



Testing the 2020 European Seismic Hazard Model (ESHM20) against observations from Romania

Elena F. Manea^{1,2}, Laurentiu Danciu³, Carmen O. Cioflan¹, Dragos Toma-Danila¹, Matt Gerstenberger²

¹National Institute for Earth Physics, Măgurele, 077125, Ilfov, Romania

5 ²GNS Science, PO Box 30-368, Lower Hutt, New Zealand

³ETH Zurich, Seismology and Geodynamics, Sonneggstrasse 5, 8092 Zurich, Switzerland

Correspondence to: Elena F. Manea (flory.manea88@gmail.com); Laurentiu Danciu (laurentiu.danciu@sed.ethz.ch)

Abstract. Evaluating the performance of probabilistic seismic hazard models against recorded data and their potential to
10 forecast future earthquake's ground shaking is an emerging research topic. In this study, we evaluate and test the results of the
recently released European Seismic Hazard Model (ESHM20; Danciu et al., 2021) against observations for several cities in
Romania. The dataset consists of ground shaking recordings and macroseismic observations, which extend the observational
time-period to few hundred years. The full distribution of the hazard curves, depicting the epistemic uncertainties of the hazard
at the given location was considered and the testing was done for peak ground acceleration (PGA) values i.e., 0.1g and 0.2g.
15 The results show close agreement between the ESHM20 and the observations for the cities located near the Vrancea
intermediate-depth source (VRI) for both selected PGA levels. ESHM20 appears to overestimate the VRI recorded ground
motions along the Carpathian Mountain Range and underestimate those at the far-field locations outside the Carpathians. Some
of these differences might be attributed to the uncertainties in data conversion, local site effects, or differences in the attenuation
patterns of the ground motion models. Our analysis suggests that the observed exceedance rates for the selected PGA levels
20 are consistent with ESHM20 estimates, but these results must be interpreted with caution given the limited time and spatial
coverage of the observations.

1 Introduction

Probabilistic seismic hazard analysis (PSHA) is an important framework in seismology and earthquake engineering, widely
used worldwide to quantify the uncertainty inherent in both the occurrence and effects of earthquakes. PSHA underlines a
25 wide range of applications, from the development of modern seismic design building codes to seismic risk assessments. It also
informs various public policy and risk management strategies aimed at mitigating the impacts of seismic events.

Despite its widespread adoption, testing the PSHA results is not straightforward. The inherently random nature of earthquakes,
coupled with long recurrence periods, or low probabilities and high consequences events, makes the empirical validation of
PSHA models and results a task that would typically require observations spanning multiple human lifetimes (e.g. Vanneste
30 et al., 2018; Gerstenberger et al., 2020; Allen et al., 2023). For instance, in regions like France or Germany, where the



installation of accelerometric stations began in the mid-1970s, the empirical data available is limited to a short temporal window. Even in more seismically active regions like Italy, Turkey or Greece, subject to more frequent damaging events, validating probabilistic hazard models is challenging for the same reasons. In recent years, several procedures have emerged aimed at testing seismic hazard estimates against past observations (e.g., Hanks et al., 2012; Marzocchi and Jordan, 2018).
35 These procedures are typically performed at shorter (e.g., Stirling and Gerstenberger, 2010; Tasan et al., 2014; Mousavi and Beroza, 2016; Mak and Schorlemmer, 2016; Iervolino et al., 2023; Stirling et al., 2023) or longer return periods (e.g., Rey et al., 2018; Salditch et al., 2020; Meletti et al., 2021), depending on the aim of the application.

The current study aims to compare the recently released European Seismic Hazard Model (ESHM20; Danciu et al., 2021) results against instrumental recordings and detailed macroseismic observations specific to Romania. This region offers a
40 distinctive seismo-tectonic landscape, dominated by the Vrancea intermediate-depth seismic source (VRI). The VRI has a concentrated nest of seismicity at depths between 60 and 200 km, which is associated with the current dehydration of an oceanic subducted plate, as noted by Ferrand and Manea (2021) and Craiu et al. (2022). Macroscopic intensities up to X Medvedev–Sponheuer–Karnik 1964 intensity scale (MSK-64, Medvedev et al., 1967) were reported, with notable/maximum effects seen outside the epicentral area: values of IX+ for 1940 event with the moment magnitude $M_w=7.7$, and VIII+ MSK-
45 64 for the 1977 event with $M_w=7.4$ (e.g. Kronrod et al., 2013). The largest intensity values are found outside of the Carpathian belt, where a substantial number of sedimentary structures are located (Marmureanu et al., 2016a; 2017; Manea et al., 2019). Beside this, the source properties imprint an asymmetric shape to the macroseismic field, elongating it in the NE-SW direction (Marmureanu et al., 2016b). In contrast, strong back-arc attenuation features are recorded within the Carpathian region and prescribe the current pattern of the macroseismic fields (e.g. Vacareanu et al., 2015; Manea et al., 2022). The VRI impact
50 overpass the national borders and significant damage has been reported in neighbouring countries, e.g., observed intensities of VII-VIII at more than 250 km epicentral distances during 7.7 M_w 1940 event (Cioflan et al., 2016). Furthermore, while the shallow crustal seismic activity in Romania is not as frequent as the one at intermediate depths in the Vrancea region, it still poses a significant contribution to the regional seismic hazard. The main seismic sources for such events are located along the Carpathian Mountains, particularly in the Fagaras-Campulung zone, as well as in the foreland regions of southwestern
55 Romania, including Banat and Danubius, and extending northwest to Crisana-Maramures. Despite the lower rate of crustal activity in these areas compared to the Vrancea region, historical accounts and pre-instrumental catalogues document significant earthquakes with magnitudes $M_w \geq 5$ and epicentral intensities $I_0 \geq 6$ MSK scale, indicating substantial effects on the affected regions (e.g., Radu, 1979; Oncescu et al., 1999). Thus, in this study, we consider intensity data spanning over three centuries from twelve important cities in Romania (see *Figure 1A*). These urban areas are selected for their significant
60 population and different exposure to seismic hazard levels. The present study begins with an overview of the ESHM20 and its specific relevance to Romania. It will then discuss the main components of the model and the results relevant at the regional level. The next section describes the main data, the curation and conversion procedure, which includes how historical macroseismic data were collected and converted into peak ground acceleration (PGA) values for different Romanian cities. Subsequently, a summary of the statistical testing process will be given, detailing the approaches taken to contrast the recorded



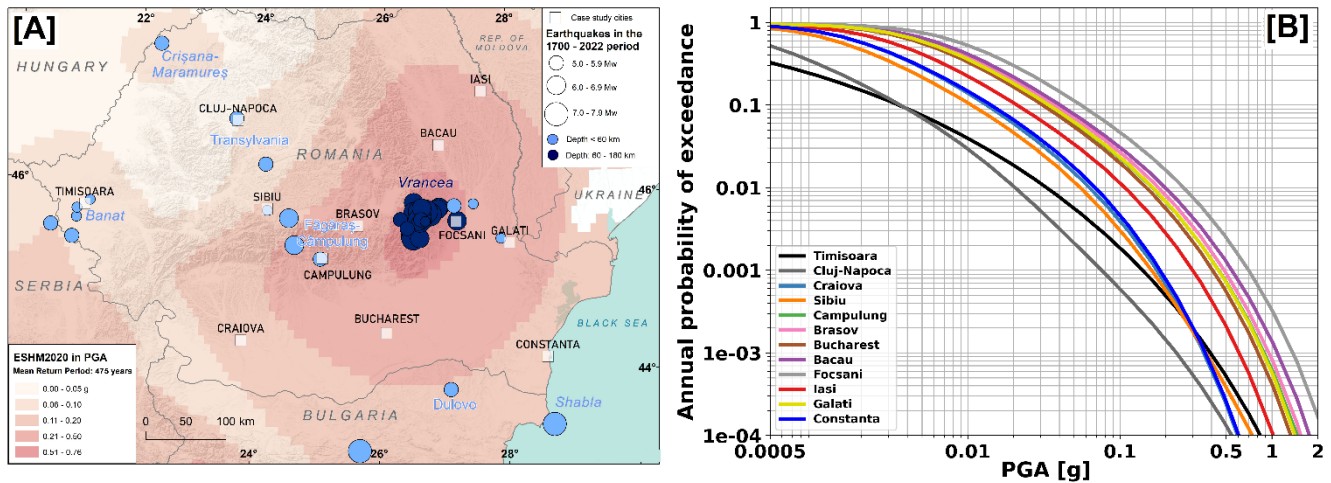
65 seismic activity with the ESHM20 estimates. Next, the main outcomes of the statistical testing at two reference values for
PGA - 0.1 and 0.2 g, are illustrated and interpreted, followed up by discussion and conclusions of our findings. We also
acknowledge the various attempts that have emerged in recent years aimed at testing seismic hazard estimates against past
observations (e.g. Marzocchi and Jordan, 2018; Rey et al., 2018; Meletti et al. 2021, Stirling et al., 2023) and we try to use
their experience when applying such techniques for Romania.

