

1 **Earthquake Vulnerability Assessment of the Built Environment in Srinagar City,**  
2 **Kashmir Himalaya, Using GIS**

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12 **ABSTRACT**

13 The study investigates the earthquake vulnerability of buildings in Srinagar, an urban city in  
14 the Kashmir Himalaya, India. The city, covering an area of around 246 km<sup>2</sup> and divided into  
15 69 municipal wards, is situated in the tectonically active and densely populated mountain  
16 ecosystem. Given the haphazard development and high earthquake vulnerability of the city, it  
17 is critical to assess the vulnerability of the built environment to inform policymaking for  
18 developing effective earthquake risk reduction strategies. Integrating various parameters in  
19 GIS using the Analytical Hierarchical Process (AHP) and Technique for Order Preference by  
20 Similarity to an Ideal Solution (TOPSIS) approaches, the ward-wise vulnerability of  
21 buildings revealed that a total of ~17 km<sup>2</sup> area (~7% area; 23 wards) has very high to high  
22 Vulnerability; Moderate Vulnerability affects ~69 km<sup>2</sup> of the city area (28 %; 19 wards);  
23 ~160 km<sup>2</sup> area (~65% area; 27 wards) has vulnerability ranging from very low to low.  
24 Overall, the downtown city is most vulnerable to earthquake damage due to the high risk of  
25 pounding, high building density, and narrower roads with little or no open spaces. The  
26 modern uptown city, on the other hand, has lower earthquake vulnerability due to the  
27 relatively wider roads and low building density. To build a safe and resilient city for its 1.5  
28 million citizens, the knowledge generated in this study would inform action plans for  
29 developing earthquake risk reduction measures, which should include strict implementation  
30 of the building codes, retrofitting of the vulnerable buildings, and creating a disaster  
31 consciousness among its citizenry.

32 **Keywords:** Earthquake, Earthquake Vulnerability, AHP, GIS, TOPSIS, Kashmir

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## 35 **1. Introduction**

36 Among all the natural disasters, earthquakes are unique in the way that they occur without  
37 warning (Langenbach, 2009) and are a major hindrance in the way of achieving sustainable  
38 development. Cities are growing fast all over the world as a process of urbanization and more  
39 than half of the world's population lives in urban areas (Ritchie and Roser, 2018).  
40 Earthquakes cause immense loss of life and damage to properties, livelihoods, economic  
41 infrastructures and communities, particularly in major urban centres (Kjekstad and Highland,  
42 2009). Urban earthquake vulnerability has increased over the years due to the increasing  
43 complexity of urban built environments (Düzgün et al., 2009; Riedel et al., 2015). The high  
44 earthquake susceptibility of urban centres is also attributed to their situation in hazard-prone  
45 locations (Duzgun et al., 2011; Mir et al., 2017), haphazard urbanization (Jena et al., 2020),  
46 and growing population (Beck et al., 2012), and has attracted the attention of emergency  
47 planners in estimating the seismic risk associated with future earthquakes (Kontoes et al.,  
48 2012). Surveys have shown that collapsing buildings and other physical structures during an  
49 earthquake cause huge social, economic, and human losses (Panahi, et al., 2014). The  
50 dynamic interaction between different urban components and diverse forms of vulnerability  
51 proves that vulnerability is inherently a spatial problem (Hashemi and Alesheikh, 2012). This  
52 marks that the earthquake vulnerability of a building is an important parameter in the  
53 evaluation of earthquake potential damages in urban fabrics (Amini et al., 2009). Thus,  
54 assessment of earthquake vulnerability of the built environment is crucial for any city located  
55 in an earthquake risk zone to better understand the inherent weakness and vulnerabilities of  
56 the city against earthquakes and to help prioritize preparedness and risk mitigation activities.

57 Structural vulnerabilities to earthquakes have arisen in Kashmir in recent decades when  
58 traditional construction materials and practises have been abandoned in favour of new ones  
59 (Yousuf et al., 2020). The lurking threat of an earthquake had in the past a great influence on  
60 the way people traditionally used to build their houses in the Kashmir valley (Langenbach,  
61 2009; Ahmad et al., 2017). Traditional wood-frame structures were designed to deal with  
62 earthquake threats to provide a safe and suitable built environment for the people. The  
63 buildings built with wood substantially reduce the weight of buildings and provide structural  
64 flexibility compared to that of other types of materials used in housing construction (Alih and  
65 Vafaei, 2019). The traditional building types such as "Taqq" and "Dhajji-Dewari" are  
66 earthquake-resistant. In the Taqq type buildings, wooden runners are placed at each floor  
67 level that tie the walls with the floor together whereas the Dhajji-Dewari buildings consist of

68 a braced timber frame with masonry infill that is placed diagonally in the walls. The timber  
69 braced frames offer stable confinement to the infill masonry as long as it rests together  
70 (Hicyilmaz et al., 2012). When compared to more contemporary building types, the Dhajji-  
71 Dewari constructions are more earthquake-resistant because energy is dissipated between  
72 mortar joints, the frame, and the infill rather than through non-linear deformations. Recently,  
73 the traditional ways of constructing houses have been replaced mostly by concrete types,  
74 thereby increasing the vulnerability of the structures to earthquakes. The residential buildings  
75 in Srinagar are mostly built by local semi-skilled masons who don't have adequate technical  
76 expertise in building earthquake resistance infrastructure and therefore these structures lack  
77 the basic earthquake risk reduction features including seismic resistance features as are  
78 otherwise prescribed in the building codes. It is therefore very important to assess the  
79 earthquake vulnerability of all the existing buildings in the Kashmir valley, comprising both  
80 traditional and modern construction types, since the valley falls in Seismic Zones IV or V  
81 (Ali and Ali, 2020). Despite the high vulnerability of the Kashmir valley to earthquakes, no  
82 initiative has been taken by the government and scientific community to develop an  
83 earthquake risk assessment strategy for the valley that would have informed urban  
84 development planning to minimise the damage in the eventuality of an earthquake as has  
85 been done in other vulnerable Himalayan areas of the country like Delhi, Dehradun, Kolkata,  
86 etc. (Pathak, 2008; Nath, et al., 2015; Rautela et al., 2015; Sinha et al., 2016).

87 Many national and international studies have been conducted to estimate the physical  
88 vulnerability of the built environment by applying various techniques, viz., MCDM (Multi-  
89 Criteria Decision Making), AHP (Analytical Hierarchical Process), and ANN (Artificial  
90 Neural Networking) (Jena et al., 2020; Jena and Pradhan, 2020; Lee et al., 2019; Alizadeh et  
91 al., 2018). Rashed and Weeks, 2003 studied the physical vulnerability parameters for the  
92 Tabriz city of Iran that are major contributors in assessing the vulnerability of buildings like  
93 the age, height of the buildings, and earthquake intensity. Erden and Karaman, 2012  
94 investigated the impact of systemic vulnerability parameters, such as topography, distance to  
95 the epicentre, soil classification, liquefaction, and fault/focal mechanism using AHP for  
96 earthquake vulnerability assessment of the Kucukekmece region of Istanbul, Turkey. Pathak,  
97 2008 carried out the earthquake vulnerability assessment of Guwahati city using Rapid visual  
98 screening (RVS) by taking into account demand-capacity computation and structural / non-  
99 structural damage grade indexing. Nath et al., 2015 used geotechnical, seismological, and  
100 geological data for assessing the seismic risk of Kolkata city. They used land use/land cover,

101 population density, building typology, age, and height for earthquake vulnerability  
102 assessment. Sinha et al., 2016 used the Spatial Multi-Criteria Analysis and Ranking Tool  
103 (SMART) methodology and classified the capital city of India, Delhi, as highly vulnerable to  
104 earthquake disaster using different physical parameters like the number of stories, year-built  
105 range, area, occupancy, and construction type. The earthquake vulnerability of Nanital and  
106 Mussorie cities in Uttarakhand state, India was assessed by Rautela et al., 2015 employing  
107 the RVS methodology. Ahmad et al., 2012 used experimental and analytical studies to  
108 investigate Half-Dressed rubble stone (DS) masonry structures of the Himalayas using the  
109 shake table method and fragility analysis of buildings. The study concluded that about 40%  
110 of buildings can collapse in the eventuality of a large earthquake. The collapse percentage of  
111 buildings can go as high as 80% if the epicentre of an earthquake is closer to the site. Baruah  
112 et al., 2020 have assessed the seismic vulnerability of the mega-city Shillong in India using  
113 RVS methodology by including parameters like building typology, local  
114 geology, geomorphology, slope angle, and population suggesting that 60% of the city is  
115 falling under moderate to high vulnerability zones. Jena et al., 2021 carried out an analysis of  
116 the earthquake vulnerability of the Indian subcontinent using the LSTM (Long Short-Term  
117 Memory) model and multi-criterion analysis, which suggested that very-high vulnerable areas  
118 are situated towards the northern and eastern parts of India. The study, conducted at a coarse  
119 scale, classified Jammu and Kashmir, of which the study area is a part, as a highly vulnerable  
120 state with a moderate to high vulnerability index.

