Freak wave events in 2005-2021: statistics and analysis of favourable wave and wind conditions

Ekaterina Didenkulova[1], Ira Didenkulova [2], Igor Medvedev[3]

[1]HSE University, Nizhny Novgorod, 603155, Russia

[2]University of Oslo, Oslo, 0316, Norway

[3]Shirshov Institute of Oceanology RAS, Moscow, 117997, Russia

Correspondence to: Ira Didenkulova (irinadi@math.uio.no)

Abstract. Freak or rogue waves are unexpectedly and abnormally large waves in seas and oceans, which can cause human loss, damage of ships, oil platforms, and coastal structures. Evidences of such waves are widely spread around the globe. The present paper is devoted to analysis of the unified collection of freak wave events from different chronicles and catalogues from 2005 to 2021. The considered rogue waves are not measured in situ data, but their descriptions, which have been found in the mass media sources and scientific articles. All of them resulted in damage to ships or coastal/offshore structures and/or human losses. The collection accounts for 429 events. First, the analysis based on their characteristics taken from the descriptions of the events (including locations, water depth, damages) is carried out. Second, the analysis of wave parameters taken from the climate reanalysis ERA5 is performed. Thus, the most probable background wave parameters in time of freak event (including wind speed, gusts, significant wave height, maximum wave height, peak wave period, skewness, excess kurtosis, BFI, and wave spectral directional width) for each freak wave event are determined.

1 Introduction

Anomalously large waves in the ocean (the so-called rogue, freak or killer waves) can be dangerous for vessels including large cruise ships and small fishing boats, oil and gas pipelines and platforms. They may destroy or damage the coastal constructions, and can lead to fatal consequences for people spending time on the beach or fishing on the rocks. Rogue waves became a subject of continuous scientific investigations for more than two decades, after their existence was proven by registering a New Year Wave at the Draupner platform in the North Sea on 1 January 1995 (Sverre, 2003). The most common properties of freak waves are unusually large wave height for a given sea state, short lifetime, and unexpected formation. The mathematical definition, which is used in oceanography, is that freak waves are the waves whose height is at

1

least twice larger than the significant wave height (H_s or SWH), which is itself defined as an average of 1/3 of the highest waves in the record. It can also be defined as four times the standard deviation of the surface elevation. This definition is often used in spectral wave models (Massel, 1996; Kharif et al., 2009). The difference in magnitude between the two definitions is often only a few percent. It is believed, that the formation of a rogue wave is the result of different physical factors working together. The main reasons, which play a key role in the process of rogue wave appearance, are the following linear mechanisms: dispersive focusing, which is a time-space localization of wave train energy (Kharif and Pelinovsky, 2003; Pelinovsky et al., 2011; Fedele et al., 2016), geometrical focusing in basins of variable depth (Didenkulova and Pelinovsky, 2011; Benetazzo et al., 2017), wave-current interaction (Lavrenov, 1998; Onorato et al., 2011; Toffoli et al., 2015; Shrira and Slunyaev, 2014a; Shrira and Slunyaev, 2014b), and random superposition of steep waves (Gemmrich and Cicon, 2022). Among the nonlinear mechanisms, the most significant are the modulational instability or Benjamin–Feir instability (Slunyaev et al., 2011; Ruban, 2007; Kharif and Touboul, 2010; Onorato et al., 2006), the interaction of coherent structures as solitons and breathers (Pelinovsky and Shurgalina, 2016; Slunyaev, 2019; Gelash and Agafontsev, 2018; Akhmediev et al., 2016; Didenkulova (Shurgalina), 2019; Didenkulova, 2022), and the wave-wave and wave-coast interaction in shallow water (Didenkulova and Pelinovsky, 2011; Chakravarty and Kodama, 2014; Peterson et al., 2003). Variable wind and gust also contribute to the extreme wave formation (Pleskachevsky et al., 2016).

The study of the problem of freak waves requires a multi-faceted approach including development of analytical theories, carrying out of numerical simulations and experimental measurements. In situ measurements play an important role in the investigation of the characteristics and frequencies of the appearance of rogue waves in nature. Such in situ wave measurements are carried out in different locations of World Ocean, for example (Didenkulova and Anderson, 2010; Mori et al., 2002; Stansell, 2004; Christou and Ewans, 2014; Häfner et al., 2021). However, their amount and locations of measurements are limited.

It became obvious that freak waves may occur at any water depth and almost everywhere in the World Ocean. Thus, to get more information about them, catalogues of rogue waves started to be compiled. Some chronology of freak waves from the 16th century to the beginning of the 21st century was performed in (Liu, 2007). This catalogue includes a description of the most well-known or reliably reported freak wave encounters from the open sources. The catalogues of recent accidents associated with freak waves also include information about weather conditions and wave parameters in the region (Didenkulova et al., 2005; Nikolkina and Didenkulova, 2011; Nikolkina and Didenkulova, 2012; Liu, 2014; Didenkulova (Shurgalina), 2020; Didenkulova et al., 2022). There are also catalogues of freak waves for specific locations, for example, Ireland (O'Brien et al., 2013; O'Brien et al., 2018) or USA (García-Medina et al., 2018).

In the present article, we united and classified all the freak wave accidents from the mentioned catalogues using additional information that appeared in the literature, unifying the selection criteria and data analysis. Section 2 is devoted to the overall statistics of freak wave events during the period from 2005 to 2021, based on their descriptions. All freak wave accidents are mapped and divided by the place of their occurrence, i.e. deep/shallow/coastal events. We also consider damages caused by these events. The final database is compiled according to a unified standard and is freely available on the

Internet. In Section 3, we advance from the superficial description of freak events to the evaluation of the wave and wind conditions during event occurrence. Here, the quantitative parameters of freak waves and surrounding waves, such as wind speed, gusts, significant wave height, maximum wave height, peak wave period, skewness, excess kurtosis, BFI, wave spectral directional width, extracted from the global atmospheric and ocean reanalysis ERA5, are discussed and analyzed. This part is principally new and gives a new understanding of the most probable conditions and mechanisms of freak wave formation. Conclusions are given at the end.

2 Statistics of freak wave accidents in 2005-2021

The whole list of analyzed events, which can be considered as freak waves can be found at https://www.ipfran.ru/institute/structure/240605316/catalogue-of-rogue-waves. Most of these events are picked up from the catalogues (Liu, 2007, 2014; Didenkulova et al., 2005; Nikolkina and Didenkulova, 2011; Nikolkina and Didenkulova, 2012; Didenkulova (Shurgalina), 2020; Didenkulova et al., 2022; O'Brien et al., 2013; O'Brien et al., 2018; García-Medina et al, 2018) and are supplemented by the missed cases and the latest freak wave accidents. Thus, the considered time period is from 2005 to 2021. In general, these events are not in situ measurements, but are based on the eyewitnesses' reports taken from the mass media sources, different chronicles and collections, and scientific articles. The browser search was carried out by keywords: freak wave, rogue wave, extreme wave, monster wave, killer wave, large wave, high wave and similar words in French and Russian. Supplementary, shipwreck themed websites have been checked (such as https://www.fleetmon.com/maritime-news/?category=incidents, https://www.cruisemapper.com/accidents, https://www.mlit.go.jp/jtsb/marrep.html, etc.) We believe that we cover most of the large accidents, as they were reported worldwide. All of them more or less satisfy the image of a freak wave accident: unpredicted to the eyewitnesses and caused damage and/or human injuries or losses. The majority of descriptions are accompanied by quotes such as "all of a sudden a big wave hit the boat", "when the sudden waves swept away", "a freak wave suddenly came out of nowhere", "three freak waves had materialized from nowhere in rough but not formidable seas", etc. Moreover, some descriptions give the heights of the freak wave(s) and background waves, which help us to validate the definition of freak wave, whose height should be at least twice larger than the significant wave height H_s. In addition to it, the data from the global atmospheric and ocean reanalysis ERA5 (to be discussed in Section 3), are used to put a correspondence between weather conditions in the area, specifically, the significant wave height and the data from the eyewitness reports. Here we use the significant height of combined wind waves and swell (H_s) taken from the data of reanalysis, which is calculated as four times the square root of the integral over all directions and all frequencies of the two-dimensional wave spectrum. The event is added to the list if based on both the eyewitness report(s) and ERA5 data, its description and characteristics support the freak wave formation.

The final list of events contains 429 freak wave accidents. Their locations are mapped in Fig. 1. It is clearly seen, that their geography is wide spread. The number of points is larger closer to the coasts and water boarders, because of the more intensive use of these territories compare to the open ocean. The regions with the largest cluster of points are the East and

West coasts of the USA, coasts of Ireland and the United Kingdom, Mediterranean Sea, South Africa, the southern and southeastern coasts of Australia, New Zealand. Such distribution is governed by our search engine, as all mentioned territories are the English speaking regions. Although we have been limited to only three languages, the considered events show the widespread occurrence of freak waves in the world's oceans, the conditions of their occurrence and damage they cause.

