problemchallenge in applying these indices islies in the needrequirement for a long-time_term series of climatic
- data (. According to the WMO, up to 30 years of continuous rainfall data according to the WMO suggestion may
- 311 <u>be necessary for accurate drought index calculations (WMO, 1994</u>). This condition is not always fulfilled which
- makes the rainfall-runoff transformation a difficult task (De Luca et al., 2022). Modern hydrological models can
- offer a valuable counterpart to existing climate-based drought indices by simulating hydrologic variables such as
- 314 land surface runoff (Shukla and Wood, 2008).

315 3 Overview of the physical mechanisms causing drought in the Mediterranean region

- 316 It is difficult to determine the physical mechanisms causing droughts in the Mediterranean basin since the region
- 317 covers a complex landscape with high topographic and climatic heterogeneity, strong land-sea contrasts, and high
- anthropic pressure (De Luca et al., 2022).
- 319 Assuming that any type Considering the various forms of drought starts first by being, meteorological, an accurate 320 droughts, characterized by a deficit in precipitation, are commonly recognized as marking the onset of drought prediction conditions. This initial stage is automatically intrinsically linked to precipitation predictability, which 321 depends on<u>is driven by</u> large-scale atmospheric motions (such as Walker circulations and Rossby wave), forced 322 323 by SST anomaly, waves, influenced by factors like SST anomalies, radiative forcing changes (both natural and anthropogenic changes in radiative forcing,), and land surface interactions (Hao et al., 2018; Wood et al., 2015). 324 However, because of due to the inherently chaotic nature of the atmospheric circulation, this predictability became 325 unreliable, particularly for meteorological droughts, tends to diminish beyond a one-month lead time. It is crucial 326 327 to note that the reliability of these predictions can differ when considering other drought types (such as agricultural 328 or hydrological droughts) or altering the forecast scale, with seasonal forecasts often displaying more reliability 329 months in advance, while daily forecasts may face limitations from around two weeks.
- 330 The discovery of teleconnections between SST anomalies and hydroclimatic phenomena constitutes a major 331 advance in drought forecasting and early warning (Wood et al., 2015). Indeed, Notably, it is widely established 332 within the scientific community gathers that some certain ocean-atmospheric teleconnections, such as ENSO, can have a strong correlation with profoundly influence the onset of drought onset conditions in many various regions 333 of the world. Based on this correlationworldwide, particularly in the tropics (Ropelewski and Halpert, 1987; 334 335 Shabbar and Skinner, 2004; Hoell et al., 2014; Vicente-Serrano et al., 2017). For instance, during the peak phase 336 of El Niño or La Niña in the tropical Pacific, a skillful seasonal drought prediction atcorresponding change in 337 precipitation patterns can be observed several months later in North American winter climate (Livezey and Smith, 1999; Hoerling and Kumar, 2003). This delayed impact provides a crucial window for predicting potential drought 338 conditions with a long lead time (>1 month) became possible. However exceeding one month (Johnson and Xie, 339 340 2010). Moreover, this lagged correlation allows for proactive drought management strategies, with the ability to 341 anticipate and prepare for drought conditions based on forecasted ENSO conditions. Nevertheless, drought predictability is seasonally and spatially variable. In general, Typically, the accuracy of seasonal drought prediction 342

- skill is high over<u>superior in</u> the tropics, while it is still challenging over<u>in</u> the extra-tropics (Tureo Doblas-Reyes et
 al., 20172013).
- In the Mediterranean region MedR, the response of climate to ENSO is complex. It varies over time and depends on the maturity of the ENSO state, and the co-occurrence with NAO (Kim and Raible, 2021; Brönnimann et al., 2007; Mariotti et al., 2002). Although many authors have found a non-negligible correlation between ENSO and
- 348 precipitation anomalies in the <u>MEDRMedR</u>, it remains insignificant compared to the tropics (Mariotti et al., 2002).
- 349 In contrast, many studies rather the NAO is commonly identified the NAO as an a prominent factor influencing
- 350 factor in Mediterranean climate variability during the winter season (Ulbrich and Christoph, 1999; Vicente-Serrano
- et al., 2011; Kahya, 2011; Santos et al., 2014; Cook et al., 2016). It is important to note, however, that while
- acknowledging the profound impact of the NAO on the climate dynamics of the MedR, its predictability, especially

353 on seasonal scales, continues to be a considerable challenge in the field of climate science (Czaja and Frankignoul,

- 354 <u>1999; Saunders and Qian, 2002; Scaife et al., 2014; Dunstone et al., 2016). The</u>
- 355 During the positive phase of the NAO is related to, below-average precipitation rates are observed over large parts 356 of the northern and western MEDRMedR. While in the negative phase of NAO, the climate is wetter and warmer 357 (Lionello, 2012). Kim and Raible₃ (2021) analyzed the dynamics of multi-vear droughts over the western and central Mediterranean for the period of 850-2099. The This analysis shows that droughts occur more frequently 358 359 during the positive NAO phase and La Niña-like conditions. This study also confirmed that suggests Mediterranean 360 droughts are from 850-1849 CE were mainly driven by the internal variability of the climate system rather than, 361 including elements like barotropic high-pressure systems, positive NAO phases, and La Niña-like conditions. 362 Conversely, external forcing such as volcanic eruptions were found to be associated with wetter Mediterranean conditions. In the period 1850-2099 CE, however, anthropogenic influences amplified land-atmosphere feedback, 363 364 leading to persistent dry conditions in the Mediterranean (Kim and Raible, 2021).
- Paz et al., (2003) analyzed monthly mean Sea Level Pressure anomalies (SLP) from the 1958–1997 record over
 the Mediterranean Basin. They identified a significant anomalous SLP oscillation between North Africa (NA) and
 West Asia (WA) and concluded that the regional trend of the NAWA index could explain increased drought
 processes in the eastern Mediterranean after the late '70s, in relation to northern hemispheric circulation.
- 369 The climate heterogeneity in the Mediterranean area may also be explained by the regional Mediterranean 370 Oscillation (MO) characterized by the opposite precipitation patterns between the eastern and western regions 371 (Dünkeloh and Jacobeit, 2003). More recently Redolat et al., (2019) proposed a new version of MO that uses areas 372 instead of observatories or isolated points. The new index which is referred to as the Upper-Level Mediterranean 373 Oscillation index (ULMOi) is based on the differences in geopotential height at 500 hPa to improve the 374 predictability of seasonal anomalies in the Mediterranean climate (Redolat et al., 2019). According to this study, 375 ULMOi has reported higher confidence than the MO index for rainfall predictability (Redolat et al., 2019). Other teleconnections influencing the climate of MEDRMedR can be found in the reviews done by (Paz et al., (2003) 376 377 and (Lionello, (2012). Recent works have also shed light on the impact of Madden Julian Oscillation (MJO) on water availability in the region, especially during heavy rainy episodes, see for example (Chaqdid et al., 2023) 378
- At the regional scale, land surface interactions from various surface conditions (e.g., soil moisture, snow cover,
 vegetation cover, etc.) can play a prominent role in exacerbating the drought but could also contribute to their
 - 10

- 381 predictability on sub-seasonal time scales (Dirmeyer et al., 2021). Therefore, drought forecasting skill also depends
 382 on the accuracy in representing these land atmosphere processes.
- In addition, the Mediterranean is a hotspot region that comprises a nearly enclosed sea (source of moisture and heat) surrounded by highly urbanized littoral which results in complex interactions between ocean atmosphere land processes that have a high impact on the climate and hydrological cycle, including extremes weather events
- **386** that frequently affect the region (Ducrocq et al., 2018).
- 387 The Mediterranean basin's climate is also shaped by the complex interaction of ocean atmosphere land processes,
- 388 which can significantly influence the region's hydrological cycle and contribute to droughts (Lionello et al., 2012;
- 389 Ducrocq-et al., 2018). The nearly enclosed Mediterranean Sea serves as a substantial source of moisture and heat,
- affecting atmospheric circulation and weather patterns (Mariotti et al., 2008). Coastal areas in the Mediterranean
- 391 basin experience land sea breeze circulation due to temperature differences between land and sea surfaces
- 392 (Drobinski et al., 2018). This daily circulation pattern can impact the distribution of precipitation, potentially
- leading to prolonged dry spells, especially during transitional seasons (Ducrocq et al., 2018).
- 394 The region's complex topography, featuring mountain ranges and valleys, gives rise to orographic effects that 395 impact precipitation patterns (Ricard et al., 2012). Orographic lifting forces moist air to rise over mountains (Chagdid et al. 2023), causing drier conditions on leeward slopes (Drobinski et al., 2016). This dynamic results in 396 397 localized climate conditions and can intensify drought events. In addition, the highly urbanized littoral in the 398 Mediterranean basin is subject to the urban heat island (UHI) effect, where urban areas exhibit significantly higher 399 temperatures than their rural counterparts (Santamouris, 2014). This phenomenon alters local atmospheric 400 circulation, intensifies heat waves, and exacerbates drought conditions, particularly in densely populated areas 401 (Giannakopoulos et al., 2009).
- 402 Land use/cover changes driven by human activities, such as deforestation, urbanization, and agricultural
 403 expansion, further influence the regional climate and hydrological cycle (Lambin et al., 2003), affecting surface
 404 albedo, evapotranspiration rates, and soil moisture, ultimately altering the intensity and frequency of drought
 405 events (Duveiller et al., 2018).
- In conclusion, several complex factors that influence the predictability of drought are not yet fully understood,
 especially those related to climate change. Therefore, more research on the physical mechanisms causing drought
 in the MEDRMedR is needed to improve the predictability of drought forecasts.
- Expanding our grasp of the physical factors causing drought in <u>MEDRMedR</u>, we will now delve into drought forecasting models. By leveraging insights from these mechanisms, scientists have developed numerous approaches and techniques including statistical, dynamical, and hybrid statistical-dynamical models to boost the
- 412 accuracy and trustworthiness of drought predictions.

413 4 Statistical Drought Prediction Methods

- 414 Once the major sources of predictability are identified, the task of the statistical models is to uncover the spatial
- 415 and/or temporal relationship between a set of these potential predictors and the predictand. When a large number
- 416 of predictors are identified within the same region, dimension reduction techniques like Principal Component
- 417 Analysis (PCA) or Linear Discriminant Analysis (LDA) can improve model accuracy and efficiency by reducing

- 418 the number of dimensions while preserving essential information. On the other hand, feature selection methods
- 419 such as decision trees or Random Forests can help eliminate irrelevant predictors. These approaches can prevent
- 420 overfitting, leading to enhanced model performance and interpretability (Hao et al., 2018; Ribeiro and Pires, 2016).
- The next sections will present the frequently used data-driven models and how they were employed to predict
 different types of droughts at different spatiotemporal resolutions in the MEDRMedR.

423 4.1. Time Series models

- During the last few decades, several methods have been developed to analyze the stochastic characteristics of hydrologic time series (Morid et al., 2007; Rafiei-Sardooi et al., 2018; Band et al., 2022; Zarei and Mahmoudi, 2020). Moving average (MA), Autoregressive (AR), and Autoregressive Integrated Moving Average (ARIMA) are all linear models that analyze past observations of the same variable to predict its future values. Normality and stationarity of observations are two of the basic assumptions of these time-series models. Therefore, if some trends or seasonality are detected in observations, they should be removed before the modeling to avoid any drift in the concepts to be captured.
- 431 ARIMA and Seasonal ARIMA (SARIMA) are is the most frequently used time-series models. model (Zhang et al.,

432 <u>2003).</u> The popularity of these modelsthis model is related to theirits ability to search systematically for an

433 adequate model at each step of the model building (identification, parameter approximation, and diagnostic check).

- 434 This method is based on the concept that nonstationary data could be made stationary by "differencing" the series
- 435 (Box et al., 2015). The approach involved considering a value Y at time point t and adding/subtracting based on
- the Y values at previous time points and adding/subtracting error terms from previous time points. The formulacan be written as:

$$Y_{t} = c + \varphi_{1}Y_{t-1} + \dots + \varphi_{p}Y_{t-p} + \theta_{1}e_{t-1} + \dots + \theta_{q}e_{t-q} + e_{t},$$
(1)

438 where:

439 Y_t is the value of the variable at time t; c is a constant term; p and q are the orders of AR and MA models, 440 respectively; φ_i and θ_i are model parameters; $e_{t-1} \dots e_t$ are the error terms.

