



- Assessing Locations Susceptible to Landslide Initiation During
- 2 Prolonged Intense Rainfall in the Lares, Utuado, and Naranjito
- 3 Municipios of Puerto Rico
- 4
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- 11 Abstract. Hurricane María induced about 70,000 landslides throughout Puerto Rico, USA, including thousands each 12 in three municipalities situated in Puerto Rico's rugged Cordillera Central range. By combining a nonlinear soil-depth 13 model, presumed wettest-case pore pressures, and quasi-three-dimensional (3D) slope-stability analysis we developed 14 a landslide susceptibility map that has very good performance and continuous susceptibility zones having smooth, 15 buffered boundaries. Our landslide susceptibility map enables assessment of (1) potential ground-failure locations, 16 and (2) areas of potential landslide sources to support a companion assessment of inundation and debris-flow runout. 17 The quasi-3D factor of safety,  $F_3$ , showed strong inverse correlation to landslide density (high density at low  $F_3$ ). Area 18 under the curve (AUC) of True Positive Rate (TPR) versus False Positive Rate indicated success of  $F_3$  in identifying 19 head-scarp points (AUC=0.84) and source-area polygons ( $0.85 \le AUC \le 0.88$ ). The susceptibility zones enclose 20 specific percentages of observed landslides. Thus, zone boundaries use successive  $F_3$  levels for increasing TPR of 21 landslide head-scarp points, with zones bounded by  $F_3$  at TPR=0.75, very high;  $F_3$  at TPR=0.90, high; and the 22 remainder moderate to low. The very high susceptibility zone, with 118 landslides/km<sup>2</sup>, covered 23% of the three
- 23 municipalities. The high zone (51 landslides/km<sup>2</sup>) covered another 10%.

#### 24 1 Introduction

25 Heavy rainfall from Hurricane María during September 2017 produced tens of thousands of landslides on the main 26 island of Puerto Rico, USA (Bessette-Kirton et al. 2017, 2019a; Hughes et al. 2019). Shallow, translational failures in 27 soil or saprolite, from decimeters to a few meters deep were the most common landslides. Deeper (up to 30 m) complex 28 failures in soil, saprolite, and rock, as well as rock falls and rock slides also occurred (Bessette-Kirton et al. 2017). 29 Many landslides transformed into debris flows that commonly coalesced and flowed down channels. Landslides 30 caused fatalities as well as widespread damage to homes, roads, and other infrastructure. 31 In the aftermath of the hurricane, the U.S. Geological Survey (USGS) began working with local partners to conduct 32 detailed assessments of landslide and debris-flow hazards, both island-wide (Hughes and Schulz 2020a, b) and more 33 locally (this study) for three impacted municipalities (Lares Municipio, Utuado Municipio, and Naranjito Municipio) 34 in the central mountains of Puerto Rico. Here we describe the landslide initiation (source area) part of a landslide 35 susceptibility assessment for these municipalities. Estimating landslide initiation potential is part of a larger effort (in

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36 progress, Brien et al. 2021) to estimate overall hazard from (1) landslide initiation (ground failure), (2) landslide

37 runout, and (3) debris-flow inundation from future extreme rainfall, including tropical cyclones (hurricanes), as well

- 38 as localized storms expected to impact these areas of Puerto Rico.
- 39 One of the objectives of this work is to produce integrated maps of potential landslide initiation and inundation areas.
- 40 Although much progress has been made in methods for assessing landslide susceptibility (e.g., Carrara et al. 1999;
- 41 Chung and Fabri 2003; Lee et al. 2003; Godt et al. 2008; Baum et al. 2014; Canli et al. 2018) as well as debris-flow
- 42 inundation (George and Iverson 2014; Reid et al. 2016; Aaron et al. 2017; Bessette-Kirton et al. 2019b), combining
- 43 these two types of assessments into a single map for an area of hundreds of square kilometers remains challenging
- 44 (Ellen et al. 1993; Benda et al. 2007; Fan et al. 2017; Hsu and Liu 2019; Mergili et al. 2019). One of the challenges is
- 45 estimating potential source-area extent and depth. We addressed this challenge by modelling soil depth and using it to
- 46 approximate potential source-area depth in one-dimensional (1D) and quasi-three-dimensional (3D) slope stability

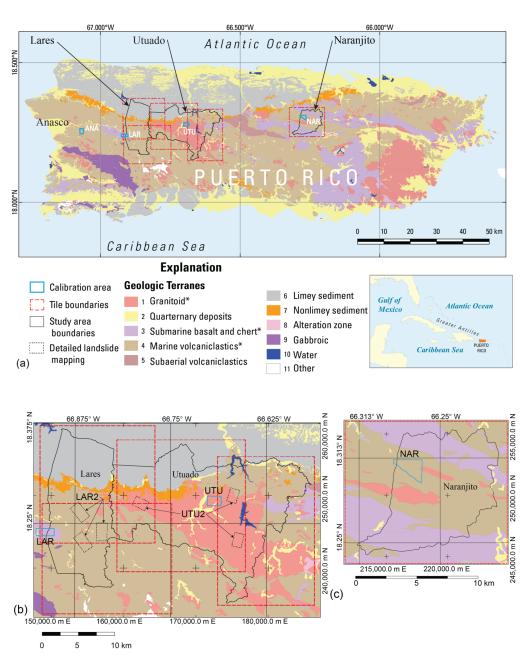




47 models for use in assessing regional shallow landslide susceptibility. Such an approach helps ensure that the 48 susceptibility model accounts for variable failure depth across the landscape and that predicted areas of potential 49 landslide sources are acceptable for use in assessing debris-flow inundation. The quasi-3D model uses a simplified 50 limit-equilibrium analysis to estimate the stability of a slab-shaped trial landslide. Another challenge is establishing 51 meaningful susceptibility categories, which we addressed by delimiting the categories at quasi-3D factor of safety 52 values, F<sub>3</sub>, that enclose specific percentages of landslide sources, rather than relying on theoretical or arbitrary factor 53 of safety values to delimit the categories. By showing like outcomes (areas that capture specific percentages of 54 observed landslides), maps based on this approach are directly comparable to each other. 55 This study was conducted in stages between 2018 and 2022 and involved three study areas as well as calibration areas, 56 study-area tiles, and validation areas. We define these here to help the reader comprehend how our presentation of the 57 study is organized. The study areas comprise three municipalities, Lares Municipio, Utuado Municipio, and Naranjito 58 Municipio, and are the focus of our landslide initiation susceptibility maps (Supplemental Figures S1 and S2; Baum 59 et al. 2023). These municipalities were chosen because they were severely impacted by Hurricane María landslides 60 and to help manage their future growth and development. We enclosed the Lares and Utuado study areas in four 61 overlapping rectangles and enclosed Naranjito Municipio in a fifth, separate rectangle (Fig. 1a, 1b, and 1c). The 62 rectangles extend beyond the drainage divides of basins that straddle municipality boundaries. The rectangles delimit overlapping tiles of the digital elevation models (DEM) used in the susceptibility analysis. These DEM tiles helped 63 64 keep file sizes (6 gigbytes or less for ASCII input and output grids) manageable and overlap ensured that edge effects would not degrade soil-depth or slope-stability computations. The extended boundaries ensured that landslide runout 65 66 and debris-flow inundation models (Brien et al. in 2021) would not be impeded by municipality boundaries or other 67 artificial barriers. The calibration areas (Fig. 1) were placed in distinct geologic terranes where high concentrations of 68 landslides had occurred. Previous detailed mapping and characterization (Bessette-Kirton et al. 2019c, 2020) and field 69 studies (Baum et al. 2018) in these areas provided data for testing and calibrating soil-depth and slope-stability models 70 (Tello 2020). From east to west, each 2-km<sup>2</sup> calibration area was named for a nearby city: Añasco (ANA), Lares 71 (LAR), Utuado (UTU), and Naranjito (NAR). Although ANA is about 15 km west of the study areas, it was included 72 to provide additional calibration data in an area of high landslide density for submarine volcaniclastic lithologies 73 because sufficient data were not available at NAR. Soils, land cover, and other characteristics (besides bedrock 74 lithology) that influence landslide susceptibility vary between the four calibration areas (Bessette-Kirton et al. 2020; 75 Hughes and Schulz 2020a, 2020b). We used six additional areas of detailed mapping (Einbund et al. 2021a, 2021b) to 76 help evaluate the final maps. These validation areas are designated LAR2 and UTU2, and each includes three 77 rectangular areas of detailed landslide mapping. (Fig. 1b). We combined detailed source area mapping of NAR 78 (Baxstrom et al. 2021a) and UTU (Einbund et al. 2021a) with that in LAR2 and UTU2 for the validation. 79









81 Figure 1. Geologic map showing municipality boundaries, study areas, calibration areas, and major lithologies (geologic 82 terranes) for the main island of Puerto Rico. Simplified from Bawiec (1998) by combining submarine volcaniclastic rocks 83 of various ages into a single map unit. Primary landslide-prone lithologies indicated by \* in map explanation. Municipality 84 boundaries of Lares, Utuado, and Naranjito define study areas. Digital elevation models covering the study areas were 85 divided into five smaller tiles. Extent of Añasco (ANA), Lares (LAR), Utuado (UTU), and Naranjito (NAR) calibration areas 86 from Bessette-Kirton et al. (2019c, 2020). (a) overview of entire island, (b) details of Lares and Utuado study areas including 87 outlines of areas of detailed landslide mapping in Utuado, (UTU2, Einbund et al. 2021a) and Lares (LAR2, Einbund et al. 88 2021b), (c) details of Naranjito study area.





89 In the following sections, we describe characteristics of the study areas, summarize our methods and results, and 90 discuss advantages, limitations, and implications of our approach. First, we describe the setting, geology, and 91 landslides of Puerto Rico including details specific to the study areas. Then we describe the available topographic and 92 geotechnical data followed by a description of the workflow for assessing landslide susceptibility. Next, we describe 93 our methods for modelling soil depth, pressure head, and slope stability along with procedures for model calibration 94 and details of how the calibrated models were applied to and evaluated for our study areas. Then we present results of 95 the calibration, soil-depth modelling, 1D and quasi-3D stability analyses, and the evaluation and validation of the 96 susceptibility analysis. These results were obtained using pre-event light detection and ranging (lidar) DEMs (U.S. 97 Geological Survey, 2018); we reran our models using calibrated input parameters and post-event lidar (U.S. Geological Survey 2020a, b, c) to estimate susceptibility to future landslides. We finish by discussing strengths and 98 limitations of our approach as well as some unexpected findings and ways to simplify the workflow for application to 99

100 areas where limited data are available.

# 101 2 Study area

Puerto Rico is a U.S. territory and lies at the east end of the Greater Antilles island chain in the Caribbean Sea (Fig. 1). The main island is characterized by rugged topography and covers an area of 8750 km<sup>2</sup>. The study areas and calibration areas lie in the east-west-trending Cordillera Central range, which spans most of the island. The range exceeds elevations of 900 m at many places, and its highest peak reaches an elevation of 1340 m. Coastal plains and broad lowlands ring most of the island. Ongoing tectonic uplift is one of the main factors creating the rugged topography across the island (Taggart and Joyce 1991). Warm temperatures, high rainfall, and humidity contribute to deep weathering and widespread saprolite formation (Murphy et al. 2012).

### 109 2.1 Geology and soils

110 Heavily faulted basement rocks, consisting mainly of oceanic crust, volcaniclastic, and intrusive rocks, underlie the 111 Cordillera Central range (Jolly et al. 1998). A cover sequence of carbonates and associated clastic sediments 112 unconformably overlies the basement complex. The carbonates have weathered to form tropical karst in the lowlands north of the range (Monroe 1976). Bawiec (1998) generalized the geology of Puerto Rico into twelve geologic terranes 113 114 having related rock types. We have simplified the terranes slightly for purposes of this study (Fig. 1). Soil mapping 115 and databases published by the U.S. Department of Agriculture's Natural Resources Conservation Service (NRCS) 116 indicate a wide range in the textures (particle-size distributions) and hydraulic properties of soils in the study areas 117 (Soil Survey Staff 2018). Most hillside soils have developed by in-place chemical weathering of underlying bedrock 118 or saprolite and locally derived colluvium. Despite the steep slopes, in many places the upper few meters of bedrock 119 have weathered to saprolite (e.g., Jibson 1989; Larsen and Torres-Sanchez 1992).

### 120 2.2 Landslides

121 Recent and historical studies described and characterized Puerto Rico's rainfall-induced landslides. Published studies

122 of past landslides characterized rainfall-induced landslides in southern and eastern parts of Puerto Rico (Jibson 1989;





- 123 Simon et al. 1990; Larsen and Torres-Sanchez 1992, 1998; Pando et al. 2005; Larsen 2012). Several post-Hurricane 124 María studies documented dimensional, geologic, and topographic characteristics of landslide sources in ten 125 representative areas of high landslide density within and near the municipality study areas (Fig. 1): Baum et al. (2018) 126 conducted field studies and measurements (Fig. 2), and Bessette-Kirton et al. (2019c) later mapped landslides using 127 post-event aerial photography in the four areas denoted as ANA, LAR, NAR, and UTU (Fig. 1a). U.S. Geological Survey staff later remapped NAR (Baxstrom et al. 2021a), remapped UTU (Einbund et al. 2021a), and mapped six 128 129 additional areas (UTU2 and LAR2, Fig. 1b) near UTU and LAR (Einbund et al. 2021a, 2021b). Schulz et al. (2023) 130 expanded on earlier field studies of Baum et al. (2018). Data from some of these studies supported recent analyses of landslide susceptibility (Bessette-Kirton et al. 2019a; Hughes and Schulz 2020a) and runout characteristics (Bessette-131 132 Kirton et al. 2020).
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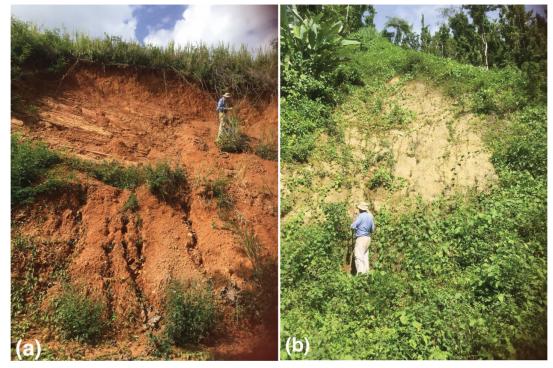


Figure 2. Photographs taken in May 2018 depicting source areas of shallow landslides in (a) volcaniclastic terrane and (b)
 granitoid terrane eight months after Hurricane María (photographs by C. Cerovski-Darriau, U.S. Geological Survey, public
 domain).