The distribution of freak wave accidents by years is presented in Fig. 2. It is not uniform, and deviations are significant. The year with the biggest amount of freak waves from the list is 2006 (60 events). All of them happened in widely spread geographic locations and are distributed evenly during the year. We assume that this year is associated with a public boom on freak waves, many popular articles were published. After a while this topic became more "usual" and the number of news decreased. In both 2008 and 2020 there were only 9 events, which is the smallest value in the histogram. The few events in 2020 can be explained by the restrictions during the COVID19 pandemic, including a ban on visiting beaches in many countries.

Using the Multimaps service (https://multimaps.ru/), the approximate depth of the events is determined. The depth of 50 m is chosen to separate freak waves occurred in deep and shallow area. The threshold of 50 m has come from the characteristic parameters for the North Sea, where deep waters are associated with water depths exceeding 50 m. There is also a class of events called coastal freak waves, which are divided into 'gentle' (unexpected flooding on the gentle beaches) and 'rocks' (unexpected surges on vertical constructions, i.e. rocks or embankments) freak events. Descriptions of several events of each mentioned type are given below. Figure 3 shows a freak wave event on the rocks. The young lady was almost swept away by a huge wave while posing for photos on a cliff in Bali (https://www.ibtimes.co.in/watch-bali-tourist-swept-away-by-huge-wave-while-posing-cliff-794272). An example of a freak wave on a flat beach is shown in Fig. 4. A surfing competition took place on Mavericks Beach, near San Francisco in California, USA. Two walls of water 6 feet high took dozens of spectators by surprise, sweeping people off their feet. At least 13 people were seriously injured, including broken legs and arms (https://www.thetimes.co.uk/article/rogue-waves-wipe-out-spectators-at-mavericks-surfing-competition-02n8p27ztfr?region=global). One of the deep freak wave events is an accident with the cruise ship 'Luis Majesty', when three freak waves smashed into a Mediterranean cruise ship. Two people were killed and the cruise ship suffered from serious damages (https://www.youtube.com/watch?v=lvOceI6egg0). An example of a shallow freak wave is an incident with the whale-watching boat, named 'Spirit of the Gold Coast', which was hit by a freak wave in Queensland (https://www.news.com.au/travel/travel-updates/incidents/monster-wave-smashes-into-gold-coast-whale-watching-boat/news-story/e3303ab316da4f555f89d6d17bb5c149, https://www.youtube.com/watch?v=hWztpRKDmsg).

The distribution of deep, shallow, and coastal freak wave events is shown in Fig. 5. There are 81 (which is 19%) events that occurred in deep area, 124 (29%) events in shallow area, and 224 events (52%) that occurred on the coast, including 82 (19%) on the gentle beaches, and 142 (33%) on high cliffs and coastal walls. The number of freak wave observations on high cliffs and sea walls is significantly larger than on gentle beaches, which is in a good correspondence with theoretical findings (Didenkulova and Pelinovsky, 2011).

One more criterion which unites all considerate freak waves is the damage caused. The listed events led to human injuries (575) and death (658), vessel damages (102) and vessel losses (55), including small fishing boats and large ships (Fig. 6).

In spite the larger number of shallow area events compare to those in the deep areas, the number of fatalities that occurred in deep areas is greater. Such a large number of human losses is also connected with two accidents. First is an accident with a fishing boat sunk near Cape Inubosaki on 23 June 2008 when 20 people were drowned, the second on is capsizing of the ferry Rabaul Queen on the east of Lae on 02 February 2012 when 126 people were drowned. Among the coastal accidents the most dramatic is the one that happened on the west coast of South Korea when at least eight people are reported to have been killed after they were swept away by epy 4–5 meter high wave; at least 28 people were injured. During the freak accident, no specifics in meteorology were observed (Yoo et al., 2010).

3 Analysis of freak wave characteristics based on atmospheric reanalysis ERA5

Apart from the freak wave parameters taken from the descriptions of the events and analyzed in the previous section, in-depth analysis of the characteristics of sea waves has been performed using the data from the fifth generation of ECMWF atmospheric reanalysis of the global climate, ERA5 (Hersbach et al., 2020). The ERA5 reanalysis was developed using model cycle 41r2 of the 4D-Var data assimilation from the Integrated Forecast System (IFS). This reanalysis covers the period from 1979 to present. The characteristics of background waves and freak waves have been determined, including wind speed, gusts, significant wave height, maximum individual wave height, peak wave period, skewness, excess kurtosis, BFI, and wave spectral directional width. These parameters were calculated from the 2D wave spectrum, which includes both waves and swell. The most probable wind and wave conditions for freak wave generation have been discussed.

The maximum individual wave height (H_{max}) is an estimate of the expected largest individual wave height within a 20 minute time window, which is derived statistically from the two-dimensional wave spectrum. The wave spectrum can be decomposed into wind-sea waves, which are directly affected by local winds, and swell, the waves that were generated by the wind at a different location and time. This parameter takes account of both. It can be used as a guide to the likelihood of extreme or freak wave occurrence. If the maximum individual wave height is more than twice the significant wave height, the corresponding 20 minute interval may contain at least one freak wave and the considered wave can be considered freak. In our dataset the estimated ratios H_{max}/H_s mostly belong to the range from 1.8 to 2. Accepting the error in the 10%, we can assert that analyzed events fulfill the amplitude criterion of freak waves (Kharif, 2009). One of the reasons for this error is that H_{fr} (freak wave height) is unknown, while H_{max} is derived statistically from the two-dimensional wave spectrum. It can be considered as close value to H_{fr} but with a certain error which we set as 10%. Of course, this approach is not very accurate, since we are not talking about in-situ measurements.

According to data of reanalysis from ERA5, the significant wave heights from the database ranged from 0.5 to 11.2 m, the peak period ranged from 3.1 to 15.4 s, and the maximum individual wave height (H_{max}) ranged from 1 to 20.9 m.

160   The sea state steepness can be analyzed by plotting the significant wave height against the peak period (Christou and Ewans, 2014). Figure 7 demonstrates the dependence of the significant wave heights against wave periods (a) and individual maximal wave heights against wave periods (b) for each freak wave event. The black line corresponds to the maximum steepness of Stokes' wave $kH/2 = 0.44$ (k is a wave number, H is a wave height), after which the irreversible process of wave breaking begins (Toffoli et al., 2010). However, individual waves can break well below the steepness 0.44.

165   Indeed, sea states with a characteristic steepness of 0.12 have frequent wave breaking. For this reason, we also plot several lines corresponding to different steepness's ($kH/2=0.44$, $kH/2=0.33$, $kH/2=0.22$, $kH/2=0.11$). The cloud of dots formed by maximum wave heights clustered more toward the curve of maximum steepness. However, the large part of the cloud falls within the dots of H_s from the first plot. Thus, the wave steepness cannot be the single factor of freak wave event (Christou and Ewans, 2014).

170   One of the most important questions concerning freak waves remains the reason for their appearance. Nowadays it is believed that modulation instability is the main mechanism of freak wave formation in the deep-water regions (Benjamin and Feir, 1967; Onorato et al., 2001; Dyachenko and Zakharov, 2005). Closer to the coast, the role of modulational instability should be diminished (Kharif et al., 2009), and other mechanisms such as dispersive focusing (Fedele et al., 2016), geometrical focusing or wave-current interactions should be prevalent. Using data, obtained from the reanalysis model
175   ERA5, we have checked if chosen freak events satisfy the criterion of modulation instability:

$$kh > 1.363, \tag{1}$$

where h is the water depth and k is the carrier wave number (Osborne, 2010).

The approximate coordinates of the event were determined according to the reports of eyewitnesses. The corresponding depths were obtained using the Multimaps service (https://multimaps.ru/).

Further, we can use the dispersion relation for gravity waves

$$\omega = \sqrt{gk\tanh(kh)}, \tag{2}$$

180   where $\omega = 2\pi/T$ is a wave frequency, T is a period. Wave periods are estimated using reanalysis data. Thus, k can be easily found from Eq. (2).

The dependence of kh versus h is given in Fig. 8a. However, it is more informative to distinguish the region for intermediate water depth (Fig. 8b). Points located to the right from the red line correspond to modulationally unstable waves. Almost all of these events occurred on the water depth larger than 20 m. Contrariwise, points located to the left from the red
185   line are stable waves and the depth of these events does not exceed 20 m. Despite the fact that the coordinates and depths of the freak wave events were determined approximately, the depth of 20 m can be chosen as a critical water depth that separates stable and unstable wave regimes. Thus, the criterion of modulation instability is well applied for water depth

larger than 20 m according to the considered data of freak wave events. This conclusion coincides with the one, made by (Didenkulova et al., 2013), who used a small amount of data.