441 The AR component captures the impact of past values on the current value, the I component handles any non-

- stationarity in the data (i.e., changes in the mean or variance over time) by "differencing" the time series, and the
- 443 MA component captures the impact of random shocks or errors in the data.
- 444 The ARIMA model is generally expressed with the three terms p, d, and q. The order of differencing in the I 445 component is denoted by the value of (d) in the ARIMA(p,d,q) notation. It represents the number of times that the
- data must be "differenced" to produce a stationary signal. The lag order (p) represents the number of prior
- 447 observations having a strong correlation with the current observation. While (q) is the size of the moving window
- 448 and is identified by determining the number of lag errors that have a significant impact on the current observation.
- 449 The SARIMA is a more specific version of ARIMA that includes a seasonal component, which takes into account
- 450 the repeating patterns that occur at regular intervals (e.g., daily, weekly, monthly) in the data. This makes it more
- 451 appropriate for forecasting seasonal time series data.

- 452 (Bouznad et al., <u>(2021)</u> used conducted a comparative analysis of ARIMA and SARIMA models using
- 453 precipitation, temperature, and evapotranspiration data to assess seasonal drought conditions in the Algerian
- 454 highlands by analyzing precipitation, temperature, and ET data from 1985. These models were compared based
- 455 <u>on their ability to 2014, then by computing the aridity index, the SPI, replicate and the Normalized Difference</u>
 456 <u>Vegetation Index (NDVI). They identified forecast the data series accurately. The SARIMA model emerged as the</u>
- 457 best model better choice as it returned exhibited significant p-values for all the studied variables. under study. This
- 458 implies that the model was statistically significant in predicting the variables and thus outperformed the ARIMA
- 459 model in this specific context. In the same country-(, Achite et al., (2022) investigated the meteorological and
- 460 hydrological drought in the Wadi Ouahrane basin Basin using ARIMA and SARIMA models applied to SPI and
- 461 SRI indices. A validation based on R² revealed high qualityaccuracy for SPI and SRI of 0.9796 and 0.51,97
- 462 respectively, at 1-month lag. Additional examples of the use of the time-series model in drought forecasting in
- 463 MEDRMedR can be found in Table 1.
- Although time series models have shown good predictability of drought characteristics, these methods present certain limitations as they are based solely on the persistence of some drought indicators (trend, seasonality) without worrying about their interactions.
- 467 Table 1 Main studies using the Time series model to forecast drought in the MEDR<u>MedR</u>.

468 4.2. Regression analysis

469 Regression models are commonly applied in drought forecasting due to their straightforwardness, interpretability,

and proficiency in revealing potential connections between hydroclimatic variables. These models use various
predictors (independent variables), including precipitation, temperature, and other relevant climate indices, to
approximate drought indices or related target variables (dependent variables).

473 Simple and multivariate linear regression (MLR) models have been broadly applied for projecting extreme
474 hydrological phenomena such as droughts (Sharma et al., 2018). These models shed light on the linear connections
475 between various predictors and predictands, offering a valuable method to understand the primary factors of
476 drought conditions and their interactions (Mishra et al., 2011).

477 An MLR model that predicts drought from multiplesmultiple drought predictors $X_1, X_2, ..., X_n$ can be formulated 478 as:

$$Y = \beta_0 + \beta_1 X_1 + \beta_2 X_2 + \dots + \beta_n X_n + \varepsilon$$
⁽²⁾

479 Where:

- 480 β_0 is the y-intercept or the constant term,
- 481 $\beta_{i(i=1,2,\dots,n)}$ are the regression coefficient for each independent variable $X_{i(i=1,2,\dots,n)}$,
- 482 ε is the model's error term.

483 On the other hand, when drought forecasts have a binary or dichotomous nature, such as drought vs. no drought,

484 logistic regression models can be particularly useful. In these cases, the dependent variable (drought) is expressed

- as a probability or likelihood of occurrence. The main goal of logistic regression is to estimate the relationship
 between a set of predictors and the probability of the binary outcome (Rahali et al., 2021; Hosmer et al., 2013).
- 487 Some of the applications of regression analysis for drought forecasting in the <u>MEDRMedR</u> are discussed below
 488 and summarized in (Table 2).
- 489 Table 2 Main studies using regression analysis to forecast drought in the MEDRMedR.

490 Sousa et al., (2011) analyzed the spatiotemporal evolution of drought conditions inacross the MEDR MedR during the 20th century using monthly precipitations, NAO, and SST as independent variables and scPDSI as a dependent 491 variable-of. Their study successfully developed a calibrated robust stepwise regression model. A six-month lead 492 493 prediction capable of predicting summer drought conditions six months in advance with a high correlation of 0.79 494 between simulated and observed scPDSI time series, thus demonstrating its utility in forecasting future drought 495 conditions in the region. Tigkas and Tsakiris, (2015) used the MLR model with variables that include the minimum temperature and RDI as the main independent variable for the assessment of drought effects on wheat yield in two 496 497 rural areas of Greece. The results of this analysis showed a high correlation between RDI and the wheat yield 498 during the winter months which proves that satisfactory prediction of the drought impacts on wheat vieldyields 2 499 to 3 months before the harvest can be achieved using the MLR model. Martínez-Fernández et al., (2016) investigated the agricultural drought conducted a study in the REMEDHUS (Soil Moisture Measurement Stations 500 501 Network) area (Spain) by computingin Spain, aiming to monitor agricultural drought on a weekly time scale and 502 provide early warning to farmers for adapting irrigation strategies. They computed a specific agricultural drought index (SWDI), using data from the SMOS satellite. Several Within this study, various computation approaches 503 504 have been were analyzed, and the ones that yielded the most promising results were those based directly based on 505 soil attributes or parameters extracted from pedo-transfer function (PTF)-(). These approaches utilized a multiple 506 regression analysis, using the with soil water parameters as dependent variables and many incorporated other 507 relevant soil characteristics-such as independent variables (texture, bulk density, and porosity)], showed the best 508 results.

509 Although regression models have been valuable in drought forecasting, they exhibit certain limitations such as the

510 linearity assumption, limited interactions between variables, sensitivity to overfitting and multicollinearity (Rafiei-

- 511 Sardooi et al., 2018). Consequently, their ability to accurately represent complex real-world phenomena is often
- 512 insufficient (Zhang, 2003). To address these shortcomings, more advanced models capable of capturing non-linear
- relationships and interactions are required, ultimately improving the forecasting of complex hydroclimatic events
- such as droughts.
- 515 4.3. Machine Learning and Hybrid Models
- 516 One of the big challenges in drought prediction is the random and nonlinear nature of the hydroclimatic variables-517 (Agana and Homaifar, 2017). Over the last two decades, intelligent techniques such as Artificial Neural networks 518 (ANN), Support Vector Machines (SVMsSVM), and Fuzzy Logic (FL) have proven to be very promising tools 519 for modeling nonlinear and dynamic time series (Mokhtarzad et al., 2017; Dikshit et al., 2022; Prodhan et al., 520 2022). Therefore, these These algorithms have received great attention thus garnered significant interest in the 521 field realms of drought modeling and forecasting and modeling (Prodhan et al., 2022). In the context of modeling,

- 522 they are used to develop mathematical representations of complex drought systems, capturing the interplay of
- 523 various atmospheric, hydrological, and land surface processes that lead to these phenomena. In forecasting, the
- 524 models derived from these algorithms are employed to anticipate future drought conditions, assisting in risk
- 525 assessment and mitigation strategies. Table 3 highlights the key studies utilizing intelligent that utilize machine
- 526 <u>learning models to predictfor</u> drought prediction in the Mediterranean regionMedR.

(Prodhan et al., (2022) stated in their review of machine learning methods for drought hazard monitoring and forecasting on a global scale that the ANN was the most popular model in peer-reviewed literature, and they suggested that higher use of the ANN model is anticipated because it has non-linear properties that make it more robust for identifying all possible interactions between predictors.

- 531 ANN is a mathematical model inspired by biological brain neural networks. It consists of an interconnected group
- of nodes (artificial neurons) and processes information using a connectionist computation (Fig. 2). In the case of
- drought forecasting, ANN architecture is usually made of three layers: an input layer which consists of the drought
- 534 predictors, hidden layer(s) which comprises a function that applies weights to the input variables and passes them
- using a non-linear activation function, and an output layer that consists of the drought target variable or drought
- 536 index (Han and Singh, 2020).
- 537 Figure 2 Drought forecasting based on a simple ANN architecture.

For the proper functioning of a neural network, the optimization of network weights (known as the learning or
training process) is an essential step (Dikshit et al., 2022). Back-propagation, Feed Forward, Gradient Descent,

- 540 Stochastic Gradient Descent, Adam and Levenberg–Marquardt are among the common training algorithms
- 541 (Bergou et al., 2020). The role of these algorithms is to minimize the difference between predicted and observed
- 542 values by adjusting the network weights and biases of the model.
- 543 Di Nunno et al $\frac{1}{12}$ (2021) used a non-linear AutoRegressive with eXogenous inputs (NARX) neural network (a 544 particular type of recurrent dynamic ANNs) to predict spring flows in the Umbria region (Italy). The results of this 545 study show a good performance of the NARX model in predicting spring discharges for both short (1 month: R2 = 0.901290 - 0.984298, RAE = 0.093309 - 0.255725) and long-term lag time (12 months: R2 = 0.900590 - 0.255725) 546 0.983898, RAE = 0.996309 - 0.240924). Achour et al₋₂ (2020) also confirmed the performance of the ANN model 547 548 with multi-layer perceptron networks architecture and Levenberg-Marquardt calibration algorithm in predicting 549 drought in seven plains located in northwestern Algeria with 2 months lead time (R²=0.81, RMSE< 0.41 and MAE 550 <0.23).
- SVM is also a robust supervised learning model that investigates data for classification and regression analysis. It
 designates the best separating line to classify the data with more safety margins. Besides, the good performance in
 solving linear problems, <u>SVMsSVM</u> could also transfer a non-linear classification to a linear one using the kernel
 function and be able to solve high-dimensional problems (El Aissaoui et al., 2021).
- 555 In the context of drought studies, SVM is particularly beneficial due to its ability to handle many inputs, use a
- small dataset for training, and its resistance to overfitting compared to ANN (Hao et al., 2018). These features
- 557 make SVM less sensitive to data sample size, enhancing the robustness of the drought model. On the forecasting
- 558 <u>aspect</u>, SVM uses<u>employs</u> a kernel function to map predictors in <u>a high-dimensional hidden space and </u>
- 559 subsequently transforming the predictand to the output space (Hao et al., 2018). It can use a small data set for

- 560 training and can handle many inputs. Therefore, SVM is less sensitive to data sample size and less prone to 561 overfitting than ANN.
- El Aissaoui et al., 2021). This process allows the SVM model to generate effective and accurate forecasts about
 potential future drought events, given the input variables.
- 564 El Aissaoui et al. (2021) used the Support Vector Regression (SVR) model with three kernel functions (linear, 565 sigmoid, polynomial, and radial basis function [RBF]) for the prediction of drought in the region of Upper Moulouya (Morocco) through the SPI and SPEI indices. They have demonstrated a good performance of the 566 567 prediction model and Their research underscores the SVR model's effectiveness, particularly with the RBF was designated as the best modelkernel function, in predicting the weather forecasting drought index with indices SPI 568 (R = 0.92 for the SPI) and SPEI (R = 0.89 for the SPEI.). Mohammed et al., (2022) evaluated the applicability of 569 570 4 Machine Learning algorithms namely bagging (BG), random subspace (RSS), random tree (RT), and random 571 forest (RF) in predicting agricultural and hydrological drought events in the eastern Mediterranean regionMedR 572 based on SPI. The results of this study revealed that hydrological drought (SPI-12, -24) was more severe over the study area and BG was the best model in the validation stage with RMSE $\approx 0.62-0.83$ and r $\approx 0.58-0.79$. 573
- 574 To further improve the prediction accuracy of AI models, preprocessing of data using wavelet decomposition 575 (WD), PCA, or empirical mode decomposition (EMD) is recommended. These techniques known as hybrid models 576 have gained attention due to their potential to improve prediction accuracy and better capture complex 577 relationships in the data (Yoo et al., 2015; Liu et al., 2020). The preprocessing techniques are used to extract and 578 represent the essential features and patterns within the data and statistical methods, such as ANN, SVM, or RF, 579 model the relationship between the input variables and the target drought index. El Ibrahimi and Baali₇ (2018) 580 explored the prediction of short-term (SPI-3) and long-term (SPI-12) drought conditions using 6 models: SVR, 581 ANN-MLP, Adaptive Neuro-Fuzzy Inference Systems (ANFIS), WA-SVR, WA-MLP, and WAANFIS in the 582 Saïss Plain (Morocco). They argued that ANN models were more efficient than SVR models and that the use of 583 wavelet analysis has enhanced the prediction skill of ANN models which is probably due to their capacity in 584 detecting local discontinuities and non-stationary characteristics of the data.
- 585 Table 3 Main studies using Artificial Intelligence Models to forecast drought in the MEDRMedR.

