

REVIEWER #2

The presented manuscript characterizes the extreme flood event in July 2021 in western Germany from meteorological, hydrological and geomorphological perspectives. The manuscript is a companion manuscript to Mohr et al. (2022) and focusses on putting the above-mentioned event characteristics into historical perspective. Furthermore, modelling experiments following pseudo-global warming storyline approach were performed with a climate model at convection permitting resolution for scenarios within -2K to +4(+3)K range. The resulting ensemble was used to run a hydrological catchment model to estimate the impact on flood flows. Additionally, the hydro-morphological changes and their impact on flood hazard are discussed.

The manuscript is well-written and mostly well structured. However, it is not concise and some excursions can be significantly shortened as proposed below. The analysis of event severity in meteorological and hydrological terms is not only nicely placed into historical context, but due to selection and analysis of various regions, the spatio-temporal perspective of the July 2021 is well elucidated. The use of LAERTES-EU ensemble and two complementing approaches (PGW and scenario ensemble) to assess the effect of climate change on meteorological and hydrological hazard strongly enrich the presentation. I consider the manuscript to be a valuable contribution to the analyses of 2021 flood event and a good fit to NHESS journal. Said that, the current presentation needs significant revision to address several mostly structural issues and a number of minor issues related to formulations. At several occasions clarity is lacking. I therefore suggest major revision.

With kind regards,

Sergiy Vorogushyn

A: First, we would like to thank Sergiy Vorogushyn for his insightful comments, which have greatly contributed to improving the text. In making corrections, we have tried to follow as closely as possible the suggestions made.

Major comments:

L105ff: I feel research questions III and IV are very similar and refer to the potential characteristics of precipitation under future climate conditions and their implications for flood events. Consider merging these two questions.

A: We agree with the reviewer, that both RQ III and IV are related to climate change. However, for RQ III we focus specifically on the Ahr event by a storyline approach, while for RQ IV, we use the traditional way to detect climate change signals based on an RCM ensemble for a larger region. We would like to keep both questions, as e.g., the results of the discharge modeling refer specifically to RQ III.

L170-174: At this stage it is not quite clear what exactly you intend to do, i.e. what you mean by “broader classification”. Some more specific goal setting would be helpful.

A: We agree and will add in a revised version of the manuscript, at the beginning of Sect. 2.1.2, a sentence explaining our objectives, before introducing the data sets required to achieve them.

L274-275: The definition of HPEcrit should be more precise. Do you first identify the exceedance of 50-year return period on the KOSTRA 8x8 km grid and then count cells with exceedance if they form a contiguous area above 1000 km²? Do you compute return periods at 1x1 km HYRAS-DE resolution and compare to 8x8 km² KOSTRA or do you regrid HYRAS-DE to the KOSTRA resolution? Specify details in the manuscript.

A: First, we did a geographical comparison of the KOSTRA cells with the HYRAS cells to get all HYRAS cells within a KOSTRA cell. Second, we take the 50-year return value from KOSTRA and assign it to all HYRAS cells within. Then, we count all contiguous HYRAS cells exceeding the KOSTRA threshold. At last, an event is fulfilling the HPEcrit, when it exceeds 1000km², so approx. 1000 contiguous HYRAS cells. We rewrote the paragraph for clarification and better understanding.

Related to the previous comment: Is the area (A) in Table S2 the contiguous area of HYRAS-DE grid cells exceeding 50-year return period for 24hour rainfall in comparison to KOSTRA? If so, specify this in caption.

A: Yes, the area A given in Table S2 is the contiguous area within HYRAS-DE exceeding the KOSTRA threshold for a 50-year return period. We will specify it in the caption.

L303-305: First, not all 26 but only 20 events are displayed in Fig. 2 and Fig. S1. I am not sure if you have enough evidence to claim that if heavy rainfall occurs in eastern and southeastern Germany during summer it is associated with Vb weather patterns. This is indeed documented in the literature for some of the presented events, like 2002, but I am not aware of such established association with Vb for all of the listed events. This statement should be relaxed and maybe shortened.

