1 Warning Water Level Determination and its Spatial Distribution in

2 Coastal Areas of China

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8 Abstract: The warning water level is the default water level at which storm surges may occur along a 9 coast and indicates a stage of alert. This level forms the basis for storm-surge forecasting, and prewarning 10 is an important reference for governments and aids in the decision-making process for storm-surge 11 prevention and disaster risk mitigation. The warning water level has four categories (blue, yellow, orange, 12 and red) based on water level observational data. Taking into account the actual defense capability of the 13 shore, we determined the warning water level by comprehensively analyzing factors, including the high 14 water level at the typical return period of each shore section, wave exposure degree and defense 15 capability of storm surge protection facilities, and the shore section's importance level. Here, we 16 proposed a quantitative method for determining the warning water level, and the application of this 17 method was introduced by taking the determination of the warning water level at the shore section of 18 Zhifu District (Yantai City, Shandong Province, China) as an example. We analyzed the spatial 19 distribution characteristics of the warning water levels for 259 shore sections along the coast and 20 revealed their current marine disaster prevention capabilities. Our findings provide a valid direction for 21 determining future warning water levels and a reliable scientific reference for redetermining warning 22 water levels in coastal areas while improving marine disaster prevention and protection capabilities. 23 Keywords: Warning water level; Return period; Spatial distribution; Defense capability;

24 1. Introduction

25 China is severely affected by storm surges, which have caused huge economic losses and casualties in 26 coastal areas and represent an important factor restricting coastal economic and social development. A 27 statistical report showed that storm surges caused 78.407 billion yuan of direct economic losses and 33 28 deaths from 2012 to 2021 (including missing person cases) along the coast of China (Ministry of Natural 29 Resources of China, 2021)A statistical report showed that storm surges caused 80.821 billion yuan of 30 direct economic losses and 31 deaths from 2011 to 2020 (including missing person cases) along the coast 31 of China (Ministry of Natural Resources of the PRC, 2020). However, the number of deaths due to storm 32 surges has decreased sharply due to improvements in storm surge warning systems (Shi et al., 2015). The 33 warning water level is the default water level at which storm surges may occur along the coast of 34 protected areas, indicating a stage of alert and the need to implement disaster relief strategies (State 35 Oceanic Administration of ChinaPRC, 2012). Notably, the warning water level is the basis of 36 storm-surge forecasting; it also provides a distinct signal to raise an alert for storm-surge disaster 37 prevention and mitigation.

38 The warning level of a storm surge is determined based on the highest water level of each tide gauge 39 station affected by the storm surge exceeding the local warning water level. A number of simulation 40 models played an important role in the prewarning of storm surges, including Sea, Lake, and Overland 41 Surges from Hurricanes (SLOSH) in the USA, DELFT3D model in Dutch, and MIKE21 model in 42 Denmark (Konishi, 1995; Lenstra et al., 2019; Lin et al., 2010; Mercado, 1994). Several numerical 43 models have been widely applied across various countries and regions to simulate and forecast storm 44 surges and coastal flood inundation. The National Oceanic and Atmospheric Administration used the 45 SLOSH model to jointly conduct storm surge risk assessment with government agencies and make 46 large-scale National Storm Surge Hazard Maps for the Disaster Management department, insurance 47 companies, and residents(National Oceanic and Atmospheric Administration of USA, 2018). The Royal 48 Netherlands Meteorological Institute categorized the country's coastlines into several parts (according to 49 tidal changes), determined the warning water level, utilized the Dutch continental shelf model to forecast 50 storm surges, and issued alerts according to the warning water level (Herman et al., 2013; Yu et al., 2020). 51 High-precision storm surge numerical models were conducted to investigate the inundation range and 52 water depth distribution of storm surges in Pingyang County (Zhejiang Province, China), as well as in 53 Jinshan District (Shanghai, China) and Huizhou District (Guangdong, China) (Shi et al., 2020a; Shi et al., 54 2020b; Wang et al., 2021). A 2-D flood inundation model (FloodMap-Inertial) was employed to predict 55 coastal flood inundation of Lingang New City(Shanghai, China), considering 100- and 1000-year coastal 56 flood return periods(Yin et al., 2019). Much of the current work on extreme-coastal-flooding events is 57 based on the classical extreme-value theory (EVT), which identifies the family of distribution functions 58 known as generalized-extreme-value (GEV) distribution as a general model for the distribution of 59 maxima (or minima) extracted from fixed time periods of equal length(Stuart, 2011; Maria et al., 2022; 60 Haixia et al., 2022). The warning level of a storm surge is determined based on the highest water level of 61 each tide gauge station affected by the storm surge exceeding the local warning water level. A number of 62 simulation models played an important role in the prewarning of storm surges, including Sea, Lake, and 63 Overland Surges from Hurricanes (SLOSH) in the USA, DELFT3D model in Dutch, and MIKE21 model