70 **2 ESHM20: Results for Romania**

The 2020 European Seismic Hazard Model (ESHM20, Danciu et al 2021, 2022) is the latest revision and update of the seismic
hazard assessment for the Euro-Mediterranean region. ESHM20 is constructed using harmonised datasets that include
information on ground motion, earthquake catalogues, active faults, and tectonic data across different borders. The ground
shaking hazard in the region is estimated by combining a complex seismogenic source model, which includes distributed
75 seismicity, active faults, and subduction sources, with regionally scaled backbone ground motion models (Weatherill et al.,
2023). More specifically, the seismogenic source model consists of two branches of sources: the area source models and a
hybrid combination of active faults and background smoothed seismicity. In Romania, due to the lack of available data on
active faults, the seismogenic source model is based on an area source model and a smoothed seismicity with an adaptive
kernel. Furthermore, the seismogenic sources depicting the nested seismicity with depth in the Vrancea region are also
80 considered. The ground motion characteristic models for Romania are scaled based on regional factors to capture the ground
shaking characteristics of both the active shallow crust and non-subduction deep seismicity. These models are described by
Weatherill et al., (2020, 2023). A complex logic tree was developed to address the spatial and temporal variability in the
earthquake rate forecast as well as the regional backbone ground motion models. The computation was performed using
OpenQuake (Pagani et al 2014) and a sampling technique of the full logic tree was used to obtain the distribution of the hazard
85 results. For this analysis, we selected twelve major cities in Romania, as illustrated in *Figure 1A*, where we superimposed the
ESHM20's ground shaking map in terms of peak ground acceleration (PGA) for a return period of 475 years. Also, the relevant
earthquakes with moment magnitude, $M_w > 5$ at which at least one macroseismic intensity exceeding 6 MSK-64 is recorded
at the selected locations, are also plotted in the same map. The highest PGA mean value is observed in the Vrancea region, the
region of high seismicity as indicated also by the density of seismicity. The pattern of PGA values follows the Carpathian Arc,
90 with values decreasing in the backarc towards the north-western part of the region. The range of PGA values is rather large,
spanning from 0.05g in Oradea and Cluj, to 0.75g observed for Focsani. The hazard curves of ESHM20 for mean PGA values
for the selected cities in Romania are presented in *Figure 1B* and show that the decay of the hazard curves is different, with a
fast decay indicating lower hazard and vice-versa. A significant spreading of the mean hazard curves is present between the
locations outside and within the Carpathian arc, following the same pattern as the 475 year mean ESHM20's ground shaking
95 map (*Figure 1A*). The highest annual probability of exceedances (APEs) is seen at locations in the proximity of the Vrancea
source, which dominates the hazard at all the recurrence periods, while the lower values are observed at cities located in the



far-field extent of this region, where low-recurrence shallow seismicity is present. Finally, we used the full distribution of the ESHM20 hazard curves to retrieve the statistical testing input, as described in the testing procedure section.



100

Figure 1: [A] Location of the twelve cities and the post-1700 earthquakes (according to the Unified Earthquake Catalogues of the European Seismic Hazard Model 2020 - ESHM20; Danciu et al., 2021) used in our study. The background is the ESHM20's ground shaking map in terms of peak ground acceleration (PGA) for a return period of 475 years. [B] The ESHM20's annual probability of exceedance as a function of PGA (so called hazard curves) at the selected cities in Romania.

105 3 Available Data and Conversion

Macroseismic intensity observations recorded over several hundreds of years (starting with 1700) at the main cities across Romania are used to test ESHM20's results. The selected cities are among the most highly populated urban areas across Romania and are well-distributed with respect to the various seismic hazard levels and source characteristics shown by the ESHM20's PGA hazard map for the 475 year return period (see *Figure 1A*). It is noteworthy, that these observations were collected within this study and were not directly used in the derivation of any component of the ESHM20, securing their independence for statistical testing. Intensity data points (IDP) were acquired from multiple available sources: Atanasiu (1961), Constantin et al. (2011, 2013, 2016, 2023), Kronrod et al. (2013), Marmureanu et al. (2018), Rogozea (2014; 2016) and Shebalin et al. (1974). Beside compiling original information (i.e., intensity values), most of these studies are also providing new evaluations at locations where new macroseismic information became available. Note that, while IDPs of the XVII-XVIII centuries had been evaluated from scarce information, the ones related with strong Vrancea earthquakes of the 20th century were collected through wide national campaigns (see details in Kronrod et al., 2013, Constantin et al., 2016). Several IDPs of our initial dataset have a very local character as they strictly reflect the effects of strong intermediate-depth earthquakes on specific buildings (e.g. Marmureanu et al., 2018) existing at the respective time (e.g. churches, monasteries). Where available, such site-specific intensity estimations are averaged with macroseismic data from other authors and various sources (especially

110

115



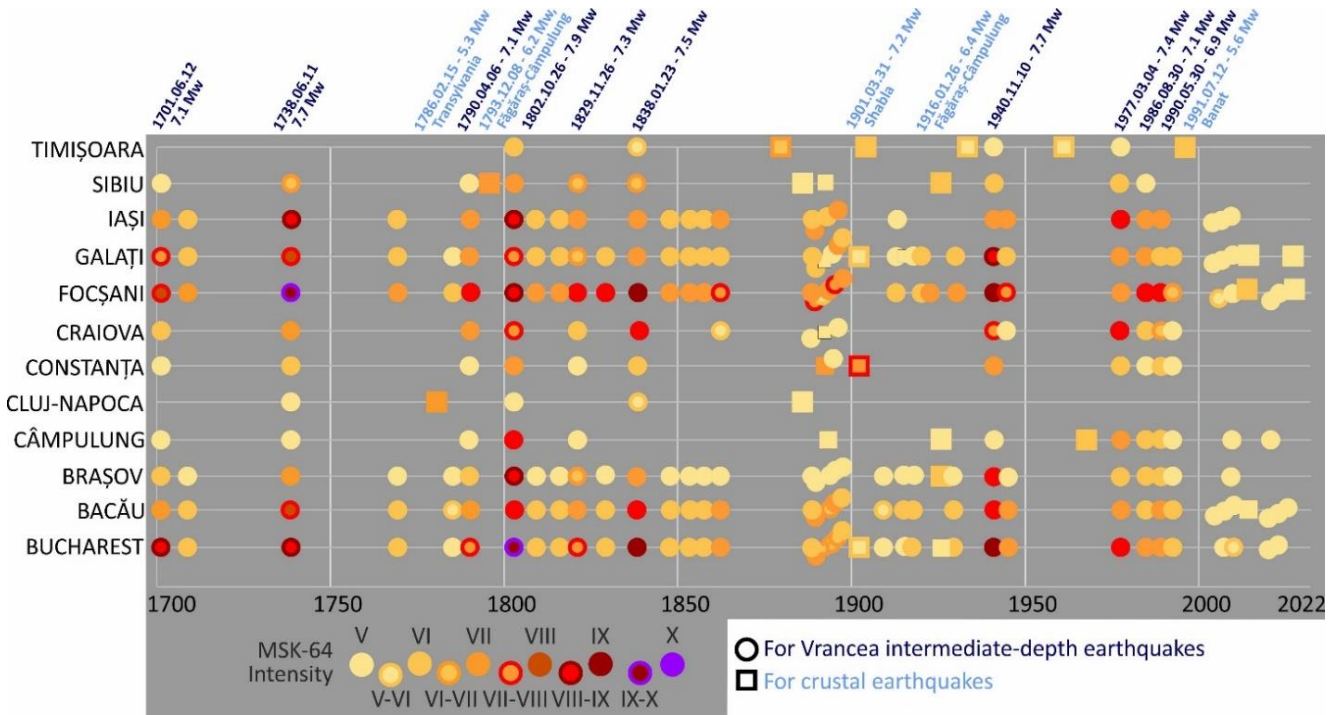
120 isoseismal maps). Additionally, maps published before 2000 have been checked against the information available in the
European Archive of Historical Earthquake Data platform (AHEAD; Rovida et al., 2020) which also helped us to fill in the
data gaps for some cities. If an IDP was not available at the specific location, a natural neighbour interpolation scheme (Sibson,
1981) was used to extract it from georeferenced isoseismal maps selected from the above-mentioned sources. Some of the
collected IDPs were reported in the Rossi-Forel intensity scale (e.g., 7.1 Mw 1908 VRI earthquake) and were homogenised to
125 MSK-64 using the conversions proposed by Musson et al. (2010). Thus, we also treat MMI and EMS-98 intensity values as
equivalent to MSK-64 ones. The MSK-64 is preferred as the VRI's intensity to ground motion conversion equations (IGMCEs)
were developed using this intensity scale for Romania.

