# Hyper-resolution flood hazard mapping at the national scale

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Abstract. Flood hazard mapping is currently in a transitional phase involving the use of data and methods that were traditionally the domain of local studies in a regional or nation-wide context. Challenges include the representation of local

- 20 information such as hydrological particularities and small hydraulic structures, and computational and labour costs. This paper proposes a methodology of flood hazard mapping that merges the best of the two worlds (local and regional studies) based on experiences in Austria. The analysis steps include (a) quality control and correction of river network and catchment boundary data; (b) estimation of flood discharge peaks and volumes on the entire river network; (c) creation of a digital elevation model (DEM) that is consistent with all relevant flood information, including river bed geometry; (d) simulation of
- 25 inundation patterns and velocities associated with a consistent flood return period along the entire river network. In each step, automatic methods are combined with manual interventions in order to maximise the efficiency and at the same time ensure estimation accuracy similar to that of local studies. The accuracy of the estimates is evaluated in each step. The study uses flood discharge records from 781 stations to estimate flood hazard patterns of a given return period at a resolution of 2 m over a total stream length of 38000 km. It is argued that a combined local-regional methodology will advance flood

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30 mapping, making it even more useful in nation-wide or global contexts.

### 1 Introduction

Flood hazard mapping is a key tool in integrated flood risk management, given that numerous management measures hinge on the knowledge of the inundation probability of different parts of the landscape. For example, structural flood defence

- 35 measures, such as levees and retention basins all need to be designed to specific probabilities (e.g. an exceedance probability of 1 in 100 years, equivalent to a return period of 100 yrs) in order to balance economic and safety considerations. Similarly, non-structural measures such as evacuation plans and insurance coverage rely directly on accurate estimates of inundation probability (FEH, 1999).
- The traditional approach to flood hazard mapping is based on local experience. Typically, field reconnaissance is combined 40 with local experience from past floods to delineate areas in the landscape of similar probability of flooding (Diez-Herrero et al., 2009; Mudashiru et al., 2021). While theoretically not very rigorous, the resulting maps are usually very reliable because they account for the local particularities of the river reach including local walls, culverts, and other hydraulic structures that affect the inundation behaviour of the stream locally. Over the years, these approaches have been complemented by local hydrodynamic simulations over a few stream kilometres that allow for a more objective mapping of flood areas with
- 45 different probabilities, and in which the local particularities are incorporated, using the same field information (e.g. Syme and McColm, 1990). Sometimes, a single opening in the system may completely change the inundation behaviour, e.g. as in the case of the 2005 flood in Mittersill, Austria, where a single railway underpass left open resulted in the flooding of half the township. Local particularities may therefore be essential for accurate flood hazard mapping.
- More recent developments in simulation methods and data availability have opened the potential of larger scale simulations
  which respond well to new needs for large scale hazard maps in strategic risk assessments (Sayers, 2013; Alfieri et al., 2014; Ward et al., 2015). The increased simulation capabilities are mainly related to increased computational power, e.g. brought about by parallel computing, and to some degree to more efficient methods, such as solvers of the underlying equations and associated numerical implementations (e.g. Buttinger-Kreuzhuber, 2019; Horváth et al., 2020). For example, while in the 1990s typical hydrodynamic simulation problems involved thousands of simulation cells, today a billion cells are no
  exception (Jankowfsky et al., 2016; Assteerawatt et al., 2016; Hoch and Trigg, 2019).
- The increased data availability is in line with a general trend towards digitalisation. Again, in the 1990s digital elevation models were often based on conventional topographic surveys, while today Lidar based elevation models feature resolutions of less than a meter, accuracies of a few centimetres and they are often available at a national scale (Pfeifer and Mandlburger, 2017). These data are complemented by numerous other geo-datasets, some of them open, such as open street for maps and land use data as well as satellite based inundation patterns for model calibration (Domeneghetti et al., 2019).
- maps and land use data as well as satellite based inundation patterns for model calibration (Domeneghetti et al., 2019).
   While this trend towards larger scale flood hazard mapping is continuing, there are a number of challenges when moving from local to regional or national scales. One challenge is related to the extent to which local particularities of the hydrological and hydrodynamic system can be captured at large scales (Blöschl and Sivapalan, 1995). This is because high resolution does not necessarily imply high accuracy, unless local information is included (Savage et al., 2016; Trigg et al.,

- 65 2016). Fine scale detail on the effect of cracking soils and preferential flow on infiltration of rainwater into the soil, for example, may very much matter for estimating flood peak magnitudes at larger scales, as highlighted by the ungauged catchment problem (Blöschl et al., 2013). Similarly, in estimating overland and river flow it is not possible to fully capture all the detail of each kerb and culvert at regional scale. There will therefore always be some level of generalisation or simplification. The quality of the hazard maps produced thus hinges on the appropriate way of generalisation and the degree 70 to which heterogeneous data can be combined at the required scale.
- A related challenge is the practical work flow. In local studies, manual interventions in the work flow can be very efficient because of their flexibility, and the analyst can inject all their knowledge about the flood processes in the particular area. At large scale automatic methods are the norm, and manual interventions may become prohibitively expensive simply because of labour costs. The question then remains how local experience and knowledge can be accounted for.
- 75 A third challenge when moving from local to larger scales is related to the simulation of inundation patterns of a given exceedance probability or return period. Local studies usually focus on one river reach, trying to mimic an extreme flood, similar to one that has happened or could happen in the future (e.g. Horváth et al., 2020). The simulations thus use, as an upper boundary condition to the hydrodynamic simulations, a streamflow hydrograph associated with a peak of the required return period. The underlying concept of a scenario is however no longer valid if a region is considered instead of a river
- 80 reach, as floods never exhibit the same return period over large regions. In fact, their spatial variations are the defining characteristics that make specific flood discharge of a given return period almost always decrease downstream. Because of this, it is no longer possible to adopt a scenario approach to inundation simulations for large regions, and alternative concepts are needed.
- The aim of this paper is to propose a framework of hyper-resolution fluvial flood hazard estimation that addresses all three challenges. It combines representations of local information, such as hydrological particularities and small hydraulic structures, with large scale data and simulations, keeping in mind the computation and labour costs at that scale. The paper goes beyond existing studies in that a greater emphasis is on the exploiting of local information through combined automatic-manual methods. It proposes as new method of simulating inundation maps with constant return periods along the entire river network. In the national context, these maps serve three purposes: The Austrian Association of Insurance
- 90 Companies (VVO) and their members use them as a tool for premium estimation as floods have recently become insurable in Austria; the Austrian Federal Ministry of Agriculture, Tourism and Regions uses them to comply with the flood risk zoning requirements of the EU flood directive (EU 2006); and they are used more generally in the public sector of natural hazard communication to enhances risk awareness among the citizenship.

#### 95 2. Overall framework

The overall conceptualisation of the workflow of the mapping has been gleaned from previous studies (e.g. Merz et al., 2008), however, with a greater emphasis on exploiting local information and a view of spatially consistent return periods. There are four main datasets that connect the various process parts and the collaborators <u>of the study</u>.

(a) The first is the overall tessellation of the landscape into vector data of a river network and associated catchment
 boundaries (indicated in pink in Figure 1). The purpose is to provide the backbone of the fluvial flow processes in the landscape, which is used to structure the remaining analyses.