64 in Denmark (Konishi, 1995; Lenstra et al., 2019; Lin et al., 2010; Mercado, 1994). Several numerical 65 models have been widely applied across various countries and regions to simulate and forecast storm 66 surges and coastal flood inundation. The National Oceanic and Atmospheric Administration used the 67 SLOSH model to jointly conduct storm surge risk assessment with government agencies and make 68 large scale National Storm Surge Hazard Maps for the U.S. Disaster Management department, insurance 69 companies, and residents(National Oceanic and Atmospheric Administration of USA, 2018). The Royal 70 Netherlands Meteorological Institute categorized the country's coast into several parts (according to tidal 71 changes), determined the warning water level, utilized the Dutch continental shelf model to forecast 72 storm surges, and issued alerts according to the warning water level (Herman et al., 2013; Yu et al., 2020). 73 High precision storm surge numerical models were conducted to investigate the inundation range and water depth distribution of storm surges in Pingyang County (Zhejiang Province, China), as well as in 74 75 Jinshan District (Shanghai, China) (Shi et al., 2020a; Shi et al., 2020b). A 2 D flood inundation model 76 (FloodMap Inertial) was employed to predict coastal flood inundation of Lingang New City(Shanghai,

77 China), considering 100- and 1000-year coastal flood return periods(Yin et al., 2019).

78 In the mid-1990s, the State Oceanic Administration of China determined the warning water level for key 79 ports and shore sections based on observational data from ocean stations (Huang and Chen, 1995), and 80 the created determination criterion was one-dimensional; specifically, it was one value per station. With 81 the rapid development of China's marine economy, the coastline characteristics, development status, 82 population density, and protection facilities in coastal areas have greatly changed. Notably, the warning 83 water level determined at the end of the last century is no longer applicable to current conditions or 84 appropriate for storm surge prevention and mitigation. Therefore, the State Oceanic Administration of 85 China organized a new round of warning water level assessments in coastal areas in the mid-2010s, and 86 the criteria of water warning levels was divided into four categories (blue, yellow, orange, and red), 87 spanning 259 shore sections in 11 coastal provinces. This assessment was then issued by the 88 governments of each coastal province (National Marine Hazard Mitigation Service of China, 2018). In 89 order to adapt to the new structure of coastal disaster prevention and mitigation, the newly issued 90 warning water levels were quickly applied towards the early warning and forecasting of storm surges (Fu 91 et al., 2017). The four warning water levels corresponded to the four levels of marine disaster emergency 92 response levels (State Oceanic Administration of ChinaPRC, 2015), which significantly strengthened 93 and supported disaster emergency management at all levels of China's coastal governments.

94 Here, we describe the technical methods used for warning water level determination and introduce the 95 process and results of this determination in Zhifu District in Yantai City, Shandong Province, China. 96 Through the analysis of spatial distribution characteristics of the warning water levels in 259 shore 97 sections in China, we revealed the current marine disaster prevention capabilities of coastal areas, based 98 on which we propose improvements for future warning water level assessments. Notably, this assessment 99 can provide a scientific reference for promoting the redetermination of warning water levels in China's 100 coastal areas and further improve their marine disaster prevention and protection capabilities.

