

1                                   **Design and Application of a Multi-**  
2 **Hazard Risk Rapid Assessment Questionnaire for Hill Communities**  
3 **in the Indian Himalayan Region**

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17  
18                                   **ABSTRACT**

19 The Indian Himalayan Region (IHR) is prone to multiple-hazards and suffers great loss of life  
20 and damage to infrastructure and property every year. Poor engineering construction,  
21 unplanned and unregulated development, and relatively low awareness and capacity in  
22 communities for supporting disaster risk mitigation is directly and indirectly contributing to the  
23 risk and severity of disasters.

24 A comprehensive review of various existing survey forms for Risk assessment has found that  
25 the survey questionnaires themselves have not been designed or optimised, specifically, for  
26 hill communities. Hill communities are distinctly different from low-land communities, with  
27 distinct characteristics and susceptibility to specific hazard and risk scenarios. Previous  
28 studies have, on the whole, underrepresented the specific characteristics of hill communities,  
29 and the increasing threat of natural disasters in the IHR creates an imperative to design hill-  
30 specific questionnaires for multi-hazards risk assessment.

31 The main objective of this study is to design and apply a hill-specific risk assessment survey  
32 form that contains more accurate information for hill communities and hill-based infrastructure  
33 and allows for the surveys to be completed efficiently and in less time. The proposed survey  
34 form is described herein and is validated through a pilot survey at several locations in the hills  
35 of Uttarakhand, India. The survey form covers data related to vulnerability from Earthquake  
36 (Rapid Visual Screening), Flood, High Wind, Landslide, Industrial, Fire Hazard in the building,  
37 Climate Change and Non-Structural Falling Hazard. The proposed form is self-explanatory,

38 pictorial with easy terminologies, and is divided into various sections for better understanding  
39 of the surveyor etc.

40 The application process confirmed that the survey questionnaire performed well and met  
41 expectations in its application. The form is readily transferrable to other locations in the IHR  
42 and could be internationalised and used throughout the Himalaya.

43 **Keywords:** Survey, Questionnaire Design, Multi-Hazard, Rapid Visual Screening, Himalaya

#### 44 **1 Introduction**

45 The Indian Himalayas considered a significant part of the world's mountain ecosystems  
46 (Singh, 2005). The Himalayas are geologically active, delicate, and vulnerable to both natural  
47 and human-made processes due to their structural instability and maturity (Kala, 2014).  
48 Numerous hazards interact at most locations, resulting in cascading or synergetic effects  
49 (Aksha *et al.*, 2020). The Indian Himalayan Region (IHR), being prone to multiple hazards,  
50 suffers great loss of life and damage to infrastructure and properties every year (Chouhan et  
51 al.,2022a). Multi-hazard frequency has risen in recent decades, resulting in massive socio-  
52 economic losses. There has been a constant rise in the number of deaths, property losses,  
53 and damage to infrastructure and facilities (Chandel and Brar, 2010). According to UNDRR  
54 (UNDRR, n.d.), the multi-hazard concept refers to "(1) the selection of multiple major hazards  
55 that the country faces, and (2) the specific contexts where hazardous events may occur  
56 simultaneously, cascadingly or cumulatively over time, and taking into account the potential  
57 interrelated effects."

58 Poor engineering and construction, reckless development, human intervention, unrecognized  
59 practices, irresponsible development initiatives, and a lack of knowledge are directly and  
60 indirectly contributing to the risk and severity of disasters (Chouhan et al., 2022b). Many  
61 natural disasters have become human-made phenomena as a result of the spread of  
62 irresponsible construction practices. Such disasters have a devastating socio-economic  
63 impact on the country's economy, putting even more strain on an already stressed economy  
64 (Disasters, 2007).

65 Various research work, disaster risk assessment studies and, implementation projects are  
66 being executed by national and international organizations for disaster risk reduction in the  
67 Himalayas. The data collection for any risk assessment in this difficult terrain is a crucial task,  
68 as correct information documentation has played a significant role that directly or indirectly  
69 lead to an influence in correct assessment of the risk factor (Chouhan et al.2022b).

70 Surveys using a well-crafted questionnaire is a proven method in the research fraternity.  
71 Questionnaires are the backbone of every survey when it comes to data collection. Using data,  
72 one can gain a detailed understanding of a community's hazard profile, vulnerability  
73 interactions and their contribution to risk reduction (Buck and Summers, 2020). The survey  
74 information is required to be coherent for data analysis since they lead to critical decisions at  
75 many levels, represent the site's vital characters and society's expectations and requirements  
76 too. All of these outcomes hinge, of course, on the creation of a robust site-specific survey  
77 form. A well designed and executed Multi-Hazard Risk Assessment (MHRA) can lead to more  
78 robust strategies for disaster risk reduction (Kala, 2014; Sekhri et al., 2020) and can facilitate  
79 by prioritizing development planning decisions.

**Commented [SS1]:** Comment 1: Line 77, MHRA has not been defined  
Response: Full form of MHRA is added

80 After studying existing survey forms and practical field survey at various locations in the Indian  
81 Himalayas, authors found that the existing MHRA survey forms used in India have some  
82 lacuna from the hills point of views as Himalayas have different geography, cultural,  
83 development practices, hazard profile etc. (Chouhan et. al., 2022b). A close evaluation of the  
84 existing survey questionnaires reveals that there is a need for IHR-specific survey  
85 questionnaire form to facilitate a MHRA, which should be easy to understand, pictorial, and  
86 that creates a two-way disaster sensitization of giving and getting information from the  
87 community.

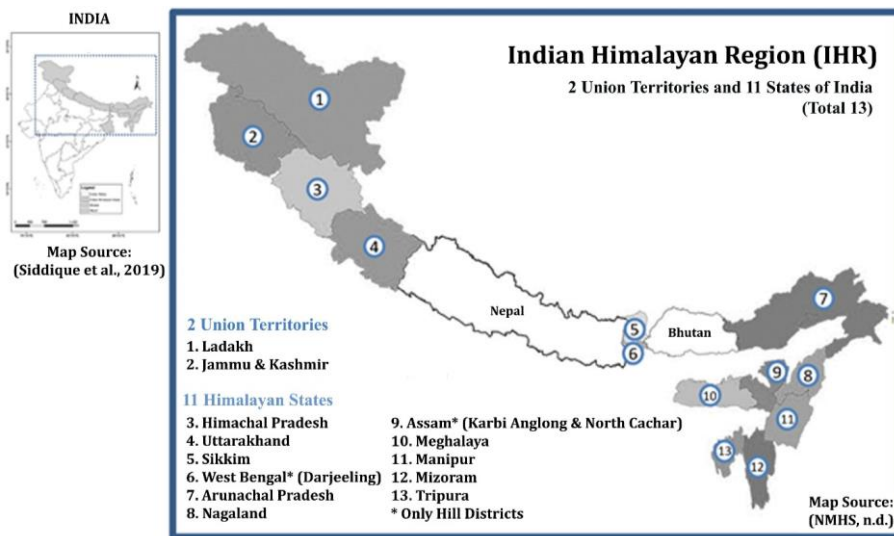
88 In this research paper, the journey to design and application of the proposed Hill specific  
89 MHRA survey form has been described. The pilot survey using the proposed survey form has  
90 been conducted at 10 schools in Uttarakhand state of India and its results identify various risk  
91 indicators in individual building as well as the school campus.

## 92 **2 Background**

### 93 *2.1 Defining the Indian Himalayan Region*

94 The Indian Himalayan Region (IHR) straddles the northern latitudes of 26 20' and 35 40', and  
95 the eastern latitudes of 74 50' and 95 40' (Sekhri et al., 2020). In India, it comprises 16.2 % of  
96 all the geographical land and is home to 76 million people. Natural resources, biodiversity, and  
97 ethnic variety are abundant in IHR. (Goodrich et al., 2019; Sekhri et al., 2020). It stretches  
98 from the Indus River to the Brahmaputra River in the east. (Srivastava et al., 2015). There are  
99 a total of 11 Indian Himalayan states and 2 Union territories as shown in Fig. 1, which have  
100 109 administrative districts (Kala, 2014). The region is socially and economically  
101 underprivileged, with 171 schedule tribes accounting for almost 30 % of India's total tribal  
102 population and a high literacy rate of 79 percent. The population is growing exponentially,  
103 putting a strain on the region's resources (COI, 2011). Tourism is a lucrative business in IHR  
104 (NITI Aayog, 2018) and it contributes to support a lot of construction projects like hotels,

105 restaurants, road construction etc. across the region (Kala, 2014). Agriculture is a profitable  
 106 venture for Himalayan people, and it is mainly rain-nourished. Furthermore, climate change is  
 107 hazardous to the region's progress and hinders socio-economic development (Sekhri *et al.*,  
 108 2020).



109  
 110 *Figure 1: Study Area: Indian Himalayan Region, Source: adapted from (NMHS, n.d.)( Siddique et. al., 2019)*

111 The IHR represents a significant role in the world's mountain ecosystems (Singh, 2005). IHR  
 112 attracts tourists worldwide because of its natural richness, unique biodiversity, and cultural  
 113 diversity (NITI Aayog, 2018,; Gaur and Kutro, 2018). The number of pilgrims has risen  
 114 dramatically in prominent pilgrim centres across the Himalayas over the ages (Kala, 2014),  
 115 putting extra stress on these resources and posing a danger of socioeconomic loss.

116 **2.2 Multi Hazards in IHR**

117 Being geologically young and expanding (Wester et al., 2019), the IHR is vulnerable to natural  
 118 disasters (Gautam et. al., 2013). The Himalaya, the world's highest mountain range is  
 119 geologically active, fragile, and susceptible to natural and man-made processes (Kala, 2014).  
 120 Indian geography, climate, topography, and population growth all contribute to its high risk and  
 121 vulnerability (Sharma *et al.*, 2017). Mountain hazards are widespread, and hills characteristics  
 122 of fragility, restricted accessibility, marginality, and heterogeneity (Gerlitz et al., 2016) may  
 123 turn a hazard into a catastrophe, transforming mountains into high-risk zones. Furthermore,  
 124 mountains need a long time to recover from disruptions (Sekhri *et al.*, 2020).

125 Multi-Hazard Frequency has risen in recent decades, resulting in massive socio-economic  
 126 losses (Rehman et al., 2022). Unrecognized practices, irresponsible development initiatives,  
 127 and a lack of knowledge contribute to disasters having a more significant effect. One of the  
 128 most challenging aspects of natural hazards risk assessment is determining how to estimate  
 129 the risk of several hazards in the same region and how they interact (Hackl et. at., 2015).

130 In the recent decade, severe earthquakes, floods, and landslides have devastated IHR,  
 131 including the M 7.6 Kashmir earthquake in 2005, the Malpa Landslide in 2009, the M 6.8  
 132 Sikkim earthquake in 2011, the 2013 Uttarakhand flash flood, and others, affecting  
 133 approximately thousands of deaths and property losses (MHA, 2011; BMTPC, 2019; Kumar  
 134 et al., 2016). Table 1 illustrate and describe the major hazard events that have occurred  
 135 historically in the Indian Himalayan region.

