1	Investigation of An Extreme Rainfall Event during 8-12 December 2018 over	
2	Central Vietnam. Part I: Analysis and Cloud-Resolving Simulation	
3		
4	Chung-Chieh Wang and Duc Van Nguyen*	
5		
6	Department of Earth Sciences, National Taiwan Normal University, Taipei, Taiwan	
7		
8	Corresponding author address: Duc Van Nguyen (nguyenvanduc_t57@hus.edu.vn),	
9	Department of Earth Sciences, National Taiwan Normal University, No. 88, Sec. 4, Ting-	
10	Chou Rd., Taipei 11677, Taiwan	
11	Highlights:	
12	A record-breaking rainfall event over central Vietnam is investigated and its	
13	simulation result using a cloud resolving model is evaluated	
14	Key factors in this event include the combined effect of northeasterly wind that	
15	originated from northern China, <u>low-level</u> easterly wind <u>blow to central Vietnam</u>	Formatted: Font color: Green
16	from the northwest Pacific Ocean, southeasterly wind, local topography, and high sea	Formatted: Font color: Green
17	surface temperature over North West Pacific ocean and South China Sea.	Formatted: Font color: Green
18	A cloud-resolving model is applied to <u>simulated this study an extreme</u> rainfall event	
19	in central Vietnam, and the results show that the model mostly captured the	Formatted: Font color: Green
20	quantitative rainfall of this event. These results are very impressive	Farmettadi Font solori Croop

21 Abstract

22

23

24

25

26

27

28

29

30

31

32

33

34

35

36

37

38

39

40

41 42

43

44

45

Vietnam. The observed maximum rainfall amount in 72 h was over 900 mm and set a new record, and the associated heavy losses were also significant. The analysis of this event shows some key factors for its occurrence: (1) The interaction between the strong northeasterly winds, blowing from the Yellow Sea into the northern South China Sea (SCS), and easterly winds over the SCS in the lower troposphere (below 700 hPa). This interaction created strong low-level convergence, as the winds continued to blow into central Vietnam against the Truong Son Range, resulting in forced uplift over the coastal plains due to the terrain's barrier effect. Furthermore, the low-level convergence in this event was strong enough, and the air was unstable enough to trigger most of the convection near the shoreline (further inland). As a consequence, heavy rainfall occurred along the coastal zone and coastal sea. (2) The strong easterly wind played an important role in transporting moisture from the western North Pacific across the Philippines and the SCS into central Vietnam. (3) The Truong Son Range also contributed to this event due to its-barrier effect. (4) In addition to cumulonimbus, the low-level precipitating clouds such as nimbostratus clouds were also major contributors to rainfall accumulation for the whole event. The analyses of local thermodynamics also indicate that the southward movement of the low-level wind convergence zone caused the southward movement of the main heavy rain band during the event. The Cloud-Resolving Storm Simulator (CReSS) was employed to simulate this recordbreaking event at high resolution, and evaluated results show the model had good simulated the surface wind as well as captured the southward movement of the low-level wind convergence. the overall rainfall can be captured quite well not only in quantity but also in its spatial distribution (with a Fractions Skill Score ≈ 0.7 and Threat Score > 0 at 700 mm for 72 h rainfall). Thus, the CReSS model is shown to be a useful tool for both research and forecasts of heavy rainfall in

An extreme rainfall event occurred from 8 to 12 December 2018 along the coast of central

Formatted: Indent: First line: 0.31", Space After: 0 pt

Formatted: Font color: Green

Formatted: Font: (Default) Times New Roman, Font color: Green

Formatted: Font: (Default) Times New Roman, Font

Formatted: Font: (Default) Times New Roman, Font

color: Green

Formatted: Font: (Default) Times New Roman

Formatted: Indent: First line: 0.31"

Formatted: Font color: Green

Vietnam. The model performed better for the rainfall during 9-10 but not as good on 11 December.

46 In the sensitivity test without the terrain, the model had poorly simulated the surface wind, which

47 <u>led-to-</u>the model did not generate nearly as much rainfall for this event. Thus, the test confirms the

important role played by the local topography for the occurrence of this event.

Keywords: Extreme rainfall, central Vietnam, cloud-resolving model.

1 Introduction

48

49

50

51

53

54

55

56

57

58

59

60

61

62

63

64

65

66

67

68

69

52 Heavy to extreme rainfalls are natural disasters that often cause deaths, flooding, landslides,

and erosion. Vietnam is one of the most disaster-prone countries in the world with many different

types of natural-hazards disasters. In the country, central Vietnam is most affected by natural

disasters and climate change, with frequent occurrences of rainstorms and extreme rainfalls. For

example, during 8-12 December 2018, an extreme rainfall event (hereafter abbreviated as the D18

event) occurred along the coast of central Vietnam. The peak 72-h accumulated rainfall (from 1200

UTC 8 to 1200 UTC 11 Dec) at some stations exceeds 800 mm (Fig. 1d). Among the stations, Da

Nang (16.0° N, 108.2° E, cf. Figs. 1a,b) recorded 24-h rainfall amounts greater than 600 mm on 9

December and over 300 mm the next day. This extreme event resulted in 13 deaths, an estimated

1200 houses inundated, around 12,000 hectares of crops destroyed, some 160,000 livestock killed

and many other economic losses (Tuoi Tre news, 2018). Furthermore, according to a publication by

the Ministry of Natural Resources and Environment of Vietnam (Tran et al., 2016) regarding

climate change and sea-level rise scenarioselimate change and sea level rise scenarios for Vietnam,

extreme precipitation events will increase in both their frequency and intensity in the future (Tran et

al., 2016). Hence, how to improve the ability in the quantitative precipitation forecast (QPF) of

heavy-rainfall events over central Vietnam is very important.

Climatologically, the central part of Vietnam is the country's rainiest region and is strongly

affected by heavy to extreme rainfall, with average annual precipitation ranging from 2400 to over

Formatted: Font color: Green

Formatted: Font color: Green

Formatted: Font: (Default) Times New Roman, 12 pt, Font color: Green

Formatted: Font color: Green

Formatted: Font color: Green

Formatted: Font: (Default) Times New Roman, 12 pt,

Font color: Green

3300 mm (1980, 2010, Fig. 1f). The main rainy season in this region is from late fall to early winter (Yokoi and Matsumoto, 2008; Chen *et al.*, 2012). Past studies have shown some main factors that can lead to heavy rainfall in central Vietnam, such as (1) the combined effect of cold surges that originate from northern China, (2) tropical depressions, and (3) local topography due to the topography is characterized by high mountains (< 3000 m), highlands, narrow coastal plain with the narrowest place less than 100 km in width (east-west), and gradually lowers from the west to the east (Fig. 1a) (Bui, 2019; Yokoi and Matsumoto, 2008; Chen *et al.*, 2012; Nguyen-Le and Matsumoto, 2016; van der Linden *et al.*, 2016). According to these studies, a cool, dry continental surface high pressure system (known as the Siberian high-pressure system) gradually establishes over the continental East Asia after boreal summer in October–November. This high-pressure system's intensification and southeastward amplification lead to an episodic southward progression of cold surge into the tropics. The interaction of this cold surge and preexisting tropical disturbance over the SCS and the topography in central Vietnam can bring large amounts of rainfall along the east-central coast through orographic rainfall processes.

In this study, central Vietnam is referred to as the area between 14.7° N and 18° N (Fig. 2a). Its

eastern boundary is the South China Sea (SCS), and the western boundary is the border to Laos, where the Truong Son Range (also known as the Annamite Range) runs parallel to the coast. The central Vietnam includes Quang Binh, Quang Tri, Thua Thien Hue, Da Nang city, Quang Nam, and a part of Quang Ngai province. The topography is characterized by high mountains (< 3000 m), highlands, narrow coastal plain with the narrowest place less than 100 km in width (east west), and gradually lowers from the west to the east (Fig. 2b). Most of the population and cities are concentrated along the coastal plain. By these characteristics of steep topography, when heavy rain occurs, it often leads to flooding and causes great damages to people and the environment.

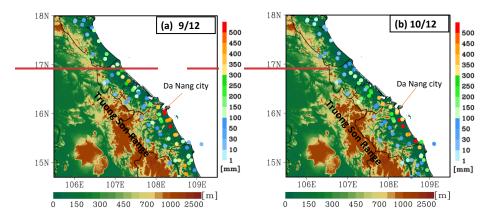
Formatted: Font color: Green

Formatted: Font color: Green

Formatted: Font color: Green

Formatted: Font color: Green

Formatted: Font color: Green
Formatted: Font color: Green
Formatted: Font color: Green
Formatted: Font color: Green
Formatted: Font color: Green



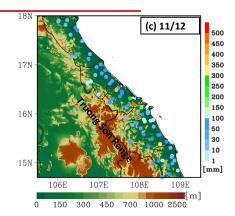


Figure 1. (a) observed 24 h accumulated rainfall (mm, color dots, 1200 — 1200 UTC) and topography (m, shaded) for 9 Dec.

Vertical colorbar for rainfall, and horizontal colorbar for topography. (b) As in (a), but for 10 Dec. (c) As in (a), but for 11 Dec. (d) As in (a), but for 72 h accumulated rainfall during 1200 UTC 8—1200 UTC 11 Dec. (e) 72 h accumulated rainfall obtained by TRMM estimate. The pink dot marks the location of Da Nang station.