101 **2.** Material and methods

102 **2.1. Data**

103 This study entailed the processing and use of various types of data: the annual maximum observational 104 water level data from the tide gauge stations, storm surge disaster data, wave run-up data, data of storm 105 surge protection facilities, and the socioeconomic data of shore sections. The coastlines of China were 106 divided into 259 shore sections corresponding to coastal county units. More than 120 tide gauge stations 107 were used in this study. For each shore section, we selected one representative tide gauge station.

In order to ensure the scientific reproducibility of the process we used to determine warning tide levels, the process for selecting the representative tide gauge stations of each shore section were as follows: (1) The number of stations is sufficient to cover the coastal areas from north to south; (2) The station is located near the corresponding shore section, making it representative of the characteristics of the shore section; in terms of the tide, waves, and storm surges exhibited by the shore section; (3) If tide gauge station was absent in a shore section, the tide gauge station closest to the shore section was used; (4) It was ensured that each station had observational water level data for at least 5 years.

Based on the above mentioned procedure, four-color warning water levels of the 259 shore sections were determined through the comprehensive analysis of multiple factors, including, the typical return period value of high-water-level at each shore section, degree of wave exposure, actual defense capability of storm surge protection facilities, and the shore section importance level.

119 2.2. Different return periods of high water level calculation method

Based on the annual maximum observational water level data of the tide gauge stations, the Gumbel
model was used as a frequency analysis method to evaluate the return period value of the high water level
(HWL) at each station. The Gumbel distribution model is shown in Eq. (1):

123 $F(x) = e^{-e^{-\frac{x-\mu}{\beta}}}$ (1)

where x refers to the annual maximum sample sequence of HWL, μ refers to the position parameter, and
 β refers to the scale parameter. The least squares method was selected to obtain μ and β.

126 The different return period value of HWL "X" is calculated by Eq. (2):

127 128

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$$X = \mu - \beta ln \left(-ln \left(1 - \frac{1}{T} \right) \right)$$
⁽²⁾

130 The return period "T" is calculated by Eq. (3):

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 $T = \frac{1}{1 - F(X)} \tag{3}$

134 2.3. Calculation method of blue, yellow, orange, and red warning water levels

135 The warning water level is categorized into four types: blue, yellow, orange, and red, which are described 136 in Table 1. The four warning water levels corresponded to the four levels of storm surge disaster 137 emergency response levels: I, II, III, and IV, which are described in Table 2. Storm surge disaster alerts 138 are divided into four levels: red, orange, yellow, and blue, corresponding to the highest to lowest warning 139 water levels, respectively. The blue warning water level was determined based on HWL at the return period of 2 to 5 year of the shore section and the blue warning water level correction value. The red 140 141 warning water level was determined based on the minimum value of HWL at the return period 142 corresponding to the actual defense capability of all dikes in the shore section and the red warning water

- 143 level correction value. The yellow and orange warning water levels were determined based on
- 144 interpolation of the blue and red warning water levels, respectively.
- 145

