# Potential tsunami hazard of the southern Vanuatu Subduction Zone: tectonics, case study of the Matthew Island tsunami of 10 February 2021

- 3 and implication in regional hazard assessment.
- 4
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15

## 16 Abstract

17 The Vanuatu subduction zone (VSZ) is known to be seismically very active, due to the high 18 convergence rate between the Australian and Pacific tectonic plates for the majority of the margin. However, this is not the case on its southernmost part south of latitude 22.5°S and east of longitude 19 20 170°E which is neither highly tectonically active nor has it produced large tsunamis over the past 150 21 years, It has also not been widely studied. On the 11<sup>th</sup> of February 2021 (10 February UTC), a 22 magnitude Mw 7.7 earthquake triggered a tsunami warning in New Caledonia and Vanuatu twenty minutes after midnight (local time). With an epicentre located close to the volcanic islands of Matthew 23 and Hunter, this shallow reverse-faulting rupture (< 30 km depth) was able to deform the seabed and 24 25 produce a tsunami. This was confirmed 45 min later by the coastal gauges of the Loyalty and the south Vanuatu islands which recorded the first tsunami waves. Showing a typical recorded amplitude of less 26 27 than 1 m<sub>2</sub> with a maximum of ~1.5 m in Lenakel<sub>y</sub> (Tanna, Vanuatu), it was <u>observed</u> on most coastal 28 gauges and DART stations in the southwest Pacific Region as far as Tasmania to the South and Tuvalu 29 to the North at distances of ~3000 and ~1800 km from the epicentre. In this study, the tsunamigenic potential of the southernmost part of the VSZ and the implications in terms of regional hazard 30

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51	assessment are discussed through (1) the presentation of the complex tectonic settings of this "transition
52	zone" between the Solomon-Vanuatu and the Tonga-Kermadec Trenches; (2) the case study of the 10
53	February 2021 tsunami at a southwest Pacific regional scale using three different tsunami generation
54	scenarios computed with $\underline{\text{the}}$ COMCOT modelling code on a set of 48 nested bathymetric grids; and (3)
55	the simulation of a plausible $M_{\scriptscriptstyle W}$ 8.2 scenario encompassing the active part of this "transition zone".
56	The validation of the $M_w$ 7.7 parameters for tsunami modelling provides the means to further assess the
57	hazard from potential tsunami triggered by higher magnitude earthquakes in this region. Tsunami
58	records highlight that > 28 cm wave amplitudes were recorded at 8 different coastal gauges,
59	including one with an amplitude of more than 1 m (Lenakel, Tanna, Vanuatu). The tsunami
60	threat at that location would be large enough to warrant an onshore evacuation, Finally, it helps
61	to highlight the significant role played by the numerous submarine features in the region, the Norfolk
62	Ridge being the most important, which acts like a waveguide from the north to the south
63	

Keywords: tsunami hazard, sea-level records, tsunami numerical modelling, Vanuatu-New Hebrides
 subduction zone, earthquake, Matthew Island

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## 67 1. Introduction

#### 68 1.1 Generalities

On 10 February 2021 at 13:19:55 UTC (11 February at 00:19:55 LT) a M<sub>w</sub> 7.7 earthquake occurred at 69 70 the southernmost part of the Vanuatu Subduction Zone (former New Hebrides Subduction Zone; called VSZ in the rest of this article), 420 km from Maré, Loyalty Islands, New Caledonia and ~80 km from 71 the two small uninhabited volcanic islands of Matthew and Hunter, respectively located at 171.35°E 72 and 22.34°S and 172.07°E and 22.4°S (Figure 1). While this earthquake was only felt by a few people 73 74 in New Caledonia and Vanuatu because it occurred far away from the inhabited islands and during the 75 night, it was quickly followed by a regional tsunami warning provided by the Pacific Tsunami Warning Centre (PTWC) and the New Zealand National Geohazards Monitoring Centre (NGMC). From 45 76

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Commented [DB6R4]: See above tweak Commented [JR7R4]: Ok good for me Deleted: ing Deleted: toward Deleted: and 87 minutes after the shaking, a tsunami was recorded by the coastal gauges located along the coast of New

88 Caledonia and Vanuatu, and later along the northern coast of New Zealand, Norfolk Island, the eastern

89 coast of Australia and most of the coastal gauges located in the southwest Pacific Ocean.

90



92 Figure 1: Local seismotectonic context: location of the 10 February 2021 M<sub>w</sub> 7.7 earthquake at the interface between 93 the Australian Plate and the Matthew-Hunter micro-plate (part of the Vanuatu micro-plates complex, southernmost 94 Vanuatu arc). Earthquakes ( $M_w > 3.0$ ) from USGS from 1 January 1970 to 31 March 2021 are shown by coloured 95 circles, those with a black outline being recorded from the 10th of February to 31st of March. Convergence rates (in 96 cm/yr) are represented by the white arrows. Yellow stars locate strong historical earthquakes ( $M_{av} \ge 7.4$ ) and the 25 97 August 1926 M<sub>w</sub> ≥ 7.0 easternmost earthquake. Note that not all tsunamigenic events are represented on this figure. 98 The black line represents the subduction trench. The two black stars locate Matthew (M) and Hunter (H) islands. 99 Topographic data extracted from GEBCO2021 dataset (VLIZ/IOC, 2021).

#### 100 1.2 Objectives of this study

101 From a hazard assessment perspective, this study aims to understand what happened in this relatively

inactive part of the VSZ<u>by;</u> (1) discussing the complex seismotectonic context; (2) using numerical

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simulations of the 10 February 2021 tsunami generation and propagation in the southwest Pacific

108 Ocean: three tsunami generation scenarios were tested, going from a simple uniform slip model

109 prepared with seismic data and empirical relationships between fault parameters, the USGS finite fault

110 model provided for this earthquake, and a subsequent waveforms inversion of the signal recorded at

111 New Zealand DART and coastal gauges; (3) the simulation results help propose a plausible M<sub>w</sub> 8.2

earthquake rupture scenario and simulate its propagation in the southwest Pacific region. Notice that all

- 113 the dates and times are in UTC in the rest of the article.
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## 115 2. Seismotectonic context

116 The VSZ (10-23°S, 165-173°E), including from south to north the French Matthew and Hunter volcanic 117 islets, Vanuatu and Eastern Solomon Islands, is among the world's fastest moving plate boundaries with 118 a convergence rate of up to 16-17 cm/y in the northern part (around latitude -11°; not shown on Figure 119 1) between the Australian Plate on the west and several Vanuatu micro-plates on the border of the 120 Pacific Plate to the east (Louat et Pelletier, 1989; Pelletier et al., 1998; Calmant et al., 2003). It has a 121 history of producing numerous moderate to strong earthquakes (Louat and Baldassari, 1989; Cleveland 122 et al., 2014; Ioualalen et al., 2017). The largest events recorded during the instrumental period (since 123 1900) have moment magnitudes of between  $M_w$  7.8 to 8.0 and are located in both the northern ( $M_w$  7.8 124 on 7 October 2009 and Mw 8.0 on 6 February 2013 events) and the southern parts (Mw 7.9 on 9 August 1901, M<sub>w</sub> 7.9 on 20 September 1920 and M<sub>w</sub> 7.9 on 2 December 1950 events) of the subduction zone. 125 126 However, the maximum magnitude of earthquakes on the zone may be higher, the moment magnitude 127 of the 28 March 1875 earthquake in the southern part having been estimated to  $M_w$  8.1-8.2 (Ioualalen 128 et al., 2017). Note that there are some questions raised about the 9 August 1901 earthquake location (-22°, 170°) and magnitude: it goes from M<sub>w</sub> 7.9 to 8.4 according to Gutenberg (1956), Richter (1958) 129 130 and Engdahl and Villasenor (2002) but it has not been reported in the highly detailed earthquake, 131 catalogue of New Caledonia from Louat and Baldassari (1989). By contrast, no large thrust events have been recorded in the central part (between 14°S and 17°S), the maximum recorded magnitude being Mw 132 133 7.6 on 11 August 1965, and especially in the southernmost part of the subduction zone (south 22.5°S

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and east of 170°E), with a maximum magnitude of  $M_w$  7.0 on 25 August 1926 (see Figure 1 for earthquakes location).

