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2 **COMPREHENSIVE LANDSLIDE SUSCEPTIBILITY MAP OF CENTRAL ASIA**

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13 **Abstract**

14 Central Asia is an area characterized by complex tectonics and active deformation; the related seismic activity
15 controls the earthquake hazard level that, due to the occurrence of secondary and tertiary effects, has also direct
16 implications on the hazard related to mass movements as landslides, which are responsible for an extensive number
17 of casualties every year. Climatically, this region is characterized by strong rainfall gradient contrasts, due to the
18 diversity of climate and vegetation zones. The region is drained by large, partly snow- and glacier-fed rivers, that
19 cross or terminate in arid forelands; therefore, it is affected also by a significant river flood hazard, mainly in spring
20 and summer seasons. The challenge posed by the combination of different hazards can only be tackled considering
21 a multi-hazard approach harmonized among the different countries, in agreement with the requirements of the
22 Sendai Framework for Disaster Risk Reduction. This work was carried out within the framework of the SFRARR
23 Project (“*Strengthening Financial Resilience and Accelerating Risk Reduction in Central Asia*”) as a part of a
24 multi-hazard approach, and is focused on the first landslide susceptibility analysis at a regional scale for Central
25 Asia. To this aim the most detailed landslide inventories, covering both national and transboundary territories were
26 implemented in a Random Forest model, together with several independent variables. The proposed approach
27 represents an innovation in terms of resolution (from 30 to 70 m) and extension of the analysed area with respect
28 to previous regional landslide susceptibility and hazard zonation models applied in Central Asia. The final aim
29 was to provide a useful tool for land use-planning and risk reduction strategies to landslide scientists, practitioners
30 and administrators.

31 **1. Introduction**

32 During the two decades spanning between 1988 and 2007, according to observed estimates, out of 177 reported
33 disasters in Central Asia 13% were landslides, causing 700 deaths (Table 1), while in the same period economic
34 losses have been as high as \$150 million, including damage to infrastructures, settlements and agricultural/pasture



35 lands, as well as displacement of the population (GFDRR, 2009). More recent modelled estimates show that in the
36 Central Asia states an annual average of 3 million persons are affected by earthquakes and floods, with an estimated
37 annual average GDP of 9 billion USD (GFDRR, 2016).

38 **Table 1: Observed landslide hazard statistics (1988-2007).** Source: Risk assessment for Central Asia and
39 Caucasus (UN ISDR, 2009).

Country	No. disasters/year	Total no. of deaths	Deaths/year	Relative vulnerability (deaths/year/million)
Kazakhstan	0.05	48	2.40	0.16
Kyrgyz Republic	0.30	238	11.90	2.27
Tajikistan	0.50	339	16.95	2.51
Turkmenistan	n.a.	n.a.	n.a.	n.a.
Uzbekistan	0.15	75	3.75	0.14

40

41 Due to their large size and impact, most of the occurring landslides have profound transboundary implications.
42 Tajikistan and Kyrgyz Republic are the countries most impacted by landslides: in Tajikistan around 50000
43 landslide were mapped, 1,200 of which threaten settlements or facilities (Thurman, 2011), while Kyrgyz Republic
44 has been affected by 5,000 landslides, of which 3,500 at various levels of activity are located in the southern
45 portion of the country (the Fergana Valley area) (Pusch, 2004; Li et al., 2021). Only in Kyrgyz Republic, up to
46 2017, 784 landslides and 1658 mudflows (also including loess flows) and flash floods caused 352 victims
47 (Kalmetieva et al., 2009; Havenith et al., 2015a; 2017). Almaty province in Kazakhstan, Tashkent, Samarkand,
48 Surkhandarya, Kashkadarya Provinces of Uzbekistan, and Ahal Province of Turkmenistan are also exposed to
49 landslides (World Bank, 2006). Given the increased anthropogenic pressures and the impact of climate change,
50 since the early '90s several projects have tried to improve the knowledge on landslide hazard (Thurman, 2011),
51 by providing landslide losses estimations, location, type, triggering/reactivation dates, inventories and hazard/risk
52 maps, as well as platforms to retrieve open disaster risk data and overviews on landslide risk reduction strategies.
53 Amongst the regional studies on landslide hazard, providing descriptions, statistics, and inventory maps, it is worth
54 mentioning:

- 55 • Disaster Risk Management and Climate Change Adaptation in Europe and Central Asia, developed by the
56 World Bank - Global Facility for Disaster Reduction and Recovery (Pollner et al., 2010).
- 57 • Disaster Risk Reduction, 20 Examples of Good Practice from Central Asia, developed by the European Union,
58 International Strategy for Disaster Reduction ISDR (European Commission Humanitarian Aid, Civil
59 Protection, 2006).
- 60 • Science for Peace Project (983289) 'Prevention of landslide dam disasters in the Tien Shan, LADATSHA'.
61 2009–2012, NATO Emerging Security Challenges Division.



- 62 • PROGRESS (Potsdam Research Cluster for Georisk Analysis, Environmental Change and Sustainability).
63 German Federal Ministry of Research and Technology (BMBF).
64 • Tian Shan-Pamir Monitoring Program (TIPTIMON). German Federal Ministry of Education and Research
65 (BMBF).
66 • M126 IPL Project (funded by the International Consortium on Landslides): M2002111 Detailed study of the
67 internal structure of large rockslide dams in the Tien Shan; M2004126 Compilation of landslide/rockslide
68 inventory of the Tien Shan Mountain System.

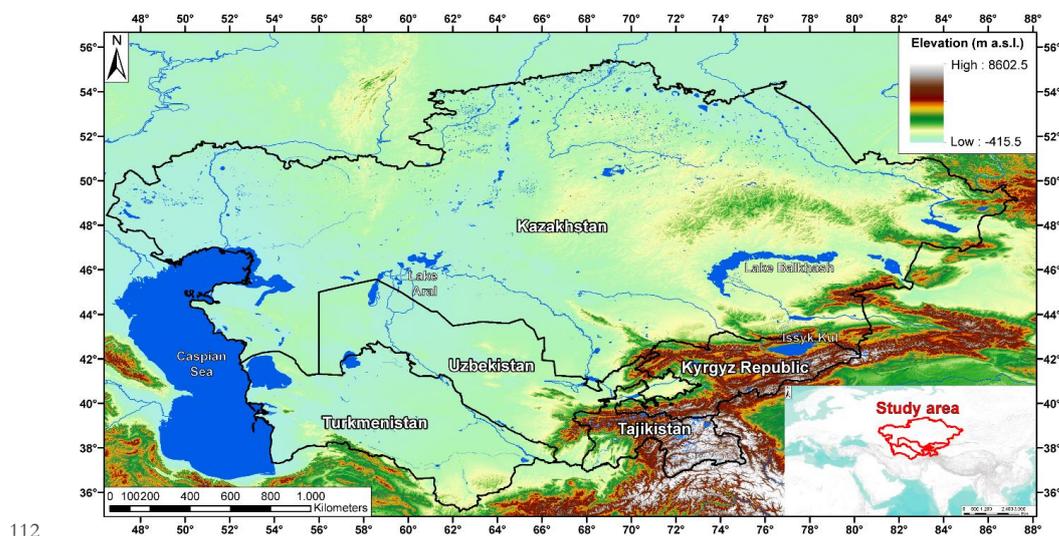
69 Besides the creation of landslide inventories, a common approach to assess landslide hazard is the development of
70 landslide susceptibility maps (LSMs), which depict the relative probability of occurrence of a given type of
71 landslide in a given area, without considering the probability of occurrence in time (Brabb, 1984). In other words,
72 LSMs identify those areas where landslides can occur, based on their geological, morphological, and climatic
73 characteristics. These maps have been extensively used as useful tools for land planning (Cascini 2008; Frattini et
74 al., 2010) and hazard assessment (Corominas et al., 2003). More recently, they have been successfully integrated
75 also in quantitative risk assessment (Chen et al., 2016), and early warning systems (Segoni et al., 2018; Tiranti et
76 al., 2019). LSMs have been produced by applying a wide range of mathematical techniques, from the most
77 traditional statistic approaches like frequency ratio (Yilmaz, 2009), discriminant analysis (Carrara, 1983; Trigila
78 et al., 2013) and logistic regression (Lee, 2005; Duman et al., 2006; Manzo et al., 2013), to more recent and more
79 advanced techniques, like artificial neural network (Tien Bui et al., 2016; Ermini et al., 2005), machine learning
80 (Catani et al., 2013) and multi criteria decision analysis (Akgun, 2012). Statistical-probabilistic models for
81 landslide susceptibility can overcome the data gaps and allow to analyse very wide areas (from basin to national
82 scales), by adopting a homogeneous methodology and a harmonized dataset (including global and local data
83 sources). However, landslide hazard assessment is a complex process since it needs accurate knowledge of the
84 topic and appropriate input data (historical inventories, and regional inventories that consist of large prehistoric
85 events mainly). In this work the landslide susceptibility analysis was carried out by means of the “Random Forest”
86 machine learning algorithm, which is credited as one of the most advanced and reliable techniques in this field
87 (Catani et al., 2013, Goetz et al 2015). This work represents the first landslide susceptibility analysis at a regional
88 scale for Central Asia, and was carried out in the framework of the SFRARR Project (“Strengthening Financial
89 Resilience and Accelerating Risk Reduction in Central Asia”) as a part of a multi-hazard approach (Bazzurro et
90 al., in prep). The main challenge of this work was the creation of a unique LSM of the whole Central Asia, that
91 involved the use of a wide range of variables, to account the features of each country, a high volume of input data,
92 and the development of new approaches to analyse these data and to take into accounts possible discrepancies and
93 non-homogeneities. The proposed approach represents an innovation in terms of resolution, extension of the
94 analysed area with respect to previous regional landslide susceptibility and hazard zonation models applied in
95 Central Asia (e.g., Nadim et al., 2006; Havenith et al., 2015b; Stanley and Kirshbaum, 2017; Pittore et al., 2018;
96 World Bank, 2020). For the studied area the landslide susceptibility distribution in the area covered by elements
97 at risk, such as roads, railways, and buildings, was also assessed (Scaini et al., in prep).

