

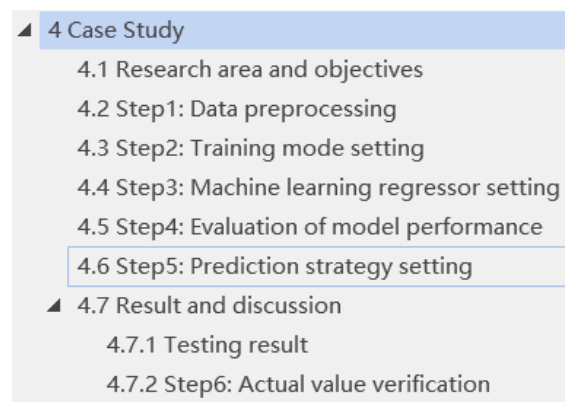
Dear Editors and Reviewers:

Thank you for your letter and for the reviewers' comments concerning our manuscript entitled "A Multi-strategy-mode-waterlogging-prediction Framework for Urban Flood Depth" (ID: nhess-2022-36). Those comments are all valuable and very helpful for revising and improving our paper, as well as the important guiding significance to our research. We have studied comments carefully and have made the correction which we hope meet with approval. The main corrections in the manuscript and the responses to the reviewer' s comments are as flowing:

**Comment RC#1-1: A flowchart is necessary for the case study as well, as there have been many details regarding data extraction, preprocessing and comparison between methods, etc. in the case study.**

**Reply:** Your comment is constructive, and we believe that a flowchart would more clearly reflect the steps of the case study and allow for a more progressive approach to the results and conclusions. We have modified the case study sections according to the process steps in the framework and expressed them in flowchart form.

**Relevant changes:** In line 329 to 529, we rewrite the implementation process of the case study according to the workflow of the framework, conducting detailed experiments on the case from data integration to truth verification and discussing the results. We changed the structure as follows:



A screenshot of a table of contents for the '4 Case Study' section. The items are listed with a small triangle icon to the left of the section number. Item 4.6 'Step5: Prediction strategy setting' is highlighted with a blue border.

▲ 4 Case Study
4.1 Research area and objectives
4.2 Step1: Data preprocessing
4.3 Step2: Training mode setting
4.4 Step3: Machine learning regressor setting
4.5 Step4: Evaluation of model performance
4.6 Step5: Prediction strategy setting
▲ 4.7 Result and discussion
4.7.1 Testing result
4.7.2 Step6: Actual value verification

**Comment RC#1-2: Figure 13 to 15, if not individually discussed in detail, are suggested to be moved to appendix.**

**Reply:** Thanks for the opinion. Given the large number of figures in this section, we have placed figures 13 to 15 in the appendix to simplify the manuscript and give a brief description.

**Relevant changes:** In line 775, we have moved Figures 13-15 to the appendix as suggested by the reviewers.

Comment RC#1-3: **There still remain grammatical errors throughout the manuscript. A thorough proofreading is needed.**

**Reply:** Considering the reviewer's suggestion, we have revised the grammar and words throughout the manuscript to enhance the grammatical accuracy.

**Relevant changes:** We have rewritten and proofread the manuscript, corrected grammatical and word errors, and rewritten some sentences. In the *highlight version of the supplemental file* (the line numbers in this response refer only to the highlight version file), the changes made are highlighted.

Comment RC#2-1: **First, the paper should be more clarified and concise. The introduction and literature review sections are lengthy, but fail to identify both the research gap in the current literature and the research questions to be addressed in this paper. I recommend to integrate the two sections, and clarify the research questions based on the literature review.**

**Reply:** We have rewritten the introduction and literature review sections. On the one hand, we focus on the strengths and weaknesses of the previous literature studies, find the urgent research questions and define our research objectives in this way. On the other hand, we mainly focus on flooding risk prediction and exclude the part of the literature on risk identification and risk assessment that is not very relevant. The introduction and literature review sections are more concise and logical.

**Relevant changes:** In line 53 to 67, a more representative literature review of some physical models is added, and some literatures on machine learning that is less relevant are removed.