Calmant et al. (2003) estimated the convergence rate on the subduction zone to the south of the Matthew-Hunter Islands to be ~45 mm/yr. This value has been confirmed by Power et al (2012) who obtained 46-48mm/yr in their best fitting elastic block model requiring minimal interseismic coupling (less than about 0.2). However, the large uncertainties in GPS data meant that it was not possible to constrain the degree of coupling in this area with any accuracy (Power et al, 2012). If the coupling was indeed this low, it would suggest that the seismicity expected in this area would be much lower than expected for a zone with this rate of convergence.

147 The area of the southern part of the VSZ between the latitudes 21.5° and 22.5°S and the longitudes 169° 148 and 170°E is very active seismically and has produced several seismic crises with earthquakes of 149 magnitude M<sub>w</sub> 7.0+ during recent decades (1980, 1995, 2003-2004, 2017, 2018). These events are felt 150 by the population in New Caledonia and Vanuatu as discussed by Roger et al. (2021). From a geological point of view, this region is characterized by the progressive subduction/collision of the NW-SE 151 trending Loyalty Ridge located on the Australian Plate under the southern Vanuatu micro-plates. This 152 153 leads to strain accumulation that is regularly partially released through moderate to strong earthquakes 154 during remarkable sequences (1980, 2003-2004, 2017, 2018) which include both interplate thrust 155 faulting earthquakes and outer rise normal faulting earthquakes west and southwest of the trench, in 156 which events of one mechanism appear to trigger events of the other (Roger et al., 2021).

157 The subduction/collision of the Loyalty Ridge is considered to have a large influence on the local 158 tectonics, on both the overthrusting and the subducting plates (Louat et Pelletier, 1989; Pelletier et al., 159 1998; Calmant et al., 2003). Northwest of the Loyalty Ridge and trench junction (southern part of the 160 VSZ) the GPS-derived convergence is 12 cm/y and is trending ENE-WSW while southeast of the junction (22°S) the convergence is reduced (5 cm/y) and is almost N-S in front of Matthew-Hunter 161 162 islands, implying a large (9 cm/y) left lateral motion and/or NW-SE extension in the upper plate along 163 or at the rear of the Matthew-Hunter islands (Figure 2) as also shown by numerous strike slip and NE-SW trending normal faulting events. The region is thus potentially able to trigger tsunamis with a main 164

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propagation axis striking from WSW-ENE (potential main energy path towards New Caledonia and 167 168 south Vanuatu) to S-N (potential main energy path toward New Zealand and Vanuatu). Deformation of the subducting plate is well illustrated by the seismicity and the focal mechanism solutions of normal 169 170 faulting earthquakes on the outer rise of the trench, which follow the bend of the trench (Figure 2). 171 From north to south these outer rise events are distributed along three lineations trending WNW-ESE, 172 NW-SE and almost W-E, and located further and further from the trench, suggesting a twist of the plate. 173 The largest normal faulting earthquake (Mw 7.7 on 16 May 1995) was located on this southern lineament 174 which in detail includes three segments and strikes almost E-W toward the Isle of Pines in southern 175 New Caledonia. Possibly the seismicity in the southern part of the Grande Terre and the south lagoon 176 of New Caledonia (showing Mw 5.6 normal faulting and Mw 5.1 strike slip faulting earthquakes 177 respectively on December 1990 and February 1991) may result from stress induced by the ongoing 178 subduction of the Loyalty Ridge at the southern end of the VSZ. 179 From a tsunami generation point of view, whether the VSZ has the potential to trigger catastrophic

180 tsunamis able to strongly impact coastal communities is not as well understood as it is for other 181 subduction zones, According to recent catalogues of tsunamis in New Caledonia (Sahal et al., 2010; 182 Roger et al., 2019a), only 16 of the 37 (17 of the 38 if including the 10 February 2021 tsunami) have 183 been generated at the VSZ since 1875 and amongst them, 5 show a maximum recorded/reported 184 amplitude > 50 cm. The ratio 5/17 is to be considered with caution: most of the small tsunamis have 185 been recorded by coastal gauges (but not reported by witnesses) during the last decade and thus, the 186 real number of tsunamis having reached New Caledonia, at least from the VSZ, is probably considerably 187 bigger than 17. The latest earthquake-generated tsunami triggered by the VSZ occurred on 5 December 2018, following an M<sub>w</sub> 7.5 normal faulting earthquake (Roger et al., 2019a,b; Roger et al., 2021): its 188 amplitude reached more than 2 m in some locations in the south of New Caledonia and Vanuatu. (Note: 189 190 at the time of the article submission, there are at least 2 new tsunamigenic earthquakes of magnitude 191 M<sub>w</sub> 6.9 and 7.0 having occurred on the VSZ on 30 and 31 March 2022).

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193 **3.** Case study: the 10 February 2021 earthquake and tsunami

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#### 201 3.1 The earthquake

The 10 February 2021 M<sub>w</sub> 7.7 earthquake, located around 23°S, 171.6°E, 170 km east of the 1995 M<sub>w</sub> 202 7.7 earthquake, hitherto known to be the strongest recorded earthquake in southernmost VSZ, is 203 204 interesting in the sense that it occurred nearly at the southeasternmost part of the trench, with a 205 magnitude much stronger than the usual low seismicity previously recorded in this region (Figure 1, 206 and Figure 2). Indeed, the prior and closest main event in this area was the 25 August 1926 M<sub>w</sub> 7.0 207 earthquake, located at 23.14°S, 172.14°E, about 60 km further east. The epicentre being closer to Matthew Island than Hunter Island, the name "Matthew Island earthquake" was retained in the 208 209 aftermath of the event.

The  $M_w$  7.7 main shock was preceded by 13 foreshocks with notably six events ( $M_w$  5.1 to 5.8) in one hour on February 2-3 and three events ( $M_w$  5.8 to 6.1) within the hour before the mainshock. All the main foreshocks have similar focal mechanism solutions to the main shock, i.e. thrust faulting, as shown with the moment tensor solutions (GCMT project: Dziewonski et al., 1981; Ekström et al., 2012) on Figure 3, Almost 100 aftershocks of magnitude  $M_w$  5+ have occurred after the main shock.



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Figure 2: Focal mechanisms from the GCMT project in the southern part of the Vanuatu Subduction Zone and

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geodynamical interpretation.



Figure 3 : Map of the centroid moment tensors (GCMT project; last accessed on 10 May 2022) calculated for the main earthquakes (Mw ≥ 5) occurring during the February 2021 seismic crisis (from 1 to 28 February) south of Matthew and Hunter islands (yellow stars). Red colour stands for the main shock, orange for the foreshocks and green for the aftershocks. The extent and the number of subfaults of the 3 scenarios used in this study is represented by the black, yellow and red rectangles standing respectively for the USGS finite fault model, the non-uniform model obtained from tsunami waveforms inversion and the uniform slip model.

228 According to the focal mechanism solutions provided by USGS (https://earthquake.usgs.gov/earthquakes/eventpage/us6000dg77/moment-tensor), GCMT 229 (https://www.globalcmt.org), GEOSCOPE-IPGP-Scardec (http://geoscope.ipgp.fr), French Polynesian 230 231 Tsunami Warning Center (cppt@labogeo.pf) and GFZ Geofon (http://geofon.gfz-potsdam.de/eqinfo), 232 this earthquake exhibits a nearly pure compression mechanism (reverse faulting event with a small 233 strike-slip component) and likely occurred at the subduction interface on a shallow (depth ranges from 12 to 29 km depending of the agencies: 25.5 km (USGS) and 21.8 km (GCMT)) fault striking parallel 234 to the trench (strike ranges from 246° to 281° (USGS and GCMT strike of 246° and 279° respectively) 235 236 as shown on Figure 3, and dipping to the north (dip ranges from 11 to 27°: 17° (USGS) and 23° 237 (GCMT)).