(Özger et al., (2020) evaluated the effect of using EMD and WD for decomposing time series data on drought
prediction using the self-calibrated Palmer Drought Severity Index (sc-PDSI) and machine learning models ANN
and SVM. They found that the accuracy of standalone machine learning models in mid-termmidterm sc-PDSI
predictions was unsatisfactory, but it significantly improved when EMD and WD techniques were introduced,
particularly for hybrid wavelet models.

- In summary, machine learning and hybrid models, which combine preprocessing techniques with statistical methods, have demonstrated their efficiency in drought forecasting, as they can effectively handle intricate, nonlinear relationships and adjust to a diverse range of input data characteristics. However, the applicability of these models may be challenging when input variables exhibit strong dependence on each other. This dependency can lead to several issues such as multicollinearity, overfitting, and diminishing returns (Maloney et al., 2012). To address these limitations and improve drought forecasting performance, it is essential to consider joint probability
- 597 models (Madadgar et al., 2014; Hao et al., 2018).

598 4.4. Joint Probability Models

599 The probabilistic analysis of drought events plays a significant role in the planning and management of water 600 resources resource systems, particularly in arid or semi-arid Mediterranean regions known for low annual and 601 seasonal precipitation. Drought return periods, which estimate the frequency of drought events, can provide 602 valuable information for responsible water management during drought conditions. The univariate frequency 603 analysis is a common method for analyzing drought events. As mentioned above, drought is usually characterized 604 by its severity, duration, and frequency which can be extracted using the theory of runs introduced by Yevjevich 605 (1967). These characteristics present a dependence structure that can be ignored by the univariate approach, 606 resulting in an under/overestimation of drought risks. As such, several joint probability theories have been recently 607 incorporated into drought risk analysis including two or more variables. One of the most important joint probability 608 models that have garnered increasing attention in the hydrologic community over the last decade is the copula 609 model (Jehanzaib et al., 2021; Pontes Filho et al., 2020; Das et al., 2020; Zellou and Rahali, 2019; Mortuza et al., 2019; Ozga-Zielinski et al., 2016; Xu et al., 2015; AghaKouchak, 2014b; Madadgar and Moradkhani, 2013; Chen 610 611 et al., 2013).

612 There are numerous copula families and classes, such as elliptic, Archimedean (Clayton, Frank, Gumbel, Joe),
613 extreme value, and Bayesian to cite but a few. The choice of the most suitable copula family depends on the
614 specific modeling goals and the structure of the data being modeled. (Genest and Favre, 2007; Joe, 2014).

A brief overview of the bivariate copula theory is given here to initiate readers about their concept and application. However, for additional details on the theory and concepts of the copula, readers may refer to the monographs by Joe (1997) and Nelsen (2007). For the construction Furthermore, comprehensive methodological understanding of constructing high-dimensional copulas, such as pair copula construction Pair Copula Construction (PCC) and nested Nested Archimedean construction Construction (NAC), readers may refer to can be garnered from the works

- 620 of Aas and Berg (2009) and Savu and Trede (2010).
- 621 Let F be a 2-dimensional distribution function, with univariate margins F_1 and F_2 for random variables U and V,
- 622 respectively. According to Sklar's theorem (Sklar, 1959), there exists a copula C such that:

$$F(U, V) = C(F_1(U), F_2(V)) U, V \in R$$
(3)

- 623 with C unique when $F_1(U)$ and $F_2(V)$ are continuous marginal distributions, so that
- 624 $C: [0,1]^2 \rightarrow [0,1]$ that satisfies the boundary conditions C(u,0) = C(0,v) = 0
- and C(u, 1) = C(1, u) = u (Uniform margins) for any $u \in [0, 1]$ and the so-called 2-increasing property (Papaioannou et al., 2016).
- 627 The main advantage of the copula over the traditional multivariate distributions is its ability to model the nonlinear
- 628 dependence structure between variables independently from the choice of their marginal distributions (Salvadori
- and De Michele, 2004). This concept simplifies the joint probability analysis and its application in high dimensions
- 630 (with a large number of variables or predictors) becomes possible.
- 631 Serinaldi et al. (2009) constructed a four-dimensional joint distribution using the copula approach and SPI to model
- 632 the stochastic structure of drought variables in Sicily (Italy). Drought return periods were next computed as mean

- 633 interarrival time, taking into account two drought characteristics at a time by means of the corresponding bivariate
- marginals of the fitted four-dimensional distribution. Bouabdelli et al. (2020) investigated the joint probability and
- 635 joint return period of drought severity and duration using copula theory to assess the hydrological drought risk in
- the reference period and its probability of occurrence in the future under two climate change scenarios in three
- basins located in northern Algeria. Bonaccorso et al. (2015) evaluated the conditional probability of future SPI
- 638 classes under the hypothesis of multivariate normal distribution of NAO and SPI series in Sicily (Italy). The results
- 639 of this study indicated that transition probabilities toward equal or worse drought conditions increase as NAO
- tends toward extremely positive values. Table 4 displays additional examples of the application of the Joint
- 641 Probability Models to forecast drought in the MEDRMedR.

642 Table 4 Main studies using Joint Probability Models to forecast drought in the MEDRMedR.

All the above-mentioned studies confirm that copulas can accurately capture the joint distribution and dependence structure between multiple drought predictors without making strong assumptions about their marginal distributions. By combining the strengths of machine learning models with the flexibility of copulas, researchers can develop more accurate and reliable hybrid methods that better represent the intricacies of hydrological processes and climatic variables, even in the presence of strong dependence among the input variables (Jiang et al., 2023; Li et al., 2022; Wu et al., 2022; Zhu et al., 2020).

649 <u>4.5. Ensemble Streamflow Prediction</u>

- 650 The ESP method, a commonly used technique in hydrological forecasting, was primarily intended for medium to
- long-term streamflow prediction (Day, 1985). However, its utility extends to the prediction of hydrological
 droughts, characterized by low streamflows (KyungHwan and DegHyo, 2015; Sutanto et al., 2020; Troin et al.,
- 653 <u>2021).</u>
- 654 ESP operates on the principle of employing historical data to generate an ensemble of possible future climate conditions (Turco et al., 2017b). The process begins by determining the current state of the system, considering 655 656 parameters such as current streamflow, soil moisture levels, and reservoir levels which serves as the initial conditions for the forecast (Wood et al., 2016). The generation of the ensemble involves choosing a historical 657 658 record at each time (day, week or month) of forecast that will provide the meteorological inputs (Day, 1985). By 659 repeating this process for every time in the historical record, an ensemble of forecasts is produced, each member 660 representing a potential future scenario. The hydrological model is run for each ensemble member, using the chosen meteorological inputs and initial conditions to generate a range of potential future states of the system 661 (Harrigan et al., 2018). The ensemble of forecasts is then analyzed to derive probabilistic predictions. 662
- As new data becomes available, forecasts can be updated by re-initializing the system's state and generating a new ensemble of forecasts. A significant advantage of this method is that it enables the uncertainty prediction by
- producing a variety of potential future streamflow forecast scenarios which can increase the confidence of this
- approach, specifically for its operational use in water management (Troin et al., 2021).
- 667 However, the limitations of the ESP method must be noted. For instance, it presupposes that future behavior will 668 mirror past behavior, a concept that may not hold under changing climatic conditions (Wood et al., 2016).

- Furthermore, the method's performance is heavily reliant on the quality and duration of the historical
 meteorological records used in the ensemble generation process (Turco et al., 2017b).
- 671 ESP is frequently employed as a benchmark for comparison with more sophisticated forecasting methods, such as
- dynamical climate models or hybrid statistical-dynamical models (AghaKouchak, 2014a; Turco et al., 2017b;
- Torres-Vázquez et al., 2023). Although these more complex methods can outperform ESP in some instances, the
- 674 computationally efficient ESP method often exhibits comparable performance, particularly when forecasting a few
- 675 months ahead (Turco et al., 2017b; Torres-Vázquez et al., 2023).

676 4.5.4.6. Markov Chain Models

677 Unlike some regions of the world, subjected to well-known phenomena like ENSO (e.g., tropical regions), the 678 governing factors of drought are not clearly identified in the MEDR. Consequently, drought prediction becomes a 679 challenging task, particularly on seasonal and longer-time scales. The stochastic analysis of drought episodes may 680 then be a promising alternative to handle this issue. Markov chains are effective tools to understand the stochastic 681 characteristics of drought events and their temporal dependency. These models are based on the assumption<u>assume</u> 682 that future states depend only on the current state.

683 Mathematically, Markov chain is a stochastic process X, such as at any time t, X_{t+1} is conditionally independent 684 from $X_0, X_1, X_2, ..., X_{t-1}$, given X_t ; the probability that X_{t+1} takes a particular value j depends on the past only

685 through its most recent value X_t :

$$P\{X_{t+1} = j | X_0, X_1, \dots, X_t\} = P\{X_{t+1} = j | X_t = i\} \forall i, j \in S, t \in T$$
(4)

686 A Markov chain is characterized by a set of states, *S*, and by the transition probability, P_{ij} , between states. The 687 transition probability P_{ij} is the probability that the Markov chain is at the next time point in state *j*, given that it is 688 at the present time point in state *i*.

689 The drought prediction using this concept can be expressed as the transition from wet or normal state to dry state 690 (or the other way around) or the transition from one drought severity state to another (e.g., no drought, mild 691 drought, moderate drought, extreme drought). Habibi et al. (2018) studied meteorological drought in North 692 Algeria's Chéliff-Zahrez basin, employing both localized and spatially- distributed probabilities for temporal 693 transitions using Markov Chains, and recurrence probabilities using an optimal time series model, the APARCH 694 approach. Paulo and Pereira (2007) used Markov chains, incorporating homogeneous and non-homogeneous 695 formulations, to predict drought transitions up to three months ahead, based on the SPI derived from 67 years of 696 data in Southern Portugal. The non-homogeneous Markov model outperformed its counterpart by considering the 697 initial month and seasonal rainfall variations. Table 5 lists additional studies that apply Markov chain models for 698 MEDRMedR drought forecasting.

699 Table 5 Main studies using Markov Chains Model to forecast drought in the MEDR<u>MedR</u>.

These studies generally support the effectiveness of Markov chain models in providing valuable drought insights.
 However, it is essential to consider the challenges associated with applying Markov chains within the
 MEDRMedR, as the region's complex topography, considerable interannual climate fluctuations, limited data
 availability, and the non-stationarity resulting from climate change can adversely affect the models' core

assumptions and constrain their long-term forecasting accuracy. Addressing these challenges calls for the adoption
of more sophisticated techniques that encompass both stochastic and physically-_based approaches, ultimately
enhancing the accuracy and reliability of drought predictions in this region (Paulo and Pereira, 2007).

707 5 Dynamical Drought Prediction Methods

708 FutureDynamical drought projections and near-real-time prediction methods are challenging since several relevant 709 variables and complex processes contribute to the occurrence and severity of this phenomenon (Baltinggenerally based on the use of seasonal climate forecasts derived from comprehensive GCMs. The European Centre for 710 711 Medium-Range Weather Forecasts (ECMWF)'s System 4 (SYS4), the Hadley Centre's Global Environmental 712 Model (HadGEM), the Community Earth System Model (CESM), and the National Centers for Environmental 713 Prediction (NCEP)'s Climate Forecast System (CFS) are some widely recognized examples. Designed to emulate 714 et al., 2021). The dynamical drought prediction is frequently based on GCMs. These models can represent the 715 physical processes inacross the atmosphere, ocean, and land surface and project future climate changes under 716 different scenarios to provide estimates of climate variables, these GCMs can produce near-term forecasts for 717 various climatic factors such as precipitation, temperature, surface pressure, and winds on a global scale. 718 However, GCMs have generally quitethese models typically provide a global overview and possess a relatively 719 coarse resolution-relative to the scale of exposure units in most impact assessments with a horizontal resolution varying between, which spans from 150 and km to 300 km, km horizontally, encompassing 10 to 20 vertical 720 atmospheric layers in the atmosphere, and up to 30 oceanic layers in. This level of detail may not offer the oceans. 721 722 Therefore specificity necessary for local-scale impact assessments. To counter this, post-processing including steps, 723 encompassing downscaling and bias correction is often an essential step before using, are crucial when employing 724 GCM forecasts in practice (Tuel et al., 2021; Gumus et al., 2023). The goal of this step is to provide high-725 resolution climate projections for impact studies on main objective here is to refine the global, coarse-grained GCM 726 data into higher-resolution forecasts. These refined forecasts are far more pertinent for predicting seasonal drought 727 events at a regional and local scales.scale within the MedR.

