# 1 Enhancing disaster risk resilience using greenspace in urbanising

# 2 Quito, Ecuador

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### 15 Abstract

16 Greenspaces within broader ecosystem-based disaster risk reduction strategies (Eco-DRR) provide multiple benefits to 17 society, biodiversity, and addressing climate breakdown. In this study, we investigated urban growth, its intersection with 18 hazards, and the availability of greenspace for disaster risk reduction (DRR) in the city of Quito, Ecuador, which experiences 19 multiple hazards including landslides, floods, volcanoes, and earthquakes. We used satellite data to quantify urban sprawl 20 and developed a workflow incorporating high resolution digital elevation models (DEMs) to identify potential greenspaces 21 for emergency refuge accommodation (DRR greenspace), for example following an earthquake. Quito's historical urban 22 growth totalled ~192 km<sup>2</sup> 1986–2020 and was primarily on flatter land, in some cases crossed by steep ravines. By contrast, 23 future projections indicate an increasing intersection between easterly urbanisation and steep areas of high landslide 24 susceptibility. Therefore, a timely opportunity exists for future risk-informed planning. Our workflow identified 18.6 km<sup>2</sup> of 25 DRR greenspaces, of which 16.3 km<sup>2</sup> intersected with potential sources of landslide and flood hazards, indicating that hazard 26 events could impact potential 'safe spaces'. These spaces could mitigate future risk if designated as greenspaces and left 27 undeveloped. DRR greenspace overlapped 7% (2.5 km<sup>2</sup>) with municipality designated greenspace. Similarly, 10% (1.7 km<sup>2</sup>) 28 of municipality designated 'safe space' for use following an earthquake was classified as potentially DRR suitable in our 29 analysis. For emergency refuge, currently designated greenspaces could accommodate ~2-14% (depending on space 30 requirements) of Quito's population within 800 m. This increases to 8-40% considering all the potential DRR greenspace

31 mapped in this study. Therefore, a gap exists between the provision of DRR and designated greenspace. Within Quito, we 32 found a disparity between access to greenspaces across socio-economic groups with lower income groups having less access 33 and further to travel to designated greenspaces. Notably, the accessibility of greenspaces was high overall with 98% (2.3 34 million) of Quito's population within 800 m of a designated greenspace, of which 88% (2.1 million) had access to potential 35 DRR greenspaces. Our workflow demonstrates a citywide evaluation of DRR greenspace potential and provides the 36 foundation upon which to evaluate these spaces with local stakeholders. Promoting equitable access to greenspaces, 37 communicating their multiple benefits, and considering their use to restrict propagating development into hazardous areas 38 are key themes that emerge for further investigation.

#### 39 **1 Introduction**

40 Urbanising and increasing populations are a global trend that create a range of societal and environmental challenges 41 including food and water security (Godfray et al., 2010; Hoekstra et al., 2018), air pollution (Fenger, 1999; Escobedo and 42 Nowak, 2009; Zalakeviciute et al., 2018), disease (Marmot et al., 2008), loss of biodiversity (McDonald et al., 2020), climate 43 change (De Sherbinin et al., 2007; Flörke et al., 2018), and exposure to disaster risk (Pelling et al., 2004). Approximately 44 68% of the world's population are projected to live in urban areas by 2050, many of which are yet to be developed, and the 45 rate of urbanisation is greatest for developing countries (UN DESA, 2019). Development of informal settlements takes place 46 outside of regulatory frameworks such as land use planning or building design codes (UN-Habitat, 2003; Oliver-Smith et al., 47 2016). Therefore, urbanisation often occurs within or creates hazardous areas, which exacerbates the socioeconomic 48 inequalities of disaster risk due to overcrowding, unsafe housing, and lack of infrastructure and services (Baker, 2012; 49 Cardona et al., 2012). Reducing disaster risk and losses is the aim of the global Sendai Framework for Disaster Risk 50 Reduction 2015–2030 (UNDRR, 2015) and is integral to achieving the UN sustainable development goals (SDGs). 51 Specifically, Goal 11 to 'make cities and human settlements inclusive, safe, resilient and sustainable' targets reducing deaths 52 and socio-economic impacts associated with disasters with a focus on the most vulnerable (UN General Assembly, 2015). 53 Successful risk reduction in 'tomorrow's cities' requires people-centred decision making to support a transition from disaster 54 response to risk-informed planning (Galasso et al., 2021). Additionally, nature-based solutions (NbS) involving greenspace 55 in cities are increasingly recognised within a framework of Ecosystem-based Disaster Risk Reduction (Eco-DRR) (Estrella 56 and Saalismaa, 2013; Faivre et al., 2018; UNDRR, 2020) and can be designed and monitored using an increasing number of 57 earth observation (EO) technologies (Kumar et al., 2021). EO data are widely used for land cover classifications to quantify 58 historical trends in urban expansion and to model future urbanisation projections (Schneider and Woodcock, 2008; Bonilla-59 Bedova et al., 2020b). Both high-resolution (< 1 m, commercial) (Myint et al., 2011; Georganos et al., 2018) and medium 60 resolution (10-30 m, open-access) (e.g. Landsat and Sentinel-2) optical satellite imagery are used for land cover and 61 greenspace mapping (Fuller et al., 1994; Labib and Harris, 2018; Deng et al., 2019).

63 There are multiple definitions of greenspace, however, they generally include reference to public parks, gardens, open space, 64 wetlands, street verges, woodland, and sports grounds (Taylor and Hochuli, 2017). Greenspace is associated with multiple 65 impacts on urban and natural systems (Fig. 1a) including: improving mental and physical health (James et al., 2015; WHO 66 Regional Office for Europe, 2016; Marselle et al., 2020; Bauwelinck et al., 2021); conserving natural ecosystems and biodiversity (Aronson et al., 2017; McDonald et al., 2020); creating economic opportunities (Gregory McPherson, 1992); 67 68 building community resilience to hazards (Colding and Barthel, 2013), including reducing landslide risk (Phillips and 69 Marden, 2005; Sandholz et al., 2018) and urban flooding (Maragno et al., 2018); and providing safe spaces in the event of a 70 disaster (Shrestha et al., 2018; Sphere Association, 2018; Shimpo et al., 2019; Jeong et al., 2021). However, greenspace 71 planning in urban environments is often recreation focused (Boulton et al., 2018). Therefore, it is important to recognise the 72 provision of multi-benefit greenspaces within an Eco-DRR framework, and the diverse accessibility, ownership, and 73 management of such spaces (Colding and Barthel, 2013). Similarly, the creation and designation of greenspace requires 74 consideration of social justice issues, such as the impact on property values (Wolch et al., 2014; García-Lamarca et al., 75 2020).

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77 Green cities, which incorporate diverse greenspace, green infrastructure, and interconnected social and ecological networks. 78 provide opportunities to enhance disaster resilience and deliver multiple benefits for sustainable development and nature 79 conservation (Benedict and MacMahon, 2002; Tidball and Krasny, 2012). These elements may be designed and integrated 80 into planning policy (Jeong et al., 2021) or emerge following crises, such as loss of food security prompting the proliferation 81 of urban gardening (Altieri et al., 1999; Gonzalez, 2003; Colding and Barthel, 2013). Similarly, following disaster events 82 such as earthquakes, open spaces are used for emergency refuge (Allan et al., 2013; Borland, 2020). The latter point was the 83 case following the 2015 Gorkha earthquake in Nepal, where greenspaces were used for temporary accommodation away 84 from collapsed and damaged buildings (Fig. 1 b-c). Temporary government camps homed over 30,000 people in the 85 Kathmandu Valley and over 1,000 smaller shelter sites homed thousands more (Khazai et al., 2015). Greenspace was also 86 prioritised in Tokyo following the 1923 Great Kanto Earthquake, where parks originally designed to provide space for 87 children were later valued as emergency refuges (Borland, 2020). Innovative greenspace design elements may also emerge 88 following disaster events, such as integrating water bodies and pumps, edible plant species, and multi-purpose (e.g. seating, 89 dining, and cooking) communal seating areas into greenspace areas (Bryant and Allan, 2013).