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238 3.2 Fault slip models

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Within the framework of the present study, three different rupture scenarios have been <u>used to simulate</u>
the initial seafloor displacement: 1) a uniform slip model; 2) a non-uniform slip model obtained with
inversion of tsunami waveforms; 3) a non-uniform slip model obtained with inversion of seismic and
GPS data. An additional uniform slip scenario is proposed for further <u>consideration of tsunami hazard</u>
from this region of the VSZ. (Note that the authors are aware of the recent publication of Ye et al. in
December 2021 proposing another finite-fault slip model from inversion of teleseismic body waves)

#### 246 3.2.1 Uniform slip model (scenario #1)

GCMT, Geoscope and the USGS calculated the seismic moment associated to the earthquake of respectively  $M_0$ = 4.01 x 10<sup>20</sup> N.m,  $M_0$  = 4.25 x 10<sup>20</sup> N.m, and  $M_0$  = 4.364 x 10<sup>20</sup> N.m. This corresponds to a magnitude  $M_w$  = 7.67 to 7.69 according to  $M_w = \frac{2}{3}\log_{10}(M_0) - 10.73$  (Hanks and Kanamori, 1979) where  $M_0$  is in dyne.cm. Geoscience Australia estimated the moment magnitude to be slightly lower ( $M_w$  = 7.61).

252 In this study, a uniform slip scenario has been built based on the GCMT solution 253 (https://www.globalcmt.org), which is generally more accurate than other solutions in terms of 254 epicentre location and fault azimuth correlated with existing features for earthquakes located at the VSZ and nearby. For this purpose, it is assumed that the rigidity coefficient is  $\mu = 3 * 10^{11} dyn. cm^{-2}$ 255 256 corresponding to a depth of 22 km (Bilek and Lay, 1999). According to the empirical relationships of 257 Blaser et al. (2010) and Strasser et al. (2010) the length L and width W of the fault plane have been 258 respectively calculated to 100 km and 60 km. To match with the GCMT seismic moment this 259 corresponds to an average coseismic displacement on the fault plane S =  $\sim$ 2.2 m. The parameters determined for the uniform slip modelling are summarized in Table 1. 260

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	Lon (°)	Lat (°)	Depth (km)	Length (km)	Width (km)	Strike (°)	Dip (°)	Rake (°)	Slip (m)
Simple fault plane M <sub>w</sub> 7.7	171.59	-22.96	21.8	100	60	279	23	101	2.2
Simple									

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267Table 1: Parameters used for the initial deformation calculation associated to uniform slip ruptures corresponding to268Mw 7.7 and Mw 8.2 earthquakes.

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M<sub>w</sub>8.2

#### 270 3.2.2 Non-uniform slip model (scenario #2)

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271 The observed tsunami waveforms recorded at 4 DART and 24 coastal stations were used in a tsunami 272 waveforms inversion to estimate the fault slip distribution of the 2021 Loyalty Island earthquake 273 (Gusman et al., 2022). The geometry for the fault model was based on the GCMT solution. The 274 estimated slip distribution has a major slip region with maximum slip amount of 4.1 m located near the 275 trench, this estimated large slip near the trench being consistent with the fault slip model estimated by the USGS (see section 3.2.3). The estimated maximum uplift near the trench is 2.1 m while the 276 subsidence is 0.24 m. The previous study by Gusman et al. (2022) used an assumed rigidity of  $4 \times 10^{10}$ 277  $N.m^{-2}$  to get a seismic moment of  $3.39 \times 10^{20}$  N.m (M<sub>w</sub> 7.65) from the estimated slip distribution. 278 279 However, if we assume the rigidity to be of  $3 \times 10^{10}$  N.m<sup>-2</sup>, the calculated seismic moment of the fault slip model would be  $2.54 \times 10^{20}$  N.m (M<sub>w</sub> 7.57), which is <u>~1.6 times</u> smaller than those calculated by 280 281 GCMT and USGS. 282 3.2.3 USGS finite fault model (scenario #3) 283 In the aftermath of the main shock, the USGS released a kinematic finite fault model of the rupture

284 (https://earthquake.usgs.gov/earthquakes/eventpage/us6000dg77/finite-fault) calculated from inversion

of seismic and GPS data with an approach based on Ji et al. (2002)'s methodology.

286 The resulting model is composed of 620 5km-by-5km sub-segments. Each segment has its own depth,

287 slip, rake and rupture time values. The file used in this study is available here:

- 288 <u>https://earthquake.usgs.gov/archive/product/finite-</u>
- 289 <u>fault/us6000dg77\_1/us/1613004810949/basic\_inversion.param</u> [Last accessed in February 2021].
- 290 3.2.4 Plausible  $M_w$  8.2 uniform slip model (scenario #4)

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This scenario is based on the fact that the southernmost part of the VSZ (east of  $170^{\circ}E$ ) has not experienced any strong earthquakes for at least 100 years, exhibiting a shortening of at least 5 m corresponding to a convergence rate of 5 cm/yr, enabling it to <u>easily</u> produce a magnitude M<sub>w</sub> 8.0-8.2 <u>earthquake</u>, according to the length of active plate boundary available here (~250-300 km). This magnitude corresponds to the maximum magnitude (M<sub>w</sub> 8.1-8.2) proposed by Ioualalen et al. (2017) for the 1875 South Vanuatu earthquake and to the maximum value found in the USGS earthquake catalogue for the VSZ (Mw 8.1 on 21 September 1920).

301 The empirical relationships (Blaser et al., 2010; Strasser et al., 2010) used for scenario #1 have been 302 <u>applied</u> to set up the corresponding parameters of a  $M_w$  8.2 rupture: pure thrust mechanism (rake = 90°) 303 with 5 m displacement on the fault plane, length, width and depth of the fault plane of respectively 220 304 km, 80 km and 25 km, an azimuth of 287°, and a dip of 20°. The epicentre of the rupture is chosen at 305 171°E, 22.8°S. The parameters are summarized in Table 1. Note that this scenario does not consider a 306 possible rupture of the VSZ toward the north, between the Loyalty Islands and Vanuatu, which would 307 potentially lead to a Jarger magnitude earthquake. Also, due to the bending of the VSZ, this scenario 308 represents only one of many possibilities for rupture energy directivity by using a mean strike value on 309 a pure thrust rupture, with the intention being to provide a basis for discussion of what could happen 310 with a stronger magnitude than the one of the February 2021 earthquake: depending on the strike, the 311 rake and the epicentre location, the main energy paths gould probably completely change the directivity 312 pattern of the tsunami. A more accurate study would consider incorporating the shape of the subduction 313 interface as proposed with the SLAB 2.0 model (Hayes, 2018) using for example a triangular mesh of 314 the source, with variations of the strike, rake, and eventually, different slip distributions and a rupture 315 time pattern.

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#### 317 **3.3 The tsunami**

The tsunami triggered by the 10 February 2021 earthquake can be classified as a region-wide event as it was recorded at least on 31 coastal gauges and 4 DART stations in the southwest Pacific, firstly on those of New Caledonia and Vanuatu, but also in Fiji, New Zealand (~1200 km), Australia (~1800 km) Formatted: Subscript