728 The most common approaches to downscale GCM forecasts include statistical models, dynamic or nested models, 729 and hybrid statistical-dynamical models (Wilby et al., 2004). In statistical downscaling, large-scale variables are 730 used as the predictors and desired near-surface climate variables are the predictands (Gutiérrez et al., 2019). The 731 role of statistical models is then to measure the correlations between predictors and predictands. Whereas 732 dynamical downscaling refers to the use of high-resolution regional simulations to dynamically extrapolate the 733 effects of large-scale climate processes to regional or local scales based on a nesting approach between GCMs and 734 Regional Climate Models (RCMs) (Giorgi and Gutowski, 2015). However, it is known that GCMs contain 735 significant systematic biases that may propagate into RCMs through the lateral and lower boundary conditions 736 and thus degrade the dynamically downscaled simulations and lead to large uncertainties (Maraun, 2016). Besides, 737 climate predictions from a single climate model simulation are sensitive to initial oceanic and atmospheric states 738 and can represent only one of the possible pathways the climate system might follow.

739 <u>5.1. Multi-Model Ensemble</u>

740 To allow probabilistic estimates of climate variables with uncertainties in quantification, it is necessary to carry 741 out an ensemble of simulations with different initial conditions from each model and to combine various models 742 as ensemble members. The frequently used Multi-Model Ensemble (MME) and bias correction methods include 743 quantile mapping (Wood et al., 2002) and Bayesian Model Averaging (Krishnamurti et al., 1999; Seifi et al., 2022). 744 These methods proceed by adjusting the modeled mean, variance, and/or higher moments of the distribution of 745 climate variables, to match the observations. However, such MME simulations can be very computationally 746 demanding. Therefore, some international dynamical downscaling intercomparison projects were carried out such 747 as the Coordinated Regional Downscaling Experiment (CORDEX, Wilby et al., 1998) and its Mediterranean 748 initiative MEdCORDEX (Ruti et al., 2016) to provide present and future climate simulations with a high spatial 749 resolution (~12km).12 km). In a study conducted by Turco et al. (2017b), the accuracy and reliability of ECMWF's 750 System 4 (SYS4) in forecasting drought conditions, characterized by a six-month SPEI6, across Europe from 1981 to 2010 was evaluated. They found that the SYS4 model effectively projected the spatial patterns of SPEI6 and 751 752 various drought conditions (ranging from extreme to normal) with a reasonable degree of precision up to a lead 753 time of 2 months. In the same geographical context, Ceglar et al. (2017) demonstrate the power of dynamical 754 models in the agricultural sector by investigating the relationship between large-scale atmospheric circulation and 755 crop yields in Europe. Their research highlights the significant potential of such models in developing effective 756 seasonal crop yield forecasting, and consequently, in advancing dynamic adaptation strategies to climate 757 variability and change. 758 Baronetti et al. (2022) analyzed the expected characteristics of drought episodes in the near (2021-2050) and far (2071–2100) future compared to the baseline conditions (1971–2000) for northern Italy using EURO-CORDEX 759 and MedCORDEX GCMs/RCMs pairs at a spatial resolution of 0.11 degrees for the Representative Concentration 760 Pathways (RCPs) (4.5 and 8.5) scenarios. The results indicated that the GCM/RCM pairs performed generally well 761 762 while in complex environments such as coastal areas and mountain regions considerable uncertainty. Dubrovský et al. (2014) used an ensemble of 16 GCMs to map future drought and elin 763 764 variability in the Mediterranean region. Bağçaci et al. (2021) compared the capacity of the latest release Coupled 765 Model Intercomparison Project Phase 6 (CMIP6) model ensembles in representing near-surface temperature and precipitation of Turkey in comparison with its predecessor CMIP5 to better understand the vulnerability degree of 766 767 y to elimate change. All these studies confirmed the good performance of MME methods in providing 768 probabilistic drought forecasts for 1 to 2 months of lead time- and improving drought onset detectability. However, 769 much effort should be made in selecting the most skilled GCM ensembles in reproducing the large and synoptic 770 scale atmospheric and land-surface conditions associated with drought development in the MEDR. MedR. By 771 prioritizing ensembles that adequately capture the region's distinct climate characteristics, spatial-temporal 772 variability, and land-atmosphere interactions, the MME forecasts can mitigate biases related to key meteorological 773 variables such as temperature or precipitation and significantly improve the precision and reliability of drought 774 predictions (Li et al., 2023; Ahmed et al., 2019).

775 <u>5.2. Coupled hydrological models.</u>

On the other hand, <u>GCMs often struggle to accurately represent some</u> drought relevant variables <u>complex elements</u>
 <u>of the hydrological cycle</u>, such as soil moisture, streamflow, groundwater level, and PET, which are integral parts

778 of the hydrological eyele, are not necessarily well represented. The inherent complexities of these variables and 779 the broad spatial scale of GCMs make it challenging to fully capture their behavior. This gap can limit the effectiveness of GCMs in the GCMs drought prediction and modelling (Balting et al., 2021). SoConsequently, to 780 781 dynamically forecast agricultural and hydrological droughts, the water balance should be correctly simulated by 782 hydrological models forced by climate forecasts (Wanders and Wood, 2016). Among the most used models to 783 forecast hydrological drought, we cite, the Soil and Water Assessment Tool (SWAT) (Arnold et al., 1998), the 784 Variable Infiltration Capacity (VIC) (Liang et al., 1994), and the Community Land Model (CLM) (Oleson et al., 785 2004). These models can incorporate data on soil moisture, vegetation, snow water equivalent, groundwater level, 786 and other initial hydrologic conditions with climate forecasts to simulate the movement of water through the 787 hydrological cycle, including the processes of precipitation, evaporation, infiltration, and runoff. Crop growth 788 models can also be coupled with hydrological models to make an accurate prediction of agricultural drought and

789 its impact on crop yields. <u>(Narasimhan and Srinivasan, 2005; Abhishek et al., 2021)</u>.

790 Coupled hydroclimatic models can improve drought forecasting by allowing for the consideration of feedback 791 between the hydrological and climatological components of the Earth system. Indeed, drought conditions can 792 affect the availability of water for evapotranspiration, which in turn can affect the amount of moisture in the 793 atmosphere and the likelihood of precipitation. By incorporating this feedback into the model, it is possible to 794 produce more accurate forecasts of drought conditions.

795 In a recent study, Brouziyne et al. (2020) combined meteorological and hydrological drought indices (SPI and 796 SDI) with a SWAT model forced by bias-corrected CNRM-CM5 data to predict future droughts under two RCPs 797 (4.5 & 8.5) in Bouregreg watershed, Morocco. They confirmed that using multiple drought indices and a 798 comprehensive water budget indicator such as Total Water Yield provided a valid approach to evaluate drought 799 conditions in a Mediterranean context. Marx et al. (2018) analyzed a multi-model ensemble of 45 hydrological 800 simulations based on three RCPs (2.6, 6.0, and 8.5), five GCMs (CMIP5), and three state-of-the-art hydrological 801 models (mHM, Noah-MP, and PCR-GLOBWB) to investigate how hydrological low flows are affected under 802 different levels of future global warming. Based on the analysis of the results, the authors recommended using 803 multiple hydrological models in climate impact studies and to embrace uncertain information on the multi-model 804 ensemble as well as its single members in the adaptation process.

805

5.3. Long-term drought projection under climate change.

806 As climate change continues to influence drought events in the MedR, it is vital to integrate long-term climate projections into drought forecasting strategies (Tramblay et al., 2020). In this regard, GCMs are essential for 807 808 projecting future climate changes under varying scenarios, such as Representative Concentration Pathways (RCPs) 809 or Shared Socioeconomic Pathways (SSPs¹). Coupled with downscaling techniques, these models offer regionspecific projections of critical climate variables including precipitation, temperature, surface pressure, and winds. 810 811 These projections are instrumental in estimating long-term drought events, facilitating a more comprehensive risk 812 assessment for stakeholders and decision makers. Baronetti et al. (2022) analyzed the expected characteristics of 813 drought episodes in the near (2021–2050) and far (2071–2100) future compared to the baseline conditions (1971–

¹ SSPs are the latest climate change scenarios used in CMIP6. They not only incorporate greenhouse gas emissions scenarios like their predecessor, RCPs from CMIP5, but also integrate socioeconomic factors, such as population growth, economic development, and technological progress. Essentially, SSPs provide a more holistic view of possible future climate scenarios by considering both environmental and societal changes.

2000) for northern Italy using EURO-CORDEX and MedCORDEX GCMs/RCMs pairs at a spatial resolution of 814 815 0.11 degrees for the RCPs (4.5 and 8.5) scenarios. The results indicated that the GCM/RCM pairs performed generally well, while in complex environments such as coastal areas and mountain regions, the simulations were 816 817 affected by considerable uncertainty. Dubrovský et al. (2014) used an ensemble of 16 GCMs to map future drought and climate variability in the MedR. Bağçaci et al. (2021) compared the capacity of the latest release Coupled 818 819 Model Intercomparison Project Phase 6 (CMIP6) model ensembles in representing near-surface temperature and 820 precipitation of Turkey in comparison with its predecessor CMIP5 to better understand the vulnerability degree of 821 the country to climate change. In a study conducted by Cos et al. (2022), the authors compared climate projections 822 from CMIP5 and CMIP6 models to assess the impacts of climate change in the MedR. The findings reveal a robust 823 and significant warming trend across all seasons, with CMIP6 models projecting stronger warming compared to 824 CMIP5. While precipitation changes show greater uncertainties, a robust and significant decline is projected over 825 large parts of the region during summer by the end of the century, particularly under high emission scenarios. 826 (Seker and Gumus, 2022) uses 22 global circulation models from CMIP6 to project future precipitation and 827 temperature changes in the MedR. The MMEs outperform individual GCMs in simulating historical data, and the 828 projections indicate a decrease in precipitation by 15% for SSP2-4.5 and 20% for SSP5-8.5. Table 6 shows the 829 main studies using dynamical models to forecast drought in MedR.

830 Table 6 Main studies using dynamical models to forecast drought in the MEDR MedR.

831 In summary, significant recent advancements in recent years have led to improvements in the accuracy and 832 reliability of dynamical seasonal drought forecasting. Key developments include higher with dynamical models 833 encompass increased climate resolution climate models, enhanced process, improved representation through 834 advanced land surface and hydrological models, and the implementation of of physical processes, improved 835 initialization methods using data assimilation techniques to better incorporate observed data (Liu et al., 2020). 836 Additionally, the adoption of ensemble forecasting methods has improved the assessment of forecast 837 uncertainty(Zhou et al., 2022), use of multi-model ensembles (Wanders and Wood, 2016; Seker and Gumus, 2022), 838 while the integration of coupled climate models has captured the influence of large scale climate patterns on 839 regional drought conditions (Guion et al., 2022). However, they still have some limitations related to 840 computational coupled modeling approaches (Guion et al., 2022), and the development of sub-seasonal to seasonal predictions (Zhou et al., 2021). These steps have contributed to more accurate and reliable drought predictions. 841 842 However, even with these improvements, predicting drought months in advance remains a significant challenge 843 due to the inherent complexity, data requirements, and reduced skill at longer lead times and chaos of the climate 844 <u>system.</u>

845 <u>6</u>Hybrid <u>Statistical-Dynamic Methods</u>

846 6 <u>While statistical-dynamical methods</u>

847 <u>As mentioned above the major limitations of statistical models are related to models, when appropriately fine-</u> 848 <u>tuned, can effectively predict seasonal drought events, a significant limitation arises from</u> the non-stationary

849 relationship between the predictors and predictands and predictors used to forecast drought. Statistical models do

returning between the prediction and predictions and predictions about the return of the state

- 850 not consider elimate changes used in the forecasting process (AghaKouchak et al., 2022). This can limit their ability
- to accurately predict unprecedented drought events, which fall beyond the scope of their historical training data

852 (Hao et al., 2018), means that they may not be able to adequately forecast drought events that have not occurred 853 in the past. While On the other hand, dynamical models can integrate climate change signals through some Shared Socioeconomic Pathways (SSPs) scenarios and can capture are proficient at capturing the nonlinear interactions 854 inamong the atmosphere, land, and ocean, their forecast skill is still limited for a longenhancing their ability to 855 856 detect the onset of droughts (Turco et al., 2017b; Ceglar et al., 2017). However, despite their advanced capabilities, their forecast proficiency is generally constrained to a few months of lead time due to the inherent uncertainty in 857 predicting future events. (Turco et al., 2017b). To address the shortcomings associated with seasonal forecasting 858 859 skills, hybrid models employ statistical or machine learning methods to merge a broad variety of forecasts from 860 statistical and dynamical models into a final probabilistic prediction product (Slater et al., 2022). The frequently 861 used merging methods include the regression analysis, BMA, and Bayesian post-processing method (Hao et al., 862 2018; Strazzo et al., 2019; Han and Singh, 2020; Xu et al., 2018). The BMA method involves the estimation of 863 the posterior probability density function (PDF) of model parameters based on the observed data and using this PDF to weight each individual model forecast (Tian et al., 2023). The hybrid forecast is then generated as the 864 865 weighted average of the individual forecasts from statistical and dynamical models. The BMA weights estimation with simultaneous model uncertainty quantification can also be used in selecting the best-performing ensemble 866 867 members to reduce the cost of running large ensembles (Raftery et al., 2005). There is also an opportunity to 868 enhance the probabilistic seasonal forecast skill through Bayesian post-processing methods such as the Calibration, 869 Bridging, and Merging (CBaM) technique (Schepen et al., 2014; Schepen et al., 2016; Strazzo et al., 2019). The 870 calibration step consists in optimizing the dynamical forecasts from multiple GCMs by analyzing their correlation 871 to observed data through a statistical model. In the bridging step, the dynamical forecasts from GCMs are calibrated 872 using some large-scale climate indices (e.g., ENSO, NAO, PDO, AO), and finally, the merging component 873 combines the forecasts of the two previous steps.