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Historically, green space in Quito was defined by the rural-urban relationship. Until the end of the 19th century, green spaces
were the "Ejidos", sites for agriculture and livestock, which were located on the outskirts of the city. The urbanisation model
did not contemplate green spaces in its design and natural spaces such as the ravines were mostly filled in (Aragundi et al.,
2016). This is important because parks and plazas have been repeatedly used as refuge sites after earthquakes in Quito. For
example, during the 1859 Quito earthquake and 1868 Ibarra earthquake, refugee tents were set in the main plazas and parks

- 96 of the city (e.g. Figure 1d, e). During the 20th Century, the use of these greenspaces and open spaces like plazas as refuge
- 97 after earthquakes was recognised through the creation of official 'safe spaces' (see section 4.3) (Metro Ecuador, 2019).



Figure 1: (a) Example impacts of urban greenspace on hazards, health, ecosystems, and infrastructure. (b-c) An area of greenspace 'Tundikhel' (Lat: 27.702°, Lon: 85.315°) in Kathmandu, Nepal, which was used for temporary tented accommodation following the Gorkha earthquake (25 April 2015). (d-e) Tents in Plaza Santo Domingo and Plaza Mayor (Plaza Grande) in Quito after the 1868 Ibarra earthquake.

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104 Ouito has a population of over 2 million (2020), having doubled in just three decades from 1 million in the late 1980s, and 105 which is projected to exceed 3.4 million by 2040 (DMQ, 2018). The expansion of formal and informal settlements into 106 hazardous areas increases disaster risk from events including landslides, flooding, volcanic eruptions, and earthquakes. 107 Increased disaster risk is due to both increased exposure to natural hazards and the social vulnerability of the exposed 108 communities (e.g. Valcárcel et al., 2017). Therefore, in this study we assessed the potential of greenspace for reducing 109 disaster risk in contemporary Ouito, and for guiding the development of more resilient communities in future urban areas. 110 Specifically, we: (1) quantified Quito's recent historical urban expansion using satellite-based optical imagery and evaluated 111 potential future urbanisation scenarios using land classification metrics; (2) investigated the intersection between the built 112 environment and natural hazards; and (3) evaluated the potential role of urban greenspace for reducing disaster risk in Quito 113 by providing 'safe spaces'. In this study, we analyse a style of greenspace relevant to disaster risk reduction that is 114 quantifiable using optical satellite data. Specifically, we focus on low gradient open spaces that are vegetated. We do not 115 consider specific greenspace amenities such as recreation facilities, or accessibility restrictions, which cannot be determined 116 using satellite data alone.

### 117 2 Study region

118 Ouito is situated in the central region of Ecuador, just south of the equator in the Inter-Andean Valley of South America at 119 over 2,800 m a.s.l. and is bounded by Pichincha Volcano (4794 m) to the west and steep topography to the east (Fig. 2). 120 Topography and factors such as the intertropical convergence zone and the South Atlantic convergence zone determine 121 Ouito's climate (Hastenrath, 1997; Vincenti et al., 2012; Zambrano-Barragán et al., 2011). Quito's precipitation distribution 122 has two modalities, March-April and October-December, with an average annual precipitation of 1200 mm and an average 123 annual temperature of 13.4°C (Vincenti et al., 2012; Zambrano-Barragán et al., 2011). In recent decades, Quito's urban 124 extent has spread many kilometres to the north, east, and south (Bonilla-Bedoya et al., 2020b; Salazar et al., 2020). 125 Westward expansion is limited, although not absent, due to the designated protected areas on the slopes of Pichincha 126 volcano, which were implemented following urban encroachment and the incidence of landslides and floods (Vidal et al., 127 2015; DMO, 2018). Urban expansion is changing Quito's exposure to natural hazards including landslides, floods, volcanic 128 activity, and earthquakes (Chatelain et al., 1999; Hall et al., 2008; Carmin and Anguelovski, 2009; Valcárcel et al., 2017). 129 Quito's urban area now exceeds the current Metropolitan District of Quito (DMQ) administrative boundary (Bonilla-Bedoya 130 et al., 2020a; Salazar et al., 2021). Therefore, in this study, we define two separate areas of interest (AOIs): (1) a 'land cover 131 AOI' for mapping land cover change, which encompasses the core urban area of Quito, and (2) a 'city AOI' for mapping

- 132 greenspace, which includes the Administrative Level 3 Parishes of Quito, Cumbaya, Llano Chico, Calderon (Carapungo),
- 133 Conocoto, Zambiza, and Nayón (Fig. 2a, S1).



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Figure 2: (a) The location of Quito, Ecuador in relation to regional seismic faults and volcanoes. Fault lines (red) are from the Geophysical Institute of the National Polytechnic School (IG-EPN) and Global Earthquake Model Global Active Faults (Styron, 2019). (b) Urban change and population of Quito are mapped using Open Government data (http://gobiernoabierto.quito.gob.ec/).

(c) Volcanic hazards from the IG-EPN et al. (2019) Pichincha Volcano hazard map. (d) Landslide susceptibility map (Stanley and

139Kirschbaum, 2017) and observed landslide events (n=1,321) (2006–2017) (<a href="http://gobiernoabierto.quito.gob.ec/">http://gobiernoabierto.quito.gob.ec/</a>). (e) Observed140Hydrometeorological (n=1,574) and forest fire events (n=2,358) (2006–2017) (<a href="http://gobiernoabierto.quito.gob.ec/">http://gobiernoabierto.quito.gob.ec/</a>). (e) Observed

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142 Ouito is surrounded by active faults (Fig. 2a) and the Global Earthquake Model estimates (Pagani et al., 2018) at the regional 143 scale indicate a relatively high seismic hazard with a Peak Ground Acceleration (PGA) of 0.55-0.9 g (with a 10% 144 probability of exceedance in 50 years) (Fig. S1). Similarly, Beauval (2018) estimate a PGA of ~0.4–0.6 g for Ouito in a 145 return period of 475 years. The Quito Fault System creates seismic hazard across the city, with a maximum earthquake size 146 estimated at  $M_w$  6.6 and a recurrence time of ~150–435 years (Alvarado et al., 2014). Earthquake scenario damage models 147 show that the highest rates of potential building damage are associated with areas of highest social vulnerability (Valcárcel et 148 al., 2017). Volcanic eruptions also pose significant risk to large populations. Quito lies 12 km from the active volcano 149 Guagua Pichincha, where activity over the past decades has been characterised by small explosions, ash, and gas emission 150 (Loughlin et al., 2015). Past eruptions have covered Quito in ash, for example, the 1660 eruption ash deposits are ~10 cm 151 thick in central Quito (Robin et al., 2008). Recent pyroclastic flows and surges have been channelled by topography away 152 from Quito to the west, but potential volcanic hazards in Quito include secondary lahars as well as ashfall, which are mapped 153 using knowledge of historic eruptions (IG-EPN, 2019) (Fig. 2c). Quito's road network, and water supply, are also all 154 vulnerable to flows and especially ash from multiple volcanoes (Wilson et al., 2012; Loughlin et al., 2015). Landslides and 155 floods are both extensive natural hazards in Quito owing to the steep topography, intense rainfall, and filling of natural 156 drainage channels to create building space (DMQ, 2018; Castelo et al., 2018; Domínguez-Castro et al., 2018; Perrin et al., 157 2001). Landslides are concentrated on the steep slopes of Quito's periphery and ravines (Fig. 2d), whereas flood events are 158 spread across Quito's urban extent (Fig. 2e). Following heavy rainfall, mudflows are also a hazard on the lower and 159 increasingly urbanised slopes of Pichincha (Perrin et al., 2001). Multi-hazards or cascading hazards could also emerge 160 through combinations of single hazards, such as a volcanic eruption that deposits ash on slopes and blocks urban drains, 161 which if followed by heavy rain could produce lahars and urban flooding respectively (Gill et al., 2021).