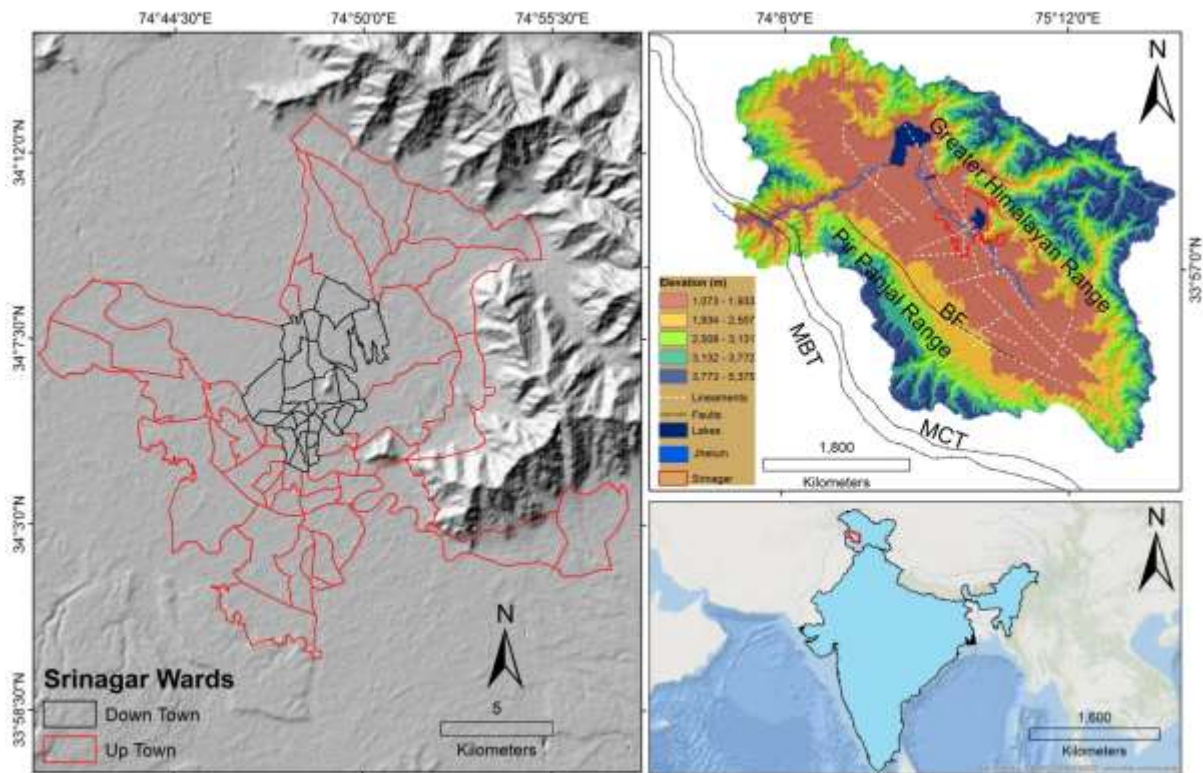
121 The present study addresses the knowledge gap through the assessment of high-  
122 resolution earthquake vulnerability of built environment at the ward level in order to identify  
123 the vulnerable areas of Srinagar city, a major rapidly growing and seismically vulnerable  
124 urban centre in the Kashmir valley. The location of earthquake epicentre is related to the  
125 presence of geological structures (faults) in a particular area (Sana, 2018). The available  
126 records of historical and instrumental earthquake events (Table 1) in the study area indicate a  
127 high probability of earthquake events in the Srinagar city in the future. Dar et al., 2019 have  
128 shown that the River Jhelum, running through Srinagar city itself flows along or parallel at  
129 many places to a lineament or fault known as Jhelum fault in the Kashmir Valley. The city is  
130 predominantly located on the Recent alluvium and Karewas with Panjal traps at minor  
131 locations (Dar et al., 2015) and has Seismic Hazard Index (SHI) and Liquefaction Potential  
132 Index (LPI) ranging from high to very high (Sana et al., 2016; Sana, 2018; Yousuf and  
133 Bukhari, 2020). Since the vulnerability at the ward level is the primary focus of this study,  
134 therefore, it is believed that in light of the high vulnerability and occurrences of past

135 earthquakes with epicentre in and around Srinagar and the least variability almost  
136 homogeneous distribution of other geological, geomorphic and soil parameters, the entire city  
137 wards are equally vulnerable in the eventuality of an earthquake and were therefore kept  
138 constant and were disregarded in the analysis. Based on a literature review, expert opinion,  
139 and analyses of the available data, a set of six indicators, such as building geometry, density,  
140 height, typology, pounding possibility and road network were selected in this study for  
141 assessing earthquake vulnerability of the built-up environment in the city. The structural  
142 vulnerability of Srinagar city, which is located in an earthquake-prone zone, will inform  
143 urban planning and development strategies to create a safe and secure built environment with  
144 adequate green and open spaces, as well as make the city sustainable, as envisioned under  
145 UNDP Sustainable Development Goals (SDGs) 11 for sustainable cities and communities.

## 146 **2. Srinagar city**

147 Srinagar city, spread over an area of 246 km<sup>2</sup>, lies between 74° 43′ and 74 ° 52′ E longitudes  
148 and 34° 0′ and 34° 14′ N latitudes and is divided into 69 administrative wards (Fig. 1). The  
149 city is situated at an elevation of 1713 m amsl along both the banks of the centrally flowing  
150 Jhelum River. The city of Srinagar, home to around 1.5 million people, is an economic hub, a  
151 seat of administration, and an important urban centre in the Kashmir Himalaya (Parry et al.,  
152 2012). The population of the city is projected to increase to 1.83 million by 2031 (Farooq and  
153 Muslim, 2014). The city is susceptible to high seismic hazards due to its peculiar geological  
154 setting (Sana, 2018), urban setting (Gupta et al., 2020), demographic profile, and tectonic  
155 setting (Chandra et al., 2018). The city is surrounded by Himalayan boundary faults, which  
156 are capable of generating destructive earthquakes that are well documented in the historical  
157 archives and recent instrumental records as well (Sana, 2018; Gupta et al., 2020). There is a  
158 formidable history of earthquakes that have shaken Srinagar in the past millennium and have  
159 caused huge loss of human life and property (Table 1) (Rajendran and Rajendran, 2005;  
160 Langenbach, 2007; Bilham et al., 2010; Bilham, 2019; Yousuf et al., 2020). As Srinagar is an  
161 old and historic city, most of the areas grew organically without following any physical plan  
162 or building codes for the construction of its built infrastructure (Yousuf et al., 2020). Post-  
163 1947, Srinagar grew very fast, mostly in a haphazard manner with no proper urban planning.  
164 The first Master Plan for the city was developed in 1971, followed by Master Plans for 2021  
165 and 2035. However, all three plans didn't have effective implementation in the city as per the  
166 Master Plan prescriptions because of problems in the planning and implementation setup,  
167 including an inadequate legal framework and institutional structures. Furthermore, there is

168 cultural and socio-economic inequality within the city, with lower-middle-income groups  
 169 residing in the densely populated downtown wards and upper-middle class and wealthy  
 170 people residing in the uptown wards of the city. In such a situation, assessing earthquake  
 171 ward-wise vulnerability of the built environment is very critical for prioritizing risk reduction  
 172 activities to reduce the earthquake vulnerability of the city (Mouroux et al., 2006; Mili et al.,  
 173 2018).



174  
 175 Fig. 1. Location of the study area. Here MBT stands for Main Boundary Thrust, MCT stands  
 176 for Main Central Thrust, BF stands for Balapur Fault.

S. No	Date	Magnitude (M <sub>w</sub> )	Lat (N)	Long (E)	Location	Damage	References
1	844 AD	6.5 to 7.5	34° N	74.8° E	Srinagar Kashmir	Landslide dammed Jhelum at Khadanyar near Baramulla	Stein, 1982; Stein, 1898; Bilham and Bali, 2014.
2	1123 AD	6.5 to 7.5	34° N	74.8° E	Srinagar Kashmir	Caused damage Sugandhesa Temple	Stein, 1982; Stein, 1898; Bilham and Bali, 2014; Iyengar and Sharma, 1996 ; Iyengar et al., 1999; Iyengar and Sharma, 1999
3	1501 AD	6.5 to 7	34° N	74.8° E	Srinagar Kashmir	Three months of after shocks	Bilham and Bali, 2014
4	1555 AD	7.6 to 8	34.25° N	74.8° E	Baramulla, Srinagar and	Landslide, Liquefaction and landslides in the Kashmir valley	Bilham and Bali, 2014; Iyengar and Sharma, 1996 ; Iyengar et al., 1999; Iyengar and Sharma,

					Anantnag		1999; Ambraseys and Jackson, 2003
5	1669 AD	6.5 to 7	34° N	74.8° E	Srinagar Kashmir	Mild shaking of buildings with no loss of life	Ahmad et al., 2009; Bilham and Bali, 2014
6	1678 AD	6.5 to 6.8	34° N	74.8° E	Kashmir	Continuous shaking of buildings	Ahmad et al., 2009; Bilham and Bali, 2014
7	1683 AD	6.5 to 6.8	34° N	74.8° E	Srinagar Kashmir	Long shocks and destruction of newly constructed houses	Ahmad et al., 2009; Bilham and Bali, 2014
8	1736 AD	6.5 to 7	34° N	74.8° E	Srinagar Kashmir	Large number of Building in city and adjoin areas collapsed completely	Ahmad et al., 2009
9	1779 AD	6.5 to 7.5	34° N	74.8° E	Srinagar and villages of Kashmir valley	It destroyed houses in city and villages and caused huge loss to life	Ahmad et al., 2009
10	1784 AD	6.5 to 7.5	34° N	74.8° E	Srinagar Kashmir	Terrific shocks felt in the area	Bilham, 2019
11	1828 AD	6.5 to 7.5	34° N	74.8° E	Srinagar Kashmir	About 1200 houses collapsed in this event	Vigne, 1842; Ahmad et al., 2009
12	1885 AD	7.1 to 7.5	34.5 4° N	74.68° E	Baramulla Kashmir	Terrific shock felt in the adjoining area	Ahmad et al., 2009; Lawrence, 1895
13	2005 AD	7.6	34.4 9° N	73.63° E	Kashmir	Earthquake alone left 86,000 people dead, about 69000 injured in both Indian and Pakistan side and about 25% of buildings were fully damaged in Uri and Poonch areas of J and K	Kumar et al., 2006

177 Table 1: Record of the past earthquake events in the Kashmir valley

### 178 3. Dataset and Methodology

179 The availability of high spatial resolution satellite images with a ground pixel size of 1 m,  
180 opens up new possibilities for mapping individual features such as buildings (Li et al., 2019).  
181 To accomplish this study, ortho-rectified Cartosat-2 data of 2016-17, having a spatial  
182 resolution of 1 m, were utilised to extract the spatial information of the built environment in  
183 Srinagar city. The very high-resolution Cartosat-2 data has the potential to map individual  
184 buildings at a large scale (Sandhu et al., 2021).

#### 185 3.1 Building inventory

186 Keeping in view the advantages of manual delineation over digital image processing, the  
187 visual interpretation method was employed for delineating buildings and associated land use  
188 and land cover (Rashid et al., 2017). The image interpretation elements, viz., tone, texture,  
189 pattern, size, shape, etc., supplemented by Google Earth, were used to map the building  
190 footprint of the city on high-resolution Cartosat-2 data at a scale of 1:1,000. All the buildings,  
191 roads, water bodies, and other associated urban built-up are included in the mapped features.

192 Individual building footprints were accurately mapped, however delineating the complex  
193 geometrical shape in unplanned dense and very dense built-up areas proved to be a difficult  
194 task (Sandhu et al., 2021). As a result, rather than individual building footprints, building  
195 blocks were digitized in the densely populated areas towards the centre of the city where the  
196 edges of buildings become indistinguishable, causing difficulty in extracting individual  
197 building footprints. Following evaluation in the field, these structures were segregated and  
198 corrected. Furthermore, all of the city's major roads were easily identifiable, however, the  
199 extraction of minor roads, particularly in the densely built-up wards was difficult to map due  
200 to their narrower widths and the metallic rooftop canopy of the adjacent building concealing  
201 the narrow alleys. The vector layer with the associated attributes like height, building  
202 occupancy, typology, and number of floors was created by combining remote sensing data  
203 and field data. The high-resolution building footprint and road network map were then  
204 utilised to critically assess the ward-wise earthquake vulnerability of buildings in the city.