(b) The second are the flood discharge estimates (indicated in green in Figure 1) on the entire river network. In this study, we chose a regional flood frequency approach rather than a rainfall runoff modelling approach as is sometimes adopted in similar studies. In the past, studies have used rainfall runoff modelling with a design storm or synthetic storms as inputs,

- 105 which hinges on the assumption of equal return periods of rainfall and floods (Viglione, 2009), or long series of stochastically generated rainfall as inputs through the derived flood frequency approach (Rogger et al., 2012a). The alternative chosen here puts the observed flood record centre stage and expands the information with respect to space, time and causality, using the flood frequency hydrology approach (Merz and Blöschl, 2008ab; Viglione et al., 2013). The choice here was motivated by the usually large biases of rainfall runoff modelling that estimates flood probabilities from
- precipitation, and the availability of numerous long discharge records in the study region. Indeed, practical flood frequency estimation almost always hinges on observed flood series, if available, because of their potential in bias reduction (Rogger et al., 2012a) as, e.g., recommended by the German and Austrian flood estimation standards (DWA, 2012; ÖWAV, 2019; BML, 2024).

The choice of the regional flood frequency approach also explains the need for vector data of a river network with correct

115 topology (upstream, downstream, confluences), and stream gauges linked to the river network, in order to regionalise the flood discharges estimated for the stream gauges to the entire river network.

(c) The third set of information revolves about a geometric representation of the landscape in terms of digital elevation models (DEMs) and landscape descriptors (indicated in orange in Figure 1). These are the basis of the hydrodynamic simulations and link them with the flood discharge information along the river network of dataset (b). Given its role as a connective tissue in the framework, its precise consistency with the other data is essential. The DEM is based on Lidar data

120 connective tissue in the framework, its precise consistency with the other data is essential. The DEM is based on Lidar data with a resolution of less than a meter, modified to enable the free flow downstream.

(d) The fourth piece of information is the desired result and represents the water flow in and near the river system, specifically the inundation patterns, depths of inundation and the flow velocities (indicated in blue in Figure 1). We solve the transient 2D shallow water equations using flood discharge hydrographs as inputs along the (vector) river network, and

125 account for hydraulic structures and other local particularities, assimilating heterogeneous information, including proxies for surface roughness. The simulations have been set up in a way to directly obtain inundation patterns and velocities associated with a consistent flood return period along the entire river network, as opposed to event-based simulations.

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**Gelöscht:** the derived flood frequency approach

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These four main datasets are linked through exchange of information as indicated by the arrows in Figure 1. In reality, the interaction of the components of Figure 1 is more complex, and in many instances there, were iterative and parallel

- 130 interactions in different parts of the study regions. In line with the main aim of the paper, the emphasis was on exploiting local information through combined automatic-manual methods for which no off-the-shelf methods were available, and thus suitable procedures had to be developed to account for the particular hydrological and/or data situation. Many of them involved a combination of automatic methods and manual interventions by analysts. The purpose of the former was to speed up the processes given the large number of nodes or cells in the system (a total of 19479 nodes of the vector river network
- and 20 billion (i.e.  $2 \cdot 10^{10}$ ) simulation cells of the raster data set), while the purpose of the latter was to deal in a more accurate way with those situations where the automated method failed because of local particularities. The general approach to this automatic-manual combination was the following:

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- Developing an automatic method (or using one from existing studies);
- Testing the method against more detailed data (often in a small part of the study region);
- Finding criteria for the applicability of the automatic method; and
  - Dealing with the remaining cases manually.

In this way we strive to combine the best of two worlds: low labour costs of the analysts as only a small fraction of the nodes/cells requires manual intervention; and high accuracy as the approach allows capturing complex hydrological and hydrodynamic situations.



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Figure 1: Main datasets (text) and information flows (arrows) of the flood hazard mapping approach presented here. "T yrs" refers to the return period of the discharge peaks and the inundation patterns.

### 3.1 Data and methods used (River network)

The available dataset of catchment boundaries contained 41069 polygons, each of which represented a small sub-catchment area with a mean size of 1.5 km<sup>2</sup>. The data also contained information on the next downstream sub-catchment polygon, enabling construction of a complete topology of the Austrian catchments without the need to derive this information from the

- 155 DEM. In 390 cases, the runoff from one sub-catchment was divided into more than one downstream sub-catchment because of diversion canals, e.g. for historic mills, hydropower, irrigation and flood protection. As the method of flood peak regionalisation (see section 4.1) requires a stream topology without bifurcations, the cases had to be identified and the bifurcations removed.
- As the interest of the present study was on streams draining catchments greater 10 km<sup>2</sup>, streams with smaller catchment areas were removed automatically, which resulted in a total river network length of about 38 000 km. The river network dataset also contained numerous canals of different types (see red lines in Figure 2), which were not distinguishable from the natural rivers based on the information in the dataset. Manual identification of the canals was therefore needed. Figure 2b shows an example, where an irrigation canal diverts water from the Große Tulln River to flow through the nearby villages. Figure 2c shows an example upstream of St. Pölten, where part of the Traisen's discharge is diverted into a network of canals for small hydro power. In both examples, the main river was retained and the canals were removed manually.
- In the available dataset, the river network and the catchment boundaries were not consistent because of the different origins of the data. Since the regionalisation of the flood discharges to the river network does require consistency, it had to be established by intersecting the datasets. In this process the dataset of river network was divided into a number of sections each of them assigned to one polygon of the catchment boundaries dataset. The downslope connectivity and a unique
- 170 catchment-river alignment were automatically checked and particular cases were corrected manually against the DEM and aerial photographs.

Finally, the catchment boundaries and river network datasets were supplemented by a node dataset of river profiles (see red points in the lower row in Figure 3) that were generated at both ends of each river segment. The nodes represent outlets from the catchments for which all the flood characteristics including the T-year floods were estimated.

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Figure 2: (a) Generalisation of the river network (blue) by removing canals (red) in part of Lower Austria. (b) Irrigation canal 180 diverting water from the Große Tulln. (c) Canal diverting water from the Traisen, formerly used for mills and now for hydropower (e.g. the Luggau plant).

## 3.2 In what way the combined automatic-manual methods work (River network)

In a first step, the original sub-catchment dataset was checked for consistency by automatically identifying headwater catchments, sub-catchments, water diversions and cumulative catchment areas. The removal of the 390 channels required a decision on which branch to keep, which was made on the basis of a hydrological interpretation of the orthophotos. In most instances, the natural river was retained, but in those cases where the canal was for flood mitigation purposes that canal was retained.

In order to link the river network with their catchments, the river network was intersected with the sub-catchment boundaries, which resulted in the creation of nodes on the river network. Because of position inaccuracies, occasionally more than one river section was assigned to a sub-catchment. These cases were identified automatically and dealt with manually, resulting in either a merger of the affected river segments or in their association with neighbouring sub-catchments. In the example of Figure 3 left, a reach of the Deutsche Thaya River intersects the boundary of its tributary. The manual intervention consisted of merging the two outer nodes with that of the confluence. Figure 3 right shows an off-level crossing

195 of the Frutz and Ehbach Rivers. While the automatic procedure associated the culvert reach of the Ehbach to the catchment of the Frutz River, this association was removed by the manual intervention.

## 3.3 Accuracy of the results (River network)

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A combination of automatic and manual checks was deemed to be most efficient for assessing the accuracy of the topology of the combined river network - catchment boundary dataset. The automated checks included testing the monotonicity of catchment size along the river network, verifying the calculated catchment sizes of stream gauges against those published in the hydrological year books, and checking the consistency of neighbouring upstream and downstream river sections and catchments. Any inconsistencies identified were dealt with manually. Additionally, the entire dataset was checked visually against orthophotos and the digital terrain model, including the linkage between the river reaches and their catchments (Figure 3). To facilitate the comparison, matching colours were used.

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Figure 3: Automatic (upper row) and manual (lower row) procedures to associate the catchment boundaries with the river network. Left: Merging of the two outer red nodes that arise from position accuracies of the catchment boundary of the Gansbach, tributary to the Deutsche Thaya, Lower Austria. Right: Ehbach crossing under the Frutz in Vorarlberg. The river reach and associated catchment area have matching colours.