A: First, we will add the missing events to the supplementary figure in the revised version. Also, we agree with the reviewer, that heavy rainfall in eastern and southeastern Germany during summer is not necessarily associated with Vb weather patterns. We will reformulate this paragraph as suggested.

L313-329: this description can be significantly shortened, particularly by focusing on the July 2021 event in relation to a few other events in terms of precipitation location, area, intensities etc. L325-329: this somewhat arbitrary distinction into two types of rainfall field is difficult since the data only covers Germany and not riparian countries. I would omit this. Having read the analysis in section 3.1.1 I question the introduction and use of PSI in the context of this study. It does not bring much additional information, but causes quite some confusion in the results. Yes, it has persistence as additional characteristic, but results in the end in a quite different ranking of events. Since the purpose of the study is not to compare different event indices (and there are a few others out there, like WEI (Müller & Kaspar, 2014) and xWEI (Voit & Heistermann, 2022)), but to put the July 2021 into the historical context, I would suggest to focus only on the HPEcrit index and omit PSI. It would improve the clarity of the manuscript. Figure 2 should then be redesigned for HPEcrit index.

A: Thank you for this comment. As suggested, we will shorten and rearrange Section 3.1.1., also skipping the paragraph on the separation into two types. We agree that the PSI part feels like a foreign body with no clear added value regarding our research questions. Therefore, we will change Fig.3 as suggested from PSI to other quantities of Table 2 that are partly included in HPEcrit as well and adjust the text accordingly. Furthermore, we will remove the PSI-related columns in Table 2.

Chapter 3.3 needs considerable revision. It starts with putting the July 2021 event into the historical perspective but then loses the focus. I would suggest to focus on general changes in the landscape including (1) urbanization, (2) construction of bridges and transport of infrastructure, (3) land use & agricultural practice and support them with a few examples.

A: We revise and simplified this Subsection. We didn't necessarily align it according to the topics suggested by the reviewer, but we believe that it is now clearer, more organized and linked to the factual observations, and better streamlined with the objectives of the paper.

Section L510-529 can be omitted. You can use the examples from local places (Altenahr, Schuld, Dernau), but these should be introduced and shown on a map. It is not possible for a reader not being acquainted with the local geography to understand these details. Either refer to figures PART1 or introduce those localities here. In overall, I would significantly shorten the description of those examples of geomorphological long-term changes.

A: We kept the first paragraph in this section but simplified it. The remaining text was excluded or integrated in the conclusions.

L548-551: This finding is not surprising if you prescribe CC-scaling for specific humidity of the initial and boundary data (L230-232), is it? Then one should rather discuss the limitation of this setup and not directly confirm it with observational evidence.

A: Climate model projections show that the increase in water vapor leads to robust increases in precipitation extremes everywhere, with a magnitude that varies between 4% and 8% per 1°C of surface warming (see IPCC AR6, Chapter 11, p 1526). Thus, there is a relatively wide range of precipitation increase regarding the imprinted thermodynamic forcing. With our PGW simulations, we can estimate the exact precipitation increase per 1K warming precisely and specifically for the 2021 event. However, we agree that some discussion on the limitation of the setup (only changes in thermodynamics, not in the dynamics) is lacking, which will be adjusted in the revised version.

L553 -561: This paragraph should be formulated more precisely. Are you talking here of the average July 2021 precipitation in a specific region? I am then puzzled, how can the return period of this precipitation in a colder climate become smaller (5 years) compared to the warmer climate (20 years). L558: there is no return period of the control run, but of some precipitation amount. Overall, it is difficult to keep track of numbers and their changes for specific regions, please, consider bringing these numbers and regions into one table. Also, the hydrological results (L580-586) can be introduced into this table.