From this collected dataset, we considered only IDP data from events with $M_w \geq 6$ for VRI and $M_w \geq 5$ for shallow seismicity
(see their locations in *Figure 1A*) and with a minimum observed epicentral intensity I_0 of VII MSK-64, which corresponds to
130 a PGA value of 112 cm/s^2 for VRI (e.g. Ardeleanu et al., 2020) and/or 154 cm/s^2 (Caprio et al., 2015) for shallow seismicity.
The testing dataset at the twelve major cities contains 199 IDPs recorded from 58 earthquakes (see *Figure 2*), from which 39
are located in the VRI region and are considered as main events in the ESHM20 declustered catalogue (Danciu et al., 2021;
2022). Where available, the converted PGA values were replaced by the recorded ones from the pre-1977 VRI events dataset
of Manea et al., (2022). We did not include any intensity measure which is related to the events identified as foreshock,
135 aftershock, or swarm events.

To perform a comparison between the ESHM20 results and the collected MSK-64 IDP, the intensity values were translated to
PGA using the latest conversion equations proposed by Ardeleanu et al., (2020) for the VRI source and Caprio et al., (2015)
for global crustal as no local shallow models are available. The equation of Ardeleanu et al., (2020) was selected as it is the
most recent intensity to PGA conversion equations proposed for VRI and its predictions agree with the ones from the previous
140 studies, such as Vacareanu et al., (2015), Marmureanu et al., (2011). The distribution of the MSK to PGA conversions and
their corresponding standard deviations within the range of 1-10 MSK-64 are presented in *Figure S1*, which can be found in
the electronic *Supplementary Materials*. We decided to do the translation from IDP to PGA, as it is more efficient to convert
the relatively small number of the reported intensities and more importantly, to minimises potential errors at the data levels,
rather than at the results. To align to the ESHM20 rock conditions, for which the time-averaged shear-wave velocity to 30 m
145 depth (V_{s30}) is set to 800 m/s, the ground-motion amplitudes were corrected for site effects considering amplification in each
city by means of soil factors recommended in Eurocode 8 (Comité Européen de Normalisation (CEN), 2004, EC8) for crustal
seismicity and the adjusted ones proposed by Vacareanu et al., (2014). The site classification parameters, such as V_{s30} and
EC8 site classes, were gathered from Manea et al., (2022) and Coman et al., (2020). The use of observational intensity data to
compare against hazard curves introduces additional layers of uncertainty. One must acknowledge the complex process of
150 converting *subjective* intensity measures into *objective* ground acceleration values, given the uncertainty nature of intensity
observations and the variability in the human experience of ground shaking (e.g. Rey et al., 2018). Furthermore, the
determination of complete and reliable historical records for specific macroseismic intensity levels is equally challenging,
presenting a considerable difficulty in aligning the past seismicity with probabilistic forecasts. To evaluate how much these



155 uncertainties impact the results of the hazard testing, we incorporated the full uncertainty variability within the PGA
 calculations by considering the standard deviations of the intensity to ground shaking conversion models.



160 **Figure 2: The distribution of the selected intensity data points used for the ESHM20 hazard testing at the twelve cities, with a threshold above V MSK-64. The timeline and primary source information for the major earthquakes, which significantly affected the Romanian territory, are presented in the upper side of the plot.**

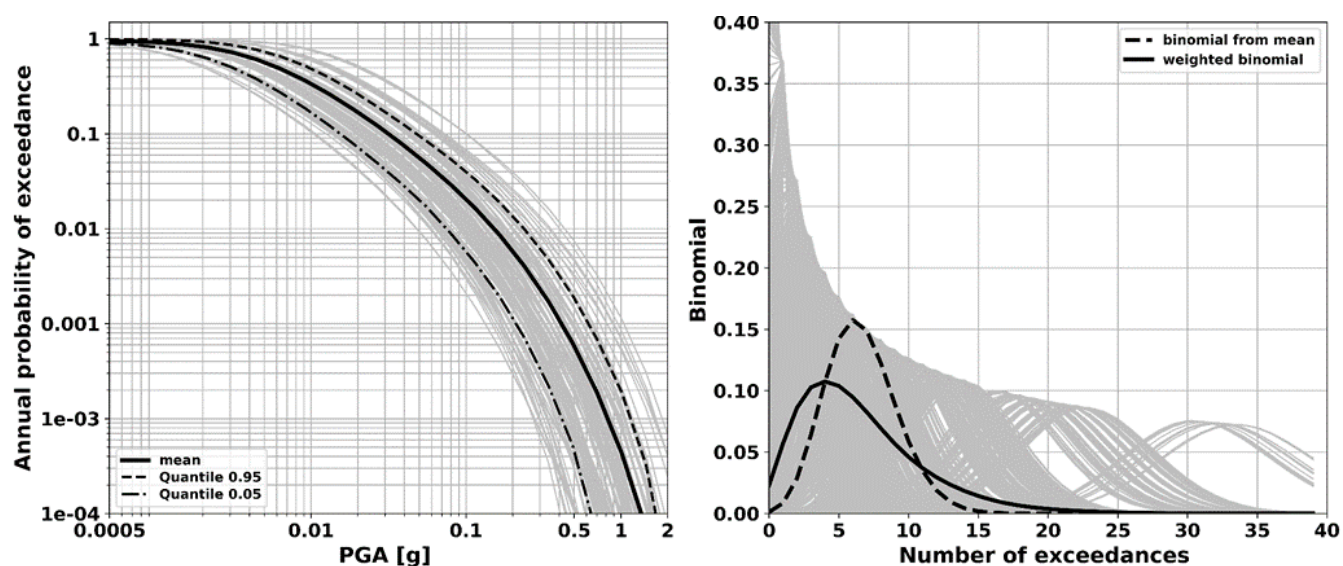
4 Statistical Testing Procedure and Results

In the following section, we provide an overview of our methodology for evaluating the performance of the ESHM20 ground shaking estimates by comparing them to instances of ground motion exceedances at twelve main cities in Romania. The statistical testing relies upon comparing the actual occurrences of ground acceleration surpassing specific thresholds (0.1 and 0.2g PGA) with the ESHM20 estimates, by considering the associated uncertainties. The selected ground motion levels are of relevance to PSHA in Romania, with 0.1g approximating the lower bound of damaging ground motions. First, we compile the full dataset of ground shaking that includes both the recordings (where available) and the macroseismic observations. Next, we determine the specific time period of this dataset and count the instances where the acceleration thresholds are surpassed, by considering the influence of site conditions and uncertainties in the conversion process. Subsequently, we forecast the anticipated number of exceeding occurrences by using a binomial distribution (Stirling et al., 2023) to evaluate the likelihood of observing the exact number of exceedances. This statistical testing approach follow closely the procedures proposed by



Marzocchi and Jordan (2014, 2017, 2018), which accounts for both the aleatory and the epistemic uncertainties of the hazard (Meleti et al., 2021; Stirling et al., 2023).