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### 4.1 Data and methods used (Flood discharge)

(a) Flood data quality control

- The flood data used consist of time series of maximum annual flood peaks for 781 catchments. The size of the catchments 215 ranged between 1.6 and 131,488 km<sup>2</sup> with 25% of the catchments being smaller than 55 km<sup>2</sup> and 75% smaller than 382 km<sup>2</sup>. In most catchments, the flood peak records were available until 2019. Record lengths ranged between 5 and 192 years (Donau at Linz) with a median of 40 years (Figure 4). Most of the time series were provided by the Hydrographic Services of Austria, some by hydropower companies and some were obtained from neighbouring countries. The flood data were thoroughly examined, starting with checking the location of the stations and its association with the river network and
- 220 catchment boundary. The flood series were visually inspected and in case of any irregularities, the responsible staff member of the Hydrographic Services was interviewed (Merz et al., 2008). The interviews usually revolved around outliers, which were sometimes caused by ice jams or wooden debris jams. In such cases the records were corrected. Some records were affected by hydraulic structures or channel regulation. In such cases the flood records were split into a pre-change and postchange part. The post-change parts was considered to be locally relevant (downstream of the station), while the pre-change 225 part of the record was considered regionally relevant (upstream of the station and in neighbouring catchments).



Figure 4: Cumulative distribution of flood record length of the 781 stream gauges in Austria used here (thick black line), and corresponding distributions for catchments <100 km<sup>2</sup> and >100 km<sup>2</sup> (thin and thick grey lines, respectively).

#### 230 (b) Local flood peak estimation for gauged basins

The pre-processed flood series from 781 stations were used to estimate the first three statistical product moments: mean annual specific flood (*MAF*), coefficient of variation ( $C_{\nu}$ ) and coefficient of skewness ( $C_{s}$ ). From these moments the T-year flood discharges were estimated assuming a Generalized Extreme Value (GEV) distribution, as this was shown to be a

suitable methodological choice for Austria (Merz and Blöschl, 2005). However, since the estimation of T-year floods on the

- 235 basis of the flood series alone tends to be uncertain due to measurement errors, short record lengths and non-stationarities, the flood frequency hydrology approach was adopted, in which additional information is used to improve the estimates accuracy (Merz and Blöschl, 2008a, 2008b; Viglione et al., 2013). Three types of information expansion, encompassing both quantitative and qualitative (soft) information, were considered. Temporal information expansion comprised longer records from neighbouring stations to account for climate fluctuations, and historical floods to better constrain  $C_s$ . Spatial
- 240 information expansion consisted of comparisons with other gauged catchments in the regions accounting for differences in geology and rainfall, using maps and discharge-area plots for visualisation. Causal information expansion involved consideration of flood generation processes, e.g. using rainfall frequency plots within the Gradex method (Guillot, 1972) and orthophotos and soil maps for assessing infiltration characteristics. Using this additional information, the statistical moments estimated directly from the series were modified for about one third of the catchments.

245 (c) Regional flood peak estimation for ungauged basins

In the remaining nearly 21,000 ungauged basins the T-year flood discharges were also estimated from the three statistical moments assuming a GEV distribution. The statistical moments were estimated by Top-kriging (Skøien el al., 2006), which performs well as compared to other regionalisation methods (Merz and Blöschl, 2005; Salinas et al., 2013; Persiano et al., 2021). It is based on spatial correlations, accounting for connectivity along the river network. It also accounts for the local

estimation uncertainty, so that short series can be used with profit. This means that shorter record lengths obtain a lower weight in the interpolation (Merz and Blöschl, 2005). In order to make allowance for controls other than spatial distance, MAF and  $C_V$  were transformed prior to Top-kriging:

$$MAF^* = \ln(MAF \cdot A^\beta \cdot \alpha^{-\beta} \cdot FARL^{-\gamma})$$

where A is catchment area,  $\alpha$  a reference catchment area of 100 km<sup>2</sup> and  $\beta$  the slope of the relationship between MAF and A

- in a logarithmic scale. The logarithmic transformation reduces the skewness of *MAF*.  $\beta$  depends on the flood process type with values around 0.25 in regions where synoptic events or snow melt are relevant, and around 0.4 where convective storms are relevant, reflecting the stronger spatial decorrelation in the latter case (Merz and Blöschl, 2009). The impact of the lakes and reservoirs with permanent water was accounted for using the Flood Attenuation by Reservoirs and Lakes index FARL (IH, 1999). The index was calculated for each catchment and can take values between 0 and 1 (1 for no lakes and reservoirs).
- 260 The scaling parameter  $\gamma$  was set to 1.5 (Merz et al., 2008). Previous studies have identified a strong correlation between the values of mean annual precipitation (*MAP*) and *MAF* in Austria (Lun et al., 2021; Merz and Blöschl, 2005, 2009). In order to enhance the robustness of the estimate, the estimated MAF in catchments smaller than 200 km<sup>2</sup> were adjusted in a post-processing procedure using a regional regression between *MAP* and specific *MAF*. The degree of adjustment was assumed to be proportional to the estimated Top-kriging variance.
- 265 Merz and Blöschl (2009) showed that, in Austria, *CV* decreases with catchment area more strongly for small catchments than for larger catchments, so  $C_V$  was normalized to a reference area of  $\alpha = 100 \text{ km}^2$  as:

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Eq. 1

$$C_V^* = \begin{cases} C_V \cdot A^\beta \cdot \alpha^{-\beta}, & A < 100 \ km^2 \\ C_V, & A \ge 100 \ km^2 \end{cases}$$

Eq. 2

where  $\beta$  was set to 0.1 based on an analysis of the data.

The third moment  $C_{\delta}$  was regionalized without any transformation. The final step of the automatic procedure was the back-270 transformation of the moments after regionalisation from the T-year peak discharges were estimated for all 21,000 basins (Figure 5a).

### (d) Shape of the flood hydrograph

For solving the transient 2D shallow water equations in the inundation modelling, discharge hydrographs are prescribed at all 275 nodes so, in addition to the peaks, the shape of the hydrographs were estimated. It was assumed that the shape conforms to a gamma distribution with two parameters, peak and a time scale  $T_C$ . The latter represents the ratio of volume and peak discharge.  $T_C$  values obtained by Gaál et al. (2012) from data of 396 catchments were scaled by catchment area to account for larger  $T_C$  in bigger catchments, logarithmically transformed, regionalised by Top-kriging and back transformed / back scaled. The resulting pattern is shown in Figure 5b. T<sub>C</sub> values range from several hours in small catchments, in particularly in the flash flood region in the South-east of the country, to around 100 hours for the Danube.



Figure 5: (a) Specific 100-year flood discharge, (b) Timescale parameter  $T_c$  specifying the duration of the flood hydrographs considered relevant. Both estimates have been regionalised to the entire river network of Austria using Top-kriging and are used as inputs to the hydrodynamic modelling.

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#### (e) Retention basins

Numerous dry flood retention basins exist in Austria, in particular in the South-East, out of which 398 have a storage volume of more than 10,000 m<sup>3</sup>. These basins lack permanent water bodies, so they were not accounted for by the FARL index. An alternative method was therefore developed in which the inflow flood hydrograph was transformed through a representative retention basin as a surrogate of all the retention basins upstream the catchment outlet. The retention basins were

- characterized by their storage volume (V), percent contributing area ( $A_P$ ) and their arrangement (in series or in parallel). For reservoirs in series, the representative basin's volume was assumed to be the sum of the individual volumes, and its contributing area was assumed to be the weighted sum (using V as the weight) of the affected upstream basins. For reservoirs in parallel, the approach was reversed ( $A_P$  as a sum and V as a weighted sum using  $A_P$  as the weight).
- 295 In each catchment, only the portion of the flood hydrograph corresponding to  $A_P$  was subject to flood peak reduction. The reduction was estimated by aligning the storage volume of the representative retention basin with the volume between the
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inflow flood hydrograph and the line connecting the 2-year discharge, representing the discharge at which the retention basin starts filling, and the reduced peak discharge (located on the falling limb). The method is automatic and was checked manually. The percent contributing area quickly diminishes along the river network and so does the effect of all the upstream reservoirs on reducing the flood peak discharges (Figure 6).