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163 In terms of policy and planning, the issue of green space in the city currently maintains a spatial-functional emphasis, 164 although environmental (mainly related to climate change) and socio-political (public space, right to the city) criteria have 165 been incorporated. There was an important change in the first urban plan of the city (1942), where the design envisages a 166 series of green spaces, especially in the north of the city, under a criterion of recreational and sports spaces. This is the case 167 of the current La Carolina park, which was initially the city's racecourse. The plan also considered a series of smaller green 168 spaces within the residential areas. However, a balanced development between urban sprawl and the environment was not 169 planned, but rather green and open spaces in general were thought of as part of the zoning logic of the time. This model of 170 urban development between the 1970s and 2000s is the main risk factor for disasters in the city (Carrión and Erazo Espinosa, 171 2012). In 1993, the Metropolitan District of Quito (DMQ) was created, with 9.3% of its territory being urban and 90.7%

rural. This new territorial configuration is relevant because both planning and risk analysis tend to concentrate only on the urbanised area (Peralta Arias and Higueras García, 2016).

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175 When outlining the vision of Quito to year 2040, the Municipality of the Metropolitan District of Quito recognised the 176 importance of an urban green network for delivering social and natural benefits, including risk mitigation (DMQ, 2018). This 177 recognition of greenspace to reduce risk from morphoclimatic events has been present in the planning instruments of the 178 Municipality since the 1980s. The destructive mudflows of 1983 on the slopes of Pichincha that had been previously 179 urbanised by informal settlements prompted the national government of Ecuador to legislate for the law on "protective 180 forests". These forests were designed to prevent erosion, mitigate landslides, and control informal urbanisation on slopes 181 around Ouito, According to Sierra (2009), the role of greenspace in the borders of the city were first designed as recreational 182 and patrimonial landscapes from 1940s onwards, and later, in the 1970s and 1980s incorporated environmental, city growth 183 control, and risk mitigation properties. In the last 30 years, there has been Municipal and community interest in the recovery 184 of ravines for recreational activities and improving citizens quality of life by implementing nature-based solutions alongside 185 urban development; however, its realisation and impact has been small at the city scale, instead confined to planning-stage 186 pilot projects such as in the San Enrique de Velasco district in the northwest of Ouito (Salmon et al., 2021).

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The following section details our methodology to quantify Quito's historical urban growth and investigation of future urban growth scenarios. We investigate Quito's growth in conjunction with topographical information and hazard datasets to reveal how Quito's exposure to hazards is changing through time. We then define a methodology to map greenspace that is potentially suitable for disaster risk reduction, considering the spatial distribution in relation to socioeconomic data, and per person accessibility if the spaces were used as an emergency refuge. These data are then used to reveal optimum locations for the designation of new protected greenspaces to enhance disaster risk resilience in Quito.

# 194 3 Methodology

# 195 **3.1 Urban growth**

196 Urban growth for the period 1986 to 2020 was derived by applying a land cover classification workflow to 30 m resolution 197 Landsat satellite imagery for the Land Cover AOI (Fig. 2a, 3a), including Landsat 4 Thematic Mapper (TM), Landsat 7 198 Enhanced Thematic Mapper Plus (ETM+), and Landsat 8 Operational Land Imager (OLI). Landsat imagery was selected 199 June to September to avoid cloud cover during the wet season (Domínguez-Castro et al., 2018). Therefore, seasonal spectral 200 variations in land covers are not captured. Images were pre-processed using Landsat-based detection of Trends in 201 Disturbance and Recovery (LandTrendr) and Google Earth Engine to create multi-image mosaics with minimal cloud cover 202 using a medoid pixel composite (Gorelick et al., 2017; Kennedy et al., 2018). Training data were manually digitised as 500 203 polygons (median polygon area of 5,400 m<sup>2</sup>) with reference to the 1986 image using four classes: 1) urban, 2) woodland, 3)

204 scrub vegetation and bare ground, and 4) agriculture and grassland. Training data were masked using the normalised 205 difference vegetation index (NDVI) vegetation loss and growth masks that are output from LandTrendr to leave areas of 206 training data that were spectrally consistent through time (1986–2020). Landsat composites were stacked with elevation and 207 slope layers derived from the 30 m Shuttle Radar Topography Mission (SRTM) digital elevation model (DEM) (Farr et al., 208 2007) since these additional variables were shown to improve land cover classification performance (Zhu et al., 2016). We 209 used a Random Forest classification, which is a decision tree approach popular for land cover classifications owing to their 210 high accuracy, broad data handling, and low sensitivity to training data noise (Rodriguez-Galiano et al., 2012; Zhu et al., 211 2016). The Orfeo Toolbox Random Forest classifier (Inglada and Christophe, 2009) (Table S1) was run 50 times for each 212 time period using 200 trees and a random sample of training data to account for imbalance between classes (Millard and 213 Richardson, 2015) (Table S1). The modal value was used to produce the final classification map, which was accuracy 214 assessed using an independent stratified random sample of 200 reference points in each class created using high resolution 215 satellite imagery (Fig. S2). High resolution multispectral satellite imagery was not available in the 1980s, which reduces 216 classification confidence in training and reference data, however, a panchromatic ~1 m resolution aerial orthophoto of Quito 217 in 1977 from Instituto Geográfico Militar (1977) was used for reference. The accuracy assessment was used to produce an 218 error-adjusted area and confidence interval of each land cover classification (e.g. Olofsson et al., 2013; Olofsson et al., 219 2014).

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221 Future urbanisation scenarios in Quito were assessed with reference to Bonilla-Bedoya et al. (2020b) and Salazar et al. 222 (2020). Both studies used predictor variables to model future urbanisation scenarios in Quito. Salazar et al. (2020) present a 223 scenario to the year 2050, whereas Bonilla-Bedoya et al. (2020b) define an 'urbanisation probability' without a scenario end 224 date. Nonetheless, the spatial trends in both studies are similar. Predictors used to derive urbanisation probability included 225 biophysical (e.g. precipitation, slope, and altitude), land cover and management (e.g. protected areas), infrastructure and 226 services (e.g. road network), socioeconomic (e.g. land value), and landscape metrics (e.g. landscape patch size and shape) 227 (Bonilla-Bedoya et al., 2020). We used 'high' (urbanisation probability: 55–79 %) and 'very high' (urbanisation probability: 228 79–100 %) classes from Bonilla-Bedoya et al. (2020b) in this study (Fig. S3) to evaluate future land cover scenarios and the 229 intersection of urban areas with hazards.



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Figure 3: (a) Land cover classification and accuracy assessment workflow. (b) Classification of greenspace that could potentially contribute to disaster risk reduction (DRR), herein 'DRR greenspace'.