136 Table 1: Major Disaster Events in IHR, Source: adapted from (BMTPC, 2019; Kumar et al., 2016).

SN	Date	Location (Latitude, Longitude)	Place	Indian Himalayan State	Hazard/ Magnitude	Casualties	Source
1	1869, Jan 10	(25.00, 93.00)	Near Cachar	Assam	Earthquake 7.5 Mw	Unknown	Kumar et al., 2016
2	1885 May 30	(34.10, 74.60)	Sopor	Jammu & Kashmir	Earthquake 7.0 Mw	Unknown	Kumar et al., 2017
3	1897 Jun 12	(26.00, 91.00)	Shillong plateau	Meghalaya	Earthquake 8.7 Mw	1500	Kumar et al., 2018
4	1905 Apr 04	(32.30, 76.30)	Kangra	Himachal Pradesh	Earthquake 8.0 Mw	19,000	Kumar et al., 2019
5	1918 Jul 08	(24.50, 91.00)	Srimangal	Assam	Earthquake 7.6 Mw	Unknown	Kumar et al., 2020
6	1930 Jul 02	(25.80, 90.20)	Dhubri	Assam	Earthquake 7.1 Mw	Unknown	Kumar et al., 2021
7	1943 Oct 23	(26.80, 94.00)	Assam	Assam	Earthquake 7.2 Mw	Unknown	Kumar et al., 2022
8	1950 Aug 15	(28.50, 96.70)	Arunachal Pradesh–China Border	Arunachal Pradesh	Earthquake 8.5 Mw	1526	Kumar et al., 2023
9	1975 Jan 19	(32.38, 78.49)	Kinnaur	Himachal Pradesh	Earthquake 6.2 Mw	Unknown	Kumar et al., 2024
10	1988 Aug 06	(25.13, 95.15)	Manipur–Myanmar border	Manipur	Earthquake 6.6 Mw	1000	Kumar et al., 2025
11	1991 Oct 20	(30.75, 78.86)	Uttarkashi, UP	Uttarakhand (now)	Earthquake 6.6 Mw	2000	Kumar et al., 2026
12	1998 Aug 18	(30.01, 80.04)	Malpa, Pithoragarh district	Uttarakhand (now)	Landslide	380	Kumar et al., 2027
13	1999 Mar 29th	(30.41, 79.42)	Chamoli District, UP	Uttarakhand (now)	Earthquake 6.8 Mw	100	Kumar et al., 2028
14	2005 Oct 08th	(34.48, 73.61)	Kashmir	Jammu & Kashmir	Earthquake 7.6 Mw	74,500	Kumar et al., 2029
15	2006 Feb 14th	(27.37, 88.36)	Sikkim	Sikkim	Earthquake 5.7 Mw	0	BMTPC, 2019
16	2010 Aug 06th	(34.15, 77.57)	Leh	Ladakh (now)	Cloudburst	257	BMTPC, 2019
17	2011 Sep 18th	(27.7, 88.2)	Sikkim Nepal border	Sikkim	Earthquake 6.8 Mw	60	Kumar et al., 2016
18	2012 July-Aug	(26.20, 92.93)	Assam	Assam	Floods	91	BMTPC, 2019

19	2012 Aug-Sep	(30.72, 78.43), (30.28, 78.98), (29.84, 79.76)	Uttarkashi, Rudraprayag & Bageshwar	Uttarakhand	Floods	52	BMTPC, 2019
20	2013 June 16th	(30.06, 79.01)	Uttaranchal	Uttarakhand (now)	Flood, Landslide, Cloud Burst	5748	Kumar et al., 2016
21	2014 Sep	(33.27, 75.34)	Jammu & Kashmir	Jammu & Kashmir	Flood, Cloud Burst	277	Kumar et al., 2016
22	2016 Jan 04th	(24.81, 93.93)	Imphal, Manipur	Manipur	Earthquake 6.7 Mw	8	BMTPC, 2019

137

138 The Himalayan region is among the most seismically active in the world due to the collision of  
139 the Indian and Eurasian plates. A series of four major earthquakes has occurred within a short  
140 span of 53 years (Srivastava et al., 2015); namely Shillong (1897), Kangra (1905), Bihar-Nepal  
141 (1934) and Assam-Tibet (1950). Tectonic activities in the mountains constantly threaten the  
142 stability of the mountains, being an active region. One of the most frequent natural disasters  
143 in the Himalayas occurs when large landslides occur, destroying infrastructures, destroying  
144 trees, and killing people. Landslides cause huge social and economic losses to mountain-  
145 dwelling populations.(Sarkar et al., 2015). The areas which are close to the River valley has  
146 witnessed a large number of mass movements during recent years (Srivastava et al., 2010).  
147 A recent flash flood, along with a debris flow at Kedarnath on 16-17 June 2013, which claimed  
148 over a thousand lives, was caused by cloudbursts and landslides breaching temporary dams  
149 along river valleys (Allen, 2015). More than 82 percent of the world's population lived on land  
150 affected by floods between 1985 and 2003 (Mouri et al., 2013). There is an increase in forest  
151 fire frequency globally, especially in Asia. There are major environmental and ecological  
152 impacts caused by wildfires, which can result in the fatalities of tens of thousands of people  
153 and massive property losses (Parajuli et al., 2020).

### 154 2.3 Need of Study

155 Without a comprehensive evaluation of multi-hazards, it is impossible to develop any concrete  
156 policy measures to combat the potential risk posed by multiple hazards.(Sekhri et al., 2020)  
157 IHR being prone to Multi Hazards (Kala, 2014), Risk Resilient Development planning is the  
158 only way to prepare Himalayan community from upcoming disasters.

159 It is well known that the Himalayas are a high-risk area for multi-hazards (Pathak et al., 2019),  
160 although fewer risk assessments have been conducted in the IHR region. An assessment of  
161 hazards generally focuses on a single threat, such as landslides, earthquakes, or flooding. As  
162 a result, physical processes are considered in isolation. In most areas of the Himalayas,  
163 hazards are interrelated and generate cascading effects or synergies which make the entire  
164 region vulnerable (Sekhri et al., 2020). Probabilistic risk frameworks have been proposed, but  
165 as a result of a lack of quality and quantity of data, these approaches are seldom feasible in

**Commented [SS2]:** Comment 2: Are you missing the name of the river here? Or its in general  
Response: Thank you for pointing it out. The line has been modified for better clarity.

166 developing countries (Sanam et al., 2020). Furthermore, the existing risk assessment  
 167 models/tools for a specific hazard in the region has limited application and effectiveness from  
 168 a policy standpoint (Sekhri et al., 2020).

169 Researchers are involved in a number of research projects in IHR in the field of assessing the  
 170 risk of disasters in India, though there have been very few assessments of hazards associated  
 171 with the IHR region, none of which incorporate multi-hazards (Wester et al., 2019) In addition,  
 172 risk resulting from a single hazard is not applicable and cannot be considered effectively in  
 173 policy analysis in the region (Sekhri et al., 2020).

174 The comparative study of some of the most used survey forms to assess risk in India is shown  
 175 in the Table 2. Every survey form has its own unique features. In some cases, the focus is  
 176 largely on one particular hazard and the other hazards are minor. The detail of all the  
 177 mentioned survey forms will be explained later in Table 4 in this paper. It has been observed  
 178 from the Table 2 that none of the forms (SN 1 to 6) are focusing on Multi Hazard Risk  
 179 calculation/identification as per IHR Scenarios, which is not only prone to earthquakes, but  
 180 also prone to floods, landslides, high winds, industrial hazards and at building level falling  
 181 hazard (Non-Structural Hazard), fire and electrical hazards etc.

182 *Table 2: Comparison between survey forms used in India to assess Risk*

Comparative Study between some survey forms used in India								
SN		1	2	3	4	5	6	7
Developed by/for		ARYA	FEMA	NDMA	IIT-B	HPSDMA	BMTPC	MH-RVS (Proposed)
Source: adapted from		Arya, 2006	FEMA, 2015	NDMA, 2020	Sinha & Goyal, 2001	Kumar et al., 2016	BMTPC, 2019	Author
Understanding	Pictorial					<input type="checkbox"/>		<input type="checkbox"/>
IHR is prone to Multi Hazard	Earthquake	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Flood			<input type="checkbox"/>		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	High Wind						<input type="checkbox"/>	<input type="checkbox"/>
	Landslide	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Fire and Electrical					<input type="checkbox"/>		<input type="checkbox"/>
	Industrial							<input type="checkbox"/>
	Climate Change							<input type="checkbox"/>
	Non-Structural /Falling Hazard	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>

183

184 Furthermore, while working with data collection teams on the ground during DRR Projects, the  
 185 authors have observed that surveyors face several problems, such as the technically  
 186 advanced language of the existing survey form, which requires trained technical personnel to

187 fill out, and this leads to costly human resources. Secondly, no graphical explanation of the  
188 form leads to understanding, which further leads to incorrect data collection. Thirdly,  
189 Surveyors are not able to convey correct objective to the respondent, creates no interest to  
190 response to reply further. Fourthly, most of the above-mentioned forms are not hill specific.  
191 MHRA survey forms need to be made easy, simple, informative, with simple language or/and  
192 visual explanation, for surveyors as well as respondents to get connected to it for giving and  
193 receiving information.

194 Indian Himalayan Region is also the point of attraction for tourists and pilgrims globally, and  
195 tourism plays an imperative role in enhancing the economy of the Himalayan state. Thus,  
196 safety is the immense need of the government at various levels.

197 There is no such survey form for comprehensive database for the IHR Region for informed  
198 decision-making, related to multi hazard and other aspects of sustainable hill development.  
199 Considering the IHR scenarios, there is immense need for a Hill specific survey form, that can  
200 help to gather important information from the field and help in Risk assessment for further  
201 decision making, to prepare the hill community from future disasters.

### 202 3 Multi Hazard Survey Framework

#### 203 3.1 Survey Form design methodology

204 The survey methodologies start with a few recommendations for designing a good survey, like  
205 (1) the survey form should satisfy the objectives of the research, (2) there should appropriate  
206 (but not very long) length of questionnaires covering all essential parts, (3) questions should  
207 convey a single thought at a time, (4) language should be simple and easy to understand by  
208 the surveyors as well as the respondent, (5) multiple choice questions are mostly preferred to  
209 increase response rate, reduce time and patterned the responses, (6) The survey should be  
210 concrete and conform to the respondent's perspective, (7) the use of unclear words should be  
211 avoided (8) it should meet the survey logic i.e. there is no further progress or possibility of  
212 further correspondence from the respondent, if the logic is flawed. It takes practice and  
213 verification to ensure that when considering an option only the next logical question comes to  
214 mind (Roopa and Rani, 2012).

#### 215 3.2 Methodology Adopted

216 To gather beneficial and appropriate information related to multi-hazards in the Himalayan  
217 region, careful attention must be given to the design of the questionnaire that covers all the  
218 important contributing factors from various identified hazards and fulfils all the gaps identified  
219 from the existing survey form and field experience. Designing an effective questionnaire, it  
220 takes time, effort, and a variety of stages. The methodology to prepare the Multi-Hazard  
221 Survey form for Indian Himalayan Region is shown in Fig. 2.

**Commented [SS3]:** Comment 3: "and many more"  
written is unclear  
Response: Modification is done.

**Commented [SS4]:** Comment 4: This is not clear; the  
length of the questionnaires should be dictated by the  
need to cover all essential parts? Or something else  
should be dictate the length?  
Response: Thank you for highlighting it. Modification  
has been done for more clarity.

**Commented [SS5]:** Comment 5: It is very complicated  
to put all of this in one sentences. I would suggest to  
structure it like (1) ... (2)..  
Response: Thank you for your suggestion. I appreciate  
it. Based on your suggestion, modification is done.