A: We agree that this is a bit confusing, and we will reformulate this paragraph accordingly. In principle, we calculated the spatial mean daily precipitation totals for the LReg and SReg domain from the PGW control run, the -1K, and the +2K scenario. These values (in mm) are put into the LAERTES statistics (Fig. 5), which always refer to present-day conditions. This means, for example, that the precipitation total of the present-day PGW control run for the smaller SReg domain has a return period according to present-day LAERTES statistics of about 10 years while the precipitation totals of the PGW -1K simulation would have a return period of 5 years in present-day LAERTES statistics, i.e., when it would occur today, and so on. We will also add missing values and merge all numbers of sections 4.1.1 and 4.1.2 as suggested into a new table where possible.

L586: Can the conclusion about the amplification of hydrological response be supported by the model analysis? You can derive runoff coefficients, share of quick(overland) flow, concentration times. Of course, these are modelled indicators which may or may not reflect the reality, but at least it will explain the model response.

A: It would be really interesting to investigate in more depth the particular hydrological causes for the amplification of the cc-scaling in the hydrological response. However, it is beyond the scope of this work, as we do not have direct access to the LARSIM model: the calculations were done by the water authorities of Rhineland-Palatinate. We will however in a revised version of the manuscript add to Sect. 5 a sentence suggesting this analysis for further research.

L611-612: Why is it so? Can you speculate about a possible reason?

A: The presented relative change estimates are average values over all ensemble members and grid points. We took a more detailed look at it by including the ensemble spread via the interquartile range (25th to 75th percentile) in Table S3. Doing so it turned out, that the rather small differences between CReg and LReg of about 1% are within the uncertainty range/confidence bounds of the ensemble. Therefore, we removed this sentence and reformulate the paragraph relating to the new additional numbers in Table S3.

Chapter 4.3 feels like a foreign body. It is not really linked to the rest of the manuscript and contains very general discussion not linked to any concrete findings. I suggest to remove it completely, but use some (few) thoughts and literature references in redesigned Chapter 3.3 focusing on geomorphological perspective. But the focus on historical changes should be kept in order to be consistent with the original scope of the manuscript.

A: We swapped the initial Subsection 4.3 for one more simplified, and focusing on the potential effects of climate change in hydro-morphodynamic processes, more overall aligned with the Section.

Discussion and conclusion chapter require considerable revision. It is partly very general and does not distill main findings. L684-721: the attempt to provide answers to the originally formulated research questions does not work in my view. The questions are not narrow enough to be answered in a few sentences, so that they can be understood without further information. A more detailed information is provided below, but it is decoupled from the above answers. I suggest to dissolve this structure and provide the concise discussion of the four questions/issues and related findings in plain text. It would have 4 (or 3) paragraphs (if you merge QIII and QIV) summarizing the discussion.

A: We will restructure the discussion in the revised version with a specific emphasis on merging the initial answers to the research questions and the more detailed information provided later. We hope that the reorganization of the discussion will better distill the main findings and provide more direct answers to the research questions than in the original version.

Minor comments:

L3: Part 1 – substitute by the proper reference to the (discussion) paper.

A: According to the NHES guidelines (see below), there should be no references in the abstract. Therefore, we decided to keep “Part 1” at this point. The proper reference to the discussion paper is given in the introduction.

Excerpt from NHESS guidelines: “Reference citations should not be included in this section, unless urgently required, and abbreviations should not be included without explanations.”

L5 flood hazard

A: Changed as suggested

L7: return values and periods – what is the difference between the two?

A: Return period refers to the time interval in which a specific value occurs or vice versa, return value is the corresponding absolute value of a variable at a specific return period. However, a more accurate expression for “return value” is “return level”. We adjusted the text by using return level and return period, solely, instead of mixing with return value and/or return interval.

L11: hazard assessment of flood risk – reformulate

A: Reformulated to: “... which were not included in the *flood risk assessment*”

L29: remove ‘widespread’

A: Changed as suggested

L31: please, specify that death toll of more than 180 refers to Germany only. The description above was focusing also on non-German part. Also, afterwards economic losses including neighboring countries are mentioned.