## 234 **3.2 Topography**

235 The 30 m SRTM DEM was used to extract statistics on the elevation and slope within the land cover change area of interest 236 (AOI), which encompasses the smaller city AOI (Fig. 2a). A higher resolution (2 m and 10 m) DEM and orthoimagery was 237 created for a smaller AOI (Fig. 2a), which bounded the Administrative Level 3 Parishes of Ouito, Cumbaya, Llano Chico, 238 Calderon (Carapungo), Conocoto, Zambiza, and Nayon. This AOI was covered by tristereo Pleiades imagery, which were acquired on five separate dates (5th November 2019, 28th January 2020, 9th February 2020, 6th June 2020, and 28th July 2020) 239 240 in both panchromatic (~0.7 m) and multi-spectral (~2.8 m RGB and Near Infrared) modes (Table S2). Tristereo acquisitions 241 produce elevation models with lower uncertainties compared to bistereo acquisitions due to greater point cloud densities 242 afforded by the extra viewing angle (Zhou et al., 2015). All imagery was delivered with radiometric processing to reflectance 243 and processed using rational polynomial coefficients (RPCs) without ground control points (GCPs) (e.g. Airbus Defence and 244 Space, 2012; Zhou et al., 2015). Agisoft Metashape v.1.6.5 was used to process the imagery to create a DEM, digital terrain 245 model (DTM), and orthorectified imagery. Briefly: (1) the panchromatic and multispectral imagery were aligned in one 246 bundle to produce a sparse point cloud; (2) the sparse cloud was filtered to remove outliers using Metashape's gradual 247 selection tools: (3) a dense point cloud was constructed using the panchromatic imagery, which was used to create a 2 m 248 resolution DEM and (4) orthorectify the satellite imagery. Metashape's ground classification (maximum angle: 15°, 249 maximum distance: 0.5 m, cell size: 50 m) was applied to the dense cloud and used to create the DTM. An additional DSM 250 was output at 10 m resolution to reduce data gaps for deriving a Topographic Wetness Index (TWI) (Section 3.3).

252 Since the Pleiades DEM was processed without GCPs, we assessed the accuracy using Ice, Cloud and land Elevation 253 Satellite (ICESAT-2) altimetry data, ICESAT-2 data has an expected vertical accuracy that is lower than the error expected 254 from a Pleiades DEM created without ground control points (> 3-5m) (Passalacqua et al., 2015; Markus et al., 2017) and 255 was therefore used as an independent validation check. We extracted High Confidence returns from the Advanced 256 Topographic Laser Altimeter System (ATLAS) instrument ATL03 Global Geolocated Photon Height data acquired 6<sup>th</sup> 257 December 2018 to 3<sup>rd</sup> June 2020 that intersected with the Pleiades data (Neumann et al., 2019; Neumann et al., 2020). 258 Photons were filtered to exclude slopes steeper than  $20^{\circ}$  and aggregated into 5 m grid cell mean values. Cells containing > 2 259 photons with an elevation range  $\leq 1$  m, were carried forward for the validation (n = 11,922). We coregistered the Pleiades DEM and gridded ICESAT-2 data following the x, y, z shift correction of Nuth and Kääb (2011) and the difference in 260 261 elevation values were compared. The mean vertical difference between the ICESAT-2 and Pleiades data was 0.38 m (one 262 standard deviation: 1.32 m) with a normalised median absolute deviation of 0.84 m.

### 263 3.3 Hazards

264 Information on natural hazards affecting Quito were collated from published sources and Ecuador's Open Government data. 265 We used a global landslide susceptibility model that was validated against local and global landslide inventories, with an 266 emphasis on rainfall-triggered events (Kirschbaum et al., 2016; Stanley and Kirschbaum, 2017). Landslide susceptibility was 267 ranked on a scale of 1 (low) to 5 (high) and the model combined data on slope, faults, geology, forest loss, and road 268 networks, aggregated to ~1 km grid cells (Stanley and Kirschbaum, 2017). Open Government records of 'Accidents' 2006– 269 2017 were used to identify the geographic distribution of mass movement events (n = 1,321), which were compared to the 270 global landslide susceptibility model (Fig. S4) (Ministry of Territory. Habitat and Housing., 2020). We masked Class 5 271 (high) of the landslide susceptibility model out of the future urbanisation scenario of Bonilla-Bedoya et al. (2020b) to create 272 a restricted scenario of urban growth, which reflects DMO's vision to remove high risk areas from future land occupation. 273 We also excluded development on the slopes of Pichincha volcano (as unrealistically inaccessible given steep slopes) and 274 included an area of development spanning the Metropolitan District boundary in the south (Fig. S3). We refer to the original 275 scenario of future urbanisation and the modified scenario as F-U and M-U respectively. Information on volcanic hazards 276 were obtained from the Geophysical Institute of the National Polytechnic School (IG-EPN) through the National Information 277 System (SNI) (SNI, 2020). Spatial variation in earthquake hazard across Quito was not explored in this study due to the 278 coarse resolution (~10 km) of available hazard information (Fig. S1). However, the high regional seismic hazard (Alvarado 279 et al., 2014; Pagani et al., 2018) motivates our city-wide analysis of greenspace.

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The 10 m Pleiades DEM was hydrologically corrected by breaching sinks (Lidberg et al., 2017), using the *Breach depressions least cost tool* of Whitebox 1.4.0. The breached DEM was used to derive a TWI, which was intersected with flood events in the Open Government database (n = 1,274) to assess whether high TWI values correspond to greater incidences of flood events, and therefore was indicative of potential flood hazard (Jalayer et al., 2014; Kelleher and
McPhillips, 2020).

287 
$$TWI = \ln\left(\frac{a}{tan\beta}\right)$$
(1)

288 289

290 where a represents the specific catchment area and  $tan\beta$  represents the local DEM slope. Therefore, the TWI describes the 291 tendency for a cell to accumulate and evacuate water (Beven and Kirkby, 1979; Manfreda et al., 2011; Mattivi et al., 2019). 292 We assumed a positional uncertainty radius of 20 m in the flood event records based on the observed positional spread of 293 recorded traffic collisions at road junctions in the same database (Fig. S5). The maximum TWI value within a 20 m radius of 294 the recorded point was extracted and compared to the TWI for a random sample of 10,000 points to test whether there was a 295 statistically significant difference in the TWI at locations of flood events (e.g. Kelleher and McPhillips, 2020). Notably, this 296 method does not account for the subsurface drainage network present in an urban setting, and therefore represents an 297 assumption that this subsurface drainage network is overwhelmed during the flood event, such that all flow passes over the 298 DEM (Kelleher and McPhillips, 2020).

# 299 3.4 Greenspace

Orthorectified multi-spectral Pleiades imagery was pansharpened in ArcGIS Pro 2.6.0 using the Gram-Schmidt algorithm and Pleiades sensor band weights to create a four-band (red, green, blue, and near infrared (NIR)) 0.5 m resolution multispectral image. Quito's vegetated greenspace distribution was mapped using the NDVI applied to the NIR and red bands of the pansharpened Pleiades satellite imagery (Fig. 3b):

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$$305 NDVI = \frac{(NIR - Red)}{(NIR + Red)} (2)$$

Negative NDVI values correspond to areas lacking vegetation, whereas increasingly positive values represent healthy vegetation (Tucker et al., 1981; Pettorelli et al., 2005). In some cases, shadowed areas, for example due to buildings, display similar NDVI values to vegetation (Leblon et al., 1996; Yamazaki et al., 2009). We therefore used 100 randomly sample patches ( $200 \times 200$  m) to evaluate the NDVI classification with reference to the pansharpened Pleiades orthoimage. Incorrect classifications had a small overall impact, accounting for 0.4 % of the evaluated NDVI area (Table S3) with a mean patch size of  $13\pm16$  m<sup>2</sup>. Bright blue roofs also displayed a high NDVI value and were masked out using a simple 'blueness' index of values  $\leq 0.2$ , which was derived through manual inspection of blue roofs:

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314 
$$Blueness = 2 \times Blue - Red - Green$$

(3)

315

Whilst global coverage and daily observation is possible with the paired constellation, Pleiades imagery is not routinely acquired nor open access. Therefore, we also compared Pleiades NDVI values with those from an open access Sentinel-2 image acquired 6<sup>th</sup> February 2020 with the aim of testing their consistency, noting that whilst the spectral bands overlap, the bandwidth of Pleiades is greater (Pleiades: red 590–710 nm, NIR 740–940 nm, Sentinel-2: red 649–680 nm, NIR 780–886 nm).