Formatted Table

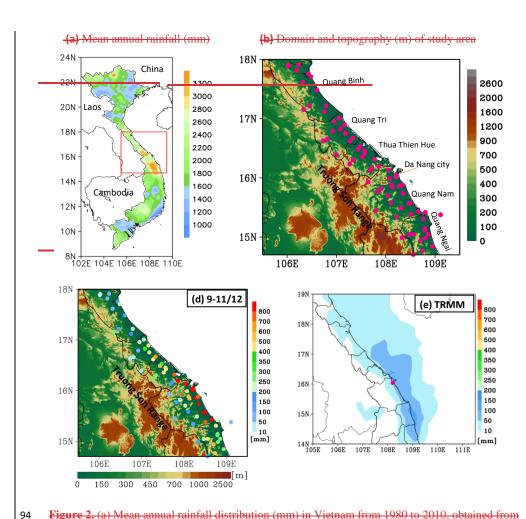
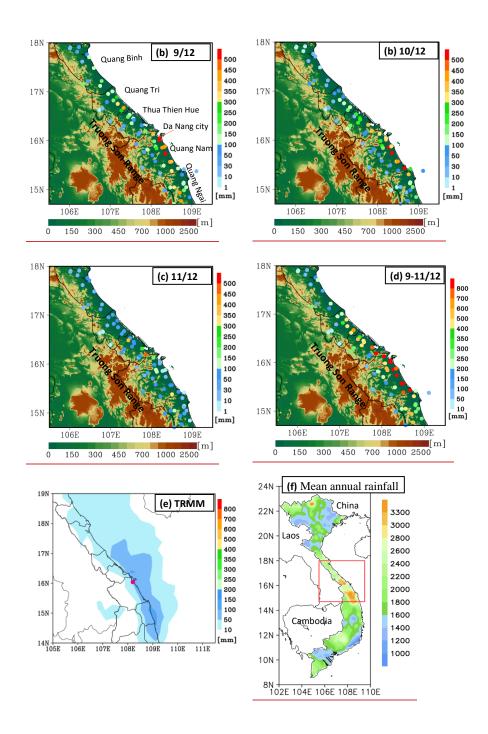


Figure 2. (a) Mean annual rainfall distribution (mm) in Vietnam from 1980 to 2010, obtained from the Vietnam Gridded Precipitation (VnGP) data, and the study area of central Vietnam (red box).

(b) The domain and topography (m) of central Vietnam. Pink dots mark the locations of rain gauge stations.

Climatologically, the central part of Vietnam is the country's rainiest region and is strongly affected by heavy to extreme rainfall, with average annual precipitation ranging from 2400 to over 3300 mm (1980-2010, Fig. 2a). The main rainy season in this region is from late fall to early winter (Yokoi and Matsumoto, 2008; Chen et al., 2012). Past studies have shown some main factors that can lead to heavy rainfall in central Vietnam, such as (1) the combined effect of cold surges that originate from northern China, (2) tropical depressions, and (3) local topography (Bui, 2019; Yokoi and Matsumoto, 2008; Chen et al., 2012; Nguyen-Le and Matsumoto, 2016; van der Linden et al., 2016). According to these studies, a cool, dry continental surface high pressure system (known as the Siberian high-pressure system) gradually establishes over the continental East Asia after boreal summer in October November. This high pressure system's intensification and southeastward amplification lead to an episodic southward progression of cold surge into the tropics. The interaction of this cold surge and preexisting tropical disturbance over the SCS and the topography in central Vietnam can bring large amounts of rainfall along the east-central coast through orographic rainfall processes.



121 Figure 1. (a) observed 24 h accumulated rainfall (mm, color dots, 1200 – 1200 UTC) and 122 topography (m, shaded) for 9 Dec. Vertical colorbar for rainfall, and horizontal colorbar for 123 topography. (b) As in (a), but for 10 Dec. (c) As in (a), but for 11 Dec. (d) As in (a), but for 72 h accumulated rainfall during 1200 UTC 8-1200 UTC 11 Dec. (e) 72 h accumulated rainfall obtained 124 by TRMM estimate. The pink dot marks the location of Da Nang station. (f) Mean annual rainfall 125 126 distribution (mm) in Vietnam from 1980 to 2010, obtained from the Vietnam Gridded Precipitation 127 (VnGP) data, and the study area of central Vietnam (red box). 128 Furthermore, Aaccording to Wang et al. (2017), Vietnam is impacted by about 4-6 typhoons per year. Nguyen-Thi et al. (2012) investigated the characteristic of tropical cyclone rainfall over 129 130 Vietnam in the climatology. Their results show that the tropical cyclone rainfall amount is 131 concentrated in central Vietnam, peaking between October and November. Takahashi et al. (2009) performed a long-term simulation for September (from 1966 to 1995) using a high-resolution 132 model. They found that the observed long-term decrease in September rainfall is due to the 133 134 weakening of tropical cyclone activity over the Indochina Peninsula. As for the impacts of El Niño-135 Southern Oscillation (ENSO), some studies have examined the linkages between rainfall in Vietnam and ENSO, and suggested more (less) rainfall during La Niña (El Niño) years. For 136 example, Yen et al. (2010) analyzed the interannual variation of the rainfall in fall over central 137 138 Vietnam, and their results indicated a negatively correlated relationship between rainfall in central Vietnam and the sea surface temperature over the NINO3.4 region. Besides, Vu et al. (2015) 139 investigated the effects of ENSO on fall rainfall in central Vietnam and concluded that central 140 141 Vietnam has more (less) rainfall in La Niña (El Niño) years. Finally, Wu et al. (2012) analyzed the Madden-Julian Oscillation (MJO) activity from September to November for 30 years (1981-2010) 142 143 over Vietnam and showed that the MJO is also an important factor in the formation of extreme 144 precipitation events in central Vietnam. 145 In recent decades, the Cloud-Resolving Storm Simulator (CReSS) has been widely known due

Formatted: Font: 12 pt, Font color: Green

Formatted: Font color: Green

to its good performance in quantitative precipitation forecasts. This model has been applied to study tropical cyclones, heavy to extreme rainfall events, and many other convective systems in Japan and

146

Taiwan (e.g., Ohigashi and Tsuboki, 2007; Yamada et al., 2007; Akter and Tsuboki, 2010, 2012;

Wang et al., 2015). Furthermore, the CReSS model has been used to perform routine highresolution forecasts at the National Taiwan Normal University (NTNU) and provided to the TTFRI
as a forecast member since 2010. Hence, this study employed the CReSS model to simulate the
D18 event and evaluated its performance

From the review above, the important mechanisms for the heavy rainfall in some previous

events over central Vietnam are revealed. However, the D18 event set a new historical rainfall record and left with heavy losses in central Vietnam. As the magnitude of the D18 event surpassed all past events according to Dr. Hoang Phuc Lam - National Center for Hydro- Meteorological Forecasting, it can be said that this extreme event has never happened in the past because the observed rainfall at some places in the Central region has surpassed the record according to the statistics of rainfall at the end of the main rainy season (Communist Party of Vietnam Online Newspaper), several questions are therefore raised: What mechanisms caused this record-breaking event at such a magnitude? Was its mechanism similar to those in previous events? Or, it was a different one. How important was the role played by local terrain in this event? From a forecast perspective, one related question would be whether a cloud-resolving or high resolution model is capable of reproducing the D18 event? The answers to these questions will help improve our understanding on the mechanisms that cause heavy rainfall in central Vietnam, as well as on the predictability of such events in the future. Hence, the present study was carried out with an aim to answer the above questions. The remainder of this paper is organized as follows: Section 2 describes the datasets and methodology used in the study. The analysis and modeling results are presented in Section 3 and 4, respectively. Finally, the conclusions are given in Section 5.

2 Data and Methodology

2.1 Data

148

149

150

151

152

153

154

155

156

157

158

159

160

161

162

163

164

165

166

167

168

169

170

171

Formatted: Font color: Green

Formatted: Font color: Green

Formatted: Font color: Green

Formatted: Font color: Green
Formatted: Font color: Green

Formatted: Font color: Green

Formatted: Font: (Default) Times New Roman, 12 pt, Font color: Green

Formatted: Font: (Default) Times New Roman, 12 pt

Formatted: Font: (Default) Times New Roman, 12 pt, Font color: Green

2.1.1 NCEP GDAS/FNL Global Gridded Analyses and Forecasts

172

195

2.1.4 Satellite data

173 This dataset. The NCEP GDAS/FNL Global Gridded Analyses and Forecasts is provided freely 174 by the National Centers for Environmental Prediction (NCEP). In this study, this dataset is used as 175 the initial and boundary conditions (IC/BCs) for the cloud-resolving model (CRM) simulation. The 176 data are on a $0.25^{\circ} \times 0.25^{\circ}$ latitude-longitude grid with 26 levels extending from the surface to 20 hPa. The data period is from 0600 UTC 8 December to 0000 UTC 13 December 2018, at 6-h 177 intervals. Parameters include geopotential height, zonal and meridional wind components, pressure, 178 179 temperature, and relative humidity. The dataset and its detailed information are available at https://rda.ucar.edu/datasets/ds083.3. 180 2.1.2 The fifth generation ECMWF reanalysis data (ERA5) 181 182 The ERA5 is the fifth-generation reanalysis dataset, developed by the European Centre for 183 Medium-range Weather Forecasts (ECMWF) to replaces the ERA-Interim reanalysis. We have used these data to delineate the synoptic weather patterns during the D18 event. The horizontal resolution 184 185 of this dataset is $0.25^{\circ} \times 0.25^{\circ}$ latitude-longitude at 22 selected levels from 1000 to 100 hPa and including the surface. Parameters include zonal and meridional wind components, geopotential 186 187 height, specific humidity, relative humidity, temperature, vertical velocity, mean sea level pressure, and sea surface temperature. The dataset was downloaded from 0000 UTC 8 to 1800 UTC 11 188 December 2018 at 6-h intervals (Hersbach et al., 2018a,b). 189 190 2.1.3 Observation data 191 The daily observed rainfall data (1200-1200 UTC, i.e., 1900-1900 LST) from 8 to 12 December 2018 at 69 automated gauge stations across central Vietnam are used for case overview 192 and verification of model results. This dataset is provided by the Mid-central Regional Hydro-193 194 Meteorological Centre, Vietnam.