98



99 **2. Study area**

100 Geographically, Central Asia is a vast and diverse region including high mountain chains, deserts, and steppes
101 (Fig. 1). A large portion of the Central Asia countries, especially the southern and eastern parts of the region,
102 occupied by the mountainous areas of the Djungaria, Tien Shan, Pamir, Kopetdag, and small part of Western Altaj,
103 with peaks above 7,000 m a.s.l (Strom, 2010). These intraplate mountain systems formed in the Cenozoic between
104 the Tarim Basin and the Kazakh Shield, as a result of the India-Asian collision (Molnar and Tapponier 1975,
105 Abdrakhmatov et al., 1996; 2003; Zubovich et al., 2010, Ullah et al., 2015). This work is focused in the most inner
106 part of Central Asia, represented by the territories of Turkmenistan, Kazakhstan, Kyrgyz Republic, Uzbekistan,
107 and Tajikistan. Active mountain building started in the Oligocene (Chedia 1980) or even later (Abdrakhmatov et
108 al. 1996), forming a complex system of basement folds disrupted by numerous thrusts and reverse faults with
109 significant amount of lateral offset (Delvaux et al. 2001). Several regional fault zones are aligned along large parts
110 of the mountain belts, others cross the orogen in a NW-SE direction, e.g., the Talas-Fergana fault, which forms a
111 distinct boundary between the western and central Tien Shan (Trifonov et al. 1992) (Fig. 2).

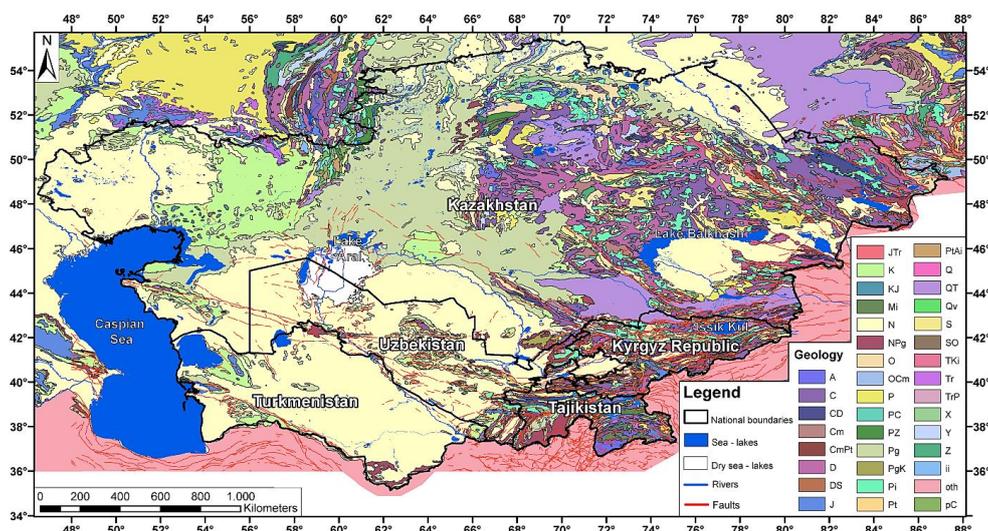


112 **Figure 1. Study area geographical-geomorphological setting.** Lakes' polygons from Schiavina et al., 2022,
113 while MERIT DEM (Yamazaki et al. 2017) was used as topographic base.
114

115 Mountain ridges, formed mainly by palaeozoic crystalline rocks, are separated by wide lenticular or narrow, linear
116 intermountain depressions, containing Neogene and Quaternary deposits, mainly sandstone, siltstone with gypsum
117 interbeds, and conglomerates (Strom and Abdrakhmatov, 2017). Mesozoic and Paleogene deposits are typical of
118 the foothill areas. Almost every ridge, especially in the Tien Shan, corresponds to a neotectonic anticline, and most
119 of the main river valleys follow intermontane tectonic depressions, which are linked by narrow deep gorges up to
120 1-2 km deep (Strom and Abdrakhmatov, 2018). These mountain systems are the sources of most of Central Asia
121 rivers, which, being fed by glaciers, snowmelt water and rain, have deeply incised valleys.



122 Such extreme topography along with complex geological structure, active tectonics and high seismicity determine
123 important landslide predisposing factors, making landslides the third most prevalent natural hazard in Central Asia,
124 following earthquakes and floods (CAC DRMI, 2009; Havenit et al, 2017).



125
126 **Figure 2. Geological map of the study area.** Geological formation data from United States Geological Survey
127 (see Persits et al., 1997 for the legend), including faults from the AFEAD (Active Faults of Eurasia) database
128 (Styron and Pagani, 2020).

129 2.1 Landslide types in Central Asia

130 According to the international Cruden and Varnes 1996 classification, landslides phenomena in Central Asia
131 include rockslides/rock avalanches, rotational/translational slides and mud/debris flows (often involving loess),
132 which are triggered by natural events such as earthquakes, floods, rainfall and snowmelt (Behling et al., 2014;
133 2016; Golovko, 2015; Havenith et al., 2006a,b, 2015a, b; Kalmetieva et al., 2009; Saponaro et al., 2014; 2015;
134 Strom and Abdrakhmatov, 2017; 2018). Glacial lakes outburst flood phenomena, caused by the breach of natural
135 glacial dams, often result in large scale catastrophic mud/debris flows. In Central Asia, landslides more often occur
136 in the loess zone of contact with other rocks, on clay interlayers of the Mesozoic and Cenozoic age, reaching a
137 volume from tens of thousands up to $15-40 \cdot 10^6 \text{ m}^3$ (Juliev et al., 2017). Seismically triggered landslides are very
138 common in tectonically active mountain regions, such as Tien Shan and Pamir (Sternberg et al., 2006; Hong et al.,
139 2007; Juliev et al., 2017). According to the literature background, most of the large mapped mass movements
140 (especially those with a volume of more than 10^6 m^3) were triggered generally by major (also prehistoric)
141 earthquakes, possibly in combination with climatic factors, namely snowmelt and heavy rainfall (Havenith et al.,
142 2003; Strom and Korup, 2006; Strom, 2010; Schlögel et al., 2011; Strom and Abdrakhmatov 2017, 2018; Havenith
143 et al., 2015a; 2016; Behling et al., 2014; 2016; Piroton et al., 2020). Furthermore, in the past few decades, the
144 number and intensity of landslides have grown owing to climate change and the increase of the anthropic pressure,
145 due to several factors such as the uncontrolled land and water use, the rising of the water tables (often induced by



146 the increase of irrigation; Ishihara et al., 1990), mining, and excavation activities (Pollner et al., 2010; Thurman,
147 2011).

148 **2.2 Large Rockslides and natural dams**

149 Numerous rockslides have occurred in the mountains producing hazardous natural phenomena such as long runout
150 rock avalanches (Fig. 3) and dammed lakes, more than 100 of which still store water (Strom, 2010). These mainly
151 involve the Palaeozoic magmatic and metamorphic crystalline bedrock, but also the sandstone and limestone
152 formations. Although according to Strom, 2010, many of the existing dammed lakes should be considered as stable,
153 catastrophic outburst floods that occurred in the 20th century, emphasize high potential hazard of landslide natural
154 blockages. Havenith et al., 2015a report a catalogue of large to giant landslides (having volumes exceeding $>10^7$
155 m^3) in the Tien Shan area, showing several information such as location, time of occurrence, volumes, and
156 thickness. Regarding the volumes of these rockslides, these range from $50 \cdot 10^3 m^3$ to $10 km^3$ (Strom and Korup,
157 2006; Strom and Abdрахmatov, 2018). Many of these phenomena, though not all, were triggered by earthquakes
158 with $M > 6$ and have dammed a river valley (some of the dams have been naturally or artificially breached)



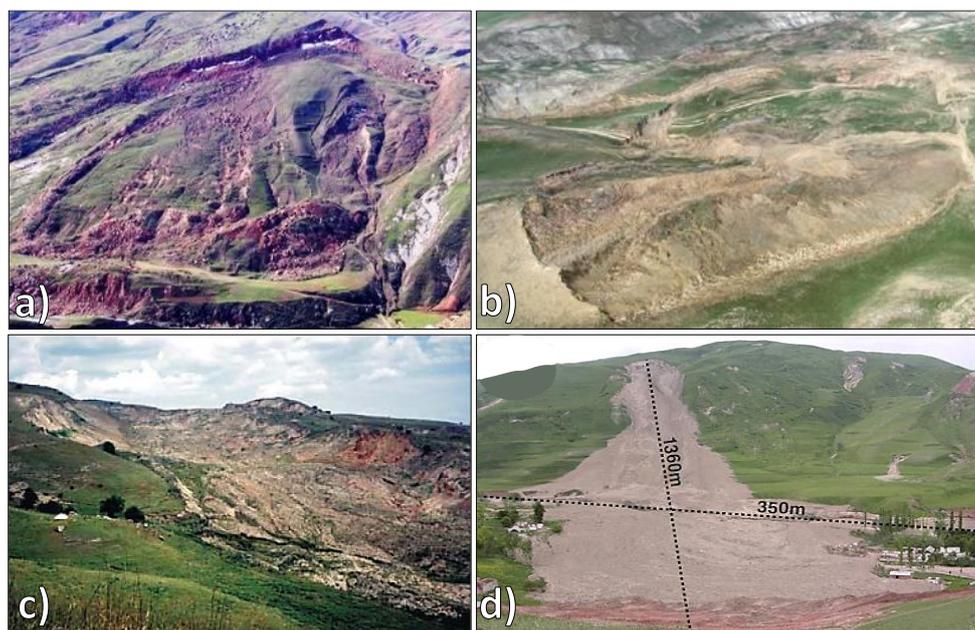
159
160 **Figure 3. Examples of large rockslide features in Central Asia.** Helicopter view of the Usoi landslide scarp,
161 triggered by the 1911 earthquake, Tajikistan (a) (after Strom, 2010); Khait rock avalanche (b) (after Havenith et
162 al., 2015a); helicopter view of Ananevo landslides (c) (after Havenith et al., 2015a).

163 **2.3. Landslide in soft rocks and loose deposits**

164 Rotational landslides mostly occur in loose unconsolidated Quaternary deposits, and in soft and semi-hard rock
165 layers in Mesozoic-Cenozoic sediments, represented mainly by layers of clays, claystones, siltstones, sandstones,
166 marls, limestone, gypsum, and conglomerates, with intercalated clays (Roessner et al., 2004; Kalmatieva et al.,
167 2009) (Fig. 4). These phenomena can create river dams, but they rarely are long-living dams, since usually they
168 are small and their bodies are eroded quickly even if they block a river channel (Strom and Korup, 2006). The
169 loess landslides occur quite regularly (on a yearly basis) in the regions presenting an almost continuous and locally



170 very thick (>20 m) cover of this material, generally at mid-mountain altitude (900 - 2,300 m) and mainly along the
171 border of the Fergana Basin (Kyrgyz Republic, Uzbekistan, and Tajikistan), and on the southern border of the Tien
172 Shan in Tajikistan (Fig. 4).