Tab.1 Description of the blue, yellow, orange, and red warning water levels

1 a0.1	
Warning water level	Description
Blue	Refers to the water level at which the marine disaster warning department issues a blue warning for a storm surge. When the water level reaches this default value, the coastal protected areas must enter an alert stage, and precautions must be taken against a storm surge.
Yellow	Refers to the water level at which the marine disaster warning department issues a yellow warning for a storm surge. When the water level reaches this default value, mild marine disasters may occur along the coast of the protected areas.
Orange	Refers to the water level at which the marine disaster warning department issues an orange warning for a storm surge. When the water level reaches this default value, relatively severe marine disasters may occur along the coast of the protected areas.
Red	Refers to the maximum water level at which safe operation can be ensured along the coast of protected areas and for the affiliated projects. It is the water level at which the marine disaster warning department issues a red warning for a storm surge. When the water level reaches this default value, severe marine disasters may occur along the coast of the protected areas.
Level II (major disaste	ncy response is divided into four levels: Level I (particularly major disaste), Level III (relatively major disaster), and Level IV (normal disaster). Mari
Level II (major disaste disaster alerts are divid lowest warning water k), Level III (relatively major disaster), and Level IV (normal disaster). Mar d into four levels: red, orange, yellow, and blue, corresponding to the highest
Level II (major disaste disaster alerts are divid lowest warning water k), Level III (relatively major disaster), and Level IV (normal disaster). Mar d into four levels: red, orange, yellow, and blue, corresponding to the highest vels, respectively. Description of the storm surge disaster emergency response level
Level II (major disaste disaster alerts are divide lowest warning water k Tab.21 Storm surge Marine disaster emergency), Level III (relatively major disaster), and Level IV (normal disaster). Mariad into four levels: red, orange, yellow, and blue, corresponding to the highest vels, respectively > Description of the storm surge disaster emergency response level Description Affected by tropical cyclones or extratropical weather systems, it is expected that the high tide level of one or more representative tide gauge
Level II (major disaste disaster alerts are divid lowest warning water le <u>Tab.2</u> Storm surge <u>Marine</u> disaster emergency response level), Level III (relatively major disaster), and Level IV (normal disaster). Mariad into four levels: red, orange, yellow, and blue, corresponding to the highest vels, respectively

IV (normal disaster)expected that the high tide level of one or more representative tide stations in the affected area will reach the blue warning tide level future, a blue storm surge warning should be issued, and level IV disaster emergency response level should be launched.
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Marine disaster emergency response is divided into four levels: Level I (particularly major disaster),
 Level II (major disaster), Level III (relatively major disaster), and Level IV (normal disaster). Marine
 disaster alerts are divided into four levels: red, orange, yellow, and blue, corresponding to the highest to
 lowest warning water levels, respectively.

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Table Description of storm surge disaster emergency response level

Marine disaster- emergency response- level	Description
Ŧ	Affected by tropical cyclones or extratropical weather systems, it is expected that the high tide level of one or more representative tide gauge stations in the affected area will reach the red warning tide level in the future, a red storm surge warning should be issued, and level I marine disaster emergency response level should be launched.
Ŧ	Affected by tropical cyclones or extratropical weather systems, it is expected that the high tide level of one or more representative tide gauge stations in the affected area will reach the orange warning tide level in the future, an orange storm surge warning should be issued, and level II marine disaster emergency response level should be launched.
ŦŦ	Affected by tropical cyclones or extratropical weather systems, it is expected that the high tide level of one or more representative tide gauge stations in the affected area will reach the yellow warning tide level in the future, a yellow storm surge warning should be issued, and level III marine disaster emergency response level should be launched.
Ŧ¥	Affected by tropical cyclones or extratropical weather systems, it is expected that the high tide level of one or more representative tide gauge stations in the affected area will reach the blue warning tide level in the future, a blue storm surge warning should be issued, and level IV marine disaster emergency response level should be launched.

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The blue warning water level was determined based on HWL at the return period of 2 to 5 year of the
 shore section and the blue warning water level correction value. The calculation method for the blue
 warning water level (*H_b*) is shown in Eq. (4):

163 164

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$$H_b = H_s + \Delta h_b, \tag{4}$$

166 where H_s is the HWL at the return period of 2 to 5 years; Δh_b is the blue warning water level correction 167 value. H_s was determined using the actual defense capability of the shore section. Its respective water 168 level return period was the return period corresponding to the elevation of the top of the dike having the 169 lowest defense capability in the shore section. The method to obtain the value is shown in Table <u>32</u>. Δh_b 170 was determined via comprehensive analysis of natural factors including wind, wave, and tide of previous storm surges, along with the actual defense capability and economic conditions of the shore section. Thecalculation method is shown in Eq. (5):