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Figure 6: Effect of retention basins on floods along the river network. (a) Original flood hydrograph (blue) constructed using the regionalised statistical moments and reduced flood hydrograph (orange). Circles indicate the 100-year discharges  $Q_{100}$ . (b) The efficiency of the retention basins in reducing  $Q_{100}$  diminishes along the river network. Strem and tributaries, Burgenland.

### 4.2 In what way the combined automatic-manual methods work (Flood discharge)

The combined, iterative approach of estimating flood discharge peaks and volumes leverages the strengths of automatic methods at the regional scale while incorporating manual adjustments to address local peculiarities through auxiliary 310 information. The flood data were automatically screened for outliers, and manually checked for any errors. Next, the flood moments were automatically estimated from the series and manually adjusted by expert judgement on the basis of auxiliary information, and re-evaluated after a personal discussion with staff members of the Hydrographic Services. In a next step, the estimated flood moments were automatically regionalized to the ungauged catchments, and the effect of retention basins was automatically accounted for. The resulting modifications were visually checked through maps, and evaluated by expert

315 judgement. The timescale parameters of the hydrographs were regionalized automatically and visually checked using maps.



## 4.3 Accuracy of the results (Flood discharge)

The predictive performance of the automatic regionalization method to ungauged catchments was evaluated by leave-one-out cross-validation for the locations of the stream gauges. The 100-year flood discharges  $Q_{100,i}^{reg}$  were estimated via the regionalised flood moments for which only the regional information was used and subsequently compared with the local

320 estimates  $Q_{100,i}^{\omega c}$  from the observed flood series. The predictive performance was evaluated by the relative bias, *RBIAS*, and the root mean squared normalised error, *RMSNE*:

$$RBIAS = \frac{1}{N} \sum_{i=1}^{N} \left( \frac{Q_{100,i}^{reg} - Q_{100,i}^{loc}}{Q_{100,i}^{loc}} \right)$$

$$RMSNE = \sqrt{\frac{1}{N} \sum_{i=1}^{N} \left( \frac{Q_{100,i}^{reg} - Q_{100,i}^{loc}}{Q_{100,i}^{loc}} \right)^2}$$
Eq. 4

where N = 781 is the total number of stream gauges, and *i* refers to a particular gauge.

- 325 The cross-validation was carried out for three regionalisation methods: ordinary kriging (OK) using catchment centroids to calculate distances between the catchments, Top-kriging (TK1) without considering, reservoirs, lakes and mean annual precipitation, and Top-kriging (TK2) accounting for these factors. Ordinary kriging gives the largest biases (RBIAS) and errors (RMSNE) (Table 1), as it relies solely on distance between catchments, potentially assigning more weight to gauges outside the catchment of interest than to upstream and downstream neighbours. Top-kriging (TK1) addressed this issue,
- 330 significantly reducing the percentage errors, especially for the larger catchments, and further improvements are achieved when accounting for reservoirs, lakes and precipitation (TK2). Biases are 6% for the smallest catchments and 1% for medium ad large catchments. The RMSNE of TK2 compare well with values found in the literature. RMSNE typically ranges around 0.4 – 0.5 in humid climates and is larger in tropical and arid climates (see Figures 9.25-9.27 of Blöschl et al., 2013). The decrease of bias and error with catchment size is related to neighbouring gauges being more informative for
- 335 larger catchments, again consistent with the literature (see e.g. Figures 9.28 and 9.29 of Blöschl et al., 2013; Persiano et al. (2021). Scatterplots of the performance of the three methods are shown in Figure 7, and an example of the comparison of the estimates is shown in Figure 8. For the lowest reach of the Leising, a tributary to the Mur river, ordinary kriging gives a 100-year flood of 91 m<sup>3</sup>/s while Top-kriging gives 58 m<sup>3</sup>/s. The latter value is clearly more accurate, and reflects the ability of Top-kriging to account for the river network topology.

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Table 1: Prediction performance of the 100-year flood discharge estimates based on leave-one-out cross-validation for three regionalization methods and three catchment area ranges. OK - ordinary kriging, TK1 - Top-kriging without considering reservoirs, lakes and mean annual precipitation, TK2 - Top-kriging considering the above.

Catchment area	Number of		RBIAS   RMSNE		•	Formatiert: Zentriert
[km <sup>2</sup> ]	catchments	OK	TK1	TK2		
<100	312	+0.125   0.728	+0.116   0.558	+0.063   0.301	4	Formatiert: Zentriert
100-1000	375	+0.191   1.153	+0.079   0.550	+0.008   0.298		Formatiert: Zentriert
>1000	94	+0.041   0.255	-0.006   0.067	-0.010   0.064		Formatiert: Zentriert



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Figure 7: Prediction performance of the 100-year flood discharge estimates based on leave-one-out cross-validation for three regionalization methods. OK - ordinary kriging, TK1 - Top-kriging without considering reservoirs, lakes and mean annual precipitation, TK2 - Top-kriging considering the above.



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Figure &: Estimated 100-year specific flood discharges in the Upper Mur catchment near Zeltweg, Styria. Circles indicate the estimates at the stream gauges, lines the estimates on the river network, i.e. the ungauged catchments. a) Ordinary kriging without any pre- and post-processing, b) Top-kriging with pre- and post-processing.

## 355 5. DEM variants and river boundaries

## 5.1 Data and methods used (DEM)

The digital elevation model (DEM) is based on LiDAR flights from 2006-2014 with a spatial resolution of 1 m. Additionally, a digital surface model (1 m) derived from the LiDAR data and a digital orthophoto (DOP, 2013-2015, 0.2 m) including meta information were used. The relevant offices of the nine Austrian states had processed the data in slightly different ways, resulting in some heterogeneities at the state borders, which were corrected by a filtering procedure (Wimmer

360 different ways, resulting in some heterogeneities at the state borders, which were corrected by a filtering procedure (Win et al., 2021).

The original vector dataset of the river network (i.e. the river axes) obtained from the relevant federal ministry showed some offsets from the river channel representation of the DEM due to time differences of data collection and generalisation in the digitalisation of the river axes. As consistency of these two datasets was deemed essential for all further data processing, an

365 automatic, cross-section-based procedure was implemented that sequentially corrects the river axis positions using the following criteria in descending order of priority: minimum height increases along the river axis; water passing through the deepest point of the river bed in a cross-section of the DEM; small deviation from the initial flow axis. All conditions were formulated as weight functions and combined for each cross section to find the most likely river course according to the DEM. An example is shown in Figure <u>9</u>.

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Gelöscht: 7



- In a next step, bridges and other obstacles such as vegetation along the river were identified and removed from the DEM. 370 Detection was carried out using longitudinal profiles along the corrected river axes. The procedure used the first and second derivatives of the height profiles in combination with assumptions about the shape and minimum size of the obstacles. All segments of the river axes that significantly interrupted the monotonous elevation decrease were marked, and the obstacles were eliminated from the DEM by cross-section-based interpolation of the river bed (Figure 2). A total of around 42,500
- 375 bridges or other obstacles were detected across Austria and removed from the DEM. Removal of bridges from the DEM is an approximation, as only the backwater effects from the bridge piers are considered in the hydrodynamic simulations, but not those from the bridge decks, as the latter have been removed. In about 1500 cases an increase in the elevation along the river axis was interpreted and implemented as culverts without changing the DEM, based on the interpretation of the DOP and the DEM by the analyst. On large rivers, information about bridge piers and power plants was often available and this
- 380 was taken into account in the hydrodynamic modelling, while on small streams the bridges were assumed to be non-existent. To assist in the further analyses, river banks were detected, and two line pairs were added to each centre line of the river axis: the upper edge of embankment to represent the intersection with the flood plain, and the lower edge of embankment as an approximation of the water-land-interface during average flow conditions. Both edges were identified by cross-sectionwise analysis of the DEM along the river. First, the centres of the embankments on both sides of the river channel were
- 385 detected as local transversal slope maxima in proximity of the centre line. Starting from each centre of embankment, the cross section was followed away from the channel and the upper edge of embankment was identified as a minimum of curvature. Similarly, starting from each centre of embankment, the cross section was followed towards the channel and the lower edge of embankment was identified as a maximum of curvature.