222 A number of Disaster Risk Reduction projects conducted in Indian Himalayan Region provided  
223 Author 1 with a rare opportunity to be part of a Data Collection team. As a result of these  
224 projects, author has been able to interact on the ground with hill communities and surveyors  
225 and learned that there are several gaps in the existing survey forms (Section 3.4) from both a  
226 Himalayan and surveyor perspective. MHRA Survey form contains all the gist of data collection  
227 experience. This research paper is based on a comprehensive literature review (Section 3.3)  
228 as well as field experience.

229 To ensure that the survey form was designed in accordance with Disaster Risk Assessment  
230 requirements, Hill specific hazards, important components, question sequence and layout,  
231 simple language, disaster sensitization, and two-way information sharing (giving and  
232 receiving), some initial considerations were taken into account.

233 We have designed a draft MHRA survey form (Section 4.1) and applied it to some of the  
234 buildings in five villages in Uttarakhand (Fig. 5). An initial pilot survey has been conducted at  
235 10 schools (section 4.2) using the proposed survey form with content and graphical inputs.  
236 The results and observations relating to the Pilot survey are discussed in sections 4.2 and 4.5  
237 of this paper.

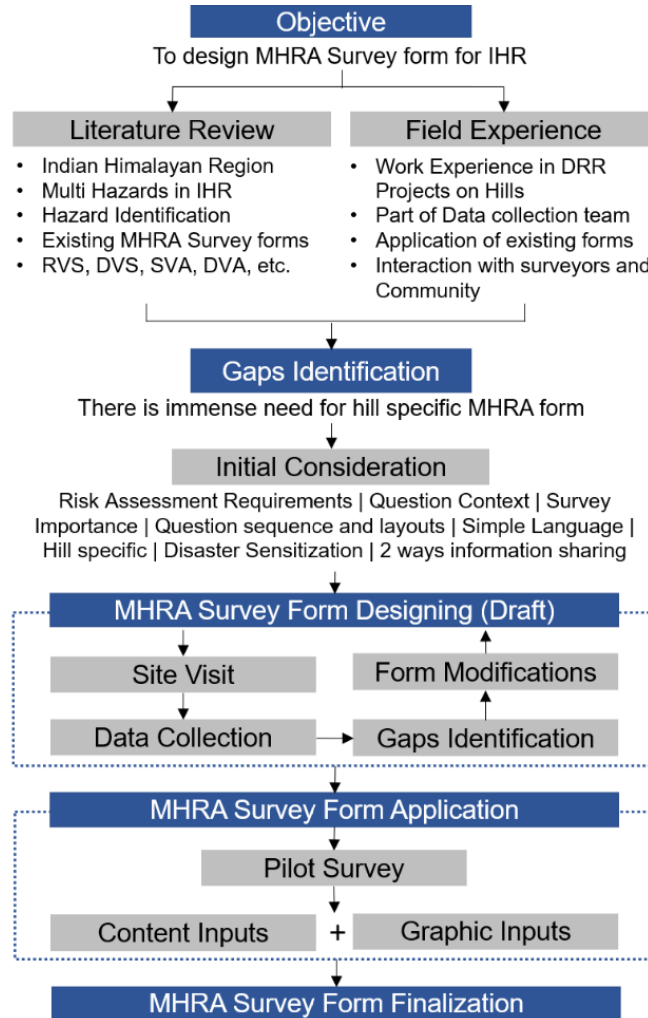


Figure 2: Methodology adopted by author

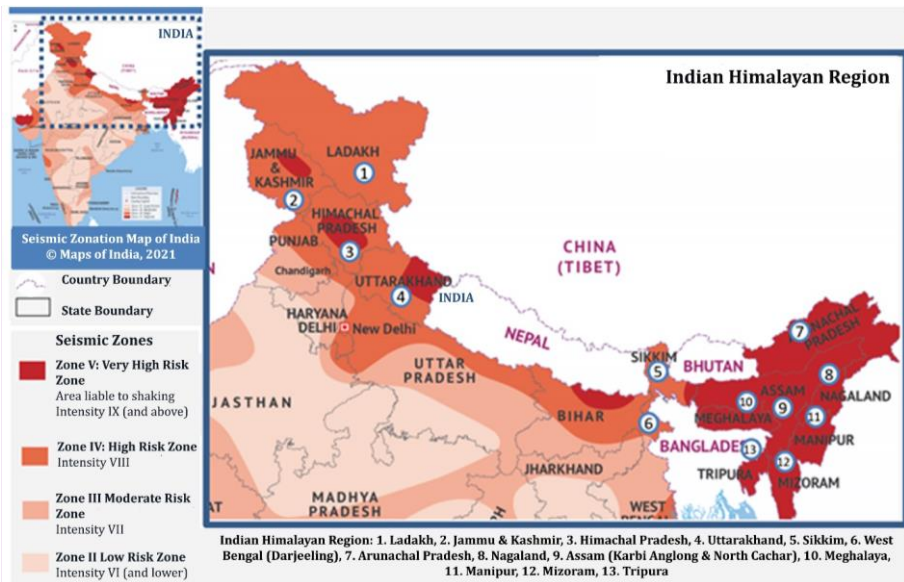
241 3.3 Existing Multi Hazard Risk Assessment (MHRA) Survey Forms

242 The spread of non-engineering construction, unrecognized construction and planning  
 243 practices, reckless developmental activities, and a lack of awareness increase the impact of  
 244 disasters. IHR being seismically active, as shown in the seismic zonation map of India, creates  
 245 the importance of Risk assessment of existing buildings. Earthquakes are feared because  
 246 they are so unpredictable. Yet, as we often hear, "Earthquakes don't kill, Buildings do"  
 247 (attributed to Francesca Valli, Change Management Thought-Leader), and as the detailed

248 assessment is limited by the number of homes and the cost, one of the considering  
249 approaches is Rapid Visual Screening (RVS) that is used for seismic vulnerability assessment.  
250 Using this methodology, a risk assessment has been conducted for areas subjected to  
251 earthquakes (Kumar et al., 2016).

### 252 3.3.1 Seismic Zonation Map of India

253 The first seismic zoning map of India was published in 1935 by the Geological Survey of India  
254 (G. S. I.) (Fig. 3) (A. K. Mohapatra, 2010). Based on the damage earthquakes caused in  
255 various parts of India, this map has undergone numerous modifications (IS-code1893-1, 2002)  
256 (Marcussen, 2017), (Khattri *et al.*, 1984) since its original creation As per the Seismic zonation  
257 map, India is divided into four distinct seismic risk zones shown here by colour (Dunbar, 2003)  
258 in Fig. 3 below:



259 Figure 3: Seismic Zonation Map of India, Source: adapted from (pp. Map of India, 2021)  
260

### 261 3.3.2 About RVS

262 Applied Technology Council (ATC) developed the RVS method in the late 1980s and  
263 published it in the FEMA: 154 in 1988. In later versions, it was revised in FEMA: 178-1989,  
264 1992 (revised), FEMA: 310-1998, and FEMA: 154-1988, 2002 (revised), for rapid visual  
265 screening of buildings. (Kumar et al., 2016)

266 Rapid Visual Screening (RVS) avoids the need for structural calculations by using a visual  
267 method. An evaluator determines damageability grade by identifying (a) the primary structural

**Commented [ISS6]:** 2. Please note that single tables' panels with their own captions are not possible in the final version. Please adapt them according to our guidelines: <https://www.natural-hazards-and-earth-system-sciences.net/submission.html> (section "Figures & Tables").  
**Response:** I have updated the figure 3 by removing caption from the image.

268 lateral load resisting system as well as (b) the structural features of the building that can impact  
269 seismic performance in combination with that system. The process of inspecting, gathering  
270 data, and deciding on the next course of action occurs on site and may last several hours,  
271 depending on the size of the building (Arya, 2006; Arya, 2006b).

#### 272 3.3.2.1 Uses of RVS Results:

273 The foremost uses of this technique concerning seismic advancement of existing buildings are  
274 to assess a building's seismic vulnerability to categorize it further. It is used to determine the  
275 structural vulnerability (damageability) of buildings and determine the seismic rehabilitation  
276 requirements. In cases where further assessments are not considered necessary or are not  
277 feasible, retrofitting requirements are simplified (to a collapse prevention level) (Arya, 2006a;  
278 Arya, 2006b).

### 279 3.3.3 Multi Hazard Risk Assessment used in India

#### 280 3.3.3.1 RVS Methodology Proposed by Prof. Anand S Arya for Masonry Buildings

281 This RVS procedure that was designed for the Indian context follows a grading system where  
282 the screener identifies the primary load-resisting system of the building and determines  
283 parameters that may be modified to improve seismic performance of the structure (NDMA,  
284 2020)

285 Rapid Visual Screening form of Masonry Buildings developed by Prof. Anand S Arya consist  
286 of zoning, according to Indian conditions, and buildings with importance are given  
287 consideration. Also, special hazards (liquefiable area, landslide prone area, plan irregularities,  
288 and vertical irregularities) and falling hazards are taken into account. Finally, a grading system  
289 was performed in the buildings. Refer (Arya, 2006a) for detailed RVS survey forms for  
290 masonry buildings.

#### 291 3.3.3.2 RVS Methodology Proposed by Prof. Anand S Arya for RC frame or Steel Frame

292 The Rapid Visual Screening form of Reinforced Concrete frame and Steel Frame for Seismic  
293 Hazards developed by Prof. Anand S Arya has 6 components (i) general information (ii)  
294 Building typology based on foundation type, roof, floor, etc. (iii) Structural frame type (iv)  
295 Special Hazard (v) Non-Structural building components (vi) Damageable Grades (Arya,  
296 2006b).

297 Seismic safety features of RC Frame Buildings consist of parameters like Frame Action,  
298 Presence of Soft Storey, Short Column Effect, Concept of Weak Beam Strong Column,  
299 Pounding of Buildings, Building Distress and Other important features, Water Seepage,  
300 Corrosion of Reinforcement, Quality of Construction, Quality of Concrete and non-structural

**Commented [SS7]:** Comment 6: For all of these, the format should be: "refer to Arya 2006 for ...", this applies also to line 301, 322, 332, 341, 380 and 386.  
Response: Thank you so much for this insightful suggestion. The mentioned lines are modified.

301 falling hazards. Refer (Arya, 2006a; Arya, 2006b) for detailed RVS Survey form for RC and  
302 steel buildings.

303 *3.3.3.3 RVS Procedure developed by Dr. Sudhir K Jain*

304 In this method, a checklist for pre-screened buildings is prepared based on Indian conditions.  
305 It is one of the first methodologies in India featuring a points system. Performance scores are  
306 calculated based on factors such as zone, architectural considerations, structural parameters,  
307 and geotechnical characteristics. In India, this method is used in many locations, with the first  
308 applications being in Gujarat after the Bhuj earthquake (Jain et al., 2010).

309 *3.3.3.4 RVS form developed by NDMA 2020*

310 In the Disaster Management Act of 2005, a paradigm shift from Relief-centric approach to  
311 Mitigation- and Preparedness-centric approach is sought, with continued emphasis on  
312 proactive, holistic and integrated Response. With this Act in mind, NDMA initiated a series of  
313 discrete, comprehensive, and integrated initiatives. Among the recommended actions was  
314 assessing earthquake risk within the existing built environment.