874 These hybrid statistical-dynamical models combine the strengths of both modeling approaches and offer several 875 advantages compared to either statistical or dynamical models alone. Thereby, seasonal drought forecasting using hybrid models has recently become an active area of research (Madadgar et al., 2016; Strazzo et al., 2019; 876 877 AghaKouchak et al., 2022). In On global scale, Yan and Wood (2013) analyzed the MEDR, capability of seasonal 878 forecasting of global drought onset and found that despite climate models increasing drought detection, a 879 significant proportion of onset events are still missed. Their findings underscore the urgent need for implementing 880 reliable, skillful probabilistic forecasting methods to better manage the inherent uncertainties and potentially 881 improve drought predictability. Dutra et al. (2014) confirmed that the uncertainty in long lead time forecasts 882 suggested that drought onset might fundamentally be a stochastic problem. Mo and Lyon (2015) also found that 883 improvements in near-real-time global precipitation observations could yield the most substantial advances in 884 global meteorological drought prediction in the near term. This reinforces the notion that the effectiveness of 885 dynamical models is fundamentally associated to the quality of initial data and the inherent stochastic nature of drought onset. 886 887 In line with these findings, a unique approach was undertaken by Ribeiro, and Pires, (2016) in the MedR. They

proposed a two-step hybrid scheme combining that combines dynamical model forecasts from the UK Met Office
 (UKMO) operational forecasting system and with past observations as predictors on in a statistical downscaling

890 approach based on MLR models to forecast model for long-range regional drought index SPI forecasting in Portugal

(Table 7). They concluded that hybridization improves drought forecasting skills in comparison to purely
 dynamical forecasts.

893 Table 7 Main studies using hybrid statistical-dynamical models to forecast drought in the MEDRMedR.

894 Moreover, the Leveraging these advantages of hybrid statistical-dynamical models-make, the prediction of flash 895 droughts has become possible. Indeed, these events can develop rapidly by a quick decline in soil moisture and 896 streamflow that may cause devastating economic and ecological impacts in a short period (from a few days to 1-897 2 months) (Mo and Lettenmaier, 2015) which makes them, particularly challenging to forecast. By providing a 898 more nuanced understanding of the drought contributing factors, hybrid statistical-dynamical models help to 899 identify potential warning signs of an imminent drought event, improve drought early warning systems, and 900 reduce false alarm rate of drought onset (Xu et al., 2018).), thus tackling some of the limitations and challenges 901 highlighted in the earlier studies.

902 7 Discussion

903 7.1. Drought types and indices

The indices adopted by the surveyed studies were grouped according to three distinct drought categories: meteorological, agricultural, and hydrological. Figure 3 illustrates the percentage of usage for each index by category. Meteorological droughts were the most common, appearing in 63.00% of the examined studies, followed by agricultural droughts with approximately 22.220%, whereas hydrological droughts were the least prevalent, making up only 14.880%.

909 The SPI was the primary indicator, used in 70.59% of meteorological drought studies. But it also served as an 910 indicator for hydrological and agricultural droughts, with usage rates of around 25% and 8.33%, respectively. 00%

911 and 8.33%, respectively.

912	Despite the apparent versatility of the SPI, its reliance on precipitation data limits its ability to account for other
913	influential factors such as evapotranspiration, soil moisture, land usage, and water management practices.
914	Consequently, an overemphasis on the SPI could potentially constrain our comprehension of drought phenomena
915	in the MedR. To enrich this understanding, it is recommended to incorporate a broader range of indicators and
916	models that include a more diverse set of variables. By fitting a probability distribution to observed precipitation
917	data, the SPI is calculated and subsequently transformed into a standard normal distribution with a mean of 0 and
918	a standard deviation of 1 (Livada and Assimakopoulos, 2007). Consequently, SPI values can be compared across
919	various regions and timeframes (e.g., 1, 3, 6, 12, or 24 months). This multiscale nature of SPI enables it to capture
920	diverse aspects of drought depending on the selected time seale. The shorter time seales (1-3 months) are suitable
921	for monitoring agricultural drought, while longer time scales (6-12 months or more) are better suited for evaluating
922	hydrological drought-It is important to recognize, however, that the SPI does not consider other factors influencing
923	drought, such as evapotranspiration, soil moisture, land use, and water management practices. In regions with high
924	temperatures and evapotranspiration rates like the Mediterranean, the SPI may not offer a comprehensive
925	assessment of drought conditions.
926	Using multivariate drought indices likesuch as the SPEI, PDSI, and or sc-PDSI, or alternatively, a combination of
927	multiple indices, can belp account for contribute to a more comprehensive view by including regional feedback

- 928 <u>mechanisms in the forecast process and better assess the impact. This approach also enhances our capacity to</u> 929 evaluate the impacts of global warming on drought severity and intensity in MEDR (the MedR (see Marcos-Garcia
- 930 et al., 2017; Gouveia et al., 2017).
- 931 Figure 3 Pie chart showing the proportion of use of indices <mark>in the MEDR</mark>surveyed studies in MedR for different drought 932 types.
- 933 On the other hand, SDI was the most applied index in hydrological drought studies in the MEDRMedR (37.550%). 934 It is calculated by comparing the current streamflow to the long-term average or median streamflow for a specific 935 location and time of year (Nalbantis & Tsakiris, 2009). Despite its usefulness, there are some limits to using SDI 936 in MEDRMedR. Indeed, this region is known for highly variable climates with strong seasonality (wet winters and 937 dry summers) and the presence of transient streams or intermittent rivers that flow only during and after rainfall 938 events, especially in sub-humid and semi-arid areas. Groundwater recharge principally occurs during the wet 939 season, when precipitation infiltrates the soil and replenishes aquifers (Scanlon et al., 2002). In these regions, the 940 SDI may not provide an accurate representation of the hydrological drought as it relies solely on streamflow data. 941 Therefore, the use of SDI should be done in combination with other drought indices that consider variables such 942 as groundwater, soil moisture, runoff, and regional variations in precipitation and streamflow patterns for accurate 943 hydrological drought assessment.
- One can notice from Fig. 3 that the agricultural drought studies are characterized by more diversity of indices. This diversity can be explained by the varied range of agro-climatic conditions that characterize the <u>MEDRMedR</u>, including a wide range of soil types, topography, and vegetation cover. These diverse conditions can result in varying impacts of drought on agricultural production, which require different drought indices to accurately capture the extent and severity of the drought. In addition, the <u>MEDRMedR</u> is also home to a diverse range of crops, each with different sensitivities to drought (Fereres & Soriano, 2007). This diversity of crops can require different indices to assess the impact of drought on each crop.
- 951 Overall, a suitable index should be able to capture the impacts of drought, detect changes over time, and952 differentiate between different levels of severity, while also being accurate and easily interpretable by stakeholders.
- 953 7.2. Drought forecasting accuracy

Key obstacles in drought modeling include the absence of a one-size-fits-all model, choosing suitable inputs,
determining an index that accurately represents drought tracking in various regions, and the uneven geographical
influence that leads to discrepancies in model accuracy (Mishra & Desai, 2005; IPCC, 2012). Consequently,
contrasting different methodologies is crucial for developing a reliable prediction model.

The accuracy of drought prediction depends on various factors such as the quality and availability of data, spatial and temporal scales, prediction lead time, and model complexity, to cite but a few (Wilhite et al., 2014; Mishra & Singh, 2010). For consistency, this analysis only includes studies that use R² as evaluation criteria of the forecast with a lead time of 1 month. Joint probability models were excluded from this analysis since the accuracy evaluation criteria were different. Moreover, the concept of lead time is not addressed in the majoritymost of the surveyed studies. It is also important to note that this analysis does not include hybrid statistical-dynamical models, as the number of studies applying this approach in the <u>MEDRMedR</u> was quite limited. Consequently, the available

- research is insufficient to offer a comprehensive understanding of the applicability and effectiveness of thesemodels in the region.
- Figure 4 Box and whiskers plot showingto show the performance of drought prediction models denoted by the
 coefficient of determination (R²) for the surveyed studies in MEDR.
- 969 Figure 4 shows a box and whisker plot of drought forecasting model accuracy based on R² in the surveyed studies
- 970 in the MEDR. MedR. The lower box shows the 2525th percentile, the upper box shows the 75 percentile and the median
- 971 (50th percentile) is represented by the black line inside the box. The whiskers show the extent to the minimum and
- 972 maximum values within 1.5 times the interquartile range (IQR) from the box.
- 973 Figure 4 shows a box and whisker plot of drought forecasting model accuracy based on R² in the surveyed studies
- 974 <u>in the MedR (see table1 in Appendix).</u> According to the graph, hybrid models appear to be the most accurate and
- 975 consistent, with the highest median and shortest box height. Markov chains and AI models also have relatively
- short box heights, indicating high agreement and accuracy across studies. Meanwhile, dynamical and regression
- 977 models exhibit moderate to high accuracy (both have median equal to 0.79), but the height of the dynamical model
- 978 box is shorter than that of the regression models, suggesting greater consistency. Time series models also show
- 979 moderate to high accuracy, with a median equal to 0.82.
- 980 Nonetheless, Fig. 4 provides valuable information about the relative performance of different models across 981 multiple studies in the MEDRMedR. The consistently high median of hybrid models suggests that they are 982 particularly effective for drought forecasting in the region. Similarly, the consistent performance of the AI and 983 Markov chain models, suggests that these models also show promise. The variability in the performance of the 984 regression, and the time series, as indicated by their taller boxplots, suggests that there may be more variability in 985 the effectiveness of these models across different studies and regions. The results also show that dynamical models 986 can provide valuable insights into drought conditions. However, the high variability in their performance, suggests 987 that there may be room for improvement in the development and implementation of these models in MEDRMedR.
- 988 This analysis concludes that simple statistical models such as Markov chains, regression, and time series can still 989 be useful in some situations and are generally more transparent and easier to interpret. For example, when focusing 990 on a single variable to forecast drought (e.g., precipitation using SPI), simple models like ARIMA can effectively 991 capture the temporal patterns and provide reasonable forecasts. Or, when drought conditions can be effectively 992 represented by discrete states or categories, Markov chains can be employed to model the transition probabilities 993 between these states and forecast future drought conditions (Habibi et al., 2018; Nalbantis and Tsakiris, 2009; 994 Paulo and Pereira, 2007). Also, when working with a limited number of variables and moderate interactions, 995 simple regression models like linear or logistic regression can provide adequate predictions of drought conditions 996 (Sharma et al., 2017). The effectiveness of simple models in these situations depends on the specific context and 997 the data quality and quantity. When more complex relationships or high-dimensional data are involved, it may be 998 necessary to employ more advanced models like dynamical models or combine simple models with techniques 999 like machine learning, copulas, or hybrid approaches to improve forecasting performance. Hybrid statistical-1000 dynamical models present a promising avenue for enhancing forecast accuracy, particularly for extended lead 1001 times and in situations where intricate processes and interactions are critical (AghaKouchak et al., 2021; Mehran 1002 et al., 2020; Madadgar et al., 2016). The relatively nascent emergence of these hybrid techniques has resulted in a 1003 limited number of studies applying them in the MEDRMedR. This can be ascribed to factors such as data

1004 constraints, computational complexity, and model uncertainty. Moreover, proficiency in both statistical and 1005 dynamical modeling is needed, and interdisciplinary cooperation is frequently deficient. Notwithstanding these 1006 challenges, there is an increasing interest not only in refining drought forecasting abilities, with<u>enhancing</u> 1007 traditional dynamical models but also in the prospect of wider adoption development and utilization of hybrid 1008 models-as. As research advances progresses and resources become more accessible, these hybrid models may see 1009 wider adoption for their potential to improve predictive accuracy.