*“Two of the most well-known hydrodynamic models and the most used models are SWMM (Rossman 2010) and MOUSE (DHI 2016a). Conventional modeling approaches (1D and 1D-1D) can simulate quite accurately the drainage network. However, in cases of major rainfall events, these types of models are not able to simulate inundation depth in built-up areas and to visualize flood extent. Kourtis I. M. presents and assesses two different modeling approaches for the assessment of urban flooding in a small urban catchment located in the center of Athens, Greece (Kourtis et al., 2017). Yu et al. applied a 2D raster-based diffusion-wave model to determine patterns of fluvial flood inundation in urban areas by using high-resolution topographic data and explored the effects of spatial resolution upon estimated inundation extent and flow routing process. The disadvantage of the 2D model is that it is difficult for the raster data model to predict the submerged area changing with time, and the performance of flow process is relatively simplified due to poor description of momentum transfer on a flood plain (D. Yu & Lane, 2006a). But its advantages are also obvious. Compared with the finite element method, finite difference method, and finite volume method, the 2D model is easy to write, with high computational efficiency and simplified calibration (D. Yu & Lane, 2006b). Abedin used SCS-CN method to estimate surface runoff, superimposed Flow direction Grid and Weight Grid to obtain Flow length Grid, and then obtained Travel Time Grid (Abedin & Stephen, 2019). Zhang et al. presented a model using a new three-dimensional (3D) flooding model, which is*

*an unstructured mesh, finite element model that solved the Navier-Stokes equations and developed based on Fluidity (T. Zhang et al., 2015)."*

*"Bui et al. compared the performances of ANN, SVM, and RF in general applications to floods, whereby RF delivered the best performance (Tien Bui et al., 2015). Ouyang et al. (Ouyang et al., 2016) and Zhang et al. presented a review of the applications of ensemble ML methods used for floods. EPSs were demonstrated to have the capability for improving model accuracy in flood modeling (Zhang et al., 2018). Discrete wavelet transform (DWT) is widely applied in, e.g., rainfall runoff (Ravansalar et al., 2017), daily streamflow (Guimarães Santos & Silva, 2014), and reservoir inflow. The accuracy of prediction is improved through DWT, which decomposes the original data into bands, leading to an improvement of flood prediction lead times."*

In the data-driven models paragraph in line 88, the detailed literatures on SVM and SVR were removed:

*"SVMs are more suitable for nonlinear regression problems, to identify the global optimal solution in flood models (Tehrany, Pradhan, Mansor, et al., 2015). Although the high computation cost of using SVMs and their unrealistic outputs might be demanding. SVM is used to predict a quantity forward in time based on training from past data. Over the past two decades, the SVM was also extended as a regression tool, known as support vector regression (SVR) (Li et al., 2016). Gizaw and Gan (Gizaw & Gan, 2016) developed SVR and ANN models for creating RFFA to estimate regional flood quantiles and to assess climate change impact. The SVR model estimated regional flood more accurately than the ANN model. be a suitable choice for predicting future flood under the uncertainty of climate change scenarios."*

*Lohani et al. created a rainfall runoff modeling for water level with Adaptive Neuro-Fuzzy Inference System (ANFIS) (Lohani et al., 2014).*

In line 130, the discussion of the advantages and disadvantages of hybrid machine learning method has been removed.

*"This can also be called a "generalization problem", which indicates how well the trained system can predict cases it was not trained for, i.e., whether it can predict beyond the range of the training dataset."*

**Comment RC#2-2: Second, other sections should also be shortened and presented in a more direct way. For instance, I did not get the information I expected from a conclusion section although length is enough. There are 10 tables and 15 figures, exhausting the readers to get the key information.**

**Reply:** Sorry for the misleading content. We have carefully considered review comments, shortened the length of the data processing and result analysis sections, and removed some of the non-core bases for conclusions that may be confusing and misleading to the reader. The conclusions and the basis of the experimental results supporting the conclusions are highlighted. The results of figures 13 to 15 are briefly presented in the text, and the three figures are placed

in the appendix section to make the text more concise and readable.