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330	and as far as Tasmania (~3000 km) in the south and Western Samoa (~2000 km) in the east. For the	
331	purpose of this study the records of those gauges have been downloaded from the LINZ website for the	 Deleted: what concerns
332	New Zealand coastal gauges network (https://www.linz.govt.nz/sea/tides/sea-level-data/sea-level-data-	
333	downloads [Last accessed in February 2021]) and from the IOC website (VLIZ/IOC, 2021) for other	 Deleted: on
334	regional gauges. The New Zealand DART data are now publicly available on	
335	https://www.geonet.org.nz/tsunami/dart [Last accessed on 31 May 2022]. They are shown on Figure 4	
336	in a chronologic order and they represent the sea-level fluctuation with a sample rate of 1 min (coastal	
337	gauges) and 15" (DART stations). Figure 4 also shows the arrival of the tsunami at different stages of	 Deleted: times
338	the tide from one station to another one. Figure 5 shows the location $\underline{s}$ of the coastal gauges and New	
339	Zealand DART stations that recorded the tsunami. The tsunami arrival times and amplitudes at each	 Deleted: having
340	coastal gauge and DART station are summarized in Table 2. They have been obtained through de-	
341	tiding and filtering of the data using the following methodology: on one hand a polynomial (up to $20^{\text{th}}$ -	
342	order) was fitted to and subtracted from the recorded data in order to remove the long-period tide	
343	components of the signals, and on the other hand, a low-pass Butterworth filter, was used to remove the	 Deleted: has been
344	high frequencies related to parasitic waves generated for example by storms or large vessels; the	
345	analysis of the pre-event background noise recorded at several stations helps to constrain the cut-off	
346	frequency to 5 min. The amplitude of the waves was measured between 0 and the wave crest.	 <b>Commented [JR18]:</b> RC1: add more description of the
347	In good agreement with the tsunami travel times (TTT) computed with Mirone software (Luis, 2007)	process including the type of filtering (bandpass) helping to remove both the tide signal and high frequencies related to other phenomenons like storms or large vessels. We
348	on a 30" GEBCO grid also shown on Figure 5, it was first recorded on MARE (Tadine, Maré, Loyalty	measured the amplitude of the wave between 0 and the crest.
349	Islands, New Caledonia)'s coastal gauge and LIFO (Wé, Lifou, Loyalty Islands, New Caledonia) at	Commented [JR19R18]: Paragraph moved for better clarity
350	14:06 UTC, 46 minutes after the earthquake, shortly followed by LENA (Lenakel, Tanna, Vanuatu) at	
351	14:16 UTC. Meanwhile, the tsunami propagated towards the south/south-west and reached KJNI	
352	(Norfolk Island, Australia)'s coastal gauge at 14:44 UTC, NCPT (Cape North, New Zealand)'s tsunami	
353	gauge at 15:26 UTC and finally SPJY (Southport) and BAPJ (Battery Point) in Tasmania, Australia's	
354	southernmost coastal gauges, at 19:31 and 20:35 UTC respectively, 6 hours and 12 minutes and 7 hours	
355	and 16 minutes after the earthquake. Also, it was recorded to the east on VITI and LEVU (Suva and	
356	Lautoka, Viti Levu, Fiji)'s coastal gauges at ~14:49 and ~15:17 UTC respectively, UPOL (Apia, Upolu	

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362	Island, Western Samoa) at ~16:51 UTC, NKFA (Nuku'alofa, Tonga) at ~16:29 UTC and in the north
363	at FONG (Fongafale, Tuvalu) at ~16:25 UTC. Its typical maximum amplitude of less than 1 m classifies
364	it in the small tsunami, category but nevertheless, it exhibited two records of ~30 cm, five records
365	between 30 cm and 1 m, and a stronger maximum amplitude of ~1.3 m recorded on LENA (Lenakel,
366	Tanna, Vanuatu). In addition to LENA, LIFO and GBIT are particularly interesting: they present sea
367	level disturbances which are certainly linked to the interaction of the tsunami waves with the
368	semi-enclosed water body in which the coastal gauge is located. LIFO and LENA are located
369	within small harbors, and GBIT is located within a bay. The period of the incoming waves can
370	be equal or close to the harbor/bay eigenperiod and these could result in strong oscillations
371	which represent a resonance behavior. LENA is particularly inclined to such phenomena and a
372	dedicated study would provide keys to the understanding of Lenakel Bay's reaction to long
373	waves. Higher amplitudes can be expected in nearby exposed areas showing particular geometries like
374	V-shape bays, harbours and river mouths or specific submarine features like submarine canyons and
375	seamounts able to trigger amplification and/or resonance effects of the incoming waves as was
376	highlighted in the 5 December 2018 tsunami (Roger et al., 2021). At the regional scale, the tsunami
377	amplitude is higher close to the source region (New Caledonia, Vanuatu) and in the southwestern
378	quadrant (New Zealand, Australia). It is worth noting that the delay between the first wave arrival and
379	maximum amplitude reached by the tsunami has a median value of 1 hour and 24 minutes, with a
380	minimum delay of 8 minutes (the maximum amplitude recorded on DART NZG corresponds to the first
381	wave recorded on this DART) and a maximum delay of 7 hours and 24 minutes (NAPT, Napier, New
382	Zealand).

Four of the six newly deployed New Zealand DART sensors were able to record the 10 February 2021 tsunami, arriving on DART NZE first, followed by NZG, NZC and NZI. Their records are shown on **Figure 4** and the stations are located on **Figure 5**, the related tsunami arrival times and amplitudes <u>are</u> summarized in **Table 2**. In each case, the record shows high frequency waves arriving a few minutes after the earthquake which are directly linked to the bottom shaking from internal seismic waves. This is particularly highlighted on the wavelet's spectrograms computed for each record (**Figure 6**). This is

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1	<b>Deleted:</b> The tsunami arrival times and amplitudes at each coastal gauge and DART station are summarized in <b>Table 2</b> . They have been obtained through de-tiding and filtering of the data using the methodology presented in Roger ( <i>Subm.</i> ).
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404 followed by lower frequency waves probably linked to the surface seismic waves (for more details 405 about seismic wave records on DART, see Kubota et al., 2020). Then, between 2 and 3 hours after the main shock, the tsunami wave train is recorded showing a leading wave period of ~15 to 20 min 406 407 depending on the azimuthal location of the DART station relative, to the strike of the fault: the closer 408 the DART station is to the azimuth direction of the fault, the larger the period is. It is important to notice that at the time of the earthquake the southwest Pacific Ocean was subject to 409 one tropical storm (named 20P) south of Tonga and Fiji and a second storm located south of New 410 Zealand and affecting some coastal gauge records with a wide range of frequencies. As underlined by 411

412 Thomson et al. (2007) during the 2004 Sumatra tsunami or more recently by Roger (Subm.) for the

413 March 2021 Kermadec tsunami, the frequency content of the storm generated waves possibly overlaps

414 the tsunami signal, being able to show periods of several minutes. This is particularly the case for the

415 Puysegur gauge (PUYT) as shown on **Figure 7**.

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419 420 Pacific Ocean. Each record begins at the time of the earthquake and goes on for 9 hours. The vertical red line represents, 421 the tsunami arrival time (reported in table 2). For the 4 DART records, only the high-resolution signal (15" sampling

15

422 rate) transmitted in real-time by the BPR to the monitoring centre is plotted.



427

Figure 5: Location of the coastal gauges having recorded the 10 February 2021 tsunami and computed tsunami travel times (TTT) at a regional scale (in hours). Coloured circles show the location of the stations (Blue: New Zealand – blue contour: coastal stations; full blue: DART stations; Green: Australia; Red: New Caledonia; Purple: Vanuatu; Orange: other countries) which recorded the tsunami; red lines represent the TTT isolines with a time step of 15 min; the yellow star locates the earthquake's epicentre; light grey lines represent the tectonic plate boundaries (GMT software dataset). The black rectangle locates the extent of figure 1.