Formatted: Font: 12 pt, Font color: Green

(a) TRMM (TMPA) rainfall estimates

The TRMM multi-satellite precipitation estimates (3B42, version 7, Huffman *et al.*, 2016) are freely provided by the NASA Goddard Earth Sciences (GES) Data and Information Services Center (DISC). The horizontal resolution of this dataset (level 3) is $0.25^{\circ} \times 0.25^{\circ}$ latitude-longitude and the time resolution is every 3 h. In this study, we used this satellite data to verify rainfall distribution over the coastal sea due to the limitation of the observation station network, we only have the observation stations inland, as shown in the figure. 1d and fig. 1e, *t*This dataset was downloaded

Formatted: Font: 12 pt, Font color: Green

Formatted: Font color: Green

(b) The Himawari satellite images

The color-enhanced infrared imageries are designed mainly for the detection of convective clouds, including those from the Himawari-8 satellite. The different colours represent different cloud-top heights. Therefore, we have used these images to discern deep convection in convective clouds and precipitating clouds based on their characteristics. In this study, the dataset was downloaded from the Central Weather Bureau website, Taiwan, with a time resolution of 1 h.

from 1200 UTC 8 to 1200 UTC 11 December 2018 to analyze the D18 event.

2.1.5 Radar data

The column-maximum radar reflectivity data are one indispensable data source to identify precipitation and verify model results. The reflectivity data (in dBZ) cover a wide range and the values indicate rainfall intensity (the higher the dBZ, the stronger the intensity of precipitation). Therefore, we used the column-maximum radar reflectivity data over central Vietnam at 1-h intervals over 8-11 December 2018 to estimate the rainfall intensity during the D18 event. This dataset is provided by the Mid-central Regional Hydro-Meteorological Centre of Vietnam.

 $2.1.6\ The\ Vietnam\ Gridded\ Precipitation\ (VnGP)\ Dataset.$

The VnGP data are derived base on the daily observed data from 481 rain gauges cross Vietnam. This dataset has a resolution of 0.1° and covers the period of 1980-2010 (Nguyen-Xuan et al., 2016). In this study, this dataset is used to depict the rainfall climatology in Vietnam.

2.1.7 The Oceanic Niño Index (ONI) data

218

219

220

221

222

223

224

225 226

227

228

229

230

231

232

233

234

235

236

237

238

239

240

241

The Oceanic Niño Index (ONI) data was made and provided freely by NOAA Climate Prediction Center (CPC). The ONI data was computed by three month running mean of NOAA ERSST.V5 SST anomalies in the Niño 3.4 region (5N-5S, 120-170W), based on changing base period which onsist of multiple centered 30-year base periods. The ONI is the most commonly used indices to define El Niño and La Niña events. This study used the ONI data for Niño 3.4 region to define the ENSO phase of 2018. This data is available at:

https://psl.noaa.gov/data/correlation/oni.data

2.2 Model description and experiment setup

The Cloud Resolving Storm Simulator (CReSS, version 3.4.2), developed by Nagoya University, Japan (Tsuboki and Sakakibara, 2002, 2007) is used for numerical simulation of the D18 event. This model is a non-hydrostatic and compressible cloud model, designed for simulation of weather events at high (cloud-resolving) resolution. In the model, the cloud microphysics is treated explicitly at the user-selected degree of complexity, such as the bulk cold-rain scheme with six species: vapor, cloud water, cloud ice, rain, snow, and graupel (Lin et al., 1983; Cotton et al., 1986; Murakami, 1990, 1994; Ikawa and Saito, 1991). Other subgrid-scale processes parameterized, such as turbulent mixing in the planetary boundary layer, as well as physical options for surface processes, including momentum/energy fluxes, shortwave and longwave radiation are summarized in Table 1. To study the D18 event and investigate the role played by the local terrain in this event using

Formatted: Font: Italic

Formatted: Font color: Green

Formatted: Font color: Green

the CReSS model, two experiments were performed using the same model domain setting, physical

at 2.5-km horizontal grid spacing and a (x, y, z) dimension of 912 x 900 x 60 grid points (Table 1, 243 cf. Figure 2). As introduced in subsection 2.1.1, the NCEP GDAS/FNL Global Gridded Analyses 244 and Forecasts (0.25° x 0.25°, every 6 h, 26 pressure levels) was used as the IC/BCs of the model. 245 246 These experiments were started from 0600 UTC 8 to 0000 UTC 13 December 2018 (for a 247 simulation length of 114 h). The only different setting between these experiments is at the lower boundary, the real terrain 248 249 data at (1/120°) resolution (roughly 0.9 km) was provided for the control simulation (CTRL) while 250 this was ignored for the sensitivity test without the terrain (NTRN) 251 The CReSS model is also designed to be run on large computers at high efficiency. Heretofore, this model has been applied to study tropical cyclones, heavy rainfall events, and many other 252 convective systems (e.g., Ohigashi and Tsuboki, 2007; Yamada et al., 2007; Akter and Tsuboki, 253 254 2010, 2012; Wang et al., 2015). 255 To study the D18 event and investigate the role played by the local terrain in this event using the CReSS model, two experiments were performed starting from the same initial time of 0600 256 257 UTC 8 December 2018. One is the control simulation (CTRL) with full terrain and the other is the sensitivity test without the terrain (NTRN). The simulation domain is depicted in Fig. 3. The basic 258 259 main information of these two experiments, including the domain setup and model configuration, is

options, and initial and boundary conditions. Specifically, both experiments using a single domain

242

listed in Table 1.

260

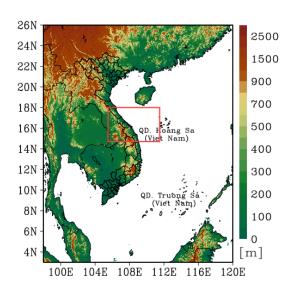


Figure 23: The simulation domain of the CReSS model and topography (m) used in this study. The red box marks the study area.

Table 1. The basic information of experiments.

Domain and Basic setup	
Model domain	3°–26°N; 98°–120°E
Grid dimension (x, y, z)	912 × 900 × 60
Grid spacing (x, y, z)	2.5 km × 2.5 km × 0.5 km*
Projection	Mercator
IC/BCs (including SST)	NCEP GDAS/FNL Global Gridded Analyses and Forecasts (0.25°, × 0.25°, every 6 h, 26 pressure levels)
Simulation length	114 h
Topography (for CTRL <u>only</u>) and sea surface temperature (SST)	Real Digital elevation model by JMA_at (1/120)° and NCEP analyses (0.25° × 0.25°) spatial resolution
Simulation length	<u>114 h</u>
Output frequency	1 hour
Model physical setup	1

Formatted: Font color: Green
Formatted: Left
Formatted: Font color: Green
Formatted: Left
Formatted: Font color: Green
Formatted: Left
Formatted Table
Formatted: Font: (Default) Times New Roman, 12 pt
Formatted: Left
Formatted: Font color: Green
Formatted: Left
Formatted: Font color: Green
Formatted: Left
Formatted: Font color: Green
Formatted: Left

Cloud microphysics	Bulk cold-rain scheme (six species)	4
PBL parameterization	1.5-order closure with prediction of turbulent kinetic energy (Deardorff, 1980; Tsuboki and Sakakibara, 2007)	•
Surface processes	Energy and momentum fluxes, shortwave and longwave radiation (Kondo, 1976; Louis et al., 1982; Segami et al., 1989)	•
Soil model	41 levels, every 5 cm deep to 2 m	4

* The vertical grid spacing (Δz) of CReSS is stretched (smallest at bottom) and the averaged value is

given in the parentheses

2.3 Verification of model rainfall

In order to verify the model-simulated rainfall, some verification methods are used, including (1) visual comparison between the model and the observation (from the 69 automated gauges over the study area), and (2) the objective verification using categorical skill scores at various rainfall thresholds from the lowest at 0.05 mm up to 900 mm for three-day total. These scores are listed in Table 2 along with their formulas, perfect value, and worst value, respectively. To apply these scores at a given threshold, the model and observed value pairs at all verification points (gauge sites here, = N) are first compared and classified to construct a 2 × 2 contingency table (Wilks, 2006). At any given site, if the event takes place (reaching the threshold) in both model and observation, the prediction is considered a hit (H). If the event occurs only in observation but not the model, it is a miss (M). If the event is predicted in the model but not observed, it is a false alarm (FA). Finally, if both model and observation show no event, the outcome is correct negative rejection (CNR). After all the points are classified into the above four categories, the scores can be calculated by their

Formatted: Left

Formatted: Font color: Green

Formatted: Font color: Green

Formatted: Left

Formatted: Font color: Green

Formatted: Left

Formatted: Font color: Green

Formatted: Font color: Green

Formatted: Left

Formatted: Font color: Green

Formatted: Font color: Green

corresponding formula in Table-22 (where CN is not used).

Table 2. List of the categorical skill scores and their formulas.

Name of skill score	Formula	Perfect score	Worst score
Bias Score (BS)	(H+FA)/(H+M)	1	0 or N <u>-1</u>
Probability of Detection (POD)	H/(H+M)	1	0
False Alarms Ratio (FAR)	FA/(H+FA)	0	1
Threat Score (TS)	H/(H+M+FA)	1	0

285 286

284

In addition to the categorical scores, the Fractions Similarity Skill Score (FSSS, Roberts and

Lean, 2008 Wang et al., 2022) is also applied to evaluate the model rainfall results, as

$$\underline{\underline{SFSS}} = 1 - \frac{\frac{1}{N} \sum_{i=1}^{N} (F_i - O_i)^2}{\frac{1}{N} \sum_{i=1}^{N} F_i^2 + \frac{1}{N} \sum_{i=1}^{N} O_i^2}$$
(1)

288 289

> 290 291

292

287

where N is the total number of verification points, F_i is the forecast value, and O_i is the observed

value, at the ith point among N, respectively. SSS is used to measured against the worst the mean

squared error (MSE) possible. The formula shows that a forecast with perfect skill has a FSS of 1,

while a score of 0 means zero skill.