**Relevant changes:** In order to facilitate readers to accurately access the important information in the article and clearly understand the arguments of the article. We started by first reshaping the case study according to the workflow of the framework, omitting some of the data processing and data analysis processes.

In line 432 to 440, the comparative descriptions of the results in Tables 2 to 6 were rewritten.

*“The testing results of different modes are shown in Table 2 to Table 6. The numbers in brackets represent the ranking of evaluation indicators (MAE, RMSE, R2score) among different modes of the same algorithm. Bold font indicates the top results of the same algorithm with different modes. The underlined number indicates that the indicator is in the top 50% of the best results (bold) between different algorithms. Taking mode (3)-KRR as an example, in KRR optimal indicator, RMSE is 0.0051, ranking the second, MAE is 0.0013, ranking the third, R2score is 0.9779, ranking the third, so the underlined indicator of KRR is 3.”*

In line 451 to 489, the discussion of the results between modes were rewritten.

*“Among the five modes, mode (1) only uses rainfall data to predict waterlogging accumulation and gets the worst testing result. When  $m$  changes from 6 to 24, the R2 score of RR changes from 0.1209 to 0.3954, which is 3.27 times of the initial value. In this mode, the larger  $m$  is, the more information the model learns and the better the testing performance is. By comparing the predicted value with the actual value, the results of the eight kinds of regression methods all have large noise when the actual waterlogging depth is 0. Even though KRR and LR can achieve good trend prediction at the peak, there are still large noise fluctuations in most of the time (Figure 7). This phenomenon may be caused by the lack of historical waterlogging time series input, so the noise suppression is not good.*

*In mode (2), the prediction performance of LR and TR becomes better as  $m$  increases, which is the same as that of mode (1). However, RFR, RR, KRR are not sensitive to parameter changes.*

*In mode (3), the larger the parameter  $n$  is, the better the model may not be. For example, the optimal results of RFR, RR and KRR are obtained when  $n=6$ , which the R2score of the three exceeds 0.977, indicating that the early information of waterlogging depth is not helpful to the prediction of waterlogging depth in the future, and may cause some interference. For LR, with the same number of parameters, the result of mode (3) is better than that of mode (2). The main reason is that mode (3) extracted more historical waterlogging depth information, which changes by a continuous process in short-term prediction. However, this does not mean that the model has the best performance, because it contains insufficient rainfall information and may not perform well in the practical application of prediction.*

*Mode (4) coupled multiple rainfall and waterlogging inputs. Overall, the results of mode (4) are better than that of mode (2) and mode (3) with one-dimensional input ( $m=1$  or  $n=1$ ). In this mode, the TR and RFR methods achieved the best testing results. TR achieved 0.9778 R2score and 0.0050 RMSE ( $m=24$ ,  $n=3$ ). The R2score of RFR reached 0.9803 and RMSE reached 0.0049 ( $m=6$ ,  $n=3$ ).*

*Mode (5) expanded the rainfall input and considered the influence of future rainfall. When parameters are adjusted to  $m=6(3:3)$  and  $n=6$ , LR evaluation indicator (MAE=0, RMSE=0*

and  $R2score=1$ ) is abnormal. This problem also exists in RFR, TR, etc. The main reason is that the prediction label has been included in the input time series, so the result of  $m=6(3:3)$ ,  $n=6$  in mode (5) should be removed in the discussion. Based on the performance of mode (5) on the test set, the performance of mode (5) will get better after the predicted time is expanded. The reason is that mode (5) expands the rainfall input and considers the influence of future rainfall. Especially, the rainfall model with short duration and high intensity is more suitable for this mode. There is also a special case in mode (3) when  $m=0$ , only waterlogging data is used to predict future water changes. This mode is not listed separately in this paper, because with the increase of prediction time, the previous information of waterlogging can no longer accurately predict the trend of subsequent value, and the model performance will decline rapidly. As shown in Figure 8, (a) only 30 minutes of waterlogging data were used for prediction, and (b) 30 minutes of waterlogging with 90 minutes of expanded rainfall were used as input. With the increase of prediction time, the difference of prediction performance between them increases gradually. In the prediction of 40minutes waterlogging,  $R2score$  of (b: 0.6841) is 2.4 times that of (a: 0.2847) when TR method is applied. Using the RFR method,  $R2score$  and MAE of (b:  $R2score=0.7428$ ,  $MAE=0.0044$ ) also significantly exceeds (a:  $R2score=0.6488$ ,  $MAE=0.0053$ ). Especially for the prediction of medium-high value, in the case of high value (0.30m) and medium value (0.13m), the prediction results of (a) are 0.82m and 0.73m, and the large error leads to poor accuracy in the prediction of medium-large scale waterlogging.”