173
174 **Figure 4. Examples of landslides in soft rocks-loose deposits.** Picture the Kamar landslide (a) and the Beshbulak
175 landslide (b) (after Niyazov and Nurtaev, 2013). Examples of loess slides and mixed loess—soft landslides in NE
176 Fergana valley: Kochkor-Ata landslide failure in spring 1994 (c) (after Roessner et al., 2005); Field photo of the
177 Kainama landslide (d) (after Behling et al., 2016).

178 Loess flow landslides and debris flows, involving the eluvial slope cover, represent a relevant hazardous
179 phenomenon in the mountainous regions of Kazakhstan, in the area of Almaty, near the southern border with
180 Kyrgyz Republic, in the Altai area (Medeu and Blagovechshenskiy, 2016), around the Fergana Basin, all along
181 the border between Tajikistan and Kyrgyz Republic and around the Tajik Depression. Landslides occurring in
182 Quaternary loess units of up to 50 meters thick are characterized by very rapid avalanche-like mass movements,
183 which can reach several meters per second (often represent a combination of rotational slide and dry flow resulting
184 in long runout zones; World Bank, 2008). Typically, pure loess landslides have a volume of hundreds up to one
185 million cubic meters and appear as clusters (Roessner et al., 2005). From the recent history it appears that pure (or
186 quasi-pure) loess slides and flows are particularly dangerous because of their high velocity and long runout which,
187 in turn, can generate a great destructive power and more severe disasters than other types of mass movements of
188 similar size (Havenith et al., 2015a; Behling et al., 2014; 2016). If failure also affects underlying materials (mostly
189 Mesozoic and Cenozoic soft rocks), the volume of these mixed slides can exceed $10 \times 10^6 \text{ m}^3$. These kinds of
190 landslides are particularly deadly and can be triggered by a combination of long-term slope destabilization factors
191 (e.g., rainfall and snowmelt) and short-term triggers (e.g., seismic shocks).



192 Even though earthquake-triggered loess slides and flows are far less frequent than rainfall triggered ones, they
193 caused much larger disasters in recent history, such as those triggered, respectively, by the July 1949 Khait and
194 the January 1989 Gissar earthquakes. The number of active debris flow basins in Kazakhstan is over 300 with
195 registered cases of more than 600 debris flows of different genesis (80% of which are represented by heavy rainfall-
196 triggered debris flows, while the glacial debris flows make up about 15% of the total) (Yaning, C., 1992).

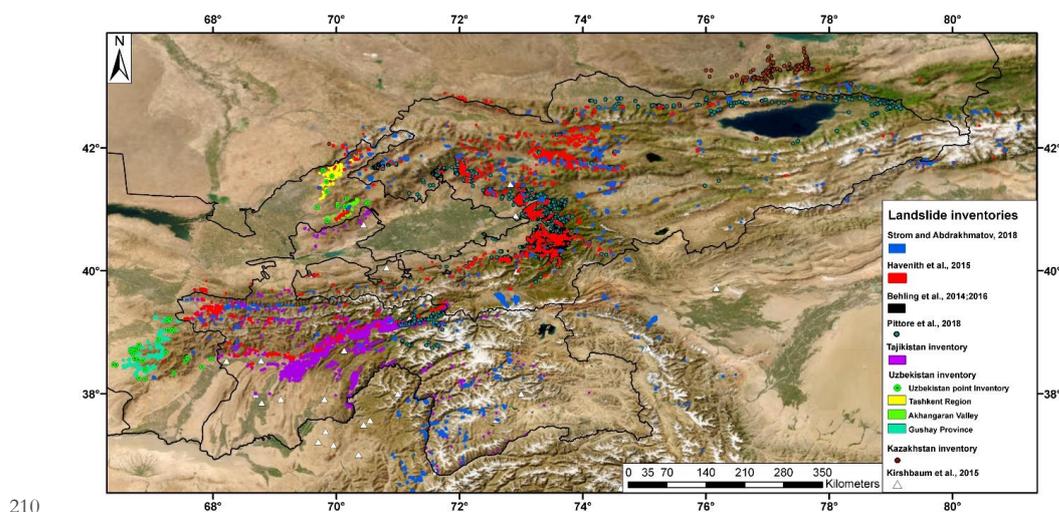
197 3 Materials and Methods

198 3.1 Landslide databases

199 To implement the adopted susceptibility models the largest, most accurate, and updated landslide inventories were
200 used (Fig. 5). These were compiled by means of decades of field surveys, remote sensing and geophysical analysis
201 in the study area.

202 Hereafter we report their description in detail:

- 203 • The “Rockslides and Rock Avalanches of Central Asia” (Strom and Abdrakhmatov, 2018): a large inventory
204 including over 1000 polygons of large-scale ($>=1 \text{ Mm}^3$) rockslides and rock avalanches, covering central
205 Asian countries (except for Turkmenistan and Altai) plus Chinese Tien Shan and Pamir, and Afghan
206 Badakhshan. Compiled in decades of field work and analysis of aerial/satellite imaging, it also comprises
207 information on landslide morphometric parameters (runout, area), and 126 polygons on possible landslide
208 bodies, dammed lakes, and head-scarps. Quantitative characteristics (area, volume, runout, etc.) for about 600
209 cases are provided as well.



210
211 **Figure 5. Map of the adopted landslide inventory maps.** Basemap source: Esri, Maxar, Earthstar Geographics,
212 and the GIS User Community.

- 213 • The “Tien Shan landslide inventory” (Havenith et al., 2015a): represents the largest inventory in the study
214 area. Compiled by means of field surveys, remote sensing data interpretation and geophysical surveys, it



215 comprises the rockslides of the previous inventory together with other smaller landslides in soft sediments
216 (Havenith et al. 2006a; Schlögel et al., 2011) for a total of 3,462 landslides polygons, also including
217 information on landslide length and area.

- 218 • The “Multi-temporal landslide inventory for a study area in Southern Kyrgyz Republic derived from RapidEye
219 satellite time series data (2009 – 2013)” (Behling et al., 2014; 2016; 2020), is a semi-automated spatiotemporal
220 landslide inventory for the period from 1986 to 2013, covering a 2,500 km² in the Fergana valley rim in
221 southern Kyrgyz Republic. This inventory includes 1,582 landslide polygons mapped from multi-sensor
222 optical satellite time series data, together with information on spatiotemporal landslide activity patterns (area
223 and year of trigger).
- 224 • “The EMCA landslide catalogue Central Asia” (Pittore et al., 2018), including 3,130 points, which covers
225 mostly western and northern Kyrgyz Republic as well as Tajikistan's Region of Republican Subordination.
226 The catalogue is a summary (point locations) of the documented landslides between 1954 and 2009
227 (Kalmatieva et al., 2009), which are collected by the Central Asian Institute for Applied Geosciences through
228 geological surveys (field campaigns) on single sites close to urban areas.
- 229 • The “Tajikistan landslide database” provided by the Institute of Water problems, Hydropower, Engineering
230 and Ecology of Tajikistan (IWPHE), which includes 2,710 landslide polygons and 114 landslide-prone areas
231 (with information on length and area).
- 232 • The landslide inventory provided by the Institute of Seismology of the Academy of Science of Uzbekistan
233 (ISASUZ), which covers the Tashkent province. It comprises a point inventory (including location, type,
234 volume, length, and date of triggering; Nyazov R.A. 2020) and a polygon inventory digitized for this project
235 from the maps in Juliev et al., 2017 (including a total 345 landslide polygons).
- 236 • The landslide inventory, provided by the LLP “Institute of Seismology” of the Science Committee of the
237 Republic of Kazakhstan, covering mainly the Tien Shan area at the border with Kyrgyz Republic, and small
238 part of the western Altai, including 254 point-objects with information on type, area/volume, triggering date.
- 239 • Part of the “Global Landslide Catalogue (GLC)” (Kirshbaum et al., 2015), which covers Kyrgyz Republic and
240 Tajikistan, including 15 landslide point with a description on landslide size/type and triggering date/factor.
241 The GLC was compiled since 2007 at NASA Goddard Space Flight Centre NASA and considers all types of
242 mass movements triggered by rainfall, which have been reported in the media, disaster databases, scientific
243 reports, or other sources.

244 **3.2 Random Forest (RF) model**

245 To generate the landslide susceptibility maps in this work, the Random Forest model (RF) was used. The RF is a
246 nonparametric and multivariate machine learning technique, which was proposed by Breiman (2001), and first
247 used in landslide susceptibility analysis by Brenning (2005). Since then, it has rapidly gained widespread
248 consolidation through many research and case studies, as it is considered a relatively powerful approach in
249 classification, regression, and unsupervised learning (Lagomarsino et al., 2017). Among the advantages of using
250 the RF algorithm, there is the possibility of using numerical and categorical variables at the same time, without
251 assumption on the statistical distribution of their values. Furthermore, RF is acknowledged to be capable of
252 handling implicitly the multicollinearity of variables, identifying the uninfluential (or the detrimental) ones



253 (Breiman, 2001; Brenning, 2005). The RF also automatically performs a validation by building a Receiver
254 Operating Characteristic Curve (ROC Curve) and calculates the relative Area Under the Curve (AUC). AUC is
255 widely used as a quantitative indicator for the predictive effectiveness of susceptibility models: it can range from
256 0.5 (completely random predictions) to 1.0. This model, by means of the bootstrapping technique, also calculates
257 the Out-of-Bag Error (OOBE) for each variable. This parameter measures the relative error that would be
258 committed if a given variable is excluded from the RF classifier. OOBE can be used to assess the relative
259 importance of each independent variable, thus representing a powerful tool to interpret the results and to rank the
260 variables according to their importance (Catani et al., 2013). RF contains a series of binary tree predictors, which
261 are generated by using a random selection of the input data (the independent variables which in LSM studies, are
262 a set of physical parameters representing the predisposing factors), in order to split each binary node (yes/no), and
263 to perform a classification of the target dependent variable (in LSM studies, the presence or absence of landslides).
264 Some of the observations are used for internal testing to evaluate the predictive capability of each predictor tree.
265 This information is used to iterate the procedure hundreds of times by growing other random trees (hence the name
266 “Random Forest”), and to iteratively adjust the prediction effectiveness. Once the best predictor tree is identified,
267 it is applied to the whole study area, to define the LSM. Another important key point of RF is that it has a great
268 predictive performance and runs fast by summarizing many classification trees and this is particularly useful when
269 dealing with large amounts of data.