315 NDMA developed this report to make end users aware of RVS's outcomes by presenting RVS  
316 in clear and tangible terms. On the basis of discussions with the relevant domain experts,  
317 NDMA have developed recommended forms for Pre-Earthquake and Post-Earthquake Level  
318 1 Assessments of 7 building typologies (i. Reinforced Concrete Building, ii. Burnt Clay Bricks  
319 Building, iii. Confined Masonry Building, iv. Random Rubble Masonry Building, v. Mud House,  
320 vi. Dhajji Dewari, vii. Ekra House). A form is developed to categorize the different building  
321 attributes into three categories: Red (High Risk), Yellow (Moderate Risk), and Green (Low  
322 Risk) (NDMA, 2020).

323 *3.3.3.5 Seismic Vulnerability Assessment by Prof. Ravi Sinha and Prof. Alok Goyal*

324 Prof. Ravi Sinha and Prof. Alok Goyal from Indian Institute of Technology Bombay (IIT-B)  
325 prepared a "National Policy for Seismic Vulnerability Assessment of Buildings and Procedure  
326 for Rapid Visual Screening of Buildings for Potential Seismic Vulnerability". A key feature of  
327 this procedure is that it allows a trained evaluator to conduct a walkthrough of the building to  
328 determine vulnerability. It is compatible with GIS-based city databases, and can also be used  
329 for a variety of other planning and mitigation tasks (Sinha and Goyal, 2001).

330 RVS analysed 10 different types of building, based on the materials and construction types  
331 most commonly found in urban areas. There were both engineered and non-engineered  
332 constructions (built according to specifications) in this category (Sinha and Goyal, 2001).

**Commented [SS8]:** Comment 7: Citation should be given here.  
Response: Thank you for pointing it out. Citation added as per the suggestion

333 3.3.3.6 *Building Vulnerability form developed by HPSDMA & TARU*

334 A form originally prepared by TARU consultancy and the Himachal Pradesh State Disaster  
335 Management Authority (HPSDMA) is shown in (Kumar et al., 2016). A building is visually  
336 examined by an experienced screener as part of RVS to identify features that contribute to  
337 seismic performance. This method is known as a 'sidewalk survey.' In this side walk survey,  
338 checklists are provided for each of the five types of buildings i.e., RC frames, brick masonry,  
339 stone masonry, Rammed Earth, and hybrid (Kumar et al., 2016).

340 3.3.3.7 *Vulnerability Atlas of India developed by BMTPC*

341 Building Materials and Technology Promotion Council (BMTPC) published the Vulnerability  
342 Atlas of India as its first edition in 1997 (BMTPC, 2019). It was hailed as "useful tool for policy  
343 planning on natural disaster prevention and preparedness, especially for housing and related  
344 infrastructures". First of its kind, it provided a means for assessing not only district-level  
345 hazards, but also the vulnerability and risks of housing stock. It was greatly utilized by State  
346 Governments and their agencies in order to develop micro-level action plans on how to reduce  
347 the impact of natural disasters since buildings and housing are commonly damaged or  
348 destroyed due to natural disasters, resulting in life losses and disruptions to socio-economic  
349 activities.

350 The revised Atlas 2019 reflects advances in scientific & technical knowledge, addition of new  
351 datasets, results of disasters caused by earthquakes and cyclones, possible damage from  
352 landslides, floods, thunderstorms, failures of roads and trains during disasters, changes in the  
353 political map of the country, and new statistics on walling and roofing data of houses (BMTPC,  
354 2019). Table 3 and Fig. 4 shows different Housing typologies used in BMTPC, based on wall  
355 and roof type and material identified in India and also their Damage risk under various hazard  
356 intensities.

**Commented [SS9]:** Comment 8: There is no need to state the title of the paper, just give the citation. "is shown in kumar et at (2016)  
Response: Modification is done as per the suggestion.

**Commented [SS10]:** Comment 9: This should give the citation  
Response: Citation added

357 Table 3: Damage Risk to various Housing Category identified by BMTPC (BMTPC, 2019)

**Damage Risk to Housing under various Hazard Intensities**

Category (Type of Wall and Roof)	EQ Intensity MSK				Wind Velocity m/s				Flood Prone
	≥IX	VIII	VII	≤VI	55 & 50	47	44 & 39	33	
A1. Mud wall (All roofs)	VH	H	M	L	VH	H	M	L	VH
A2.a. Unburned Brick Wall (Sloping roofs)	VH	H	M	L	VH	H	M	L	VH
A2.b. Unburned Brick Wall (Flat roofs)	VH	H	M	L	VH	H	M	L	VH
A3.a. Stone Wall (Sloping roofs)	VH	H	M	L	VH	H	M	L	VH
A3.b. Stone Wall (Flat roofs)	VH	H	M	L	H	M	L	L	VH
B.a. Burned Brick Wall (Sloping roofs)	H	M	L	VL	H	M	M	L	H
B.b. Burned Brick Wall (Flat roofs)	H	M	L	VL	M	L	L	VL	H
C1.a. Concrete Wall (Sloping roofs)	M	L	VL	NIL	H	M	M	L	L
C1.b. Concrete Wall (Flat roofs)	M	L	VL	NIL	L	VL	VL	VL	L
C2. Wood Wall (All roofs)	M	L	VL	NIL	VH	H	M	L	H
C3. Ekra wall (All roofs)	M	L	VL	NIL	VH	H	M	L	H
X1 GI and other metal sheets (All roofs)	M	VL	NIL	NIL	VH	H	M	L	H
X2 Bamboo, Thatch, Grass, Leaves, etc. (All roofs)	M	VL	NIL	NIL	VH	VH	H	L	VH

358

**Housing Category : Wall Types**

**Category - A :** Buildings in field-stone, rural structures, unburnt brick houses, clay houses

**Category - B :** Ordinary brick building; buildings of the large block & prefabricated type, half-timbered structures, building in natural hewn stone

**Category - C :** Reinforced building, well built wooden structures

**Category - X :** Other materials not covered in A,B,C. These are generally light.

**Notes :** 1. Flood prone area includes that protected area which may have more severe damage under failure of protection works. In some other areas the local damage may be severe under heavy rains and choked drainage.

2. Damage Risk for wall types is indicated assuming heavy flat roof in categories A, B and C (Reinforced Concrete) building  
3. Source of Housing Data : Census of Housing, GOI, 2011

**Housing Category : Roof Type**

**Category - R1 -** Light Weight (Grass, Thatch, Bamboo, Wood, Mud, Plastic, Polythene, GI Metal, Asbestos Sheets, Other Materials)

**Category - R2 -** Heavy Weight (Tiles, Stone/Slate)

**Category - R3 -** Flat Roof (Brick, Concrete)

EQ Zone V : Very High Damage Risk Zone (MSK > IX)

EQ Zone IV : High Damage Risk Zone (MSK VIII)

EQ Zone III : Moderate Damage Risk Zone (MSK VII)

EQ Zone II : Low Damage Risk Zone (MSK < VI)

Level of Risk : VH = Very High; H = High;

M = Moderate; L = Low; VL = Very Low

\* Total No. of Houses excluding Vacant/Locked Houses

359

360 Figure 4: Damage Risk and Housing category identified by BMTPC (BMTPC, 2019)

361 **3.3.4 Multi Hazard Risk Assessment used Globally**

362 **3.3.4.1 FEMA 154**

363 The FEMA handbook demonstrates how to rapidly identify, inventory, and rank buildings that are at high risk of causing death, injury, or severe damage in the event of an earthquake.

364 Rapid Visual Screening (RVS) can be carried out with a short exterior inspection, lasting 15 to 30 minutes, by trained personnel using the data collection form in the handbook. The guide is targeted at building officials, engineers, architects, building owners, emergency managers, and citizens who are interested in the topics.

369 Its purpose was to provide an evaluation of the seismic safety of a large inventory of buildings  
370 quickly and inexpensively, with minimal access to the buildings, and to identify those that  
371 require more detailed examination. FEMA 154 was developed by ATC under contract to FEMA  
372 (ATC-21 Project) in 1988. As with its predecessors, the Third Edition aims to identify,  
373 inventory, and screen buildings that present a potential risk. This latest version includes major  
374 improvements, such as: updating the Data Collection Form and including an optional more  
375 detailed page, preparing additional reference guides, and including additional building types  
376 that are common, considerations such as existing retrofits, additions to existing buildings, and  
377 adjacency, and many others (FEMA, 2015).

#### 378 *3.3.4.2 Flood Vulnerability Assessment survey*

379 The Flood Vulnerability Assessment survey form prepared by the Asian Institute of Technology  
380 (AIT) Bangkok and Climate Technology Centre and Network (CTCN) (Peiris, 2015) has 5  
381 Sections: (i) General Information (ii) Type of Building (iii) Flood damage and cost (iv) Flood  
382 emergency response (v) Effect on livelihood and income and was designed for Residential,  
383 Institutional, Commercial/Industrial damages and Infrastructure damages. Refer (Singh, 2005)  
384 for detailed Survey form.

#### 385 *3.3.4.3 Landslide Vulnerability Assessment survey*

386 Scientists and researchers focus more on researching landslide susceptibility and the hazard  
387 component rather than assessing the vulnerability of buildings to landslides. Even when the  
388 same construction material is used, construction practices vary across the country. Currently,  
389 there is no standard method for determining building vulnerability by using indicators.

390 The parts covered by Landslide risk assessment survey forms are (i) General information (ii)  
391 Building Function (iii) Vulnerability Indicators like Architectural Features, Material  
392 Characteristics, Structural Features, Geographical features, and quality of Workmanship,  
393 Construction & maintenance, etc. which are also covered during RVS and has been covered  
394 in the proposed survey form CitSci, GIS based data collection app for landslide (Singh et al.,  
395 2019).

### 396 *3.4 Features required for a Multi Hazard Survey Form for IHR*

#### 397 **3.4.1 Gaps Identified in existing survey forms**

398 Existing Survey forms have their strengths & weaknesses. After studying various survey forms  
399 for Risk assessment prepared by various national and international authorities, it is observed  
400 that hill-specific survey forms that can take care of multiple aspects of risk and sustainability  
401 assessment together do not exist. Available forms are complicated, not-so user friendly,  
402 consisting of terminologies difficult to communicate and comprehend, no pictorial clues for



403 understanding, involve several rounds of calculations for coherent multi-hazard risk evaluation  
 404 using the data, and most importantly, they are not hill site-specific or designed for the Indian  
 405 Himalayan region.

406 Hills have their own situation, condition, geography, climate, development trends, construction  
 407 practices, culture, etc., and they are distinctly different from other regions. RVS is mostly used  
 408 in India to assess the visual structural vulnerability of the building, as it involves no structural  
 409 calculations. On the other hand, SVA (Simplified Vulnerability Assessment) and DVA (Detailed  
 410 Vulnerability Assessment) are for the detailed structural survey of a building, and therefore  
 411 more precise and use engineering information along with more explicit data on ground motion.  
 412 Data filling is not easy enough for the surveyor and requires a very high level of engineering  
 413 knowledge, skills, and experience. Pictorial explanation from surveyor point of view can ease  
 414 the communication. Most of the survey forms are focused on single hazard, (mostly for seismic  
 415 evaluation of a building) irrelevant of multi hazard from Himalayan point of view, and how  
 416 prone a building's location is to other hazards. Integration between risk understanding and  
 417 sustainable development is too limited or non-existent. Thus, it has been observed that there  
 418 is an immense need to design hill-specific questionnaires for multi-hazards risk assessment  
 419 for Indian Himalayan Region.