### 205 **3.2 Building vulnerability indicators**

206 The vulnerability of the built environment determines its earthquake risk. Building collapse  
207 causes the majority of the damage during an earthquake. Thus, faulty building structures and  
208 the use of unsafe materials are some of the major causes of damage during an earthquake  
209 (Lantada et al., 2009). Assessment of the earthquake vulnerability of individual buildings and  
210 neighbourhoods is a complex process (Langenbach, 2009; Agrawal and Chourasia, 2007) and  
211 involves consideration of numerous parameters, which are described as follows:

212 **3.2.1 Building height:** Because of its antiquity, tradition, heritage, and significance,  
213 the built environment of different wards of Srinagar city shows a remarkable diversity (Meier  
214 and Will, 2008). Building height has a substantial impact on earthquake response and the  
215 level of structural damage (Kircher et al., 1997; Priestley, 2000). Buildings with a lower  
216 height-to-surface area ratio are more earthquake-resistant, and vice-versa (Alizadeh et al.,  
217 2018). As a result, high-rise buildings with a smaller surface area are more vulnerable to  
218 earthquake damage. When these types of buildings shake and swing during an earthquake,  
219 they have a higher probability of pounding. Extensive ward-by-ward field surveys were  
220 conducted to generate a comprehensive building height map of Srinagar city. During the field  
221 surveys, the number of floors in randomly selected buildings from each ward in the city was  
222 surveyed and counted. For height estimation during the field surveys, three types of buildings  
223 were considered: single-story, double-story, and triple- or multiple story buildings. This field  
224 data was then combined in the GIS database.



225           **3.2.2 Masonry building:** Traditional construction practices are considered  
226 outmoded, insubstantial, and indicative of poverty in developing towns (Langenbach, 2009).  
227 As a result, people are moving away from traditional types and methods of construction and  
228 adopting modern practices and types of buildings with bricks, cement blocks, and/or stones.  
229 Masonry buildings, as they are known, are extremely vulnerable to earthquakes (Alam and  
230 Haque, 2018). The disappearance of traditional construction and buildings in Srinagar and the  
231 rise of contemporary masonry construction practices make the city more vulnerable to  
232 earthquakes. A physical survey of buildings was conducted to determine the type of buildings  
233 for the physical vulnerability assessment of masonry buildings in Srinagar (Rahman et al.,  
234 2015). The pattern of buildings along the main roads and link roads was surveyed during the  
235 fieldwork because a majority of the buildings in the city are masonry. The presence of  
236 building types other than masonry was recorded using Trimble Juno 5B handheld GPS with a  
237 2-4 meter accuracy, which was then combined with GIS data to estimate the proportion of  
238 various masonry building types in the city.

239           **3.2.3 Pounding Possibility:** One of the most common causes of structural damage  
240 during an earthquake is pounding between neighbouring buildings (Anagnostopoulos, 1988).  
241 Pounding conditions occur when two or more buildings collide during an earthquake with a  
242 smaller distance between them (Alam and Haque, 2018). Every building has its natural  
243 frequency and swings correspondingly during an earthquake (Lu et al., 2017; Jia et al., 2018).  
244 If the separation distance between the buildings is insufficient, the buildings cannot swing  
245 freely, resulting in local thrashing of the structures (Gioncu and Mazzolani, 2010). Due to the  
246 location of the city in a seismically active region, it's socioeconomic setup, unplanned  
247 urbanization and faulty land-use planning (Yousuf et al., 2020), Srinagar faces a significant  
248 risk of structural damage from pounding during an earthquake. To determine the potential of  
249 pounding in Srinagar, we employed a methodology that requires a minimum separation  
250 distance between two buildings of 4% of the building height (FEMA, 1998). The pounding  
251 potential was calculated using the following equation:

$$S = 0.04(h_1 + h_2) \quad (1)$$

252           Where, 'S' is the minimum separation distance between the buildings, 'h<sub>1</sub>' and 'h<sub>2</sub>'  
253 are the heights of two adjacent buildings.

254           **3.2.4 Building Geometry:** The earthquake damage to a building also depends on its  
255 geometry. Compared to regular structures, buildings having geometrical irregularities such as  
256

257 a big height-to-width ratio, a large length-to-width ratio, or a large offset in plan and  
258 elevation perform poorly and sustain significant damage during earthquakes (Alih and  
259 Vafaei, 2019). We employed high-resolution Cartosat-2 data and validated it against the field  
260 data to generate a building geometry map of the city. The remote sensing data were pre-  
261 processed and the edge enhancement technique was used for highlighting the edges of  
262 buildings (Somvanshi et al., 2018; Huang et al., 2019). The geometry map of the city was  
263 then generated using manual digitization of the building edges, which was later validated in  
264 the field.

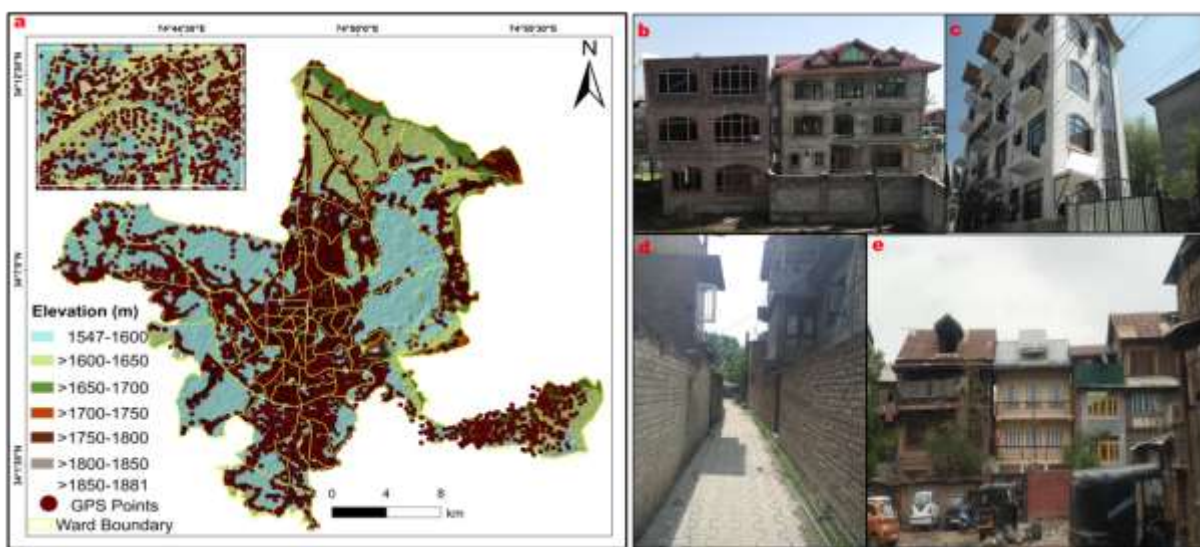
265 **3.2.5 Road Network:** Urban roadways are a complex network that is extremely  
266 vulnerable to disruption in the event of natural disasters such as earthquakes (Golla et al.,  
267 2020). Roads play an important role in the post-earthquake response and recovery phase.  
268 Roadblocks caused by earthquakes have a negative impact on not just post-earthquake  
269 emergency services but also isolate specific areas of cities where basic amenities such as  
270 hospitals, shelters, and other critical services are situated (Balijepalli and Oppong, 2014).  
271 Thus, the mapping of roads is essential for assessing the vulnerability of a city. Using a  
272 manual digitization technique on the high-resolution satellite data, all roads in Srinagar were  
273 mapped at a scale of 1:1,000. Because the buildings in Srinagar are not built in a planned  
274 manner, the majority of the roads are small and narrower and are classified into three  
275 categories: less than 8 feet, 8 to 16 feet, and more than 16 feet roads (Alam and Haque,  
276 2018). Roads with a width of less than 8 feet are considered particularly vulnerable.

277 **3.2.6 Building density:** In addition to the aforementioned parameters, the building  
278 density of an urban area has a significant impact on its structural vulnerability (Bahadori et  
279 al., 2017). The more densely built a place is, the more vulnerable it is to earthquakes (Jena  
280 and Pradhan, 2020). For all the wards of Srinagar, the building density was determined as the  
281 number of buildings per unit area. For building density mapping, we used 1meter high-  
282 resolution Cartosat data, which we then draped onto Google Earth imagery for validation.  
283 The building density was also validated during the field surveys.

### 284 **3.3 Field validation**

285 Comprehensive ground-truth surveys were conducted in all wards throughout the city to  
286 validate the building inventory database. Because there are so many buildings and their area  
287 is so large, ward-wise validation of the mapped buildings was done using a stratified random  
288 sampling method. It was ensured that the validation sites are well distributed throughout a

289 ward (Han et al., 2020). For field data collection, a proforma was developed to collect data  
290 such as latitude, longitude, building use, number of floors, and construction type. The  
291 position of individual buildings in every ward was identified on the building inventory map  
292 during field surveys through visual observation and GPS coordinates, and the locations were  
293 documented (Ahmad et al., 2009). 8000 field validation points were collected throughout the  
294 city (Fig. 2) and the physical attributes of each building were inspected externally to  
295 determine building parameters such as building height, number of floors, and type of  
296 construction. Post-field surveys, the building inventory database was updated to match the  
297 ground-truth data.



298

299 Fig. 2. *a)* Field validation map showing the distribution of ground samples with the inset  
300 showing the density of samples. The elevation of study area are based on ASTER DEM data.  
301 *Field photograph of b)* modern masonry construction practice adopted in residential *c)*  
302 commercial building with large windowpanes *d)* narrower roads in the city centre *e)*  
303 buildings with the insufficient or no separation distance.