A: We will adjust this accordingly and update the numbers including a new reference:

Tradowsky et al. (2022): Attribution of heavy rainfall events leading to the severe flooding in Western Europe during July 2021, Clim. Change in revision.

L44-54 can be omitted.

A: We delete this paragraph as suggested.

L58: ‘in terms of peak discharge’. Actually, the 1910 event peak was about the half of that in 1804 and 2021. I would therefore not speak of 1910 being comparable to 2021.

A: We agree and will rephrase this comparison, stating that 2021 was comparable to the 1804 event, and we will replace ‘in terms of discharge’ by ‘in terms of peak discharge’

L59: you mean here official estimates by LfU RLP. This should be mentioned.

A: Changed to: ‘However, these events were not considered for the *official* estimation of the 100-year return periods of discharge (HQ100) *by the Landesamt für Umwelt (LfU) of RP* as the continuous time series of observations only starts in 1946.’

L60: Vorogushyn et al. (2022) – meanwhile published.

A: Reference has been updated.

L114: three rectangular geographical domains

A: Changed as suggested.

L147ff: It should be noted that all values are rough estimates and are not directly measures due to failure of gauging stations.

A: We agree that many of the streamflow values are based on reconstructions, especially the peak values, but not all of them. In PART1, Sections. 2.3 and 3.2, and Figure 6 we explain and show which values are based on measurements, and which are based on reconstructions. In a revised version of the manuscript, we will add a related sentence to the first paragraph in Sect. 2.1.2.

L166: However, the estimations of return periods across different federal states are inconsistent – Is this what you want to say?

A: Yes, exactly.

L258-263: Can this be omitted?

A: We agree that this is more or less textbook knowledge. We reduced this paragraph to a high degree just mentioning the most important parts related to the study.

L205 & L228: Here you are talking about the PGW up to +3K. In the abstract up to +4K – correct.

A: Corrected in the abstract; should be +3K.

L247: +2K – GWL2 or +3K – GWL3?

A: Based on IPCC AR6, global temperatures are currently +1.09K (~1K; GWL1) above the pre-industrial reference period. So, a reduction of -1K in the 2021 ERA5 data corresponds to GWL0 (=pre-industrial). An increase of 1K in ERA5 would be equal GWL2, an increase by 2K equals GWL3. We rephrased the sentence in L228f to clarify already here that pre-industrial is the reference period, and we currently observe a global warming of ~1K (GWL1).

We changed L228f: *'The control run ($\pm 0K$) uses the present-day conditions, which represent a global warming level of already +1.09K (GWL1) according to IPCC (2022). Thus, a reduction of 1K would represent temperatures as in the pre-industrial period (GWL0), while an increase of 2K corresponds to GWL3.'*

L273: specify here that you focus on daily precipitation totals.

A: Sentence changed to: 'In addition to the antecedent precipitation index (API) used in PART1, two other measures are applied to the HYRAS-DE data for the classification of precipitation events: the first one is the empirical heavy precipitation event criterion HPE_{crit} , which combines thresholds for magnitude and extension *based on daily precipitation totals*.'

L298: is illustrated

A: Changed as suggested.

L299: Omit last sentence.

A: Sentence has been deleted as suggested.

L317: awkward sentence – reformulate

A: Due to a more complex revision of the complete section 3.1.1 (see comment above), this sentence was deleted.

L376: do you mean “extent” or rather the location of the precipitation field within CReg?

A: Yes, we mean the location of the precipitation field within CReg. The sentence has been reformulated: ‘The results of the spatial analysis are in line with those of PART1 and the findings of the previous section that the *location of the extended precipitation field* of the July 2021 event was special.’

L397: You can easily fit linear models and show how strong the linear relationships are. What is “approximately linear”?