**Commented [SS11]:** Comment 10: SVA and DVA have not been defined  
 Response: Thank you for highlighting it, as per suggestion, the full form of SVA and DVA is added.

420 **3.4.2 Comparative Study of some risk assessment survey forms mostly used in India**

421 Table 4 shows the comparative analysis of Risk assessment survey forms developed by  
 422 various organizations and mostly used in India with the proposed Multi-Hazard RVS. Forms  
 423 have been compared on various sections like typology, General Information, History of  
 424 Disasters, Site Conditions, Building geometry, structural and non-structural component of a  
 425 building etc.

426 *Table 4: Comparative Study of some risk assessment survey forms mostly used in India*

		1	2	3	4	5	6	7
Developed by/for		ARY A	FEMA	NDM A	IIT-B	HPSDM A	BMTPC	MH-RVS (Proposed)
Source		Arya, 2006	FEMA, 2015	NDM A, 2020	Sinha & Goyal, 2004	Kumar et al., 2016	BMTPC, 2019	Author
Typology	A1: Mud & Unburnt Brick			<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	<input type="checkbox"/>
	A2: Stone Wall	<input type="checkbox"/>		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	B: Burnt Brick	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	C1: Concrete Wall	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	C2: Wood Wall		<input type="checkbox"/>		<input type="checkbox"/>		<input type="checkbox"/>	<input type="checkbox"/>
	X: Other Materials			<input type="checkbox"/>			<input type="checkbox"/>	<input type="checkbox"/>
	Steel	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>			<input type="checkbox"/>

General Information	About Building and owner	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Sketch/Photo and drawings	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Occupancy (Day & Night)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Cost of Construction					<input type="checkbox"/>		
	Construction quality and Maintenance		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>
Disaster History	Seismic Zone		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	<input type="checkbox"/>
	Disaster History and Damage status					<input type="checkbox"/>		<input type="checkbox"/>
	Disaster cause					<input type="checkbox"/>		<input type="checkbox"/>
	Retrofitting history							<input type="checkbox"/>
Site Condition	Location of building				<input type="checkbox"/>			<input type="checkbox"/>
	Site Condition			<input type="checkbox"/>		<input type="checkbox"/>		<input type="checkbox"/>
Building Geometry	Dimension of Building					<input type="checkbox"/>		
	Shape of Building, floors	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>
	Re-entrant corners					<input type="checkbox"/>		<input type="checkbox"/>
Foundation	Type of Sub-Soil	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>
	Foundation detail	<input type="checkbox"/>				<input type="checkbox"/>		<input type="checkbox"/>
	Depth of ground water table	<input type="checkbox"/>		<input type="checkbox"/>		<input type="checkbox"/>		<input type="checkbox"/>
Walls	Walls details	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Separation of walls at joint			<input type="checkbox"/>				<input type="checkbox"/>
	Wall failure observed			<input type="checkbox"/>		<input type="checkbox"/>		<input type="checkbox"/>
Earthquake Bands	Earthquake band details and status			<input type="checkbox"/>		<input type="checkbox"/>		<input type="checkbox"/>
Cracks	Cracks details			<input type="checkbox"/>		<input type="checkbox"/>		<input type="checkbox"/>
	grade of cracks	<input type="checkbox"/>		<input type="checkbox"/>		<input type="checkbox"/>		<input type="checkbox"/>
Openings	Opening(s) details			<input type="checkbox"/>		<input type="checkbox"/>		<input type="checkbox"/>
	Frames details near opening							<input type="checkbox"/>
Roof and Floor	Type and material		<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Roof's attachment with walls			<input type="checkbox"/>		<input type="checkbox"/>		<input type="checkbox"/>
	Failures observed					<input type="checkbox"/>		<input type="checkbox"/>
Pounding effect	Height of building			<input type="checkbox"/>		<input type="checkbox"/>		<input type="checkbox"/>
	distance from closest building							<input type="checkbox"/>
	Quality of adjacent building		<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>		<input type="checkbox"/>
Heavy weight on top	Type and positioning of Heavy weights					<input type="checkbox"/>		<input type="checkbox"/>
	Intact status with structure							<input type="checkbox"/>
Parapet	Parapet material			<input type="checkbox"/>		<input type="checkbox"/>		<input type="checkbox"/>
	Parapet intact with structure			<input type="checkbox"/>				<input type="checkbox"/>
Overhang	Type of overhangs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>
	length and intact status			<input type="checkbox"/>				<input type="checkbox"/>
Staircase	Staircase details	<input type="checkbox"/>		<input type="checkbox"/>		<input type="checkbox"/>		<input type="checkbox"/>
	Lift status							<input type="checkbox"/>
Column and Beam	Column Beam details			<input type="checkbox"/>		<input type="checkbox"/>		<input type="checkbox"/>
	Beam with infill wall		<input type="checkbox"/>					<input type="checkbox"/>

	Connection and continuity	<input type="checkbox"/>		<input type="checkbox"/>				<input type="checkbox"/>
Basement	No. of basement					<input type="checkbox"/>		<input type="checkbox"/>
	Column and retaining Wall							<input type="checkbox"/>
Soft Storey	Soft Storey's details		<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>		<input type="checkbox"/>
High Wind	Potential threat from wind							<input type="checkbox"/>
Landslide	Position of potential landslide	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>				<input type="checkbox"/>
	Stabilized slope status		<input type="checkbox"/>	<input type="checkbox"/>				<input type="checkbox"/>
	Barriers to rockfall			<input type="checkbox"/>				<input type="checkbox"/>
Industrial	Potential threat from Industrial Hazard							<input type="checkbox"/>
Fire	Fire Safety Status					<input type="checkbox"/>		<input type="checkbox"/>
	Location of potential fire threats							<input type="checkbox"/>
Climate Change	Understanding & Concern							<input type="checkbox"/>
Non-Structural Elements	Cantilever availability (Chimneys, Balconies, Parapet, Sunshades, claddings)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>
	Other Non-Structural elements	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>
	No. of unattached Non-structural elements							<input type="checkbox"/>

: Concern (major/minor)

427

## 428 **4 IHR Specific MHRA Survey Form Preparation**

### 429 *4.1 Survey Form Preparation*

430 The proposed survey form is a modification of the Rapid Visual Screening (RVS) survey  
 431 questionnaire, i.e., a form used for structural and non-structural components of a building that  
 432 performs during an Earthquake. In the original RVS questionnaire no other hazards are  
 433 considered. A building's location on a vulnerable site, its structural condition, and performance  
 434 can lead to disastrous situations. The other hill-specific hazards are also incorporated into the  
 435 proposed form to identify the risk components from multi-hazards. Whilst the Himalayan region  
 436 is prone to earthquakes as per India's Seismic Zonation Map (Fig. 3), the proposed survey  
 437 form also covers other hazards like landslide, flood, industrial explosion/emissions, fire  
 438 vulnerability, hydro-climatic factors, etc., which will be addressed one by one in this paper.

### 439 *4.2 Preliminary Survey*

440 Before conducting the Pilot survey, a preliminary survey has been conducted to test the  
 441 proposed form, research methodology, and identifying gaps in the existing survey form.

442 This small assessment also evaluated the RVS form with minor enhancements to evaluate its  
 443 performance and confirm gaps, and to see if it can meet the requirement for risk assessment

444 at other areas with similar geographical characteristics and conditions as experienced in the  
445 Indian Himalayan Region.

446 The Preliminary survey was conducted at 5 Gram Panchayats of Chinyalisaur sub-district in  
447 Uttarkashi, Uttarakhand, namely Chinyalisaur, Dhanpur, Dharasu, Hidhara, and Bagi, in  
448 October and November 2019, using Draft MHRA Survey form. Some of the pictures of the visit  
449 are provided in ig5.



450  
451

*Figure 5: View of Site selected for Pilot Survey*

452 The preliminary survey was conducted to determine (1) Whether the questions are clearly  
453 framed? (2) Does it cover all the requirements as per hill communities? (3) Is the wording of  
454 the questions correct enough to lead to the desired outcomes? (4) Are the questions as well  
455 options for answers suggested hill specific or not? (5) Are the questions positioned in the most  
456 satisfactory order? (6) Do surveyors and respondents of all classes understand the questions?  
457 (7) Are the questions and their options self-explanatory or not? (8) Do the sections in the  
458 survey form cover risk assessment related questions for all identified hazards or not? (9) Are  
459 the questions as per construction practices and construction materials available on hills or  
460 not? (10) Is there any need to add some questions or specific, or do some need to be  
461 eliminated so as to improve the flow of the survey session. (11) Do the surveyor and  
462 respondent understand the importance of this survey or the objective behind this survey and  
463 responded in that way?

464 **4.2.1 Observations during Preliminary survey**

465 Feedback from the Preliminary study proved very helpful in determining the key gaps and  
466 shortcomings of the form design and in informing improvements to the proposed form design.  
467 Specifically (1) The preliminary study showed that a surveyor's observations of a project site,  
468 his or her understanding of each question, and his/her strategy for convincing the residents to  
469 provide accurate data played a significant role in risk assessment. (2) In some questions, the  
470 use of technical terms or difficult words, or questions designed to gather too much data at  
471 once, discourage respondent interest in responding further and make the Surveyor  
472 uncomfortable to proceed. (3) The questionnaire may not be self-explanatory and requires  
473 someone with civil engineering training to fill it out. (4) Building geometry, construction  
474 practices, construction materials, and development trends play an essential role during any  
475 hazard, thus existing building related questions and options must be incorporated. (5) Survey  
476 questions are developed primarily from observations made by survey and engineers as  
477 opposed to responses from residents. (6) If the Surveyor is not familiar with the terminologies  
478 and aims behind filling out the questionnaire, it leads to no response or respondents  
479 sometimes loose interest to answer further. (7) An unclear survey vision, study purpose, and  
480 inadequate training of the Surveyor will make it difficult to explain the importance of data  
481 collection to the respondent, leading to unclear questions and less accurate responses. (8)  
482 Surveyors should be trained enough to pick out the correct option from respondents' lengthy  
483 responses. (9) Need of pictorial representation of answers/options for better understanding of  
484 the Surveyor. (10) Different answers are obtained when questions are arranged  
485 inappropriately or answers are arranged incorrectly. (11) Observing the interaction between  
486 multiple hazard types in the same area is a challenging aspect of natural hazards risk  
487 assessment.

488 **4.3 Proposed MHRA Form**

489 After the Preliminary survey conducted at the Chinyalisaur sub-district, significant points were  
490 identified/observed that has been incorporated in the Proposed survey form of Multi-Hazard  
491 at hill locations with all the simple content and graphical inputs for better understanding.  
492 Hence, the modifications from a Multi-hazard risk point of view and surveyors' point of view  
493 can be seen in the proposed form (Table 5 and 6).