As the DEM from LiDAR represents the water surface rather than the river bed, bathymetric information from other sources 390 was integrated: (i) mesh terrain data from existing, local hydraulic models provided by the individual states (16% of the river network), (ii) measured cross-sectional profiles along the river axis (2% of the river network) (Mandlburger, 2000) and (iii) estimates of cross-sectional area assuming a trapezoidal channel geometry and using Manning's equation with longitudinal slope, roughness and discharge measured at stream gauges, as well as water level and width of the channel (82% of the river network). Between the lower embankment lines, the DEM was replaced by this bathymetric information and the transition 395 was smoothed.

For simulating the case of potential levee failure in the  $Q_{300}$  scenario, a topography without levees was necessary. Since no levee data base exists in Austria, they were detected from the DEM. Levee detection was carried out by calculating a moving average of terrain heights with window size w in the Q<sub>300</sub> floodplain, determining its difference to the original DEM, and interpreting differences larger than a height threshold as objects. The window size was set to w = 50 m based on test

calculations. The result was a raster difference model. The total length of detected levees was about 3000 km. An example,

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of a levee detected by this method is shown in Figure 10.

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### 5.2 In what way the combined automatic-manual methods work (DEM)

Due to the high quality requirements and a myriad of special cases, nearly all analysis steps involved some manual checking and/or correction by an analyst, falling into three categories: (i) Manual checking and modifications within the entire domain

405 was carried out for the position of all river axes. The manual analysis was adopted to enhance quality and since part of the river network cannot be identified automatically from the DEM because it is underground or obstructed by other objects such as vegetation and buildings. (ii) Spot checks at automatically selected locations were conducted to ensure that the automated methods worked as intended. This approach was adopted for DEM harmonisation, obstacle detection, identification of river banks and levee detection. (iii) Extensive automatisation was carried out for obstacle removal and burning channels into the 410 DEM, as they were well-defined tasks, although some random manual checks were made.

#### 5.3 Accuracy of the results (DEM)

The manually corrected river axes were used to evaluate the quality of the automatic positional correction of the original river network. The original axes had overall 85.0 % of their points within the river channel, as measured against the manually corrected lower embankment lines (where available) which were deemed to be accurate. The automatic correction

415 of the river axes increased this value to 97.1 %. This percentage was lower for small streams than for large streams, mainly because of the narrower channels.

The automatic detection of obstacles was tested by intersecting the OpenStreetMap traffic route-layer with the river axes in the states of Vorarlberg, Salzburg, Wien and Burgenland, from which 7336 locations of potential bridges were obtained. These were manually checked against the orthophoto. In 4244 cases (57.9 %), the original DEM was correct in that there

- 420 was either no obstacle (e.g. the crossing was a tunnel and there was no bridge) or it had been eliminated in the original DEM. In 2970 cases (40.5 %), the automatic algorithm had correctly detected and removed the obstacle. In 122 cases (1.7 %), there was an obstacle in the orthophoto which however had not been identified by the automatic procedure. These errors occurred mainly along small streams and for low bridges with little effect on the height profile of the river in the DEM.
- The quality of other analysis steps, such as levee detection, bathymetric data integration and river bank detection, depends on 425 the input data quality. For example, the input data to the bathymetric data integration are the DEM containing the water surface, the bathymetric raster model of the river channel and the lower embankment lines. The estimated trapezoidal channel geometry was tested against measured profiles every 100 river km for a total of 63000 profiles by evaluating the relative area deviation, *RAD*:

$$RAD = \frac{A_m - A_R}{A_r}$$
 Eq. 5

430 where  $A_M$  and  $A_R$  are the modelled and observed wet cross-sectional areas. The modelled trapezoidal area was calculated using Manning's equation under the assumption of a discharge corresponding to 70 % of the mean annual discharge. Figure

11 shows the evaluation for a reach of the Inn river in Tyrol. The errors are relatively small but do exist. For example, at the location shown in the photo in Figure 11 the wet cross-sectional area is overestimated because of a sandbar associated with the river bend, an effect not represented in the simple bathymetric model used here. Of course, an increased availability of Gelöscht: 10

Gelöscht: 10

435 observed cross sections would enhance the accuracy of the bathymetry.



Figure 2: Example of DEM and river axis corrections. The positional correction of the river axis (left) is followed by obstacle detection (centre) and obstacle elimination (right). The obstacle detected in the foreground is a small bridge. Pixel size is 1 m.

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Figure 10: Levee detection along the Danube near Stopfenreuth, Lower Austria. The two levees with slightly different crest heights Gelöscht: 9 protect part of the former flood plains.



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Figure 11: Validation of the estimated trapezoidal channel geometry on measured profiles of the Inn river near Haiming, Tyrol. Flow from left to right. The relative area deviation, RAD, (shown as filled circles on the river axis) is the relative difference between the estimated and the measured wet cross-sectional area. Red indicates an overestimation of the estimated wet areas, blue an underestimation. Inset photo shows the sandbar that explains the overestimation of the wet cross-sectional area at this location. Gelöscht: 10

#### 6. Inundation patterns and velocities

## 6.1 Data and methods used (Inundation patterns)

Transient, two-dimensional hydrodynamic simulations with a spatial resolution of 2 m were carried out in order to calculate water depths and flow velocities. The simulated maximum water depths at every location were taken as relevant for the flood maps.

Manning's roughness coefficients were estimated by combining various pieces of information: On the floodplains, tabulated coefficients from the literature were used based on a landuse classification (Chow, 1959; Arcement and Schneider, 1989). In the stream channels, the roughness coefficients were calibrated against stage-discharge relationships at stream gauges, spatially interpolated and combined with any locally available roughness coefficients from previous studies. The latter were

460 available for 10% of the total river network. This combined approach ensures spatial consistency and exploits local information where available.

The simulations were not carried out for a specific event, but for peak discharges associated with a return period of T=30, 100 and 300 years. The peak discharge and the corresponding water levels therefore represent a hydrological longitudinal section along the stream. This choice differs from the usual procedure of local hydrodynamic modelling, in which an

- d65 observed event is scaled to a *T*-year peak discharge on the main river, and the flow of the tributaries is scaled in the same way, which often corresponds to a much smaller return period. In other words, the scenario usually consists of an observed hydrograph multiplied with a constant factor in the entire simulation domain. In contrast, the <u>new</u> method <u>proposed here</u> assumes the same return period of peak discharge over a large region, which allows calculation of spatially consistent inundation areas. However, this method is not mass-conserving for two reasons. First, the sum of the *T*-year discharges of
- 470 two tributaries at a confluence is usually not equal to the *T*-year discharge downstream of the confluence. This is because, in reality, the peaks of the tributaries rarely occur simultaneously, so there is no perfect superposition of peak discharges.
  Second, there are diffuse lateral inflows along the river reaches that need to be accounted for.
  Since the flows associated with the same return period along the stream network are not mass conserving at river

confluences and along gaining reaches, water was removed or added in a way that the condition of a spatially uniform return
 period of the peak discharge (given by the hydrological regionalisation as described in Section 4) was met. At confluences, water was removed to compensate for the fact that flood hydrographs do not always occur simultaneously. The adjustment
 was estimated as the difference between the sum of the upstream hydrographs and the downstream hydrograph (from Section
 4) associated with that node. The timing of the resulting difference hydrographs was adjusted in an iterative way to the timing of the hydrodynamic simulations. At the time of peak, the difference hydrographs were negative (Figure 12). The