175 *Figure 3* illustrates the hazard curves for Bucharest city, the capital of Romania. The distribution of the 10,000 random sampled hazard curves along the ESHM20 logic tree is shown in *Figure 3A* together with the mean and the 5 and 95 percentiles. The variability of all the computed binomials for the entire ensemble of the hazard curves are presented in *Figure 4B*, alongside the final weighted mean considering the full distribution of the uncertainties and the resulting binomial retrieved from the statistical mean. Note that the statistics are summarized for the Annual Probability of Exceedance (APE) and that the differences between the two statistical descriptors i.e., weighted mean versus statistical mean is evident in *Figure 4B*. To
180 identify potential influences due to the selection of a specific distribution, we fitted several distributions to the APE range at 0.1g, as illustrated *Figure S2* in the *Supplementary Materials*. The distribution of the APEs reflects the contribution of various logic tree branches, and given the fitted distribution the statistical mean, might be different. Thus, for this analysis we consider the weighted binomial distribution considering the asymmetric APEs distribution.



185

Figure 3: [A] Hazard curves from the 10,000 samplings extracted across all the ESHM20 hazard branches in Bucharest city. The mean hazard is presented as a continuous black line, while the dashed ones represent the 5 and 95 percentiles. [B] The variability of the computed binomials for all the hazard ensemble curves is shown together with the final weighted mean curve considering the full distribution of the uncertainties, and the one computed from the commonly used mean hazard curve.

190



Based on the above-mentioned methodology, we perform point-based assessment testing at each of the twelve cities using the following steps:

1. Estimate the time-period of available ground motion for each city in the complied ground motion dataset.
2. Count observed exceedances (after correcting the values for site effects) at PGA for 0.1 and 0.2g levels for each city
195 complete time window and calculate their corresponding standard deviations considering the uncertainties in the intensity to PGA conversions.
3. Calculate the predicted number of exceedances for each of the PGA thresholds considering every branch of the ESHM2022 logic tree (i.e., annual probability of exceedance \times total time-period)
4. Compute a weighted mean binomial distribution from (3) considering the full distribution of the hazard uncertainties
200 and calculate the probability (p-value) that the observed number of exceedances could be drawn from the binomial distribution.
5. Compare observed and expected ESHM20 numbers of exceedances, corresponding to the same time window (with the same length as the complete time window).
6. Compute the p-value where there will be N observations or more than the observed number of exceedances from the
205 binomial distribution.

The results of the statistical testing of ESHM20 at 0.1 and 0.2g PGA are illustrated in *Figures 4* and *5* for six cities (Focsani, Brasov, Bucharest, Iasi, Constanta, and Timisoara), while for the others (Bacau, Campulung, Cluj-Napoca, Craiova, Galati, Sibiu) are given in *Figures S3 and S4* of the *Supplementary Materials*. These plots depict the histogram of the weighted mean
210 of ESHM20, the observed number of exceedances (i.e., black vertical line) and its one sigma variability (i.e., dashed vertical lines). The total time of the observations is specified in each subplot for their respective city. As mentioned before, the average time period of the observations of both ground shaking recordings and macroseismic data spans over 322 years for all the cities, except the ones in the within the Carpathian region, such as: Sibiu and Cluj-Napoca, as well as Timisoara, the westernmost city. For these cities, the time period is about 220 years. Overall, there is a consistent alignment of estimated
215 ground shaking hazard of ESHM20 with the observed data at 0.1g PGA level, as shown by *Figure 5*. Notably, cities located along the northeast-southwest trajectory outside the Carpathians - such as Iasi, Focsani, and Bucharest (see *Figure 4*) - show a robust correlation with the ESHM20 PGA estimates. Of particular interest, is the consistency of the ESHM20 with observations for Focsani, the city found in the proximity of the Vrancea deep seismicity sources, the main seismogenic source of the region. A slight shift from the ESHM20 prediction is observed in the capital city of Romania, i.e., Bucharest, where an
220 increased number of intensities over VII MSK-64 were recorded and might reflect the impact of the way humans experienced ground shaking within different typologies of buildings in megacities (Rogozea, 2016; Cioflan et al., 2016). Also, such a shift might be attributed to the effect of different source and path features, such as directivity, or uncertainties in correcting for site-effects. Furthermore, an overestimation is observed for cities along and in the proximity to the Carpathian bend, e.g., Bacau, Brasov and Campulung, and might suggest that a local attenuation effect is not currently captured or modelled using the



225 ESHM20 scaled backbone logic tree for the Vrancea in-slab region (Weatherill et al., 2020). The impact of different attenuation
patterns due to the complex tectonic configuration was previously seen on both human-felt and instrumental observations (e.g.,
Radulian et al., 2006; Ivan, 2007; Marmureanu et al., 2016b) and captured within the recent region-specific ground motion
models (GMMs; e.g. Vacareanu et al., 2015; Manea et al., 2022). The results at the cities beyond the Carpathian Mountains
(e.g., Sibiu, Cluj-Napoca, Timisoara) exhibit hazard predictions that reflect the frequent crustal seismic activity as a significant
230 attenuation behind the arc dampened VRI-related ground motion. It appears that a longer and more comprehensive dataset
may be required to accurately assess the distribution of ground shaking hazard levels. For cities located in the far-field area of
VRI and outside of the Carpathian arc (fore-arc region), such as Constanta and Craiova, an underprediction of the hazard can
be observed with respect to the recorded data. The same feature can be seen from the 475 return-period PGA map (see *Figure
1A*), and it contrasts with the recorded ground motion field and pre-instrumental intensity data (e.g., Cioflan et al., 2022).
235 Manea et al. (2022) provide insights of the apparent attenuation of the ESHM20 ground motion model for the fore-arc area
and future adjustments of the ESHM20 are recommended to capture the ground motion characteristics within this region of
Romania. However, the estimates of ESHM20 at 0.1g PGA appear overall to be in good agreement to the data, and given all
the uncertainties involved in this analysis, they are acceptable. Similarly, for the 0.2 g PGA level, the results suggest a strong
correlation in areas near the VRI source (see *Figure 5* and *Figure S4*). As in the case of the 0.1g PGA level, Focsani experiences
240 multiple instances of surpassing this PGA level, and the observed exceedances are in good agreement within the ESHM20
estimated binomial distribution. Nevertheless, for the remaining cities, ESHM20 exceedances are prone to slightly
underestimate observed exceedances in Bucharest and Iasi, due to the influence of source/path effects and/or uncertainties in
correcting for site-effects. For the cities located along the Carpathian arc (Bacau, Brasov and Campulung), the trend is reversed,
with ESHM20 exceedances being higher than the observed ground shaking recurrences. For the rest of the cities (Galati,
245 Craiova, Timisoara, Sibiu, Constanta, Cluj-Napoca), the ESHM20 estimates fit the observations relatively well. We also
summarize the results as annual probabilities of exceedance at the two PGA levels (i.e., 0.1g and 0.2g) for all the cities in
Figure S5 in the *Supplementary Materials*. The observed consistency between the mean and the range of annual probabilities
of exceedance from ESHM20 hazard curves and those based on the observations are consistent for the 0.1g PGA level. For
the 0.2g PGA level, the consistency is valid for the cities located in the proximity of the VRI. Additionally, the measured and
250 expected ESHM20 numbers of exceedances for each city are listed in Table 1 together with their associated rate and probability
of exceedances.