## 321 **3.4.1 Disaster risk reduction (DRR) greenspace**

322 Greenspaces potentially suitable for providing safe spaces and contributing towards disaster risk reduction were identified 323 using an EO-based workflow (Fig.3b) for areas within 800 m (accessible within a ~10-minute walk) (e.g. Dou and Zhan, 324 2011: Jeong et al., 2021) of populations in Ouito's urban extent. The workflow identified greenspace: (1) that is vegetated. 325 (2) greater than 10 m from a road to exclude road verges; (3) with slope  $\leq 4^{\circ}$  to provide a suitable gradient for 'safe spaces' 326 (K1lc1 et al., 2015; Liu et al., 2011); and (4) with a local height ( $\leq 2$  m) to identify open ground and exclude raised vegetation 327 such as trees. Expansion and contraction buffers of 10 m were applied to connect adjacent patches of greenspace into 328 greenspace 'zones', which for example could represent multiple patches of classified greenspace within a park. All areas of greenspace with a patch size  $\geq 100 \text{ m}^2$  within these zones were summed and zones totalling  $\geq 2000 \text{ m}^2$  of greenspace were 329 330 classified as 'potential DRR greenspace'. Space requirements in a disaster situation are dynamic; however, a  $100 \text{ m}^2$  patch 331 size is recommended to accommodate two people with communal space (cooking, access, facilities etc) in a camp-style 332 settlement following guidelines in the Sphere Humanitarian Charter and Minimum Standards in Humanitarian Response 333 Handbook (Anhorn and Khazai, 2015; Sphere Association, 2018). Zones of 2000 m<sup>2</sup> approximate one quarter to one third of 334 a professional football pitch so could be expected to already exist as functional greenspaces (e.g. recreation parks) in an 335 urban environment. These spaces were evaluated alongside a list of safe spaces designated by DMO for use in an earthquake 336 event (Metro Ecuador, 2019)(Table S4), in conjunction with population data projected to 2019 and socioeconomic 337 classification data (Instituto Geográfico Militar, 2019). These socioeconomic classifications characterise a continuum of 338 education, income, and lifestyle factors into five classes, ranging from 'high' to 'low', where 'low' represents basic 339 education and limited household facilities such as rubbish collection and plumbing, whereas 'high' represents higher 340 education, and houses or apartments that are provisioned with state services (Instituto Geográfico Militar, 2019).

### 341 **3.4.2 Greenspace capacity**

Quito's 2019 population data (Instituto Geográfico Militar, 2019) were used to assess the population capacity of all DRR greenspace (3.4.1) in the event that they were to be used for accommodation following a disaster such as an earthquake. We assessed the capacity of two types of greenspaces: (1) DRR greenspace that overlapped with DMQ designated greenspaces, which included city parks and safe spaces (3.4.1), and (2) all DRR greenspaces identified in this study that were either designated or undesignated. These two scenarios therefore represent the DRR capacity based on current designations (1), 347 compared to the potential maximum capacity (2). We considered two separate cases of populations within 800 m and 1600 m 348 network buffers of each greenspace. For each scenario, we used a network analysis to assign population demand points to 349 each greenspace based on their proximity, up to the maximum buffer distance. The network was constructed as a grid at 100 350 m resolution and considered population demand points also gridded at 100 m resolution, which were uniformly 351 disaggregated from census polygons. The number of people that could be accommodated in each greenspace depends on the 352 capacity of the space, and the population demand in the surrounding buffer. We considered capacities based on Sphere 353 Association (2018) guidelines, which suggest an allocation of 45  $m^2$  per person (recommended amount per person 354 accounting for communal facilities and infrastructure in an emergency shelter setting) and 3 m<sup>2</sup> per person (minimum living 355 space per person). All demand within the buffers was allocated to the closest greenspaces, therefore excess demand was 356 reported as overcapacity. We did not consider the possibility of people moving greater distances around the city to distribute 357 the population demand more equally, which could occur following an initial disaster situation, or that only a fraction of the 358 population would require access to refuge space in a disaster situation. Considering potential policy consideration, we also 359 used a maximum capacitated coverage network analysis (e.g. Anhorn and Khazai, 2015) with the same datasets to find the 360 'top ten' DRR greenspaces in Quito based on a minimum space requirement of 3 m<sup>2</sup> per person and a travel distance of 800 361 m.

### 362 4 Results

### 363 4.1 Urban growth

364 Our land cover classifications showed that the urban area of Quito expanded  $\sim 192 \text{ km}^2$  over the study period, more than 365 doubling from 160±50 km<sup>2</sup> in 1986 to 352±47 km<sup>2</sup> in 2020 (Fig. 4, Table S5). Urban expansion was primarily aligned along-366 valley (north south) and eastward (Fig. 5a), into areas of previously scrub vegetation/bare and agricultural/grassland classes. 367 The future urbanisation scenario of Bonilla-Bedoya et al. (2020b) covered an urban area of 1,232 km<sup>2</sup> (F-U), whereas the M-368 U scenario covered 705 km<sup>2</sup> (Fig. 4a), which was still double the observed 2020 urban area. Future urbanisation in the 369 modelled scenarios was predominantly eastward, where lower density urbanisation interspersed with the scrub 370 vegetation/bare ground class was already apparent in 2020 (Fig. 5). The area of woodland and agriculture/grassland classes 371 also increased 1986–2020. A notable example of afforestation (4.8 km<sup>2</sup>) was the park Metropolitano del Sur, which is 372 located on the southeast of the city limit (Fig. 5a).



Figure 4: (a) Mapped land cover classification results for 1986 and 2020 alongside modelled future land cover from two scenarios<sup>(1,</sup> <sup>2)</sup> using data from Bonilla-Bedova et al. (2020). (b) Error-adjusted (e.g. Olofsson et al., 2013; Olofsson et al., 2014) land cover classification results from 1986 and 2020.



Figure 5: (a) 3D perspective showing urban growth 1986-2020 and land cover. (b) Quito's urban area in 2020 compared to modelled future urbanisation (F-U) (Bonilla-Bedova et al., 2020) and a modified scenario (M-U). Background is a hillshaded SRTM DEM.

- broader elevation range in 2020, tending towards lower elevations (Fig. 6a), which was also apparent for the F-U and M-U
- scenarios. The urban class displayed the smallest spread of values for topographic slope (Fig. 6b). Here, the median slope of

The median elevation of Quito's urban extent in 2020 (2,780 m) was similar to 1986 (2,810 m); however, the city covered a

- 387 the urban class was ~5° in 1986 and 2020, however this increased to 11° and 7° in the F-U and M-U scenarios respectively,
- in addition to a broader spread of slope values. Woodland featured the highest median slope of all land cover classes ( $\sim 28^{\circ}$ ) and a comparable median elevation to the urban class ( $\sim 2700-2800$  m).





391

Figure 6: Elevation (a) and slope (b) characteristics of the classified and modelled land cover scenarios. Boxes show the interquartile range and the median (horizontal line). Lines show values within 1.5 times the interquartile range. Outliers are not shown. (c) 2020 land cover intersections with landslide susceptibility and the percentage points change since 1986. (d) Future land cover intersections with landslide susceptibility using modified urbanisation probability (M-U) of Bonilla-Bedoya et al. (2020, and the difference compared to the unmodified scenario (F-U).