- 480 adjustments using the difference hydrographs were not made for a single cell at the confluence but over all cells within a polygon around the confluence defined by the river geometry, in order to avoid any discontinuities in space and time. In these cells, a sink term was introduced in the transient 2D shallow water equations, which (spatially) added up to the difference hydrograph. At gaining river reaches, a similar adjustment was applied through a source term. In this way, the simulated discharge hydrographs at all nodes of the river network were consistent with those obtained by the hydrological
- 485 regionalisation. Details of the method can be found in Buttinger-Kreuzhuber et al. (2022b). In order to enhance simulation accuracy, buildings and culverts were represented explicitly. Culverts were modelled by standard flow formulas accounting for their diameters, pressure heads, and inlet and outlet geometries. High-head and runof-river power plants were represented by prescribing a stage-discharge boundary condition and by incorporating the weir geometry in the DEM, respectively. In total, 1475 culverts and 65 high-head power plants were specified semi-automatically.
- 490 The 2D shallow water equations were solved by a second-order accurate finite-volume scheme (Buttinger-Kreuzhuber et al., 2019). The algorithms were parallelised (Horvath et al., 2016) and implemented on ten NVIDIA Titan RTX graphics processors, each with 24 GB of video memory (Buttinger-Kreuzhuber et al., 2022a). The GPU implementation was optimised by allocating only those regions that were wet <u>(i.e. inundated)</u> or at risk of getting wet (Horváth et al., 2016). <u>A fixed grid of 2 m resolution was found to be numerically more efficient than a spatially variable grid size.</u> Austria was
- 495 divided into 182 simulation domains with a total of around 20 billion cells. The time step was constrained by the Courant-

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**Gelöscht:** In order to efficiently simulate this effect, water was removed or added at nodes to such an extent **Gelöscht:** usually

**Gelöscht:** Water was usually added along the channel reaches to account for diffuse lateral inflows. The extractions and additions were dynamic, so that not only the peak discharge but the entire specified discharge hydrograph at all nodes (from section 4) was retained (see Figure 11).

Gelöscht: in urban regions

Friedrichs-Lewy-condition and was typically less than 1 second. With this implementation, the simulation time for the 100year flood case was 28 days. The simulations were carried out for three different flood probabilities (T = 30, 100, 300) and for a scenario T = 300 without levees. In addition, some of the simulations were repeated for iterative quality control. The solver was integrated into a dataflow of the automation framework Visdom (Waser et al., 2011) which vastly facilitated the work flow.

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### 6.2 In what way the combined automatic-manual methods work (Inundation patterns)

In order to enhance the quality of the simulated flood hazard zones, the burned-in river bed, culverts and hydraulic structures, such as power plants, levees and protection walls, were checked in a semi-automatic way. Automatic checks were performed to ensure the completeness and consistency of the input data. Additionally, test simulations with river discharges equal to mean annual discharge over a few hours were launched, to test flow connectivity and the plausible behaviour of weirs and the burned-in river bed. The simulations were visually examined and apparent errors were manually corrected, e.g., by increasing the aperture of weirs through modifying the DEM geometry. Similarly, underpasses below levees were opened or closed, guided by available local information on flood hazard zones. Occasionally, underpasses that appeared closed in the reference dataset had to be opened to allow free outflow from tributaries. Where relevant information was available, thin walls not resolved in the DEM were added manually, if they were deemed to significantly affect the

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inundation areas.

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### 6.3 Accuracy of the results (Inundation patterns)

In a first step the hydrodynamic simulations were compared with the 100-year flood water levels at individual stream gauges. For the gauges along the Inn in Tyrol, there were usually only deviations of a few centimetres. Exceptions are the 515 Jenbach-Rotholz and Brixlegg stream gauges with water levels that were around 20 to 30 cm lower than observed, which can be explained in part by backwater effects of bridges not fully accounted for.

In a second step, the simulations were evaluated against reference flood hazard maps previously available that are the outcome of assessment process at the community level (Schmid et al., 2022). Since these reference maps were obtained in local studies, often based on observed flood inundations, their accuracy can generally be considered higher than that of the present simulations. However, they were only available along 11600 km (34 %) of the river network. Specifying the modelled state of each cell as either wet ( $M_1$ ) or dry ( $M_0$ ) and the state of each cell of the reference as either wet ( $R_1$ ) or dry

 $(R_0)$ , the following performance metrics were used:

$$HTR = \frac{|M_1R_1|}{|R_1|}, \quad FAR = \frac{|M_1R_0|}{|M_1|}, \quad CSI = \frac{|M_1R_1|}{|M_1R_1 + M_0R_1 + M_1R_0|}$$
 Eq. 6

where the hitrate *HTR* reflects the degree to which wet cells are captured; the false alarm rate *FAR* the relative number of cells that are wet in the model but dry in the reference data; and the critical success index *CSI* the number of correctly

predicted wet cells relative to the total number of wet cells in the model and/or the reference (Buttinger-Kreuzhuber et al., 2022).

These three performance metrics and the area  $A_{MR}$ , which is the sum of the cell areas that are flooded both in the proposed model and in the reference, are shown for return periods of 30, 100, and 300 years in Table 2 for Tyrol. As the return period

530 increases, the hitrate changes little, the false alarm rate decreases and the critical success index increases. The decreasing false alarm rate reflects the more robust results for greater water depths, and the smaller influence of small streams. This tendency is consistent with other studies (Bates et al., 2021).

Additionally, the patterns of the simulated and reference maps were compared in order to get more detailed process insights of the performance (Grayson et al., 2002). Overall, the patterns show an excellent agreement. For example, the Eferding area

- 535 along the Danube that was heavily inundated during the 2002 and 2013 floods (Blöschl et al., 2013) shows small differences (Figure <u>13a</u>). In this case, the accurate representation of the Ottensheim-Wilhering run-of-river power plant and the use of instationary, second-order accurate simulations played a central role. In individual cases, however, there are deviations due to a number of reasons. First, the present simulations represent a consistent flood return period across the entire river network, while the references maps were obtained by the traditional approach of mass conserving (design event) simulations.
- 540 The resulting differences mainly occur around confluences. Second, some of the small streams are not included in the local flood hazard zones, which may cause false alarms near confluences. Third, the reference maps sometimes reflect local information not available for the national scale simulations conducted here. These pieces of information include walls with a width < 2m, and some culverts and mill channels. An example is shown in Figure <u>13b</u> for illustration. In the original simulations the western part of the community is flooded (blue) while the local maps reflect a protection by a narrow wall in
- the south of the area. Including a thin wall (Figure <u>13c</u>), results in simulations much closer to the reference map.
  The inundation areas, water depths and associated flow velocities represent the output of the flood hazard mapping. An
  example is shown in Figure <u>14</u> for the Gail valley near Feistritz. The differences between Figures <u>14a</u> and <u>14b</u> reflect the added hazard when moving from flooding with a return period of 30 years to that of 300 years when levees are overtopped or fail.
- 550 The flood risk areas determined in this way with a resolution of 2 m were published in map form on the HORA platform (www.hora.gv.at). For those river sections in which community level flood hazard zones were available, these were shown instead of the present simulations.