6 Conclusions

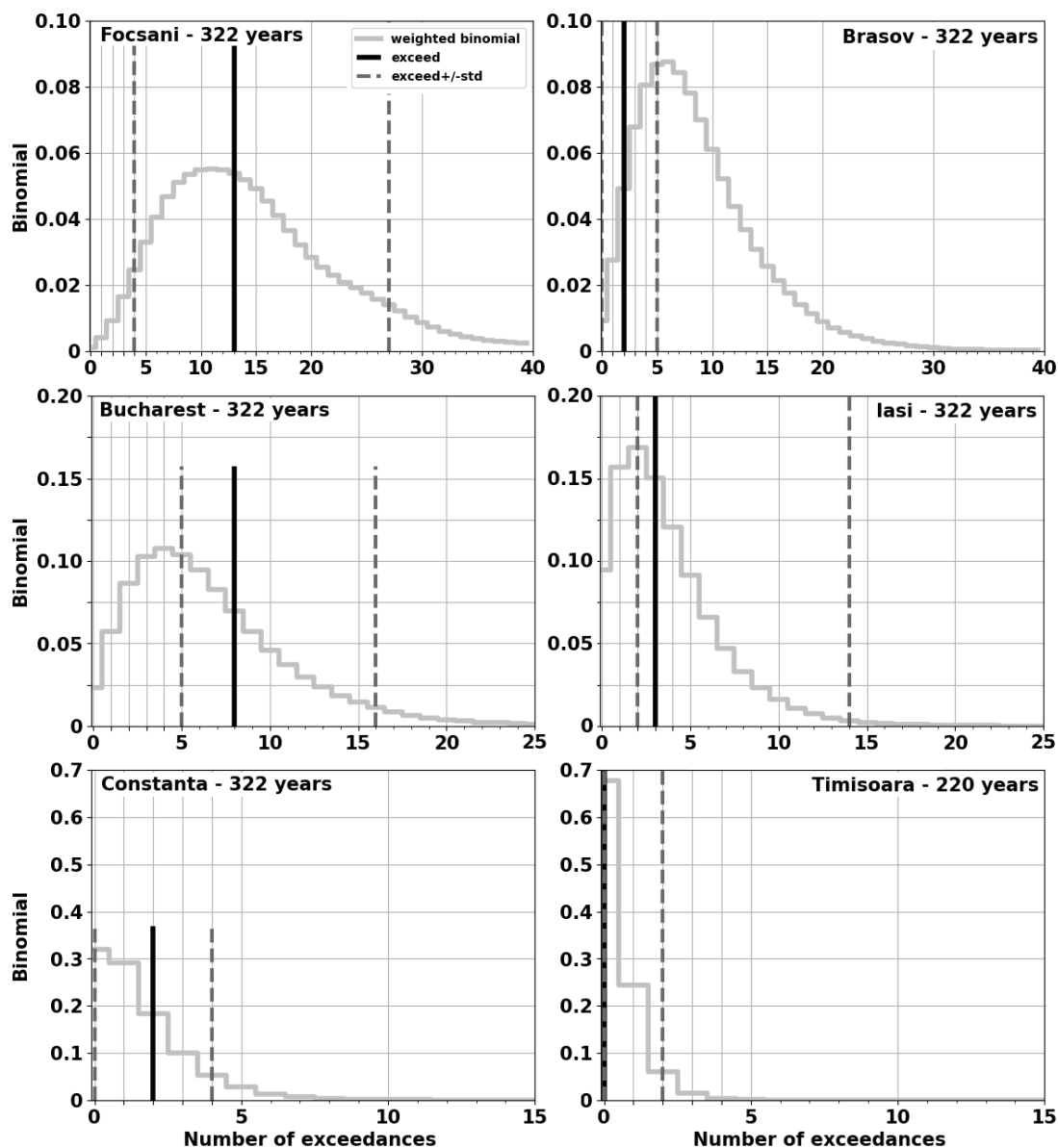
Evaluating the performance of seismic hazard models against recorded data, is an emerging research topic. In this study, we
evaluated the performance of the recent update of the ESHM20 (Danciu et al., 2021) in Romania. The compiled ground shaking
255 database combines strong motion records and macroseismic intensity data. The inclusion of the macroseismic intensity data,
allows expansion of the observational time period to over two to three hundred years, at the cost of increased uncertainties of



the ground motion estimates. The result of the statistical testing suggests that the ESHM20 is in a good agreement with the observations for two PGA levels, at the locations of the twelve cities selected across Romania. We found a strong agreement between the weighted mean of ESHM20 and the exceedances of the observations for the cities (Focsani and Galati) located in the proximity of the VRI source for both PGA levels i.e., 0.1g and 0.2g.

For cities located along the Carpathian arc (Bacau, Brasov and Campulung), the ESHM20 exceedances appear to overestimate the recorded ground motions and suggest that the along-arc attenuation effect (Manea et al., 2022) might not be captured or modelled in the ESHM20 ground motion model (Weatherill et al., 2020). Furthermore, the testing results at cities located in the VRI far-field area and outside of the Carpathian arc (Constanta, Craiova), might suggest that the ground motion models used in ESHM20 attenuate too fast compared to the recorded PGA, as observed by Manea et al. (2022). For the Iasi and Bucharest sites, located along the NE-SW direction from the VRI source, the ESHM20 underestimates the recorded data at the 0.1g PGA level and this feature become more prominent at 0.2 g; these differences might be attributed to: 1) source directivity effects which are significant for major events occurring in Vrancea, 2) potential bias in the conversion of the intensity to PGA, or 3) possible local site effects which were not removed from the observations. While informative conclusions could be drawn from evaluating the comparison at cities along and outside of the Carpathian range, limited conclusions can be derived for locations in regions of low seismic hazard, such as Sibiu and Cluj-Napoca, or Timisoara, in the western Romania. The seismic hazard of these regions is dominated by episodic clusters of small to moderate shallow seismicity with regional effects, which are not well captured in the macroseismic data or the amount of strong motion recordings. We acknowledge that even with a time period of two to three centuries, the observations remain largely incomplete in time and space. The Romanian seismic network (Marmureanu et al., 2022) has evolved over time, however limited ground motion data is available due to lack of significant earthquakes occurring in the recent decade or so. Uncertainties associated with the ground motion dataset are increasing with the conversion of the macroseismic data, as illustrated in the results given in *Figures 4* and *5*. Moreover, we also acknowledge that the statistical testing are limited in scope given all the uncertainties are associated also with the distribution of the hazard results, configuration of the logic tree, sampling technique, and/or use of a certain distribution i.e., binomial or log-normal. All these factors are contributing to the overall stability of the statistical testing.

In conclusion, our analysis suggests that observed exceedance rates for these two PGA levels, i.e., 0.1g and 0.2g, are consistent with ESHM20 estimates, but these results must be interpreted with caution given the limitations in the time and spatial coverage of the observations, both the ground shakings and the macroseismic intensity dataset.



285 Figure 4. Consistency test results of ESHM20 with the observed PGA values at 0.1 g for each of six cities: Focsani, Brasov, Bucharest, Iasi, Constanta, and Timisoara. The histogram depicts the ESHM20 weighted mean, the observed number of exceedances is given as the black vertical line and its one sigma variability i.e., dashed vertical lines; the total completeness time is specified in each subplot for their respective city.