- 138 The post-Hurricane María studies cited above indicated that most source areas were fully evacuated, and shallow
- 139 translational slides appear to be the most common type of movement prior to transforming to debris flows.
- 140 Nevertheless, source area shapes were consistent with translational, rotational, or complex movement. Source areas
- 141 exposed soil, saprolite, and bedrock (Fig. 2). Soil matrix textures ranged from sand to clay; clast content increased
- 142 with depth. Differences between the landslide source sizes and depths within the different terranes (Fig. 3) seem

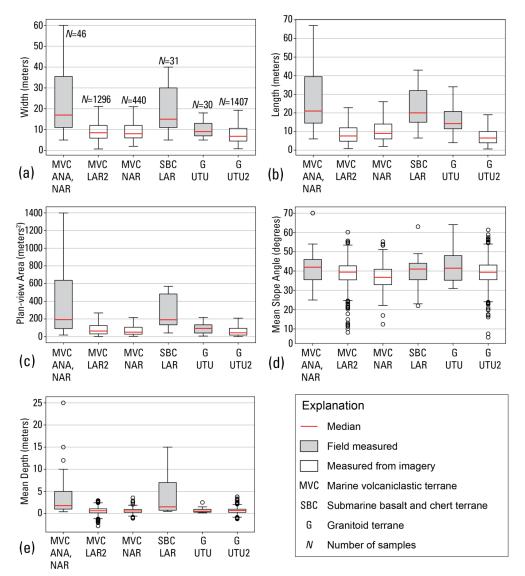




143 consistent with their different lithologies and depth of weathering (volcaniclastic rocks, weathered volcanic rocks,

144 granitic pluton).

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147 Figure 3. Box plots summarizing landslide source dimensions obtained for three geologic terranes by field studies of 107 148 landslides (gray, Baum et al. 2018) and by mapping 3440 landslides from aerial imagery and lidar-derived digital elevation 149 models (white, Baxstrom 2021a; Einbund 2021a, 2021b). (a) width, (b) length, (c) plan-view area calculated directly by 150 geographic information system for mapped polygons and estimated from field measurements as an ellipse and projected to 151 the horizontal,  $\pi \times (\text{Length} \times \text{Width} \times \cos (\text{Slope angle}))/4$ , (d) mean slope angle, (e) mean landslide source depths. Outliers 152 of width, length and area not shown to keep 25%, 50%, and 75% quartiles legible. [Locations (as shown in Fig. 1): ANA, 153 Añasco; LAR, Lares; LAR2, Lares (Einbund et al. 2021b); UTU, Utuado; UTU2, Utuado (Einbund et al. 2021b, includes 154 UTU); NAR, Naranjito (remapped by Baxstrom et al. 2021a)].





155 Figure 3 summarizes landslide dimensions obtained from the post-Hurricane María studies for the three main geologic 156 terranes in the study areas (Fig. 1). The field measurements (using laser range finder, tape, and clinometer; Baum et al. 2018), though biased by purposely including several large landslides (1500 m<sup>2</sup> – 6600 m<sup>2</sup>), represent the range of 157 sizes of Hurricane María landslide sources. Mapping from imagery (Baxstrom et al. 2021a; Einbund et al. 2021a, 158 2021b) included all landslides visible in the imagery of several 2.5-km<sup>2</sup> target areas and represent typical dimensions 159 of landslides triggered by the hurricane on uplands and valley side slopes. Most landslide sources had lengths and 160 161 widths less than 10-15 m, with median mapped length and width among the different samples in Figure 3a, 3b ranging from 6.5 m to 9 m. Many landslide sources have areas less than 100 m<sup>2</sup> (median mapped areas range from 42 m<sup>2</sup> to 162 64 m<sup>2</sup> for the different terranes), and very few have areas greater than 1000 m<sup>2</sup> (Fig. 3c). Although landslides occurred 163 on a wide range of slope angles, most occurred on slopes between 30° and 50° (Fig. 3d). Median DEM-derived slope 164 angles of mapped landslide sources were 37° - 39° (Fig. 3d). Depths computed by differencing pre-event and post-165 event lidar elevation data (Baxstrom et al. 2021a; Einbund et al. 2021a, 2021b) have significant uncertainty because 166 167 14 - 19% of the landslide sources had mean and median elevation differences indicating net gain of material (Fig. 3e). In addition, undisturbed areas outside the landslide polygons showed elevation differences that varied horizontally, 168 169 which is consistent with alignment errors between the pre- and post-event lidar. However, it seems unlikely that any of the mapped landslides had a mean depth much greater than 5.8 m (the span between the greatest elevation loss and 170 gain, MVC/LAR2, Fig. 3e). Rare, large landslides had depths as great as 25 m according to field measurements (Fig. 171 3e). 172 Puerto Rico's complex geology (Fig. 1), tropical soils, rugged terrain, land use, and landcover exert strong influences 173

174 on landslide susceptibility. Lepore et al. (2012) in an island-wide assessment using frequency ratio and logistic regression concluded that aspect, slope, elevation, geological discontinuities, and geology, were "highly significant 175 176 landslide-inducing factors;" land cover and distance from roads were also significant. Bessette-Kirton et al. (2019a) 177 showed that antecedent soil moisture was statistically correlated to densities of Hurricane-María-induced landslides 178 and found that high landslide densities were "especially widespread across some geologic formations," although the 179 degree to which rainfall characteristics resulted in this correlation remained unclear. In a later post-Hurricane María, 180 island-wide assessment using the frequency ratio method, Hughes and Schulz (2020a) found after accounting for the 181 effects of soil moisture, there were strong correlations between landslides and slope, curvature, geologic terrane, mean 182 annual precipitation, land cover, soil type, event soil moisture, proximity to roads, and proximity to fluvial channels 183 for the Hurricane María event. Previous, more localized studies considered fewer geomorphic and geographic 184 characteristics to classify landslide susceptibility using empirical and statistical methods (Larsen and Parks 1998; Larsen et al. 2004). For example, Larsen and Parks (1998) classified landslide susceptibility of Comerío Municipality 185 186 based on elevation, slope, aspect, and land use. Our current study uses physics based geotechnical models of slope stability to directly assess topographic, geologic, and soil controls on landslide potential and to indirectly assess effects 187 188 of roads and land use through their impacts on topography and surface drainage as expressed in the DEM as local 189 changes in the slope characteristics.





### 190 **3 Methods and materials**

# 191 3.1 Topographic data

192 In 2015 and 2016, the U.S. Geological Survey (2018) acquired airborne lidar covering the entire main island of Puerto 193 Rico. These data were processed to create a 1-m resolution bare-earth DEM. Referred to hereafter as pre-event lidar, 194 these data were acquired roughly one to two years before Hurricane María and constitute the best available 195 representation of topographic conditions before the landslides associated with the hurricane occurred. Available at the 196 beginning of our investigation, the pre-event lidar-derived DEMs have formed the topographic mainstay for U.S. 197 Geological Survey studies of these recent landslides. We used these data for calibration and validation of our soil 198 depth and slope stability models. After Hurricane María, the U.S. Geological Survey (2020a, b, c) acquired additional 199 lidar data covering the entire island in 2018. These data, referred to hereafter as post-event lidar, constitute the 200 (currently) best available representation of topographic conditions after the landslides and are useful for assessing 201 susceptibility to future landslides. The 0.5-m post-event lidar DEMs were resampled to 1-m resolution for consistency 202 with the pre-event lidar and computational efficiency of landslide susceptibility models. We used these post-event 203 DEMs to run our models (using the previously calibrated and evaluated input parameters) to obtain our best estimate 204 of susceptibility to future landslides.

### 205 3.2 Data compilation

206 Based on findings by Bessette-Kirton et al. (2019a) and Hughes and Schulz (2020a, b) indicating strong correlation 207 between landslide density and both bedrock and soil type, Baum (2021) compiled existing data on soil texture and 208 engineering properties to create typical values for model calibration. Four different sources yielded soil and (or) 209 engineering data: published literature about past and recent landslides in Puerto Rico (Sowers 1971; Jibson 1989; 210 Simon et al. 1990; Larsen and Torres-Sanchez 1992, 1998; Lepore et al. 2013; Thomas and Cerovski-Darriau 2019), 211 NRCS soil databases (Soil Survey Staff; 2018), laboratory testing (Smith et al. 2020), and geotechnical reports of 212 recent landslides (Puerto Rico Department of Transportation, written commun. 2019). The NRCS soil data and 213 geotechnical reports were summarized in spreadsheets and then analyzed to determine means, ranges, and other basic 214 statistics to characterize the properties of soils and geologic formations found throughout the three municipalities 215 (Baum and Lewis, 2023). Baum (2021) identified dominant soil classes of the geologic terranes that had high landslide 216 densities (Fig. 1) and estimated expected ranges of soil strength parameters, cohesion, c', and angle of internal friction,  $\phi'$ , both for effective stress based on dominant Unified Soil Classification System (ASTM International, 2020) types 217 in each terrane as follows: volcaniclastic, high-plasticity organic clay (OH), 6/ 17° - 35°, c' 5 - 20 kPa; submarine 218 basalt and chert, low plasticity clay (CL) and high-plasticity silt (MH),  $\phi' 27^\circ - 35^\circ$ , c' 5 - 20 kPa; granitoid, low 219 plasticity clay (CL) and silty sand (SM),  $\phi' 27^\circ - 41^\circ$ , c' 0 - 20 kPa. 220

### 221 3.3 Strength parameter analysis

Using 1D slope stability analysis, Baum (2021) estimated the ranges of soil strength parameters  $\varphi'$  and c' that explain

the largest number of field-observed landslide slope and depth combinations in the calibration areas (Fig. 4).



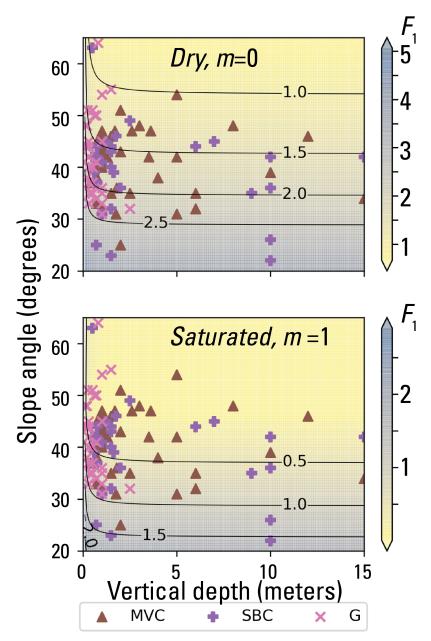


Computing 1D factor of safety,  $F_1$ , for 1440 possible incremental combinations of  $\phi'$  and c' over a synthetic grid in 224 which slope angle,  $\delta$ , and landslide depth, H, varied incrementally over the observed ranges of slope (22° – 60°, in 225 226  $0.5^{\circ}$  increments) and depth (0.2 m - 15 m, in 0.1-m increments) produced F<sub>1</sub> values for more than  $1.9 \times 10^{7}$ 227 combinations of H,  $\delta$ ,  $\phi'$ , and c'. The best fitting ranges (dark red in Fig. 5) included combinations of H,  $\delta$ ,  $\phi'$ , and c', where more than 75% of observed landslide scarp points were successfully predicted by  $F_1 \ge 1$  for  $\psi=0$  (dry, where  $\psi$ 228 229 is the pressure head at the basal slip surface) and  $F_1 < 1$  for  $\psi = H \cos^2 \delta$  (water table at the ground surface with slopeparallel flow). The example depicted in Fig. 4 had an overall success rate of 93% for its  $c' - \phi'$  combination (c' = 0.75230 kPa and ' = 54°) in all three geologic terranes (Figs. 1, 5a). Compiling the performance of every  $c'-\phi'$  pair considered 231 232 in the analysis led to Fig. 5b, 5c, and 5d, which showed the better-performing ranges of c' and  $\phi'$  for the granitoid (Fig. 233 5b), volcaniclastic (Fig. 5c), and submarine basalt and chert (Fig. 5d) terranes, respectively. Those combinations of c' 234 and  $\phi'$  with success rates exceeding 75%, were used as inputs for computing  $F_1$  with trial soil-depth maps in subsequent

calibration studies to select a single combination of c' and  $\phi'$  for computing  $F_1$  in each terrane.





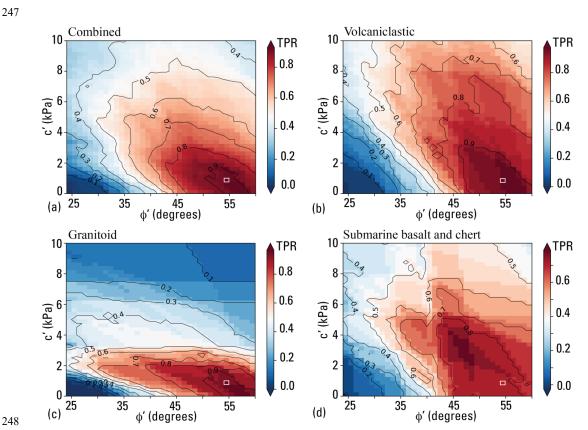




238 Figure 4. Results of strength parameter testing for observed combinations of landslide slope and depth in three geologic 239 terranes. Factor of safety,  $F_1$ , results (indicated by color scale and contour lines) for a selected combination of cohesion, 240 c'(c' = 0.75 kPa) and angle of internal friction,  $\phi'(\phi' = 54^{\circ})$ , both for effective stress. Two scenarios for pore-pressure head 241 (m=0 and m=1) are shown, where m is the ratio of pressure head to soil depth. Symbols mark observed slope angle and 242 depth at mapped landslide sources in various geologic terranes (Fig. 1). Factor of safety, F1, at slope and depth combinations 243 observed at marked landslide sources indicates model success ( $F_1 < 1$  if m=1) or failure ( $F_1 > 1$  if m=1). For the pair of c' and 244  $\phi'$  values shown,  $F_1 \ge 1$  for dry conditions (m=0) at about 97% of sources and  $F_1 \ge 1$  at 4% of sources for water table at the 245 ground surface with flow parallel to the slope (m=1). These parameters, c' = 0.75 kPa and  $\phi' = 54^{\circ}$ , had an overall success 246 rate of about 93% (=97% - 4%) for all three terranes (revised from Baum 2021).