1010 7.3. Spatial and Temporal Scales of Drought

Figure 5 displays the spatial and temporal scales of drought forecasting studies in the MEDRMedR with a pie chart indicating the percentage of use of drought forecasting method: statistical, dynamical, and hybrid statistical models for each spatiotemporal scale. This figure shows that the number of droughts forecasting studies tends to decrease as the spatial scale increases and increases as the time scale increases. We can also notice from this figure that the majority of studies in the MEDRMedR focused on the local scales (e.g., city or catchment), particularly at annual and seasonal time scales. In contrast, very few studies were conducted at the MEDRMedR scale, and only a few studies were conducted at the country scale.

1018Figure 5 Spatial and temporal scales of drought forecasting studies in the Mediterranean region with <u>a</u> pie chart1019indicating the percentage of use of drought forecasting method: statistical, dynamical, and hybrid statistical models for1020each spatiotemporal scale.

1021 When considering the spatial scale, drought forecasting becomes more challenging at larger scales due to various 1022 factors. One of the major challenges is the complexity of the interactions between different factors that contribute 1023 to droughts, such as precipitation, temperature, soil moisture, and vegetation cover (Sheffield & Wood, 2011). 1024 These interactions are nonlinear and difficult to capture accurately, especially at larger scales where there are more 1025 variability and heterogeneity (AghaKouchak et al., 2015). For instance, at the country scale, there could be 1026 different microclimates, topography, and land use practices that affect these factors differently (Vicente-Serrano 1027 et al., 20102010a). This heterogeneity tends to increase as the spatial scale increases, making it harder to calibrate 1028 and validate drought forecasting models. On the other hand, the small number of studies that focused on large 1029 geographic areas is probably due to the challenge of data availability and homogeneity, which arises due to 1030 limitations in data collection and standardization, particularly at larger spatial scales (Dai, 2011). This can lead to 1031 incomplete or inconsistent datasets, which in turn can impact the accuracy of drought forecasting models. Remote 1032 sensing technologies can provide a solution to this problem by allowing for the collection of large-scale, high-1033 resolution data that can improve the accuracy of forecasting models (Gouveia et al., 2017). The role of remote 1034 sensing data in improving drought prediction will be further discussed in sect. 8.2.

When considering the time scale, the number of droughts forecasting studies tends to increase as the scale increases. Drought research often emphasizes seasonal, annual, or decadal scales due to various factors. The slowonset nature of droughts necessitates studying their progression and recovery over extended periods (Mishra & Singh, 2010). Investigating longer time scales also allows researchers to analyze the impact of large-scale climate drivers, such as ENSO or NAO, on drought events (Dai, 2011). Moreover, focusing on these time scales enables a better assessment of drought consequences on water resources, agriculture, and ecosystems, which are more pronounced over extended periods (Wilhite & Pulwarty, 2017). Additionally, data availability and reliability tend to be higher for longer time scales, facilitating more robust analyses. Long-term trends and climate change impactson droughts can also be better understood at longer time scales (Trenberth et al., 2014).

1044 Notably, only one study focused on the weekly time scale. Drought forecasting at small scales or weekly time scales offers several advantages, including early warning and improved water management (Pulwarty & 1045 1046 Sivakumar, 2014), quick response to flash droughts (Mo & Lettenmaier, 2015), support for agricultural decision-1047 making (Hansen et al., 2011), improved accuracy of longer-term forecasts (Yuan et al., 2015), and model 1048 improvement and validation (Wood et al., 2016). However, drought forecasting at such a small scale may be more 1049 challenging due to the chaotic nature of the atmosphere, making it difficult to accurately model complex 1050 interactions between atmospheric conditions, land surface characteristics, and water management practices over 1051 short periods (Lorenz, 1963; Seneviratne et al., 2012).

1052 On the other hand, the most commonly used forecasting methods were statistical and hybrid statistical models, 1053 with only a few studies applying dynamical models and the percentage of studies applying this last approach 1054 increases with an increase in the temporal scale. There could be several reasons for these findings. Dynamical 1055 models require large amounts of high-quality input data, which may not be readily available for the MEDRMedR 1056 due to limitations in historical data and spatial coverage (Giorgi & Lionello, 2008). Statistical and hybrid statistical 1057 models often have lower data requirements and are generally computationally more efficient than dynamical 1058 models, making them more suitable for regions with limited data availability and computational constraints. 1059 Furthermore, the percentage of studies applying dynamical models increases with an increase in the temporal scale 1060 because these models are better suited for capturing long-term climate variability and the influence of large-scale 1061 climate drivers (Dai, 2011; Sheffield et al., 2012). Statistical and hybrid statistical models, conversely, are more 1062 effective at capturing short-term variability and local-scale processes, which are often more relevant for drought 1063 forecasting in the Mediterranean region MedR (Mehran et al., 2014). Lastly, data availability at shorter temporal 1064 scales can be a limiting factor for developing and validating dynamical models (Shah et al., 2018).

1065 In summary, while increasing the spatial scale can decrease the accuracy of drought forecasting studies, increasing 1066 the time scale can improve the accuracy by allowing for a more comprehensive understanding of the various factors 1067 that contribute to drought conditions. It is essential to consider both spatial and temporal scales when conducting 1068 drought forecasting studies to ensure the most accurate predictions possible.

1069 8 Challenges and Future Prospects

1070 In the earlier discussion, we analyzed drought indices, factors affecting the accuracy of drought forecasts, and the 1071 significance of spatial and temporal scales in drought predictions within the <u>MEDRMedR</u> context. Building on 1072 this understanding, the following sections will focus on the challenges and prospects within the realm of drought 1073 forecasting, which will help to pinpoint potential avenues for progress and innovation in this area.

1074 8.1. Data Assimilation

1075 The lack of in-situ measurement networks and coarse global seasonal forecast skills 1076 has hindered drought forecasting facilities, especially in data-poor regions (Pozzi et al., 1077 2013; Haile et al. 2020). In this regard, Data Assimilation (DA) provides a powerful approach to enhancing drought 1078 forecasting accuracy by incorporating different observations and climate forecasts into a hydrologic model to 1079 generate more precise initial conditions (Hao et al., 2018; Tang et al., 2016). Therefore, many studies have referred 1080 to this method to better forecast hydroclimatic variables (e.g., Bazrkar and Chu, 2021; Peng, 2021; Xu et al., 2020; Liu et al., 2019; Steiger et al., 2018; Steiger and Smerdon, 2017). The ensemble Kalman Filter (EnKF) (Evensen, 1081 1082 1994) algorithm is one of the most popular DA techniques applied by the hydrologic community. However, this 1083 assimilation method is subject to some inherent drawbacks especially in nonlinear dynamic systems thus resulting 1084 in suboptimal performance and violation of water balance (Abbaszadeh et al., 2018). Given these limitations, 1085 emphasis should be placed on the development of improved DA algorithms better adapted to hydrologic models, 1086 which allow the modeling of different temporal and spatial scales and the improvement of water balance. This can 1087 be achieved by modifying the standard approaches such as the ensemble Kalman filter or variational algorithms 1088 so that, accurate predictions can be obtained at a reasonable computational cost. These include among others hybrid 1089 EnKF-Var methods (Bannister, 2017; Bergou et al., 2016; Mandel et al., 2016) and AI algorithms for ensemble 1090 post-processing (Grönquist et al., 2021). One recent advance in data assimilation techniques for drought 1091 forecasting is the use of machine learning algorithms to improve the accuracy of predictions. For example, 1092 researchers have used machine learning techniques to develop models that can analyze large amounts of data from 1093 a variety of sources and generate more accurate forecasts of drought conditions (Aghelpour et al., 2020; Rhee and 1094 Im, 2017; Feng et al., 2019). These models can also be updated in real- time as new data becomes available, 1095 allowing for more accurate and up-to-date forecasts. Another advance in data assimilation techniques for drought 1096 forecasting is the use of remote sensing data and reanalysis to improve the accuracy of predictions, which may be 1097 particularly beneficial in areas where ground-based observations are limited (Shahzaman et al., 2021b; Shi et al., 1098 2011).

1099

8.2. Remote Sensing and Reanalysis

Various challenges in drought modeling in the MEDRMedR are related to data availability. The lack of climatic and hydrological observations in ungauged catchments, low station density, short data records, data gaps, and limited data access in some Mediterranean countries. All these challenges can limit the accuracy and reliability of drought predictions. FindingAlthough many efforts are being deployed by developing new complete datasets in the MEDR (Tuel and El Moçayd, 2023), finding alternative data sources and modeling techniques is essential to tackle these challenges.

1106 Remote sensing data can provide real-time information about the Earth's surface facilitating effective drought 1107 forecasting, monitoring, and early warning (Zhang et al., 2016). Agricultural drought can be assessed by analyzing 1108 changes in vegetation cover over time. Indeed, drought can lead to marked changes in the health and vigor of 1109 vegetation, and these changes can be detected using remote sensing data (Belal et al., 2014). By analyzing changes 1110 in vegetation greenness over time, it is possible to identify areas that are experiencing or are at risk of experiencing 1111 drought stress. Moreover, drought conditions related to vegetation or evapotranspiration can also be monitored 1112 with drought indices from remote sensing products, such as NDVI or Evaporation Stress Index (ESI) (Shahzaman 1113 et al., 2021a). Microwave satellite data can also be used to estimate soil moisture levels during crop growing 1114 season, which can be used to predict and monitor potential agricultural droughts (Le Page and Zribi, 2019; Yuan 1115 et al., 2015).

In addition, satellite observations of precipitation and soil moisture such as IMERG (Huffman et al., 2015),
 PERSIANN-CCS (Sadeghi et al., 2021), CHIRPS (Funk et al., 2015), and SMAP (Entekhabi et al., 2010), MSWEP

- 1118 <u>V2 (Beck et al., 2019), GLEAM v3 (Martens et al., 2017), and DROP (Turco et al., 2020)</u> can be used in 1119 conjunction with the in-situ observations and ground-based radar observations data to fill observational gaps.
- 1120 Moreover, data from numerical weather forecasting reanalysis such as ERA5-land were used instead or along with 1121 direct observations to forecast drought in many studies (Babre et al., 2020; Junqueira et al., 2022; Parker et al., 1122 2021). ERA5-land is a state-of-the-art global reanalysis dataset that can provide a consistent view of the evolution 1123 of land variables (e.g., precipitation, temperature) over several decades at an enhanced resolution (~10km10 km). 1124 This product obtained by assimilating observations through a 4D-VAR data assimilation technique can be used as 1125 ground truth in data-poor regions. For example, ERA5-land can be used to calibrate and validate climate forecasts 1126 and to choose an ensemble of the most skilled GCMs in reproducing the actual observed climate in a specific 1127 region.
- Similarly, SAFRAN, a high-resolution meteorological reanalysis, has shown its utility in regions with sparse observational data. Tramblay et al. (2019) used SAFRAN to generate a high-resolution (5 km) gridded daily precipitation datasets for Tunisia between 1979 and 2015. Their study, which combined data from 960 rain gauges with the SAFRAN analysis, demonstrated that SAFRAN surpassed other standard interpolation methods like Inverse Distance, Nearest Neighbors, Ordinary Kriging, or Residual Kriging with altitude. The outcome was a highly accurate gridded precipitation dataset that could be instrumental for climate studies, model evaluation, and
- 1134 hydrological modeling to support the planning and management of surface water resources.
- Finally, remote sensing data and reanalysis remain valuable tools for drought forecasting and monitoring, as it provides timely land surface information that can fill the observational gaps, help to identify areas at risk of potential drought conditions and to monitor the progression of drought over time.
- 1138

8 8.3. Uncertainty analysis in drought forecasting

In spite of the large number of studies that have been carried out on the probabilistic characterization of drought, the quantification of uncertainty of these forecasts is still ignored in major studies. Uncertainty analysis is an important aspect of probabilistic drought forecast, as it allows users to understand the degree of confidence associated with the forecasted probabilities (Hao et al., 2016; Dehghani et al., 2014). Therefore, more efforts should focus on quantifying the uncertainty beyond just an ensemble of model simulations (AghaKouchak et al., 2022).

1145 Drought forecasting is subject to epistemic and aleatory uncertainties. The first one arises from incomplete 1146 knowledge of drought processes and can be reduced with improved understanding, more data, and good models' 1147 calibration and validation. The second one is related to the inherent variability and randomness in natural systems 1148 and is often difficult to reduce (Pappenberger & Beven, 2006). In addition, uncertainties in drought forecasting can vary by region, spatial scale, and temporal scale. As we discussed in sect. 7.3, even well calibrated and 1149 1150 validated, the drought forecasting model will not necessarily perform equally well in all periods or locations. By 1151 considering the uncertainty of the drought model as a nonstationary process in space and time, researchers can 1152 gain new insights into the variability of uncertainty and its underlying causes (AghaKouchak et al., 2022). This perspective can help identify regions or periods where the uncertainties are particularly high, which can guide 1153 1154 further research, data collection, and model development efforts. Additionally, understanding the space-time 1155 variability of uncertainty can inform the development of more robust and reliable forecasting and decision-making 1156 approaches that account for the changing nature of uncertainty.