434 Table 2. Arrival times and amplitudes of the 10 February 2021 tsunami on DART stations and coastal gauges. They

435 are classified from the first station (top row) recording the tsunami to the last one (bottom row). <u>Coloured cells locate</u>

436 the stations which recorded wave amplitude of nearly 30 cm (yellow), more than 30 cm (green) and more than 1 m

437 <u>(red).</u>

Tsunami Tsunami Maximum **Delay between** Maximum First wave arrival time amplitude travel maximum and Station amplitude amplitude at station time time tsunami arrival (cm) (cm) (UTC) (hh:mm) (hh:mm) time (hh:mm) 8 37.7 01:24 LIFO 14:06 00:47 15:30 **Formatted Table** 00:47 02:47 MARE 14:06 6.5 17.7 16:53 00:28 LENA 14:15 00:56 4.6 133.5 14:43 OUIN 14:26 01:07 17.6 27.9 00:39 15:05 9.8 THIO 14:34 01:15 7.1 18:02 03:28 VANU 14:38 01:19 0.2 4.9 15:22 00:44 01:27 KJNI 14:44 01:25 11.8 42.8 16:11 **Formatted Table** 01:28 02:05 HIEN 14:47 2.5 9.6 16:52 01:30 00:46 14:49 4.6 4.7 VITI 15:35 NUMBO 01:36 01:43 14:55 0.8 2.4 16:38 LEVU 01:58 01:57 15:17 17:14 3.1 4.7 NCPT 02:07 01:25 15:26 2.5 28.8 16:51 **Formatted Table** LUGA 15:28 02:09 4.3 8.8 16:10 00:42 NKFA 15:29 02:10 3.3 3.6 18:49 03:20 OUVE 15:35 02:16 4.7 12.8 16:09 00:34 NZG 15:38 02:19 0.7 0.7 15:46 00:08 NZE 15:40 02:21 0.8 0.9 16:33 00:53 15:58 LOTT 02:39 6.2 24 17:09 01:11 N7C 16:00 1.4 00:22 02:41 16:22 1 00:40 GBIT 16:01 02:42 8.6 63.1 16:41 Formatted Table 16:22 03:03 0.6 00:10 NZI 0.6 16:32 TAUT 16:24 03:05 0.7 4.2 21:10 04:46 00:51 FONG 16:25 03:06 2.4 3.8 17:16 02:07 UPOL 16:51 03:32 1.2 4.3 18:58 GCSB 17:15 03:56 15.6 30.2 17:30 00:15 **Formatted Table** AUCT 17:16 03:57 2.2 2.6 18:43 01:27 TBWC 17:35 04:16 3 9.5 19:05 01:30 PKEM 17:41 04:22 2.6 19.5 18:10 00:29 CHIT 18:04 04:45 2.2 7.7 21:39 03:35 02:34 GIST 18:05 04:46 0.7 6.6 20:39 03:19 21:45 JACK 18:26 05:07 1.4 36.2 **Formatted Table** 18:40 07:24 05:21 NAPT 2.7 11.4 02:04 SPRG 19:02 05:43 1.4 7.3 20:02 01:00 06:12 6.7 04:00 SPJY 19:31 3.3 23:31 07:16 2 00:25 BAPJ 20:35 3 21:00 CHST unidentifiable

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Figure 6: Wavelet spectrograms for the 10 February 2021 Loyalty Island tsunami recorded on New Zealand DART
 stations. The red dashed lines symbolize the earthquake time.



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Figure 7: Two storms on 10 February 2021 in the southwest Pacific Ocean. The south one is recorded by the Puysegur
gauge (PUYT) at the predicted arrival time of the tsunami (red ellipse and dashed line) (Satellite image credits: Zoom
Earth, NASA/NOAA/GSFC/EOSDIS, Suomi-NPP VIIRS).

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## 448 **4. Tsunami numerical simulation**

#### 449 4.1 Methodology

450 The numerical simulations of tsunami generation and propagation for the four scenarios were done 451 using COMCOT (Cornell Multi-grid Coupled Tsunami model), a model progressively developed during the mid-90s at Cornell University and then continuously developed at GNS Science, New 452 453 Zealand, carefully tested and widely applied to numerous tsunami studies (e.g. Liu et al., 1995; Wang 454 & Power, 2011; Wang et al., 2020). It computes tsunami generation, propagation and coastal interaction 455 by solving both linear and non-linear shallow water equations using a modified explicit leap-frog finite difference scheme and considering the weak dispersion effect (Wang, 2008). The initial sea surface 456 deformation is calculated using the Okada (1985)'s formulae with the fault plane geometry and either 457 a uniform or non-uniform slip distribution. Water surface elevation and horizontal velocities are 458 459 calculated respectively at the cell centre and at the edge centres of each grid cell of the computational 460 domain. Absorbing boundary schemes are used at the boundaries of the computational domain to dampen the incoming waves, avoiding reflection from the grid boundaries. 461

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464 For the purpose of this study, a set of nested numerical grids at different resolution levels was prepared, 465 covering the whole southwest Pacific region from 140 to 200°E and 0 to 50°S (first level grid 01) and 466 specific areas (second level and its sub-level grids) focusing on each coastal gauge and DART station 467 that recorded the 10 February 2021 tsunami were used in this study. Digital Elevation Models (DEM) 468 used for these grids were built from different datasets within the framework of previous projects. The 469 Norfolk Island high-resolution DEM was specifically built for this study (Roger, 2022). The first level 470 (grid 01) is at the lowest resolution (2 arc-min) and covers the whole southwest Pacific region; its data comes from the ETOPO 1 global dataset (Amante and Eakins, 2009) with some refinements around 471 472 New Zealand. The second level of grids, with higher resolutions of 30 to 24 arc-sec (~930 and 740 m 473 respectively), cover several sub-regions focusing on New Zealand (grid 02), New-Caledonia/south 474 Vanuatu (03), Norfolk Island (04), Australia east coast (Gold Coast - 05 and New South Wales - 06), 475 Tasmania (07), Fiji (08), Raoul Island (09), Tonga (10), Samoa (11) and Tuvalu (12). Then, depending 476 on the availability of higher resolution data, there is either one or two additional sub-level grids with 477 increasing resolution toward the area where a coastal gauge is located. The extent of most of the grids 478 is presented on Figure 8. The resolution of each sub-level grid is calculated by COMCOT based on an 479 input grid size ratio to the resolution of the previous level grid. The highest resolution used in this study 480 is ~10 m in places where the bathymetry and the coastal shape is very complicated like Lenakel (Tanna 481 Island, Vanuatu), as even minor inaccuracies in how these areas are represented could lead to very 482 inaccurate results. For places like Tonga, Fiji, Tuvalu and Samoa where high-resolution dataset was not 483 available for this study, virtual gauges have been positioned as closely as possible to the corresponding 484 real gauge locations on the 30" resolution grids used for these places. 485 Tsunami wave, propagation is subjected to linear, non-linear, and dispersion phenomena. As shown by 486 Watada et al. (2014), the compressibility of the seawater, the elasticity of the solid Earth and ocean, and 487 the gravitational potential variation associated with the mass motion during the tsunami propagation 488 also play important roles on the tsunami travel times. These authors developed a method to 489 automatically\_correct the phase of the simulated waveforms to incorporate those effects. The phase

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correction generally causes a slowdown of the tsunami, reducing the delay between the simulated

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499 waveforms and the observations, and, incidentally, also reduces its amplitude (Gusman et al., 2015,

500 2016; Ho et al., 2017), A computer code has been developed to apply this correction to the synthetic

501 time series obtained in the present study before comparing them to the recorded signals.

#### 502 Note about the tides

503 The southwest Pacific region tide dynamic is complicated, showing tide currents exceeding 5 cm/s in 504 some places (Poulain and Centurioni, 2015) and New Zealand being at one of the amphidromic points, 505 while showing large coastal tide amplitudes (Bye and Heath, 1975). It results in the tide pattern being 506 drastically different from one side of Cook Strait (the waterway separating New Zealand two main 507 islands) to the other, Also, as some of the coastal gauges used in this study are located within a coastal 508 lagoon (e.g. New Caledonia, Tonga, Fiji), it is worth noting that such semi-enclosed water bodies, are 509 also subject to specific tide behaviours, including amplification, delays, asymmetry of the tide 510 fluctuations, and additional response to tidal oscillations (e.g. Albrecht and Vennell, 2007; Lowe et al., 511 2015; Green et al., 2018). These reasons lead to very different tide patterns and amplitude recorded on the gauges considered in this study as shown on Figure 4. To simplify the problem, it has been decided 512 to simulate the tsunami propagation at mean sea-level (MSL) for each region without considering the 513 514 tide variations, although it has been shown that the tide-tsunami interactions can result also into 515 important modification of the tsunami characteristics (amplitude and velocity mainly) in coastal zone 516 (e.g. Kowalik et al., 2006; Kowalik and Proshutinsky, 2010; Zhang et al., 2011; Tolkova et al., 2015).