249 Figure 5. Fraction of field-measured landslide sources from the calibration areas (Baum et al. 2018) predicted correctly as 250 a function of cohesion, c', and angle of internal friction,  $\phi'$ , for observed landslides in (a) all three terranes combined 250 251 252 253 (modified from Baum 2021); (b) the volcaniclastic terrane; (c) the granitoid terrane; (d) the submarine basalt and chert terrane. Each pixel summarizes the net result of a pair of analyses like that in Figure 4. Pixel outlined by white rectangle in lower right corner of panels (a), (b), (c), and (d) indicates combination for analysis shown in Figure 4. Pixel color and 254 contours indicate true positive rate (TPR) of predictions for each cell. Factor of safety for dry conditions is  $F_{1m=0}$ ; factor of 255 safety for water table at ground surface with slope-parallel flow is  $F_{1m=1}$ . Each grid cell represents the fraction ( $NF_{1m=0}$  – 256  $NF_{1m=1}/N_t$ , where  $NF_{1m=0}$  is the number of source areas for  $F_1 \ge 1$ ,  $NF_{1m=1}$  is the number of source areas for which  $F_1 \ge 1$ , 257 and  $N_t$  is the number of source areas in the geologic terrane.

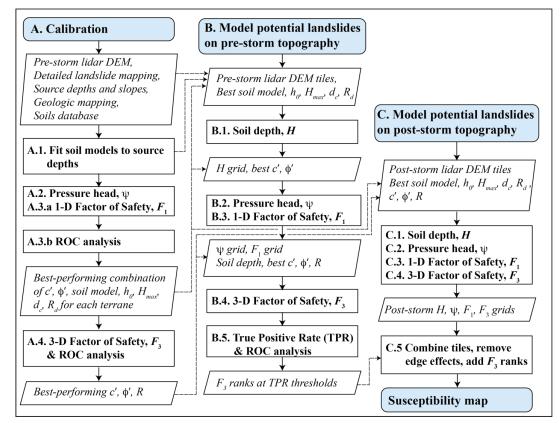
#### 258 **3.4 Workflow for shallow landslide susceptibility models**

259 To represent the aerial extent and depths of potential landslide source areas, we undertook a multistage process to 260 calibrate and model potential landslide sources for both pre-Hurricane María and post-Hurricane María digital 261 topography (Fig. 6). Each stage (depicted as a column in Fig. 6) repeated four distinct modelling steps: (1) soil depth, 262 H, (2) pressure head,  $\psi$ , (3) 1D factor of safety,  $F_1$ , (4) quasi-3D factor of safety,  $F_3$ . The landscapes of the calibration 263 and study areas were represented digitally in the models as raster grids based on 1-m-resolution pre-event lidar-derived 264 DEMs. Each grid cell represented a column of potential landslide material of vertical depth, H, determined at soil-265 depth modelling steps A.1, B.1, and C.1 (Fig. 6). Computed soil depth from these steps became input for calculation of  $\psi$ , (steps A.2, B.2, and C.2, respectively, Fig. 6); then H and  $\psi$  became inputs for computing  $F_1$  (steps A.3.a, B.3, 266





- and C.3, Fig. 6) and  $F_3$  (steps A.4, B.4, and C.4, Fig. 6).  $F_1$  was used primarily in evaluating soil-depth models and shear-strength parameters for the calibration areas depicted in Fig. 1 using receiver operating characteristic (ROC) analysis (step A.3.b, Fig. 6). During post-calibration slope-stability modelling of the study areas (steps B.4 and C.4, Fig. 6),  $F_1$  served as a rough check on the computed value of  $F_3$ . In this section (Sect. 3.4,1, 3.4.2, 3.4.3, and 3.4.4), we briefly describe the modelling steps and give details about the models. We describe the major stages (columns in Fig. 6) of calibration, modelling, and validation in later sections (Sect. 3.5, ..., 3.11).
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275 Figure 6. Flow chart showing major stages (each column) and steps of calibration and modeling leading to the map of 276 landslide initiation susceptibility (Susceptibility map, bottom of right column). The calibration stage (left column) was 277 performed using digital elevation models of roughly 2.5-km<sup>2</sup> areas where detailed mapping and fieldwork had been 278 conducted (Fig. 1). Landslide source depths approximated soil depth for soil-depth model calibration (1.1). The pre-Hurricane María (pre-storm) modeling stage (center column) was conducted using overlapping DEM tiles (Fig. 1) derived 279 280 from pre-Hurricane María lidar (U.S. Geological Survey, 2018). The post-Hurricane María (post-storm) modeling stage 281 (for generating map of future landslide susceptibility, right column) used overlapping DEM tiles (Fig. 1) derived from post-282 Hurricane María lidar (U.S. Geological Survey, 2020a, b, c). Post-Hurricane María steps 3.1, 3.2, 3.3, and 3.4 used identical 283 input parameters to the corresponding pre-Hurricane María steps, 2.1, 2.2, 2.3, and 2.4. [Chart symbols: Light-blue 284 rounded rectangles, terminals of each major stage; rectangles with bold text, computational processes; parallelograms with 285 italic text, inputs or outputs; dashed lines, connections between calibration outputs and model inputs. Model outputs: H, 286 soil depth;  $\psi$ , pressure head;  $F_1$ , 1D factor of safety;  $F_3$ , quasi-3D factor of safety; TPR, true positive rate; ROC, Receiver 287 Operating Characteristics. Model input parameters:  $h_0$ , characteristic soil depth,  $H_{max}$ , maximum soil depth;  $\delta_c$ , critical 288 slope angle; R<sub>d</sub>, diffusivity ratio; c', cohesion for effective stress;  $\phi'$ , angle of internal friction for effective stress; R, radius 289 of quasi-3D trial surface.]





#### 290 **3.4.1 Step 1, modelling soil depth**

291 Estimating soil depth from a DEM was the first modelling step in all three stages (Fig. 6). Field observations indicated 292 that the base of most landslide sources occurred near the top of weathered bedrock (Baum et al. 2018; Baum 2021), 293 so we chose soil depth as a predictor of landslide source depth. We carried out soil-depth estimation using new open-294 source software, REGOLITH (Baum et al. 2021) containing five empirical and four steady-state process-based soil-295 depth models implemented in a command-line program. Each model in REGOLITH estimates soil depth from some 296 combination of topographic variables, including slope, upslope contributing area, and curvature, as well as a few 297 model parameters, such as characteristic depth (the soil thickness at which bedrock lowering falls to 1/e of its 298 maximum value), h<sub>0</sub> [L]; critical slope (angle of stability at which the slope is capable of transporting the entire soil 299 profile by mass movement),  $\delta_c$  [degrees]; and the ratio of maximum bedrock lowering rate to hillslope diffusivity,  $R_d$ . 300 These parameters may vary with conditions that influence soil formation, including bedrock and climate. Predicted 301 soil depth is treated as equivalent to and defines column height, H, in subsequent modelling steps. We used separate 302 property zones with distinct parameters in REGOLITH to model adjoining areas of significantly different soil depth 303 characteristics (tropical karst versus granitoid and volcaniclastic). We modified steady-state process-based models 304 (Pelletier and Rasmussen 2009), which predict soil depth only on convex topography, to estimate soil depths in both 305 concave and convex topography. We used a smoothing algorithm available in REGOLITH to reduce abrupt changes 306 in soil depth that may result from DEM roughness. Further details are available in the online documentation found in the code repository (Baum et al. 2021). Our soil-depth, pressure head, and slope-stability models treated roads, cut 307 308 slopes and embankments the same as other areas.

## 309 3.4.2 Step 2, modelling subsurface pressure head

310 Step 2 was performed using the Transient Rainfall Infiltration and Grid-Based Regional Slope-Stability Analysis 311 (TRIGRS) program (Baum et al. 2010; Alvioli and Baum 2016), version 2.1. In most applications, TRIGRS computes 312 pressure head and factor of safety distributed over a digital landscape to yield a series of grids representing changes 313 in pressure head and factor of safety through time during a rainfall event. For this work, our objective was a landslide susceptibility map that shows where landslides induced by intense rainfall are most likely, so we used a presumed 314 315 wettest-case pressure head, rather than simulating time-varying pressure head. This approach greatly accelerated the 316 Step 2 pressure-head computations and eliminated the need to calibrate soil hydraulic parameters. Given the extreme 317 rainfall during Hurricane María and other historical tropical storms, full saturation with the water table at the ground surface and groundwater flow sub-parallel to the ground surface (as determined by the permeability contrast at the 318 319 soil-saprolite or soil-bedrock boundary) represented the likely wettest-case hydrologic conditions for landslide 320 initiation. This approach neglects effects of suction stress, heterogeneity, and transient pore pressures at the cost of making the susceptibility map more conservative (more false positives). Thus, for this assessment we estimated 321 322 pressure head for these conditions using the following steady-state formula (Iverson 2000; Baum et al. 2010):

323 
$$\psi(Z) = (Z - d) \left[ (\cos \delta)^2 - \frac{IZLT}{K_S} \right]$$
 (1)





324 In Eq. (1),  $\psi(Z)$  [L] is the pressure head as a function of Z [L], the vertical coordinate direction (positive downward from the ground surface); d [L] is the steady-state depth to the water table measured in the vertical direction (0 m in 325 this case);  $I_{ZLT}$  [LT<sup>-1</sup>] is the steady background flux;  $\delta$  is the slope angle; and  $K_s$  [LT<sup>-1</sup>] is the saturated hydraulic 326 327 conductivity. The dimensionless ratio  $I_{ZLT}/K_s$  in Eq. (1) accounts for downward percolation and reduces the pressure head from the slope parallel case represented by  $H\cos^2\delta$ , where H(=Z-d) is the column height as noted previously. 328 329 The average rate of downward percolation is strongly controlled by the permeability contrast between the mobile regolith (soil mantle) and underlying weathered bedrock or saprolite. For the problem considered here,  $I_{ZLT}/K_s = 0.028$ , 330 331 consistent with wet initial conditions (averaging 2-25 mm/day of precipitation-induced infiltration,  $I_{ZLT}$ , for  $K_s$  in the range  $10^{-5} - 10^{-6}$  m/s, typical of soils in the study area). This value of  $I_{ZLT}/K_s$  directs flow slightly downward and 332 reduces the pressure head by less than 1% compared to slope-parallel flow in the 25° - 55° range of slopes where most 333 landslides occurred. TRIGRS computes  $\psi(Z)$  for a series of equally spaced depths between the ground surface (Z=0) 334 and a user-specified maximum depth,  $Z=Z_{max}$ . For this analysis,  $Z_{max} = H$  as determined by the soil depth modeled in 335 336 stage A and we used a depth increment of  $Z_{max}/10$ .

# 337 3.4.3 Step 3, 1D factor of safety

TRIGRS computes the 1D factor of safety, F<sub>1</sub>, using the infinite slope analysis (Taylor 1948; Iverson 2000) according

to the following formula for the saturated case:

$$340 F_1 = \frac{\tan\phi'}{\tan\delta} + \frac{c'-\psi(z)\gamma_w \tan\phi'}{z\gamma_s \sin\delta\cos\delta} (2)$$

In Eq. (2)  $\gamma_s$  is the saturated unit weight of soil;  $\gamma_w$  is the unit weight of water; and  $\delta$  is the true dip of the slip surface 341 at the base of mobile regolith (assumed parallel to the slope of the ground surface in the infinite slope analysis). 342 TRIGRS computes  $F_1$  at the same series of depths between the ground surface and modeled soil depth as for  $\psi(Z)$ . 343 344 Eq. (2) is strictly valid for landslides much longer than their depth on planar slopes in which lateral variation in stress 345 is negligible. With the advent of high-resolution topography, the depth-to-length ratios of soil columns at most grid 346 cells have become much greater than 0.1, such that the small depth-to-length landslide assumption of Eq. (2) is 347 violated. This violation reduces accuracy for nonplanar slopes and for rough DEMs (whether the roughness results 348 from natural surface roughness or from data collection and processing errors). A slope-stability analysis that considers 349 multiple adjacent DEM cells can improve accuracy for nonplanar slopes and rough DEMs.

#### 350 3.4.4 Step 4, 3D factor of safety

To overcome the limitations of  $F_1$  for high-resolution topography and to assess the stability of potential source areas similar in size to past landslides, the computed pressure head, Eq. (2), was used in a separate computer program, Slabs3D (Baum 2023), to compute the quasi-3D factor of safety,  $F_3$ . Baum et al. (2012) described and tested a preliminary version of the program, which recently was further developed and tested for the work reported here. Slabs3D was designed to rapidly analyze stability of the soil mantle on hillsides to identify potential shallow landslide sources. By using a method of columns, Slabs3D overcomes some of the limitations of infinite-slope computations on high-resolution topography. However, the current version of Slabs3D relies on force equilibrium alone (not moment





equilibrium). Thus, the approximations made in computing  $F_3$  are suitable only for thin (disc- or slab-shaped) landslides, such as most landslides in the study areas (Figs. 2, 3). Potential landslides can be more thoroughly analyzed with 3D slope-stability software such as Scoops3D, which considers moment equilibrium on arcuate trial surfaces (Reid et al. 2015). However, in consideration of the thin, slab-shaped landslide sources and the large area (about 1000 km<sup>2</sup>) to be analyzed, we deemed the accuracy of Slabs3D sufficient and its speed to outweigh any potential improvements in accuracy offered by Scoops3D. Slabs3D computes  $F_3$  as follows (Hovland, 1977):

$$364 F_3 = \frac{\sum [(H\gamma_s - \psi\gamma_w)\ell_x\ell_y \cos\delta \tan\phi + c_i A]}{\sum H\gamma_s\ell_x\ell_y \sin\delta_a} (3)$$

In Eq. (3), the sums are taken over all the columns within the potential landslide. The quantities  $\ell_x$  and  $\ell_y$  are the horizontal grid cell dimensions; the column height, *H*, is taken as the modeled soil depth from Step 1;  $\delta_a$  is the apparent dip of the basal slip surface, b=b(x, y), along the (assumed) direction of sliding. *A* is the true area of the failure surface at the base of the column (Hovland 1977; Hungr et al. 1989).