190   The modulational instability criterion can also be rewritten using the measured wave period T and the water depth h:

$$T \leq \sqrt{\frac{4\pi^2 h}{a_0 g}} \tag{3}$$

where coefficient $a_0 \approx 1.195$ is taken from the approximation formula for the wave number in (Hunt et al., 1979). Plotting the dependence of the wave periods versus water depths (Fig.9), we obtain the same results as above (only intermediate depths are considered here). The 20-meter water depth separates the modulationally stable and unstable waves quite accurately. The red line in the figure corresponds to the Eq. (3).

195   Another parameter that determines the fulfillment of the modulation instability conditions and is based on the wave spectrum, is the Benjamin Feir Instability index (BFI). BFI is proportional to the ratio of two dimensionless parameters: wave steepness and the spectral bandwidth. For the wave instability to occur, the condition BFI > 1 must be satisfied. The BFI index with an application to the real sea states was discussed in (Alber, 1978). Typical marine spectra turned out to be modulationally stable; therefore, the effect of self-modulation of surface waves in real sea states for many years was

200   considered minor. The BFI parameter was "reopened" for real sea waves in the very beginning of the 2000s, however, the application of the BFI index still faces difficulties: (i) the procedures for its calculation are very sensitive to small changes in the input data, and (ii) the resulting maps of large BFI values generally poorly correlate with direct measurements of extreme waves by buoys (see for example, Azevedo et al., 2022).

We have extracted the BFI data from the ERA5 reanalysis model (see Figure 10), but these data (which are

205   averaged in some sense) are difficult to use for the considered freak wave events.

We have also looked at the wave spectral directional width extracted from ERA5 (Figure 11). This parameter indicates whether waves (generated by local winds and associated with swell) are coming from similar directions or from a wide range of directions. The sea surface wave field consists of a combination of waves with different heights, lengths and directions (known as the two-dimensional wave spectrum). Many ECMWF wave parameters (such as the mean wave period)

210   give information averaged over all wave frequencies and directions, so do not give any information about the distribution of wave energy across frequencies and directions. This parameter gives more information about the nature of the two-dimensional wave spectrum, and represents a measure of the range of wave directions for each frequency integrated across the two-dimensional spectrum. It takes values between 0 and $\sqrt{2} \approx 1.4$, where 0 corresponds to a unidirectional spectrum and $\sqrt{2}$ indicates a uniform spectrum (i.e., all wave frequencies coming from a different direction).

215   According to Figure 11, this parameter is mainly distributed between 0.4 and 0.7. This suggests, that crossing seas regime should not play a major role for the considered freak wave data.

Higher statistical moments have been analyzed for deep and shallow events. Skewness takes values between -0.0251 and 0.0913. Excess kurtosis takes values between 0.0041 and 0.0789. Their distributions versus significant wave height are presented in Fig. 12. This shows the difference from the Gaussian process and larger probability of freak wave appearance.

It was previously noted that wind gusts may increase the local wave and freak wave heights (Touboul et al., 2006; Pleskachevsky et al., 2016). Using the reanalysis data, the winds and gusts for all considered freak wave events were estimated. Wind gust is the maximum wind gust at the specified time, at a height of ten meters above the Earth surface. It is defined as the maximum of the wind averaged over 3 second intervals. This duration is shorter than a model time step, and so the ECMWF Integrated Forecasting System (IFS) deduces the magnitude of a gust within each time step from the time-step-averaged surface stress, surface friction, wind shear, and stability. Care should be taken when comparing model parameters with observations, because observations are often local to a particular point in space and time, rather than representing averages over a model grid box. Dependence of wind speed and gusts versus significant wave heights for coastal freak wave events and their linear approximations are presented in Fig. 13. The coefficients of determination for both wind speed and gusts data for coastal events are around 0.5. In general, higher wind speeds and gusts generate larger wave heights. However, the standard deviation is essential for these distributions, and one can see from Fig. 13 that the same wind speed (for example 5 m/s) can generate wave heights from 0.5 m to 5 m. We should note that having a resolution of approximately 1 degree, ERA5 model does not perform well in coastal areas with complicated bathymetry. Dependence of wind speed and gusts versus significant wave heights for shallow and deep freak wave events and their linear approximations are presented in Fig. 14. The coefficients of determination for both wind speed and gusts data in this case are 0.68, which is larger than for coastal events.

Conclusions

In the present article, the statistics of united database of freak wave events reported in the mass media sources and scientific literature from 2005 to 2021 are analyzed. The database is freely available on the Internet and can be found at https://www.ipfran.ru/institute/structure/240605316/catalogue-of-rogue-waves. The main source of information here are the eyewitnesses' reports, and not in situ measurements. It is shown that freak wave events are widely spread all over the world, and lead to dramatic consequences on the coastal structures, human lives and navigation. The database includes 81 events (19%) that occurred in deep area (water depth more than 50 m), 124 (29%) in shallow area (water depth less than 50 m), 224 events (52%) on the coast, including 82 (19%) on gentle beaches and 142 (33%) - on high cliffs and vertical structures. Events from the combined catalogue from 2005 to 2021 caused significant damage: 575 people were injured, 658 people were killed, 102 ships were damaged and 55 ships, both small fishing boats and large ships, were sunk.

An analysis of the characteristics of wave and wind conditions for each freak event was performed using data from the ERA5 fifth-generation ECMWF atmospheric reanalysis of the global climate. According to the coordinates of events taken from the descriptions, the characteristics of background waves and freak waves were determined, including wind

speed, gusts, significant wave height, maximum individual wave height, peak wave period, skewness, excess kurtosis, BFI, and wave spectral directional width. The values of skewness and excess kurtosis of corresponding sea states also showed the deviation from the Gaussian distribution and larger probability of freak wave occurrence. Also shown, that in general, stronger winds and gusts generate larger wave heights. However, the standard deviation is rather large for these distributions, and the same wind can generate a wide range of wave heights. Using the data obtained from the ERA5 reanalysis model, an analysis of the feasibility of the modulation instability criterion and the involvement of this mechanism in the formation of a specific freak wave was performed. It is shown that according to the considered data of freak wave events, the criterion of modulation instability is well applicable for depths greater than 20 m.

Data availability: All collected catalogue freak wave data from 2005 to 2021 are available at https://www.ipfran.ru/institute/structure/240605316/catalogue-of-rogue-waves

Author contribution: ED and ID collected and analysed the data of freak wave events from the mass media sources. IM provided with climate reanalysis ERA5 data of selected freak waves. ED prepared the original draft of the paper, which was reviewed and edited by ID and IM. All authors have read and agreed to the published version of the manuscript.

Competing interests: Ira Didenkulova is a NHESS topical editor.

References

Akhmediev, N., Soto-Crespo, J.M., and Devine, N.: Breather turbulence versus soliton turbulence: Rogue waves, probability density functions, and spectral features, Phys. Rev. E., 94, 022212, https://doi.org/10.1103/PhysRevE.94.022212, 2016.

Alber, I.E. The effects of randomness on the instability of two-dimensional surface wavetrains, Proc. Roy. Soc. Lond. A., 363, 525–546, https://doi.org/10.1098/rspa.1978.0181, 1978.

Azevedo, L., Meyers, S., Pleskachevsky, A., Pereira, H.P., and Luther, M. Characterizing Rogue Waves in the Entrance of Tampa Bay (Florida, USA), J. Mar. Sci. Eng., 10, 507, https://doi.org/10.3390/ jmse10040507, 2022.

Bali tourist swept away by huge wave https://www.ibtimes.co.in/watch-bali-tourist-swept-away-by-huge-wave-while-posing-cliff-794272.

Benetazzo, A., Ardhuin, F., Bergamasco, F., Cavaleri, L., Guimarães, P.V., Schwendeman, M., Sclavo, M., Thomson, J., and Torsello, A.: On the shape and likelihood of oceanic rogue waves, Sci. Rep., 7, 8276, https://doi.org/10.1038/s41598-017-07704-9, 2017.

Benjamin, T.B. and Feir, J.E.: The disintegration of wave trains on deep water: Part 1. Theory, J. Fluid Mech., 27, 417–430, https://doi.org/10.1017/S002211206700045X, 1967.

Chakravarty, S. and Kodama, Y.: Construction of KP solitons from wave patterns, J. Phys. A: Math. Theor., 47, 025201, https://doi.org/10.1088/1751-8113/47/2/025201, 2014.