Gelöscht: 12a

Gelöscht: 12b

Gelöscht: 12c

Gelöscht: 13 Gelöscht: 13a Gelöscht: 13b



555 Figure 12: Mass balance adjustments for the hydrodynamic simulations. The main river is the Inn in Tyrol, flow from right to left. Water is added at the tributaries to reflect lateral inflows and is removed or added at the confluences to reflect the coincidence of flood events in a way to match the discharge hydrographs prescribed by the regional flood frequency analysis. The horizontal axes of the adjustment hydrographs represent time (a total of 8 days), the vertical axes discharge (m<sup>3</sup>/s). Gelöscht: 11

Table 2: Performance metrics of the hazard zone simulations against local flood hazard maps in Tyrol. Three scenarios associated with peak discharge return periods of 30, 100 and 300 years. The metrics are the hit rate (*HTR*), the false alarm ratio (*FAR*) and the critical success index (*CSI*) (Eq. 6). For context, the area  $A_{MR}$  that is wet in both the model and the reference is also given. A perfect match of the simulations with the reference data implies *HTR*=1, *FAR*=0, *CSI*=1.

Return period	HTR	FAR	CSI	$A_{MR}$ [km <sup>2</sup> ]
30 years	0.802	0.321	0.581	59.28
100 years	0.789	0.226	0.641	94.85
300 years	0.802	0.155	0.699	131.33

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b)

Figure 13: (a) Comparison of the simulated 100 yr flood hazard zones with local reference flood hazard maps in the Eferding area,
Upper Austria along the Danube. There is very good agreement because of the availability of detailed data on hydraulic structures.
(b) Similar comparison for Reutte, Tyrol, along the Lech river, for which a protective wall was not included, so simulations overestimate inundation on the left. (c) As in (b) but including the protective wall.



#### 575 7. Discussion and conclusions

#### 7.1 Spatial flood probabilities

Flood hazard maps are similar to inundation maps in that they show part of the landscape inundated and the rest not, but conceptually they are quite different. Technically, the flood hazard is defined as the probability with which a given location is inundated, but in practice one uses peak discharges of a given probability as a boundary condition of the hydrodynamic 580 models to estimate the inundation areas, assuming that the two probabilities are the same (Mudashiru et al., 2021). For one cross section this assumption is satisfied if the stage-discharge relationship is unique and monotonic, but spatially this is not necessarily the case because of dynamic effects (Schumann et al., 2016). The T-year discharge on the other hand can be estimated from rainfall or directly from flood discharge data. The latter tends to be more reliable if stream gauges are available in the region (Rogger et al. 2012ab) because of the complex mapping between rainfall and flood probabilities 585 (Viglione et al., 2009; Breinl et al., 2021), and this is the reason why this method was adopted in the present study. The use of the T-year discharges on the entire river network, however, introduces another complication, as these discharges do not represent a single event. As a consequence, the traditional event-based assumption of local hazard mapping where, say, a 100-year flood on the main stream is combined with 10 year floods on the tributaries to resemble a real event, no longer applies. One way of addressing this problem is the simulation of streamflow series over hundreds or thousands of years 590 (either from rainfall or from stochastic discharge models), use of these for hydrodynamic simulations (e.g., Domeneghetti et

- al., 2013; Falter et al. 2015, 2016) and performance of extreme value analysis at the end. While conceptually simple, it is not numerically efficient, as the run times of a hyper-resolution hydrodynamic model for thousands of events or thousands of years can be excessive. This paper therefore proposes an alternative approach, which simulates only a few days of flow processes, using discharge hydrographs with T-year peaks on all nodes of the river network, but correcting the mass balance
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  - 95 of the hydrodynamic model. In the approach adopted here, water is removed or added at each node in a way to obtain a match between the hydrograph simulated by the hydrodynamic model with the one imposed by the regional flood frequency analysis downstream of the confluence. The gain in computational efficiency as compared to the stochastic simulation of long series is a number of orders of magnitude.

#### 600 7.2 Scale issues of hyperresolution modelling

The hyperresolution approach adopted in this study entails a number of scale issues that do not occur in smaller domains or at lower resolutions. For example, in the definition of the river network, the effect of water management structures, such as diversion channels and weirs, on the flow connectivity had to be represented. It is possible to capture the detailed hydraulic characteristics of these structures for individual cases (e.g. by surveying the geometry and the field and resorting to the

- 605 operation rules). However, for many such structures this is no longer possible for logistic reasons, so an approximate approach was introduced that was inspired by the large-scale field-mapping method of Reszler et al. (2018) that combines map information (including aerial photographs, local knowledge and expert judgement). In the present case it was not formalised to allow flexibility for different types of hydraulic structures and owing to the fact that no complete national-scale data base of hydraulic structures exists in Austria. Similarly, in the estimation of flood generation for a T-year discharge
- 610 peak, such local structures are relevant, in particular flood retention basins. Again, given that operation rules of hydraulic structures were only known in a few cases, approximate approaches were needed based on level-pool routing and standardised hydraulic characteristics. There are simpler alternatives, such as the FARL (flood attenuation by reservoirs and lakes) index of the FEH (1999) and total storage based methods (e.g. Wang et al, 2017), as well as more complex methods (e.g. Ayalew et al. 2013; Connaughton et al., 2014) but it is clear that the choice of method needs to be aligned with the
- 615 amount and nature of data at hand. In the definition of the terrain geometry, it was possible to adopt a higher level of automation given the availability of a Lidar DEM and the somewhat more universal nature of the geometric shape of bridges and levees. While methods that are not shape-sensitive exist (e.g. Sithole and Vosselman, 2006) there is an element of subjectivity in the parameter choices made, e.g., the kernel size in the levee detection method used here. Regarding the hydrodynamic simulations, the most relevant hydraulic structures (e.g. culverts, reservoirs, mobile flood protection walls)
- 620 were included explicitly where known. Again, there is some element of subjectivity in terms of which structures were included related to both data availability and expert judgement in the visual screening of the hydraulic situation of the entire computational domain. As with some of the other parts of the analysis, a decision needs to be made on the state of the hydraulic system in the scenario considered (e.g. mobile walls absent/present, reservoir empty/full, weir open/closed) which represents meta-information of the flood hazard maps produced.
- 625 The scale issues related to local hydraulic structures and water resources operation are quite different from the sub-grid scale parameterisations hydrology, hydraulics and terrain analysis traditionally has dealt with (Blöschl and Sivaplan, 1995; Dottori et al., 2013), <u>as information on structures is missing</u>, so variance-based approaches (as in turbulence) are not an obvious choice in developing a more objective method. Instead, it may be worth adopting a classification approach and test its validity in an intercomparison setting. This classification may merge heterogeneous data types (e.g. aerial photographs,
- 630 terrain data, engineering data bases) with elements of human judgement to address some of the ambiguities and inconsistencies likely to be encountered in large datasets. While the tradeoff between representable level of detail of each

structure and the total number of structures accounted for will likely rest with us for the near future, there is potential in pushing the boundary be explicitly recognizing a conceptual typology of structures relevant in flood hazard mapping, in order to make progress in capturing the summary effects of human impacts on flood processes.