293 294

295

296

297

298

299300

301

302

303

3 Overview of the D18 Event

3.1 Rainfall and its distribution

As introduced earlier, during 8-12 December 2018, an extreme precipitation event occurred in central Vietnam. The maximum accumulated rainfall was recorded from 9 to 11 December with a peak daily rainfall greater than 500 mm and 72-h accumulated rainfall exceeds 800 mm (Figs. 1a-d). Besides, the daily and 72-h rainfalls observed at 69 stations show that the extreme precipitation occurred along the eastern coastal plains, on the windward eastern side of the Truong Son Range.

Especially over Quang Nam province, where the Truong Son Range reaches its highest of over 2500 m (Figs. 1a-d). In addition, satellite products from the Tropical Rainfall Measuring Mission (TRMM)

Formatted: Font color: Green

Formatted: Font color: Green

Formatted: Font color: Green

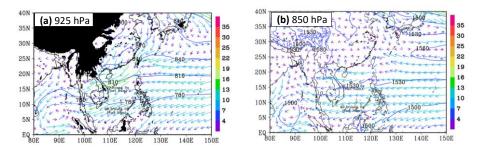
Formatted: Font color: Green

seriously underestimates the D18 event (Fig. 1e), but indicates that the rainfall occurred not only in coastal plains but also over the nearby ocean.

3.2 Synoptic conditions

B14

In this subsection, the synoptic scale atmospheric conditions during the D18 event are analyzed. During the D18 event, the horizontal winds at 925 hPa (averaged from 0000 UTC 8 to 1800 UTC 11 December) over central Vietnam and the SCS are characterized by a strong convergent zone between the northeasterly winds blowing from northeastern China into northern SCS and central Vietnam, and the easterly winds blowing from the western North Pacific (WNP) into the SCS (Fig. 34a). The wind speed over northern SCS and central Vietnam is over 13 m s⁻¹. At 850 hPa, horizontal winds are predominantly easterly, with speeds of about 10–13 m s⁻¹ (Fig. 34b). At 500 hPa, central Vietnam is affected by southeasterly winds that originated from the easterly winds over the WNP (Fig. 34c). Besides, Figure 3 also indicates that there was no existence of any tropical cyclone during the D18 event. Therefore, tropical cyclones or the combined effect of cold surges originating from northern China and tropical depressions that have been mentioned as one of the patterns that cause heavy rainfall in central Vietnam is not the mechanism of the D18 event.



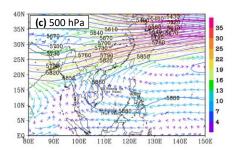
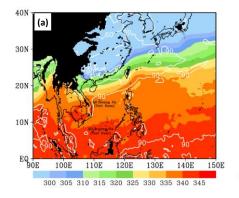


Figure 34. (a) The ERA5 averaged horizontal wind vectors (m s⁻¹, color for speed) and geopotential height (gpm, blue contours, every 30 gpm) at the 925 hPa from 0000 UTC 8 to 1800 UTC 11 Dec 2018. (b) As in (a), but for the 850 hPa. (c) As in (a), but for the 500 hPa. The blacked areas are where the 925-hPa level is below the ground.

From a thermodynamic perspective, the equivalent potential temperature (θ_e) field at 925 hPa shows that a warm and moist tropical air mass exist in central and SCS with θ_e values greater than 335 K, and the relative humidity is around 90 % during the D18 event (Fig. 45a). The high moisture content combines with a decrease in θ_e with altitude, indicating convective instability in the lower atmosphere below about 500 hPa (Fig. 45b). Furthermore, the interaction between northeasterly and easterly winds seemed to enhance instability in the lower atmosphere.



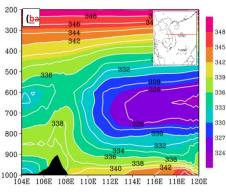


Figure 45. (a) The ERA5 averaged equivalent potential temperature (K, color), and relative humidity (%, white contours, every 30 %) at 925 hPa. The blacked areas are where the 925-hPa level is below the ground. (b) the east-west vertical cross-section along 16°N (see insert) of averaged equivalent potential temperature (θ_e, K, color, every 5 K), from 0000 UTC 8 to 1800 UTC 11 Dec 2018. The topography is dark shaded.

The above analysis suggests that the northeasterly, easterly, and southeasterly winds (cf. Figs. 34a-c) all played an important role in transported unstable air into central Vietnam. Particularly, when the strong northeasterly and the easterly winds at low levels and southeasterly wind at upper levels blow into central Vietnam, they bring warm, moist, and unstable air into central Vietnam. This moisture is transported to central Vietnam by strong moisture flux through the deep column from the WNP, across the Philippines and the SCS (Fig. 56a). Furthermore, the high SST of the SCS (>27° C) also help to enhance and maintain abundant moisture during this event (Fig. 56b).

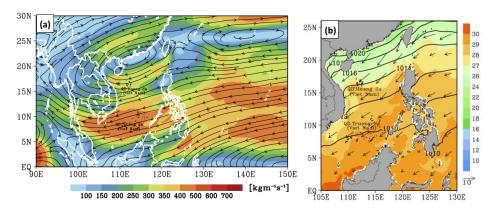


Figure 56. (a) The ERA5 averaged surface–200-hPa vertically integrated moisture flux (kg m⁻¹s⁻¹). (b) the ERA5 averaged SST (°C, color), mean sea-level pressure (hPa, isobars, every 2 hPa), and horizontal wind vectors at 10-m height (m s⁻¹, vector), from 0000 UTC 8 to 1800 UTC 11 Dec 2018.

Consequently, the atmospheric conditions and local topographic characteristics in interaction result in moisture convergence and forced uplift in the lower troposphere during the D18 event. This can be seen in Fig. 67, where extensive rising motion occurs in the lower troposphere along coastal Vietnam, with a maximum value of -1.2 Pa s⁻¹. Besides, Figs. 67a,b also indicate that the strong northeasterly wind along with warm, moist and unstable air is blocked by the Truong Son Range. This pattern suggests that the Truong Son Range also played an important role in the development of heavy rainfall in central Vietnam in D18. In detail, when the northeasterly and easterly winds at low levels blow into central Vietnam and become block by the Truong Son Range, which is located along the border of Vietnam and Laos, forced uplift is resulted at the windward side, with downward motion over the lee side (in Laos, Fig. 67b). Furthermore, the low-level convergence in this event was strong enough (Fig. 3a), and the air was unstable enough (Fig. 4b) to trigger most of the convection near the shoreline (further inland, Fig. 6a)

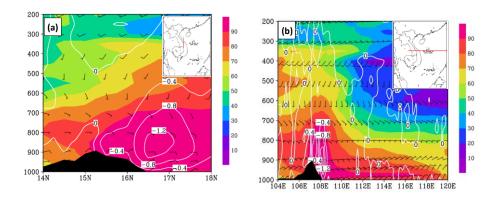


Figure 67. (a) The ERA5 the south-north vertical cross-section along 107.5°E (see insert) of averaged horizontal wind (m s⁻¹, vectors) and vertical motions (Pa s⁻¹; white contours, negative for upward motion), and relative humidity (%, shaded), from 0000 UTC 8 to 1800 UTC 11 Dec 2018. The topography is dark shaded. (b) As in (a), but for the vertical cross-section along 16° N.

As described above, when the strong northeasterly and easterly winds at low levels blow into central Vietnam, they bring warm, moist, and unstable air that originated in the WNP and is enhanced over the SCS. Then, this air is blocked by the Truong Son Range, which has a height of around 2 km, leading to forced convergence and upward motion at low levels and divergence further above. These conditions consequently lead to moisture flux convergence of over 8×10^{-4} g kg⁻¹ s⁻¹ at 925 hPa (Fig. 78a) and moisture flux divergence at 850 hPa with comparable magnitudes (Fig. 78b). This divergence reduces sharply further up toward the middle and upper levels (Fig. 78c). These factors create a moist atmosphere with a precipitable water amount (through the deep column) exceeding 50 mm during the D18 event (Fig. 78d). The above atmospheric ingredients and characteristics in local topography in combination created favorable environmental conditions to trigger orographic rainfall. As a consequence, the D18 event happened.

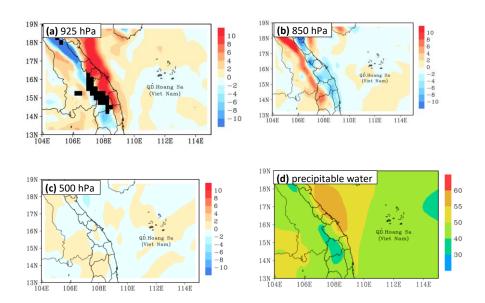
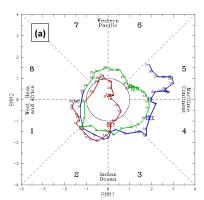


Figure 78. (a) The ERA5 averaged moisture convergence/ divergence (x10⁻⁴, g kg⁻¹ s⁻¹, shaded, positive for convergence) at the 925 hPa, from 0000 UTC 8 to 1800 UTC 11 Dec 2018. The blacked

areas are where the 925-hPa level is below the ground. (b) As in (a), but for the 850 hPa. (c) As in (a), but for the 500 hPa. (d) The ERA5 averaged precipitable water between surface and 200 hPa (mm), from 0000 UTC 8 to 1800 UTC 11 Dec 2018.