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Figure 8: Extent of the grids used for modelling within the framework of the study. Grid 01 (1<sup>st</sup> level) covers the southwest Pacific region, from 140°E to 200°E and from 50°S to 0°, with spatial resolution of 2 arc-min. Numbers are associated to the grids of the second level with spatial resolution of 30 or 24 arc-sec. Higher resolution grids corresponding to additional levels are only indicated with red rectangles.

## 533 4.2 Results

The simulation results obtained with a uniform and two non-uniform slip models generally show good agreement with the data recorded either by coastal gauges or DART stations in the southwest Pacific region. A close look at the results is necessary to highlight the differences and similarities between the three models. The results obtained with a maximum plausible M<sub>w</sub> 8.2 scenario are presented afterward. 4.2.1 Coastal gauge records

As shown on **Figure 5**, the 10 February 2021 tsunami <u>was</u> recorded by at least 31 coastal gauges in the southwest Pacific Ocean. For the purpose of this study, and according to the quality of available bathymetric data, synthetic tsunami time series have been calculated at 24 of these 31 coastal gauges at the same locations or very close and compared to the real sea level data (**Figure 9**). The seven remaining

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gauges have not been considered because of the lack of quality bathymetric data at these locations. 548 549 Generally, the simulated results are in good agreement with the real signals, in terms of travel time, 550 amplitude, and polarity. Also, the wave patterns are very close from one scenario to another one in 551 terms of first wave arrival time, general amplitude and polarity.

552 When looking into detail, it appears that the travel times difference between simulated and real records show a complicated pattern for each scenario, the simulations matching with the real tsunami arrival at 553 554 gauges or being either too early or too late with a delay of up to 8 min. At LIFO, HIEN, NCPT, LUGA, 555 OUVE, LOTT and GSB, the three scenarios first wave arrival matches with the real records. The three 556 scenarios first wave arrival is too early at VANU (~ 3 min), VITI (~ 1 min), FONG (~ 8 min), TBWC 557 (~ 3 min) and PKEM (~ 2 min). It is too late at LEVU (~ 2 min), NKFA (~ 7 min), UPOL (~ 6 min), 558 AUCT (~ 8 min), JACK (~ 3 min). In the other locations, it is a mix between the three scenarios: at

LENA and OUIN, scenario #2 matches the real records although it is too early for scenario #1 (~ 1 min) 560 and too late for scenario #3 (~ 2 min); at THIO and KJNI, scenario #2 and scenario #3 match the real 561 records although scenario #1 is too early (~ 3 min). The delays at CHIT and SPRG are undetermined 562 due to the level of noise.

559

563 Concerning the tsunami waves' polarity, the overall observation is that it generally shows a good fit to 564 the first wave(s) considering the potential delay of the first arrival time. However, even if the following 565 wavetrain is well correlated with the records, it sometimes shows a phase shift, associated with higher 566 frequencies after the first hour of tsunami arrival.

567 Concerning the wave amplitudes, scenario #1 overestimates by a factor of 0.5 to 2 the amplitudes of the 568 first waves in near-field (LENA, OUIN, THIO, HIEN, VITI, LEVU) and northern New Zealand (LOTT, 569 GBIT), although it fits it in further locations (KJNI, NCPT, LUGA, NKFA, OUVE). Scenario #2 fits 570 correctly in some near-field locations (OUIN, THIO, VITI, LEVU, OUVE), overestimates in other near-571 field locations (VANU, HIEN) and in northern New Zealand (LOTT, GBIT), and lightly underestimates 572 the wave amplitudes in most of the far-field locations (KJNI, LUGA, NKFA, FONG, GCSB, AUCT, 573 PKEM, CHIT, JACK, SPRG). Scenario #3 also fits near-field locations (VITI, LEVU, OUVE) and in

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one far-field location (GBIT), overestimates in <u>other</u> near-field locations (VANU, HIEN) and
underestimates the amplitudes in nearly all other locations.

The non-uniform slip models (scenario #2 and scenario #3) show generally quite similar waveforms,
scenario #3 being most of the time smaller than scenario #2 in terms of amplitude.

590 It is noticeable that the models are not able at all to reproduce the real signal at one location: VANU 591 (Port Vila, Vanuatu) although numerous tests have been done to try to fit it correctly: changing the 592 location of the virtual gauge, smoothing the bathymetric data or increasing its resolution. The other 593 differences are related to the de-tiding method of the real signals using a polynomial fitting that is not 594 always able to remove the whole components of the tide or to meteorological conditions like storm 595 surges producing low frequency waves (e.g. SPRG and CHIT).

596 These comparisons need to be considered cautiously with regards to the overall small amplitude of the

597 tsunami. But globally, scenario #2 presents a good compromise between the two other scenarios, being

able to satisfy both near and far-field expectations. Thus, scenario #2 has been retained for furtheranalysis presented hereafter.

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Figure 9: Simulation results obtained with 3 different seismic source model compared to 24 coastal gauge records:
uniform slip model (red); non-uniform slip model from waveform inversion (green); USGS finite fault model
(magenta); real filtered records (blue).

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## 607 4.2.2 DART records

608	Simulated sea level fluctuations due to tsunami waves at DART C, E, G and I location for each slip	
609	model are compared to real DART records in Figure 10. The reader must consider that the available 15	(
610	s sampling rate record transmitted in real-time by the BPR to the monitoring centre stops at 17:00 for	
611	DART E and stops at 18:30 for DART C, G and I.	
612	In terms of arrival time, the three scenarios show good visual agreement with the records for the four	
613	stations. In terms of periodicity on each station, scenario #1 produces a leading wave period longer of	
614	3-4 minutes than the records, leading to a phase shift of the wave train.	
615	On DART C and E, scenario #2 provides the best match with recorded data in terms of arrival time and	
616	first wave amplitude and periodicity. A time shift of ~2 min occurs in the first trough (after the leading	(
617	wave arrival) and is reflected on the following waves, which is not the case with the scenario #3, fitting	
618	better the oscillations coming after the first wave.	
619	On DART G, both non-uniform slip models provide a good match with the leading wave and then with	
620	the following with a small time shift of ~2 min.	
621	On DART I, the three models seem to match the tsunami waves correctly, even if the interpretation of	(
622	the results of such small amplitude signals of less than 5 mm must be done carefully.	(
623	To summarize, in terms of amplitude, the uniform slip model and the two non-uniform slip models are	
624	respectively slightly above or under the leading wave records within the range $\pm 2$ mm but generally	

1024 respectively signify above of under the reading wave records within the range  $\pm$  21m but generally

show a good visual correlation between simulation results and records. Scenario #2 provides the best
match for the leading wave without any surprises. The next few waves are better correlated with both

non-uniform slip models in terms of amplitude and periodicity, the USGS model (scenario #3) showing

628 a better fit with the oscillation and the other one (scenario #2) with the amplitude.

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Figure 10 : Sea level fluctuations associated to the 10 February 2021 earthquake and tsunami recorded by the New Zealand DART NZC, NZE, NZG and NZI: blue, lines represent the de-tided real recorded data, red lines represent the simulated signal for a Mw 7.7 uniform slip model, <u>vellow lines represent</u> the simulated signal for a Mw 7.7 non-uniform slip model obtained from inversion of tsunami waveforms (Gusman et al., <u>2022</u>) and <u>purple lines represent</u> the simulated signal obtained with the USGS Mw 7.7 non-uniform slip model. The blue vertical line symbolizes the earthquake time.



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#### 641 4.2.3 Maximum amplitudes

642	The maximum amplitude maps presented in Figure 11 and discussed hereafter are those obtained with
643	the scenario #2.
644	At a regional scale, the maximum wave amplitude maps obtained after 12 hours of tsunami propagation
645	over the southwest Pacific region show maximum amplitude not exceeding 1.5 m in the whole studied
646	region, a main energy path oriented N-S (toward the north and west coasts of New Zealand, and toward
647	Tuvalu in the north) and strong bathymetric effect on the propagation (Figure 11). In fact, the presence
648	of major bathymetric features of the mostly submerged Zealandia Continent (Mortimer et al., 2017) like
649	the Lord Howe Rise and the Norfolk and West Norfolk Ridges (WN Ridge on Figure 11) between the

650 source area and New Zealand/Australia and the numerous banks located in the north-west of Fiji,

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associated to the Vityaz trench, act as natural barriers and focus the tsunami south-westward and north-westward in specific locations outside of the earthquake region.