369 
$$A = \ell_x \ell_y \sqrt{1 + \left(\frac{\partial b}{\partial x}\right)^2 + \left(\frac{\partial b}{\partial y}\right)^2} \tag{4}$$

The choice to take *H* as the modeled soil depth at each grid cell in Eq. (3) is consistent with field observations and previous modelling results. As noted previously, our field observations indicated that the base of most landslide sources occurred directly above a strength and permeability contrast. Except for cases of very rapid infiltration, TRIGRS computes the lowest factor of safety at  $Z_{max}$ . Smoothing the modeled soil depth reduces potential irregularities in the trial surface. Tests indicated that modest irregularities have only minor effect on  $F_3$  (Baum, 2023).

In Eq. (3), the effect of pore pressure has been computed in a manner consistent with the normal application of the principle of effective stress by subtracting the pore pressure or suction stress from the gravity-induced stress rather than computing the resultants of pore pressure and gravity stress acting normal to the trial failure surface separately as in some implementations of the ordinary method of slices (Turnbull and Hvorslev 1967). Despite its limitations, Hovland's (1977) method of columns is always able to compute a factor of safety and is not subject to the convergence problems that occasionally occur with more sophisticated limit-equilibrium methods.

As noted previously in the 1D analysis, Eq. (2) computes  $F_1$  at each grid cell for a range of depths from the ground 381 382 surface down to a user-specified maximum depth, which in this case is the computed soil depth, H, from step B.1 383 (Baum et al. 2008, 2010). For the cases tested here, the minimum  $F_1$  always occurred at the base of soil, so we limited our search for 3D potential failures to those that follow the base of soil. In computing  $F_3$ , we searched the entire digital 384 elevation model (DEM) for potential failures to a maximum depth of H using a circle of fixed diameter (in map view) 385 386 centered at each grid cell to define the base of potential failure surfaces (one per grid cell, Fig. 7). Average dip direction 387 of the base of soil within the circle determined the assumed slip direction. Potential failure surfaces enclosed by partial circles near the edges of the DEM were excluded from the analysis. Consequently, we extended the DEM grid well 388 beyond the area needed for the final susceptibility map so that any inaccurate F<sub>3</sub> values near the DEM grid boundaries 389 390 could be discarded as described in the Sect. 3.10, "Removing edge effects." This approach of using map-view-circular 391 trial failure surfaces resulted in potential landslides having the shape of an oblong slab or disc of variable thickness with tapered edges and rounded ends (Fig. 7), such that the trial surface was shaped somewhat like a gold pan. Beyond 392 393 the limits of the search circle, the slab thins as the potential failure surface slopes from the approximate base of soil

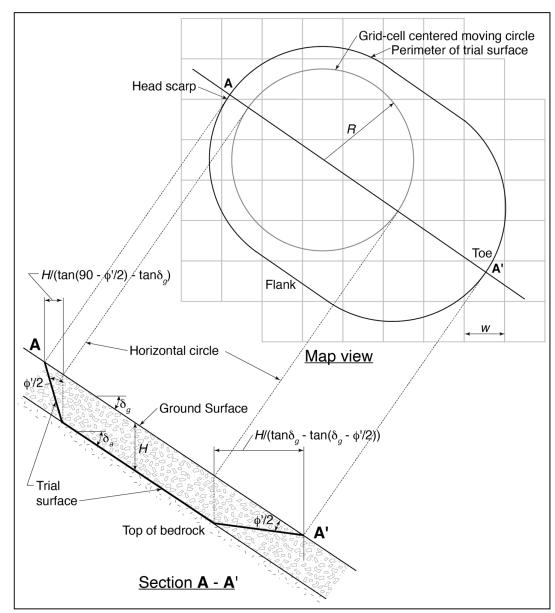




- toward the ground surface. The failure surface at the head and flanks of the potential slides was assumed (based on
- Rankine theory, Lambe and Whitman 1969; Terzaghi et al. 1996) to slope  $90^{\circ}-\phi'/2$  and beneath the toe to slope  $\delta_g$ -
- 396  $\phi'/2$  (where  $\delta_g$  is the slope of the ground surface) from the ground surface down to the edge of the circle (Fig. 7). We
- estimated the contributions of wedges of material at the head, toe, and sides to total driving and resisting force by substituting formulas for height, length, width, average pressure head, and basal area (H,  $\ell x$ ,  $\ell y$ ,  $\psi$ , and A) of each side
- wedge, into Eq. (3) (Fig. 7), rather than subdividing the wedges into their component square columns or partial
- 400 columns and summing their individual contributions. The size of these wedges is negligible with a grid resolution
- 401 greater than the depth, H, as is often the case for our study areas, with soil depth commonly less than the 1-m resolution
- 402 of our DEM. The wedge formulas are exact only for constant *H*. Although variable *H* across the trial surface introduces
- 403 minor uncertainty into  $F_3$ , the formulas are sufficiently accurate for estimating the value of  $F_3$  for assessing stability
- 404 of the soil mantle over large areas.







406 Figure 7. Sketch showing moving circle search strategy and trial surface geometry used in computing approximate 3D 407 factor of safety, F<sub>3</sub>. All grid cells whose center is inside the circle are included in the computation of F<sub>3</sub>, and cells in the head 408 scarp, flank, and toe areas are combined to form wedges for computational purposes. The trial surface has a map-view 409 radius R;  $\delta_g$  is the slope of the ground surface;  $\delta_a$  is the apparent dip of the trial surface in the assumed direction of sliding 410 (average slope direction of grid cells centered within the horizontal circle); H is height of a grid-cell centered column from 411 the trial surface to the ground surface; and  $\phi'$  is the angle of internal friction of the soil for effective stress (modified from 412 Baum et al. 2012). For the case depicted in Section A-A' (above), H is constant and 1.5 times the horizontal width, w, of the 413 square grid cells. As the average value of H/w decreases and as R increases, the perimeter of the trial surface contracts 414 toward the projection of the horizontal circle onto the ground surface. For variable soil-depth models, H may vary from 415 cell to cell and the value of H for the grid cell closest to the upslope or downslope edge of the horizontal circle is used in the 416 formulas shown in the cross section for horizontal dimensions of the scarp and toe respectively.





#### 417 3.5 Soil-depth model calibration

418 Soil-depth model calibration proceeded first by fitting soil models to depth observations followed by checking how 419 the best-fitting models performed as input for computing  $F_1$  to predict landslide locations (see Sect. 3.6). Both 420 calibration and checking made use of pre-event 1-m bare-earth lidar digital elevation models for the four ~2-km<sup>2</sup> 421 calibration areas representing the dominant (three) geologic terranes affected by landslides in the study areas (Fig. 1). 422 Landslides had previously been mapped (Bessette-Kirton et al. 2019c) and characterized (Baum et al. 2018) in these 423 four calibration areas (Sec. 2.2, Fig. 3). Tello (2020) described the soil-depth calibration procedures in detail. We 424 summarize important steps here: Field-measured landslide scars on unmodified hillsides (no obvious cut or fill) served 425 as calibration points for soil depth. Tello (2020) adjusted GPS location of each calibration point to the center of its 426 corresponding landslide polygon mapped from imagery by Bessette-Kirton et al. (2019c). A 5-m buffer around each 427 point ensured adequate sampling of model depths to be compared with the field-measured maximum depth. Tello 428 (2020) used a provisional version of the soil-depth code, REGOLITH (Baum et al. 2021), to model trial soil-depth 429 distributions for the calibration areas. Multiple runs to incrementally sample the parameter spaces of several different 430 soil models implemented in REGOLITH produced hundreds of trial soil depth grids for each of the four calibration 431 areas. Soil models tested include a linear area- and slope-dependent model (LASD) (Ho et al. 2012) and modified 432 forms of Pelletier and Rasmussen's (2009) non-linear slope- (NSD), area- and slope- (NASD), and slope- and depth-433 dependent (NDSD) models. Testing these against the field-measured landslide-scar maximum depths resulted in 434 optimized input parameters for each model and area (Tello 2020). 435 Tello (2020) used a range of statistical metrics identified by Gupta et al. (2009) to determine predictive success of the

436 model outputs. Most important of these was the Euclidian distance from the ideal point, *ED*. The ideal point is 437 characterized by perfect correlation between observed and simulated points and by perfect agreement between the

438 means and standard deviations of the observed and simulated point distributions,

439 
$$ED = \sqrt{(r-1)^2 + (\alpha-1)^2 + (\beta-1)^2}$$

(5)

440 where the ideal point is at r=1,  $\alpha=1$ ,  $\beta=1$  so that ED=0. The linear correlation coefficient, r, relative variability,  $\alpha$ , and 441 the bias relative to the observed sample,  $\beta$ , define the ED in eq. (5) (Gupta et al. 2009). In eq. (5) the relative variability 442 is the ratio of the standard deviation of the simulated values,  $\sigma_s$ , to the standard deviation of the observed values,  $\sigma_o$ , 443  $(\alpha = \sigma_s / \sigma_o)$ . Likewise, the bias is the ratio of mean of the simulated values,  $\mu_s$ , to the mean of the observed values,  $\mu_o$ 444  $(\beta = u_s/u_o)$ . The linear correlation coefficient, r, indicates the quality of a least-squares fit of the simulated values to the 445 observed values, with r=1 indicating a perfect fit. The model run having the lowest ED usually had the best fit, unless ED > 1 (Tello 2020). Where ED>1, we chose the model run with  $\beta$  closest to 1 so that the mean simulated depth would 446 447 be as close as possible to the mean of depth observations (Gupta et al. 2009). The best-fit soil-depth distribution corresponded in turn to a best-fit parameter set for each soil-depth model type. Comparison of best scores for each 448 449 model type identified the overall best fit of all models tested.





#### 450 **3.6 Soil model evaluation and slope-stability calibration**

- 451 To further evaluate the soil-depth modelling results and finish calibrating the slope-stability model, we computed  $F_1$ 452 for dry and saturated soil conditions using the better performing soil-depth models for each calibration area. Previously 453 defined better performing (TPR  $\ge$  75%) ranges of  $\varphi'$  and c' (Baum 2021; Fig. 5b, 5c, 5d) defined the parameter space 454 for computing  $F_1$  with a well-performing subset of trial soil-depth distributions. In addition, we required  $F_1 > 1$  in 455 99.9% of grid cells for  $\psi(H)=0$  to ensure slope stability under dry conditions. Computing  $F_1$  over the calibration areas using the best-fit distributions for each soil-depth model type and  $\phi'$  and c' combinations produced many  $F_1$  grids. 456 Receiver Operator Characteristics (ROC) analysis (Fawcett 2006; Begueria 2006) of these  $F_1$  grids against mapped 457 458 landslide scarp points indicated which combinations of trial soil-depth distribution and strength parameters predicted the most observed landslides, based on the area under the ROC curve. Using parameters from the highest performing 459 460  $F_1$  distribution, we selected the preferred soil depth model and  $\phi'$  and c' values for modelling  $F_1$  in the large study areas enclosing Lares, Utuado, and Naranjito municipalities. The calibration areas represented different geologic 461 terranes having the highest densities of landslides in the study areas so that the calibration procedure yielded separate 462 463 model and parameter values relevant to each of these terranes. 464 After H and  $F_1$  values had been improved as much as possible by calibration, we began test calculations of  $F_3$  and 465 worked to further refine potential landslide source areas. We varied the size of the trial surface from a 3.5-m radius to a 10.5-m radius (Fig. 7) and used ROC analysis along with information about observed source-area sizes to determine 466 467
- the optimum  $F_3$  radius. Due to insufficient data, rigorous calibration was not possible for some parameter zones, such as the karst areas of Bawiec's (1998) Limey sediment terrane. We adjusted model parameters (reduced maximum soil
- depth,  $H_{max}$ , and characteristic soil depth,  $h_0$ , for the soil-depth model and increased c' for computing  $F_1$  and  $F_3$ ) for
- 470 the Limey sediment terrane's parameter zone to account for the terrane's low landslide density during Hurricane María.
- 471 **3.7 Geologic mapping and parameter zonation**

472 Bawiec (1998) compiled published 1:20,000-scale geologic mapping of Puerto Rico and (as noted previously) 473 combined related formations into geologic terranes (Fig. 1 and Bawiec 1998). Based on the results of early studies 474 (Bessette-Kirton et al. 2019a) and our calibration efforts, the geologic terranes became the basis for subdividing the 475 study areas into parameter zones. The topographic base maps available at the time of geologic mapping lacked the 476 detail of the pre-event lidar-derived topography used in this study. Trial computations of  $F_1$  and  $F_3$  on the study area 477 DEM tiles indicated that a uniform soil depth model across the highly susceptible geologic terranes resulted in a more 478 accurate susceptibility map than a zoned model using the calibrated soil depth parameters. Uniform values of  $\phi'$  and 479 c' for the highly susceptible geologic terranes likewise resulted in good performance so we used the same soil depth and strength parameters for all three terranes (Supplemental Figures S1 and S2). Consequently, slight uncertainty in 480 481 locations of boundaries between these terranes had no effect on computed  $F_1$  and  $F_3$  values. However, a large 482 difference in landslide susceptibility and model parameters (maximum soil depth,  $h_0$ , c') existed between the Limey 483 sediment terrane with its cone karst and the highly susceptible terranes of the basement complex (submarine basalt, 484 volcaniclastic, and granitoid). Offsets as great as tens of meters in the contact between the Limey sediment terrane 485 and its neighbors along a prominent escarpment in Lares and Utuado resulted in errors in  $F_1$  and  $F_3$  along the





- 486 escarpment. Consequently, Perkins et al. (2022) remapped the Limey sediment contact using lidar-derived shaded
- relief images and optical imagery to accurately delineate the transition from high to low landslide susceptibility across
   the contact. The contact was discerned based on the visually distinct differences between the closed basins and rugged
- 489 karst cones of the Limey sediment terrane and the steep ridges and narrow branching valleys of the basement rocks.