Christou, M. and Ewans, K.: Field Measurements of Rogue Water Waves, Journal of Physical Oceanography, 44, 2317–2335, https://doi.org/10.1175/JPO-D-13-0199.1, 2014.

Database of freak waves in 2005-2021: https://www.ipfran.ru/institute/structure/240605316/catalogue-of-rogue-waves, last access: 21 July 2022.

Didenkulova, E.: Mixed turbulence of breathers and narrowband irregular waves: mKdV framework, Physica D: Nonlinear Phenomena, 432, 133130, https://doi.org/10.1016/j.physd.2021.133130, 2022.

Didenkulova, E.: Catalogue of rogue waves occurred in the World Ocean from 2011 to 2018 reported by mass media sources, Ocean and Coastal Management, 188, 105076, https://doi.org/10.1016/j.ocecoaman.2019.105076, 2020.

Didenkulova (Shurgalina), E.G.: Numerical modeling of soliton turbulence within the focusing Gardner equation: rogue wave emergence, Physica D, 399, 35-41, https://doi.org/10.1016/j.physd.2019.04.002, 2019.

Didenkulova, I. and Anderson, C.: Freak waves of different types in the coastal zone of the Baltic Sea, Nat. Hazards Earth Syst. Sci., 10, 2021-2029, https://doi.org/10.5194/nhess-10-2021-2010, 2010.

Didenkulova, I., Didenkulova, E., and Didenkulov, O.: Freak wave accidents in 2019-2021, in: Proceedings of OCEANS 2022, Chennai, India, 21-24 February 2022, 1-7, doi: 10.1109/OCEANSChennai45887.2022.9775482, 2022.

Didenkulova, I., Nikolkina, I.F., and Pelinovsky, E.N.: Rogue waves in the basin of intermediate depth and the possibility of their formation due to the modulational instability, JETP Lett., 97, 194–198, https://doi.org/10.1134/S0021364013040024, 2013.

Didenkulova, I. and Pelinovsky, E.: Rogue waves in nonlinear hyperbolic systems (shallow-water framework), Nonlinearity, 24, R1, https://doi.org/10.1088/0951-7715/24/3/R01, 2011.

Didenkulova, I., Slunyaev, A., Pelinovsky, E., and Kharif, Ch.: Freak waves in 2005, Nat. Hazard Earth Syst. Sci., 6, 1007-1015, https://doi.org/10.5194/nhess-6-1007-2006, 2006.

Dyachenko, A.I. and Zakharov, V.E.: Modulation Instability of Stokes - Wave Freak Wave, JETP Letters, 81, 255–259, https://doi.org/10.1134/1.1931010, 2005.

Fedele, F., Brennan, J., Ponce de León, S., Dudley, J, and Dias, F.: Real world ocean rogue waves explained without the modulational instability, Sci. Rep., 6, 27715, https://doi.org/10.1038/srep27715, 2016.

Four people sent to local hospital after rogue wave strikes Virginia Aquarium whale-watching boat https://www.youtube.com/watch?v=hWztpRKDmsg

García-Medina, G., Özkan-Haller, H.T., Ruggiero, P., Holman, R.A., and Nicolini, T.: Analysis and catalogue of sneaker waves in the US Pacific Northwest between 2005 and 2017, Nat. Hazards, 94, 583–603, https://doi.org/10.1007/s11069-018-3403-z, 2018.

Gelash, A.A. and Agafontsev, D.S.: Strongly interacting soliton gas and formation of rogue waves, Phys. Rev. E., 98, 1–11, https://doi.org/10.1103/PhysRevE.98.042210, 2018.

Gemmrich, J. and Cicon, L.: Generation mechanism and prediction of an observed extreme rogue wave, Sci. Rep., 12, 1718, https://doi.org/10.1038/s41598-022-05671-4, 2022.

Häfner, D., Gemmrich, J., and Jochum, M.: Real-world rogue wave probabilities. Sci. Rep., 11, 10084, https://doi.org/10.1038/s41598-021-89359-1, 2021.

Haver, S.: Freak Wave Event at Draupner Jacket January 1 1995, Tech. Rep., PTT-KU-MA, Statoil, Oslo, Norway, 2003.

Hersbach, H., Bell, B., Berrisford, P., et al.: The ERA5 global reanalysis, Q J R Meteorol Soc., 146, 1999– 2049, https://doi.org/10.1002/qj.3803, 2020.

Hunt, J.N.: Direct solution of wave dispersion equation, J. Waterw Ports Coast Oceans Div, 105, 457–459, 1979.

Kharif, Ch. and Pelinovsky, E.: Physical mechanisms of the rogue wave phenomenon, European Journal of Mechanics B/Fluids, 22, 603-634, https://doi.org/10.1016/j.euromechflu.2003.09.002, 2003.

Kharif, Ch., Pelinovsky, E., and Slunyaev, A.: Rogue Waves in the ocean, Springer, Berlin, 2009.

Kharif, C. and Touboul, J.: Under which conditions the Benjamin-Feir instability may spawn an extreme wave event: A fully nonlinear approach, Eur. Phys. J. Spec. Top., 185, 159–168, https://doi.org/10.1140/epjst/e2010-01246-7, 2010.

Lavrenov, I.: The Wave Energy Concentration at the Agulhas Current of South Africa. Natural Hazards, 17, 117-127, http://dx.doi.org/10.1023/A:1007978326982, 1998.

Liu, P.C.: A chronology of freaque wave encounters, Geofiz., 24, 57–70, 2007.

Liu, P.C.: Brief Communication: Freaque wave occurrences in 2013, Nat. Hazards Earth Syst. Sci. Discuss, 2, 7017-7025. https://doi.org/10.5194/nhessd-2-7017-2014, 2014.

Massel, S.R.: Ocean Surface Waves: Their Physics and Prediction, Advanced Series on Ocean Engineering, 11, Hackensack, New Jersey, World Scientific, 1996.

Monster wave smashes into Gold Coast whale watching boat https://www.news.com.au/travel/travel-updates/incidents/monster-wave-smashes-into-gold-coast-whale-watching-boat/news-story/e3303ab316da4f555f89d6d17bb5c149.

Mori, N., Liu, P., and Yasuda, T.: Analysis of freak wave measurements in the Sea of Japan, Ocean Eng., 29, 1399-1414, https://doi.org/10.1016/S0029-8018(01)00073-7, 2002.

Multimaps service (https://multimaps.ru/).

Nikolkina, I. and Didenkulova, I.: Rogue waves in 2006 – 2010. Nat. Hazards Earth Syst. Sci., 11, 2913–2924,
340   https://doi.org/10.5194/nhess-11-2913-2011, 2011.

Nikolkina, I. and Didenkulova, I.: Catalogue of rogue waves reported in media in 2006-2010, Nat. Hazards, 61, 989-1006, https://doi.org/10.1007/s11069-011-9945-y, 2012.

O'Brien, L., Dudley, J.M., and Dias, F. Extreme wave events in Ireland: 14 680 BP–2012, Nat. Hazards Earth Syst. Sci., 13, 625–648, https://doi.org/10.5194/nhess-13-625-2013, 2013.

345   O'Brien, L., Renzi, E., Dudley, J.M., Clancy, C., and Dias, F.: Catalogue of extreme wave events in Ireland: revised and updated for 14 680 BP to 2017, Nat. Hazards Earth Syst. Sci.18, 729-758, https://doi.org/10.5194/nhess-18-729-2018, 2018.

Onorato, M., Osborne, A.R., Serio, M. and Bertone, S.: Freak waves in random oceanic sea states, Phys. Rev. Lett., 86, 5831–5834, https://doi.org/10.1103/PhysRevLett.86.5831, 2001.

Onorato, M., Osborne, A.R., Serio, M., Cavaleri, L., Brandini, C., and Stansberg, C.T.: Extreme waves, modulational
350   instability and second order theory: wave flume experiments on irregular waves, European Journal of Mechanics - B/Fluids, 25, 586-601, https://doi.org/10.1016/j.euromechflu.2006.01.002, 2006.

Onorato, M., Proment, D., and Toffoli, A.: Triggering rogue waves in opposing currents, Phys. Rev. Lett., 107, 184502, https://doi.org/10.1103/PhysRevLett.107.184502, 2011.

Osborne, A.: Nonlinear Ocean Waves and the Inverse Scattering Transform, Academic Press, San Diego, 2010.

355   Pelinovsky, E.N. and Shurgalina, E.G.: Formation of freak waves in a soliton gas described by the modified Korteweg–de Vries equation, Doklady Physics, 61, 423-426, https://doi.org/10.1134/S1028335816090032, 2016.