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- 174 175

 $\Delta h_b = h_1 + h_2 + h_3, \tag{5}$

where h_1 is the adjusted value of wave exposure of the surge protection facilities determined by the wave 176 177 run-up (R) at the return period of 2 years in front of the dike in the shore section. The method to obtain the 178 value of h_1 is shown in Table 43 and this value is negative. h_2 is the adjusted value of the surge protection 179 facility construction standard, which is determined based on the difference " Δ " between the elevation of 180 the top of the dike and H_s . This value is low where " \triangle " is low. The method used to obtain the value of h_2 181 is shown in Table 54. h_3 is the adjusted value of the shore section importance level, which is determined 182 by the socioeconomic factors of the shore section. This value is low where the shore section importance 183 level is high. The methods used to obtain the value of h_3 and classify the shore section importance level 184 are both shown in Table 65.

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- 186

Tab. 3 H_s value corresponding to return period (unit: a)

190		<u>1 ab. 5 π_s</u>	value corresponding to r	eturn period (unit: a)	
	Corresponding water le defense capabili			Corresponding return pe	eriod of <u>H</u> s
	defense capaon	<u>(0,50)</u>	<u>ne section</u>	2	
	(<u>(0,50)</u> 50,100)		$\frac{2}{3}$	
		00,200)		<u>5</u> 4	
	<u></u>	≥ 200		$\frac{\frac{2}{3}}{\frac{4}{5}}$	
187			alue corresponding to ret		
	Corresponding water le	-		Corresponding return pe	riod of H
	defense capabil		ore section		
		(0,50)		2	
	· · · · · · · · · · · · · · · · · · ·	50,100)		3	
	(]	00,200)		4	
188		<u>≥200</u>		5	
189					
190			Tab. 4 <i>h₁</i> value (uni	<u>t: cm)</u>	
	Wave exposure degree	Severe	Relatively Severe	Moderate	Mild
	Wave run-up occurs once in 2 years (R)	<u>≥150</u>	[100,150)	[50,100)	< 50
	<u>h</u> 1	<u>-15 %R</u>	<u>[-15 %R,-10 %R)</u>	<u>[-10 %R,-5 %R)</u>	<u>[-5 %R,0)</u>
				urge protection facilities	
				nt, the water depth at the	e bottom of the
			wave height at the bottor		
				ars once in 2 years. The	ere is a certain
			een the wave exposure d		
101	<u>3)The val</u>	ue of h_1 can	be taken as 0~15% of th		
191			Tab. 3 h ₁ value (uni	t: cm)	
	Wave exposure degree	Severe	Relatively Severe	Moderate	Mild
	Wave run up occurs- once in 2 years (R)	<u>≥150</u>	[100,150)	[50,100)	<50
	h_{\downarrow}	<u>−15 %R</u>	[-15 %R,-10 %R)	[-10 %R,-5 %R)	[-5 %R,0)
192					

193	Tab. 5 h ₂ value (unit: cm)				
	Breakwater	$\frac{\Delta^* \leq 1.24 \text{ m; Sand}}{\text{embankment or}}$ <u>natural flat coast</u>	$\Delta^* = 1.25 \text{ m to } 1.99$ <u>m; Half slope stone</u> <u>embankment dike</u>	$\frac{\Delta^* = 2.00 \text{ m to } 2.99}{\text{m; Stone embankment}}$ <u>or component</u> <u>revetment dike</u>	$\Delta^* > 3.0 \text{ m};$ Cement dike
	\underline{h}_2	[-20, -10]	[-10,0)	[0,10)	[10,20]
	Table note: Th	ne defense capability	of storm surge protectio	n facilities(breakwater) is	s closely related to
	<u>th</u>	e dike construction st	andards. " $ riangle$ " is the valu	e of the difference betwe	en the elevation of
	<u>th</u>	e top of the dike and	<u><i>H_s</i>. The value of <i>h</i>₂ can l</u>	<u>be taken as -20~20.</u>	