A: We agree that 'approximately linear' is imprecise, but it is not our goal to assess in detail the nature and strength of the (linear) relation between peak streamflow and basin size. Rather, we wanted to describe to the reader that a relationship exists, and that it is broadly linear. In a revised version, we suggest rephrasing the sentence to "For both data sets, a linear dependency between peak streamflow and basin size is visible".

L399: I cannot confirm that only 5 GRDC events exceed the 2021GD. How do you determine this? If you fit a linear model to 2021GD data and even if you shift it to the most outlying point retaining the slope (so, that the other red dots are below), would it be still exceeded by only five GRDC events? Looks like not. But it should be shown. L400-405: these peaks/gauges can be marked in Figure 6.

A: We agree that the selection of the 5 events exceeding the 2021GD events was subjective, and based on visually selecting from Fig. 6 the ones that particularly stood out. For a more objective comparison, we now did the following: Divide all streamflow data in Fig. 6 by the respective catchment area for comparability, sort these normalized peak streamflow values by size, and within this sorted set determine the average rank of all 2021GD gauges. Of the overall 152 values, the 2021GD values received the average rank of 22.8, which is considerably larger than the average rank of $152/2 = 76$. We suggest adding this result to a revised version of the manuscript. For brevity we removed the discussion of the 5 peak flows.

In Figure 6 I miss the peak for Altenahr and Müsch in the 2021GD dataset, ~1000 m³/s and ~500 m³/s, respectively. The red dot at ~500 m³/s is not Müsch but likely Kordel at Kyll with 816 km² basin area. I still count 10 red points. If two are missing, what are the other two?

A: All 10 gauges are included in Figure 6, but the values are – for comparability with the GRDC data - daily averages instead of hourly values. This is explained in the first sentence of Sect. 3.2.1 and also mentioned in the caption of Fig.6. The values are:

Gauge name	Maximum mean daily streamflow [m ³ /s] (rounded)	Basin size [km ²] (rounded)
Müsch	158	353
Altenahr	442	749
Jünkerath	81	175

Kordel	489	816
Prüm2	27	53
Prümzurly	341	576
Schönau	26	31
Bliesheim	268	604
Hückeswagen	108	163
Opladen	402	606

We will consider adding these values to Table 1 in a revised version.

L418: a few other return periods

A: Changed as suggested

Figure 7: What are orange dots? These are not annual maximum peaks, but a few peaks above a threshold? Please, explain the caption. Hence, the statement in L431-432 is not correct. The HQ100 estimate of 241 m³/s is based on the AMS in the period 1947-2016.

A: The orange dots are the 10 largest floods on record at gauge Altenahr, as provided by the water authority of Rhineland-Palatinate (<https://geodaten-wasser.rlp-umwelt.de/prj-wwvauskunft/projects/messstellen/wasserstand/register2.jsp?intern=true&msn=2718040300&pegelname=Altenahr%20&gewaesser=Ahr&dfue=1>). We will rephrase the figure caption to clarify this.

And indeed, the statement in L431-432 is not correct, we will rephrase it to "Figure 7 shows the available flood events at gauge Altenahr. The events in orange are the ten largest flood events in the gauge recordings starting in 1946. The gauge recordings from 1947 - 2016 were the basis for the HQ100 estimate (241 m³ s⁻¹; Table 1) by the water administration of RP.

L436-437: gauge recordings did not miss the historical peaks, because no recordings were carried out prior to 1946 - reformulate.

A: We agree and will reformulate in a revised version of the manuscript.

L439: Henrichs with one 'n'.

A: Reference has been corrected

L443ff: I suggest a slight reformulation here related to the work of Vorogushyn et al. (2022), where I was a co-author. (1) It was exactly the purpose of the study to show the limitation of the extreme value statistics without considering historical floods, and not to estimate an unrealistic return period of 10⁸. (2) It should be noted that Vorogushyn et al. (2022) did not use 2021 event for fitting the distributions, while Henrichs (2022) did. So, the estimates are not fully comparable.

A: We agree and will reformulate in a revised version of the manuscript

L468-472: is there photographic evidence or any type of documentation and analysis?