# 490 **3.8 Soil-depth modelling**

- 491 After completing the calibration process, we created the overlapping rectangular tiles (described previously, Sec. 1.0,
- 3.1) from the pre-event lidar bare-earth DEMs (Fig. 6, stage B and Fig. 1b, 1c). We created additional input files from
- the lidar-derived DEM tiles: flow accumulation grids for use with the area-dependent soil-depth models and
- 494 parameter-zone grids for specifying different model input parameters (Sec. 3.6, 3.7 and step B.1, Fig. 6). The
- 495 parameter zones ensured a thinner and less continuous modeled soil mantle in the karst (Limey sediment terrane) than
- 496 in areas underlain by the landslide-prone geologic terranes (Fig. 1).

#### 497 **3.9 Pressure-head and slope-stability modelling**

- 498 Raster grids created from the soil-depth modelling defined soil depth (H) and slope of the ground surface at each grid 499 cell in TRIGRS. We computed  $\psi$  and  $F_1$  using TRIGRS as described previously using the same lidar-derived DEM 500 tiles and parameter zones as for soil-depth modelling (steps B.2 and B.3, Fig. 6). Then, using  $\psi(H)$  computed with TRIGRS along with the same lidar tiles, parameter zones, and  $\phi'$  and c' values used in computing  $F_1$  as input for 501 502 Slabs3D, we computed  $F_3$  (step B.4, Fig. 6). The radius of each trial surface, as constrained by earlier testing in the 503 calibration areas (Sect. 3.6, 4.5), was held constant at 3.5 m for all model runs on study area tiles. 504 After modelling potential source areas on pre-event topography, we recomputed the models using post-event 1-m lidar 505 topography (U.S. Geological Survey, 2020a, b, c). We generated new slope, zone, and flow-accumulation grids from
- 506 the post-event lidar and then ran REGOLITH, TRIGRS, and Slabs3D in succession (Fig. 6, steps C.1, C.2, C.3, and
- 507 C.4) to indicate our best estimate of susceptibility to future landslide initiation.

#### 508 3.10 Removing edge effects

- To reduce edge effects (Fig. 6, step C.5) when joining the four overlapping tiles for Lares and Utuado to create a final map (based on post-event lidar), we first removed a 100-m buffer along all edges of each tile. At grid cells where two
- 511 tiles overlapped, differences in  $F_3$  tended to be small and we retained the greater  $F_3$  value. For the single tile covering
- 512 Naranjito, we removed only the 100-m buffer along all tile edges.

# 513 **3.11 Model testing and evaluation**

- 514 We used ROC analysis of F<sub>3</sub> grids based on pre-event lidar topographic data compared to landslide head-scarp points
- 515 mapped by Hughes et al. (2019) as a basis for testing performance and then defining susceptibility categories (step
- 516 B.5, Fig. 6). Selecting the minimum  $F_3$  value within a 3-m radius around the scarp points accounted for uncertainty in
- 517 their mapped locations. Validating F<sub>3</sub> for pre-event topography was appropriate because it most accurately portrayed
- 518 conditions at the time of Hurricane María. We computed true positive rate (TPR), false positive rate (FPR), and area





- 519 under the TPR-FPR curve (AUC) and distance from the ideal point ( $d_{IP}$ ), (0,1), to evaluate performance of pre-event 520  $F_3$  as a predictor of observed landslide scarp points. Analyzing landslide density distribution across  $F_3$  provided a 521 further check on model accuracy. We computed landslide densities in 0.1 increments of  $F_3$  to check for a general trend 522 of decreasing observed density with increasing  $F_3$ . In addition to these quantitative assessments, we inspected the 523 maps to confirm that the susceptibility zones and potential source areas made sense topographically, mechanically, 524 and geologically. These inspections helped ensure that potential landslide source areas were consistent with 525 observations and expectations for hillsides whether they were relatively undisturbed or modified by roads, cut slopes, 526 and embankments. The inspections led to some minor revisions of the computer code to correct errors, followed by 527 repeated model runs.
- 528

As an additional check we computed ROC statistics for minimum  $F_3$  values within source areas mapped by Baxstrom et al. (2021a) and Einbund et al. (2021a, 2021b). Their detailed landslide source mapping covers only a fraction of the study areas (Fig. 1), whereas the scarp points mapped by Hughes et al. (2019) cover the entire island. However, source area polygons enclose pixels that are more relevant to testing performance of  $F_3$  than circles centered at the scarp

- 532 area polygons enclose pixels that are more relevant to testing performan 533 points.
- Evaluating the model to address the need for a conservative landslide susceptibility map led us to select threshold values of  $F_3$  enclosing specific percentages (or TPR) of landslide points. Every  $F_3$  contour on the map encloses a specific percentage of landslide points. Contours at high  $F_3$  values enclose more landslide points than low  $F_3$  contours. We selected  $F_3$  contours corresponding to TPR of 0.75 and 0.90 of Hurricane María-produced landslide head-scarp points (Hughes et al. 2019) to define the limits of very high (TPR  $\leq$  0.75), high (0.75  $\leq$  TPR  $\leq$  0.90), and moderate
- 539 (TPR > 0.90) landslide source susceptibility zones. These classes include most mapped landslide points as well as the
- 540 adjacent steep slopes where they occurred, while limiting the overall areal extent of the very high and high
- 541 susceptibility classes. Using the same  $F_3$  thresholds at TPR  $\leq 0.75$  and TPR  $\leq 0.90$  determined for the pre-event
- 542 topography (step B.5, Fig. 6), we then defined landslide susceptibility zones using post-event topography across the
- 543 three municipalities (step C.5, Fig. 6). These zones estimate the potential for future shallow landslides.

### 544 4 Results

### 545 4.1 Soil-depth calibration

546 We calibrated soil depth to field measurements (Fig. 6, step A.1) for three (ANA, LAR, UTU) of the four calibration 547 areas and calculated Euclidian distance from the ideal point, ED (Eq. 5), correlation coefficient, r (and other statistical 548 parameters as outlined in Tello 2020) to determine which models and parameter sets gave the closest match to field observations (Fig. 8a, b). No soil depth calibration was performed for NAR as depth measurements in Naranjito were 549 550 mainly outside the area mapped by Bessette-Kirton et al. (2019c). Limiting the observed depths to landslide scars on 551 relatively unmodified slopes resulted in sample sizes of only seven or eight observation points (landslide sources) per calibration area. Most soil-depth models for the Utuado calibration area (UTU) had acceptable performance as 552 553 indicated by positive correlation between observed and simulated depths ( $0.08 \le r \le 0.78$ ), and ED ranging from 0.28

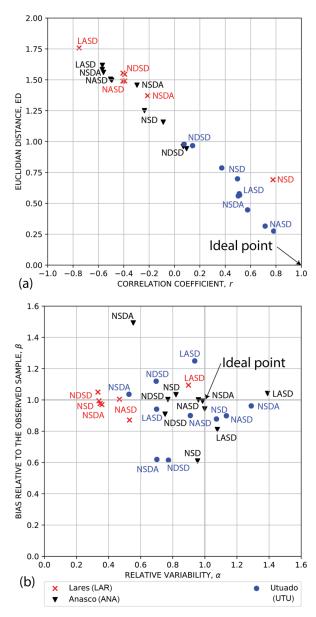




- to 0.99 (Fig. 8a; Tello 2020). Of these, the modified nonlinear area and slope (NASD) model had the smallest ED,
- 0.28, and the largest r, 0.78 (Fig. 8a). Other better-performing models were a nonlinear slope-dependent model with
- 556 linear area dependance (NSDA) and a linear area- and slope-dependent model (LASD) based on the wetness index
- 557 (Ho et al. 2012). In contrast, most soil-depth models for the Añasco (ANA) and Lares (LAR) calibration areas
- performed poorly, with negative or small positive correlation (r < 0.16) and 0.69 < ED < 1.8 (Fig. 8a). At LAR, only
- 559 the nonlinear slope dependent model (NSD, see Pelletier and Rasmussen 2009) had acceptable performance with r = 1000
- 560 0.78 and ED=0.69 (Fig. 8a). The NASD model had  $\alpha$  and  $\beta$  closest to 1, for both ANA and LAR (Fig. 8b).







562 Figure 8. Soil-depth model calibration measures for Anasco (ANA), Lares (LAR) and Utuado (UTU) calibration areas (Fig. 563 1). Performance is based on comparing maximum landslide depth at field-mapped landslide points against modeled depths 564 within a 5-m radius of the point. GPS point locations were corrected as needed by moving them to the centers of 565 corresponding landslide polygons mapped by Bessette-Kirton et al. (2019c). (a) Primary metrics, Euclidian distance from 566 the ideal point, ED (smaller is better), versus correlation coefficient, r, (b) bias relative to the observed sample,  $\beta$ , versus relative variability,  $\alpha$ . The ideal point is at r=1,  $\alpha$ =1,  $\beta$ =1. [Soil-depth models: LASD, linear area- and slope-dependent 567 568 model; NASD, nonlinear area- and slope-dependent model; NDSD, nonlinear depth- and slope-dependent model; NSD, 569 nonlinear slope-dependent model; NSDA, nonlinear slope-dependent model with linear area dependence].





### 570 **4.2 Soil model evaluation and slope-stability calibration results**

- Slope stability calibration compared  $F_1$  values for previously determined ranges of c' and  $\phi'$  (Fig. 5) for each of the soil depth models to find the best-performing combination of soil model and strength parameters for predicting landslide source locations in each calibration area (Fig. 6, steps A.3.aand A.3.b). For UTU, the NASD model
- 574 performed best with the NSDA model close behind (Tello 2020) based on area under the TPR FPR curve and
- 575 distance of the curve from the ideal point. Parameter combinations and ROC results for the best-performing model in
- each area appear in Table 1. Despite poor soil depth model performance metrics for ANA and LAR (Fig. 8), the  $F_1$
- 577 calculations for the three calibration areas indicated that the NASD soil depth model had the greatest predictive
- 578 strength for locations of landslide source areas in ANA, LAR, and UTU with similar results (Table 1). Despite lack
- 579 of soil-depth calibration in NAR, results in this study area were like the other three calibration areas (Table 1). Values
- 580 of  $\delta_c$  near 60° gave the best soil-depth model results (Table 1), despite variability in the steepest slopes where
- 581 landslides occurred in the different terranes (Fig. 3d, 4).
- 582

583 Table 1. Calibration results for 1D factor of safety, F1, with soil depth models by calibration area (Fig. 1). Positives and 584 negatives in the ROC analysis based on total pixels within and outside the estimated source areas of landslide polygons 585 mapped by Bessette-Kirton et al. (2019c) and whether the pixels have  $F_1>1$  or  $F_1<1$  (Tello 2020). [Symbols and 586 abbreviations: NASD, non-linear area and slope dependent soil-depth model of Pelletier and Rasmussen (2009) as modified 587 by Baum et al. (2021);  $H_{max}$ , maximum soil depth;  $\delta_c$ , critical slope angle;  $R_d$ , diffusivity ratio; c', soil cohesion for effective 588 stress;  $\phi'$ , angle of internal friction for effective stress; AUC, area under the curve of true-positive-rate (TPR) and false 589 positive rate (FPR) (larger is better); d<sub>IP</sub>, distance from the ideal point, (0,1), to nearest point on the TPR-FPR curve (smaller 590 is better); Best F1, 1D factor of safety at point on the TPR-FPR curve nearest to the ideal point, (0,1), and therefore the 591 most accurate F1 classifier of landslide versus non-landslide grid cells for the particular model (closer to one is better); °, 592 degrees.]

Calibration	Soil	Hmax	$\delta_c$ (°)	<b>R</b> <sub>d</sub>	<i>c</i> ' (kPa)	φ' (°)	AUC	dıp	Best F <sub>1</sub>
area	Model	(m)							
Utuado (UTU)	NASD	2.0	60	1.0	2.5	45°	0.67	0.48	1.5
Añasco (ANA)	NASD	3.0	60	0.16	4.5	45°	0.70	0.46	1.1
Lares (LAR)	NASD	3.0	60	0.25	4.5	45°	0.66	0.52	1.1
Naranjito (NAR)	NASD	3.0	60	0.2	4.0	45°	0.65	0.54	1.2

#### 594 4.3 Modeled soil depth

Having completed the soil-depth model calibration (Sec. 4.1) and testing (Sec. 4.2), we modeled soil depth in the

larger map tiles preparatory to analyzing slope stability (Fig. 6, step B.1). Each tile covers hundreds of km<sup>2</sup>, so we

illustrate results using the NAR area, chosen to demonstrate that our susceptibility workflow can achieve very good

- results even with limited landslide source depths observations. As noted previously, insufficient field-measured
- 599 landslide points prevented soil-depth model calibration (Sec. 4.1), but not model evaluation and slope stability





600 calibration (Sec. 4.2) for NAR. Figure 9 shows predicted soil depth for the best performing soil-depth model (based 601 on the slope-stability evaluations, Sec. 4.2) in NAR (see Fig. 1 for location). The model shown in Fig. 9 predicts greater soil depth in hollows than on ridges. Other models that were tested (not shown) produced somewhat similar 602 603 results. Differences in model structure produce different responses to topographic features, including flat areas, road 604 cuts, and steep slopes. For example, the modified NASD and NSDA models predicted deep soils (<3 m for parameters 605 chosen) in convergent areas, on steep slopes, including road cuts and embankments; thin soils on ridge crests, and thin 606 or no soil on downslope flat areas (see large flat area on east edge of Fig. 9). In contrast, the LASD and NDSD models 607 predicted deep soils (<3 m for parameters chosen) in convergent areas and on flats and thin soils on ridge crests and 608 steep slopes (except where they occur in strongly convergent topography). Features were more distinct in the three 609 nonlinear models, NASD, NSDA, and NDSD, than in the linear LASD model.

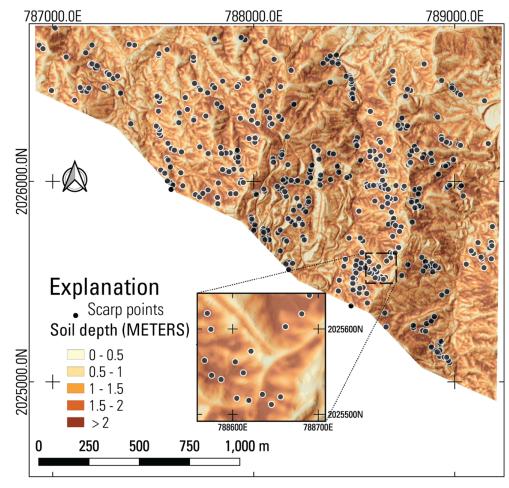




Figure 9. Best-performing version of soil depth maps from soil-depth models tested for the Naranjito (NAR) calibration area in volcaniclastic terrane (Fig. 1). Topographic base derived from lidar by U.S. Geological Survey (2018), scarp points from Bessette-Kirton et al. (2019c). The modified Nonlinear Area- and Slope-dependent (NASD) model (modified from Pelletier and Rasmussen 2009, as implemented by Baum et al. 2021) depicted here, was the overall best-fitting soil-depth model for this terrane. Inset shows details of a 150 m by 150 m area, with thicker soil accumulation in concave areas.