195

Tab. 6 h₃ value (unit: cm)

<u>Shore</u> section level	Definition	<u>h</u> 3
<u>Particularly</u> important	The shore section level is considered to be particularly important if itmeets one of the following conditions:—Population density in the protected area ≥ 1000 persons/km ?—Port throughput $\geq 3 \times 10^{11}$ kg/a:—Construction investment $\geq 1.4 \times 10^9$ USD;—Economic output of the protected area $\geq 7 \times 10^5$ USD/hm²/a;—The cargo unloading capacity of the central fishing port $\geq 8 \times 10^7$ kg/a;—Agricultural reclamation area $\geq 2 \times 10^3$ hm².	<u>[-20,</u> _10)
<u>Important</u>	The shore section level is considered to be important if it meets one of the following conditions: —Population density in the protected area = [400 persons/km ? 1000 persons/km ?; —Port throughput = $[2 \times 10^{11} \text{ kg/a}, 3 \times 10^{11} \text{ kg/a}]$; —Construction investment = $[0.7 \times 10^9 \text{ USD}, 1.4 \times 10^9 \text{ USD}]$; —Economic output of the protected area = $[1.4 \times 10^5 \text{ USD/hm}^2/a, 7 \times 10^5 \text{ USD/hm}^2/a]$; —The cargo unloading capacity of the first-class fishing port $\ge 4 \times 10^7 \text{ kg/a}$; —Agricultural reclamation area = $[6.67 \times 10^2 \text{ hm}^2, 2 \times 10^3 \text{ hm}^2)$.	[-10,0)
<u>Relatively</u> important	The shore section level is considered to be important if it meets one of the following conditions: —Population density in the protected area = [30 persons/km? 400 persons/km?; —Port throughput = $[1 \times 10^{11} \text{ kg/a}, 2 \times 10^{11} \text{ kg/a}]$; —Construction investment = $[0.14 \times 10^9 \text{ USD}, 0.7 \times 10^9 \text{ USD}]$; —Economic output of the protected area = $[0.56 \times 10^5 \text{ USD/hm}^2/a, 1.4 \times 10^5 \text{ USD/hm}^2/a]$; —The cargo unloading capacity of the second-class fishing port $\ge 2 \times 10^7 \text{ kg/a}$; —Agricultural reclamation area = $[67 \text{ hm}^2, 667 \text{ hm}^2]$.	<u>[0,10)</u>
<u>Normal</u>	The shore section level is considered to be normal if it meets one of thefollowing conditions:—Population density in the protected area < 30 persons/km ?	[10,20]
Table note: Th	he shore section level is categorized into four grades: particularly important, relatively important and normal. Each grade is mainly judged from 6 criter	÷
	as one of the criteria is met, the shore section importance level can be con be this grade. The six criterion are population density, port throughput, co	sidered to

be this grade. The six criterion are population density, port throughput, construction investment, economic output, cargo unloading capacity and agricultural reclamation area. The value of h_3 can be taken as -20~20.

197 The red warning water level was determined based on the minimum value of HWL at the return period 198 corresponding to the actual defense capability of all dikes in the shore section and the red warning water 199 level correction value. The equation used to determine the red warning water level (H_r) is shown below: 200

201 202

$$H_r = H_d + \Delta h_r,\tag{6}$$

where H_d is the minimum value of HWL at the return period corresponding to the actual defense capability of all dikes in the shore section. Δh_r is the red warning water level correction value. The calculation method for Δh_r is shown in Eq. (5); the values of h_1 and h_3 were calculated by the same method used to determine Δh_b . When calculating h_2 , " Δ " is the difference between the elevation of the top of the dike and H_d .