494 These amendments and the full survey form are presented below.

495 Table 5a: Proposed MHRA Survey form (Part A)

Rapid Visual Screening (RVS) form						
SURVEYOR						
1	Name of the Surveyor					
2	Mobile no. of Surveyor					
3	Inspection Date					
4	Inspection Time					
GENERAL INFORMATION						
5	Name of Building/Owner					
6	Address					
7	Town/City, District and State					
8	Coordinates					
9	Total No. of Building Blocks present in premises					
10	Name of Block to be surveyed					
11	Draw Sketch of Site Plan					
12	Function of Block	Residential (Individual House)		Residential (Apartments)		Residential (Other)
		Educational (School)	Educational (College)	Educational (Institute/ University)		
		Lifeline (Hospital)	Lifeline (Police Station)	Lifeline (Fire Station)	Lifeline (Power Station)	Lifeline (Water/ Sewage Plant)
		Commercial (Hotel)	Commercial (Shopping)	Commercial (Recreational)		Commercial (Other)
		Office (Govt.)		Office (Private)		
		Mixed Use (Residential and Commercial)		Mixed Use (Residential and Industrial)		Mixed Use (Other)
		Industrial (Agriculture)		Industrial (Live Stock)		Industrial (Other)
13	Occupancy in day time	0 to 10	11 to 50	51 to 100	101 to 1000	more than 1000
14	Occupancy in night time	0 to 10	10 to 20	51 to 100	101 to 1000	more than 1000
15	Name of Owner					
16	Name of Contact Person					
17	Contact No. of Contact Person					
18	Year of Construction:					
19	Structural or Construction drawings available?	Yes		No		

499



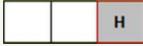




20	Total built up area (sq.m)					
21	No. of Floors	Low Rise (1 to 3)	Mid Rise (4 to 7)		High Rise (7 and above)	
22	What is the overall Construction quality	Excellent	Good	Average	Poor	Very Poor
23	What is the overall Maintainance Status	Excellent	Good	Average	Poor	Very Poor

**DISASTER HISTORY**

24	Seismic Zone	Zone V	Zone IV	Zone III	Zone II	Don't know
25	Did this area faced any Major disaster?:	Yes		No		
26	If Yes in Q.25, Which Disaster?:	Earthquake	Flood	Landslide	Wind	Industrial
		Fire	Other	If Other, Specify		
27	If Yes in Q.25, in which date/year					
28	If Yes in Q.25,What is the major damage status	No effect	Minimum Effect	Medium Effect	Maximum Effect	
29	Is the building Retrofitted/ Renovated ever?	Yes		No		
30	If Yes in Q.29, Year of last renovated?					

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**SITE CONDITION**














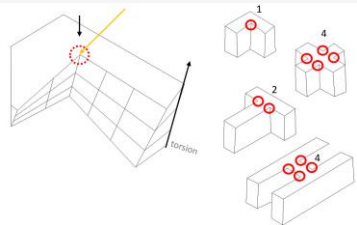
31	Location of Building:	Isolated	Internal Corner		End	
						
32	Slope of Ground:	Flat Terrain	Gentle Slope	Steep Slope	Terraced land	
						
33	Cut & Fill Material:	RCC	Hybrid		Other	
34	Is there Visible cracks on the ground	Yes, Many		Yes, few		No
35	Is there any open space in the property?	Yes, more than 1500 sq.ft		Yes, less than 1500 sq.ft		No
36	What is the total area of Open spaces in the campus (in sq.ft) :					

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Note: RCC: Reinforced Cement Concrete; H: House position

503




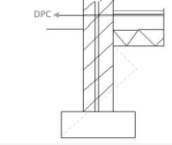
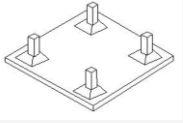

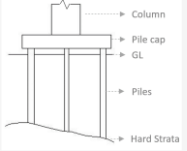
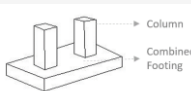

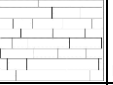

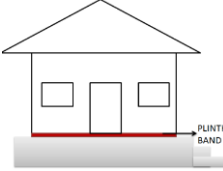
BUILDING GEOMETRY						
37	Shape of Building Block in Plan:	Square	Rectangle (L<=3B)	Narrow Rectangle (L>3B)	Rectangle with courtyard	L-Shaped
						
		T-Shaped	U-Shaped	E-Shaped with Central courtyard	H-Shaped	Other
						
38	Shape of building Block in Elevation: No. of Reentrants corner in Plan	Not stepped	Stepped near centre	Stepped near the end	Heavy upper floor	
						
39	No. of Reentrants corner in Plan					
40	Is extra strength available in reentrants corner?	Yes		No		
41	No. of Floors	only G	G+1	G+2	G+3	≥ G+4

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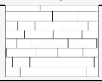

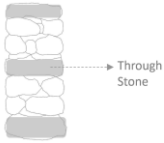




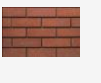






Note: G: Ground floor



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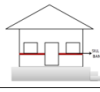
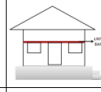



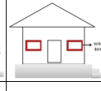
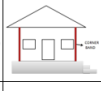
FOUNDATION						
42	Type of Sub Soil:	Rock	Gravel or Sand	Soft or Medium	Other	
						
43	Type of Foundation:	Strip		Raft	Isolated	
						
		Pile		Combined	Other	
						
44	Basic Construction material of Foundation:	Adobe	Stone	Brick	RCC	Other
						
45	Mortar Material in Foundation:	Dry Masonry	Mud	Lime	Cement	Other
46	Plinth beam available?	Yes	No			
47	Sinking in Foundation?	Yes		Partial	No	
48	If Yes or Partial in Q.47, What is the Reason for Sinking?	Cause of nearest water resources		Without any water resources	Other (specify)	
49	Depth of ground water table				Don't know	

507


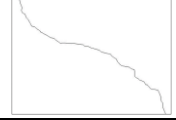



WALL							
50	Type of Wall:			Only Column available & No Beams	Column & Beam, both available		
51	Is through-stone used in Stone Wall?	Yes	Partial	No			
52	What is the Wall material?	Adobe or Mud Wall	River Boulder wall	Quarry Stone wall	Dressed wall	fired brick wall	
							
		hollow concrete block wall			Other		
							
53	Type of mortar	Dry masonry	Mud	Lime	Cement	Other	
54	Thickness of interior Wall (in mm):	< 115 mm	115 mm (4.5")	230 mm (9")	230 to 450 mm	> 450 mm	
	Length of longest interior wall (in meter)						
55	Thickness of exterior Wall (in mm):	< 115 mm	115 mm	230 mm	230 to 450 mm	> 450 mm	
	Length of longest exterior wall (in meter)						
56	Thickness of Mortar (in mm):						
57	How many Separation of walls at T and L junction?						
58	Wall Failure type observed:	Bulging of wall 	delaminating of wall 	tilting of walls 	dampness in wall 	No failure 	
	No. of walls with these failures						

Note: RCC: Reinforced Cement Concrete

512

EARTHQUAKE BANDS						
59	Which of the Earthquake bands available?	Plinth Band	Sill Band	Lintel Band	Roof Band	
						
		Gable Band	Door Band	Window Band	Corner Band	No Band
						
60	If Bands available in Q.59, What is the Material of Band:	Wood	Reinforced brick	Reinforced concrete	Other (Specify)	
61	If Bands available in Q.59, Thickness of Band (in mm):					
62	If bands available in Q59, Are the bands continuous?	Yes	Partial	No	Don't know	

513











CRACKS						
63	Type of Cracks:	Structural cracks		Superficial cracks	N/A	
	Note: Superficial cracks are seen in one side of wall, on the other hand structural cracks can be seen on both side of the wall					
64	Type of Structural cracks:	Diagonal cracks	Vertical cracks	Horizontal Cracks	Remark	
						
	Specify, No. of Cracks in each case					
	Specify, Length of cracks in each case (in cm)					
	Grade of Cracks	Grade 5	Grade 4	Grade 3	Grade 2	Grade 1
65	Are there any cracks on	Column	Beam	Near Openings	Near corner	No cracks

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OPENING					
66	Is there any opening(s) larger than 50% of the length of the wall	Yes, all		Yes, few	No
67	Are there any opening close to wall junction or corner or to floor/roof	Yes, all		Yes, few	No
68	Is frames available around the door?:	Yes		Partial	No
69	If Yes/Partial in Q.68, What is the material of Frame used:	Wooden	MS/SS	other (Specify)	
70	Is frames available around the window	Yes		Partial	No
71	If Yes/Partial in Q.70, What is the material of Frame used:	Wooden	MS/SS	other (Specify)	
72	Is Grills available around the window?:	Yes		Partial	No

Note: MS: Mild Steel, SS: Stainless Steel


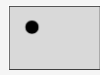

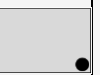
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ROOF AND FLOOR						
73	Type of Roof:	Flat Roof 	One side slope 	two side slope 	four side slope 	Other (specify)
74	Material of Roof:	RCC 		Reinforced brick slab 	Tile or slate 	CGI Sheets 
		Jack arch roof 		Wooden 	Other (Specify)	
75	Are the roof anchored into the wall	Yes		Partial	No	
76	Type of Roof failures observed	Sagging	Cracks	Dampness	Other	No failure
77	Type of Flooring	Mud	Stone	Concrete	Wood.bam boo	Mosaic floor tile

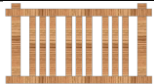

Note: RCC: Reinforced Cement Concrete; CGI: Corrugated Galvanized Iron

518

POUNDING EFFECT DETAILS						
78	Height of Structure /Block (in meters)					
79	Is there any adjacent building, which is very close (no gaps) to this building	Yes	with very little gap		No	
80	Distance from nearest buildings (in meters)					
81	Quality of adjacent building	Very Good	Good	Moderate	Poor	Very Poor

HEAVY WEIGHT ON TOP						
82	Type of Heavy weight present on the top of the building?	water tank (Concrete)	Water tank (Plastic)	Car Parking on the top of the building		Big hoarding
		Heavy generator/machine	Communication tower	Roof top Garden	Other	None
		Centric	Eccentric	Distributed	Corners	Remark
83	If Yes in Q.82, What is the Position of Heavy weight?					
		Yes		Partial	No	

519

PARAPET WALL					
85	Is Parapet wall present at roof	Yes	Partial	No	
86	If Yes or Partial in Q.85, What is the Material of Parapet Wall?	Lightweight (Wooden, MS/SS)	Heavy weight (RCC, Brick)		Remark
					
87	Intact with structure	Yes	Partial	No	

520

521

Note: MS: Mild Steel, SS: Stainless Steel, RCC: Reinforced Cement Concrete

OVERHANGS						
88	Overhangs present	Yes			No	
89	Length of overhangs (meters)					
90	Overhangs with structural	Yes			No	
91	Overhangs with Brackets /beam	Yes			No	
STAIRCASE						
92	Staircase present	Yes			No	
93	Staircase placed at symmetrical location in plan of the bulding	Symmetrical			Un-symmetrical	
94	If Yes in Q.92, What is the Material of Staircase?	RCC	Brick	Wooden	MS/SS	Other
95	If Yes in Q.68, Is Staircase intact with building structure?	Yes			No	
96	Lift Status?	Intact	Not Intact		Not Available	

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Note: MS: Mild Steel, SS: Stainless Steel, RCC: Reinforced Cement Concrete

COLUMN						
97	Column available?	Yes			No	
98	If yes in Q.97, What is the type of Column?	Short Column			Long Column	
99	Material of Column	Concrete	Masonry (Brick/ Stone)	Wood	Steel	Other
BEAM						
100	Beam available?	Yes			No	
101	If Yes in Q.100., Beam with infill walls available?	Yes		Partial	No	
102	If Yes in Q.100., Beam – Column connections?	Centric		Eccentric	Other	
103	Beam -Beam Connection?	Centric			Eccentric	Other
104	If Yes in Q.100., Material of Beam	Concrete	Masonry (Brick/ Stone)	Wood	Steel	Other