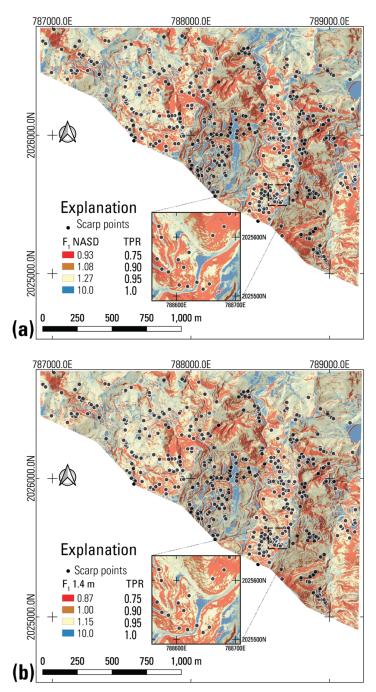


#### 616 4.4 One-dimensional factor of safety

- Figure 10 shows  $F_1$  optimized for NAR and calculated using TRIGRS and the soil model results in Fig. 9, as well as
- $F_1$  for constant soil depth. Slopes steeper than 60°, the estimated critical slope angle, were treated as barren and stable
- 619 because landslides were very rare on slopes steeper than 60° (Fig. 3d). Soil strength parameters are within the ranges
- 620 obtained by sensitivity analysis of  $F_1$  parameters  $\phi'$  and c' over observed ranges of slope and depth of landslides
- 621 characterized in the field at ANA, LAR, UTU, and NAR (Fig. 5). The only landslide source locations available
- throughout the three municipalities are the scarp points of Hughes et al. (2019). Due to location uncertainty, we used
- a 3-m radius around the scarp points for defining true positives. Color thresholds on the maps (Fig. 10) are based on
- $F_1$  at TPR of 0.75, 0.90, and 0.95. Consequently, thresholds for  $F_1$  differ for each panel in Fig. 10. The same TPR
- 625 values (0.75, 0.90, 0.95) were used for picking  $F_3$  thresholds for landslide initiation susceptibility across the entire
- 526 study area covering Naranjito, Utuado, and Lares Municipalities in the final maps (Supplemental Figures S1 and S2).







628Figure 10. Maps of Naranjito (NAR) calibration area in volcaniclastic terrane (Fig. 1) showing 1D factor of safety ( $F_1$ )629results for a) soil-depth model shown in Figure 9 as well as b) constant average soil depth. Topographic base derived from630lidar by U.S. Geological Survey (2018), scarp points from Bessette-Kirton et al. (2019c). True positives determined by631minimum  $F_1$  within a 3-m radius of the scarp points. (a)  $F_1$  for NASD, the modified nonlinear area- and slope-dependent632soil-depth model depicted in Fig. 9, (b)  $F_1$  for constant soil depth of 1.4 m. Inset shows details of a 150 m by 150 m area.





633 Areas of low  $F_1$  are similar in overall pattern between the two maps shown in Fig. 10 but differ in detail. These details 634 include small areas of low  $F_1$  unique to each model as well as variation in the extent of major areas of low  $F_1$ . Many 635 boundaries of the areas of low  $F_1$  are ragged and small patches of yellow, indicating higher  $F_1$ , occur within the larger 636 red and orange areas of low  $F_1$ . Differences in  $F_1$  between the maps are attributable mainly to variation in soil depth 637 and partly to variation in c'. The optimum value of c' varied depending on the characteristics of each soil model (Table 2). The results shown in Fig. 10 are for the best-performing combination of c' and  $\phi'$  for the soil-depth model at NAR 638 639 (Fig. 9 and Sec. 4.2) and for constant average depth of 1.4 m. 640 The different  $F_1$  patterns shown in Fig. 10 correspond to slightly different levels of predictive success. The AUC and 641 distance from the ideal point (0,1) to the nearest point on the TPR-FPR curve,  $d_{IP}$  indicate that  $F_1$  for constant depth 642 has the highest predictive skill (AUC=0.88,  $d_{IP}$ =0.26,  $F_1$  value nearest the ideal point,  $F_1$ =0.9). Next,  $F_1$  for the NASD 643 model performed almost as well (AUC=0.86,  $d_{IP}$ =0.30,  $F_1$  value nearest the ideal point,  $F_1$ =1.0). When applied to the 644 entire DEM tile covering Naranjito municipality,  $F_1$  for constant depth and NASD tied with AUC = 0.86 and  $d_{IP}$  = 0.30 (constant depth) and  $d_{IP} = 0.29$  (NASD). Thus, the performance edge of constant depth is localized at NAR and 645 does not extend across the entire Naranjito DEM tile. Other soil-depth models performed slightly worse (Table 2) 646 647 consistent with results obtained by Tello (2020) for UTU. The slightly higher performance for  $F_1$  with constant depth 648 at NAR comes at the cost of the area classified as very high, high, or moderate susceptibility (TPR = 0.95) being more diffuse, with more ragged boundaries, than for F1 with NASD (Fig. 10a, b). Varying the amount of cohesion used with 649 650 a particular soil model caused small changes in the AUC,  $d_{IP}$ , and best  $F_1$  as shown by the two entries for NDSD in 651 Table 2.

652 Table 2. Key inputs and performance measures for factor of safety calculations based on the infinite slope model  $(F_1)$ , as 653 implemented by TRIGRS, in the Naranjito calibration area (NAR). Performance is based on minimum  $F_1$  within a 3-m 654 655 radius of landslide scarp points mapped by Hughes et al. (2019). [Symbols and abbreviations: NASD, non-linear area and slope dependent soil-depth model of Pelletier and Rasmussen (2009) as modified by Baum et al. (2021); NSDA, non-linear 656 slope dependent model of Pelletier and Rasmussen (2009) modified by Baum et al. (2021) to include linear area dependence; 657 NDSD, non-linear slope and depth dependent model of Pelletier and Rasmussen (2009); LASD, linear area and slope 658 dependent model of Ho et al. (2012);  $H_{max}$ , maximum soil depth;  $\delta_c$ , critical slope angle;  $R_d$ , diffusivity ratio;  $C_0$ , empirical 659 constant used in LASD; c', soil cohesion for effective stress; b', angle of internal friction for effective stress; AUC, area 660 under the curve of true-positive-rate (TPR) and false positive rate (FPR) (higher is better); d<sub>IP</sub>, distance from the ideal 661 point, (0,1), to nearest point on the TPR-FPR curve (smaller is better); Best  $F_{1}$ , 1D factor of safety at point nearest to the 662 ideal point, (0,1), and therefore the most accurate F1 classifier of landslide versus non-landslide grid cells for the particular 663

Soil	H <sub>max</sub>	$\delta_c$ (°)	$R_d$ or $C_0$	<i>c</i> ′ (kPa)	φ' (°)	AUC	d <sub>IP</sub>	Best <i>F</i> <sub>1</sub>	TPR at
Model	(m)								d <sub>IP</sub>
NASD	3.0	60	0.20	4.0	45°	0.86	0.30	1.0	0.82
LASD	3.0	60	0.45	3.5	45°	0.85	0.31	1.1	0.84
NDSD	3.0	60	0.10	4.5	45°	0.82	0.36	1.2	0.75
NDSD	3.0	60	0.10	2.5	45°	0.86	0.32	1.0	0.89
NSDA	3.0	60	0.10	4.5	45°	0.85	0.30	1.1	0.80
Constant	1.4	60		4.0	45°	0.88	0.26	0.9	0.79

model (closer to 1.0 is better); °, degrees ; -- not applicable.]





#### 665 4.5 Quasi-three-dimensional factor of safety

- Figure 11 shows  $F_3$  computed using the soil-depth model in Fig. 9 and constant soil depth of 1.4 m. Predictive skill for  $F_3$  is somewhat less than  $F_1$ ; AUC is 0.05 – 0.08 less for  $F_3$  than corresponding  $F_1$  (Tables 2 and 3). The only
- exception is for the constant soil depth model results where  $F_3$  has the highest AUC, 0.94, of all cases tested (Fig. 12a)
- and 12b). Despite the overall slightly worse performance of  $F_3$  it provided smoother boundaries on the landslide
- 670 susceptible areas (Fig. 11a, b), which also are more continuous than corresponding  $F_1$  landslide susceptible areas (Fig.
- 671 10). The lower AUC values resulted from the  $F_3$  susceptible areas covering slightly more land area than the

672 corresponding  $F_1$  areas at the same TPR. Therefore, the  $F_3$  susceptibility maps are more conservative than their  $F_1$ 

673 counterparts.

674 Table 3. Key inputs and performance measures for factor of safety calculations based on a quasi-3D limit-equilibrium slope 675 stability model (F<sub>3</sub>) in the Naranjito calibration area (NAR). Performance is based on minimum F<sub>3</sub> within a 3-m radius of 676 landslide scarp points mapped by Hughes et al. (2019). [Symbols and abbreviations: NASD, non-linear area and slope 677 dependent soil-depth model of Pelletier and Rasmussen (2009) as modified by Baum et al. (2021); NSDA, non-linear slope 678 dependent model of Pelletier and Rasmussen (2009) modified by Baum et al. (2021) to include linear area dependence; 679 NDSD, non-linear slope and depth dependent model of Pelletier and Rasmussen (2009); LASD, linear area and slope 680 dependent model of Ho et al. (2012);  $H_{max}$ , maximum soil depth;  $\delta_c$ , critical slope angle;  $R_d$ , diffusivity ratio;  $C_0$ , empirical constant used in LASD; c', soil cohesion for effective stress; ¢', angle of internal friction for effective stress; AUC, area 681 682 under the curve of true-positive-rate (TPR) and false positive rate (FPR);  $d_{IP}$ , distance from the ideal point, (0,1), to nearest point on the TPR-FPR curve; Best  $F_3$ , 3D factor of safety at point nearest to the ideal point, (0,1), and therefore the most 683 684 accurate F<sub>1</sub> classifier of landslide versus non-landslide grid cells for the particular model (closer to 1.0 is better); °, degrees.]

Soil	Hmax	$\delta_c$	R <sub>d</sub> or	<i>c</i> ′	φ <b>′</b>	Trial	AUC	<i>d</i> <sub>IP</sub>	Best F <sub>3</sub>	TPR at
Model	(m)	(°)	<i>C</i> <sub>0</sub>	(kPa)	(°)	surface				dıp
						radius				
						(m)				
NASD	3.0	60	0.20	0.5	45°	3.5	0.80	0.38	0.9	0.86
NASD	3.0	60	0.20	0.5	45°	6.5	0.75	0.45	0.9	0.66
NASD	3.0	60	0.20	0.5	45°	9.5	0.71	0.50	1.0	0.86
LASD	3.0	60	0.45	0.5	45°	3.5	0.78	0.44	1.0	0.89
NDSD	3.0	60	0.10	0.5	45°	3.5	0.78	0.40	0.9	0.71
NSDA	3.0	60	0.10	0.5	45°	3.5	0.80	0.37	0.9	0.78
Constant	1.4	60		0.5	45°	3.5	0.92	0.23	1.0	0.94

685

Tests indicated that trial surfaces having a map-view radius of 3.5 m provided more accurate estimates of susceptible

areas than larger trial surfaces (6.5-m and 9.5-m radius). Other things being equal, larger trial surfaces resulted in

smaller AUC and larger  $d_{IP}$  (Table 3, Fig. 12b). The larger trial surfaces tended to widen the susceptible areas and

689 smooth their boundaries, with the result that a larger percentage of the calibration area was classified as susceptible

690 (9.5-m radius, 85%; 6.5-m radius, 83%; 3-m radius, 78% for examples in Table 3). In addition, the 3.5-m radius

691 produced a trial surface close in size  $(7.5 - 7.9 \text{ m wide}, \text{ with an area of } 46 - 48 \text{ m}^2 \text{ at the ground surface for } 1 \text{ -m depth}$ 

0.000 on  $30^{\circ} - 40^{\circ}$  slopes) to the median horizontal areas of landslide sources mapped in NAR,  $51 \text{ m}^2$ , in UTU2,  $42 \text{ m}^2$ , and

693 in LAR2 64 m<sup>2</sup> (Fig. 3c).





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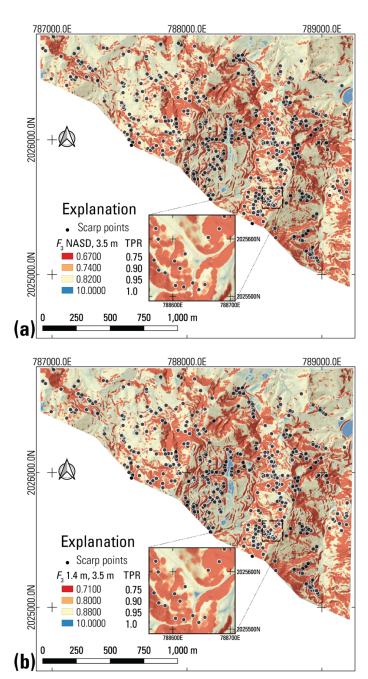
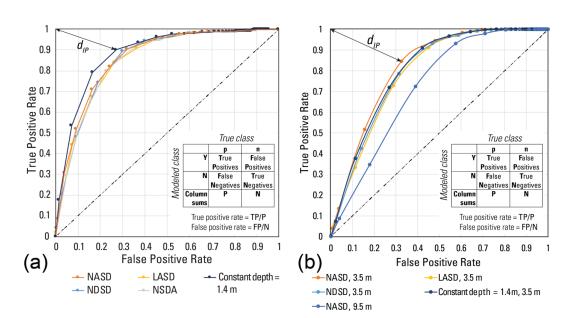


Figure 11. Maps of Naranjito (NAR) calibration area in volcaniclastic terrane (Fig. 1) showing quasi-3D factor of safety, *F*<sub>3</sub>, results for the soil depth models shown in Figure 9. (a) *F*<sub>3</sub> for the modified nonlinear area and slope dependent (NASD)
soil-depth model depicted in Fig. 9, (b) *F*<sub>3</sub> for constant soil depth of 1.4 m. Inset shows details of a 150 m by 150 m area. The
calculation of *F*<sub>3</sub> used a trial surface of 3.5-m map-view radius (Fig. 7). Topographic base derived from lidar by U.S.
Geological Survey (2018), scarp points from Bessette-Kirton et al. (2019c).