659 The role played by those submarine features in focusing the wave energy is clearly visible: North Cape 660 in New Zealand and the south of New Caledonia, especially the Isle of Pines, respectively prolonging 661 toward the south and the north the Norfolk Ridge which acts as a waveguide, are particularly exposed 662 to tsunami waves. The Loyalty Islands Ridge and the Vanuatu subduction arc act as waveguides as well, 663 focusing the tsunami waves on the Loyalty Islands (Maré, Tiga, Lifou and Ouvéa) and the Vanuatu Islands (Aneityum, Tanna, Erromango, Efate mainly). This has already been highlighted by Roger et 664 665 al. (2021) for the 5 December 2018 tsunami propagation. They are also two tsunami pathways clearly 666 focusing the tsunami waves on Tasmania and along the Gold Coast (Australia). More locally, the 667 tsunami shows relatively high amplitudes within lagoons and atolls like in Tuvalu, Tonga and Fiji or 668 trapped around islands like around Norfolk or the Samoa Archipelago. It is notable that the tsunami is 669 also amplified around the Chatham Islands, east of New Zealand. This could also be linked to the 670 trapping of waves on the islands' shelf. Finally, some places like Lenakel's Bay on Tanna Island, 671 Vanuatu, or Jackson Bay on the southwestern coast of New Zealand are acting as "tsunami magnets", 672 being able to catch tsunamis from a wide range of azimuths, and to show higher amplitudes of waves 673 than nearby locations.

#### 674 4.2.4 Plausible M<sub>w</sub> 8.2 scenario

The maximum wave amplitudes simulation of the tsunami triggered by a plausible Mw 8.2 earthquakerupture scenario proposed in this study are shown on Figure 12.

- 677 Unsurprisingly, at a regional scale, the maximum wave amplitude maps obtained after 12 hours of
- 678 tsunami propagation over the southwest Pacific region show maximum amplitudes exceeding 0.5 m in

679 many coastal zones of the studied region. The chosen strike of the fault rupture (287°N) directly impacts.

- the orientation of the main energy path, NE-SW in that case (axis 17°-197°N), which needs to be
- 681 considered cautiously: a slightly different strike would lead to a different orientation of the main energy
- 682 path. <u>Nonetheless</u>, these simulation results underline strongly the bathymetric influence on the
- 683 propagation. <u>To</u> the south of the trench, the main energy path is drastically deviated by the extension of

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697 the Loyalty Ridge south of the VSZ bending zone, leading to a propagation more perpendicular to the 698 Norfolk Ridge, which seems to act as a barrier, with only one ray going through, directly toward Lord Howe Island. Part of the energy is still propagating toward New Zealand, using the ridges like the Three 699 700 Kings Ridge toward North Cape. To the north, the tsunami propagates within the North Fiji Basin, 701 (between Vanuatu and Fiji) and is able to go through the Vityaz Trench region, reaching Tuvalu islands. 702 Just a small portion of the energy propagates toward the east and seems to disappear when crossing the 703 Kermadec-Tonga Trench. In details, the tsunami seems to be caught within the different lagoons or 704 trapped by shelves, surrounding oceanic islands: Norfolk Island's shelf, for which a high-resolution 705 DEM has been specifically built using nautical charts, is the best example of waves being caught around 706 an island in this study, leading to consequent amplitudes of 1.5 m and more. High amplitudes are also 707 shown in Vanuatu, especially on the southern coast of Aneityum Island, its southernmost island, but 708 also in Tanna or Erromango, at the same locations already highlighted with the  $M_w$  7.7 scenario herein, but also for the 5 December 2018 tsunami study (Roger et al., 2021). In the nearby islands of New 709 710 Caledonia, the amplitudes are less important as would have been expected, especially in the Loyalty 711 Islands, but Ouvéa and Grande-Terre respective lagoons catch tsunami waves leading to amplitude 712 records of around 0.5 m. Similarly, the tsunami waves are caught within the islands in Fiji, Tonga and 713 in Tuvalu's Te Namo atoll. It is interesting to see that the tsunami can particularly affect the west coast 714 of New Zealand much more than its northern shore: locations such as Jackson Bay (southwest coast of 715 the South Island), already identified as reacting very easily to tsunami coming from a wide range of 716 azimuths, also shows amplitudes of more than 1 m.

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724 Figure 11: Maximum wave amplitude maps obtained after 12 hours of simulated tsunami propagation for the 10 February 2021 with a

non-uniform slip model from waveform inversion (Gusman et al., <u>2022</u>). The <u>coloured</u> circles locate the coastal gauges and DART stations

having recorded the tsunami and used in this study, the coloration being linked to the maximum amplitude reported in Table 2. IdP: Isle

72<sup>7</sup> of Pines; NI: Norfolk Island; NW Ridge: West Norfolk Ridge; VSZ: Vanuatu Subduction Zone (red dashed line).

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731 Figure 12 Maximum wave amplitude maps obtained after 12 hours of simulated tsunami propagation for a plausible Mw 8.2 rupture

scenario with uniform slip proposed in this study. The white circles locate the coastal gauges and DART stations used in this study. IdP:

738 Isle of Pines; NI: Norfolk Island; NW Ridge: West Norfolk Ridge; VSZ: Vanuatu Subduction Zone (red dashed line) 🔪

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#### 737 5. Discussion

#### 738 5.1 Comparison of the slip models results

739 The tsunami modelling results show that both uniform slip model built from CMT solution (scenario 740 #1) and non-uniform slip models calculated from tsunami waves inversion (scenario #2) or seismic data 741 (scenario #3) are able to reproduce the recorded signal of the small tsunami following the 10 February 2021  $M_w$  7.7 thrust event generated at the southeasternmost part of the VSZ on most of the 24 coastal 742 743 gauges and 4 DART stations of the southwest Pacific region considered in this study. This reproduction 744 shows differences in some locations that can be attributed either to the resolution of the grids directly linked to the available bathymetric data quality, or to the dispersion phenomenon affecting the tsunami 745 746 waves during propagation over long distances, or to the quality of the real coastal gauge data (including 747 possible time and vertical offsets) or finally to the initial assumption on the source geometry used in 748 tsunami inversion process.

749 This implies two things:

- a simple fault plane with uniform slip model (scenario #1) provides a good approximation of
   the amplitudes of a small tsunami on a set of DEMs focussed over the southwest Pacific region.
   This supports the results obtained by Roger et al. (2021) for the 5 December 2018 Loyalty
   Islands tsunami;
- we can use the first waves recorded at DART and coastal stations to produce a good estimation 754 of the initial deformation (scenario #2) and use this initial (non-uniform) deformation to 755 756 calculate the propagation over the whole region and confirm the related threat (for more 757 information on the methodology, see Gusman et al., <u>2022</u>). Depending on the relative location of the event epicentre to the stations' location, this could be done within a relatively short time 758 using only the first 20-25 min of recorded tsunami waveforms. Considering that the New 759 760 Zealand DART network is now fully operational with stations located close to the Hikurangi-Kermadec-Tonga and southern VSZ (three additional DART stations J, K and L have been 761 762 positioned closer to the VSZ in July 2021), with the capability to detect a tsunami within 30 minutes after an earthquake occurred in those 2 regions (Fry et al., 2020), it would be possible 763

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768 to invert tsunami waveforms to achieve a good estimation of the initial surface displacement 769 within 50-55 minutes. This delay is unfortunately still too long to accurately confirm the threat 770 for neighbouring regions, e.g. for New Caledonia and especially the Loyalty Islands and south 771 Vanuatu if it occurs on the VSZ, but nevertheless in those specific cases it can help for further 772 exposed regions like New Zealand, the east coast of Australia, or neighbouring Pacific Islands 773 like Tonga, Fiji, Samoa, Tuvalu, Cook Islands and French Polynesia. If it occurs in the southern 774 VSZ like the 10 February event, it provides much more time for New Zealand to confirm the 775 threat by running inversion calculations. This inversion methodology is interesting in the sense 776 that it does not require a specific knowledge of the geology of the source area.