270 3.3. Selection of independent variables

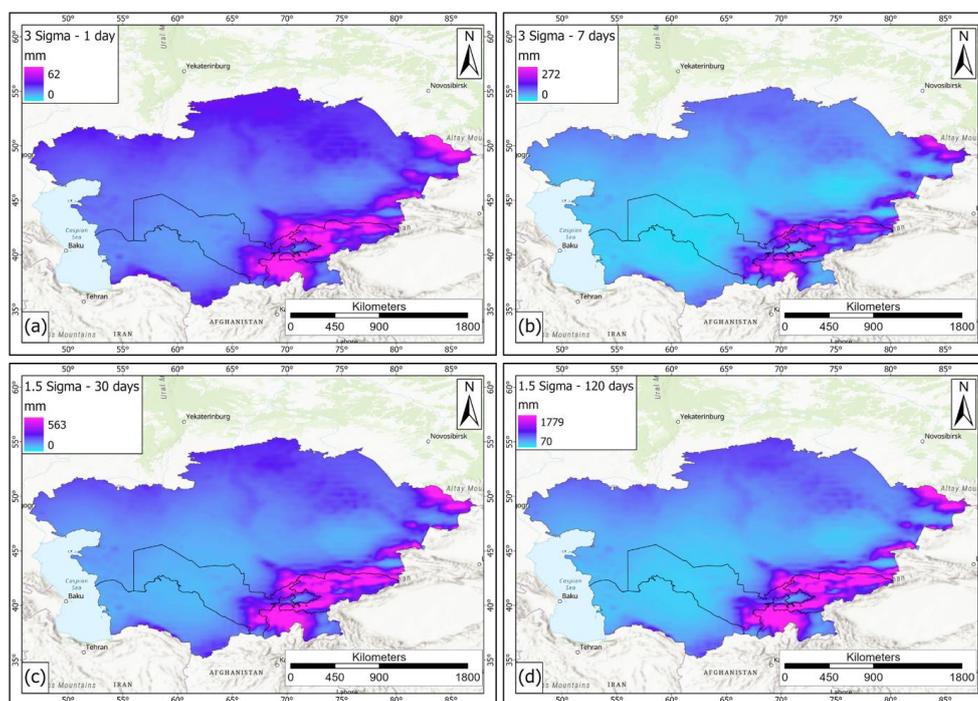
271 As independent variables, twenty “basic parameters” were selected in all 5 countries, based on the available data
272 and according to the ones most widely adopted in literature (Catani et al., 2013; Reichenbach et al., 2018). Many
273 of these are DEM-derived products (e.g., elevation, aspect, slope, slope curvature, flow accumulation, Stream
274 Power Index, Topographic Wetness Index, Topographic Position Index). It must be considered that the resolution
275 of the susceptibility maps depends on the resolution of the input data. Therefore, it was decided to use pixels
276 corresponding to the MERIT DEM (Yamazaki et al. 2017) resolution (3” – ca. 90 m at equator and ca 70 m at 40°
277 latitude). In addition, the DEM itself was used as a reference map, so that the other parameters were processed to
278 have a perfect overlapping. Therefore, the resulting landslide susceptibility maps will also be perfectly overlapping
279 to it. The variables such as lithology and soil type were rasterized with this resolution by choosing the most
280 frequent value in a reference window. The twenty “basic parameters” used are listed below, including a brief
281 description:

- 282 • MERIT DEM and DEM-derived products: Aspect, Slope Gradient, Total Curvature, Profile Curvature, Planar
283 Curvature, Flow Accumulation, Topographic Wetness Index (TWI), Stream Power Index (SPI), Topographic
284 Position Index (TPI).
- 285 • Lithology, derived from the geological map of the former Soviet Union made by the USGS (Persits et al. 1997).
- 286 • Soil type map from the DSMW database (Copernicus land use; <https://land.copernicus.eu/>).
- 287 • Distance from Faults: it is minimum distance, in meters, between each landslide and the nearest fault. The fault
288 database is derived from the AFEAD catalogue (Styron and Pagani, 2020) and was modified after Poggi et al.,
289 a (in prep.).



- 290 • Distance from Roads: it is minimum distance, in meters, between each landslide and the nearest road. The roads
291 database is derived from Scaini et al., (in prep.).
- 292 • Distance from Rivers: it is minimum distance, in meters, between each landslide and the nearest river. The river
293 network database is derived from Coccia et al., (in prep.).
- 294 • Distance from Hypocentres: it is minimum distance, in meters, between each landslide and the nearest
295 earthquake hypocentre with a magnitude greater than 6.5 (following the methodology adopted by Havenith et
296 al., 2015a). The Hypocentre database was provided by Poggi et al., a (in prep.).
- 297 • Peak Ground Acceleration (PGA): 4 kind of PGA maps according to different return times (475 and 1000 years)
298 and different materials (soil layers and bedrock) to which it refers were created (Poggi et al. b, in prep.).

299 In addition to these “basic parameters”, in this study it was decided to use five parameters related to the propensity
300 of the territory to be affected by precipitation (Fig. 6). These parameters were obtained from the ERA5 database
301 (www.ecmwf.int/en/forecasts/datasets/reanalysis-datasets/era5).



302
303 **Figure 6. Rainfall maps from the ERA5 database** (www.ecmwf.int/en/forecasts/datasets/reanalysis-datasets/era5). (a) rainfall amounts corresponding to 3 standard deviations for 1-day rainfall; (b) rainfall amounts
304 corresponding to 3 standard deviations for 7-days rainfall; (c) rainfall amounts corresponding to 1.5 standard
305 deviations for 30-days rainfall; (d) rainfall amounts corresponding to 1.5 standard deviations for 120-days rainfall.
306 Basemap source: Esri, USGS, NOAA.
307



308 These data span from 1981 to 2020, have a 1-hour temporal resolution (summarized to daily resolution for this
309 work) and a spatial resolution 0.25° . The first parameter is the Mean Annual Precipitation (MAP) map, where, for
310 each pixel, the mean annual precipitation was calculated (Fig. 6). Other maps (named Sigma maps) have been
311 calculated by the spatialization of the approach described in Martelloni et al (2011). In detail, for each rain gauge
312 (represented by the pixels of ERA5 maps in this work) the rain values corresponding to a given standard deviation
313 for several cumulative intervals are defined (e.g., the rain values corresponding to 2 standard deviations of the
314 distribution of 3-days cumulative rainfall):

- 315 • Sigma 1.5 – 120 days: rainfall values corresponding to 1.5 standard deviations of the 120-days cumulative
316 rainfall They range from 70 mm to 1778.8 mm (Fig. 6a).
- 317 • Sigma 1.5 - 30 days: rainfall values corresponding to 1.5 standard deviations of the 30-days cumulative rainfall.
318 They range from 0 mm to 563.1 mm (Fig. 6b).
- 319 • Sigma 3 - 1 days: rainfall values corresponding to 3 standard deviations of daily cumulative rainfall. They range
320 from 0 mm to 62.2 mm (Fig. 6c).
- 321 • Sigma 3 - 7 days: rainfall values corresponding to 3 standard deviations of the 3-days cumulative rainfall. They
322 range from 0 mm to 271.9 mm (Fig. 6d).

323 The sigma parameters represent the probability of having a given rainfall amount over a defined time interval. In
324 this work, four intervals were selected (1, 7, 30 and 120 days) to consider both short and long rain events, that can
325 lead to the triggering of surficial or deep-seated landslides, respectively. For 1 and 7 days the maps of the rainfall
326 values corresponding to 3 standard deviations over the mean rainfall were selected, to verify if short and very
327 intense rainfall (with a very low probability of occurrence) could influence the slope stability in the study area.
328 Regarding the 30-days and 120-days interval, rainfall values corresponding to 1.5 standard deviation were
329 calculated, in order to assess the influence of longer and less intense rainfalls over slope stability.

330 **3.4. Model optimization**

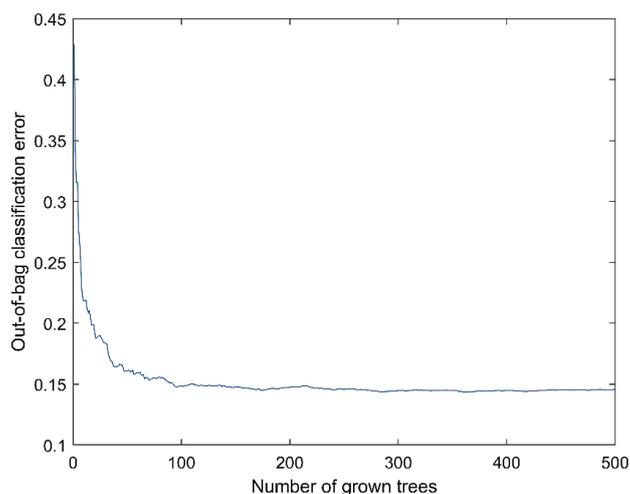
331 The LSM was defined using the whole study area, instead of processing each country individually. This choice
332 allowed to overcome the boundary effects associated with the use of independent countries. In addition, a buffer
333 of 10 km was considered around the whole area, to avoid deformation due to boundary effects. These choices were
334 helpful in reducing distortions and improving the quality of the results, but also led to a huge amount of data to be
335 processed. Since the same resolution of the DEM was used for susceptibility assessment, the whole area was
336 divided into about $1.07 * 10^9$ cells and for each cell 26 condition factors and 1 dependent variable were defined;
337 this led to about $2.89 * 10^{10}$ data to be processed. In order to reduce the processing time and avoid computational
338 problems due to the huge amount of data and to the width of the study area, large flat areas were filtered and not
339 considered in the modelling process, since landslides generally take place along slopes (some exceptions to this
340 statement in the area are represented by landslide around the flat Caspian Sea area (Pánek et al., 2016). For
341 Turkmenistan no landslide database was available, so it was decided to train and test the model only with the other
342 4 countries, to obtain the best predictor model for the available data. The trained model has then been applied to
343 the whole study area, including Turkmenistan, to define the LSM. Regarding the dependent variables, the
344 landslides inventory was created by merging the data described in section 3.1. As a result, this landslide data was



345 quite heterogenous, hence an initial control and homogenization phase was necessary. In this framework the
346 landslide data were checked to verify the presence of overlapping polygons or topological errors, which were
347 removed. Since some landslide inventories were composed solely by points, these were mapped only as a
348 “landslide points”, a 100 m buffer was created around them, in order to include them in the model. However, when
349 the points refer to large landslides, which are frequent in the study area, it is possible that part of the body of these
350 landslides is still outside the perimeter achieved with the buffer. To avoid classifying these areas as non-landslide
351 points, it was decided to create an additional buffer of 1 km around points, used as a mask where the non-landslide
352 points were not to be selected. This process reduced the probability of pixels misclassification (e.g., landslide
353 points considered as non-landslide points) during the training of the model. All the points inside the 1-km buffer
354 were only considered during the model application, as well as point from Turkmenistan. Some landslide-prone
355 areas were also present in the input inventories; since these were not real landslides but ‘landslide-prone zones’,
356 these areas were not used to train the susceptibility model but were used in the validation of the results. This
357 optimization procedure allowed to define an input dataset of $1.08 \cdot 10^8$ points (along with 27 variables for each
358 point) to be used to define the susceptibility model. A further optimization of the model was performed by the
359 evaluation of the out of bag classification error, i.e., the variation of the misclassification probability with the
360 number of grown classification trees. The classification error initially reduces with the increasing of classification
361 trees, then it turns to be stable, so the definition of the optimal number of classification trees is required to avoid
362 the use of an overgrown forest with an excessive number of trees (hence with high computational load and time)
363 and without any advantage for the model (Fig. 7).