701





702

703 Figure 12. Graphs of true positive rate (TPR) versus false positive rate (FPR) for factor of safety maps in Naranjito 704 calibration area (NAR in Fig. 1a, 1c). Inset shows confusion matrix and formulas defining true positive rate and false 705 positive rate. Double-headed arrow indicates distance from ideal point  $(d_{IP})$  for the results of the factor of safety with the 706 smallest  $d_{IP}$ . (a) TPR-FPR results for 1D factor of safety (F<sub>1</sub>) in Fig. 10, as well as results for F<sub>1</sub> using other soil-depth models 707 that were tested during the calibration process. (b) TPR-FPR results for quasi-3D factor of safety ( $F_3$ ) in Fig. 11, as well as 708 results for  $F_3$  using other soil depth models and one with a larger (NASD, 9.5-m radius) trial surface. [Soil-depth models: 709 LASD, linear area- and slope-dependent model (Ho et al. 2012); NASD, modified nonlinear area- and slope-dependent 710 model (modified from Pelletier and Rasmussen 2009); NDSD, nonlinear depth- and slope-dependent model (Pelletier and 711 Rasmussen 2009); NSD, nonlinear slope-dependent model (Pelletier and Rasmussen 2009); NSDA, nonlinear slopedependent model with linear area dependence (modified by Baum et al. 2021 from NSD model of Pelletier and Rasmussen 712 713 2009)].

# 714 4.6 Susceptibility categories and predictive strength

715 Computing  $F_3$  over the combined study areas of Lares, Utuado, and Naranjito municipalities produced somewhat different results than in the calibration areas. Calibration areas have very high landslide densities, with average density 716 717 of 182 scarps/km<sup>2</sup> at NAR. However, landslide density varies considerably across each municipality. Based on positive 718 correlation between low  $F_3$  and landslide scarp points mapped by Hughes et al. (2019), we established susceptibility 719 categories based on percentages of landslides enclosed by successive susceptibility categories as noted previously and 720 as shown in Table 4. Increasing density of observed landslides is consistent with increasing susceptibility. Very high susceptibility (typically > 118 scarp points/km<sup>2</sup>) characterizes 23% of the total study area and 21%, 43%, and 45% of 721 722 the area underlain by marine volcaniclastic, submarine basalt, and granitoid rocks, respectively. Almost all karst areas 723 underlain by limey sediments had low susceptibility (< 2 scarp points/km<sup>2</sup>) (Baxstrom et al. 2021b). Based on the 724 information in Table 4, the AUC for the entire map area is 0.84, and  $d_{IP}$  is 0.34. 725 Due to physical (subsurface conditions, ground-failure mechanisms) and conceptual (parameters, models)





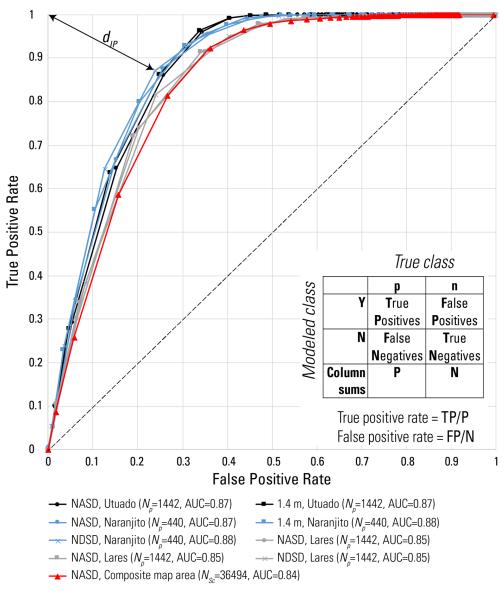
- 4). Although the strength parameters could be increased to achieve  $F_3 = 1.0$  at TPR = 0.90, we also wanted to keep F3
- at TPR = 0.95 relatively low while keeping  $F_3 > 1$  under dry conditions for as much area as possible. Our final model
- parameters represent a compromise between stable slopes  $(F_3 > 1)$  under dry conditions and low factor of safety  $(F_3 > 1)$
- 730 < 1) for highly susceptible slopes under presumed wettest conditions.</p>
- 731 Recent, detailed mapping of source areas provided an opportunity to further test performance of the pre-Hurricane
- 732 María  $F_3$  map (output from step B.5, Fig. 6). Figure 13 shows TPR-FPR curves for the pre-Hurricane María  $F_3$  map
- tested against Hurricane María landslide source polygons (Baxstrom et al. 2021a; Einbund et al. 2021a, 2021b) and
- radiate radiation radiati radiatio radiatio radiatio radiatio radiatio radiatio radiat
- m radius of the scarp points, 0.84.
- 736

Table 4. Landslide susceptibility categories based on minimum value of quasi-3D factor of safety, F<sub>3</sub>, within a 3-m radius
 of landslide scarp points mapped by Hughes et al. (2019) for all three municipalities. For consistency, F<sub>3</sub> thresholds below
 are based on F<sub>3</sub> calculated using pre-Hurricane María lidar topography and scarp locations of landslides induced by
 Hurricane María.

Landslide	$F_3$	Landslide	Landslide scarp	Area within	Landslide	Incremental
Susceptibility	threshold	scarp points	points enclosed	increment	points	Landslide
		enclosed	within	(km <sup>2</sup> )	within	density
		(percent)	increment		increment	(scarps/km <sup>2</sup> )
			(number)		(percent)	
Very High	≤ 0.87	75	27370	232	75	118
High	≤ 0.97	90	5474	108	15	51
Moderate	≤ 1.05	95	1825	68	5	27
Low	> 1.05	100	1824	610	5	3
Total	$0 < F_3 \le 10$	100	36493	1018	100	36







743 Figure 13. Graph of true positive rate versus false positive rate for pre-Hurricane María susceptibility models across the 744 study area tiles tested against head-scarp points (Hughes et al. 2019) and source polygons for Lares (Einbund et al. 2021b), 745 Naranjito (Baxstrom et al. 2021a), and Utuado (Einbund et al. 2021a) with confusion matrix and formulas defining true 746 positive rate (TPR) and false positive rate (FPR). Double-headed arrow indicates distance from ideal point  $(d_{IP})$  for 747 Naranjito source polygons and F<sub>3</sub> computed using NDSD soil depth. True positive rates are based on minimum value of the 748 749 quasi-3D factor of safety, F<sub>3</sub>, within the mapped source polygons or within a 3-m radius of the scarp points. Results for scarp points cover the final pre-Hurricane María susceptibility maps of Lares, Utuado, and Naranjito municipalities. 750 Results for the landslide source polygons cover parts of the component tiles (Fig. 1). Landslide source mapping for Lares 751 752 and Utuado (Einbund et al. 2021a, b) are near LAR and UTU (LAR2, UTU2, Fig. 1b). The graph compares F3 performance based on the modified nonlinear area- and slope-dependent (NASD, modified from Pelletier and Rasmussen 2009) soil-753 depth model and two alternates: constant depth of 1.4 m, and the nonlinear depth- and slope-dependent soil-depth model 754 (NDSD, Pelletier and Rasmussen 2009), with strength parameters and other inputs held constant. AUC denotes area under 755 the curve of TPR versus FPR,  $N_p$  is the number of landslide source polygons, and  $N_{sc}$  is the number of scarp points.





#### 756 5 Discussion

- 757 Our analyses presented in the previous section (Sect. 4.6) indicate that the landslide susceptibility assessment 758 successfully identifies areas where high percentages of Hurricane María landslides occurred. In succeeding 759 paragraphs, we discuss some of the strengths, limitations, and unexpected findings of our approach and results.
- 760 Optimum ranges of internal friction angles for all three terranes (Fig. 5) are higher than commonly reported, but consistent with measured values of  $\phi'$  for low normal stress (Likos et al. 2010). Most reported values of  $\phi'$  for soils 761 like those in the study area range from 17° to 41° as noted previously (Sec. 3.2) and are usually based on tests at 762 normal stress greater than 100 kPa. In contrast, samples collected at two field monitoring sites tested at low and 763 764 moderate normal stresses (Smith et al. 2020) using equipment and procedures described by Likos et al. (2010) had high friction angles for low normal stress. Smith et al. (2020) reported  $\phi' = 34.8^{\circ} - 35.5^{\circ}$  (c' = 0 - 4.4 kPa) for two 765 766 samples tested at effective normal stress,  $\sigma'_n$ , less than 120 kPa,  $\phi' = 45.6^{\circ}$  for a sample tested at  $\sigma'_n \le 30$  kPa, and  $\phi'$ = 53.9° for another sample tested at  $\sigma'_{n,s} \le 7$  kPa. Significantly, shear stress was considerably higher than normal stress 767 for nearly all individual tests at  $\sigma'_n \le 15$  kPa, and many at  $\sigma'_n \le 30$ , consistent with  $\phi' > 45^\circ$  at low normal stress. In 768 769 addition to evidence for high internal friction angles at low normal stress, which is particularly relevant to abundant 770 thin (< 0.5 m) landslides in Utuado, three other factors could contribute to stability and reduce the magnitude of  $\phi'$ 771 required to explain stability during dry conditions: (1) Soil suction measured at the sites between rainfall (Smith et al. 772 2020) indicates that suction stress probably contributes to stability. Preliminary tests indicate that considering modest 773 amounts of suction stress (less than a few tens of kilopascals) during dry conditions in the analysis depicted by Fig. 5 shifts the cells having high TPR toward lower ranges of  $\phi'$ . For example, increasing initial suction stress by -1 kPa 774 shifts the optimum range of  $\phi'$  to  $35^\circ - 40^\circ$  for the submarine basalt and chert landslides compared to the  $45^\circ - 50^\circ$ 775 776 range in Fig. 5d. (2) Root resistance also likely contributes to slope stability to depths of about 0.5 - 0.6 m. Due to 777 high annual rainfall, vegetation in the study areas tends to be shallow-rooted so that significant root resistance would decline rapidly below about 0.4 - 1.1 m depth (Simon et al. 1990; Larsen 2012). (3) Lateral stress variation also 778 779 contributes to slope stability. Even in quasi-3D limit-equilibrium as used in computing  $F_3$ , combined resistance of 780 neighboring grid cells (columns) and toe wedge contributes to stability and reduces the values of  $\phi'$  and (or) c' needed 781 to achieve stability of a potential landslide under dry conditions (Tables 2 and 3).

782 Our modelling workflow makes a few trade-offs to create a relatively conservative map of potential landslide sources 783 that accounts for uncertainties. These trade-offs are between speed and simplicity of the assessment, statistical 784 accuracy, and continuity of susceptibility zones. Some of the modelling steps (soil depth and  $F_3$ ) add complexity, increase time needed to model susceptibility, and slightly reduce performance metrics (AUC and  $d_{IP}$ ) compared to  $F_1$ 785 with constant soil depth. In exchange, soil depth and F<sub>3</sub> create more continuous susceptibility zones, join neighboring 786 787 groups of high-susceptibility pixels, and eliminate isolated, commonly errant, pixels of high landslide susceptibility (Fig. 10 and 11). The increased continuity of the susceptibility zones makes them easier to implement in land use and 788 789 emergency management. In addition, the potential source areas delineated on the map by the high and very high 790 susceptibility areas provide areas susceptible to shallow landslides for estimating potential landslide runout and debris-791 flow inundation (Brien et al. 2021). Much of the reduction in AUC for  $F_3$  results from using the minimum factor of





792 safety value computed for any trial landslide that includes a grid cell. Consequently, very high and high susceptibility 793 zones for  $F_3$  are broader than for  $F_1$  and thereby have a buffer along their edges. Nevertheless, as indicated by various 794 performance metrics and landslide densities in the susceptibility classes, the landslide assessment successfully 795 distinguishes areas having different levels of susceptibility to landslide initiation (Tables 3, 4) despite these trade-offs. 796 Although  $F_1$  for constant depth has slightly better performance metrics (the highest AUC and smallest  $d_{IP}$ ) than  $F_1$  for any of the soil depth models calibrated to landslide source depths (Table 2, Fig. 12a) at NAR, its performance metrics 797 798 are comparable to the nonlinear soil-depth models elsewhere. Our field observations indicate that depth of shallow, 799 rainfall-induced landslides is well correlated to depth of mobile regolith ("soil") due to strength and permeability 800 contrasts at its base. Soil-depth models represent the distribution of soil depth more consistently with field conditions 801 than constant depth in many settings (Pelletier and Rasmussen 2009; Ho et al. 2012; Catani et al. 2010; Nicótina et al. 2011; Gomes et al. 2016; Patton et al. 2018). Performance metrics (ED =  $\sqrt{2}$ ; mean-squared error, MSE =  $\sigma_0^2$ ) indicate 802 803 average depth was a poorer predictor of observed landslide depth than any of the models Tello (2020) tested for 804 Utuado. Despite odd differences in how the models estimate soil depth on mid-slope benches and flat valley bottoms, 805 the models we tested (NASD, NSDA, NDSD, LASD) predict thinner soils on ridge crests and thicker soils in hillside 806 hollows, consistent with patterns observed in Puerto Rico and elsewhere for dissected topography (Roering 2008). For 807 example, mean depths of landslide sources from field mapping in Puerto Rico were 3.25 m (for concave slopes), 2.5 m (for convex slopes), 2.7 m (for planar slopes; Schulz et al. 2023). The unexpected, good performance of  $F_1$  for 808 constant soil depth at NAR points out limitations of soil depth models and may result in part from widespread 809 modifications to the landscape resulting from agriculture, road (e.g., Ramos-Scharrón et al. 2021) and building 810 811 construction, and other activities. Effects of these activities may have influenced the locations of shallow landslides 812 sufficiently to weaken correlation between landslide location and topographic features that influence soil depth (as at LAR and ANA, Fig. 8a). The high degree of slope modification (roads and terraces) in the NAR calibration area is 813 814 likely a determining factor in  $F_1$  performance there (Fig. 10). Identifying specific areas or features where constant-815 depth  $F_1$  classifies susceptibility differently than  $F_1$  with other soil-depth models might reveal potential improvements. 816 Computing  $F_1$  using the modified NASD soil-depth model resulted in the areas assigned to the moderate, high, and 817 very high susceptibility classes being more clearly delineated with little or no loss of performance compared to using 818 constant depth. The susceptibility zones in the constant-depth  $F_1$  susceptibility map (Fig. 10b) are more diffuse or 819 fragmented (less continuous) than for the NASD soil depth (Fig. 10a) and other soil models we tested. Fragmentation 820 also occurred for susceptibility zones defined by slope categories (Fig. S3a). As noted previously, this improved delineation came with only a slight reduction in AUC (0.88 to 0.86) and small increase in  $d_{IP}$  (0.26 to 0.30) for NAR. 821 822 When applied to the entire DEM tile covering Naranjito municipality, performance of  $F_1$  for constant depth and  $F_1$  for NASD tied with each other and with slope categories (AUC = 0.87,  $d_{IP} = 0.29 - 0.30$ ). As noted previously, when 823 checked against detailed source mapping, the performance metrics for  $F_3$  are better than when compared against the 824 825 scarp points (Fig. 13). In addition, differences in performance metrics between constant depth and the NDSD model 826 and modified NASD model are negligible. 827 Other things being equal, the quasi-3D stability analysis,  $F_3$ , has a somewhat smaller AUC and larger  $d_{IP}$ , compared

to  $F_1$  (Tables 2 and 3), but improves the final map. The improvements are better separation between the different