### 635 7.3 Combination of heterogeneous data, manual interventions and accuracy

More generally, this approach of using all available, relevant data as well as some element of human judgement where needed, proved to be very efficient in all steps of the analysis. Part of the heterogeneity is related to hydraulic structures, but other complexities are equally important. For example, the stream gauges are not uniformly distributed in the study region. In the mountainous areas there tend to be more ungauged catchments than in the lowlands and these differences were

- 640 accounted for by the flood frequency hydrology approach adopted here that combines the flood discharge record with temporal, spatial, and causal expansion of information (Merz and Blöschl, 2008ab). While more formal Bayesian approaches exist (Viglione et al.2013) we opted for a more informal, albeit more labour intensive, approach to obtain the flexibility to draw from information not contained in the data without having to set up a-priori distributions. Of course, future work might consider such an approach. Another example is the identification of obstacles along the river network such as bridges, which mostly worked very well but the visually inspection showed that in 1.7% of the cases a manual intervention was needed. We
- believe that this combined approach has significantly increased the accuracy of the results. There is a general question as to which extent large scale flood hazard mapping can produce results similar to more local studies. In the present study, in each of the analysis steps (Figure 1) we attempted to mimic local estimation procedures to the extent possible. In estimating the 100-year flood discharge for locations at the river network without stream flow
- 650 measurements (ungauged basins) the results are similar or better than smaller scale studies in the literature. Here, the root mean squared normalised error (RMSNE) was 0.30, 0.29 and 0.06 for catchments <100 km<sup>2</sup> area, between 100 and 1000 km<sup>2</sup> and larger than 1000 km<sup>2</sup>, respectively. Out of the 50 studies summarised in Table A9.1 of Blöschl et al. (2013), only four out report RMSNE of 0.30 or less, and none RMSNE of 0.25 or less.
- With regard to the inundated areas, the model achieves a critical success index (CSI) of 0.69 and a hit rate of 83 % across
  Austria for a 100-year flood. In Tyrol, the CSI scores are 0.58, 0.64 and 0.70 for the 30-year, 100-year and 300-year flood. These values are comparable to other large-scale studies (Wing et al., 2017; Bates et al., 2021). Local studies, e.g. Aronica et al. (2002), report CSI values of 0.70 to 0.85 for best-pick scenarios in an ensemble. Still, comparisons across different regions need to be treated with caution, as floodplain topography and whether the floodplain is developed or not have implications on model performance (Wing et al., 2017).
- 660 A related question is whether which source of uncertainty estimation of flood discharge or hydrodynamic modelling has a bigger effect on the resulting flood hazard map. While sensitivity analyses would be needed to address this question for the present modelling setup, there are indications in the literature that the flood discharge estimation may be more important. For example, uncertainty analyses in a coastal catchment in Italy suggest that flood discharge estimation introduces more

uncertainty than the hydrodynamic modelling (Annis et al., 2020). However, one can safely assume that the relative importance of this two uncertainty factors will be strongly controlled by the terrain configuration. Flat flood plains will tend to make hydrodynamic processes more relevant, while steeper terrain will make them less relevant, as a given discharge change translates into a smaller change in inundation area.

#### 7.4 Limitations, potential for improvement and outlook

- Similar to other studies, there is potential of improvements in all steps of the analysis which fall into two categories:
   ingestion of additional data and refined methods. Regarding the river network and catchment boundaries we believe we have achieved a high level of accuracy, but it would be possible to relax the assumption of a strict tree structure. Each node has currently only one downstream neighbour, but it would be possible to allow for bifurcations, in which case an allocation of the flood flows would have to be made to two (or more) downstream branches. Bifurcations, often, are very important for low flow conditions and they decrease in importance as discharge increases and water spills beyond the river banks into the flood plains. We therefore believe that, for the current domain, this approximation does introduce large inaccuracies. Regarding the flood discharge peaks and volumes, the current setup involves a flood frequency analysis based on observed stream discharges along with expanded information using flood frequency hydrology approach (Merz and Blöschl, 2008ab;
- <u>Viglione et al., 2013</u>). While we do not believe that at this point (and probably for years to come) deriving flood discharges from precipitation will give more accurate results in the study region because of the availability of flood discharge data, there
   may be other reasons for doing this, in particular when interested in changing flood hazard as a result of changing climate
- change and changing land use. The existing stream gauges could still be used for calibrating the runoff model for such an analysis. One may argue that, in other regions that are more data scarce, the rainfall runoff modelling approach will prevail over flood frequency, an argument treated extensively in the Predictions in Ungauged Basins (PUB) initiative of the International Association of Hydrological Sciences (Blöschl et al., 2013). In such regions, a combined use, through the flood
- 685 frequency hydrology approach, of observed flood records and rainfall runoff modelling will get the best out of both worlds. Regarding the DEM variants and river boundaries, possible extensions are more detailed visual checks to account for even more local information. Another possibility is the processing of the DEM directly based on point clouds which would however increase the computational burden. Regarding the hydrodynamic simulations of the inundation patterns and velocities, a larger number of local hydraulic structures could be ingested, which would require a major digitalisation effort.
- 690 Another interesting extension is the use of the same system for estimated pluvial flood hazards at the national scale at a resolution of 2 m. To this end, one would start from extreme rainfall and simulate infiltration and runoff generation directly at the pixel scale (Buttinger-Kreuzhuber et al., 2022). The obvious challenge here is capturing the probability of surface runoff during floods (Blöschl, 2022a), although the existing stream gauges could be used for calibration and validation.

The present framework was, as is often the case, developed in the context of an externally funded project with a finite project

695 duration. It is planned that the data base and model codes are maintained at least for a number of years and are updated

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where possible. From a project funding perspective an ongoing maintenance is not always easy because of limited budget horizons, but there may be very valuable pay backs through opportunities for future adaptations of the flood hazard maps regarding changes in climate, land use and hydraulic structures in the system (Blöschl et al., 2019; Blöschl, 2022b). A follow-up project developed visualisations of the hazard maps made publicly available on the https://hora.gv.at platform. The

700 visualisation involves personalised 3D perspective views of the inundation of buildings for the hazard scenarios (Cornel et al., 2015).

The framework clearly lends itself to application in other regions. Austria is relatively rich in data and information, and there are several countries similar in this respect, but there are perhaps many other where data are more scarce. We believe that, in situations where data are more scarce, the combined automatic-manual approach would still work very well. The difference

- 705 is that, for both the automatic and the manual steps, less information is available, so uncertainties are larger, but the complementarity of the approaches still exists and contributes to an overall strengthening of the outcomes. Also, in other countries there may be additional particularities that need to be accounted for, such as tidal effects in coastal areas. The manual steps are amenable to more formal quantifications, e.g. by classification methods, which could shift the balance of automatic-manual methods more towards automatic ones. These may lead to interaction-aware models involving large-scale
- 710 statistical dependence models for extremes and high-resolution climate models coupled to hydrological catchment models providing spatially and temporally consistent meteorological and hydrological hazard estimates (Vorogushyn et al., 2018).

#### Code availability

The Top-kriging code for regionalising the flood data is freely available from https://r-forge.r-project.org/projects/rtop. The 715 Visdom framework used for simulating the inundation patterns and velocities is closed source. Licensing information is available on request from VRVis (https://www.vrvis.at/visdom).

#### Data availability

Flood peak data and discharge data are freely available from https://ehyd.gv.at. River network, catchment boundary and DEM data are owned by the Federal Ministry of Agriculture, Forestry, Regions and Water Management, and can be made available in line with legal requirements. The simulated inundation data may be shared upon request and are displayed at https://www.hora.gv.at. The local flood hazard maps used as reference data set in Tyrol are available on https://datatiris.opendata.arcgis.com/datasets/ueberflutungsflaechen-1.

#### 725 Author contributions

Gelöscht: , but

GB designed and led the study, and drafted the manuscript. JP, PV and RV processed and aligned the catchment and river network datasets. PV regionalized the flood peaks to the ungauged catchments. JP, PV and RV performed the cross-validation of discharges to assess method performance. AB, AR, JE, MW, JP, MHofer and PV performed the data analysis. JK, JS, JW, MHollaus and NP helped with the data analysis and interpretation. AB, JK, JW, MW and PV helped with

730 developing the manuscript and the figures. AB, AK, DC, JP, JK, JW, MW, PV and ZH implemented algorithms for preprocessing river network, DEM, river bed, land use and roughness data. AB, AK, DC, JW and ZH and implemented algorithms for inundation data post-processing. AB, JW and ZH implemented the numerical inundation model. AB performed the validation of the inundated areas against the local reference flood maps. HS provided strategic guidance on the project. All authors gave suggestions on the methodology and contributed to framing and revising the paper.

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### **Competing interests**

The contact author has declared that neither they nor their co-authors have any competing interests.

## Disclaimer

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### **Review statement**

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