Pelinovsky, E., Shurgalina, E., and Chaikovskaya, N.: The scenario of a single freak wave appearance in deep water – dispersive focusing mechanism framework, Nat. Hazards Earth Syst. Sci., 11, 127-134, https://doi.org/10.5194/nhess-11-127-2011, 2011.

360   Peterson, P., Soomere, T., Engelbrecht, J., and van Groesen, E.: Soliton interaction as a possible model for extreme waves in shallow water, Nonlin. Processes Geophys., 10, 503–510, https://doi.org/10.5194/npg-10-503-2003, 2003.

Pleskachevsky, A., Lehner, S., and Rosenthal, W.: Meteo-Marine Parameters from High-Resolution Satellite-Based Radar Measurements and Impact of Wind Gusts on local Sea State Variability, EGU General Assembly 2016, 17-22 April 2016, EGU2016-17333, 2016.

365   Rogue waves 'wipe out' spectators at Mavericks surfing competition https://www.thetimes.co.uk/article/rogue-waves-wipe-out-spectators-at-mavericks-surfing-competition-02n8p27ztfr?region=global.

Ruban, V.P.: Nonlinear Stage of the Benjamin-Feir Instability: Three-Dimensional Coherent Structures and Rogue Waves, Phys. Rev. Lett., 99, 044502, https://doi.org/10.1103/PhysRevLett.99.044502, 2007.

Shrira, V.I. and Slunyaev, A.V.: Nonlinear dynamics of trapped waves on jet currents and rogue waves, Phys. Rev. E, 89,

370 041002(R), https://doi.org/10.1103/PhysRevE.89.041002 2014a.

Shrira, V.I. and Slunyaev, A.V.: Trapped waves on jet currents: asymptotic modal approach, Journal of Fluid Mechanics , 738 , 65 – 104, https://doi.org/10.1017/jfm.2013.584, 2014b.

Slunyaev, A.: On the optimal focusing of solitons and breathers in long-wave models, Stud. Appl. Math., 142, 385-413, https://doi.org/10.1111/sapm.12261, 2019.

375 Slunyaev, A., Didenkulova, I., and Pelinovsky, E.: Rogue waters, Contemporary Physics, 52, 571-590, https://doi.org/10.1080/00107514.2011.613256, 2011.

Stansell, P.: Distributions of freak wave heights measured in the North Sea, Applied Ocean Research, 26, 35-48, https://doi.org/10.1016/j.apor.2004.01.004, 2004.

Toffoli, A., Babanin, A., Onorato, M., and Waseda, T.: Maximum steepness of oceanic waves: Field and laboratory

380 experiments, Geophysical Research Letters, 37, L05603, https://doi.org/10.1029/2009GL041771, 2010.

Toffoli, A., Waseda, T., Houtani, H., Cavaleri, L., Greaves D., and Onorato, M.: Rogue waves in opposing currents: an experimental study on deterministic and stochastic wave trains, Journal of Fluid Mechanics, 769, 277 – 297, https://doi.org/10.1017/jfm.2015.132, 2015.

385 Touboul, J., Giovanangeli, P., Kharif, C., and Pelinovsky, E.: Freak waves under the action of wind: experiments and simulations, European Journal of Mechanics - B/Fluids, 25, 662-676, https://doi.org/10.1016/j.euromechflu.2006.02.006, 2006.

Wave Hits Louis Majesty Cruise Ship https://www.youtube.com/watch?v=lvOceI6egg0.

Yoo, J., Lee, D.-Y., Ha, T.-M., Cho, Y.-S., and Woo, S.-B.: Characteristics of abnormal large waves measured from coastal

390 videos, Nat. Hazards Earth Syst. Sci., 10, 947–956, https://doi.org/10.5194/nhess-10-947-2010, 2010.

13
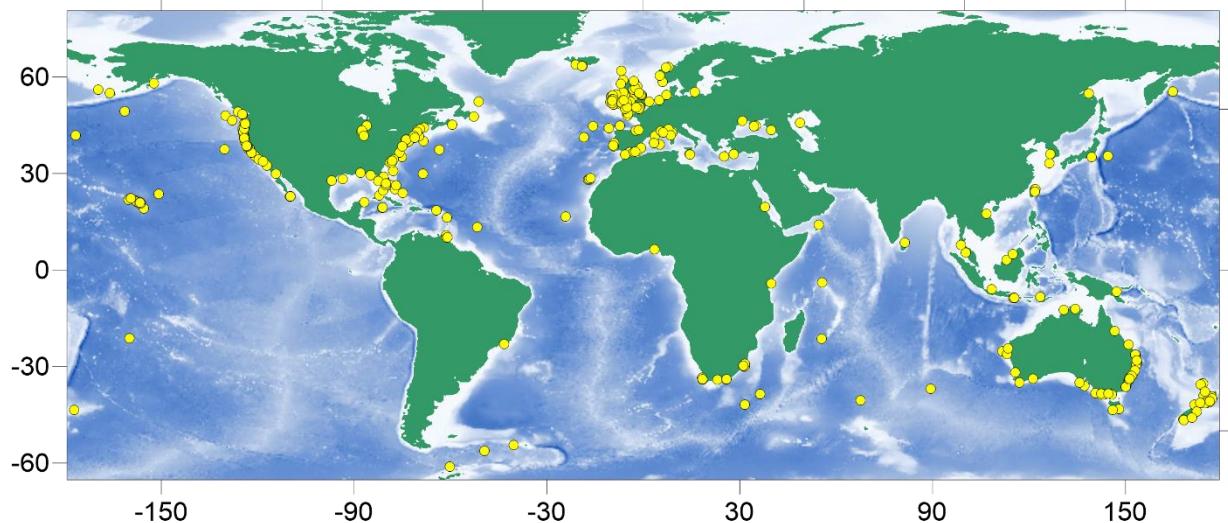
Figure 1: Map of freak wave accidents from 2005 to 2021.
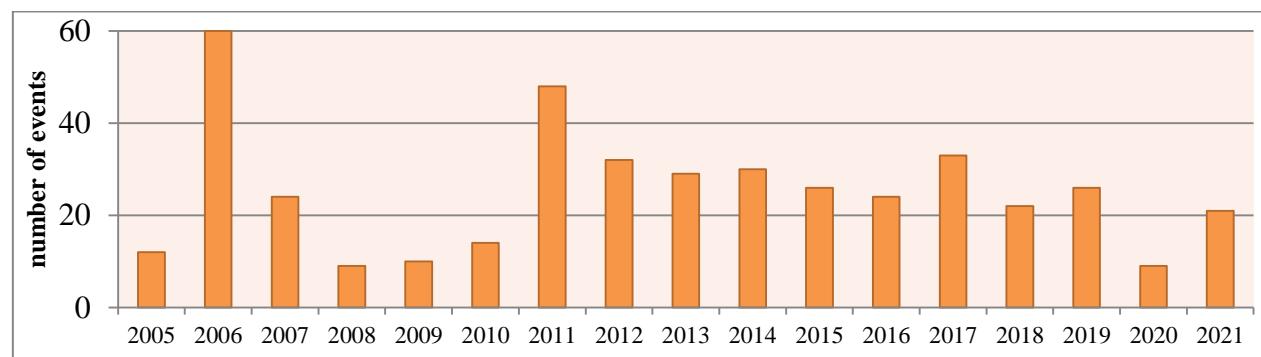
Figure 2: Distribution of freak wave accidents by years.
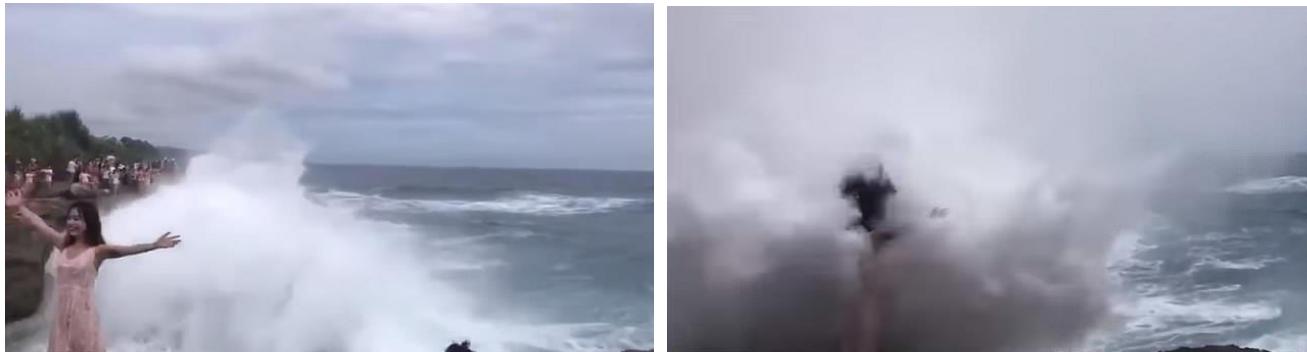
14

Figure 4: Freak wave accident on Mavericks Beach, on 13.02.2010 (© Scott Anderson).