829 susceptibility classes (Fig. 10 and 11) and a slightly more conservative map compared to  $F_1$ , which is helpful for life-830 safety based land use planning and emergency response scenarios. With AUC=0.80 and  $d_{IP}$ =0.38 for F<sub>3</sub> based on the modified NASD soil-depth and 3.5 m radius for the trial surface (Table 3), F<sub>3</sub> successfully identifies potential landslide 831 832 sources at NAR. For the entire map area, the AUC (0.84) and  $d_{IP}$  (0.33) scores are slightly better (Table 4, Fig. 13), 833 due in part to the large area of low landslide susceptibility that is underlain by limey sediments and characterized by 834 cone karst. By considering slope stability at the scale of representative landslide sources (median area, Fig. 3c),  $F_3$ 835 eliminates isolated grid cells and tiny clusters of 2-4 cells that likely are classified incorrectly by  $F_1$  as highly or very 836 highly susceptible due to locally steep slopes at the pixel scale (1 m). Such isolated cells and clusters could be 837 eliminated after analysis, but boundaries of susceptible areas would remain somewhat ragged. In contrast our approach 838 provides an objective method for eliminating the isolated pixels and smoothing the boundaries.  $F_3$  bridges gaps between neighboring areas of low  $F_1$  and thereby maps susceptible areas that are more continuous and with smoother, 839 840 more definite boundaries than  $F_1$ . Thus,  $F_3$  further improves delineation of susceptible areas beyond improvements 841 achieved by using the modified NASD soil-depth model with  $F_1$ . Maps having continuous, clearly delineated areas 842 assigned to each susceptibility class such as those obtained by using  $F_3$  reduce guesswork in making land use and emergency management decisions by eliminating the ragged, transitional boundaries obtained with  $F_1$ . For example, 843 844 to compare the insets in Figs. 10 and 11 to each other as well as slope categories (Fig. S3a) and  $F_3$  based on the NDSD soil-depth model (Fig. S3d), see Fig. S3b, c, e and f. Well-defined potential landslide source areas also allow 845 846 estimation of areas susceptible to potential downslope runout and downstream inundation (Brien et al. 2021). 847 Performance metrics for  $F_3$  considering detailed source mapping (Fig. 13) are sufficiently high ( $0.85 \le AUC \le 0.88$ ) to consider  $F_3$  a very successful indicator of landslide susceptibility in our study area. As the basis for our final 848 susceptibility maps, we selected the  $F_3$  map derived from the modified NASD soil depth model (Fig. 11a) because of 849 its high AUC combined with its well-defined source areas and the realistic modeled soil depths for estimating potential 850 851 landslide volumes. Model input parameters for the final maps are summarized in Supplemental Figures S1 and S2. 852 The susceptibility analysis portrayed in Fig. 11 and our final maps (Supplemental Figures S1 and S2) are valid 853 throughout the three municipalities despite the variable density of Hurricane María landslides throughout the map area 854 (Bessette-Kirton et al. 2017; Hughes et al. 2019) and within each susceptibility class. High landslide density generally 855 corresponds to low  $F_3$  (Table 4); however, not all susceptible areas were equally affected by Hurricane María. Thus, 856 although some areas of low F<sub>3</sub>, particularly in Naranjito, had low landslide density, the low density does not invalidate 857 the susceptibility assessment of the potential for future landslides. Factors such as antecedent soil moisture are known 858 to have affected the density of landslides induced by Hurricane María (Bessette-Kirton et al. 2019a) and were addressed in the statistically based island-wide landslide susceptibility assessment of Hughes and Schulz (2020a). 859 Notably Naranjito had much lower root-zone soil moisture immediately after the hurricane than Utuado and Lares 860 (Fig. 26 of Hughes and Schulz 2020a). Variable rainfall intensity and duration are also known to affect landslide 861 response of susceptible areas (Larsen and Simon 1993; Pando et al. 2005). Intensity and duration are known to have 862 varied during Hurricane María, causing further differences in landslide density. Our assessment considered fully 863 864 saturated conditions with the water table at the ground surface to depict likely wettest-case soil moisture effects, including high antecedent soil wetness, as well as high intensity and long-duration rainfall. Thus, it was not necessary 865





866 to specifically model antecedent soil moisture conditions. Less-severe conditions may produce landslides in the same 867 general areas as predicted by our assessment, however, in lower numbers than observed following Hurricane María. 868 Setting the boundaries between susceptibility classes based on  $F_1$  or  $F_3$  corresponding to specific values of TPR rather than setting boundaries based on theoretical values of  $F_1$  or  $F_3$  (such as  $F_3 = 1.0$ ) reduces uncertainty and ensures 869 870 correspondence between landslide density and degree of landslide susceptibility. Soil, saprolite, and bedrock are 871 inherently heterogenous. Their hydraulic and strength properties (and corresponding parameters) vary spatially at all 872 scales (Terzaghi et al. 1996). Other studies have applied probabilistic approaches and sensitivity analyses have been 873 applied successfully to address parameter uncertainty and improve accuracy of physically based modelling of landslide 874 susceptibility (Raia et al. 2014; Zieher et al. 2017; Canli et al. 2018). Many parameter combinations (c' and  $\phi'$ ) can 875 achieve similar levels of predictive accuracy in computing  $F_1$  for observed distributions of landslide slope and depth (Baum et al. 2019; Baum 2021). These and other uncertainties such as transient pore-water pressures, subsurface 876 features, heterogeneity, and other factors, weaken the link between theoretical values of  $F_1$  or  $F_3$  and estimated 877 878 likelihood of failure for site-specific cases when applying limit-equilibrium slope stability analysis over wide areas. 879 On the other hand, maps classified based on TPR have a strong link to susceptibility. Such maps are readily comparable 880 to each other when  $F_1$  or  $F_3$  values are computed with different parameters, as they show like outcomes (areas that capture 75%, 90% and 95% of observed landslides in this study). Comparing like outcomes focuses on differences 881 and uncertainties that affect the quality of the susceptibility assessment that might be masked by comparing the maps 882 when classified using the same  $F_1$  or  $F_3$  values. In this study, low values of  $F_1$  and  $F_3$  correspond to high observed 883 884 Hurricane María landslide density (Table 4), as would be expected. The selected boundaries for susceptibility classes 885 ensure a meaningful distinction between average landslide density in the successive classes (Table 4). 886 The susceptibility map correctly predicts locations of most landslides that are deeper than 3 m, despite the maximum modeled soil depth of 3 m more typical of shallow landslides. Ten of the landslides summarized in Fig. 3e are deeper 887 888 than 3 m. Most (nine) are within the Naranjito tile (Fig. 1), and the other is in Lares. The mapped point on each

- landslide headscarp and adjoining or surrounding slope was within the high or very high susceptibility zone for seven of the ten deep landslides. The other three had head scarps on a gently sloping area (road or pad) that was set back a few meters from the steep slope, but the adjoining slope with the landslide body was within the high and very high susceptibility zones. Although the predicted locations might be right for the wrong reason (predicting a shallow translational landslide rather than a deeper, translational, or rotational landslide), it is nevertheless encouraging that the locations of even the deep landslides are identified for the sake of hazard assessment and planning. This probably occurred because the deep landslides occurred well within the same slope range as other mapped landslides (Fig. 3,
- 896 4).

897 Despite the simplicity of soil and water parameters, the maps successfully predicted the effects from Hurricane María.

898 Calibrating with field data from the small calibration areas (ANA, LAR, UTU, and NAR, Fig. 1) and then testing with

the island-wide scarp points (Hughes et al. 2019) confirmed the successes of our approach (Supplemental Figures S1

and S2). Testing with detailed landslide source maps (Baxstrom et al. 2021a; Einbund et al. 2021a, 2021b) strengthens

901 our results even though they cover only a fraction of the study area.





902 The workflow outlined in Fig. 6 can be simplified in areas where few data are available. An accurate digital elevation 903 model and accurate landslide inventory with measurements of source area size, depth, and slope (Fig. 3) are the most 904 critical data for a landslide susceptibility analysis. Strength parameter ranges can be estimated from landslide source 905 depth and slope (Fig. 4, 5). Soil model calibration can be bypassed by assuming constant average landslide source 906 depth. Strength parameters can then be refined using the procedure described in Sect. 3.5. Alternately a soil model and strength parameters can be calibrated simultaneously to the inventory as we did for the NAR calibration area. 907 908 Calculation of pressure head,  $F_1$  and  $F_3$  can then proceed as outlined in Sect. 3.4.2, 3.4.3, 3.4.4, and 3.9, followed by 909 validation and evaluation (Sect. 3.11). Compared to a map based on the simplest of landslide susceptibility approach, slope ranges with its ragged, fragmented susceptibility zones, our procedure creates cohesive landslide susceptibility 910 911 zones that have smooth, buffered boundaries with only a slightly lower AUC score (0.84) than for slope (0.87) across 912 the entire study area.

# 913 6 Conclusions

914 We defined a workflow for assessing landslide susceptibility using multiple modelling stages and successfully applied 915 it using high-resolution (1-m) topography over a large (about 1000 km<sup>2</sup>) geographic area in the central mountains of 916 Puerto Rico (Fig. 1). The workflow includes modelling soil depth, pressure head, and limit-equilibrium slope stability 917 (Fig. 6). Although calibration studies showed that assuming constant average soil depth as input for 1D (infinite-slope) 918 factor of safety against landsliding,  $F_1$ , gave the best performance metrics in a 2.5 km<sup>2</sup> calibration area, use of a soil-919 depth model more clearly delineated areas susceptible to landslide initiation with only a modest reduction in the AUC 920 from 0.88 to 0.86. Using a quasi-3D limit-equilibrium slope stability analysis, the factor of safety, F<sub>3</sub>, further refined 921 the susceptibility assessment by more clearly delineating boundaries between the different susceptibility classes and 922 by assessing stability at the scale of the observed median-sized landslides. Despite further reduction in AUC to 0.80 923 for the NAR calibration area, the map based on  $F_3$  is more readily usable in certain applications than a map based on 924  $F_1$ , and it still performs well as a classifier of landslide susceptibility. Performance metrics for the  $F_3$  map of the entire ~1000 km<sup>2</sup> study area, AUC = 0.84 and  $d_{IP}$  = 0.34, are slightly better than results at the NAR calibration area. 925 Performance measured against detailed source mapping of selected areas is even better:  $0.85 \le AUC \le 0.88$  and 0.27926 927  $\leq d_{lP} \leq 0.33$ . These metrics indicate the map is suitable for planning, regulation, and emergency preparedness decisions 928 at the municipality scale. The map may also be used to assess hazards, such as ground collapse, resulting from landslide 929 initiation. Source area delineation as shown on maps may also be used for defining landslide starting locations and 930 surface area needed to assess areas with potential downslope movement of sediment mobilized by future landslides.

### 931 Code availability

932 Computer codes used in this study are available from the U.S. Geological Survey software repository as follows:

- 933 TRIGRS 2.1, https://doi.org/10.5066/F7M044QS; REGOLITH, https://doi.org/10.5066/P9U2RDWJ; and Slabs3D,
- 934 https://doi.org/10.5066/P9G4I8IU.





### 935 Data availability

936 The pre-event (2015) and post-event (2018) lidar topographic data used in this study are available through the National 937 Map at https://apps.nationalmap.gov/lidar-explorer/#/. Soil mapping databases used to estimate soil properties are available from the Natural Resources Conservation Service at https://www.nrcs.usda.gov/resources/data-and-938 939 reports/web-soil-survey. Other data are available from the U.S. Geological Survey ScienceBase digital repository as 940 follows: Summaries of geotechnical data, https://doi.org/10.5066/P9UXTQ4B; model input and output raster grids 941 and model parameter input files used to produce the large maps (Supplemental Figures S1 and S2), https://doi.org/10.5066/P9C1U0LP; Landslide head scarp points, https://doi.org/10.5066/P9BVMD74; landslide 942 943 polygons, https://doi.org/10.5066/F7JD4VRF, https://doi.org/10.5066/P9GBGA4I, 944 https://doi.org/10.5066/P9YYU7W1, https://doi.org/10.5066/P9EASZZ7, and https://doi.org/10.5066/P9ZNUR1P.

# 945 Author contribution

- 946 RB, WS, MR and DB planned the study. WS managed the project. MT carried out model calibrations. RB developed
- 947 the model code, carried out the simulations, and computed the model performance statistics. DB, WS, MR, MT, and
- 948 RB analyzed the data. RB wrote the manuscript draft; DB, MR, and WS reviewed and edited the manuscript.

### 949 Competing interests

950 The authors declare that they have no conflict of interest.

### 951 Disclaimer

- 952 Any use of trade, firm, or product names is for descriptive purposes only and does not imply endorsement by the U.S.
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