### 304 **3.4 Analytical Hierarchical Process (AHP) Approach**

305 Due to its simplicity and rationality (Rezaie and Panahi, 2015; Alam and Mondal, 2019), the  
306 AHP is a widely used multi-criteria decision-making method (MCDM) for vulnerability  
307 assessment. It considers both qualitative and quantitative parameters to develop a hierarchical  
308 solution decision-making among various alternatives and their sub-categories. The Analytical  
309 Hierarchical Process (AHP) weights parameters and sub-parameters based on expert opinion,  
310 ensuring transparency and consideration of local-specific conditions of a study area that  
311 global indices cannot (Füssel, 2010). There are three key assessment steps in AHP. The first

312 step is to create binary comparison matrices on a scale of 1–9 (Saaty, 1980), where 1  
 313 indicates that two parameters are equally important, 9 indicates that one parameter is  
 314 extremely important and 1/9 indicates that the parameter is of the least importance. Table 2  
 315 displays the scale of importance. The AHP was used to create indices that measured spatial  
 316 variations in structural vulnerability ward-by-ward across the Srinagar city.

Decreasing Relative Intensity of Importance				Equally Important		Increasing Relative Intensity of Importance										
←						→										
1/9	1/8	1/7	1/6	1/5	1/4	1/3	1/2	1	2	3	4	5	6	7	8	9

317 Table 2: AHP scale used in this study

318 In the second step, the weights of different factors are determined from row-multiplied  
 319 value (RMV), in un-normalized and normalized values using Equations (2) and (3).

320 Unnormalized value,  $mi = \sqrt[n]{RMV}$  (2)

321 Normalized value =  $\frac{mi}{\sum_{i=1}^n mi}$  (3)

322 Where,  $mi$  refers to the un-normalized value of the  $i$ -th parameter and  $n$  represents the total  
 323 influential parameters.

324 The third and most important step of this model is to compute the consistency  
 325 between judgements and weights. The consistency is calculated from the consistency index  
 326 and consistency ratio employing equations (4) and (5). If the consistency ratio is  $<0.1$ , the  
 327 pairwise comparison matrix is consistent and if it is  $>0.1$ , the pairwise comparison between  
 328 indicators and sub-indicators must be iterated until a good consistency is achieved.

329 Consistency index,  $CI = \frac{L-n}{n-1}$  (4)

330 Consistency Ratio,  $CR = \frac{CI}{RI}$  (5)

331 Where,  $L$  represents the Eigen-value of the pairwise comparison matrix and  $RI$  is the  
 332 random inconsistency index which depends on the number of vulnerability assessment  
 333 parameters ( $n$ ) used in the assessment. The variation of  $RI$  values for a different number of  
 334 parameters is shown in Table 3.

N	1	2	3	4	5	6	7	8	9	10	11	12
RI	0	0	0.58	0.90	1.12	1.24	1.32	1.41	1.45	1.49	1.52	1.54

335 Table 3: Random inconsistency indices (RI) for  $n = 1, 2, \dots, 12$ . (After Saaty, 1980)

336 The four authors were involved in determining the expert judgement process, viz., Prof.  
 337 Shakil Ahmad Romshoo, Ph.D., Remote Sensing and GIS; Dr. Irfan Rashid, Ph.D.,  
 338 Environmental Sciences; Dr. Rakesh Chandra, Ph.D., Geology; and Midhat Fayaz, M.Sc.  
 339 (Geoinformatics), but a large body of literature was also consulted that informed the expert  
 340 judgement process. Based on multiple judgments, a comparison matrix of six earthquake  
 341 vulnerability factors was established in this study (Yariyan et al., 2020). The geometric mean  
 342 of expert opinions was then calculated to compile all of the opinions into a single matrix  
 343 (Table 4). As a result, the factors are weighted and ranked on a scale of 0 to 1. The  
 344 Consistency Ratio (CR) of 1.24 was achieved, which indicates consistency in the pairwise  
 345 comparison of vulnerability factors (Saaty, 1980).

Parameters	Average Floor Height	Masonry Building (%)	Pounding Possibility (%)	Irregular Building (%)	Average Road Width	Building Density	Sum	Weight
Average Floor Height (m)	0.12	0.09	0.12	0.08	0.16	0.15	0.72	0.12
Masonry Building (%)	0.28	0.23	0.22	0.23	0.22	0.22	1.40	0.23
Pounding Possibility (%)	0.28	0.32	0.31	0.31	0.27	0.31	1.80	0.30
Irregular Building (%)	0.12	0.08	0.08	0.08	0.05	0.08	0.48	0.08
Road Width (ft)	0.08	0.11	0.12	0.15	0.11	0.09	0.67	0.11
Building Density (per Ha)	0.12	0.16	0.15	0.15	0.19	0.15	0.92	0.15

346 Table 4: Pair-wise matrix showing weights for each of the factors used in the AHP model

347  
 348 **3.5 Technique for Order Preference by Similarity to Ideal Solution (TOPSIS)**  
 349 **Approach**

350 The TOPSIS is a multi-criteria decision-making (MCDM) method that chooses alternatives  
 351 based on the distance between positive and negative ideal points (Hwang et al., 1993; Joshi

352 and Kumar, 2014). The TOPSIS model is based on the concept that the chosen alternative  
 353 should be the closest to the ideal solution while being the farthest from the negative ideal  
 354 solution. The important steps involved in the TOPSIS approach are listed below.

355 Step 1: Construction of normalized decision matrix using Equation (6)

$$356 \quad \text{Normalize score, } r_{ij} = x_{ij} / (\sum x_{ij}^2) \quad (6)$$

357 Where,  $x_{ij}$  is the score of option  $i$  with respect to criterion  $j$ .

358 Step 2: Construction of weighted normalized decision matrix using Equation (7)

$$359 \quad v_{ij} = w_j * r_{ij} \quad (7)$$

360 where,  $w_j$  is the weight for each criterion.

361 Step 3: Identifying the positive and negative ideal solutions. The positive ( $A^+$ ) and the  
 362 negative ( $A'$ ) ideal solutions are defined according to the weighted decision matrix using  
 363 equations (8) and (9) respectively

$$364 \quad A^+ = \{V_1^+, V_2^+, \dots, V_n^+\}$$

$$365 \quad \text{Where, } V_j^+ = \{\max(V_{ij}) \text{ if } j \in J; \min(V_{ij}) \text{ if } j \in J'\} \quad (8)$$

$$366 \quad A' = \{V_1', V_2', \dots, V_n'\}$$

$$367 \quad \text{Where, } V_j' = \{\min(V_{ij}) \text{ if } j \in J; \max(V_{ij}) \text{ if } j \in J'\} \quad (9)$$

368

369 Step 4: Calculating the separation distance of each alternative from the positive and negative  
 370 ideal solution using equations (10) and (11) respectively after Hwang et al., 1993.

$$371 \quad S_i^+ = \sqrt{\sum_{j=1}^n n(V_j^+ - V_{ij})^2} \quad (10)$$

372

$$373 \quad S_i^- = \sqrt{\sum_{j=1}^n n(V_j' - V_{ij})^2} \quad (11)$$

374 Where,  $S_i^+$  is the distance from the  $i^{\text{th}}$  alternative from the positive ideal point for the  
 375  $j^{\text{th}}$  feature and  $S_i^-$  is the distance between the  $i^{\text{th}}$  alternative and the negative ideal point for

376 the  $j^{\text{th}}$  feature and  $i = 1, \dots, m$ . The negative and positive ideal point for each seismic  
 377 vulnerability factor is shown in Table 5.

Vulnerability Parameters	Positive Ideal Point (V+)	Negative Ideal Point (V-)
Average Floor Height	0.0171	0.0112
Pounding Possibility	0.0501	0.0083
Irregular Building	0.0270	0.0004
Road Width	0.0090	0.0199
Building Density	0.0618	0.0007
Masonry Building	0.0283	0.0243

378 Table 5: Positive and negative ideal points used in the TOPSIS model

379 Step 5: Measuring the relative closeness of each parameter to the ideal solution using  
 380 Equation (12).

381 
$$\text{Closeness, } C_i^* = S_i^- / (S_i^- + S_i^+) \quad (12) \text{ (After Hwang et al., 1993)}$$

382 Where,  $C_i^*$  is a value between 0 and 1 and the closer the number is to 1, the closer the  
 383 alternative is to the ideal condition. The positive ideal point in this study is the one with the  
 384 maximum structural earthquake vulnerability, while the negative ideal point is the one with  
 385 the lowest vulnerability. Furthermore, the closer an alternative value is to 1, the more  
 386 vulnerable those limits are, and the closer it is to 0, the less vulnerable they are.

387 Based on expert opinions, the AHP model was used to assign weights to all the  
 388 parameters. Following that, the TOPSIS model was used to rank the wards after evaluating  
 389 the best alternatives using mathematical calculations. Finally, the weighted and best  
 390 alternative evaluated structural vulnerability parameters from both the AHP and TOPSIS  
 391 models are combined in the GIS environment to create a ward-by-ward earthquake  
 392 vulnerability map of the built environment for Srinagar. The integrative use of these two  
 393 models reduces the uncertainty in the input data and improves accuracy and validity.  
 394 Furthermore, decision-making based on the integrated use of the AHP and TOPSIS leads to  
 395 more robust and effective outcomes for addressing complex problems (Nyimbili et al., 2018).  
 396 Many studies have recommended the integrated use of TOPSIS with AHP for determining  
 397 criteria and conducting analyses regarding complex decision-making problems (Behzadian et  
 398 al., 2012). Additionally, the integrated use of AHP and TOPSIS helps to resolve the  
 399 weighting problem by incorporating expert opinions and preferences, thereby increasing the  
 400 consistency of outputs for arriving at consensus in decision-making in earthquake disaster  
 401 vulnerability analyses (Nyimbili et al., 2018).

402 The adopted methodology has a few limitations, much like any other modelling  
403 technique. In addition to the inherent flaws in Multi Criteria Decision Analysis (MCDA),  
404 there may be some limitations, such as the fact that certain layers become more dominant  
405 than others due to the weighting criteria used, which in turn depends upon the decision-  
406 makers' perceptions of which vulnerability parameters have the greatest impact on modelling  
407 outcomes in vulnerability analysis.