#### 777 5.2 Role of submarine features

778 This study particularly highlights the role of the mostly submerged Zealandia continent on the tsunami 779 propagation through the focusing and amplification of waves over particular submarine features. That 780 is probably why Lenakel Harbour (Tanna, Vanuatu) and Jackson Bay (New Zealand) have recorded 781 relatively important tsunami waves in comparison to neighbouring gauges. Concerning Vanuatu, this is 782 consistent with deaggregated hazard maps in probabilistic tsunami hazard assessments such as Thomas 783 and Burbidge (2009) who show that countries such as Vanuatu are exposed to tsunami hazard from the 784 entire VSZ (as well as the northern Kermadec-Tonga Subduction zone to a lesser extent) even if the 785 main energy path of a given tsunami does not directly focused on Vanuatu.

It also highlights the trapping of waves around islands, especially around Norfolk Island, <u>a phenomenon</u> due to wave refraction and bottom-depth dependence on the island slope and shelf leading to the development of oscillations of standing waves (e.g. Tinti and Vannini, 1995; Roeber et al., 2010; Zheng et al., 2017). Resonance between islands probably needs to be considered to explain the wave amplitudes observed in some archipelagos (Tonga, Fiji and Samoa) as explained by Munger and Cheung (2008) for the 2006 Kuril Islands tsunami in Hawaii.

792 Finally, it reveals that some specific locations which seem to be protected from a tsunami generated at

the southernmost part of the VSZ like the Chatham Islands or Tuvalu can still be impacted.

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799 The 10 February 2021 event brings new light on the ability of the southernmost part of the VSZ to 800 produce a regional event, being able to reach far-field locations <u>such</u> as Tasmania in the south and 801 Tuvalu in the north, <u>and</u> showing particular behaviours associated <u>with</u> submarine features and coastal

802 shapes.

803 It is to note that this tsunami has not shown amplitudes like those of the 5 December 2018 tsunami (from a M<sub>w</sub> 7.5 earthquake) on New Caledonia and Vanuatu coastal gauges because of its location 804 805 (further east), a different triggering mechanism (reverse faulting versus normal faulting) and the direction of the main energy path (N-S instead SW-NE). Nevertheless, tsunami wave amplitudes of 806 807 more than 28 cm have been recorded at 8 locations. This means that, according to most standard warning 808 level thresholds (issuance of advisories or warnings if amplitude above 20-30 cm), the threat linked to 809 this tsunami required at least, in principle, a response of some kind for at least 8 coastal sites, and 810 probably many more (without available coastal gauge records) according to the simulated maximum 811 wave amplitude map shown on Figure 11).

As the use of the model was validated with the  $M_w$  7.7 scenarios, it was the opportunity to look at what 812 would happen in the region if a tsunami was generated by a plausible magnitude M<sub>w</sub> 8.2 earthquake at 813 814 the southernmost part of the VSZ, which, as seen previously, has accumulated enough strain during at 815 least the last 100 years to be able to produce such event. According to the simulation results, the role of 816 waveguide and focusing of tsunami waves by submarine features of the former Zealandia continent 817 (limits from Mortimer and Scott, 2020) is enhanced, and a scenario of this type would have a greater impact on the whole region in addition to all neighbouring islands of New Caledonia, Vanuatu and Fiji, 818 819 affecting the New Zealand north and west coasts and the east coast of Australia from the Gold Coast to 820 Tasmania as well. In fact, such earthquake would generate tsunami wave heights at shoreline higher 821 than 1 m in many coastal locations of the southwest Pacific region like in New Caledonia, Vanuatu, 822 Fiji, New Zealand, etc., representing a potential land threat. It would be of major interest to test many potential scenarios in the southernmost part of the VSZ to see if they all behave the same way over 823 824 those submarine features or not. The same way, a set of scenarios would help to focus on very specific

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areas in the region that are prone to higher tsunami amplitudes like Jackson Bay, Lenakel Harbor,

827 Norfolk Island, etc., conducting high resolution studies with a specific look at the resonance periods,

828 and the wave trapping.

## 829 6. Conclusion

- 830 The 10 February 2021 tsunami triggered by a magnitude Mw 7.7 earthquake at the southernmost part of 831 the VSZ was recorded by at least 35 coastal gauges and DART sensors in the southwest Pacific region 832 with amplitudes higher than 28 cm at 8 locations. This small event is an opportunity to test the accuracy of the numerical model COMCOT used for tsunami hazard assessment for New Zealand and find ways 833 834 to improve the operation of warning systems. The results of numerical simulations of tsunami 835 propagation on a set of nested grids of both uniform and non-uniform slip models presented in this study 836 are able to reproduce the real records with a relatively good correlation in terms of arrival times, wave 837 amplitudes and polarity, and the identified differences could be linked to the lack of accurate 838 bathymetric data in some places, to the dispersion of the waves during the propagation, the potential 839 bad quality of the real records and eventually to the initial assumptions of the source location and geometry. As this event occurred in a region where neither strong earthquake nor tsunami occurred 840 841 during at least the last 100 years, the validation of the  $M_w$  7.7 parameters for tsunami modelling will 842 help to develop plausible scenarios for the southernmost part of the VSZ in agreement with geophysical 843 data including the subduction interface geometry which reproduces the curvature of the VSZ (SLAB 844 2.0: Hayes, 2018) and look at their potential tsunami impact in the southwest Pacific region. It helps to 845 highlight the significant role played by the numerous submarine features in the region, focusing or 846 stopping the tsunami waves, the Norfolk Ridge being the most important acting like a waveguide toward 847 the north and the south. It also underlines the trapping of waves on Norfolk shelf and potentially around the Chatham Islands as well. Finally, it highlights the difficulty of identifying tsunami waves of small 848 849 amplitude within a stormy background.
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851 Data availability statement

858	Most of the datasets used in the present study are available online: Global bathymetric dataset (ETOPO					
859	1) is	publicly	available	(https://www.ncei.noaa.gov/access/metadata/landing-		
860	page/bin/iso?i	<u>d=gov.noaa.ngdc</u>	.mgg.dem:316);	high-resolution DEM covering New Caledonia and		
861	Vanuatu has	been prepared as	part of the New	w Caledonia TSUCAL project and can be shared for		
862	research purpo	oses. Norfolk Isla	and DEM has be	een specifically built for this project and is available at		
863	https://doi.org	/10.21420/H889-	5393. Other DE	Ms have been built in the framework of GNS Science		
864	research or co	mmercial project	s and could be ob	ptained under specific conditions (contact corresponding		
865	author for more	re information).	The earthquakes	(https://earthquake.usgs.gov), centroid moment tensors		
866	(https://www.	globalcmt.org),	coastal gauge	records ( <u>https://www.linz.govt.nz/sea/tides/sea-level-</u>		
867	data/sea-level	-data-downloads;	http://www.ioc-	-sealevelmonitoring.org) and New Zealand DART data		
868	(https://www.;	geonet.org.nz/tsu	<u>nami/dart</u> ) are pu	ublicly available.		

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## 870 Author contribution

- B71 JR organized the study, performed the numerical simulations, analysed the results and <u>wrote</u> the B72 manuscript. BP <u>wrote</u> the Tectonic context part and worked on the uniform slip scenarios definition. AG worked on the source from waveforms inversion and the analysis of the simulation results and helped to write the manuscript. WP and DB helped to improve the manuscript providing constructive comments. XW provided COMCOT <u>simulation</u> code and assistance and worked on the Methodology part. MD provided high-resolution DEM for New Caledonia and Vanuatu prepared within the framework of a Master's thesis project. All authors agreed with the revised version of the manuscript.
- 878 Competing interests
- 879 The authors declare that they have no conflict of interest.
- 880
- 881 Acknowledgments

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890 This work has been funded by New Zealand's Strategic Science Investment Fund (SSIF). We would

891	like	to	acknowledge	two	anonymous	referees	for	having	reviewed	this	manuscript	providing

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