290

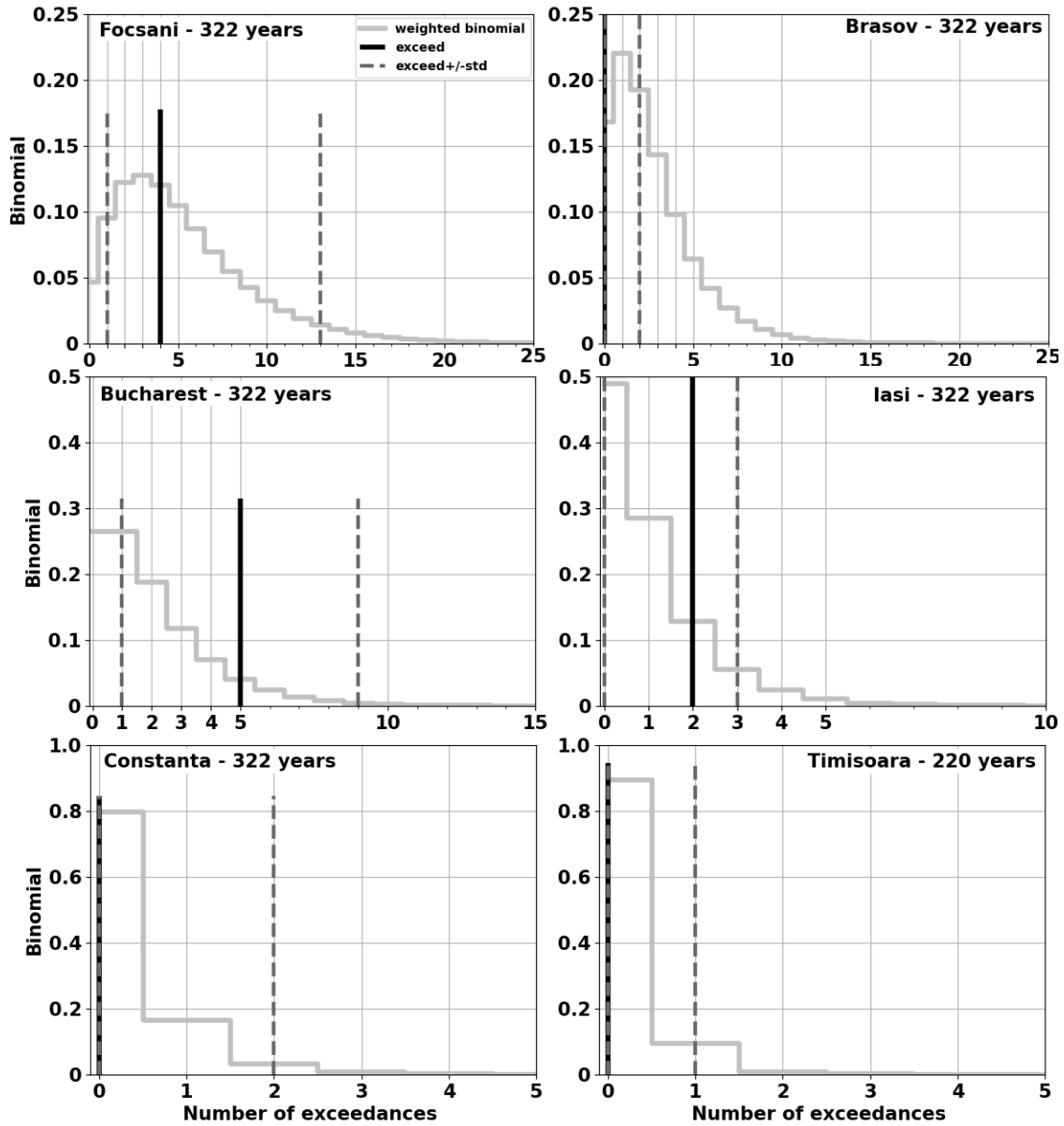


Figure 5. Consistency test results of ESHM20 with the observed PGA values at 0.2 g for six representative cities. Where: ESHM weighted mean predicted - histogram, the observed number of exceedances - black line and its one sigma variability - dashed vertical lines; the total completeness time is specified in each subplot for their respective city.

295



300

Table 1. Observed and ESHM 2020 predicted exceedances for 0.1 and 0.2g PGA at twelve cities located in Romania. Where: NE = number of observed exceedances, Rate = observed annual rate of exceedance, APE = annual probability of exceedance (calculated from observed rate), PE - ESHM P-value, PG = ESHM annual probability of exceedance

City	Time [years]	Recorded - 0.1 g			ESHM - 0.1 g		Recorded - 0.2 g			ESHM - 0.2 g	
		NE	Rate	APE	PE	PG	NE	Rate	APE	PE	PG
Bacau	322	4	0.012422	0.01235	0.06551	0.88613	2	0.00621	0.00619	0.1269	1
Brasov	322	2	0.006211	0.00619	0.04927	0.96333	1	0.00311	0.0031	0.1685	1
Bucharest	322	8	0.024845	0.02756	0.06947	0.34191	5	0.01553	0.01541	0.0406	0.095
Campulung	322	1	0.003106	0.0031	0.04146	0.98512	1	0.00311	0.0031	0.2144	1
Cluj-Napoca	284	1	0.003521	0.0035	0.13002	0.14835	1	0.00352	0.00352	0.9599	1
Constanta	322	2	0.006211	0.00619	0.18241	0.3899	1	0.00311	0.0031	0.7968	1
Craiova	322	3	0.009317	0.00619	0.08392	0.16519	1	0.00311	0.0031	0.8213	1
Focsani	322	13	0.040373	0.03957	0.05461	0.55554	4	0.01242	0.01235	0.1199	0.608
Galati	322	4	0.012422	0.01235	0.0949	0.78712	1	0.00311	0.0031	0.245	0.78
Iasi	322	3	0.009317	0.00927	0.15007	0.58011	2	0.00621	0.00619	0.1288	0.225
Sibiu	250	1	0.004	0.00399	0.30161	0.48469	1	0.004	0.00399	0.8717	1
Timisoara	220	1	0.004545	0.00454	0.67819	1	1	0.00455	0.00454	0.8958	1

305



Supplementary Material

The electronic Supplement contains additional plots of the distribution of the MSK-64 Intensity to PGA conversions for the two selected equations, the ESHM20 annual probability of exceedance distribution for Bucharest, the testing results for six cities and a summary plot of the results at all the locations.

310 Data availability

The collected intensity data can be made available by the authors only upon request as this study was done withing an ongoing project. The OpenQuake Engine input files and running scripts for ESHM20 can be downloaded from: <https://gitlab.seismo.ethz.ch/efehr>.

Author contributions

315 EFM, LD and CCO designed the framework of the work. EFM, LD and MG developed the codes and performed the testing analysis. CCO and DTD collected and harmonised the intensity data. EM and LD designed and wrote the paper with contributions from the other co-authors.

Competing interests

The authors declare that they have no conflict of interests.

320 Acknowledgments

This study was carried out within the National Research Program SOL4RISC Grant No. 24N/2023, project no. PN23360202. The second author received support and resources from Geo-INQUIRE Project, Grant agreement ID: 1010585182, DOI10.3030/101058518. The seismic hazard calculations at the selected locations were performed using the OpenQuake Engine (DOI 10.13117/openquake.engine, last accessed 01.06.2023). The software suite ArcGis
325 (www.esri.com/software/arcgis, last accessed 01.03.2023) was used for mapping and all the plots were done with Python using open-source libraries. Part of the python investigation codes were developed within the New Zealand National Seismic Hazard Model 2022 Revision Project (contract 2020-BD101).

References

Allen, T.I., Ghasemi, H, and Griffin, J.D.: Exploring Australian hazard map exceedance using an Atlas of historical
330 ShakeMaps. Earthquake Spectra, 39(2), 985-1006, doi:10.1177/87552930231151977, 2023.