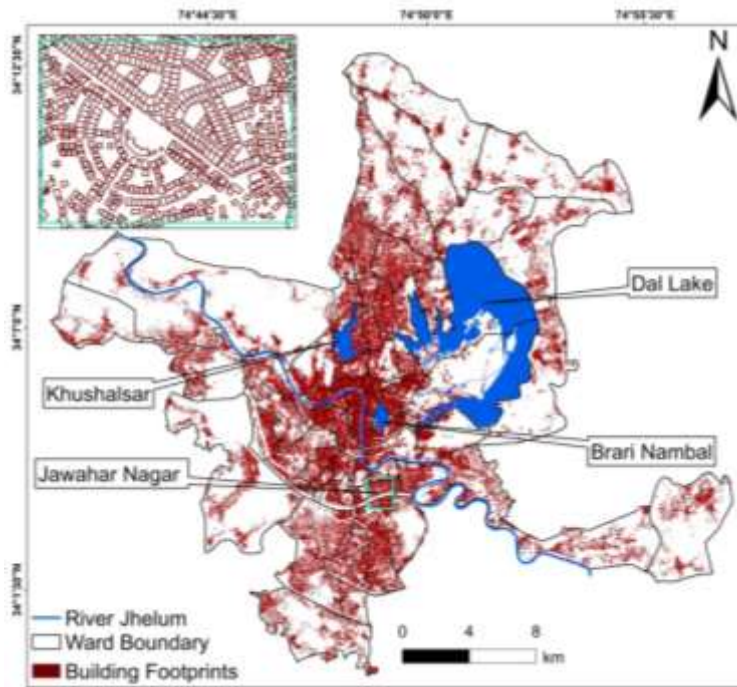
#### 408 **4. Results and discussion**

##### 409 **4.1. Analysis of building parameters:**

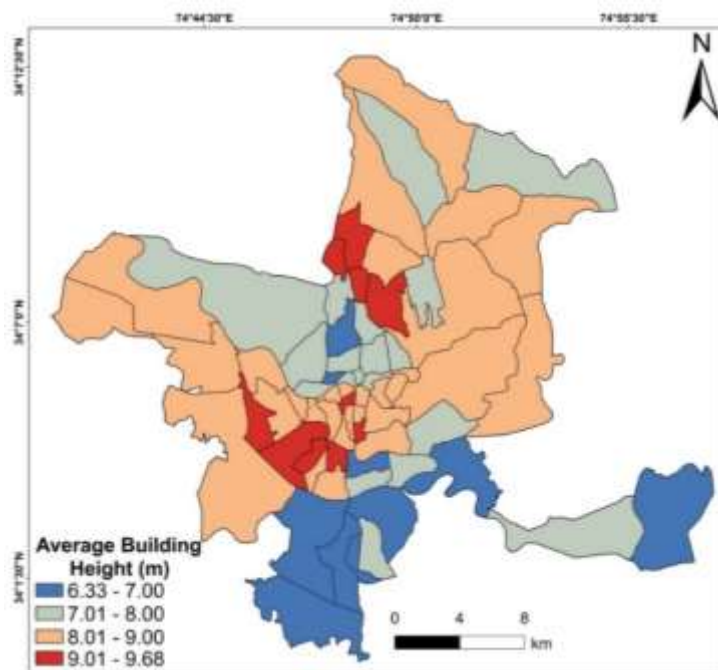
410 **4.1.1. Building height:** In the city, around 2.5 lakh buildings were mapped (Fig. 3),  
411 with nearly 86.4% of the buildings being residential, 7.1% being commercial, and the  
412 remaining ~6.5% having various uses and purposes such as educational, religious, defence,  
413 health and medical, industrial, etc. The analysis revealed that single story buildings account  
414 for ~8% of all buildings, double-story buildings account for ~50% and triple-story buildings  
415 account for ~42%. However, only a small number (n=307, 0.12%) of buildings have more  
416 than 3 floors. 18 of the 69 wards have an average of two floors, while 51 have an average of  
417 three floors.

418 The building height has a significant impact on the ward's vulnerability to  
419 earthquakes. A majority of the residential buildings in Srinagar have an average floor height  
420 of three meters, whereas government offices and commercial buildings typically have an  
421 average floor height of 3.5 meters. The lowest ward-wise average building height of 6.33  
422 meters was found in municipal ward A (BB Cant), which is primarily a cantonment area used  
423 and administered for security and defence purposes. Ward number 50 (Lal Bazar) in Srinagar  
424 has the highest ward-wise average building height of 9.68 meters. Figure 4 depicts the spatial  
425 distribution of ward-wise average building heights with the average values provided in Table  
426 6.





427  
428 Fig. 3. Building foot print map of Srinagar city.



429  
430 Fig. 4. Ward-wise distribution of average building height in Srinagar city.

Ward No.	Ward Names	Irregular Buildings (%)	Pounding Possibility (%)	Masonry Buildings (%)	Building Density (per Ha)	Average Height (m)	Average Plinth Area (m <sup>2</sup> )	Average Road Width (ft)	Road Density (km/k m <sup>2</sup> )
A	BB Cant	4.01	40.58	98.64	3.86	6.33	149.41	9.61	6.23
1	Harwan	4.81	76.71	96.57	2.82	8.86	140.19	8.78	7.55
2	Nishat	3.07	56.33	98.17	2.34	8.64	124.19	8.16	6.08
3	Dalgate	2.01	36.85	85.98	3.76	7.70	128.59	9.85	7.95

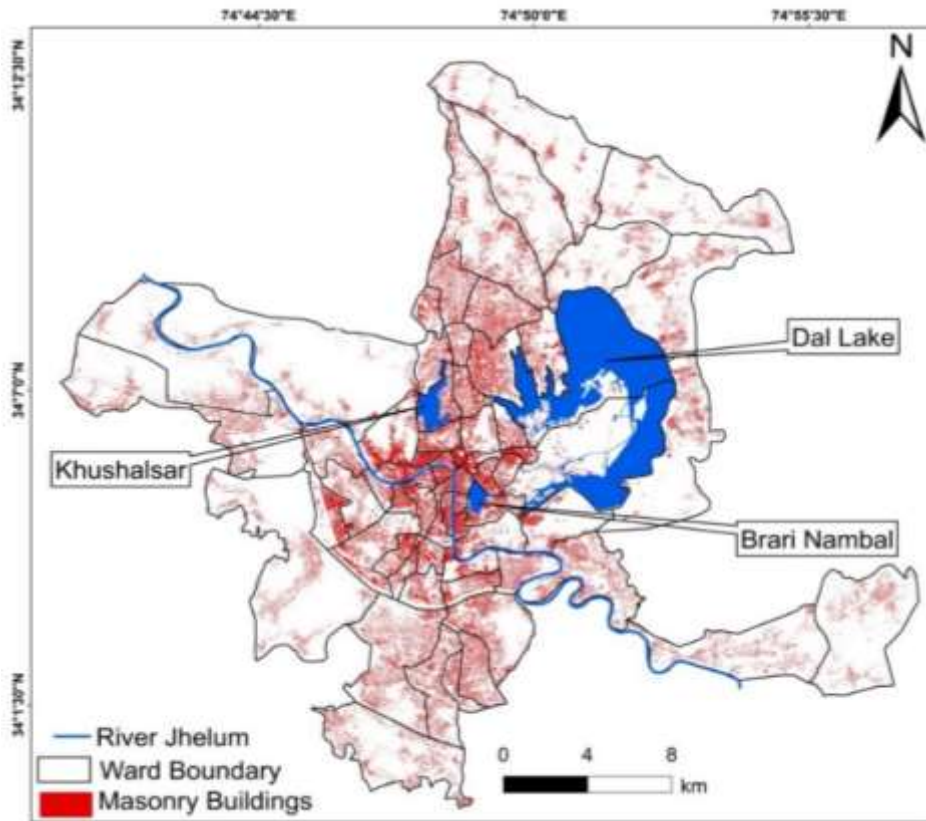
4	Lalchowk	6.50	81.07	90.06	4.06	8.24	141.67	12.14	11.61
5	Rajbagh	3.17	46.41	97.46	7.59	7.37	130.12	8.78	15.47
6	Jawahar Nagar	6.08	73.48	98.41	6.92	7.39	182.51	11.20	19.77
7	Wazir Bagh	8.76	85.58	92.29	6.05	6.64	163.73	12.02	13.93
8	Mehjoor Nagar	0.95	60.43	99.75	7.30	6.88	115.25	8.79	12.38
9	Natipora	2.21	59.55	99.48	9.12	7.00	138.15	10.12	19.53
10	Chanapora	3.25	72.44	99.61	8.71	6.79	121.89	11.33	23.71
11	Bagat-I-Barzullah	3.80	46.86	99.32	4.87	6.90	152.71	10.09	13.99
12	Rawalpura	6.03	53.65	98.66	5.37	6.92	161.29	10.88	17.20
13	Sheikh Dawood Colony	1.32	55.38	97.23	9.73	8.39	129.31	7.55	14.97
14	Batamaloo	2.95	84.64	96.97	11.05	9.41	158.01	7.94	19.85
15	Aloochoi Bagh	1.88	69.81	99.36	6.72	8.15	130.03	8.78	14.53
16	Magarmal Bagh	3.48	74.59	97.15	11.11	9.33	120.35	9.07	18.32
17	Nund Reshi Colony	3.24	79.26	97.47	4.99	9.05	184.49	10.08	12.21
18	Qamarwari	0.90	49.97	96.33	11.43	8.44	98.93	8.96	19.24
19	Parimpura	2.78	52.64	96.45	6.66	8.06	114.43	8.82	14.53
20	Zainakote	3.00	34.94	95.34	3.16	8.11	152.05	9.14	9.46
21	Bemina East	3.00	67.03	94.70	6.19	8.97	147.59	12.64	17.17
22	Bemina West	2.42	89.56	96.87	7.45	9.64	143.21	13.60	19.60
23	Shaheed Gunj	3.36	85.64	97.33	11.00	8.76	95.20	12.06	24.37
24	Karan Nagar	3.81	72.94	96.78	11.83	8.31	125.08	13.57	26.42
25	Chattabal	0.83	69.54	98.18	18.38	8.30	100.54	8.08	26.83
26	Syed Ali Akbar	0.61	87.41	87.41	24.53	8.50	87.57	6.12	35.38
27	Nawab Bazar	1.12	77.45	93.01	19.90	8.19	97.25	8.60	33.67
28	Islamyarbal	0.58	82.50	96.51	25.96	9.40	73.68	7.72	34.46
29	Aali Kadal	0.63	84.02	99.81	29.97	8.64	81.89	7.70	39.33
30	Ganpatyar	1.04	96.27	98.66	18.58	9.45	130.66	6.42	37.36
31	Bana Mohalla	0.54	72.28	99.76	21.75	8.79	103.71	6.14	40.22
32	Sathoo Barbarshah	1.46	77.23	95.05	9.21	8.05	121.44	8.69	16.15
33	Khankai Moulla	1.05	76.08	98.61	23.95	8.18	87.06	7.10	39.79
34	S R Gunj	1.56	91.10	99.69	22.65	7.86	86.02	7.20	42.29
35	Aqilmir Khanyar	1.52	93.14	99.68	22.14	8.05	94.67	8.40	28.82
36	Khawaja Bazar	1.60	97.11	99.77	24.90	7.82	73.60	9.55	27.80
37	Safa Kadal	2.90	80.12	99.36	16.43	7.82	113.22	6.86	27.27
38	Iddgah	2.05	88.71	99.53	8.38	7.74	110.69	9.19	13.15
39	Tarbal	0.56	98.27	99.65	38.89	6.96	71.17	7.91	38.42
40	Jogi Lankar	2.33	91.62	99.36	16.93	8.46	97.27	7.37	25.51