# 397 4.2 Intersection with hazards

Landslides are one of the most common natural hazards in Quito (DMQ, 2018). We found good spatial association between

399 observations of landslide events in Ecuador's Open Government database (2006–2017) and a landslide susceptibility model

400 (Stanley and Kirschbaum, 2017) (Fig. S4). Of 1,321 recorded events, 82% (n = 1,089) fell within landslide susceptibility 401 categories 3–5, of which 44% (n = 576) were in the highest category (5). Ten events were observed in the lowest category 402 (1). We observed a small change in the landslide susceptibility of the urban class 1986–2020. Here, the urban area in the 403 highest landslide susceptibility categories (4 and 5) increased by 2 percentage points 1986-2020 (Fig. 6c). The largest 404 change was observed in the agriculture/grassland class, which featured a 9-percentage point increase in category 5 (high) 405 landslide susceptibility. Woodland mostly occurred within the highest landslide susceptibility category 5 (87%) (Fig 6c). 406 Regarding future urbanisation, the M-U scenario restricted future urbanisation in landslide susceptibility category 5. 407 therefore the observed percentage of urban area in category 5 (6%) was notably lower than in the F-U scenario (47%), which 408 did not enforce any restrictions.

409

410 Flood events in Quito that were recorded in Ecuador's Open Government database were evaluated alongside a TWI derived 411 from the 10 m resolution Pleiades DEM, noting that this does not account for subsurface drainage. Median TWI values for 412 all flood events (n = 1,274), clustered flood events where two or more events were located within 40 m of each other (n = 413 125), and a random sample (n = 10,000), were 13.3, 14.4, and 12.1 respectively (Fig. S6). Clustered flood events, which 414 displayed the highest TWI, could correspond to areas of nuisance flooding since multiple events are located in close 415 proximity (Kelleher and McPhillips, 2020). Two sample independent Welch t-tests (one-tailed) showed that the difference in 416 TWI values between all flood events and clustered floods events were statistically significantly different from the random 417 sample (p < 0.05). Therefore, the mean TWI value was observed to be larger in areas of flood locations compared to the 418 random sample.

### 419 **4.3 Greenspace**

Quito includes multiple types of greenspace that provide ecological, social, and disaster risk reduction benefits (Fig. 1a, 7).
Within our AOI, 18.6 km<sup>2</sup> of potential DRR greenspace was identified, which covered 6% of the urban zone (Fig. 8). DMQ
designated greenspace had an area of 36.9 km<sup>2</sup>, of which 2.5 km<sup>2</sup> (7%) intersected with potential DRR greenspace. Similarly,
DMQ designated safe spaces covered 17.3 km<sup>2</sup>, of which 1.7 km<sup>2</sup> (10%) intersected with potential DRR greenspace.
Comparing DRR greenspaces with hazard information revealed that 62% of DRR greenspace intersected with areas of high
TWI values (>=14.4 (median value for clustered flood events - Section 4.2)), 10% intersected with areas of high (category 5)
landslide susceptibility, and 6% intersected with both hazards (Fig. 8b).



- 428 Figure 7: Examples of greenspace in Quito from photographs taken in October 2019 (a-d).



Figure 8: Greenspace mapped using the NDVI applied to Pleiades satellite imagery shown with classified potential DRR greenspace (black and red circles, pink shading). Red circles indicate DRR greenspace that intersects with landslide susceptibility class 5 (high) and a Topographic Wetness Index value of >=14.4 (median value for clustered flood events - Section 4.2). The inset of Carolina Park shows the similarity of Pleiades-derived greenspace compared to greenspace mapped using Sentinel-2 imagery. The Pleiades inset shows the distribution of potential DRR greenspace (pink) in Carolina Park. (b) Summary of greenspace availability and hazard intersections.

436 The association between population, socioeconomic classification (Instituto Geográfico Militar, 2019), and greenspace 437 accessibility was investigated for greenspaces  $\geq 2000 \text{ m}^2$ . The number of people living within close proximity to designated 438 greenspace was higher than for DRR greenspace (Fig. 9a). For example, 2.3 million (98%) of Quito's population were within 439 800 m of a designated greenspace, compared to 2.1 million for the DRR greenspace (88%). Distance to the nearest 440 greenspace was greater for 'low' and 'medium low' socioeconomic classifications compared to 'high' and 'medium high' 441 (Fig. 9b). Here, the difference in median values was greatest for designated greenspace (466 m), compared to our 442 classification of DRR greenspace (80 m). The amount of designated greenspace per person was smaller for lower socioeconomic classifications, with a median of  $3 \text{ m}^2$  per person for the 'low' classification compared to  $8 \text{ m}^2$  for 'high'. 443 However, the amount of DRR greenspace was greatest for lower socioeconomic classifications, with a median of 24 m<sup>2</sup> per 444 person for 'low' compared to 4 m<sup>2</sup> for 'high' (Fig. 9c). This reflects lower population densities on the city margins (Fig. 9d) 445 446 and the persistence of agricultural land and undeveloped ground in these areas following urbanisation.





448 Figure 9: (a) Population proximity to designated and DRR greenspace. (b) Violin plot showing distance to the nearest greenspace 449 for each socioeconomic classification. Overlaid boxplots show the interquartile range and the median (horizontal line). Lines show

values within 1.5 times the interquartile range. Outliers are excluded. (c) Violin plot showing greenspace per person within 800 m for each socioeconomic classification. Boxplots are overlaid with outliers excluded and values > 100 m<sup>2</sup> per person are not shown. (d) Spatial variation in socioeconomic classification and population density for Quito using data from Instituto Geográfico Militar (2019). (e) Number of people that could be accommodated in DRR greenspace based on an allocation of 45 m<sup>2</sup> per person capacity and (f) 3 m<sup>2</sup> per person capacity. (e-f) Show capacitated populations for a network distance of 800 m (light grey bars) and 1600 m (dark grey bars) from the greenspace centroid and for DRR greenspace in designated spaces compared to all potential DRR greenspace mapped in this study.

## 457 **4.3.1 Greenspace capacity**

We assessed the capacity of each space considering the surrounding population demand. For populations within 800 m, DRR greenspace in currently designated areas could accommodate 1.7% (40,778) of Quito's population (total 2.3 million) with an allocation of 45 m<sup>2</sup> per person, or 13.5% (318,556) with 3 m<sup>2</sup> per person (Fig. 9 e-f, 10a). Considering all potential DRR greenspace (Fig. 8a), these values are 7.7% and 40.3% respectively (Fig. 9e-f). The top ten DRR providing greenspaces are shown in Fig. 10b and Fig. 11. Eight of these spaces overlap fully or partially with currently designated greenspaces or safe spaces and two did not (Fig. 11). Of these 278 currently designated spaces, only 10 were not over capacity based on the population demand (Fig. 10b).