364 **3.5. Model training**

365 Once all the data were prepared and organized, the algorithm to create the landslide susceptibility maps was
366 developed. A crucial step in LSM analysis is the approach used to sample the variables to train and validate the
367 model. As in any other statistical procedures, the size of the dataset influences the results, therefore the higher the
368 number of samples to perform the statistical calibration/validation of the model, the more reliable are the obtained
369 results. To avoid a generalized hazard overestimation, Catani et al. (2013) demonstrated that a random sampling
370 improves the predictive capability of the map, and that the susceptibility model should also be trained/validated
371 with respect to information about non-landslide locations. Regarding the proportion between the calibration and
372 validation dataset samples, it is common practice to split them according to a 70/30 ratio. Therefore, using ESRI
373 ArcGIS Pro software, all the variables were sampled pixel by pixel, after which, with the Matlab software, from
374 the total of the sampled points, all the points within a landslide and a same amount of randomly chosen non-
375 landslide points were extracted. This input dataset was divided into two parts, 70% of the data (calibration dataset)
376 was used for the training phase, and the remaining 30% (validation dataset) for the testing phase. The selection
377 and division were randomly repeated 5 times, in order to assess the stability of the model to the variation of the
378 training and testing datasets, hence, to verify the absence of overfitting issues. Each one of these datasets was
379 created to be equally composed by pixel within a known landslide and pixel outside a landslide. All these data
380 were then used to train and test the algorithm created to predict the landslide susceptibility of the whole area.



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Figure 7. Example of out of bag classification error. The error is stable using 100 or more trees.

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The best predictor model identified in the training phases was then applied to all the available data (also for Turkmenistan and for the 1-km buffer area around the point-object landslides) for the development of the susceptibility map on the whole Central Asia area. The results obtained from the application of the aforementioned methodology are the susceptibility map, the ROC (Receiver Operating Characteristic) curves with their AUC (Area Under the Curve) values, and the histogram of the importance of variables. ROC and AUC are used to verify the quality of the landslide susceptibility model, both by graphical and analytical approach. Due to the high volume of data, their variety, values, and heterogeneity a specific algorithm was created for this work, that was set to be able to perform several activities:

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- Reading and properly formatting the input data and then dividing them between independent and dependent variables.
- Automatically and randomly selecting locations associated with landslides or outside landslides to create the training and test datasets.
- Identifying the best predictor and evaluating its performances by the calculation of the misclassification probability of the values calculated by the model.
- Evaluating the overall performances of the model by the mean of ROC and AUC.
- Identifying the importance of the parameters in landslide susceptibility.
- Applying the model to the whole study area, calculate the probability of classification (landslide or non-landslide) of each pixel and extraction of the final map in raster format.

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The algorithm was set to work in classification mode, e.g., for each pixel a value (1 or 0) is assigned to identify the presence or absence of a landslides (dependent variable), along with the values of the independent variables. Using these data, the RF model identifies the best association of independent variables linked to presence or absence of landslides (landslide susceptibility prediction model).

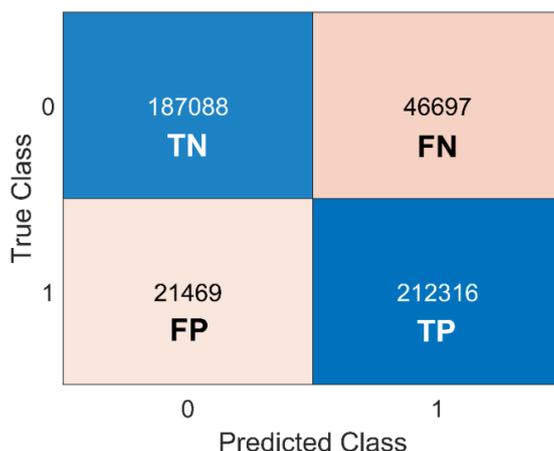


405 The prediction model is then applied to all the pixels of the investigated area, and the probability of each pixel to
406 be classified as landslide (or non-landslide) pixel is evaluated. These probability values are those used to create
407 the landslide susceptibility maps. It must be noticed that the landslide inventories adopted to train the RF rarely
408 reported the type of landslide, so the LSMs must be considered not related to a specific type of landslide.

409 3.6. Model validation

410 To verify the quality of the susceptibility models, beside the AUC value previously reported, a confusion matrix
411 for the four countries where the model was trained was created (Fig. 8). In each matrix the predicted landslide
412 classes are compared with the ground truth to verify the presence of significant misclassification error. In all the
413 matrix the value 1 represent the presence of landslide, the value 0 represents the absence of landslides; the numbers
414 in each cell represent the number of pixels classified in that combination of 0 and 1, according to this scheme (the
415 first number represent the predicted class, the second number the ground truth):

- 416 • 0-0 (True negative): pixels outside any landslides are correctly identified as no-landslide pixels by the
417 model.
- 418 • 1-1 (True positive): pixels inside a landslide are correctly identified as landslide pixels by the model.
- 419 • 0-1 (False negative): pixels inside a landslide are wrongly identified as no-landslide pixels by the model.
- 420 • 1-0 (False positive): pixels outside any landslides are wrongly identified as landslide pixels by the model.



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Figure 8. Confusion matrix for the four countries where the model was trained.

423 The 0-0 and 1-1 combinations represent well classified pixels (blue cells in Fig. 8), while 0-1 and 1-0 represent
424 misclassification error (light red cells in Fig. 8). Since this matrix needs some ground-truth parameters (True
425 classes), it can be applied only where the presence or absence of landslides is known. For this reason, in this work,
426 this matrix was calculated considering only the test dataset. A further control of the results was made using the
427 areas prone to landslides identified in the used landslide inventories.

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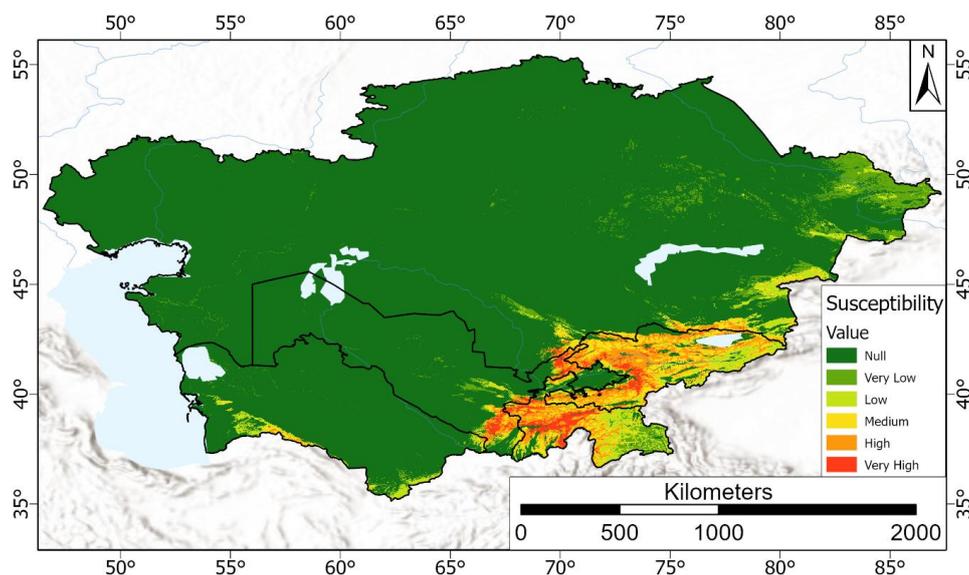
429 3.7. Landslide susceptibility and elements at risk

430 The susceptibility map of the study area was intersected with the elements at risk, consisting of roads-railways,
431 population, to analyse the landslide susceptibility distribution in the area covered by elements at risk. The database
432 of element at risk was provided by Scaini et al., in prep. In order to perform the analysis several approaches were
433 defined based on the different types of elements at risk. the population and buildings data were based on a grid
434 with a spatial resolution of 1km², defining for each cell the number of inhabitants, the number of different types
435 of buildings (residential, commercial, industrial, education and healthcare), and the mean susceptibility class by
436 means of spatial statistics between input databases (population-buildings data and susceptibility map). The results
437 carried out from the spatial statistics allowed to assess the people and buildings distribution within each
438 susceptibility class. On the contrary, the linear elements (roads and railways) were divided in segments with 1-km
439 in length, and buffered, setting a distance parameter equal to 100 m. After this preliminary process, the spatial
440 statistics with the landslide susceptibility have been carried out.