In line 516 to 520, the excellent performance of mode5 is described and the possible reasons are explained in terms of mechanism

“To sum up, mode (5) performs better than any other modes, indicating that the short-term prediction of waterlogging considering the change of future rainfall trend is more realistic. LR seems to have achieved good prediction results in all the five modes. However, the factor that cannot be ignored is that the original waterlogging depth data is sparse and uneven, which must be resampling interpolation processing. It is necessary to go through actual value test to judge whether LR method is really applicable to prediction.”

In line 595 to 612, the important conclusions of the paper are described in a relatively short space, discussing which configuration becomes the best choice for flooding depth prediction in the short term under the MSMWP framework in terms of accuracy, computational efficiency, and other aspects of the choice of mode, strategy, and algorithm.

“In this framework, different prediction strategies were discussed and used to predict multiple dimensions of waterlogging. Results show that the mode of expanded-multi-R and multi-D performs better than any other modes; five regression algorithms are more suitable for waterlogging prediction. Recursive and Multi-Output strategies have a better performance and robustness, but MO prediction strategy has not only higher performance but also more efficient.”

Comment RC#2-3: **Third, I cannot understand why the authors did not include elevation data in the methodology, which should be a critical factor in determining urban floods.**

**Reply:** Elevation data is important for flood hazard prediction and simulation because elevation

directly affects surface runoff flow direction and velocity. It helps researchers to delineate catchment areas, determine watersheds and outlets, etc. The above mainly applies to flood risk prediction and simulation based on hydrodynamic methods. The manuscript is mainly devoted to solving the problem of predicting the future waterlogging depth of urban flood-prone points (determined by municipal management based on historical flooding events). It can solve the problem of the temporal distribution of urban flooding. In lines 154-156, we illustrate that the variables affecting the temporal distribution of flooding depth are mainly rainfall and previous moment flooding depth for sensor sites. The elevation data, surface type data, drainage network distribution data, etc. are constant in these flood-prone points. Hence, they can be regarded as static factors in the machine learning black box model, where the input variables are real-time rainfall data and previous waterlogging depth data, and the output variables are future waterlogging depth. Considering that the current urban ponding waterlogging sensors mainly perform limited real-time monitoring functions and lack prediction functions. Combining the historical flooding depth data of these points and implementing the model configuration, training and correction under this framework can enhance the prediction capability of future waterlogging depth at flood-prone points, which is crucial for the government to release early warning information and carry out emergency dispatch in a timely manner.

Comment RC#2-4: **Finally, grammatical errors are throughout the manuscript.**

**Reply:** Considering the reviewer' s suggestion, we have revised the grammar and word throughout the manuscript to enhance the grammatical accuracy.

**Relevant changes:** We have rewritten and proofread the manuscript, corrected grammatical and word errors, and rewritten some sentences. In the *highlight version of the supplemental file* (the line numbers in this response refer only to the highlight version file), the changes made are highlighted.

Based on the review comments, we rewrote the results analysis and conclusion sections of the manuscript to make the key messages of this study clearer and easier to read for the reader. In the case study section, a step-by-step workflow approach was also used for the progressive study. The section is more clearly organized overall from data description and processing to the application of the research methodology. Some of the conclusions and their arguments that were not highly relevant to the research questions were removed from the manuscript to allow the reader to better focus on the various tasks that were conducted with the research objectives in mind. We have revised the wording and grammar of the manuscript and corrected some grammatical errors.

Thanks to the editor and reviewers' professional comments, we could quickly target the problems and make targeted corrections. We tried our best to improve the manuscript and made some changes.

Yours sincerely,

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