- Ardeleanu, L., Leydecker, G., Bonjer, K.-P., Busche, H., Kaiser, D., and Schmitt, T.: Probabilistic seismic hazard map for Romania as a basis for a new building code, *Nat. Hazards Earth Syst. Sci.*, 5, 679–684, doi: 10.5194/nhess-5-679-2005.
- Atanasiu, I.: *Cutremurele de pamant din Romania*, Ed. Academiei Romane, 196 p, Bucharest, 1961.
- Caprio, M., Tarigan, B., Worden, C. B., Wiemer, S., & Wald, D. J.: Ground Motion to Intensity Conversion Equations (GMICES): A Global Relationship and Evaluation of Regional Dependency. *Bulletin of the Seismological Society of America*, 105 (3): 1476–1490. doi: <https://doi.org/10.1785/0120140286>, 2015.
- Cioflan, C. O., Manea, E. F., & Apostol, B. F. (2022). Insights from neo-deterministic seismic hazard analyses in Romania. In *Earthquakes and sustainable infrastructure*, p. 415–432, Elsevier, <https://doi.org/10.1016/B978-0-12-823503-4.00013-0>, 2022.
- Cioflan, C.O., Toma-Danila, D., and Manea, E.F.: Seismic Loss Estimates for Scenarios of the 1940 Vrancea Earthquake. In: Vacareanu, R., Ionescu, C. (eds) *The 1940 Vrancea Earthquake. Issues, Insights and Lessons Learnt*. Springer Natural Hazards. Springer, Cham. https://doi.org/10.1007/978-3-319-29844-3_30, 2016.
- Coman, A., Manea, E. F., Cioflan, C. O., & Radulian, M.: Interpreting the fundamental frequency of resonance for Transylvanian Basin. *Romanian Journal of Physics*, 65, 809, 2020.
- Comité Européen de Normalisation (CEN): Eurocode 8, design of structures for earthquake resistance—Part 1: General rules, seismic actions and rules for buildings. European Standard NF EN 1998-1. Brussels: CEN, 2004.
- Constantin A. P., Pantea A., Stoica R.: Vrancea (Romania) Subcrustal Earthquakes: Historical Sources and Macroseismic Intensity Assessment, *Romanian Journal of Physics*, 56, 5-6, p. 813 – 826, 2011.
- Constantin, A. P., Moldovan, I. A., Craiu, A., Radulian, M., and Ionescu, C.: Macroseismic intensity investigation of the November 2014, M=5.7, Vrancea (Romania) crustal earthquake, *Annals of Geophysics*, 59, 5, doi:10.4401/ag-6998, 2016.
- Constantin, A., Manea, L., Diaconescu, M., & Moldovan, I.: Intensity and macroseismic maps of the latest moderate sized Vrancea earthquakes. *Romanian Reports in Physics*, 75, 702, 2023.
- Constantin, A.P., Pantea, A. Macroseismic field of the October 27, 2004 Vrancea (Romania) moderate subcrustal earthquake. *J Seismol* 17, 1149–1156, <https://doi.org/10.1007/s10950-013-9383-2>, 2013.
- Craiu, A., Ferrand, T. P., Manea, E. F., Vrijmoed, J. C., and Mărmureanu, A.: A switch from horizontal compression to vertical extension in the Vrancea slab explained by the volume reduction of serpentine dehydration. *Sci Rep* 12, 22320, <https://doi.org/10.1038/s41598-022-26260-5>, 2022.
- Danciu, L., Nandan, S., Reyes, C., Basili, R., Weatherill, G., Beauval, C., Rovida A., Vilanova, S., Sesetyan, K., Bard, P.-Y., Cotton, F., Wiemer, S., and Giardini, D.: The 2020 update of the European Seismic Hazard Model: Model Overview. EFEHR Technical Report 001, v1.0.0, <https://doi.org/10.12686/a15>, 2021.
- Danciu, L., Weatherill, G., Rovida, A., Basili, R., Bard, P.Y., Beauval, C., Nandan, S., Pagani, M., Crowley, H., Sesetyan, K., Villanova, S., Reyes, C., Marti, M., Cotton, F., Wiemer, S., and Giardini, D.: The 2020 European Seismic Hazard Model: Milestones and Lessons Learned. In: Vacareanu, R., Ionescu, C. (eds) *Progresses in European Earthquake Engineering and Seismology*. ECEES 2022. Springer Proceedings in Earth and Environmental Sciences. Springer, Cham. https://doi.org/10.1007/978-3-031-15104-0_1, 2022.



- 365 Ferrand, T.P., Manea, E.F.: Dehydration-induced earthquakes identified in a subducted oceanic slab beneath Vrancea, Romania. *Sci Rep* 11, 10315. <https://doi.org/10.1038/s41598-021-89601-w>, 2021.
- Giardini, D., Wössner, J., and Danciu, L.: Mapping Europe's seismic hazard. *Eos, Transactions American Geophysical Union*, 95, 29, 261-262, <https://doi.org/10.1002/2014EO290001>, 2014.
- Hanks, T. C., Beroza, G. C., & Toda, S.: Have recent earthquakes exposed flaws in or misunderstandings of probabilistic seismic hazard analysis?. *Seismological Research Letters*, 83(5), 759-764, doi: <https://doi.org/10.1785/0220120043>, 2012.
- 370 Iervolino I., Chioccarelli E., Cito P.: Testing three seismic hazard models for Italy via multi-site observations. *PLoS ONE* 18(4): e0284909, <https://doi.org/10.1371/journal.pone.0284909>, 2023.
- Ivan, M.: Attenuation of P and pP waves in Vrancea area–Romania. *Journal of seismology*, 11(1), 73-85, <https://doi.org/10.1007/s10950-006-9038-7>, 2007.
- 375 Kronrod, T., Radulian, M., Panza, G., Popa, M., Paskaleva, I., Radovanovich, S., Gribovski, K., Sandu, I., and Pekevski, L.: Integrated transnational macroseismic data set for the strongest earthquakes of Vrancea (Romania), *Tectonophysics*, 590, 1-23, doi: 10.1016/j.tecto.2013.01.019, 2013.
- Mak, S., Schorlemmer, D.: A Comparison between the Forecast by the United States National Seismic Hazard Maps with Recent Ground-Motion Records. *Bulletin of the Seismological Society of America*; 106 (4): 1817–1831. doi: <https://doi.org/10.1785/0120150323>, 2016.
- 380 Manea, E. F., Predoiu, A., Cioflan, C. O., & Diaconescu, M.: Interpretation of resonance fundamental frequency for Moldavian and Scythian platforms. *Romanian Reports in Physics*, 71, 709, 2019.
- Manea, E.F., Cioflan, C. O., and Danciu, L.: Ground-motion models for Vrancea intermediate-depth earthquakes. *Earthquake Spectra*, 38, 1, 407-431, doi:10.1177/87552930211032985, 2022.
- 385 Marmureanu, G., Cioflan, C.O. and Marmureanu, A.: Intensity seismic hazard map of Romania by probabilistic and (neo) deterministic approaches, linear and nonlinear analyses, *Rom. Rep. Phys.*, 63(1), 226-239, 2011.
- Marmureanu, G., Cioflan, C.O., Marmureanu, A., and Manea, E.F.: Main Characteristics of November 10, 1940 Strong Vrancea Earthquake in Seismological and Physics of Earthquake Terms. In: Vacareanu, R., Ionescu, C. (eds) *The 1940 Vrancea Earthquake. Issues, Insights and Lessons Learnt*. Springer Natural Hazards. Springer, Cham. https://doi.org/10.1007/978-3-319-29844-3_5, 2016b.
- 390 Marmureanu, G., Marmureanu, A., Manea, E.F., Toma-Danila, D., and Vlad, M.: Can we still use classic seismic hazard analysis for strong and deep Vrancea earthquakes. *Romanian Reports in Physics*, 61(3–4), 728-738, 2016a.
- Marmureanu, G., Vacareanu, R., Cioflan, C.O., Ionescu, C., and Toma-Danila, D.: Historical Earthquakes: New Intensity Data Points Using Complementary Data from Churches and Monasteries (chapter). *Seismic Hazard and Risk Assessment. Updated Overview with Emphasis on Romania*. Ed: Vacareanu R., Ionescu C., Springer Natural Hazards, Springer International Publishing, doi: 10.1007/978-3-319-74724-8_7, 2018.
- 395 Marzocchi, W., and Jordan, T. H.: Experimental concepts for testing probabilistic earthquake forecasting and seismic hazard models. *Geophysical Journal International*, 215, 2, 780-798, <https://doi.org/10.1093/gji/ggy276>, 2018.