41	Zindshah Sahib	4.37	97.94	99.07	23.73	8.40	95.92	6.17	29.72
42	Hasanabad	2.98	89.68	99.78	9.79	7.85	112.3 3	7.55	20.44
43	Jamia Masjid	1.58	99.66	97.27	46.35	7.77	61.48	7.51	40.53
44	Makhdoom Sahib	2.06	86.04	99.04	8.60	7.78	104.7 4	8.73	19.49
45	Kawdara	1.16	85.52	99.23	16.67	7.02	105.5 4	7.74	26.03
46	Zadibal	0.87	42.34	99.69	7.20	6.96	108.1 3	10.1 1	12.63
47	Madin Sahib	2.57	70.85	99.59	13.82	7.46	103.5 8	11.7 5	22.59
48	Nowshera	3.86	65.95	99.56	8.59	9.56	145.2 4	8.29	20.11
49	Zoonimar	2.28	41.22	99.66	7.56	7.52	126.1 4	8.40	17.12
50	Lal Bazar	5.45	93.81	99.30	9.43	9.68	147.3 5	10.0 7	16.22
51	Umer Colony	6.65	82.78	99.77	7.86	8.54	175.9 1	9.32	15.49
52	Soura	3.24	79.14	98.01	9.39	9.62	105.2 8	9.89	17.59
53	Buchpora	2.43	47.26	99.62	8.34	9.55	147.9 4	9.70	23.36
54	Ahmad Nagar	5.24	79.42	99.58	4.04	8.77	167.2 4	8.69	9.73
55	Zakora	3.29	63.88	99.67	2.02	7.38	154.0 4	8.98	5.92
56	Hazratbal	6.15	83.01	96.86	4.50	8.01	158.5 1	11.4 1	11.89
57	Tailbal	1.19	53.49	99.25	2.86	8.54	106.3 0	8.29	7.44
58	Bud Dal	0.73	58.98	98.74	0.49	8.86	82.14	6.14	1.35
59	Locut Dal	1.02	86.53	87.24	1.80	8.76	72.43	6.75	1.79
60	New Theed	0.86	46.17	99.08	2.03	7.84	108.9 9	7.89	5.63
61	Alasteng	2.42	71.43	99.25	1.74	8.02	126.3 4	8.17	3.93
62	Palapora	0.14	28.23	99.46	1.33	7.43	83.49	8.16	2.97
63	Maloora	0.75	24.50	98.09	1.56	8.40	146.5 9	9.52	5.56
64	Lawaypora	1.49	39.23	99.03	1.64	8.51	143.0 6	9.79	4.91
65	Khumani Chowk	1.00	90.18	99.57	1.79	8.26	112.3 4	7.74	4.85
66	Humhama	2.60	22.50	99.36	3.27	6.83	131.5 1	10.4 5	9.42
67	Pantha	2.63	18.02	99.21	2.77	7.01	105.1	9.51	5.91

	Chowk						7		
68	Khonmoh	1.70	16.59	99.37	2.14	6.99	89.06	9.54	5.11

431 Table 6: Ward-wise built-up parameters used for vulnerability assessment of the Srinagar  
 432 city.

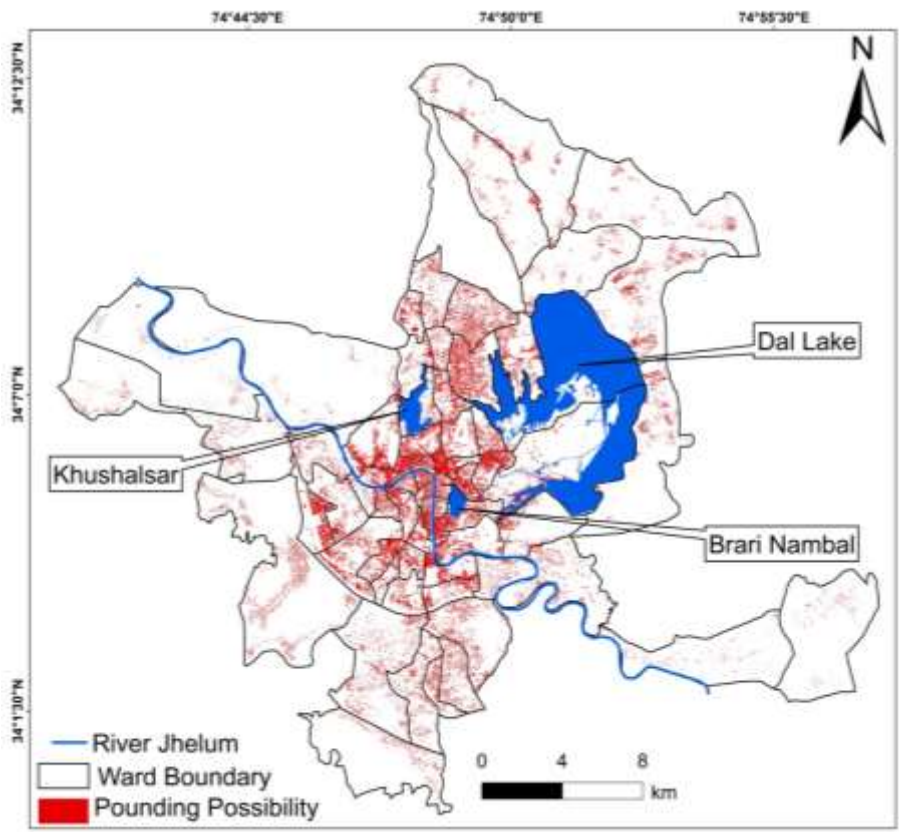
433 **4.1.2 Masonry Building:** The type of construction material used in building  
 434 construction determines the earthquake vulnerability of the built environment (Lang et al.,  
 435 2018). The masonry buildings (those constructed of bricks, cement blocks or stone) have an  
 436 extremely poor seismic performance (Alam and Haque, 2018). The strength of the buildings  
 437 is mostly determined by the materials used for the walls and the type of mortar used (Lang et  
 438 al., 2018). Table 6 and Fig. 5 show the ward-wise distribution of masonry buildings in  
 439 Srinagar. The proportion of masonry structures in the city varies between 82% and 99.8% in  
 440 different wards. Masonry buildings account for about 98% of the city’s total buildings ,  
 441 making it highly vulnerable to earthquakes. Ward number 29 (Aali Kadal) has the largest  
 442 number of masonry buildings (99.8%), whereas wards 3, 26, and 59 (Dalgate, Syed Ali  
 443 Akbar, and Jawahar Nagar, respectively) have about 15% non-masonry buildings.



444  
 445 Fig. 5. Ward-wise distribution of Masonry buildings in the city.

446 **4.1.3 Pounding possibility:** From the analysis of the estimated separation distance  
 447 and height of adjacent buildings, it was found that ~ 65% of buildings in the city have a high

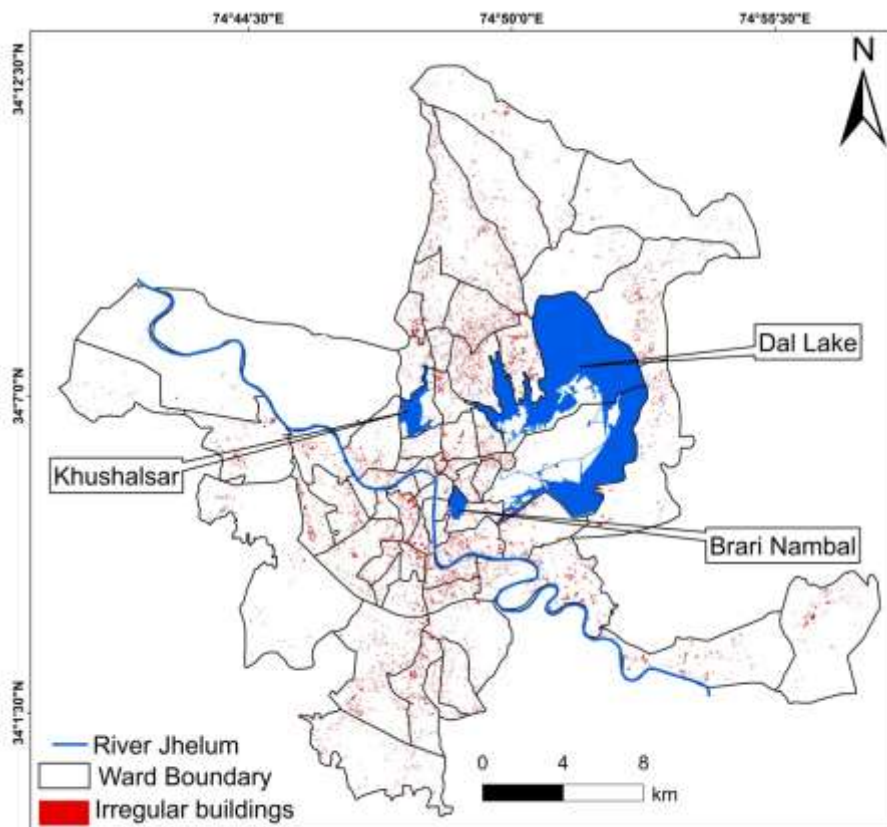
448 chance of pounding with neighbouring buildings, at least on one side, because the ideal offset  
 449 between the buildings has not been maintained due to the haphazard building construction  
 450 practices, particularly in the downtown wards of the city (Fig. 6). Table 6 provides  
 451 information about the ward-by-ward pounding probability of the city. It is therefore evident  
 452 from the analysis that the downtown wards of the city have the highest risk of pounding  
 453 because the buildings are densely packed in most of the wards. Comparably, the uptown  
 454 wards show a lower pounding possibility due to the sufficient gaps between the buildings.



455  
 456 Fig. 6. Ward-wise distribution of building pounding possibility in the city.