A: We will check for any references.

L477: this statement requires a reference.

A: We will search for an adequate reference for this statement.

L563-566: This can be omitted.

A: Removed as suggested.

L624: This statement is not precise, unless areal dimensions are not specified. Since thick lines in Figure 12 have different slope, the relative change will be different. Instead of the discussion in L624-629 I would suggest to discuss the mechanisms of increasing precipitation clusters in the model, and support this by literature references analysis observational evidence if exists. The notion that for large clusters model boundaries provide represent a limitation is valuable.

A: We agree that this paragraph was not precise enough. We added a comment on how the areal dimension was specified. As suggested, we changed the discussion of L624-629 towards a more process-related one.

L717: not setup, but weather pattern.

A: Changed as suggested

L716-718: this comes out of blue. At any point in this manuscript it was mentioned that July 2021 was due to Vb weather pattern or its variation.

A: As already discussed in a previous answer, we will rephrase the sentences regarding the influence of Vb weather patterns on extreme precipitation in Germany in the revised version.

L723-724: this statement referred to 2013 flood. It gets messy here (L719-723). Please, discuss rather your concrete findings on the uniqueness of precipitation related to Question I here. E.g. one important message: the event was quite exceptional for the region, but not unique in terms of intensities and extent for a larger area in Germany and so on. In this respect, the discussion of the hydrological perspective (L724-734) is a perfect blue print.

A: This will be reformulated while revising the discussion section. We will adjust It towards the direction of the hydrological perspective.

L746: +4K or +3K?

A: Corrected to +3K

L750-754: the formulations should be reformulated more precisely. It is not clear what you mean: The increase in precipitation corresponding to increase in return period from 5 to 10 years... (which precipitation, in which scenario? Increase compared to what?). Doubling of the return period from 5 to 10 years actually means reduction of probability of occurrence of this amount and not doubling. It depends on what you mean in relation to what.

A: As described in the answer to one of the major points above, the reference is LAERTES which always refers to present-day conditions. As we focus on daily precipitation totals in this study, precipitation here also refers to daily precipitation spatially averaged either over SReg or LReg. However, you are right that an increase of the return period is a reduction of the

probability. This was a mistake and we fixed that. We rewrote this paragraph accordingly for clarification and include the missing information.

L770: precipitation is not a task – reformulate.

A: We agree with the reviewer, it is a challenging task regarding modeling. We will reformulate this sentence in the revised version.

L777-779: Where were photos and chronicles used in the presented manuscript to reduce the uncertainty in HQ100?

A: This was misleading. We did not use photos etc. to reduce the HQ100 uncertainty. It was meant more as a general statement that such sources might be useful in future HQ100 estimates. However, we removed this in the revised version of the manuscript.

References:

Mohr, S., Ehret, U., Kunz, M., Ludwig, P., Caldas-Alvarez, A., Daniell, J. E., Ehmele, F., Feldmann, H., Franca, M. J., Gattke, C., Hundhausen, M., Knippertz, P., Küpfer, K., Mühr, B., Pinto, J. G., Quinting, J., Schäfer, A. M., Scheibel, M., Seidel, F., and Wisotzky, C.: A multi-disciplinary analysis of the exceptional flood event of July 2021 in central Europe. Part 1: Event description and analysis, *Nat. Hazards Earth Syst. Sci. Discuss.* [preprint], <https://doi.org/10.5194/nhess-2022-137>, in review, 2022.

Müller, M. and Kaspar, M.: Event-adjusted evaluation of weather and climate extremes, *Nat. Hazards Earth Syst. Sci.*, 14, 473–483, <https://doi.org/10.5194/nhess-14-473-2014>, 2014.

Voit, P. and Heistermann, M.: A new index to quantify the extremeness of precipitation across scales, *Nat. Hazards Earth Syst. Sci.*, 22, 2791–2805, <https://doi.org/10.5194/nhess-22-2791-2022>, 2022.

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