Besides investigating the synoptic-scale atmospheric conditions above, this study also verified the impact of intraseasonal oscillations in the tropical atmosphere on the D18 event. To be more specific, figure 8a reveals that the MJO in Western Pacific was not active in early December 2018 as well as during the D18 event. Figure 8b indicates that the last three months of 2018 are a fairly weak El Niño phase. In addition, previous studies showed that central Vietnam had less rainfall in the El Niño years. Therefore, MJO and ENSO are also not the cause and have no impact on the D18 event.



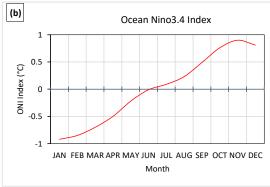


Figure 8. (a) The Madden-Julian Oscillation (MJO) location and the strength through 8 different areas along the equator around the globe. Labelled dots for each day. Red line is for October, Green line is for November, Blue line is for December. Source: Commonwealth of Australia 2019, Bureau of Meteorology. (b) The Oceanic Niño Index (ONI) of the Niño 3.4 region (5° N-5° S, 120°-170° W) for 2018,

3.3 Evolution of precipitating clouds The local thermodynamic conditions prior the D18 event,

Formatted: Indent: First line: 0.31"

Formatted: Font color: Green

In this part, the local thermodynamic conditions that led to the D18 event are analyzed. Figure 28 shows these conditions at 1200 UTC 8 December 2018. At this time, it is quite warm and moist over central Vietnam and the SCS, with θ_e of at least 335 K (Fig. 9a). As mentioned, this moisture is transported to central Vietnam from the WNP by the strong moisture flux, across the Philippines and the SCS and eventually intercepted by the Truong Son Range at the western border of Vietnam (Figs. 9b,c). The thermodynamic conditions and local orography in interaction lead to a moist atmosphere with a precipitable water amount exceeding 50 mm (Fig. 9d). Furthermore, the vertical wind profile also indicates both warm advection at low levels (veering winds with height) and a considerable southerly wind shear between 950 and 500 hPa (Fig. 9c). These thermodynamic conditions were favorable for the development of convection and precipitation, there is a strong convergence zone of the low-level northeasterly wind carrying the moisture over the north of the study area and near the shoreline (Figs. 9a,b). The northeasterly wind convergence led to a lowlevel moisture convergence both inland and over the coastal sea. This happened as the low-level northeasterly wind carrying the moisture blew to central Vietnam and interacted with local topography, the low-level northeasterly flow reduced in speed over a wide area (refers to figs. 6), leading to a strong moisture flux convergence at low-level both inland and near the shoreline and moisture flux divergence at the upper level (figs. 9c, d). Due to the convergence of northeasterly wind and moisture happened mainly in the north of latitude 16, the rising motion in the south of latitude 16 mainly happened at low-level (less than 700 hPa, fig. 9e) due to blocked by the Truong Son range. Furthermore, this process occurred in a warm and unstable atmosphere (refer to figs. 4), making a favourable environmental condition to trigger most of the convection near the shoreline instead of over the slopes (further inland) by forced uplift of the terrain. Hence, precipitable water between the surface and 200 hPa exceeding 55 mm just formed over the coastal zone of the north of the study area (fig. 9f). Consequently, heavy rainfall only concentrated around the coastal zone.

395

396

397

398

399

400

401

402 403

404

405

406

407

408

409

410

411

412

413

414

415

416

417

418 419 Formatted: Indent: First line: 0", Space Before: 0 pt, After: 8 pt

Formatted: Font: 12 pt

Formatted: Font: (Default) Times New Roman

Formatted: Font: (Default) Times New Roman

Formatted: Font: (Default) Times New Roman

These analyses are suitable for satellite and radar data.

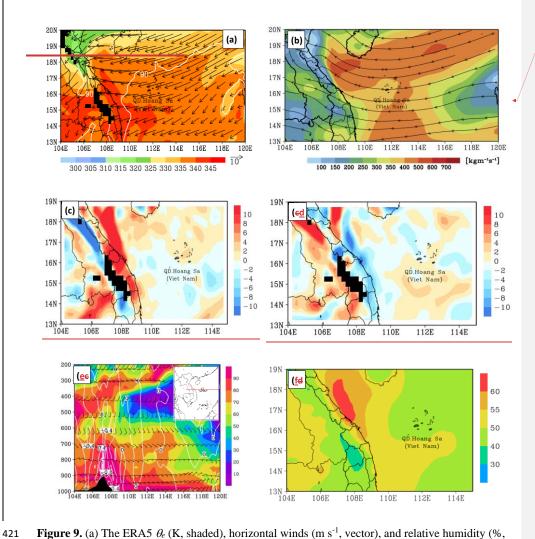


Figure 9. (a) The ERA5 θ_e (K, shaded), horizontal winds (m s⁻¹, vector), and relative humidity (%, white contours, every 30 gpm) at 925 hPa. The blacked areas are where the 925-hPa level is below the ground. (b) Surface–200-hPa vertically integrated moisture flux (kg m⁻¹ s⁻¹). (c) East-west vertical cross-section along 16°N (see insert) of vertical motions (Pa s⁻¹, white contours), relative humidity (%,

shaded), and horizontal winds (m s⁻¹, vector). The topography is black shaded. (d) Precipitable water between surface and 200 hPa (mm). All panels are for 1200 UTC 8 Dec 2018.

To be more specific, Oon satellite imageries from 1200 UTC 8 to 1100 UTC 9 December (Fig.

S1), a series of deep convective clouds (cumulonimbi, or Cb) first form over northern and central Vietnam and Laos on 8 December, with mainly a northeast-southwest to east-west alignment. With blackbody temperatures (T_B) below -42° C, several isolated deep cells also develop near the coast over the southern part of the study area after 0200 UTC on 9 December (Fig. S1). Generally, these deep Cb clouds tend to move slowly offshore and weaken after a few hours. Meanwhile, the study area is also covered by precipitating clouds known as nimbostratus (Ns) that are not as deep, with cloud-top T_B at -20° -0° C and above (Fig. S1). These Ns clouds first form over the northern part of the study area and then grow and expand southward along the coast, eventually cover the entire study area on 9 December (Fig. S1). As analyzed above, both deep Cb clouds and the persistent Ns clouds produced long-lasting rainfall for hours, starting along the coast from 1200 to 1700 UTC 8 December. After that, the rain area extends both inland and over the coastal sea (Fig. S2). The rainfall intensity is the greatest from 2000 UTC 8 to 0200 UTC 9 December, with a column-

maximum radar reflectivity (C_{max}) ≈ 40 dBZ (Fig. S2). Afterwards, the rainfall intensity decreases

to some extent but remain at 15-35 dBZ rather steadily (Fig. S2). While the precipitation is not too

intense, it falls persistently over many hours, leading to high 24-h rainfall accumulation at some

locations. Thus, the local thermodynamic conditions seem to maintain for many hours and lead to

the continuous development of precipitating clouds during much of 8 December.

At 1200 UTC 9 December, a warm, and moist, and unstable atmospheric is still maintained over central Vietnam and the SCS, with $\theta_e > 335$ K (Fig. 10a and Figs. 4). The moisture continued to be transported from the east, with the northeasterly wind played the main role in this transport (Fig. 10b). These moisture conditions are associated with the northeasterly wind over central Vietnam seemed stronger than the previous day, leading to a stronger low level uplifting than that

Formatted: Font color: Green

on 8 December (Fig. 10c). Consequently, the atmosphere becomes moister with increases precipitable water amount to over 55 mm (Fig. 10d) However, the strong convergence of the lowlevel northeasterly wind carrying the moisture in Ha Tinh and Quang Tri provinces moved southward to Quang Tri and Quang Nam provinces (Fig. 10a). This moving dragging along the move of the low-level moisture convergence (Figs. 10c,d). Besides, Fig. 9e shows that the low-level uplifting motion is stronger than the previous day due to most of the strong northeasterly wind zone blocked by the Truong Son range. Besides, the southward movement of the northeasterly wind and moisture convergence zone also led to the southward movement of precipitable water between the surface and 200 hPa to the coastal zone between Quang Binh and Quang Tri provinces (Fig. 10f). As a result, the main heavy rainfall also moved southward to this area. This also coincides with observed satellite and radar data- Moreover, Tthese thermodynamic conditions played a role to sustain the development of precipitating clouds on 9 December. In detail, On this day (since 1200 UTC), satellite imageries also show some characteristics of deep convection over the coastal area (Fig. S3), but the cloud top temperatures, in general, are not as cold as on 8 December. Meanwhile, the lower precipitating Ns clouds cover much of the study area from 1200 UTC 9 to 0300 UTC 10 December, then gradually disintegrate (Fig. S3). These clouds kept producing rainfall for the whole day, with the higher C_{max} values (~40 dBZ) and rainfall intensity from 1200 UTC 9 to around 0000 UTC 10 December (Fig. S4), mainly over the coastal plain and nearby sea. After that, the rain gradually decreases in both intensity and areal coverage.