400
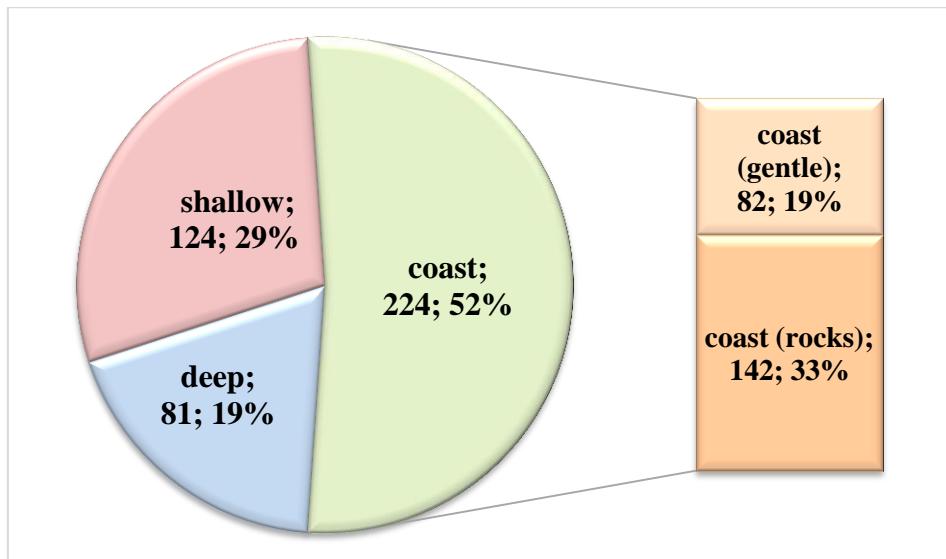
**Figure 5: Distribution of deep, shallow, and coastal freak wave events.**
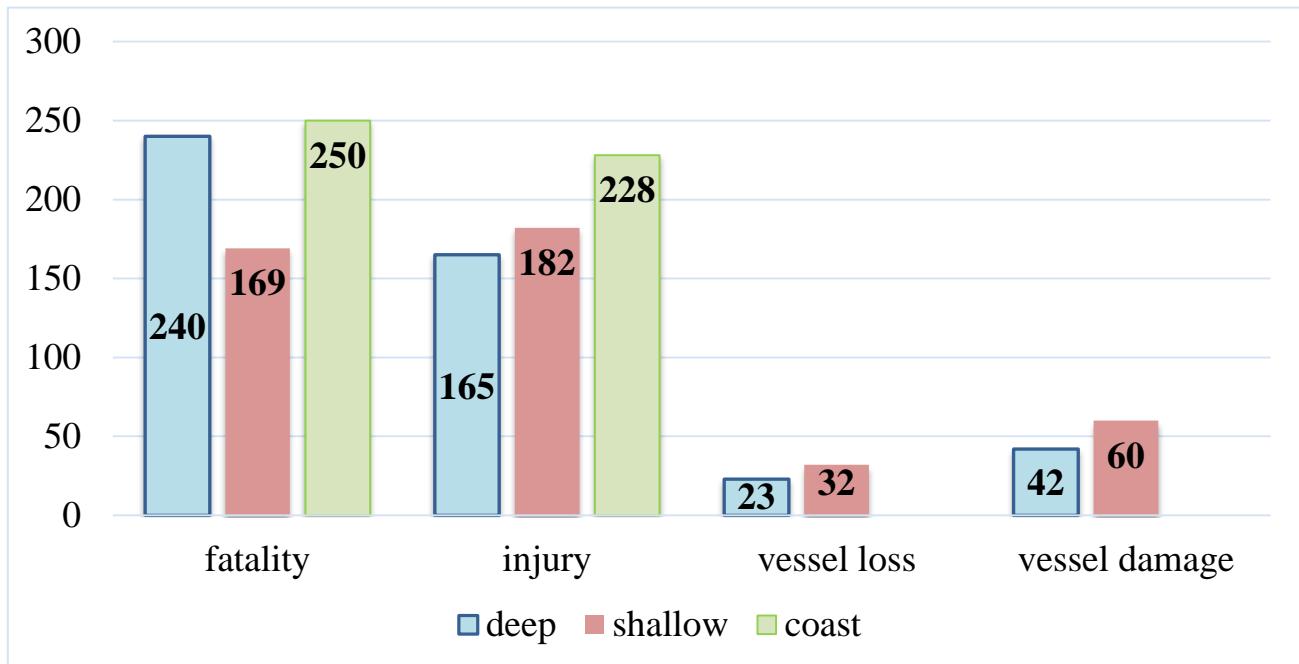
Figure 6: Damage caused by freak waves.

405
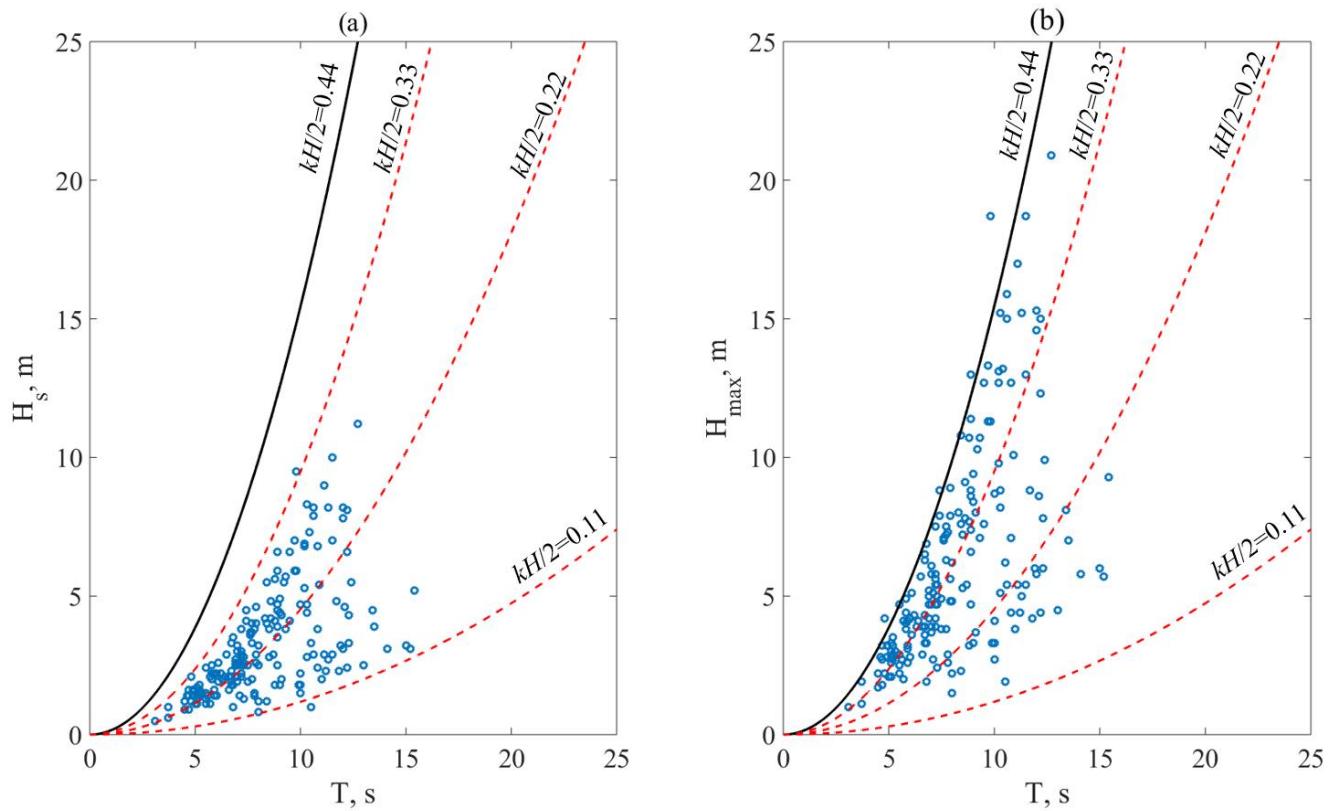
**Figure 7: (a) Significant wave height against wave period, (b) Individual maximum wave height against wave period. Black line corresponds to the maximum steepness curve ($kH/2 = 0.44$).**
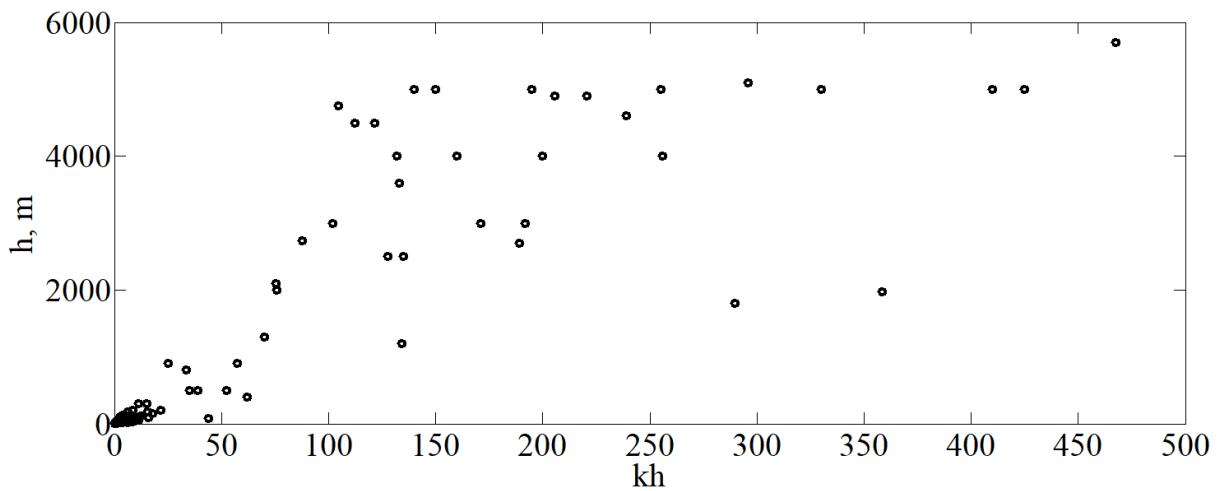
410   a)
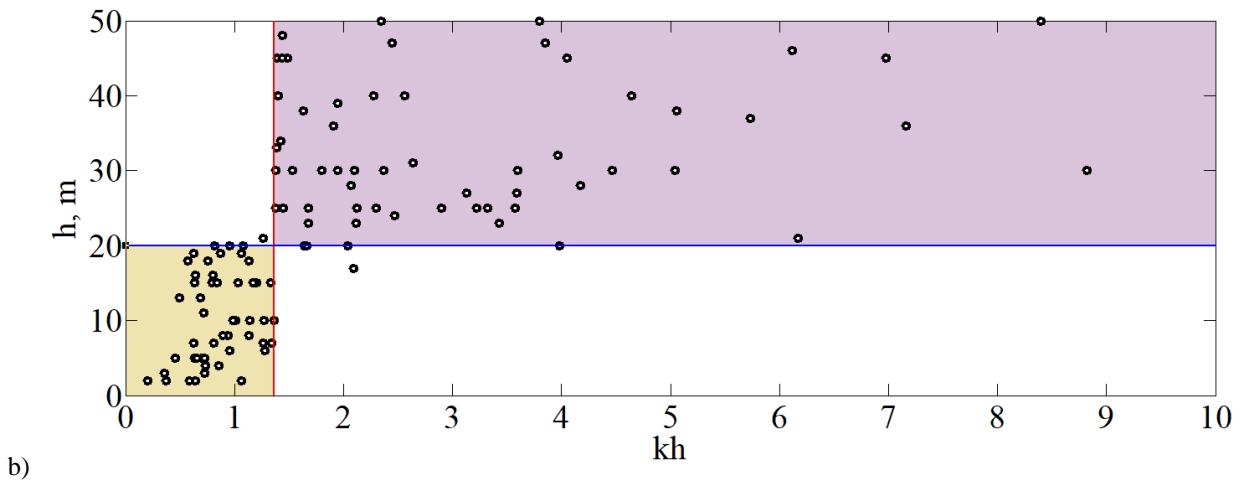
b)