208 The yellow and orange warning water levels were determined based on interpolation of the blue and red 209 warning water levels, respectively. The calculation methods for the yellow (H_y) and orange (H_o) warning 210 water levels are shown in Eqs. (7) and (8), respectively:

213

 $H_y = H_b + (H_r - H_b)/3,$ (7)

$$H_o = H_b + 2(H_r - H_b)/3.$$
 (8)

214

215 **3. Results**

216 3.1. Determination result of warning water level at a representative shore section

For warning water level determination, we selected the shore section of Zhifu District, Yantai City, Shandong Province, China(Fig. 1); the representative tide gauge station for this shore section was the Zhifudao tide gauge station. We considered the annual maximum HWL for 31 consecutive years at the Zhifu Island tide gauge station and established a frequency distribution curve of the annual HWL using the Gumbel distribution (Fig. 2). The HWL at different return periods obtained using this method are presented in Table <u>76</u>.



Fig. 1 The location of Zhifudao tide gauge station in Yantai City, Shandong Province, China



Fig. 2 Frequency distribution of the annual maximum value of the high water level at Zhifudao tide gaugestation

231	Tab. 7 The high water levels (HWL)	correspon	nding to r	eturn peri	od at Zhif	udao tide	gauge
232	<u>st</u>	ation(unit	<u>: cm)</u>				
	Return period	<u>2a</u>	<u>5a</u>	<u>10a</u>	<u>20a</u>	<u>50a</u>	<u>100a</u>

	HWL corresponding to return period	<u>184</u>	<u>209</u>	<u>225</u>	<u>240</u>	<u>260</u>	<u>275</u>
		61.1	. 1 1				
	Tab. 6 Different return periods (DRP) o	high wa	ter levels	at Zhifue	lao tide gi	auge statio)n
	Return period/year	2	5	10	20	50	100
	DRP of high water level/cm	184	209	225	240	260	275
	The actual defense capability of the dike in t	his shore	section co	orresponde	ed to the 1	eturn peri	ods of 20
	50 years. H_s indicated the corresponding HV	VL at the	return pe	riod of 2	years, and	H_s was 1	184 cm. 7
	wave run-up that occurs once in two years at	the storn	n surge pr	otection f	acility in	this shore	section v
	1.0 m. The wave withstand degree was mode	rate, and <i>l</i>	$h_l = -10 \%$	6 R = -10	% × 1.0 r	m = -0.10	m = -10
	The types of coastal storm surge protection f	acilities in	n this sho	re section	included	cement di	kes, and
	" Δ " for H_b was slightly greater than 3.0 r	n; therefo	re, h_2 for	H_b was	16 cm. T	he shore	section v
	considered to be particularly important, thus	, the adju	sted value	of the sh	ore sectio	on importa	ince level
	was valued as -11 cm. The blue warning wat	er level co	orrection	value of tl	ne shore s	ection Δh	b = -10 +
	-11=-5 cm. The blue warning water level	value was	calculate	ed to be H	b = 184 -	5 = 179 c	m.
	H_d indicated the corresponding HWL at the	e return p	eriod of 2	20 years a	nd was 2	40 cm. Fo	or this sh
section, $h_1 = -10$ cm, $h_3 = -11$ cm. The " \triangle " for H_d was approximately 2.5 m; therefore, h_2 for H_d was							
	cm. The red warning water level correction v	alue for th	nis shore s	section Δh	$n_r = -10 +$	9 - 11 = -	-12 cm. 7
	red warning water level was calculated to be	$H_d = 240$	-12 = 2	28 cm.			
	The yellow warning water level was calcula	ated to be	$H_y = 179$) + (228 -	- 179)/3 =	= 195 cm.	The orai
	warning water level was calculated to be H_o	= 179 + 2	2 × (228 –	179)/3 =	212 cm.		
	The warning water level of the shore section	in Zhifu	District is	presente	d in Table	e 87 .	
	C C						
	Tab. 87 Warning water level value of	the shore	section i	n Zhifu E	District. Y	antai City	y. Shando
							,

254 Province, China (unit: cm)

Warning water level	Varning water level Blue		Orange	Red
Warning water level value	179	195	212	228

255

256 3.2. Spatial distribution of warning water level along the coast of China

Using the abovementioned method, the warning water levels of 259 shore sections along the coast of China were obtained. The spatial distribution maps of warning water level, shore section importance level, H_s , H_d , Δh_b and Δh_r in the coastal areas of China were drafted(Fig. 3; Fig. 4; Fig. 5; Fig. 6).