450

451

452

453

454

455

456 457

458

459

460 461

462

463

464

465

466

467 468

469

470

471

472

473

Formatted: Indent: First line: 0"

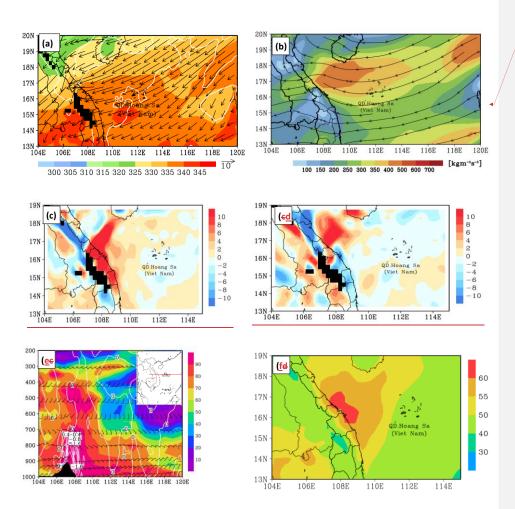


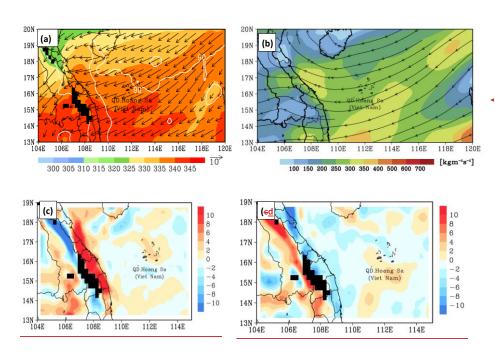
Figure 10. As in Fig. 9, except for 1200 UTC 9 Dec 2018.

At 1200 UTC 10 December, the atmosphere remains very moist with a precipitable water amount of 55 mm (Fig. 11d). Some of the local dynamical and thermodynamically parameters, however, are reduced from one day earlier and become not as favorable, including the velocity of northeasterly wind, the upward motion over central Vietnam (Fig. 11c), and moisture flux (Fig. 11b) and precipitable water amount (Fig. 11f). Hence, the development of precipitating clouds also reduces significantly on this day and mostly exist offshore over the ocean (Fig. S5). Compared to

Formatted Table

Formatted: Font: (Default) Times New Roman, 12 pt, Font color: Green

the past two days, the development of convective cells is also reduced. Near the coast, only three convective cells developed on 10 December, one at 1400 UTC, the second at 2000 UTC, and the third one shortly after 2200 UTC. Also, moving eastward and offshore after formation, these relatively small cells spend only 1-3 h over land. In general, the environmental conditions become less favorable for developing rain clouds after 1200 UTC 10 December. Consequently, there is a significant decrease in rainfall, which occurs mainly during 1200-1600 UTC then weaken with time (Fig. S6).



Formatted Table

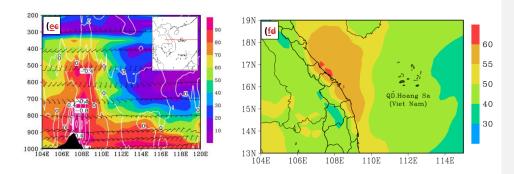


Figure 11. As in Fig. 9, except for 1200 UTC 10 Dec 2018.

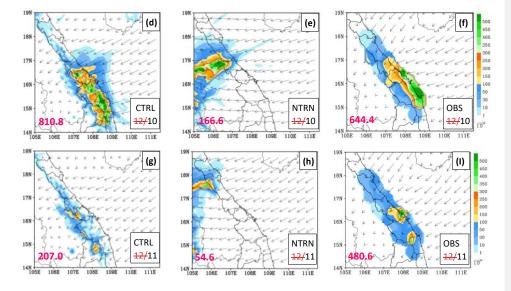
4 Model Simulation Results

In this section, the model simulation results are used to investigate the role of topography in the development of clouds and rainfall in the D18 event, and the CReSS model is also evaluated for its ability to reproduce the event over the study area.

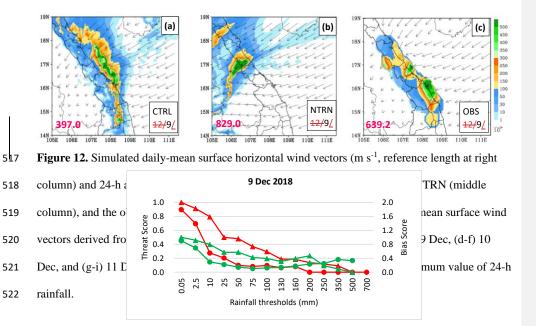
Figure 12 presents the daily averaged surface horizontal winds and daily rainfall in CTRL and NTRN for each of the three days from 9 to 11 December 2018. In CTRL, the model has well simulated the surface wind. As a result, the model produceds a maximum 24-h rainfall of around 400 mm on 9 December (Fig. 12a), roughly comparable in magnitude to the observation (Fig. 12c). While one should bear in mind that the limited number of rain gauges have a smaller coverage area and cannot resolve the detailed distribution of rainfall (cf. Fig. 1a2b), the model rainfall in CTRL is slightly more offshore north of 16° N but more inland near 16° N, thus is not as abundant along the coast compared to the observation. In other words, model rainfall has some location errors but the magnitude is comparable by visual inspection. For surface winds, their direction and magnitude are well simulated by the CTRL experiment (Fig. 12).

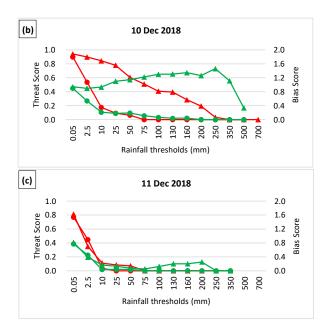
An objective and more quantitative verification of model rainfall can be provided by the threat score (TS) computed at the rain-gauge sites, which shows that the model has high score at low

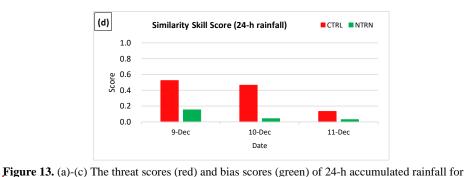
thresholds of ≤ 10 mm (per 24 h) but gradually decreases toward higher thresholds (Fig. 13a, red curve). In particular, the TS is about 0.5 at 25-50 mm, below 0.2 above 160 mm, and about 0.1 at 350 mm. Eventually, the TS drops to zero at 500 mm, which is not too far from the observed peak rainfall of over 500 mm (at Da Nang, cf. Fig. 1a). The bias score (BS) confirms that the model does not produce enough rainfall over the coastal plains, as its value drops from about 1.0 at 0.05 mm to below 0.4 at and above 250 mm. As another objective measure of overall quality of prediction, the fraction—Similarity skill score (FSSS) is about 0.5 for 9 December. Overall, the model appears to produce too much rainfall offshore north of 16° N and not enough rainfall along the coast, and this might be to some extent linked to its surface wind coming more from the east-northeast, compared to northeast in the ERA5 analysis (Figs. 12a,c), leading to somewhat different locations of low-level convergence of wind and moisture.



Formatted: Font: (Default) Times New Roman, 12 pt, Font color: Green







the CTRL (curve with triangles) and NTRN (curve with dots) experiments for the three days of 9-11

Dec 2018. (d) Fractions skill scores of 24-h accumulated rainfall for the two experiments.

 For 10 December, while similar differences in prevailing surface winds still exist between model simulation and ERA5 data, the model captured the southward movement of the northeasterly wind. Therefore, the model had well captured the southward movement of the main heavy rainfallthe model. The rainfall location has improved with better agreement with the observation (Figs. 12d,f), but in general slightly more inland and not right on the coast. Both over 600 mm, the observed and simulated peak daily rainfall values are again comparable. Due to the improvement in spatial pattern, the TSs exhibit higher values than those for the previous day across low to middle thresholds (up to 200 mm) but reduce to zero at 250 mm (Fig. 13b), while the FSSS (near 0.46) is only slightly reduced (Fig. 13d). In agreement with the better TS values, the BS remains between 0.8 and about 1.4 from low thresholds up to 350 mm, and drops to about 0.35 at 500 mm (Fig. 13b).

For 11 December, the model does not simulate well the rainfall field, as its rainfall is displaced toward the Truong Son Range (and the border to Laos), instead of over the coastal plain as observed (Figs. 12g,i). The spatial coverage of model rainfall is smaller and the peak amount (~200 mm) also lower compared to the rain-gauge data, while the surface wind appears weaker than the ERA5 data as well. While the observed peak amount became lower as the D18 event was coming to an end, the

Formatted: Font color: Auto

TSs also decrease rapid with threshold, and are close to 0.1 at just 10 mm and become zero at and above 70 mm (Fig. 13c). Consistent with the inadequate amount over land, the BSs also decrease rapidly with thresholds, from about 0.8 at 0.05 mm to below 0.3 over 100-200 mm. For this day, the FSSS is only about 0.14 and significantly lower than the values for 9 and 10 December (Fig. 13d). Likely also related to the weaker surface winds in the model, the less-than-ideal results of rainfall

541

542

543

544

545

546

547

548

549 550

551

552

553

554

555

556

557

558

559

560

561

562

563

564

565

Formatted: Font color: Green

Formatted: Font color: Green

To test the impact of topography in the D18 event, the NTRN experiment was carried out.

may be also affected by the longer range of integration, at 66-90 h, for 11 December.

Without the terrain, the model had not good simulated the surface wind. Consequences, the rainfall as simulated by CReSS would be displaced much more inland from the coastal region for all three

elongated and parallel to the coast, even though the peak amounts are similar to the observation.

days of 9-11 December (Figs. 12b,e,h), and more importantly, the pattern would no longer be

Thus, the topography was fundamental in determining the basic rainfall area and pattern in the D18

event. With incorrect distributions, the TS values (Fig. 13, green curves) are much lower and drop

to below 0.2 at thresholds above 10-25 mm for all three days. The thresholds at which the TSs

decrease to zero are 200, 75, and 25, respectively for the three days, and much lower than those in

the CTRL, especially for 9 and 10 December. The BS values in the NTRN also tend to be lower than those in the CTRL, sometimes much lower, reflecting its incorrect location and thus little

rainfall at gauge sites with rainfall in reality. The FSSS values are also much lower, with values

near 0.16, 0.04, and 0.04 for the three days. Without the topography, the surface wind pattern near

the coast and over land would be much stronger and very different, due to the lack of its blocking

and uplifting effects, and also the associated thermodynamic effects.