Figure 8: The dependency of the parameter *kh* against the water depth (red line corresponds to the threshold of the criterion of modulational instability): (b) is zoom of (a).
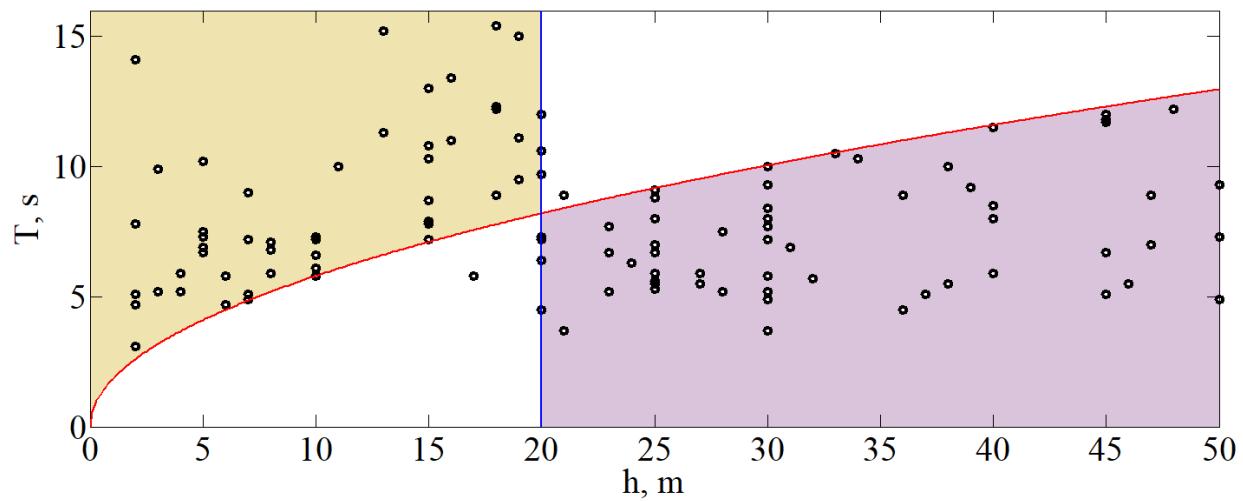
415　**Figure 9: Period of freak waves plotted against the water depth of their occurrence; the red solid line corresponds to Eq. (3).**
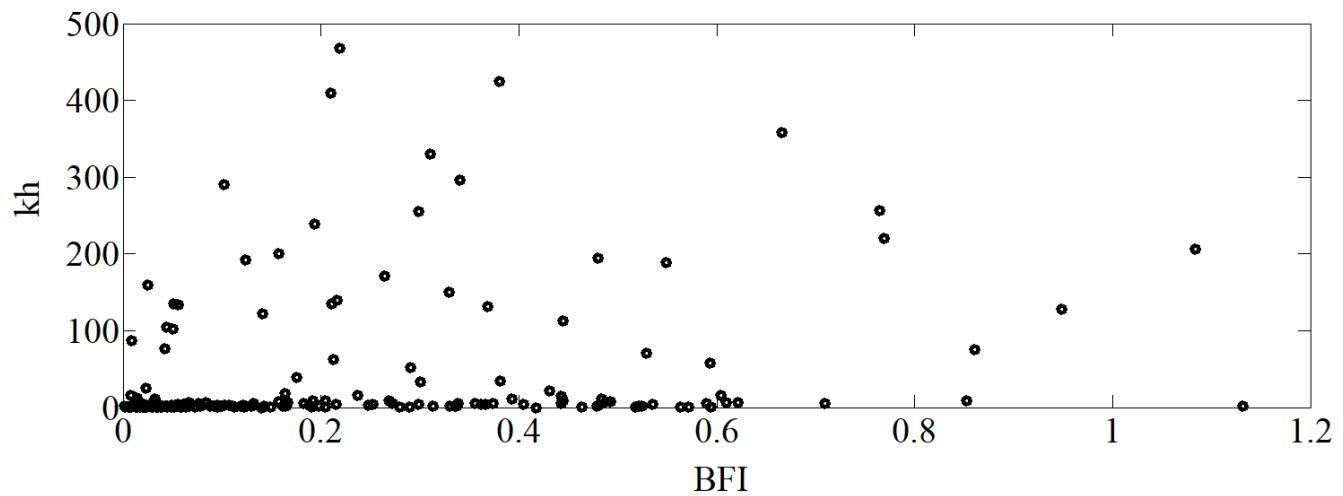
Figure 10: Benjamin Feir Instability (BFI) index versus the parameter *kh* for deep and shallow events