441 4. Results

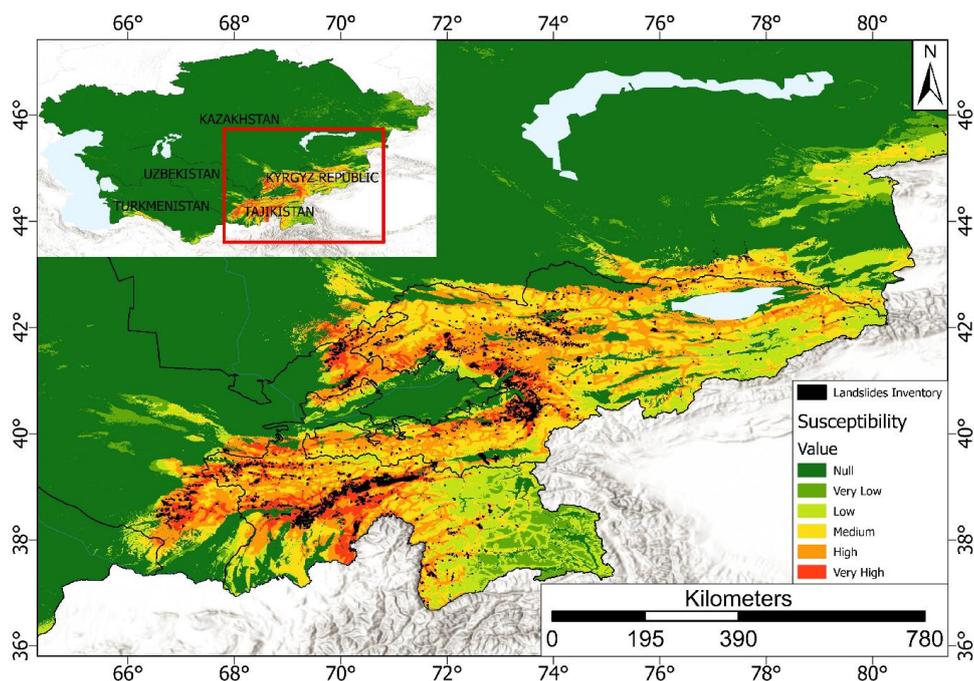
442 4.1 Susceptibility map

443 In the map presented in the following Figures 9 and 10, the susceptibility values, ranging from 0 to 1, were
444 classified into five classes (Table 2). Here the corresponding extension and percentage of the study area are also
445 reported, showing that the most frequent susceptibility class for the whole study area is the null class (=87.8%;
446 landslides generally don't occur in flat areas), followed by low and medium classes. Only the 4% of the central
447 Asian territory is represented by areas with high and very high landslide susceptibility (Table 2).



448
449

Figure 9. Landslide susceptibility map of Central Asia. Basemap source: Esri, USGS, NOAA.



450

451 **Figure 10.** Detail of the landslide susceptibility map with the overlapping landslide polygons (in black). On
 452 the top left the detailed area with respect to the central Asian territory. Basemap source: Esri, USGS, NOAA.

453 **Table 2.** Landslide susceptibility class intervals, corresponding area, and percentage with respect to CA.

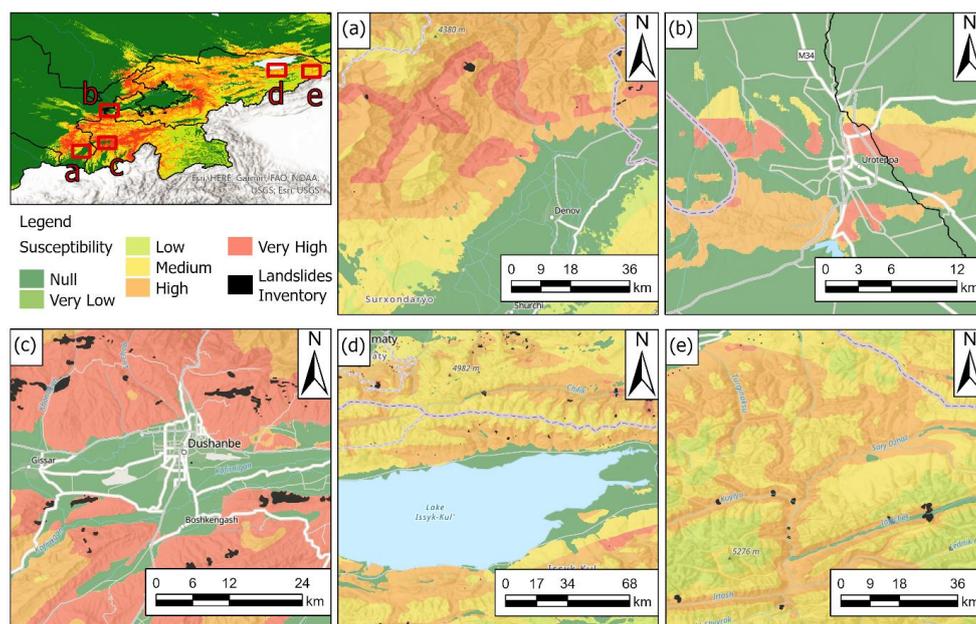
Susceptibility class	Landslide spatial probability interval	Corresponding area (km ²)	Corresponding percentage of CA (%)
Null	0 - 0.05	2,889,481.2	87.8
Very Low	0.05 - 0.25	94,674.7	2.9
Low	0.25 - 0.35	85,294.1	2.6
Medium	0.35 - 0.45	87,528.5	2.7
High	0.45 - 0.6	99,689.8	3
Very High	0.6 - 1	31,436.4	1

454

455 In Fig. 11, the susceptibility maps of five selected areas are displayed. From these details it is possible to ascertain
 456 the high usefulness of the landslide susceptibility map realized by applying the Random Forest model, which,
 457 mainly based on the hydro-geomorphological properties, can establish the degree of susceptibility even in areas
 458 where there is no awareness of the predisposition to instability due to the absence of reported landslides. In
 459 particular:



- 460 • Fig. 11a shows the area north of the city of Denau, in the south-east of Uzbekistan, which is characterized
461 by a high susceptibility, despite the almost total absence of mapped landslides.
- 462 • Fig. 11b shows a detail of the city of Ura-Tube, in the North-West of Tajikistan, where there are not any
463 known landslides, but a high susceptibility has been obtained in the surrounding mountain relief.
- 464 • In Fig. 11c there is a close-up on the city of Dushanbe, the capital of Tajikistan, where close to roads and
465 inhabited centres a high landslides susceptibility is observed.
- 466 • The shores of Lake Issyk-Kul in the Kyrgyz Republic, shown in Fig. 11d, are generally flat areas, with a low
467 or null landslide susceptibility but in the central zone.
- 468 • Finally, Fig. 11e shows a detail of the western area of the Kyrgyz Republic, where a high landslide
469 susceptibility is observed along the slopes adjacent to the river network.



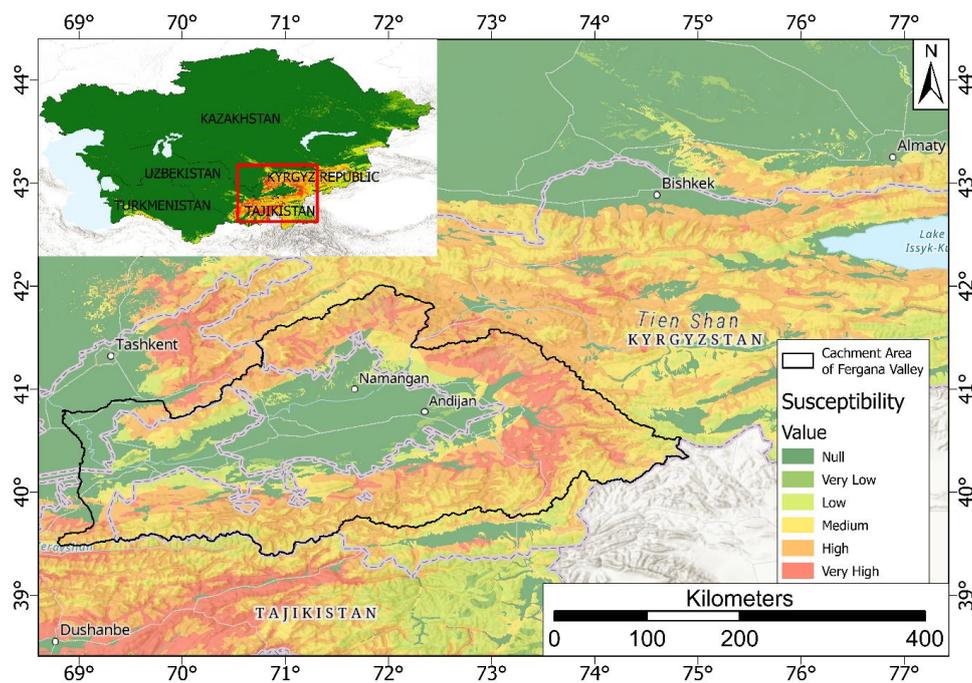
470
471 **Figure 11. Details of the landslide susceptibility map.** (a) the city of Denau, Uzbekistan; (b) the city of Ura-
472 Tube, Tajikistan; (c) the city of Dushanbe, Kyrgyz Republic; (d) the Lake Issyk-Kul, Kyrgyz Republic; (e) the
473 eastern area of the Kyrgyz Republic. Black polygons represent landslide areas from the adopted landslide
474 inventories. Basemap source: Esri, USGS, NOAA.