457 **4.1.4 Building Geometry:** Modern buildings in the city are constructed with  
 458 irregular shapes and frequent offsets for aesthetic building layout and structural design. The  
 459 building irregularities, either planar or vertical, make the structures vulnerable to seismic  
 460 loading (Mazza, 2014; Ahirwal et al., 2019). As a result, while assessing the earthquake  
 461 vulnerability of the built environment, building irregularity is an important factor to consider.  
 462 It was found from the analysis of the data provided in Table 6 and Figure 7 that ~3% of the  
 463 buildings in the city have irregular shapes. A fewer number of irregular buildings are found in  
 464 municipal ward number 62 i.e., Palapora (n=8, 0.13%), whereas the largest number of  
 465 irregular buildings are present in ward number 7 i.e., Wazir Bagh (n=158, 8.76%), increasing

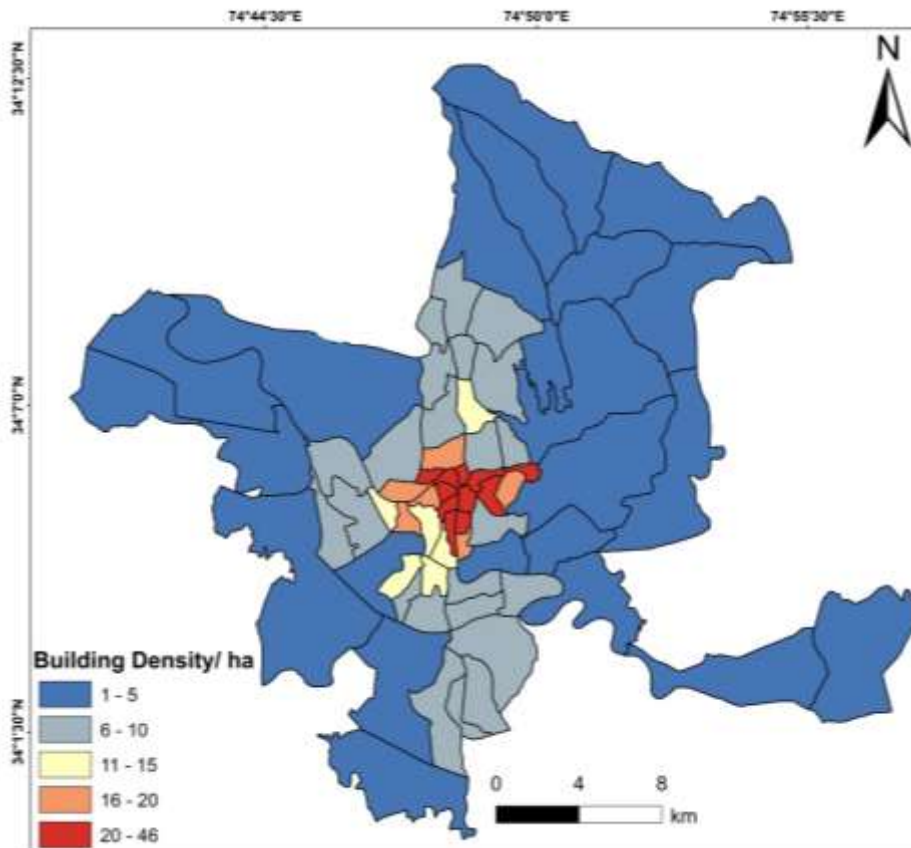
466 the ward’s vulnerability in the city. The typical residential buildings usually have a  
 467 conventional, regular, and rectangular shape with four sides and an average plinth area of 120  
 468 m<sup>2</sup> (Table 6). Some of the schools, colleges, government offices, hospitals, and commercial  
 469 complexes have irregular architectural shapes, such as the shape of the letters “O,” “L,” “U,”  
 470 “T,” and “H” making them more vulnerable to earthquakes. Furthermore, most schools,  
 471 colleges, and hospitals are usually made up of multiple smaller buildings with regular shapes  
 472 that are close to each other, increasing the risk of pounding and making these building  
 473 complexes more vulnerable to earthquake damage.



474  
 475 Fig. 7. Ward-wise distribution of irregular shaped buildings in the city.

476 **4.1.5 Building Density:** The average building density of Srinagar is ten buildings  
 477 per hectare (including residential and commercial buildings). However, the building density  
 478 in 17 wards of the downtown city is more than 15 buildings per hectare (Table 6; Fig. 8). The  
 479 highest building density of 46 buildings per hectare was observed in municipal ward number  
 480 43 (Jamia Masjid), followed by wards 39 (Tarabal) and 29 (Aali Kadal), which have a  
 481 building density of 39 and 30, respectively. Ward number 58 (Bud Dal) has the lowest  
 482 building density, with only one building per hectare. Srinagar is one of the largest urban  
 483 centres in the Himalayan region and is experiencing considerably high rates of population

484 growth and built-up area expansion, leading to the extension of urban areas and the merging  
485 of the city fringe areas into the main city (Bhat et al., 2012). The outer peripheral wards have  
486 mostly low building density, as these are the developing areas proposed under the Srinagar  
487 Master Plan 2035. Knowledge about the building packing within the urban city centre is  
488 crucial information for the earthquake vulnerability assessment. The current practise of  
489 constructing buildings with insufficient space between them increases the congestion and  
490 building density of cities (Bahadori et al., 2017). The areas with high building densities  
491 (Table 6) are more vulnerable to earthquake damage than areas with low building densities  
492 (Shadmaan and Islam, 2021). The high building density also leads to a small separation  
493 distance between buildings and a reduction in the open space area. This reduces the amount  
494 of useful space available for evacuation and shelter during post-earthquake rescue operations.  
495 In order to decrease the loss and damage to human life and infrastructure caused by  
496 earthquakes, it is important to regulate building density and ensure the reinforcement of old  
497 structures (Jena et al., 2020). Good planning, a lower building density, and evenly spaced  
498 buildings can reduce the seismic vulnerability of a city (Aghataher et al., 2018).

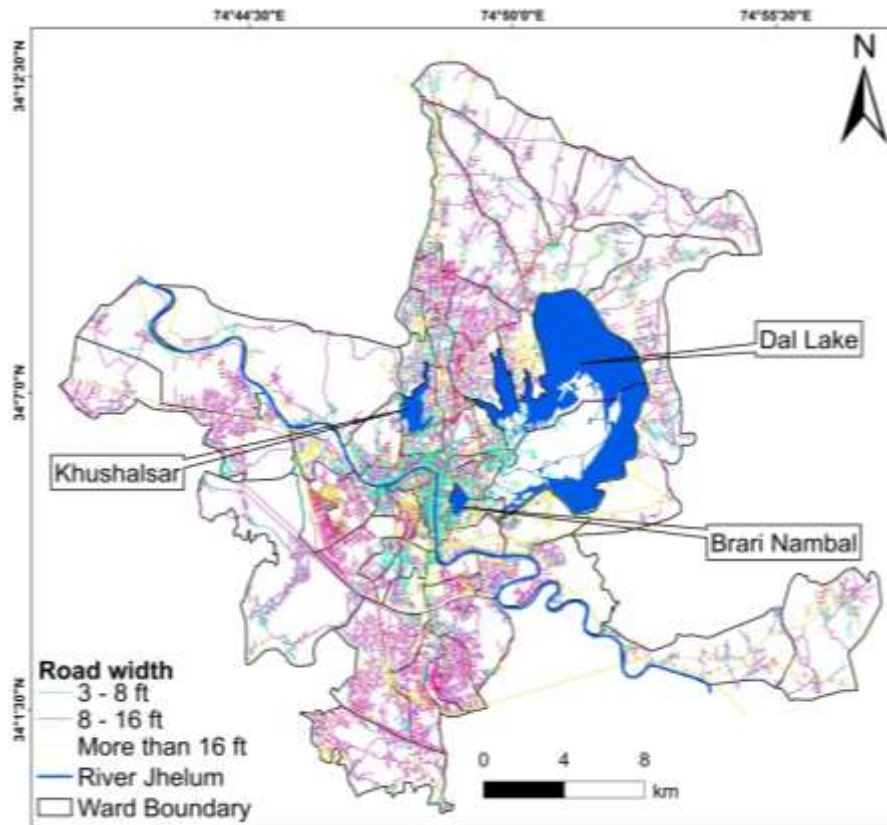


499

500 Fig. 8. Ward-wise distribution of building density in the city.

501           **4.1.6 Road Network:** Despite the high population and building density in the city,  
502 the road network connectivity in the city is good, with a total road length of 2246 kilometers.  
503 In the eventuality of an earthquake, the effectiveness of the urban road network decreases  
504 significantly due to road damage caused by collapsed buildings and blockages (Bono and  
505 Gutiérrez, 2011; Zanini et al., 2017). On the basis of their width, the roads were classified  
506 into three categories: <8 ft, 8 to 16 ft, and > 16 ft (Fig. 9). The roads or streets with a width of  
507 less than 8 feet are considered possible blockade sites. From the analysis of the data provided  
508 in Table 6, it is evident that wards 26 (Syed Ali Akbar), 31 (Bana Mohalla), 58 (Bud Dal), 41  
509 (Zind Shah Sahib), 30 (Ganpatyar), and 37 (Safa Kadal) have the smallest average road width  
510 of less than 7 ft., despite having high road densities except for ward 58 (Bud Dal), which has  
511 a road density of 1.35 km km<sup>-2</sup> due to the fact that most of the ward is covered by water (Dal  
512 Lake). Ward 26 has a road density of 35.38 km km<sup>-2</sup>, ward 31 has a road density of 40.22 km  
513 km<sup>-2</sup>, ward 41 has a road density of 29.72 km km<sup>-2</sup>, ward 30 has a road density of 37.36 km  
514 km<sup>-2</sup> and ward 37 has a road density of 27.27 km km<sup>-2</sup>. Wards 24 (KaranNagar) and 22  
515 (Bemina West) has the largest average road width of 13.58 ft and a road density of 26.42 and  
516 19.60 km km<sup>-2</sup>, respectively (Table 6). It is worth noting that the road network in the city is  
517 relatively denser in the downtown city and as a result, the roads being narrower makes these  
518 places in the city more vulnerable to earthquake damage and possibly impeding the post-  
519 earthquake evacuation and rehabilitation operations. The road network in the uptown wards  
520 towards the periphery of the city, on the other hand, is less dense. The roads being relatively  
521 wider in the outer wards make them more suitable for evacuation and would facilitate easy  
522 movement of traffic and relief during an earthquake compared to the inner city wards.