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BASEMENT				
105	Is Basement Available?	Yes		No
106	If Yes in Q.105, No. of Basement			
107	Effective height of column in basement?	Short Column		Long Column
108	Retaining wall available ?	Yes		No
109	If Yes in Q.108, What is the Material of the retaining wall ?	RCC	Brick	Stone
				Other

Note: RCC: Reinforced Cement Concrete

SOFT STOREY				
	A soft story building is a multi-story building in which one or more floors have windows, wide doors, large unobstructed commercial spaces, or other openings in places where a shear wall would normally be required for stability as a matter of earthquake engineering design.			
		Stiff and Strong upper floors due to masonry infills	The columns in one storey longer than those above	Soft storey caused by discontinuous column
110	Soft Storey available ?	Yes		No
111	Effective height of column in basement?	Short Column		Long Column

529

112	Is shearwall available in Soft Storey?	Yes	Partially	No	
113	Retaining wall available ?	Yes		No	
114	If Yes in Q.113, What is the Material of the retaining wall ?	RCC	Brick	Stone	Other

530

531 Table 6a: Proposed MHRA Survey form (Part B)

MULTI HAZARD SURVEY FORM						
FLOOD						
1	Is the site low lying or prone to water logging?	Yes			No	
2	Is there any water body near the site?	Yes			No	
3	What is the type of water body and whether it is prone to flooding?	Lake, flood prone	Lake, not flood prone	River, flood prone	River, not flood prone	N/A
4	What is the distance from the nearest water body?	0 - 250 M	250 - 500 M	500 - 1000 M	1 KM - 2 KM	2 KM and above
5	What is the potential damage level due to the expected duration of flooding?	Very High	High	Medium	Low	Very Low
6	Is the plinth made up of non-erodible material?	Yes			No	
7	What is the height of the plinth? (in meters)					
HIGH WIND						
8	What is the average wind speed in this location	Maximum Speed		Minimum Speed		
9	Are there trees and/or towers too close to the building that may fall on it during high wind/cyclone?	can stop building from functioning		threat can damage building but not hamper functioning	No threat	
10	Do the door and windows have a good and accessible latch?	if neither doors or windows have accessible and good latches.		If some of the doors and windows have accessible and good latches	If both doors and windows have accessible and good latches	
11	Is there a covered walkway for building to building connection?	no covered walkway		weak covered walkway	strong covered walkway	

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LANDSLIDE						
12	Is there any hills near to the building, which can cause damage due to landslide	Yes			No	
13	If Yes in Q.12, what is the distance of the base off the Hill from building?	Less Than 30 M	30 M - 100 M	100 - 250 M	250 - 500 M	More than 500 M
14	Is the slope near the building stabilized?	Yes			No	
15	Are there any large rocks or potential falling hazards near the building?	Yes			No	
16	Are there barriers to rockfall ?	Yes			No	


535

INDUSTRY						
17	Is there any industry near to the building, which can cause damage due to industrial hazard, fire etc.	Yes			No	
18	If Yes in Q.17, how many active industries are there?	Yes			No	
19	What is the distance of nearest Industry from building?	0 - 100 M	100 - 250 M	250 - 500 M	500 - 1000 M	More than 1 km
20	What is the distance of nearest Petrol Pump from building?	0 - 100 M	100 - 250 M	250 - 500 M	500 - 1000 M	More than 1 km

536

FIRE						
21	Are the access roads from main street wide enough to allow one fire engine to reach, reverse and return to the main road?	two or more such access roads 	one such access road 	No access road 		
22	Are there potential fire threats within 30 meters of the building such as petrol pump, electrical substation, combustible materials store, etc.?	Yes			No	
23	Is there adequate open assembly area for people during any emergency?	enough space	inadequate open space (1-4 square feet per student)		negligible	
24	Is main meter box and switch box located in the staircase/ entrance lobby/ passage/ corridor?	Yes			No	

537

25	Are the main meter box and switch box enclosed in a metallic box?	Yes			No	
26	Is there more than 1 staircase which can be used as a fire escape staircase ideally at maximum distance from the other staircase?	Yes			No	
27	In case of Public building or Life line building, Are there proper signages in the campus for Emergency Exit, Fire equipment etc.?	Yes			No	
						
28	Is the kitchen located at a safe distance from escape route, gathering point, staircase, passage corridor?	Yes, beyond 50 m	Yes, within 20-50 m	Yes, within 10-20 m	adjacent	Kitchen Not Available
29	Is the ceiling material safe from fire?	Yes			No	
31	Is the transformer too close to the compound wall or inside the building?	Yes			No	
32	Are there overhead cables running through or near premises/building?	Yes			No	
33	If there is a forest area near the building?	Yes			No	
34	What is the distance of the tree line from the building?					
35	Is there any combustible construction material present in the building?	Yes			No	

539

CLIMATE CHANGE							
36	How much do you think climate change threatens your personal	Very Likely	Likely	Neutral	Unlikely	Very Unlikely	
37	Which issues are of more concern in your opinion? (On the scale of 10, more marks to most concerned)	Climate change/Global Warming	Poverty	Over-population	Un-employment	Crime	
		Infectious Diseases	Economic Situation	Unplanned Infrastructure	Deforestation	Air pollution	
		Water pollution	Tourism growth	Poor Waste Management	Extinction of species	Traffic	
38	In your opinion, What is the reason that the temperature on earth has been rising over the past decade?	Human Activities	Natural Causes	No Change	Don't know	Other	
39	How much do you think the following has contributed to global climate change? (on scale of 10, more marks to most contributor)	Deforestation	Overpopulation	Tourist growth	Landuse Landcover	Greenhouse gases	
		Industralizatio n	Melting of Ice	Warming of water surface	Other	Don't know	
Non Structural Risk/ Falling Hazard							
40	List of Nonstructural elements which are vulnerable to falling or not attached properly	<i>Element</i>	<i>Need Attention</i>	<i>Number</i>	<i>Element</i>	<i>Need Attention</i>	<i>Number</i>
		Fan			Wooden Frame at Roof		
		Tubelight			Door		
		Electrical Wires			Window Frames		
		AC			Heavy Machinaries		
		Open Shelve (Glass)			Cylinder in Open space		
		Open Shelve (Iron)			Board		
		Wardrobe (Wooden)			Ventilator		
		Wardrobe (Iron)			Fire Extinguisher		
		HeavyTable			Cantilever Chimneys		
		Heavy Frames			Cantilever Balconies		
		Heavy Furnitures			Cantilever Sunshades		
Heavy weight on top of almirah			Other				
41	No. of Exits in the Room:	GOOD		OK		POOR	
42	What is the status of Electrical Safety in the Room	GOOD		OK		POOR	

540

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542

543 **4.4 Risk Score Computation**

544 After all the parametric studies from various Indian Standard codes and Reports ((NDMA,  
 545 2020), (URDPFI, 2015); IS-13828 (1993); IS-4326 (1993); IS-1893-1 (2016); IS-13935, 2009;  
 546 IS-15988 (2013)) on ideal building parameters and weak components of a building from the  
 547 design, construction, site condition, surrounding condition, location and hazard points of view,  
 548 risk scores were decided on an average basis on 24 components separately (refer section 4.5  
 549 of this paper) for better judgment and understanding. Risk scores were derived from the  
 550 proposed survey form by appropriately weighing the data points against a risk number chart  
 551 with higher weightage given to higher risk (Chouhan et al., 2022b). The data was then  
 552 aggregated on a scale of ten (Table 7). For example, if a building answers all weighted MCQs  
 553 with the highest risk option, it will be scored 10/10 and similarly for low risk and moderate risk.  
 554 All questions in the questionnaire were not weighted; those with ambiguous risk consequences  
 555 were left un-weighted to be studied objectively. The risk scores intend to give a relative idea  
 556 of where the risk lies within a building and among buildings to enable prioritization during risk  
 557 mitigation planning.

558 Table 7: Risk Score Computation, Source adapted from (Chouhan et al., 2022b)

Risk Score	0 to 2	2.1 to 4	4.1 to 6	6.1 to 8	8.1 to 10
Color Code					
Risk Status	Very low	Low	Moderate	High	Very high
Building Status	Very Safe	Safe	Moderately safe	Unsafe	Very Unsafe
Recommendation	Need Maintenance	Need Attention and Maintenance	Need Attention and SVA	Required DVA and Retrofitting	Required Retrofitting urgently

559

560 **4.5 Pilot Survey**

561 After finalization of the proposed MHRA Survey form, a Pilot survey was conducted at 10  
 562 schools of Uttarakhand state. The results of the building level survey and campus level survey  
 563 are shown below in section 4.5.1. and 4.5.2.

564 **4.5.1 Result of Rapid Visual Screening Survey**

565 As per IS Code 13935 (2009), the key goal of seismic reinforcement is to improve a weakened  
 566 building's seismic resilience as it is being repaired, making it stronger in the event of potential  
 567 earthquakes. The individual results of 17 components of RVS are elaborated, which highlights  
 568 the weaker part that needs attention in a building.

569 Table 8: Result of RVS of 10 schools through Proposed form

SN	Risk Status	Very Low Risk	Low Risk	Moderate Risk	High Risk	Very High Risk	Total
----	-------------	---------------	----------	---------------	-----------	----------------	-------


1	Site Condition	54 %	13 %	29 %	2 %	2 %	100 %
		32	8	17	1	1	59 blocks
2	Building Geometry	34 %	27 %	14 %	20 %	5 %	100 %
		20	16	8	12	3	59 blocks
3	Foundation	27 %	22 %	51 %	0 %	0 %	100 %
		16	13	30	0	0	59 blocks
4	Wall	36 %	37 %	27 %	0 %	0 %	100 %
		21	22	16	0	0	59 blocks
5	Earthquake Bands	0 %	0 %	7 %	10 %	83 %	100 %
		0	0	4	6	49	59 blocks
6	Cracks	2 %	83 %	0 %	0 %	15 %	100 %
		1	49	0	0	9	59 blocks
7	Openings	63 %	17 %	19 %	1 %	0 %	100 %
		37	10	11	1	0	59 blocks
8	Roof	7 %	3 %	10 %	78 %	2 %	100 %
		4	2	6	46	1	59 blocks
9	Pounding Effect	25 %	0 %	5 %	39 %	31 %	100 %
		15	0	3	23	18	59 blocks
10	Heavy Weight on top	95 %	0 %	2 %	0 %	3 %	100 %
		56	0	1	0	2	59 blocks
11	Parapet	93 %	0 %	7 %	0 %	0 %	100 %
		45	0	4	0	0	59 blocks
12	Overhang	53 %	0 %	15 %	0 %	32 %	100 %
		31	0	9	0	19	59 blocks
13	Staircase	80 %	0 %	3 %	12 %	5 %	100 %
		47	0	2	7	3	59 blocks
14	Column	51 %	0 %	12 %	0 %	37 %	100 %
		30	0	7	0	22	59 blocks
15	Beam	32 %	2 %	7 %	7 %	52 %	100 %
		19	1	4	4	31	59 blocks
16	Basement	100 %	0 %	0 %	0 %	0 %	100 %
		59	0	0	0	0	59 blocks
17	Soft Storey	100 %	0 %	0 %	0 %	0 %	100 %
		59	0	0	0	0	59 blocks

570






571 **4.5.2 Result of Multi-Hazard Survey**

572 The survey was conducted by considering the campus of the school as one unit. It primarily  
573 focuses on the location of school premises under a vulnerable zone or not, if yes, to which  
574 kind of hazard. It solves the question of how the school campus is prepared. The result of  
575 multi-hazard survey is shown in the Fig. 6 below:

**Commented [SS12]:** Comment 11: It is not clear what "other" refers to here. You can either leave it out or say the proposed or new multi hazard survey.  
Response: Thank you for pointing it out. The Modification is done by removing "other".