420
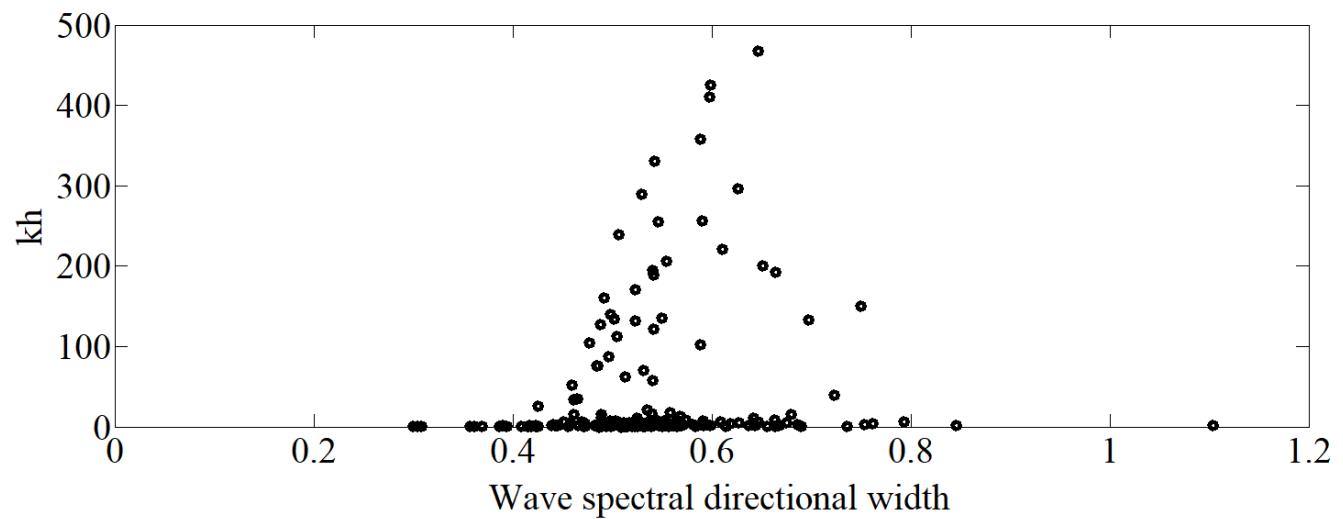
Figure 11: Wave spectral directional width versus the parameter *kh* for deep and shallow events.

19
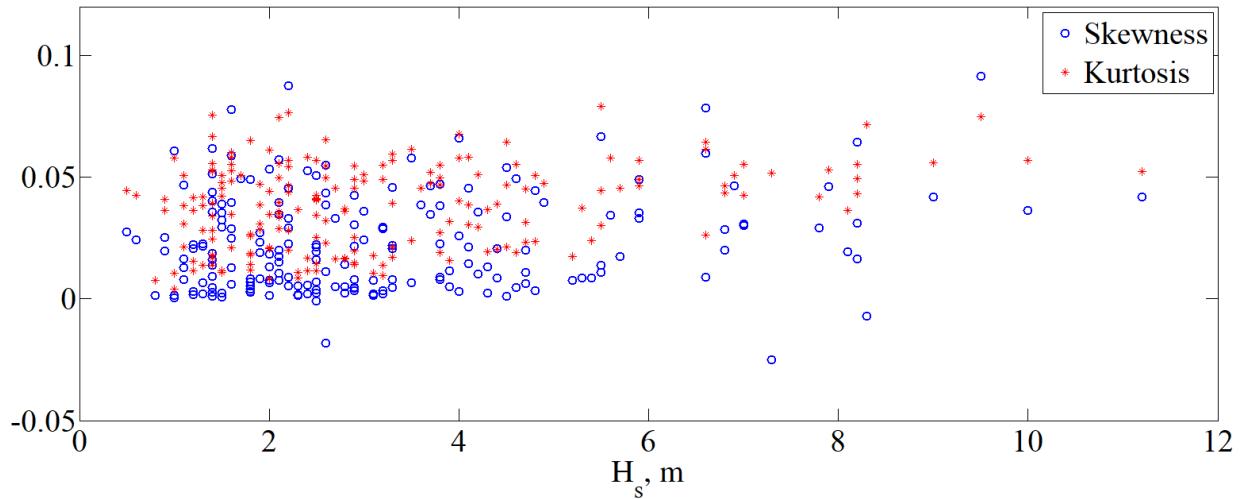
Figure 12: Distributions of skewness and excess kurtosis versus significant wave height.
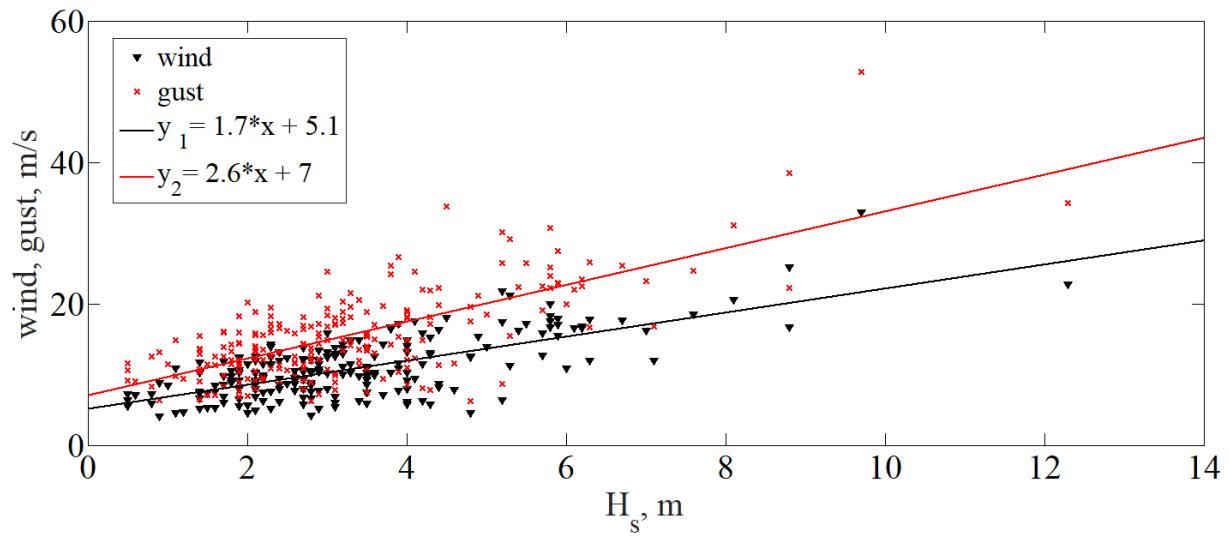
425   **Figure 13: Dependence of wind speed and gusts versus significant wave heights for coastal freak wave events.**
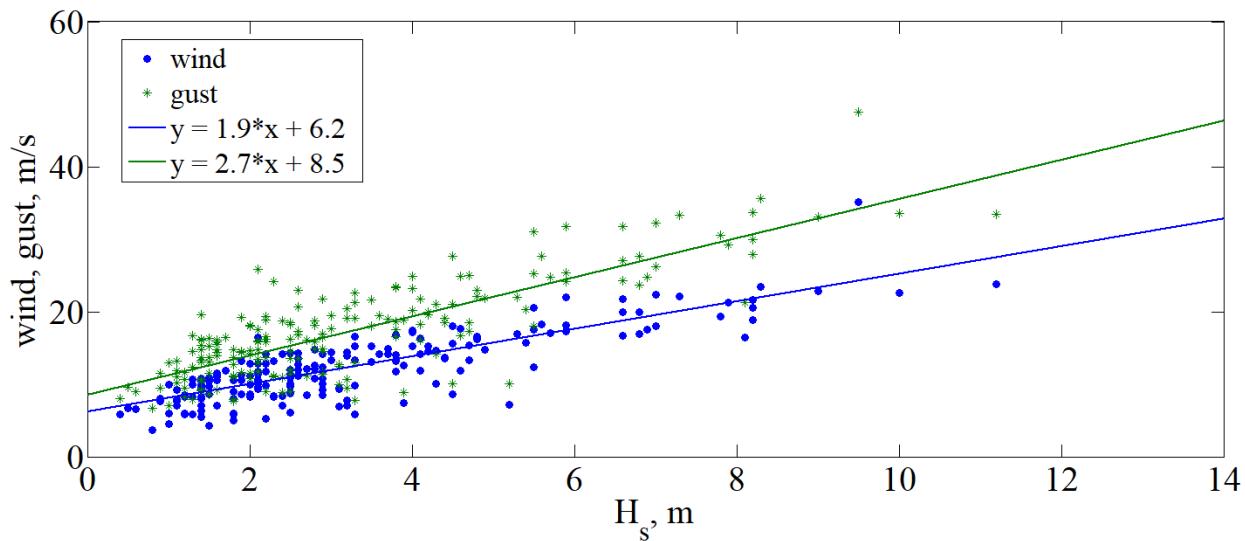
Figure 14: Dependence of wind speed and gusts versus significant wave heights for deep and shallow freak wave events.