1157 Various techniques can be employed to quantify drought forecast uncertainty, including ensemble forecasting 1158 (Palmer et al., 2004), Bayesian methods (Vrugt et al., 2008), sensitivity analysis (Saltelli et al., 2008) and 1159 probabilistic forecasting (Gneiting et al., 2005). Probabilistic drought prediction can also involve the use of data 1160 assimilation techniques to integrate different data sources, including remote sensing data, ground-based 1161 observations, and output from meteorological and hydrological models. Lately, hybrid statistical-dynamical 1162 models have shown their potential in reducing uncertainties associated with both statistical and dynamical methods 1163 (Yuan et al., 2015; Madadgar et al., 2016). For example, shortcomings in dynamical model physics or data can be 1164 counterbalanced by the empirical associations in statistical models. While, uncertainties in statistical models 1165 resulting from shifting climate conditions can be tackled by the physically-based dynamical models (Yuan et al., 1166 2015).

- 1167 In summary, probabilistic drought prediction with uncertainty analysis can be useful tools for decision-_makers, 1168 as they provide a more comprehensive view of the potential impacts of drought and allow for more informed risk management decisions. However, what is missing in the current drought forecasting models is not just the 1169 1170 uncertainty quantification, but also a lack of awareness of it (AghaKouchak et al., 2022).
- 1171

8.4. Drought Information Systems

1172 A critical component of proactive approaches to drought preparedness is providing timely and 1173 reliable climate information, including seasonal forecasts, that helps decision- makers prepare 1174 management policies (Manatsa et al., 2017). Identifying drought risk timely depends on our 1175 ability to monitor and forecast its physical causing mechanisms at the relevant spatiotemporal 1176 scale. integrated national drought monitoring and early warning system been An has 1177 implemented in many regions and countries such as the United States, New Zealand, South Asia, India, and Europe (Prabhakar and Rama, 2022) but has not taken place until recently in developing countries (e.g., the Southern and 1178 1179 Eastern Mediterranean countries). This is probably due to the lack of a drought information system, the sparse 1180 observation networks, and the low predictability of seasonal precipitation in these countries. To overcome these 1181 limitations, there is a need for developing a Drought Information System with a complete approach allowing data 1182 collection and preprocessing, accurate probabilistic drought risk prediction using a combination of ensemble 1183 climate seasonal forecasts, ground-based observations, reanalysis, conventional and remote-sensing observations, 1184 Artificial Intelligence, Data Assimilationartificial intelligence, data assimilation and hydrological models and 1185 drought information dissemination through a web-based Drought Early Warning System (DEWS).

1186 9 Conclusions

1187 This study reviewed the recent statistical, dynamical, and hybrid statistical-dynamical methods used to forecast 1188 droughts and their application on the MEDRMedR. Drought definitions, classification, indices, and causative 1189 physical mechanisms were also presented in the context of the MEDRMedR. The main conclusions of this review 1190 are:

1191 There are only a few studies on the analysis of physical mechanisms causing droughts in the MEDRMedR. 1. 1192 The review of these studies confirmed that seasonal drought predictability skills are still very limited over 1193 the region due to its relatively poor teleconnection with ENSO compared to the tropical and subtropical 1194 regions. Besides, MEDRMedR is strongly influenced by other climate patterns, such as the NAO, regional 1195 MO, ULMOi, and NAWA which can also affect the region's weather and climate but their relationship to 1196 drought onset is rather weak and could not explain major droughts in the region. Land surface memory can 1197 also contribute to the predictability of seasonal and sub-seasonal droughts. Thereby, an accurate 1198 representation of these land-atmosphere processes is needed to improve drought forecasting skills in mid-1199 latitude regions such as the Mediterranean.

- 1200 2. Statistical models were largely used to forecast droughts in the MEDRMedR. One of the major limitations 1201 of these models is that they often assume a stationary relationship between the predictors and the 1202 predictands which can lead to potentially inaccurate forecasts. In this regard, AI models such as SVR, SVM, 1203 and ANN have proven good capacity in detecting local discontinuities and non-stationary characteristics of 1204 the data and show satisfactory forecasting skills at less than 6 months lead time. Moreover, sophisticated 1205 statistical models, incorporating a data pre-processing technique such as wavelet analysis, EMD, or PCA 1206 with AI models have proven to be more efficient than using a single model and can extend the lead time of 1207 the drought forecast up to 12 months. The copulas can also provide valuable insights into the complex 1208 relationships between different drought predictors. The use of copulas enables a more in-depth analysis of 1209 the nonlinear dependencies between variables such as temperature, precipitation, and soil moisture, yielding 1210 a more comprehensive understanding of the factors that contribute to drought risk in a specific region. This 1211 leads to a more sophisticated and reliable forecast of drought probability. Thus, copulas are a highly useful 1212 resource in the ongoing effort to understand and manage the consequences of drought.
- 1213 Dynamical models can, given their ability to capture the nonlinear interactions inacross the 3. atmosphere, land, and ocean, but their forecast skill is still limited offer considerable potential for a long 1214 1215 lead time due to themore accurate and reliable seasonal drought predictions. However, the inherent 1216 chaotic nature of the atmosphere. In addition, the reliability of the restricts their forecast skill to a few months in advance. The dynamical models is related to the quality of data used to drive hydrological 1217 models (e.g., initial hydrologic condition and downscaleddrought forecasting has seen notable 1218 advancements, such as enhanced climate forecasts) and the quality of the model calibration and validation 1219 1220 which also depends on the quantity and quality of observations used in these resolution, refined 1221 representation of physical processes. On the other hand, climate predictions from a single GCM cannot 1222 represent all the elimate pathways. Therefore, more efforts should focus on the probabilistic estimation. 1223 improved initialization methods, the application of multi-model ensembles, and the development of coupled modeling approaches. These developments have indeed bolstered the accuracy and reliability 1224 of drought predictions. Nevertheless, the implementation of climate variables, which involves 1225 1226 uncertainty quantification on various GCMs as ensemble members, these models in the MedR is constrained by challenges such as limited data availability, computational complexity, and inherent 1227 model uncertainties. 1228

4. Hybrid statistical-dynamical models can be promising tools to potentially enhance the accuracy and reliability of drought forecasting in the <u>MEDRMedR</u>. By merging a broad variety of forecasts from

- statistical and dynamical models into a final probabilistic prediction, hybrid models benefit from the strengths of both modeling approaches and improve the forecast skill compared to an individual model. But their applicability remains challenging due to several constraints. Indeed, the hybrid model may require careful calibration and validation to ensure that they are performing optimally which can be timeconsuming, requiring a large amount of data, specialized expertise, and high computational resources.
- 1236 5. One of the major challenges in drought forecasting in the MEDRMedR is the lack of long-term, high-1237 quality hydroclimatic observations to convey the nonstationary patterns and the variability of the climate. In addition, hydrologic model predictions are often poor, due to model initialization, parametrization, and 1238 1239 physical errors. To address these challenges, it is important to improve the availability and quality of data 1240 for drought forecasting in this region. This could involve implementing better monitoring systems and increasing the number of weather stations in the region. In addition, efforts should be made to improve the 1241 1242 performance of drought forecasting models by using more advanced data assimilation and machine learning 1243 techniques and to incorporate data from other sources such as state-of-art satellite observations and 1244 reanalysis with relatively high spatiotemporal analysis to provide a superior hydrologic and climate states 1245 estimate and consequently a skillful agricultural and hydrological drought forecasting.
- 1246 6. Drought mapping is the final stage in which drought risk information is disseminated and communicated to end users. Major studies in the Mediterranean regionMedR analyze drought risk using some drought 1247 1248 indices without applying a visualization via maps or presenting the risk on a single map showing the overall 1249 risk situation. An informative visualization of results via probabilistic drought risk maps with regard to 1250 cartographic rigor is recommended is recommended, whereby color gradations or contouring are used to 1251 effectively illustrate the range of probabilities. Ensuring cartographic rigor, such maps should maintain 1252 spatial accuracy, use appropriate scaling, and include a clearly defined legend to decrypt different 1253 probability levels. Uncertainties related to drought modeling and prediction also need to be perspicuously 1254 defined, discussed and communicated to increase the intelligibility and comprehensibility of decision-1255 makers, farmers, and other end users.
- Finally, much effort should be done to improve the communication and dissemination of drought forecasts
 which can help in extending their lead time by ensuring that decision-makers and stakeholders have access
 to the most up-to-date information.

1259 Index of Acronyms

Adaptive neuro-fuzzy inference systems (ANFIS) Akaike's Information Criterion (AIC) Anderson-Darling (AD) Artificial neural network of multilayered perceptron (ANN-MLP) Asymmetric Power Autoregressive Conditional Heteroskedasticity (APARCH) Atmospheric water deficit (AWD) Automated Statistical Downscaling (ASD) AutoRegressive (AR) Autoregressive Conditional Heteroskedasticity time series of order 1 (ARCH)

Autoregressive integrated moving average (ARIMA) Autoregressive moving average (ARMA) Autoregressive moving average time series of order (11) (ARMA) Autoregressive moving average time series of order 1 (MA1) Autoregressive moving average time series of order 2 (MA2) Autoregressive time series of order 1 (AR1) Autoregressive time series of order 2 (AR2) Bagging (BG) Bagnouls-Gaussen aridity index (BGI) Bayesian Information Criterion (BIC) Breaks for Additive Season and Trend (BFAST) Coefficient of efficiency (CE) Convolutional neural network long short-term memory (CNN-LSTM) Co-ordinated regional climate downscaling experiment for the Mediterranean area (MedCORDEX) Corrected and unbiased trend-free-prewhitening (TFPWcu) Coupled Model Intercomparison Project (CMIP) Cramers-von Mises (CvM) Crop moisture index (CMI) Drought class transition probabilities (DCTP) Empirical Mode Decomposition (EMD) Exponential General Autoregressive Conditional Heteroskedasticity time series of order (11)) (EGARCH) False alarm ratio (FAR) Frequency bias (FB) Generalized Autoregressive Conditional Heteroskedasticity time series of order (11) (GARCH) Geometric Brownian Motion (GMB) Geometric Brownian Motion time series model with asymmetric Jumps (GBMAJ) Global Historical Climatology Network-Monthly (GHCN) Global Land Data Assimilation System (GLDAS) Groundwater Resource Index (GRI) Growing season minimum and maximum values (gsmm) Hadley Centre Coupled Model version 3(HadCM3) Kolmogorov-Smirnov (K-S) Land Surface Temperature (LST) Maximum likelihood methods (MLIKE) Mean absolute error (MAE) Mean error (ME) Model output statistics (MOS) Moderate Resolution Imaging Spectroradiometer (MODIS) Modified Fournier Index (MFI) Monthly average relative humidity (MARH) Monthly mean solar radiation (MMSR) Moving average (MA) Multiple Linear Regression (MLR)

National Center for Atmospheric Research (NCAR) National Centers for Atmospheric Prediction (NCEP) National Oceanic and Atmospheric Administration (NOAA) NDVI anomaly index (NDVIA) Non-linear AutoRegressive with eXogenous inputs (NARX) Normalized Difference Vegetation Index (NDVI) Normalized Difference Water Index (NDWI) North Atlantic Oscillation (NAO) Pedotransfer functions (PTF) Periodic autoregressive (PAR) Periodic autoregressive moving average (PARMA) Principal component analysis (PCA) Probability of detection (POD) Probability of false detection (POFD) Proportion of correct predictions (PC) Random forest (RF) Random subspace (RSS) Random tree (RT) Reconnaissance Drought Index (RDI) Root mean squared error (RMSE) Sea Surface Temperature (SST) Seasonal-ARIMA (SARIMA) Soil and Terrain Database (SOTER) Soil Moisture (SM) Soil Moisture Agricultural Drought Index (SMADI) Soil Moisture and Ocean Salinity (SMOS) Soil moisture anomaly index (SMAI) Soil Moisture Deficit Index (SMDI) Soil moisture percentiles (Wp) Soil Water Deficit Index (SWDI) Soil Wetness Deficit Index (SWetDI) Standardized Water-Level Index (SWI) Streamflow drought index (SDI) Support vector Regression (SVR) Temperature Condition Index (TCI) The Second Generation of Canadian Coupled General Circulation Model (CGCM2) Vegetation Condition Index (VCI) Vegetation Health Index (VHI) Wavelet Analysis (WA) Wavelet decomposition (WD)

1260

1261 Competing Interests

1262 The authors declare that they have no conflict of interest.

1263 Author contribution

Each author has made substantial contributions to the creation of this manuscript. BZ was responsible for conceptualization, methodology, investigation, analysis, drafting the manuscript, and reviewing and editing. NEM