For the D18 event as a whole, the three-day total rainfall distribution produced by the model compares quite favorably with the observation in both quantity and spatial pattern (Figs. 14a,c), with generally minor displacement errors more toward inland at around 15°-16° N. Despite these errors, the spatial distribution of rainfall in the model corresponds well to the zone of low-level

Formatted: Font color: Green

TSs of the 72-h QPFs are quite high across even heavy-rainfall thresholds: around 0.8 at 100 mm (per 72 h), close to 0.5 at 200 mm, above 0.2 at 350 mm, and 0.1 at 700 mm, with an overall SFSS ≈ 0.7 (Figs. 14d,e). As shown, the rainfall fields for individual days in D18 are very different without the topography in NTRN, and the same is true for the whole event (Fig. 14b). The TSs also indicate a much lower skill in QPF, with TS below 0.2 at ≥ 50 mm (per 72 h) and TS = 0 at ≥ 350 mm, BS below 0.35 at ≥ 10 mm, and also an overall SFSS of less than 0.1 (Figs. 14d,e). The results in Figs. 12 and 14 also indicate a significant wind-blocking effect by the Truong Son Range. In CTRL, the surface northeasterly winds commonly exceed 10 m s⁻¹ in speed over the SCS, but are reduced significantly (and even to near-zero speed) near the Annamite Range (and in Laos). On the contrary, there is no reduction in speed as the winds blow across central Vietnam in NTRN, without

the blocking effect of the topography.

Formatted: Font color: Green

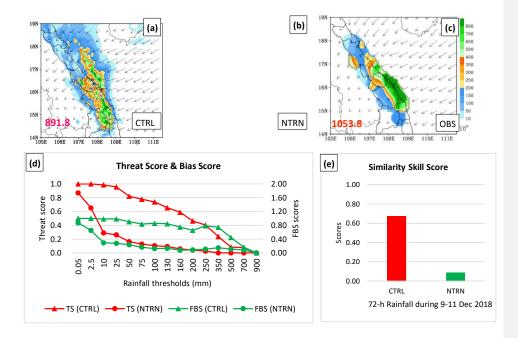


Figure 14. (a)-(c) As in Figs. 11a-c, except for three-day averaged surface horizontal wind vectors

and 72-h accumulated rainfall over 9-11 Dec 2018. (d), (e) As in Figs. 12c,d, except for TSs and

FSSs of the 72-h accumulated rainfall over 9-11 Dec 2018.

5 Conclusion

579

580

581

582

583

584

585

586

587

588

589 590

591

592

593

594

595

596

597

598

599

600

601

602

603

879.6

In this study, the extreme precipitation event that occurred on 8-12 December 2018 along the coast of central Vietnam is analyzed, and the simulation results by a CRM (the CReSS model) is evaluated. The major findings are summarized below.

Analysis on the D18 event has revealed several key factors which led to this record-breaking rainfall event: First, for all four days from 8 to 11 December, the strong northeasterly winds in the lower troposphere blew from the Yellow Sea into the SCS, and interacted with strong low-level easterly winds (below 700 hPa) over the SCS. This interaction strengthened the upstream easterly to northeasterly winds and generated strong low-level convergence, as the winds blew into central Vietnam and wasere blocked by the Truong Son Range, the low-level northeasterly flow reduced in speed and led to moisture flux convergence and rising motion along the coast of Vietnam persistently, resulting in forced uplift near the surface over the coastal plains. Furthermore, the Consequently, heavy rainfall was produced along the coast of central Vietnam. Second, the strong easterly winds played an important role in transporting moisture from the WNP, across the Philippines and the SCS, into central Vietnam. Third, the Truong Son Range also played an important role in this event due to its barrier effect. Finally, the high SST of the SCS (>27° C) also acted to help replenishing the moisture in this event. This above mechanism in the D18 event is different from those documented in previous studies. Particularly, according to previous studies, the heavy and extreme rainfall events are usually due to the multi-interaction between the northeasterly wind and preexisting tropical disturbance over the SCS and local topography or tropical cyclone or impacts by ENSO or MJO. However, these factors have not appeared during the D18 event.

Formatted: Font color: Auto

Formatted: Font color: Auto

Formatted: Font color: Green

Formatted: Font color: Green

Therefore, we suggest that the interaction of the northeasterly and easterly winds in the moist,

unstable atmospheric and local topography can also lead to heavy precipitation events along the central coastal plains of Vietnam. Another interesting finding of this study is that even though short periods of heavy rainfall from deep convection also contributed, the extreme rainfall of the D18 event was mainly from the persistent rain from nimbostratus clouds (Ns) that do not possess a high reflectivity or a very cold cloud top.

One of the features of the D18 event is that the main heavy rain band moved from the north to south of the study area during the event. The analysis of the local thermodynamic reveals the movement of the convergence northeasterly wind zone in the north of the study area from north to south. This movement dragged along the movement of the convergent moisture zone. The movement of convergent moisture zone results in precipitation water column moving from north to south. Consequently, the main heavy rain band moved from north to south.

The evaluation of model simulation results at a grid size of 2.5 km indicates the following. In the CTRL, the model has well simulated the surface wind as well as captured the wind convergence's southward movement, the CReSS model has reproduced this event's rainfall field quite well, for both daily and three-day accumulations, but with some displacement errors. In terms of objective verification skill scores, in particular, CReSS displays high skills at heavy-rainfall thresholds for both daily rainfall (TS \geq 0.1 at 200-350 mm and FSS \approx 0.5 for 9 and 10 December) and 72-h total (TS \approx 0.1 at 700 mm and FSS \approx 0.7). However, the rainfall simulation is less ideal for 11 December (TS drops to zero at thresholds \geq 75 mm), which had less rainfall and is at a longer range (than the previous two days). Besides, the model also captured the southward movement of the main heavy rain band during the event, as seen in the observed data. In the sensitivity test of NTRN where the topography is removed, the model has poorly simulated the surface wind and did not capture the southward movement of the wind convergence zone. This led to the model produced a different rainfall pattern not along the coast as observed (and in CTRL), thus confirming the important role by the Truong Son Range in this event. In addition, the evaluation of simulation results also

Formatted: Indent: First line: 0.31"

Formatted: Justified, Indent: First line: 0.31", Space

Before: 6 pt, After: 6 pt

Formatted: Font color: Green

Formatted: Font color: Green

Formatted: Font color: Green

Formatted: Font color: Green

Formatted: Font color: Auto

629 shows that the CReSS model has well simulated the surface winds, both in their direction and 630 magnitude. 631 Generally, these results enhanced our knowledge about the mechanisms which cause the heavy Formatted: Font color: Green 632 rainfall in central Vietnam, as well as explained features of the D18 event. The above result also shows the promising capacity of the CReSS model for research and forecast of heavy rainfall in 633 634 Vietnam. In a follow-up paper, a set of high-resolution time-lagged ensemble prediction is performed using the CReSS model, and the predictability of the D18 event will be evaluated. 635 636 Code and data availability 637 The CReSS model used in this study and its user's guide are available at the model website at Formatted: Font color: Auto Formatted: Font color: Auto 638 http://www.rain.hyarc.nagoyau.ac.jp/~tsuboki/cress html/index cress eng.html. 639 **Author contribution** 640 Duc Van Nguyen prepared datasets, executed the model experiments, performed analysis, and Formatted: Font color: Auto prepared the first draft of the manuscript. Chung-Chieh Wang provided the funding, guidance and 641 Formatted: Font color: Auto Formatted: Font color: Auto 642 suggestions during the study, and participated in the revision of the manuscript. 643 **Competing interests** 644 The authors declare that they have no conflict of interest. 645 Acknowledgement. We thank Mr. Nguyen Tien Toan at Mid-central Regional Hydro-Meteorological Centre, Viet Nam for kindly providing the observed rainfall and radar data, as well 646 647 as his comment. We acknowledge the free use of ECMWF ERA5 from Copernicus Climate Change Service (C3S) Climate Data Store (CDS) https://www.ecmwf.int/en/forecasts/datasets/ reanalysis-648 datasets/era5. The Vietnam Gridded Precipitation rainfall dataset is available at 649 http://danida.vnu.edu.vn/cpis/en/content/gridded-precipitation-data-of-vietnam.html. The TRMM 650

- 3B42 satellite data are from https://disc.gsfc.nasa.gov/datasets/TRMM 3B42 7/summary. The IR1
- 652 Himawari imagines data are from Central Weather Bureau, Taiwan at https://www.cwb.gov.tw.