475 4.2 The Fergana valley mountainous rim

476 The Fergana valley spreads across eastern Uzbekistan, southern Kyrgyz Republic, and northern Tajikistan (Fig.
477 12). It is one of the largest intermountain depressions in Central Asia, located between the mountain systems of
478 the Chatkal-Kuraminsk ranges in the north and the Turkestan--Alai in the south. The two main rivers, the Naryn
479 and the Kara Darya, flow into the valley and unite forming the Syr Darya. In this area landslides represent one of
480 the major natural hazards due to their frequent (seasonal) occurrence across large areas: in fact, they are particularly

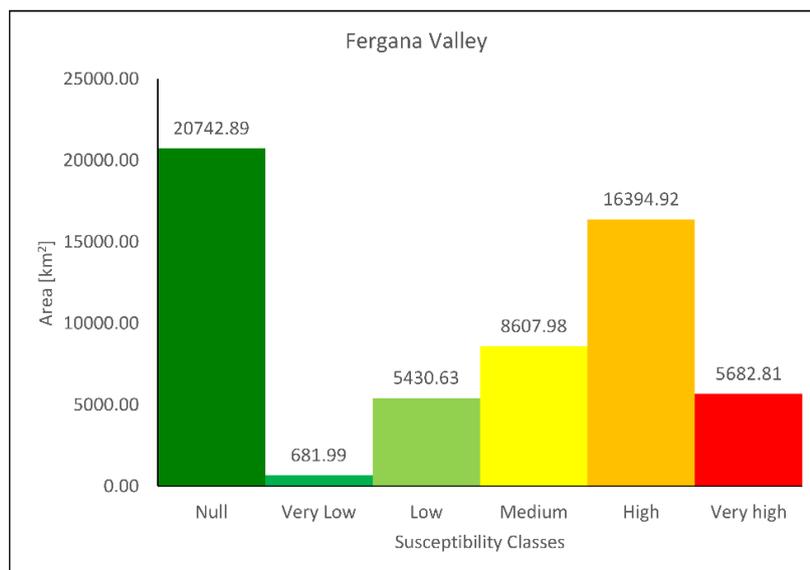


481 concentrated in a range of altitudes between 700 and 2000 m along the topographically rising rim below its
482 transition into higher mountainous terrain (Roessner et al., 2000; 2004; 2005; Behling et al., 2014; 2016). This
483 region is quite densely populated, and landslides lead almost every year to damage of settlements and infrastructure
484 and loss of human life (Schloegel et al., 2011; Piroton et al. 2020). In this area landslide activity is caused by
485 complex interactions between tectonic, geological, geomorphological and hydrometeorological factors (Havenith
486 et al., 2015a, b). In the Fergana valley rim mass movements are often characterized by deep and steep scarps,
487 mobilize weakly consolidated sediments of Tertiary or Quaternary age, including loess deposits (Piroton et al.,
488 2020). These kinds of landslides are particularly deadly, and can be triggered by a combination of long-term slope
489 destabilization factors (e.g., rainfall and snowmelt) and short-term triggers (Danneels et al., 2008). Slope landslide
490 susceptibility was analysed in this area using the previously mentioned methodologies. Fig. 12 shows the particular
491 about the landslide susceptibility map obtained for the Fergana Valley, while Fig. 13 reports the histogram of the
492 area occupied by each susceptibility class. It can be observed that the most frequent susceptibility class in the
493 Fergana Valley area is the Null class, which covers an area of about 20,743 km², that is 36% of the valley. The
494 Very Low and Low classes occupy respectively an area of 681 km² (1.2%) and 5,431 km² (9.4%). The Medium
495 class instead extends for about 8,608 km², namely the 15% of the total. The High class instead extends for about
496 16,395 km², that is 28.5% of the total and finally, the remaining 9.9% of the national territory, that is about 5,683
497 km², is classified in the Very High class.



498

499 **Figure 12.** Detail of the landslide susceptibility map obtained for the Fergana Valley. Basemap source: Esri,
500 USGS, NOAA.



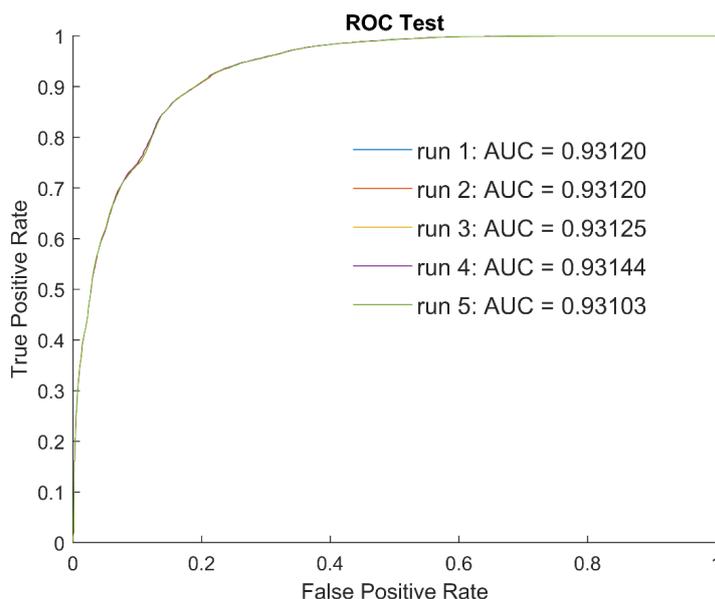
501

502 **Figure 13. Frequency histogram of susceptibility classes obtained for the Fergana Valley mountainous rim.**

503 On each bar the corresponding area in km² is reported.

504 **4.3 Trained model performances and conditioning factors relevance**

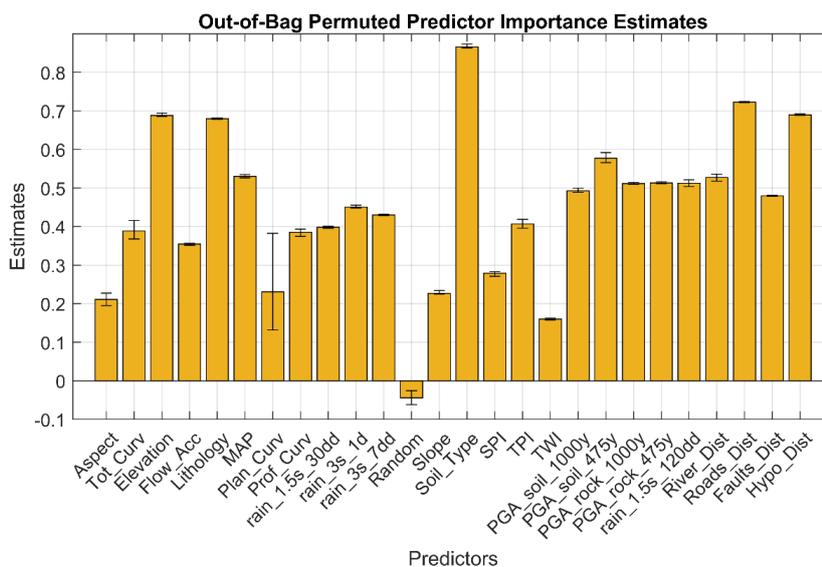
505 The RF was initially trained setting 1,000 trees to be growth. After the first run, the analysis of the out-of-bag error
506 revealed that misclassification probability reduced significantly with a forest of 150 trees and then reduced slightly
507 up to 500 trees, then it turned to be stable, so the optimal number of trees was set equal to 500 and used for all the
508 simulations. As described above, the model was run 5 times to verify its stability and the AUC values ranged from
509 0.93103 to 0.93144 (Fig. 14), with a mean value of 0.93122 and a standard deviation of 0.00015. The low variance
510 of the AUC values confirmed the stability of the model and its applicability to the whole area. As we can see in
511 the ranking of the susceptibility parameters, reported in Fig. 15, soil type, lithology, elevation, the distance from
512 roads and hypocentres plays a crucial role in landslide susceptibility, since they are the five most influencing
513 factors (for the four countries where the model was trained). Rainfall parameters are also important in the obtained
514 landslide susceptibility, in particularly the 1-day rainfall value that shows the highest importance among the
515 rainfall parameters. Also, the PGA maps are a relevant factor, while TWI and slope curvature are the less important
516 parameters. The average AUC value of the models is 0.93122, indicating their very good quality. Such high AUC
517 values can indicate the presence of overfitting issues, but this hypothesis can be discarded, since the random
518 variable resulted without any importance in landslide susceptibility (negative OOB value).



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Figure 14. ROC curve and relative AUC value for each model run.



521

Figure 15. Variable importance in landslide susceptibility for the four countries where the model was trained. From the 5 model runs, the results were averaged and displayed in this image, with the error bars showing the maximum and the minimum value obtained.



525 **4.4 Landslide susceptibility and exposed elements**

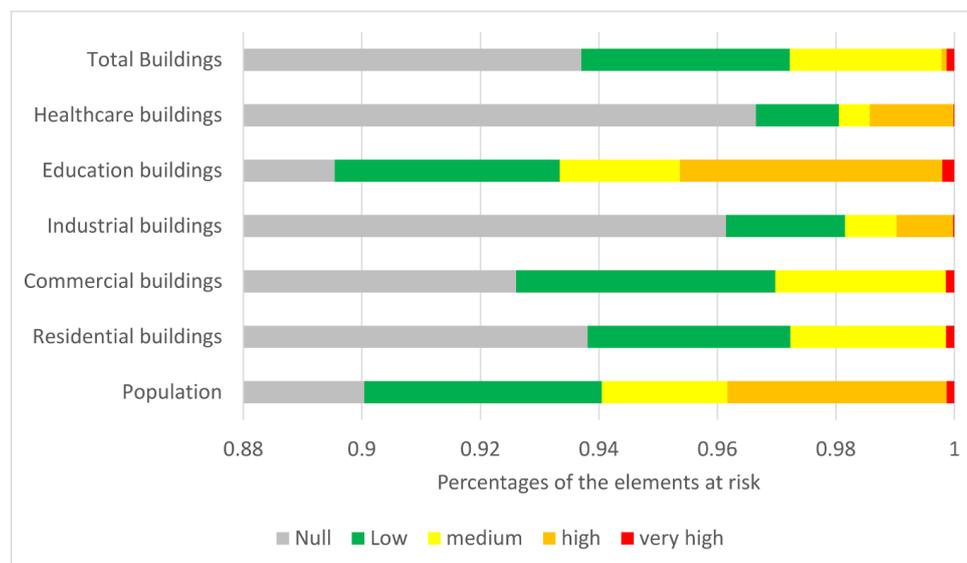
526 Concerning the outcomes regarding buildings and population, they are represented by Table 3, in which for each
 527 susceptibility class the number of people and the number of different building types are reported, and in the bar
 528 diagram of (Fig. 16). The obtained results about roads and railways are reported in Table 4 and in Fig. 17.