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1991

Table 1 Main stud	ies using the Tim	ne series model to	forecast drought in	the MEDR	IedR

Reference	Inputs	Outputs	Methods	Time scale	Study area	Drought type	Study period
(Bouznad et al., 2021)	Precipitation, temperature, and ET	Aridity index, SPI, NDVI	ARIMA, SARIMA	Monthly, annual	Algeria	Meteorological	Baseline 1985–2014 Future 2015–2024
(Achite et al., 2022)	Monthly precipitation	SPI12, SRI12	ARIMA, SARIMA	Annual	Algeria	Meteorological, hydrological	1972–2018
(Al Sayah et al., 2021)	LANDSAT imageries at a 3-year interval, and meteorological indicators	MFI, BGI, VHI, VCI, TCI, NDWI, NDVI	ARIMA/SA RIMA	Annual	Lebanon	Meteorological, hydrological and agricultural	1990–2018
(Tatli, 2015)	IPCC observed precipitation	PDSI	Hurst exponent, Mann - Kendall test	Monthly	Turkey	Meteorological	1966–2010
(Pablos et al., 2017)	LST, NDVI Satellite SM data (SMOS BEC L4 and MODIS SR) and In Situ SM Data	SWDI, SMADI, SMDI, SWetDI, AWD CMI	POD; POFD; FAR; FB	Weekly	Spain	Agricultural	2010- 2016
(Hadri et al., 2021)	NDVI ; Rainfall	SPI, SWI	The Mann- Kendall and Sen's slope	Seasonal	Morocc o	Meteorological, agricultural	2008-2017
(Ben Abdelmalek and Nouiri, 2020)	Monthly rainfall series in 16 main meteorological stations	SPI, RDI, Annual PET	Mann - Kendall test, Weighted Inverse Distance interpolation	Annual	Tunisia	Meteorological, agricultural	1973–2016
(Karabulut, 2015)	Precipitation	SPI	Cumulative Deviation Curve	Monthly, seasonal, annual	Turkey	Meteorological	1975–2010
(Jiménez- Donaire et al., 2020)	Rainfall, soil moisture, and vegetation (NDVI)	SPI, NDVIA SMAI	Combined Drought Indicator	Monthly, seasonal, annual	Spain	Agricultural	2003–2013
(Ben Mhenni et al., 2021)	SM (SOTER); MedCORDEX daily grided reanalysis of meteorological data; NOAA weekly NDVI	SPI, SPEI, PDSI, and Wp	Lag- correlation analysis	Seasonal, annual	Tunisia	Meteorological, agricultural	1982–2011
(Derdous et al., 2021)	Rainfall	SPI	the Mann– Kendal, Sen's slope estimator, and the Pettitt test;	Monthly, seasonal, annual	Algeria	Meteorological	1936 –2008

(Mendes et	Precipitation,	SPI14	BFAST	Seasonal	Portugal	Hydrological	1978-2020
al., 2022)	water level in						
	reservoirs						

Table 22 Main studies using regression analysis to forecast drought in the MEDR MedR

Reference	Inputs	Outputs	Methods	Time scale	Study area	Drought type	Study period
(Sousa et al., 2011)	Monthly rainfall SST, NAO	PDSI, scPDSI	Calibrated Stepwise Regression	Monthly, seasonal, annual	MEDR <mark>MedR</mark>	Meteorological	1901–2000
(Papadopoulos et al., 2021)	Monthly precipitation	SPI, RDI	Fuzzy linear regression analysis	Monthly, seasonal, annual	Greece	Meteorological	1996–2016
(Martínez- Fernández et al., 2016)	In situ hourly SM, daily rainfall, daily PET, and SMOS data	SWDI	PTF; linear regression	Weekly, Seasonal	Spain	Agricultural	2010–2014
(Tigkas and Tsakiris, 2015)	Monthly rainfall; average monthly mean, max, and min temperature	PET, RDI	Multiple regression models	Monthly, seasonal, annual	Greece	Agricultural	47-50 years

Table 33 Main studies using Artificial Intelligence Models to forecast drought in the MEDRMedR

Reference	Inputs	Outputs	Methods	Time scale	Study area	Drought type	Study period
(Mohammed et al., 2022)	Precipitation	SPI	BG, RSS, RT, and RF	Monthly, seasonal, annual	Syria	Agricultural, Hydrological	1946-2005
(Di Nunno et al., 2021)	Precipitation and discharge		NARX neural networks	Seasonal	Italy	Hydrological	1997-2020
(El Aissaoui et al., 2021)	Monthly average precipitation; Monthly min/max air temperature; MARH; MMSR	SPI, SPEI	SVR1: linear; SVR2: Polynomial; SVR3: RBF; SVR4: sigmoid	Monthly	Morocco	Meteorological	1979–2013
(Achour et al., 2020)	Monthly rainfall data	SPI	TFPWcu; ANN	Monthly, seasonal and annual	Algeria	Meteorological	1960–2010

(El Alaoui El Fels et al., 2020)	Monthly rainfall amount	SPI	PCA, Frequency analysis, ANN	Monthly, annual	Morocco	Meteorological	1970–2017
(El Ibrahimi and Baali, 2018)	Observed SPI	Predicted SPI	ANFIS; ANN- MLP; SVR, ANN, WA-ANFIS WA-SVR, WA-ANN- MLP	Monthly, seasonal, annual	Morocco	Meteorological	1978–2014
(Djerbouai and Souag- Gamane, 2016)	Historical monthly rainfall	SPI	ARIMA, SARIMA, WA-ANN	Monthly, seasonal, annual	Algeria	Meteorological	1936–2008
(Myronidis et al., 2012)	Monthly precipitation Monthly in- situ measurements of water lake levels	SPI	ARIMA- ANN	Annual and seasonal	Greece	Meteorological	1973–2008
(Danandeh Mehr et al., 2022)	Rainfall and temperature time series	SPEI-3 and SPEI-6	CNN-LSTM, genetic programming, ANN, LSTM and CNN	Monthly	Turkey	Meteorological	1971–2016
(Başakın et al., 2021)	Monthly sc- PDSI	Predicted sc-PDSI	ANFIS, EMD-ANFIS	Monthly, seasonal,	Turkey	Meteorological	1900–2016
(Özger et al., 2020)	Monthly sc- PDSI	Predicted sc-PDSI	EMD, WD, ANFIS, SVM, WD- ANFIS, EMD- ANFIS, WD- SVM,	Monthly, seasonal	Turkey	Meteorological	1900–2016

Table 44 Main studies using Joint Probability Models to forecast drought in the <u>MEDR MedR</u>.

Reference	Inputs	Outputs	Methods	Time scale	Study area	Drought type	Study period
(Bouabdelli et al., 2020)	Monthly precipitation, temperature 9 GCMs of CMIP5	SPI12, SDI6	Copula theory, Hydrological modeling using GR2M	Seasonal, annual	Algeria	Meteorological, Hydrological	Baseline: 1941–2011, Future: 2021–2100
(Bonaccorso et al., 2015)	NAO; areal monthly precipitation series;	SPI	DCTP (SPI, NAO)	Monthly, seasonal	Sicily, Italy	Meteorological	1921–2008
(Serinaldi et al., 2009)	Mean areal precipitation, aggregated at 6 months	SPI; joint return periods of drought	Probabilistic analysis of drought characteristics using Copula	Seasonal	Italy	Meteorological	1921–2003
(Hamdi et al., 2016)	Daily streamflow data,	The joint probabilities and bivariate	Two- dimensional copula model;	Annual	Tunisia	Hydrological	1966–2008

		return periods	the threshold level method				
(Esit and YUCE, 2022)	Monthly precipitation	SPI	Two- dimensional copula model	Seasonal	Turkey	Meteorological	1963–2016
(Tosunoglu and Can, 2016)	Monthly rainfall series	SPI; probabilistic properties of droughts	Two- dimensional copula model	Monthly	Turkey	Meteorological	1966–2006

1999 Table 55 Main studies using Markov Chains Model to forecast drought in the <u>MEDRMedR</u>.

Reference	Inputs	Outputs	Methods	Time scale	Study area	Drought type	Study period
(Habibi et al., 2018)	Annual precipitation from 65 meteorological stations	SPI	Markov chain models, DI and 11 time series models (GMB, GBMAJ, APARCH, AR1, AR2, ARCH, ARMA, EGARCH, GARCH, MA1, MA2)	Annual	Algeria	Meteorological	1960– 2010
(Paulo and Pereira, 2007 <u>)</u>	67-year averages of monthly precipitation	SPI	Non- homogeneous and homogeneous Markovian modeling	Monthly, seasonal, annual	Portugal	Meteorological	1931/32 - 1998/99
(Lazri et al., 2015)	Annual precipitation maps from meteorological satellite data; 219 rain gauges and radar precipitation	SPI	Markov chain model; Transition probability matrix	Annual	Algeria	Meteorological	2005– 2010
(Nalbantis and Tsakiris, 2009)	Monthly Precipitation, monthly streamflow	SPI, SDI	Non-stationary Markov chain	Monthly, seasonal, annual	Greece	Hydrological	1970–71 to 1999– 2000.
(Akyuz et al., 2012)	Observed annual streamflow	Probabilities and return periods of droughts	First-order Markov chain model, second- order Markov chain model	Annual	Turkey, New work, Sweden	Hydrological	1938– 2005
(Cancelliere et al., 2007)	Monthly Precipitation in 43 precipitation stations	SPI	Markov chain model	Seasonal, annual	Sicily, Italy	Meteorological	1921– 2003

Table 66 Main studies using dynamical models to forecast drought in the MEDR MedR.

Reference	Inputs	Outputs	Methods	Time scale	Study area	Drought type	Study period
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(Elkharrim and Bahi, 2014)	Historical precipitation; HadCM3(month ly precipitation and temperature); Observed GHCN v3; NCEP and NCAR reanalysis	SPI	ASD	Seasonal and annual	Morocco	Meteorological	Baselin e 1961- 2010 Future 2014- 2099
(Marx et al., 2018)	GCMs: GFDL- ESM2M, HadGEM2-ES, IPSL-CM5A- LR, MIROC- ESM-CHEM, NorESM1-M		Hydrologica l models: mHM, Noah-MP, and PCR- GLOBWB	Annual	Europe	Meteorological and hydrological	Baselin e 1971– 2000
(Vasiliades and Loukas, 2009)	Observed runoff	PDSI, Weighted PDSI, PHDI and the moisture anomaly Z- index; runoff and soil moisture	monthly UTHBAL conceptual water balance model	Monthly	Greece	Meteorological and hydrological	1960– 2002
(Brouziyne et al., 2020)	CNRM-CM5 (RCP4.5, RCP8.5); GLDAS 25 km reanalysis data; Observed daily rainfall and temperature (max and min) series	SPI-12; SDI-12; Monthly runoff, rainfall; Future water yield.	Hydrologica l model SWAT;	Annual	Morocco	Meteorological, Hydrological	Baselin e 1985- 2005; Future 2030- 2050 and 2080- 2100
(Mendicino et al., 2008)	Monthly precipitation, temperature, SPI, NDVI	GRI	A water balance model	Seasonal , annual	Italy	Meteorological and Hydrological	1959– 2006
(Dubrovský et al., 2014)	Monthly and daily precipitation and temperature outputs from 16 GCMs simulations (IPCC-AR4)	PDSI, Z- index	Multi-GCM forecast	Seasonal	MEDR <mark>Me</mark> dR	Meteorological	Baselin e 1961– 1990; Future 2070– 2100
(Ruffault et al., 2014)	Daily precipitation, temperature and global radiation from ARPEGE- Climate model Version 4; Historical observations from SAFRAN dataset	Maps of summer precipitations , number of wet days in summer and drought intensity	Water balance model, quantile mapping/ anomaly method	Annual seasonal	France	Agricultural, Hydrological	Baselin e 1961– 1990 Future 2071– 2100

2003 Table 77 Main studies using hybrid statistical-dynamical models to forecast drought in the MEDR MedR

Reference	Inputs	Outputs	Methods	Time scale	Study area	Drought type	Study period
(Ribeiro and Pires, 2016)	UKMO operational forecasting system	SPI3	MLR	Seasonal, annual	Portugal	Meteorological, agricultural, and hydrological	1987– 2003







Figure 3 Pie chart showing the proportion of use of indices <mark>in the <mark>MEDR</mark>surveyed studies</mark> in <u>MedR (Tables 1-7)</u> for different drought types.





Figure 5 Spatial and temporal scales of drought forecasting studies in the <u>Mediterranean regionMedR</u> with pie chart indicating the percentage of use of drought forecasting method: statistical, dynamical and hybrid-statistical models for each spatio-temporal scale.