653 References

657

- 654 Akter, N., and Tsuboki, K.: Characteristics of Supercells in the Rainband of Numerically Simulated
- 655 Cyclone Sidr., SOLA, 6A, 025–028. https://doi.org/10.2151/sola.6A-007, 2010.
- 656 Akter, N., and Tsuboki, K.: Numerical Simulation of Cyclone Sidr Using a Cloud-Resolving Model:
 - Characteristics and Formation Process of an Outer Rainband. Mon. Wea. Rev, 140, 789-810.
- 658 <u>http://dx.doi.org/10.1175/2011MWR3643.1</u>, 2012.
- 659 Bui, M.T.: Extratropical forcing of submonthly variations of rainfall in Vietnam, J. Climate, 32 (8),
- 660 2329-2348, 2019.
- 661 Chen, T.-C., Tsay, J.-D., Yen, M.-C., and Matsumoto, J.: Interannual variation of the late fall rainfall
- in central Vietnam, J. Climate, 25, 392–413, 2012.
- 663 Cotton, W.R., Tripoli, G.J., Rauber, R.M., and Mulvihill, E.A.: Numerical simulation of the effects
- of varying ice crystal nucleation rates and aggregation processes on orographic snowfall. J.
- 665 Climate Appl. Meteorol. 25, 1658–1680, 1986.
- Deardorff, J. W.: Stratocumulus-capped mixed layers derived from a three-dimensional model,
- Bound.-Lay. Meteorol., 18, 495–527, 1980.
- 668 Huffman, G.J., D.T. Bolvin, E.J. Nelkin, and R.F. Adler.: TRMM (TMPA) Precipitation L3 1 day
 - 0.25 degree x 0.25 degree V7, Edited by Andrey Savtchenko, Goddard Earth Sciences Data and
- 670 Information Services Center (GES DISC), Accessed on 10-12-2019,
- 671 10.5067/TRMM/TMPA/DAY/7, 2016.
- Hersbach, H., Bell, B., Berrisford, P., Biavati, G., Horányi, A., Muñoz Sabater, J., Nicolas, J., Peubey,
- 673 C., Radu, R., Rozum, I., Schepers, D., Simmons, A., Soci, C., Dee, D., and Thépaut, J-N.: ERA5

674	hourly data on pressure levels from 1979 to present. Copernicus Climate Change Service (C3S)
675	Climate Data Store (CDS). (Accessed on 14-06-2021). Doi: 10.24381/cds.bd0915c6, 2018b.
676	Hersbach, H., Bell, B., Berrisford, P., Biavati, G., Horányi, A., Muñoz Sabater, J., Nicolas, J., Peubey,
677	C., Radu, R., Rozum, I., Schepers, D., Simmons, A., Soci, C., Dee, D., and Thépaut, J-N.: ERA5
678	hourly data on single levels from 1979 to present. Copernicus Climate Change Service (C3S)
679	Climate Data Store (CDS). (Accessed on 14-06-2021). DOI: 10.24381/cds.adbb2d47, 2018a.
680	Ikawa, M., and Saito, K.: Description of a non-hydrostatic model developed at the Forecast Research
681	Department of the MRI, MRI Technical report 28, Japan Meteorological Agency, Tsukuba,
682	Japan, 1991.
683	Kondo, J.: Heat balance of the China Sea during the air mass transformation experiment, J. Meteorol.
684	Soc. Jpn., 54, 382–398, https://doi.org/10.2151/jmsj1965.54.6_382, 1976.
685	Lin, YL., Farley, R.D., and Orville, H.D.: Bulk parameterization of the snow field in a cloud model.
686	J. Climate Appl. Meteorol. 22, 1065–1092, 1983.
687	Louis, J. F., Tiedtke, M., and Geleyn, J. F.: A short history of the operational PBL parameterization
688	at ECMWF, in: Proceedings of Workshop on Planetary Boundary Layer Parameterization, 25–
689	27 November 1981, Shinfield Park, Reading, UK, 59–79, 1982.
690	Murakami, M.: Numerical modeling of dynamical and microphysical evolution of an isolated
691	convective cloud – the 19 July 1981 CCOPE cloud, J. Meteorol. Soc. Jpn., 68, 107–128, 1990.
692	Murakami, M., Clark. T.L., and Hall, W.D.: Numerical simulations of convective snow clouds over
693	the Sea of Japan: Two-dimensional simulation of mixed layer development and convective
694	snow cloud formation, J. Meteorol. Soc. Jpn. 72, 43–62, 1994.
695	Nguyen-Le, D., and Matsumoto, J.: Delayed withdrawal of the autumn rainy season over central

Vietnam in recent decades. Int. J. Climatol., 36, 3002–3019, 2016.

- 697 Nguyen-Thi, H.A., Matsumoto, J., Ngo-Duc, T., and Endo, N.: Long-term trends in tropical cyclone
- 698 rainfall in Vietnam. J. Agrofor. Environ., 6(2), 89–92, 2012.
- 699 Nguyen-Xuan, T., Ngo-Duc, T., Kamimera, H., Trinh-Tuan, L., Matsumoto, J., Inoue, T., and Phan-
- 700 Van, T.: The Vietnam Gridded Precipitation (VnGP) Dataset: Construction and validation.
- 701 SOLA, 12, 291–296, https://doi.org/10.2151/sola.2016-057, 2016.
- 702 Ohigashi, T., and Tsuboki, K.: Shift and intensification processes of the Japan-Sea Polar-Airmass
 - Convergence Zone associated with the passage of a mid-tropospheric cold core. Journal of the
- 704 Meteorological Society of Japan, 85(5), 633-662, 2007.
- 705 Roberts, N.M., and Lean, H.W.: Scale-selective verification of rainfall accumulations from high-
- resolution forecasts of convective events. Mon. Wea. Rev., 136, 78–97, 2008.
- 707 Segami, A., Kurihara, K., Nakamura, H., Ueno, M., Takano, I., and Tatsumi, Y.: Operational
 - mesoscale weather prediction with Japan Spectral Model, J. Meteorol. Soc. Jpn., 67, 907-924,
- 709 <u>https://doi.org/10.2151/jmsj1965.67.5_907, 1989.</u>
- 710 Tran, T., Coauthors: The Climate Change and Sea Level Rise Scenarios for Viet Nam. The Ministry
- of Natural Resources and Environment. Page count:170, 2016.
- 712 Tsuboki, K., and Sakakibara, A.: Large-Scale Parallel Computing of Cloud Resolving Storm
- 713 Simulator. In: Zima H.P., Joe K., Sato M., Seo Y., Shimasaki M. (eds) High Performance
- Computing. ISHPC 2002. Lecture Notes in Computer Science. Springer, Berlin, Heidelberg.
- 715 Vol 2327, https://doi.org/10.1007/3-540-47847-7_21, 2002.
- 716 Tsuboki, K., and Sakakibara, A.: CReSS User's Guide (17th IHP training course text). Page count:
- 717 273, 2007.

703

- 718 Takahashi, H.G., Yoshikane, T., Hara, M., and Yasunari, T.: High-resolution regional climate
- 719 simulations of the longterm decrease in September rainfall over Indochina. Atmos. Sci. Let., 10,
- 720 14–18, doi:10.1002/asl.203, 2009.

- 721 Vu, V.T., Nguyen, T.H., Nguyen, V.T., Nguyen, V.H., Pham, T.T.H., and Nguyen, T.L.: Effects of 722 ENSO on Autumn Rainfall in Central Vietnam. Advances in Meteorology, Vol. 2015, Article ID 264373, 12 pages. http://dx.doi.org/10.1155/2015/264373, 2015. 723 724 van der Linden, R., Fink, A.H., Phan-Van, T., and Trinh-Tuan, L.: Synoptic-dynamic analysis of early dry-season rainfall events in the Vietnamese central highlands. Mon. Wea. Rev., 144, 1509-725 1527. https://doi.org/10.1175/MWR-D-15-0265.1, 2016. 726 727 Wilks, D.S.: Statistical Methods in the Atmospheric Sciences, Academic Press. Page count: 648. 728 Wang, C.-C., Lin, B.-X., Chen, C.-T., Lo, S.-H.: 2015. Quantifying the effects of long-term climate 729 change on tropical cyclone rainfall using cloud-resolving models: Examples of two landfall 730 typhoons in Taiwan, J. Climate, 28, 66-85. https://doi.org/10.1175/JCLI-D-14-00044.1, 200615. 731 Wu, P., Fukutomi, Y., and Matsumoto, J.: The impact of intraseasonal oscillations in the tropical 732 atmosphere on the formation of extreme central Vietnam precipitation. SOLA, 8, 57-60. 733 https://doi.org/10.2151/sola.2012-015, 2012. 734 Wang, C. G., Liang, J., and Hodges, K. I.: Projections of tropical cyclones affecting Vietnam under climate change: Downscaled HadGEM2-ES using PRECIS 2.1, Quart. J. Roy. Meteor. Soc.,143, 735 736 1844-1859, https://doi.org/10.1002/qj.3046, 2017. 737 Wang, C.-C., Tsai, C.-H., Jou, B.J.-D., and David, S.J.: Time-Lagged Ensemble Quantitative
- Yokoi, S., and Matsumoto, J.: Collaborative effects of cold surge and tropical depression–type disturbance on heavy rainfall in central Vietnam, Mon. Wea. Rev., 136, 3275–3287.

 https://doi.org/10.1175/2008MWR2456.1, 2008.

1193. https://doi.org/10.3390/atmos13081193, 2022.

738

739

740

Precipitation Forecasts for Three Landfalling Typhoons in the Philippines Using the CReSS

Model, Part I: Description and Verification against Rain-Gauge Observations. Atmosphere, 13,

Field Code Changed

744	Yen, M.C., Chen, TC., Hu, HL., Tzeng, RY., Dinh, D.T., Nguyen, T.T.T., and Wong, C.J.:
745	Interannual variation of the fall rainfall in Central Vietnam, J. Meteor. Soc. Japan, 89A, 259-270.
746	https://doi.org/10.2151/jmsj.2011-A16, 2010.
747	Yamada, H., Geng, B., Uyeda, H., and Tsuboky, K.: Role of the Heated Landmass on the Evolution
748	and Duration of a Heavy Rain Episode over a Meiyu-Baiu Frontal Zone, Journal of the
749	Meteorological Society of Japan, Vol. 85, No. 5, 687-709, 2007.
750	Website:
751	Tuoi Tre news (2018) https://tuoitre.vn/mien-trung-tiep-tuc-mua-lon-14-nguoi-chet-va-mat-tich-
752	<u>20181212201907413.htm</u> .
753	Communist Party of Vietnam Online Newspaper (2018) https://dangcongsan.vn/xa-

hoi/mua-lon-tai-mien-trung-la-bieu-hien-ro-ret-cua-bien-doi-khi-hau---507626.html