529 **Table 3. Population and buildings distribution in each landslide susceptibility class.**

Element at risk	Landslide susceptibility				
	Null	Low	medium	high	very high
Population	68,422,152	3,046,892	1,612,487	2,812,081	97,934
Residential buildings	8,769,270	319,776	245,754	386,628	12,753
Commercial buildings	2,196,037	103,745	68,187	68,232	3,410
Industrial buildings	705,352	14,776	6396	7024	110
Education buildings	42,472	1802	960	2102	96
Healthcare buildings	15,476	224	84	226	2

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533 **Figure 16. Percentage of the Element at risk falling within landslide susceptibility classified areas.**

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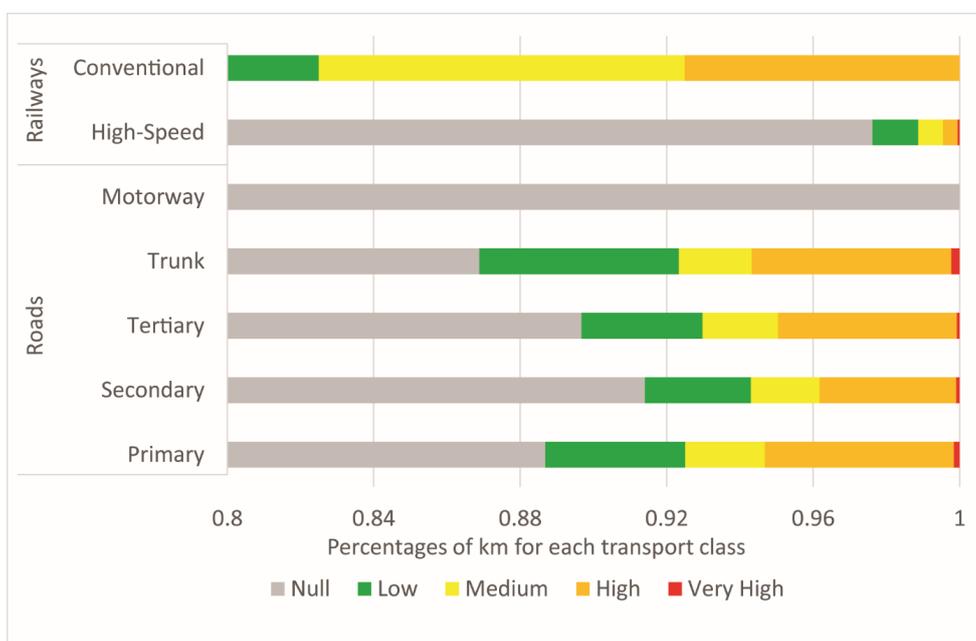
536



537 **Table 4. Distribution (corresponding km) of road and railway classes in landslide susceptibility classes.**

		Null	Low	Medium	High	Very High
Road Class	Primary	15,000	646	368	873	26
	Secondary	28,773	911	589	1,173	30
	Tertiary	71,515	2,637	1,643	3,898	55
	Trunk	30,058	1,887	686	1,887	77
	Motorway	1,732	/	/	/	/
Railway Class	High-Speed	45,866	589	317	187	25
	Conventional	128	4	16	12	/

538



539

540 **Figure 17. Landslide susceptibility distribution for each transportation class.**

541

541 **5. Discussion**

542

542 **Landslide susceptibility**

543

543 The main issue affecting the used random forest model is the need of an adequate training dataset to properly
 544 calibrate the predictor model. The first step of the work has been the homogenization of the landslide data, the
 545 used landslide inventory was created starting from different sources, hence, with quite non-homogeneous (e.g., in
 546 some cases the whole landslide perimeter was available, in other cases only a point representing the source area



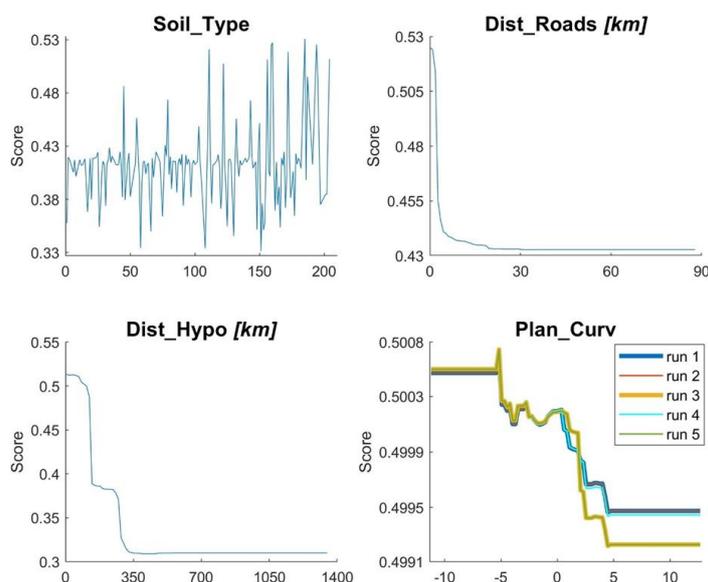
547 of each landslide was provided, without info about the landslide dimension or propagation distance; more in
548 general there were few or no data about the landslide type or triggering causes). The lack of some data about the
549 landslides, or the partial or complete lack of landslides as in Kazakhstan and Turkmenistan, could lead to
550 underestimate the real landslide hazard of the studied countries, since some points could have been wrongly
551 classified (e.g., they have been considered as no landslide areas, but it was possible that a not reported landslide
552 was present). Furthermore, not all the adopted landslide inventories included information regarding the landslide
553 types, leading to the creation of a general landslide susceptibility map, where all the types of landslides are
554 considered. The created maps have been validated only using the available landslide dataset, providing good results
555 and highlighting the good prediction capability of the model. Anyway, an in-situ validation in some sample areas
556 can help to verify the quality of the results. As previously stated, for Turkmenistan there was no landslide inventory
557 available to train the RF model, therefore the corresponding LSM was obtained applying the model trained for the
558 other four countries. The lack of landslide data did not allow any validation of the result or estimation of the quality
559 of the susceptibility map of Turkmenistan. Furthermore, applying the model developed for the other countries, the
560 same importance of the conditioning factors (e.g., the independent variables) was assumed. For these reasons, the
561 landslide susceptibility map for Turkmenistan is more uncertain than those evaluated for the other four countries.
562 Among the used conditioning factors, soil type, distance from roads and distance from hypocentres resulted to be
563 the most influencing factors in slope stability, while planar curvature resulted with a high variability of its
564 importance. These parameters have been hence more deeply analysed to understand how the influence landslide
565 susceptibility. According to the partial dependency plots (Fig. 18), which show how the values of each
566 conditioning factor influence the landslide susceptibility, the soil types more related to landslides are lithosols and
567 cambisols, low thickness soils limited in depth by a continuous coherent and hard rock layer, located in steeply
568 slopes, with more than 30% of slope gradient. While the classes that have the lowest importance score are fluvisols
569 (young soils in alluvial deposits), xerosols (mainly arid clay) and chernozems (soils rich in organic matter), each
570 situated in flat to hilly areas, with less than 30% of slope gradient. Distance from roads, as expected, is important
571 for low values since the importance score is maximum for distance close to zero and it decrease exponentially with
572 the increasing of the distance. A similar behaviour can be noted with the distance from hypocentres, meaning that
573 areas close to hypocentres (within a radius of about 25 km) can more easily experience landslide phenomena in
574 case of future earthquakes. The partial dependency plot of planar curvature showed that the variability highlighted
575 in Fig. 16, is in fact, not so relevant since the range of the importance score is quite limited (values ranging from
576 0.4992 to 0.5008). In addition, it is possible noticing that negative values of planar curvature have a higher
577 importance score than zero values or positive values, meaning that concave slopes are more prone to landslide
578 than plain or convex surfaces.

579 *Landslide susceptibility and exposed elements*

580 The integration of susceptibility map with the maps of element al risk and communication router allowed to
581 identify those elements potentially more prone to landslide hazard, even of with some limitations. The obtained
582 results are indeed influenced by the input data (the susceptibility maps and the elements at risk databases).



583 The buffering procedures on roads and railways could overestimated or underestimated the susceptibility
584 distribution in some cases, likewise the analysis at 1km² resolution on population and buildings could led to an
585 exaggeration in the assessment of elements distribution in each class of landslide susceptibility.



586

587

Figure 18. Partial dependence plots.

588 Nevertheless, the adopted approaches represented the only way to obtain an analysis as much accurate as possible
589 respect to the input databases. In this perspective, the detail of analyses could be improve focusing both on the
590 refinement of the analysis resolution (e.g., population and buildings) and on the elements at risk that are not located
591 in flat areas, where the landslide susceptibility is surely 0 or NULL.

592 6. Conclusions

593 In this work a new landslide susceptibility assessment of Central Asia was carried out. With respect to previous
594 works, in this research a unique map was created and with a higher resolution, in order to avoid boundary effects,
595 to get to more homogeneous and with better resolution results. The used approach allowed also to identify the
596 most relevant landslides predisposing factors: soil type distance from roads and hypocentres. The size and the
597 heterogeneity of the study area required the use of many input variables, some of them never used before in
598 landslide hazard assessment, and the elaboration of a high volume of data, as well as the adoption of some specific
599 procedure to accounting for the presence of heterogeneities and uncertainties in the input data, as the presence of
600 point landslides. The comparison with elements at risk and communication routes allowed a better assessment of
601 landslide hazard in the area, which can be useful to improve the land use management and to reduce the risk. The
602 main limitation of the work is related to absence of data about type and geometry for several landslides; in the
603 future a better input landslide inventory could help get to different susceptibility maps for different landslide types.



604 Another limitation is due to the absence of any information about the presence or absence in Turkmenistan, which
605 did not allow any clear validation of the results for this country.

606 **Code and data availability.** The landslide susceptibility model was implemented by using the cited landslide
607 inventory maps, published by the following authors: Behling et al., 2014, 2016, 2020; Havenith et al., 2015a;
608 Kirshbaum et al., 2015; Pittore et al., 2018; Strom and Abdrakhmatov, 2018. Other data implemented in the model,
609 such as MERIT DEM, geological formations, Active Fault Database, soil type map, rainfall maps are available
610 from Yamazaki et al. 2017, Persits et al., 1997, Styron and Pagani, 2020, <https://land.copernicus.eu/>,
611 www.ecmwf.int/en/forecasts/datasets/reanalysis-datasets/era5, respectively. The database on infrastructures, river
612 network, PGA and other landslide inventories were provided by the SFRAAR project partners: RED (Risk,
613 Engineering + Development – Pavia, Italy), OGS (National Institute of Oceanography and Experimental
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615 Engineering and Ecology, Dushanbe, Republic of Tajikistan), ISASUZ (Institute of Seismology of the Academy
616 of Science of Uzbekistan, Tashkent, Uzbekistan), LLP (Institute of Seismology of the Science Committee of the
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619 with Ascanio Rosi the article structure and collected the data, Nicola Nocentini prepared the landslide
620 susceptibility data and supported the model implementation, Francesco Caleca prepared the infrastructure data for
621 the model implementation and performed the statistical analysis involving the landslide susceptibility areas and
622 the exposed elements. All the above mentioned authors contributed to the writing of the article and the figure
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625 Earthquakes and landslides. *Geomorphology* 249, 16–31, 2015a; and ii) Strom, A., Abdrakhmatov, K.: Rockslides
626 and rock avalanches of Central Asia: distribution, morphology, and internal structure. Elsevier, 441pg. ISBN: 978-
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