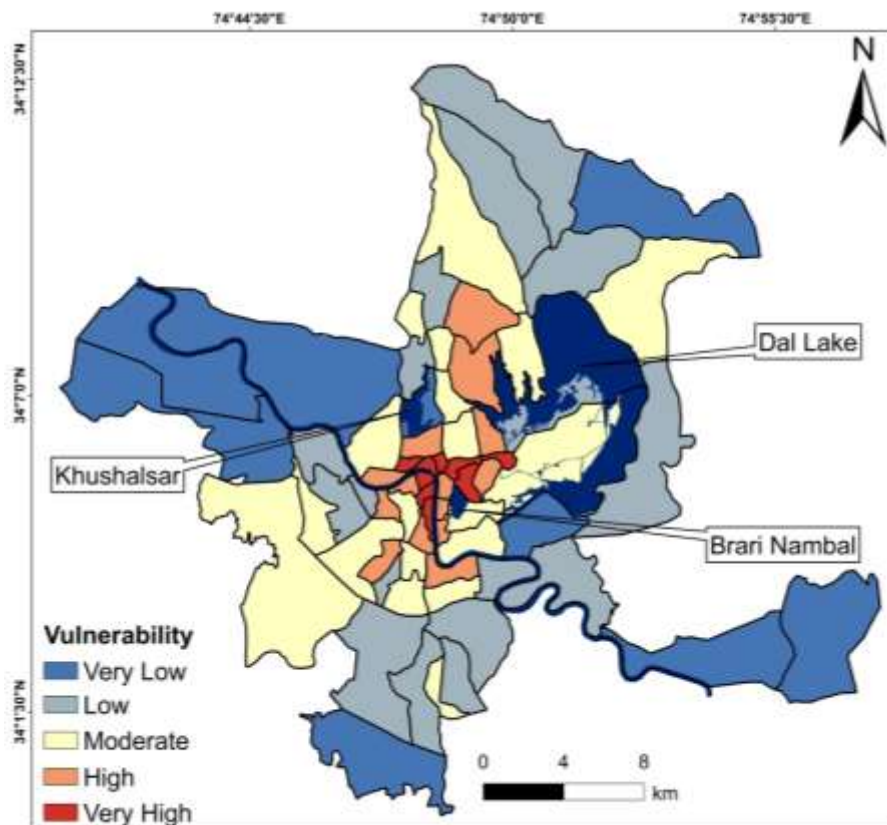
523

524 Fig. 9. Ward-wise road network in the city.

525 **4.2 Earthquake vulnerability Analysis:**

526 Earthquake events are common in the Kashmir valley and they are characterised by high  
 527 exposure to social and economic consequences that can be severe (Oliveira, 2003).  
 528 Earthquake vulnerability assessment aids pre-earthquake planning and post-earthquake  
 529 emergency operations by providing vital information that informs earthquake risk reduction  
 530 measures (Saputra et al., 2017). The GIS-based analysis of the earthquake vulnerability of the  
 531 built environment in Srinagar, using the coupled model of AHP and TOPSIS was carried out  
 532 to highlight the ward-wise vulnerability in the event of an earthquake. Because all of the  
 533 structural vulnerability parameters have different importance and impacts the structural  
 534 vulnerability of the city cannot be achieved by relying on a single parameter (Panahi et al.,  
 535 2014). Therefore, all six important parameters were considered in this study to produce a  
 536 good earthquake vulnerability assessment of the city. This study classified 69 municipal  
 537 wards of the city into five earthquake vulnerability classes: very high, high, moderate, low,  
 538 and very low earthquake vulnerability. The results showed that 9 municipal wards in the city  
 539 are very highly vulnerable, 14 wards are highly vulnerable, 19 wards are moderately  
 540 vulnerable, 17 wards are low vulnerable, and 10 wards fall in the very low vulnerable  
 541 category (Fig. 10). The vulnerability map reveals that wards categorised under the same

542 vulnerability class are contagious to one another, indicating a clear pattern of earthquake  
 543 vulnerability in Srinagar. The city centre, which also happens to be the site of ancient urban  
 544 settlements including several heritage buildings and shrines, has a very high level of  
 545 structural vulnerability, and as we move towards the outer peripheral wards the vulnerability  
 546 changes from moderate to low. The probability of masonry buildings collapsing in the event  
 547 of an earthquake is higher (Bhosale et al., 2018), and the city has a large percentage of such  
 548 buildings, making it more vulnerable to earthquake disasters. Buildings with regular  
 549 geometry, uniform mass distribution and rigidity in plan and elevation are more resistant to  
 550 earthquakes than buildings with irregular geometry and hence variable mass distribution  
 551 (Stein, 1982). As the findings of this study show, a good number of buildings in a few wards  
 552 of the city have irregular geometry, making them more vulnerable to earthquakes. The high  
 553 building density, maximal pounding potential and narrower road network near the city centre  
 554 part make these wards particularly vulnerable when compared to the other wards located in  
 555 the periphery of the city.



556  
 557 Fig. 10. Structural vulnerability of Srinagar city.

558 Since because the majority of the built-up in the city is non-engineered, highly dense,  
 559 irregular and masonry based, the results indicate that infrastructure development of any type

560 in the very high and high vulnerable zones of the city must adhere to the prescribed building  
561 codes and bylaws to achieve the resilience to earthquakes. However, the continued  
562 construction of both government and residential buildings in the wetlands and marshy areas  
563 of Srinagar city, particularly towards the south of the city, is worrisome because it makes the  
564 city more vulnerable to earthquake damage. It is pertinent to mention here that wetlands and  
565 marshlands were masked in this study and hence not used in the analysis.

566 The socio-economic conditions of an area play an important role in determining the  
567 vulnerability of an area to earthquake hazards. Srinagar has witnessed a population explosion,  
568 with the population increasing from 0.25 million in 1961 to 1.5 million in 2011. The city also  
569 has a high proportion of female and child residents (59%) and a population density of 4000  
570 people per square kilometer. Migration from rural areas and population growth are the  
571 primary drivers of this enhanced population expansion (Nengroo et al., 2018). The city has  
572 been under pressure to expand its built-up area in order to cater to the population boom,  
573 which has also led to excessive resource depletion, widening wealth and poverty gaps, and  
574 detrimental environmental and socioeconomic concerns (Mitsova et al., 2010; Kamat and  
575 Mahasur, 1997). With the mounting demand for new housing, the quality and condition of  
576 houses have received negligible attention. These concerns about accelerated population  
577 progression, along with high urbanization, have increased the socio-economic vulnerability of  
578 the built environment in Srinagar to earthquakes. Furthermore, in the event of a major  
579 earthquake, the lack of critical amenities such as trauma hospitals, shelters, etc., as well as  
580 poor road conditions in several wards of Srinagar city, could result in significant loss of life  
581 and property.

582 Earthquake vulnerability assessment of the built-up environment in Srinagar, if  
583 followed by retrofitting, restoration, and rehabilitation initiatives in the most vulnerable  
584 wards of the city, will help to reduce damage during earthquakes. This study can assist city  
585 planners in choosing safe, low-density areas and even guide to propose new infrastructural  
586 development envisaged under the master plan, as well as identify densely populated areas that  
587 are particularly vulnerable to earthquakes and where no further infrastructural development  
588 should be permitted other than the development of open and green spaces. In very high and  
589 high vulnerable zones, provision for emergency services such as firefighters, shelters,  
590 specialized medical facilities and so on must be made to minimize the loss of life and  
591 property in the event of an earthquake. Pre- and post-earthquake disaster mitigation and  
592 capacity-building initiatives are critical for transforming Srinagar into a safe, sustainable, and  
593 earthquake-resistant city. The challenges surrounding the earthquake threat to Srinagar and

594 the city's preparedness thereof necessitate the adoption of new scientific and innovative  
595 urban development planning and inexpensive measures aimed at inculcating a culture of  
596 earthquake consciousness among its citizenry. The establishment of a culture of earthquake-  
597 resistant and safe construction will undoubtedly make the city safer and reduce the adverse  
598 consequences of earthquakes.

## 599 **5. Conclusions**

600 Understanding the structural vulnerability of a city situated in an earthquake-prone zone at a  
601 ward scale is critical for deciding on appropriate urban planning and development strategies  
602 to build and promote a safe, inclusive, sustainable, and earthquake-resilient living  
603 environment as contemplated under SDG 11. The current study, which is the first of its kind  
604 for Srinagar, reveals the micro-level structural vulnerability of the built-up environment in  
605 the city. The vulnerability zonation map generated for the city reveals that around 32% of the  
606 city has very low vulnerability, which covers 10 municipality wards. The low earthquake  
607 vulnerability zone encompasses around 33% of the city, which includes 17 wards; the  
608 moderate vulnerability zone covers around 28% of the city and 19 wards; the high  
609 vulnerability zone covers 5.7 % of the city and 14 wards; and the very high vulnerability zone  
610 covers 1.28 % of the city and 9 municipality wards. Overall, about 7% of the city, covering  
611 1/3<sup>rd</sup> of the city municipal wards (n=23) are falling into either high or very high vulnerability  
612 zones. The downtown wards in the city's central area are the most vulnerable to earthquakes  
613 due to the high pounding potential, high building density, and narrower streets with little or  
614 no open and green areas. Reducing infrastructure development in these neighbourhoods by  
615 relocating residents and services to less congested areas is an intervention that must be  
616 undertaken. Since green and open spaces are used as evacuation places, it is strongly advised  
617 that new construction in these areas, as well as the development of these spaces, be avoided.  
618 The study underlines the importance of developing emergency action plans that outline how  
619 to prevent casualties by allowing for the rapid, selective and effective utilisation of resources  
620 as well as retrofitting schemes and capacity-building programs to safeguard human life and  
621 the economy in the city. The current study is in accordance with the 2030 Agenda for  
622 Sustainable Development Goals, which recognises and reiterates the urgent need to lower the  
623 risk of disasters. The study will help to reduce the exposure and vulnerability of people to  
624 disasters and build resilient infrastructure. The findings of this study will support sensible  
625 urban planning, which calls for the construction of resilient infrastructure to reduce  
626 vulnerability to natural disasters, as well as sustainable development in line with SDG 11 and

627 SDG 9, which demand manageable densities, user-friendly public spaces, and mixed-use  
628 urban development. These findings are consistent with the posteriori knowledge of the study  
629 area's vulnerability and they will help the urban planners and policymakers in developing any  
630 future land use planning and strategies. The socio-economic vulnerability of the city was not  
631 analysed in this study, but it would be included in future research to produce a more accurate  
632 and holistic assessment of the earthquake vulnerability to better inform policymaking for  
633 developing earthquake risk reduction strategies in the city.

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635 **Shakil Ahmad Romshoo:** Conceptualization, Methodology, Supervision. Manuscript  
636 preparation with inputs from **MF, Midhat Fayaz:** Data generation, Methodology, Formal  
637 analysis, Field surveys, Investigation, Manuscript editing **Irfan Rashid:** Review and Editing,  
638 **Rakesh Chandra:** Investigation, Review and Editing.

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