465



- Figure 10: (a) Designated green areas and safe spaces (blue dashed polygons) from Open Government data and their mean NDVI
   extracted using Pleiades satellite data. (b) Overcapacity of DRR greenspace in currently designated greenspaces or safe spaces.
   Green markers show the top 10 DRR greenspaces based on a maximum capacitated coverage model.
- 470



Site locations (designated greenspace/satespace (Y-Yes/N-No/ P-Partially)Site 1 (Parque Bicentenario - Quito): -78.4864,-0.1454 (Y)Site 6 (PaiSite 2 (Unknown grassland - Quito): -78.5397,-0.3143 (P)Site 7 (PaiSite 3 (Unknown grazing grassland - Quito): -78.5432,-0.3208 (N)Site 8 (ElSite 4 (Parque La Carolina - Quito): -78.4839,-0.1813 (Y)Site 9 (PaiSite 5 (Quito Tenis y Golf Club - Quito): -78.4932,-0.0968 (N)Site 10 (U

Site 6 (Parque Recreacional La Moya - Conocoto): -78.4697,-0.3020 (P) Site 7 (Parque Metropolitano La Armenia - Conocoto): -78.4464,-0.2726 (P) Site 8 (El Carmen - Calderon (Carapungo)): -78.4451,-0.1194 (P) Site 9 (Parque Itchimbía - Quito): -78.502,-0.2207 (Y) Site 10 (Unknown grazing grassland - Quito): -78.5629,-0.2883 (P)

471 472

Figure 11. Top ten ranked DRR greenspaces (red) and other nearby DRR greenspaces (pink) derived using a maximum capacitated coverage network analysis, which finds the greenspaces capable of accommodating the most people within 800 m using a minimum space requirement of 3 m<sup>2</sup> per person (Section 3.4.2).

475 **5 Discussion** 

# 476 **5.1 Urban growth and hazard intersections**

477 Quito's historical urban expansion is largely aligned north-south, whereas future urban expansion is focussed to the north

478 and east (Fig.5). Our study captures a period of land occupations starting in the 1980s including the settlement of Atucucho

479 (Fig. 2b, 5a), which formed informally in 1988 (Testori, 2016). This occupation is visible in our land cover classification

480 (Fig. 5a). The formation date is labelled as 2003 in Open Government data (Fig. 2b), which likely reflects its origins as an

481 informal settlement that was potentially not included in official maps until 2003. In this case, satellite imagery can capture

482 the urban sprawl of a city, including occupations that may not be apparent in historical maps. However, image classification 483 methods usually only capture 2D sprawl, and not vertical high-rise developments or redevelopments that are important for 484 measuring exposure to natural hazards (e.g. Amey et al., 2021). Quito's past and projected urban growth has been studied by 485 several authors in recent years (e.g. Bonilla-Bedoya et al., 2020b; Salazar et al., 2020; Valencia et al., 2020). Cross-486 comparisons are complicated by the use of different study areas since Ouito's urban area now exceeds the designated 487 metropolitan district boundary, which has prompted investigations to create a new district area (Salazar et al., 2021). By 488 comparing our urban classification (year 2020) to that of Bonilla-Bedova et al. (2020) (year 2016) within the same area of 489 interest, we find urban areas of 213 km<sup>2</sup> and 210 km<sup>2</sup> respectively, which indicates classification consistency using EO data 490 despite different methodological approaches.

491

492 We observed that expansion of Quito and future projections tend towards lower elevations (Fig. 6a) and steeper slopes (Fig. 493 6b), the latter of which is associated with encroachment into areas of high landslide susceptibility (Fig. 6c, d). Limited urban 494 expansion to the east of Quito on the steep slopes of Pichincha volcano suggests that a programme of protection to avoid 495 encroachment is working (Vidal et al. 2015). However, several of these areas or their vicinities are inhabited because of 496 previous land invasion dynamics that affected the peripheral green belt. They can be characterised from a spatial and 497 socioeconomic approach as a homogeneous space, in which the less economically favoured classes experience greater 498 possibilities of isolation from other social groups (Bonilla-Bedova et al., 2020a). Further limiting eastward urban growth 499 reduces the ashfall and lahar hazard in the event of an eruption (Fig. 2c) and the hazard posed by landslides (Fig. 2d). 500 Additionally, the predominantly woodland slopes east of Quito (Fig. 5a) featured the highest landslide susceptibility scores 501 (87% of woodland is in class 5 (High) (Fig. 6c)) and are therefore a valuable target for protection against urbanisation. Our 502 observed decreasing elevation trend of Quito's urban area (Fig. 6a) reflects north-south and eastward expansion into lower 503 lying flatter areas, such that at a city-scale, Ouito's landslide susceptibility did not notably increase 1986–2020 (Fig. 6c). 504 These areas are also the location of projected future expansion (Bonilla-Bedoya et al., 2020b; Salazar et al., 2020; Valencia 505 et al., 2020), predominantly through conversion of scrub vegetation and bare ground (Fig. 5a). Notable ravines exist in these 506 areas, therefore risk-informed planning to reduce encroachment on steep slopes, which was reflected in our M-U future 507 urban scenario, is desirable to minimise landslide risk to future developments. These areas are also likely to be most 508 susceptible to multi-hazards such as rainfall triggered lahar remobilisation or landslides, and flood and earthquake triggered 509 landslides (Gill and Malamud, 2017). Similarly, the filling of ravines from the seventeenth century onwards restricts the 510 drainage capacity during intensive rainfall and increases flood risk (Aragundi et al., 2016), therefore, incorporating 511 additional DRR greenspaces here to attenuate runoff and store water could be beneficial.

512

513 While risk-informed urbanisation can mitigate some hazards such as landslides, an intensive earthquake hazard exists in 514 Quito (Fig. S1), such that urban risk reduction requires building resilience at community to city-wide levels (Alvarado et al., 515 2014; Valcárcel et al., 2017). A key element of resilience is the access to 'safe spaces' following an earthquake event where 516 communities can avoid damaged buildings and infrastructure and receive emergency aid (Sphere Association, 2018). These

517 spaces are increasingly viewed within a broader network of benefits to society and ecosystems (e.g. Fig.1a), and framed

518 within Eco-DRR strategies (UNDRR, 2020). We therefore evaluated greenspaces in Quito that could offer DRR capabilities

519 by both considering existing designated greenspaces and assessing other non-designated greenspaces.

### 520 **5.2 Greenspace**

521 Our study was designed to identify the basic requirements for sites that could be designated or developed as DRR greenspace 522 using an earth-observation based methodology that could be adapted and applied to other cities. This is timely since 523 greenspace is becoming increasingly desirable to improve environment quality, contribute to addressing climate breakdown, 524 and greenspace within Eco-DRR strategies can simultaneously mitigate against multiple hazards (Onuma and Tsuge, 2018; 525 McVittie et al., 2018; Sudmeier-Rieux et al., 2021). Our DRR greenspace primarily addresses the basic requirements of 526 people-space and amenable topography for medium- to long-term accommodation requirements, such as following a major 527 earthquake. Examples are shown in Fig. 12 for areas in central Quito and on the periphery. Regarding urban risk, green space 528 in Quito has been thought of from the perspective of threat. For example, interventions have been developed on the slopes of 529 Pichincha from a logic of risk mitigation (Vidal et al., 2015). Recently, after the 2016 Ecuador earthquake, green and open 530 spaces were incorporated throughout the city as safe points in case of evacuation (Rebotier, 2016) (Fig. 10a).





Figure 12: 3D perspective showing examples of potential DRR greenspace identified in Quito. (a) Parque La Carolina is in central Quito amongst commercial high-rise buildings. (b) San Luis De Miravalle is located on the southeast of Quito and is characterised by lower density urban development and steep slopes. (c) Llano Chico is in the east of Quito with low density urban development mixed with agricultural land that is bounded by steep ravines.