- Marzocchi, W., and Jordan, T.H.: A unified probabilistic framework for seismic hazard analysis, *Bull. Seismol. Soc. Am.*,
400 107(6), 2738-2744, <https://doi.org/10.1785/0120170008>, 2017.
- Marzocchi, W., and Jordan, T.H.: Testing for ontological errors in probabilistic forecasting models of natural systems, *Proc. Natl. Acad. Sci.*, 111(33), 11973- 11978, <https://doi.org/10.1073/pnas.1410183111>, 2014.
- Medvedev, S.V., Sponheuer, W. and Karnik, V.: Seismic intensity scale version MSK 1964, *Publ. Inst. Geodynamik*, 48, Jena 48, 1967.
- 405 Meletti, C., Marzocchi, W., D'Amico, V., and Lanzano, G., The new Italian seismic hazard model (MPS19). *Annals of Geophysics* 64(1), DOI:10.4401/ag-8579, 2021.
- Mousavi, S.M., Beroza, G.C.: Evaluating the 2016 One-Year Seismic Hazard Model for the Central and Eastern United States Using Instrumental Ground-Motion Data. *Seismological Research Letters* 89 (3): 1185–1196. doi: <https://doi.org/10.1785/0220170226>, 2018.
- 410 Musson, R.M.W., Grünthal, G., and Stucchi, M.: The comparison of macroseismic intensity scales. *Journal of Seismology*, 14, 413-428, <https://doi.org/10.1007/s10950-009-9172-0>, 2010.
- Oncescu, M.C., Marza, V.I., Rizescu, M., Popa, M.: The Romanian earthquake catalogue between 984–1997. In “Vrancea Earthquakes: Tectonics, Hazard and Risk Mitigation: Contributions from the First International Workshop on Vrancea Earthquakes”, Bucharest, Romania, November 1–4, 1997, pp. 43-47. https://doi.org/10.1007/978-94-011-4748-4_4, 1999.
- 415 Pagani, M., Monelli, D., Weatherill, G., Danciu, L., Crowley, H., Silva, V., ... & Simionato, M.: OpenQuake engine: An open hazard (and risk) software for the global earthquake model. *Seismological Research Letters*, 85(3), 692-702, doi: <https://doi.org/10.1785/0220130087>, 2014.
- Radu, C.: Catalogue of Strong Earthquakes Originated on the Romanian Teritm T, Part I: Before 1901. In *Seismological Researches on the Earthquake of March 4, 1977*, Monograph (eds. Cornea, I. and Radu, C.) (Central Institute of Physics,
420 Bucharest), 1979.
- Radulian, M., Panza, G. F., Popa, M., & Grecu, B.: Seismic wave attenuation for Vrancea events revisited. *Journal of Earthquake Engineering*, 10(03), 411-427, <https://doi.org/10.1080/13632460609350603>, 2006.
- Rey, J., Beauval, C., and Douglas, J.: Do French macroseismic intensity observations agree with expectations from the European Seismic Hazard Model 2013?, *Journal of Seismology*, 22, 589-604, <https://doi.org/10.1007/s10950-017-9724-7>,
425 2018.
- Rogozea M., Marmureanu G., Radulian M., Toma D.: Reevaluation of the macroseismic effects of the 23 January 1838 Vrancea earthquake. *Romanian Reports in Physics*, 66(2):520-538, 2014.
- Rogozea M.: *Impactul cutremurelor majore din România: trecut, prezent și viitor*. Editura Electra, București, 2016.
- Rovida A., Albini P., Locati M., Antonucci A.: Insights into Preinstrumental Earthquake Data and Catalogs in Europe.
430 *Seismological Research Letters*, 91(5), 2546–2553, <https://doi.org/10.1785/0220200058>, 2020.



- Salditch, L., Gallahue, M. M., Lucas, M. C., Neely, J. S., Hough, S. E., and Stein, S.: California Historical Intensity Mapping Project (CHIMP): A consistently reinterpreted dataset of seismic intensities for the past 162 yr and implications for seismic hazard maps. *Seismological Research Letters*, 91(5), 2631-2650, doi: <https://doi.org/10.1785/0220200065>, 2020.
- Shebalin N.V., Karnik V., Hadzievski D.: UNDP-Unesco Survey of the Seismicity of Balkan Region. Catalogue of earthquakes of the Balkan region. Printing Office of the University Kiril and Metodij, Skopje, 599p., 1974.
- 435 Sibson, R.: A Brief Description of Natural Neighbor Interpolation. In: Barnett, V., Ed., *Interpreting Multivariate Data*, John Wiley & Sons, New York, 21-36, 1981.
- Sokolov, V. Y., Wenzel, F., and Mohindra, R.: Probabilistic seismic hazard assessment for Romania and sensitivity analysis: a case of joint consideration of intermediate-depth (Vrancea) and shallow (crustal) seismicity, *Soil Dynamics and Earthquake Engineering*, 29(2), 364-381. <https://doi.org/10.1016/j.soildyn.2008.04.004>, 2009.
- 440 Sokolov, V., Bonjer, K. P., Wenzel, F., Grecu, B., and Radulian, M.: Ground-motion prediction equations for the intermediate depth Vrancea (Romania) earthquakes. *Bull Earthquake Eng* 6, 367–388 <https://doi.org/10.1007/s10518-008-9065-6>, 2008.
- Stirling, M., Manea, E., Gerstenberger, M., & Bora, S.: Testing and Evaluation of the New Zealand National Seismic Hazard Model 2022. *Bulletin of the Seismological Society of America*, doi: <https://doi.org/10.1785/0120230108>, 2023.
- 445 Stirling, M.W., and Gerstenberger, M.C.: Ground motion-based testing of seismic hazard models in New Zealand. *Bulletin of the Seismological Society of America*, 100(4): 1407-1414; doi: 10.1785/0120090336, 2010.
- Tasan, H., Beauval, C., Helmstetter, A., Sandikkaya, A., & Guéguen, P: Testing probabilistic seismic hazard estimates against accelerometric data in two countries: France and Turkey. *Geophysical Journal International*, 198(3), 1554-1571. doi: <https://doi.org/10.1093/gji/ggu191>, 2014.
- 450 Vacareanu, R., Iancovici, M., Neagu, C., and Pavel, F.: Macroseismic intensity prediction equations for Vrancea intermediate-depth seismic source, *Natural Hazards*, 79(3), 2005-2031, <https://doi.org/10.1007/s11069-015-1944-y>, 2015.
- Vacareanu, R., Marmureanu, G., Pavel, F., Neagu, C., Cioflan, C. O., and Aldea, A.: Analysis of soil factor S using strong ground motions from Vrancea subcrustal seismic source. *Rom Rep Phys*, 66(3), 893-906, 2014.
- Vanneste, K., Stein, S., Camelbeeck, T., and Vleminckx, B.: Insights into earthquake hazard map performance from shaking history simulations. *Scientific reports*, 8(1), 1855, <https://doi.org/10.1038/s41598-018-20214-6> , 2018.
- 455 Weatherill, G., Kotha, S. R., & Cotton, F.: A regionally-adaptable “scaled backbone” ground motion logic tree for shallow seismicity in Europe: application to the 2020 European seismic hazard model. *Bulletin of Earthquake Engineering*, 18(11), 5087-5117, <https://doi.org/10.1007/s10518-020-00899-9>, 2020.
- Weatherill, G., Kotha, S. R., Danciu, L., Vilanova, S., and Cotton, F.: Modelling seismic ground motion and its uncertainty in different tectonic contexts: Challenges and application to the 2020 European Seismic Hazard Model (ESHM20). *Natural Hazards and Earth System Sciences*, Preprint nhess-2023-124, 1-66, <https://doi.org/10.5194/nhess-2023-124>, 2023.
- 460