	Flood Risk Assessment				Total
	10%	50%	30%	10%	100%
1 s	5 schools	3 schools	1 s	10 Schools	

576

577		<b>Wind Risk Assessment</b>			Total
		70%	20%	10%	100%
		7 schools	2 schools	1 s	10 Schools
578		<b>Landslide Risk Assessment</b>			Total
		100%			100%
		10 schools			10 Schools
579		<b>Industrial Risk Assessment</b>			Total
		100%			100%
		10 schools			10 Schools
580		<b>Fire Risk Assessment</b>			Total
		20%	60%	20%	100%
		2 schools	6 schools	2 schools	10 Schools
581		<b>Non-Structural Risk Assessment</b>			Total
		80%	20%		100%
		8 schools	2 schools		10 Schools

582 Figure 6: Graphical presentation of the results of Multi-hazards risk

583 The photos of the 10 schools where pilot survey was conducted is shown in the Fig. 7 below:



585 Figure 7: Photo of the 10 schools

586 **5 Discussion:**

587 **5.1 Pilot Survey**

588 The IHR requires effective and standardised Multi-Hazard Risk Assessment, and for that  
 589 purpose a customized designed Survey Form has been designed to capture the unique  
 590 characteristics of hill communities and assets. The proposed form performed reasonably well.  
 591 Effectiveness & data collection is comfortable from both ends i.e., Respondents & Surveyor.  
 592 The questions are properly framed in various sections, the language is simple and it is easy  
 593 to interpret. The pictorial explanation makes it easy for surveyors to correct input data, as its  
 594 explanation is self-explanatory. The objective behind the data collection is well clear to the  
 595 Respondents and Surveyor.

**Commented [SS13]:** 3. Regarding the figure 7: for the next revision, please check if your figures containing photos require a copyright statement/image credit and add it to the figures (or captions) ([https://publications.copernicus.org/for\\_authors/manuscript\\_preparation.html#figurestables](https://publications.copernicus.org/for_authors/manuscript_preparation.html#figurestables) -> Reproduction and reuse of figures and tables). If these figures were entirely created by the authors, there is no need to add a copyright statement or credit. In that case it is important that you confirm this explicitly by email.  
**Response:** Figure 7 are the photos clicked by author 1

596 5.2 Key features of the proposed MHRA survey form

597 The key features of the proposed form are it is specially designed for data collection in the  
598 Indian Himalayan region with risk of earthquake, flood, high wind, industrial hazard, non-  
599 structural risk, fire vulnerability and climate change awareness. As the value addition, the  
600 proposed survey form consist of questions related to climate change also, as the promotion of  
601 self-mobilisation and action is enhanced by awareness; it increases enthusiasm and support.  
602 It is therefore crucial to raise awareness about climate change adaptation in order to manage  
603 the impacts of climate change, increase adaptive capacity, and reduce overall vulnerability.

604 The proposed survey form is very useful for any type of study related to Hazard Risk  
605 assessment in hills. Time taken to complete the questionnaire, i.e. the length of the  
606 questionnaire is good enough i.e. 10 minutes for the trained civil engineer and 17 minutes for  
607 the trained non-engineering background surveyor. With practice, the surveyor can reduce  
608 time. The language of the form is simple and specific, i.e. one answer on one dimension is  
609 required, it considers all possible contingencies when determining a response and it is  
610 designed in a way that it collects more & more accurate information in less time.  
611 Questionnaires permit the collection and analysis of quantitative data in a standardized  
612 manner, ensuring their internal consistency and coherence. The question sequence is clear  
613 and smooth moving. By sequencing questions properly, the chances of misinterpreting  
614 individual questions are greatly reduced. The pictorial options make it comfortable for the  
615 surveyor to fill the answer by looking at the building.

616 The survey form is divided into sections so that only one thought can be conveyed at a time.  
617 It includes the advanced version of RVS that covers risk status for foundation, wall, roof,  
618 openings, beam, column, site conditions, etc. of a building. It is covering all the points required  
619 for building analysis in RVS. It covers questions related to all identified hazards that are directly  
620 indirectly contributing to risk factors. It covers all the required questions as per hill condition,  
621 situation, climate, geography, construction practices, construction materials, etc. The format,  
622 including the font and layout, is good enough to read by the surveyor. Before going into the  
623 field, the surveyor must require a reading of the full survey form carefully with all terminologies  
624 clear. It includes non-structural risk survey questions. The safety of occupants in a building  
625 following an incident can be at risk due to reduced capacity of structural components or  
626 damage to non-structural components. This hill-specific MHRA questionnaire survey may act  
627 as a risk sensitization tool.

**Commented [SS14]:** Comment 12: these don't need to be capitalized.  
Response: the modification is done as per your suggestion.

628 5.3 Result of Pilot Survey

629 It can be seen that the detailed multi-hazard risk assessment will help the schools to identify  
630 the potential threats presented in the building as well as premises and the steps to retrofit the  
631 structure.

632 Due to the region's strong earthquake zonation, RVS and NSRA (Non-Structural Risk  
633 Assessment) data suggest high structural and non-structural vulnerability in almost all the 10  
634 schools (figure 7), which assumes greater significance. On the other hand, schools need to  
635 improve their fire safety measurement and trainings. High wind and floods pose a prominent  
636 moderate to high risk. Industry and landslides, on the other hand, pose no risk. The risk of fire  
637 arises from a shortage of fire safety equipment and structural issues such as the absence of  
638 an alternate staircase, the incorrect placement of fire-risk properties, etc. Fire disasters have  
639 the potential to be catastrophic, but this should be a top priority as we advance. The wind is a  
640 significant concern in this region because it is vulnerable to frequent windstorms. High-speed  
641 winds pose a risk in the form of hazard trees/ towers, flying objects weakly latched  
642 doors/windows.

643 Heavy furniture (tables, cabinets) and hanging electrical items/wire products face a  
644 considerable risk of falling in the case of a tragedy in different rooms and labs. Falling hazards  
645 can obstruct escape routes and injure people as they collide with them during minor seismic  
646 shaking/earthquakes. When a disaster strikes, it's crucial for students and workers to have as  
647 little disruption as possible during the critical reaction time. Mitigation measures primarily  
648 involve simple fixes of non-structural elements with the structural element (wall and floor) and  
649 are hence, for the most part, low-cost solutions.

650 Overall, the total risk is rated moderate on the risk scale considered by the authors after  
651 structural and non-structural factors.

652 **6 Conclusion**

653 The Indian Himalayan region is facing disaster every year with significant loss of life and  
654 property, as it is very prone to multi-hazards. Thousands of studies, research, and projects  
655 are funded nationally and internationally to minimize the loss and prepare the community to  
656 face the upcoming disaster.

657 A questionnaire is the backbone for any survey, which is the base for all types of research  
658 work for better accuracy. This article describes why there is a need for a hill-specific survey  
659 form that focuses on the multi-hazards in hills and hill's existing scenarios. It then described  
660 the steps of how a Hill-specific Multi-Hazard Risk Assessment Survey form was developed,  
661 validated through pilot survey, and tailored specifically for hill communities.

**Commented [SS15]:** Comment 13: this has not been defined  
Response: Full form NSRA is added as per your suggestion.

**Commented [SS16]:** Comment 14: I think that many people wont know the word almirah  
Response: Almirah is changed with cabinets



662 This article identifies gaps in the existing survey form used in India for risk assessment and  
663 highlights the problem faced by the surveyors on ground while filling these survey forms. The  
664 proposed form is a self-explanatory, pictorial, simple, easy to understand, covers hill specific  
665 important components and it addresses several hazards such as earthquakes, floods, high  
666 wind, landslides, industrial hazard, fire vulnerability and non-structural risk in the building.

667 The proposed survey form designed and applied under this study will help all the stakeholders  
668 to collect better information from the field and made it easy for the surveyors to understand  
669 even for non-technical person. This form will also identify the weak components of a building,  
670 construction practices, their development trend, and vulnerability of the location, so that future  
671 construction can be planned, considering the risk factors and vulnerable zones. Most of the  
672 assessment criteria for multi-hazard risks are met by the proposed survey form. The more  
673 accurate the data, the better will be its results.

674 The preliminary survey conducted at Chinyalisaur district of Uttarakhand validates the  
675 questionnaire and survey form, and provided invaluable feedback now incorporated in to the  
676 final survey form design. Through preliminary and pilot surveys it has been observed that the  
677 proposed form is designed in a way that it can collect more accurate information in less time.  
678 Questionnaires permit the collection and analysis of quantitative data in a standardized  
679 manner, ensuring their internal consistency and coherence. The language and sequence of  
680 questions is designed for clear and easy communication. Pictorial explanations of questions,  
681 the unique feature, provides easy flow of information between the respondents and surveyors.  
682 Thus, this hill-specific MHRA questionnaire survey may act as a risk sensitization tool.

683 The survey form is divided into various sections that covers firstly building specific questions  
684 as buildings play crucial roles during any hazard, and secondly location specific questions that  
685 cover the vulnerability of buildings towards other hazards. The result of the pilot survey  
686 highlights the risk status for various components of a building which will help further in utilizing  
687 the retrofitting and renovation budget in fruitful and planned way. On the other hand, the result  
688 of the pilot survey also shows location wise vulnerability i.e., vulnerability of the building  
689 towards other hazards that can help further in decision making related to disaster reduction,  
690 preparedness and planning strategies at that location for that particular identified hazard. It  
691 will also help to understand the development trend in that particular location and take action  
692 for future development strategies.

693 The suggested form is a proposed version of Rapid Visual Screening (RVS), which can assess  
694 the risk of any structure and includes all structural and non-structural components that respond  
695 during a seismic event. It also includes information about the building's sensitivity to possible  
696 danger zones such as landslides, floods, wind, and industrial hazards.

**Commented [SS17]:** Comment 14: @Research is being undertaken@ sounds like ongoing work to further develop the survey form but it seems that here you are describing the results of this study. If that is the case, then it is repetitive, and I would suggest to remove this sentence.  
Response: I agree with you. As per your suggestion, I removed that sentence.

697 This study has the scope of application in other Asian countries with Himalayas like Nepal,  
698 Bhutan, China and Pakistan. Its international application will enhance the survey form and  
699 scope for future research. The proposed survey form will not only act as self-sensitization for  
700 the building owners at micro level but will also have good scope at regional level i.e., macro  
701 level, when results of all the buildings will be on single screen. The data collected using this  
702 form can be used in any study related to Multi-Hazard Risk Assessment. It can be used by  
703 civil engineers as well as non-civil engineering background people. People can self-assess  
704 their building. To do this effectively, it is crucial to reinforce the networks of science,  
705 technology, and decision-makers and create a sustainable technological outcome for disaster  
706 risk reduction.

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#### 713 **Data availability Statement**

714 This article is part of doctoral research and the data collection has been done by the first  
715 author physically on-site. The data is available from the authors on the request basis.

#### 716 **Disclosure statement**

717 No potential conflict of interest was reported by the authors.

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