We found that 7% (2.5 km<sup>2</sup>) of the DMQ designated greenspace was identified as potential DRR greenspace. Similarly, 10% (1.7 km<sup>2</sup>) of the DMQ designated safe spaces intersected with our classified DRR greenspace (Fig. 8, 10a). The total area of potential DRR greenspace within Quito was 18.6 km<sup>2</sup>, therefore large potential exists to incorporate new greenspaces into a DRR framework, especially in the south and east of the city, which are locations of projected future expansion and where urban expansion and population densities are lower (Fig. 5b, 9d). New designation of greenspaces could address some of the imbalance between greenspace access since 98% (~2.3 million) of Quito's population were within 800 m of a designated greenspace, compared to 2.1 million for the DRR greenspace (88%) (Fig. 9a). Lower socioeconomic classifications had a greater distance to travel to the nearest designated greenspace, and a lower greenspace area per person overall (Fig. 9b, c), which was also observed by Cuvi et al. (2021), noting that informal developments have less access to larger designated parks. We found a median designated greenspace of 3 m<sup>2</sup> per person for the 'low' socioeconomic classification. However, the availability of potential DRR greenspace to these same communities (median of 24 m<sup>2</sup>) shows that additional designations could help address the imbalance. This is also aligned with Quito's Vision 2040 document to increase greenspace in urban areas to ~9 m<sup>2</sup> per person (DMQ, 2018). Critical to addressing these inequalities is to ensure that all formal and informal settlements are reflected in socioeconomic statistics and included in official maps.

551

Although we found high accessibility of greenspace within 800 m of populations, the capacity to serve surrounding populations for emergency refuge was 1.7% considering the recommended space allocation of 45 m<sup>2</sup> per person (Fig. 9a)(Sphere Association, 2018). Incorporating all additional spaces that are DRR suitable could increase this to 8%, or 40% using a minimum living allocation of 3 m<sup>2</sup> per person (Sphere Association, 2018). A network analysis producing a ranked top ten DRR greenspaces (Fig. 11) showed that eight intersected with currently designated greenspaces or safe spaces and two did not. These two spaces could be investigated for negotiating formal access to these spaces for use in an emergency, such as the golf course forming Site 5 (Fig. 11e).

559

We focus on greenspace as an emergency refuge; however, these spaces can also contribute to mitigating hazards both through physical processes such as water retention or slope stabilisation (Phillips and Marden, 2005; Maragno et al., 2018; Sandholz et al., 2018), and also by their existence in places that would be hazardous if urbanised. We found that of the potential DRR greenspace identified in Quito, 62% intersected with TWI values indicative of potential flooding (section 4.2), 10% with areas of high landslide susceptibility, and 6% with both hazards (Fig. 8 – red circles). Therefore, there is potential to mitigate future risk by maintaining greenspace and therefore avoiding development in potentially hazardous areas, and incorporating additional DRR greenspaces that are not exposed to hazards for use as refuges.

### 567 **5.3 Future work**

568 Our study has provided a city-wide assessment of Quito's historical and future growth projections, and the potential role of 569 greenspace in reducing disaster risk. The first-pass analysis of greenspace suitable for DRR could be used for local 570 community-scale evaluation and stakeholder engagement to deliver improved resilience for the city. Subsequently, the 571 methodology could be expanded to define a continuum of greenspace suitability for DRR by incorporating other important 572 factors including site specific suitability trade-offs such as land value, ownership, and access to water, electricity, and 573 hospitals (Anhorn and Khazai, 2015; Hosseini et al., 2016). Similarly, we focussed on greenspaces since these spaces are 574 most likely to be accessible and they provide multiple benefits; however, concreted grey spaces such as commercial car 575 parks could also serve a role in providing safe spaces for DRR, particularly if a disaster event occurred during work hours. 576 Methodological developments could include multi-temporal and potentially higher resolution datasets, for example landslide

577 susceptibility information that reflects changing land cover and therefore an evolving hazard (Emberson et al., 2020). For 578 example, a dynamic landslide susceptibility map could consider a potentially increased landslide hazard due to road cuttings 579 in areas undergoing urban development (Froude and Petley, 2018), and the dynamic nature of landslide hazard in response to 580 precipitation events (Kirschbaum and Stanley, 2018). Additionally, our investigation of flood events alongside a TWI would 581 benefit from a better understanding of the capacity and distribution of the subsurface drainage network within Ouito. 582 particularly where natural drainage channels are blocked (e.g. Aragundi et al., 2016). Nonetheless, our assumptions that all 583 flood water would flow on the surface represents a worst-case scenario during a flood event where the artificial drainage 584 network is at capacity.

585

586 Use of EO-based datasets broadens the applicability of our methods to other cities. Whilst other sources of multi-spectral 587 satellite imagery (e.g. 3 m resolution Planetscope or 10 m resolution Sentinel-2) could still delineate the types of greenspaces 588 relevant to DRR (e.g. Fig. 8 inset), we relied on a high resolution Pleiades DEM to provide topographic relief information on 589 the greenspace DRR suitability. Global 30 m resolution DEMs could likely substitute this in some cases, though they are 590 potentially less suitable in densely built urban environment where flat open greenspaces are interspaced with tall buildings 591 and trees for example (Fig. 12a), which cannot be distinguished in 30 m elevation models. Here, elevation and slope values 592 derived from 30 m resolution DEM represent an average of features (for example buildings, cars, and trees) within the 30 m 593 cell. Therefore, the topography of greenspaces is resolved in less detail.

### 594 6 Conclusion

595 In this study, we used a combination of satellite data analysis and secondary datasets to quantify Quito's historical growth, 596 future intersection with hazards, and distribution of greenspace within the city. Quito's historical growth ( $\sim 192 \text{ km}^2$  1986 to 597 2020) was primarily on flatter, former agricultural land, hence there was limited encroachment towards hazards of Pichincha 598 volcano and areas of higher landslide susceptibility. However, our work shows that future urbanisation projections suggest 599 an increasing intersection between urban areas and areas of high landslide susceptibility, which requires risk-informed 600 planning to mitigate. General accessibility of greenspaces is high, with 98% (2.3 million) of Quito's population within 800 m 601 of a designated greenspace and 88% (2.1 million) for the DRR greenspace classification. However, within 800 m, the 602 capacity of currently designated greenspaces and safe spaces would only fulfil 2% of Quito's population if required for 603 emergency refuge. Over 40% could be accommodated by incorporating new DRR greenspaces identified in this study. We 604 also found a disparity between access to greenspaces across socio-economic classifications, with low-medium groups having less access to designated greenspace (3 m<sup>2</sup> per person for the 'low' classification compared to 8 m<sup>2</sup> for 'high'). In some 605 606 cases, these low-medium groups have the greatest opportunity for future designation of DRR greenspace due to their location 607 on the city periphery in areas of lower population density. Our workflow uses satellite data to provide a first-pass evaluation 608 of DRR greenspace potential and could therefore be adapted for application in other urbanising cities. The results provide the

- 609 foundation to evaluate these spaces with stakeholders at community to city-wide scales, since promoting equitable access to
- 610 greenspaces, communicating their multiple benefits, and considering their use to restrict development in hazardous areas will
- 611 be key to sustainable, risk-informed urban growth.

#### 612 Data availability

- 613 The data used to support the findings and results of this study are available in the supplementary information and in the
- 614 Zenodo repository <u>https://doi.org/10.5281/zenodo.5881876</u>. Pleiades imagery data were provided through the CEOS Seismic
- 615 Hazard Demonstrator and are restricted by license.

### 616 Author contribution

- All authors have read and agreed to the published version of the manuscript. CSW, ES, MAV, JRE, and SKE designed the
- 618 concept. JRE, CZ, SB-B, PC, DFO provided access to datasets. CSW performed the analysis and prepared the figures. CSW
- 619 wrote the manuscript with input from all authors.

### 620 Competing interests

621 The authors declare that they have no conflict of interest.

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- 632 framework of the CEOS Working Group for Disasters. © CNES (2018, 2019, 2020), and Airbus DS, all rights reserved.
- 633 Commercial uses forbidden.

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