260 The warning water level in China's coastal areas was generally low in the northern and southern shore 261 sections and high in the central shore sections. The maximum warning water levels appeared in the shore 262 sections in Hangzhou, Zhejiang Province, in the central coastal area of China. The blue, yellow, orange, 263 and red warning water levels were calculated as 700 cm, 740 cm, 780 cm, and 820 cm, respectively. The 264 spatial distribution of shore section importance level were consistent with that of the warning water 265 level. Among the 259 shore sections, the particularly important shore section accounted for the largest 266 proportion(49.1%), while the other important grades shore sections accounted for 32.4%, 13.1% and 267 5.4% respectively. The shore section importance levels of Jiangsu, Zhejiang, Fujian, and Guangdong 268 Provinces were higher than the other shore sections, and more than 90% of the particularly important

269 shore sections were distributed in the coastal areas of the above provinces. This is because the coastal 270 zones of these provinces with a high population density were the main areas of economic development 271 on a country-wide scale, with this importance also being reflected in the high shore section importance 272 level.T he spatial distribution of shore section importance level were consistent with that of the warning 273 water level. The shore section importance levels of Jiangsu, Zhejiang, Fujian, and Guangdong Provinces 274 were higher than the other shore sections. This is because the coastal zones of these provinces with a high 275 population density were the main areas of economic development on a country wide scale, with this 276 importance also being reflected in the high shore section importance level. The spatial distribution characteristics of H_s and H_d were consistent with that of blue and red warning water levels, respectively; 277 278 this can be mainly attributed to the HWL at the typical return period being the decisive factor in warning 279 water level determination. The warning water level was high where HWL, at the typical return period, 280 was high. The spatial distribution characteristics of Δh_b and Δh_r were similar, but opposite to that of H_s 281 and H_d . Figure 6 shows that Δh_b and Δh_r were generally low in the central shore sections and high in the 282 northern and southern shore sections. In general, the warning water level correction value Δh_b and Δh_r 283 was low where shore defensive capability was high.









Fig. 3 Spatial distribution map of the four-color warning water level: a) Blue; b) Yellow; c)Orange;d)Red









In the northern coastal areas, including Liaoning, Hebei, Tianjin, and Shandong Provinces, the warning
water level was generally low. These areas are mainly affected by storm surges typical of the temperate
zone, which are of relatively low frequency and intensity. Based on previous observational data, the

calculated water level at the typical return period of the northern coastal areas was lower, indicating the lower H_{s} , H_{d} and warning water level.

340 The shore sections in the central coastal areas, including Shanghai, Zhejiang Province, and Fujian 341 Province, had higher warning water levels. These areas are mainly affected by typhoon surges of high 342 frequency and intensity. Moreover, most of the harbors in these provinces are flared or narrow, which can 343 easily induce larger storm surges, and the water level at the typical return period is greater than that of the 344 other shore sections, leading to higher H_s , H_d and warning water levels in these areas. Notably, the dike 345 defense capability in these areas is higher, especially for the shore section of Hangzhou Bay in Zhejiang 346 Province, where the large tidal range leads to an extremely high water level at the typical return period. 347 Therefore the warning water level in the shore section of Hangzhou Bay is generally higher than that of 348 other shore sections, indicating the high warning water level distribution in China's coastal areas.

The warning water level in the southern coastal areas, including Guangdong, Guangxi, and Hainan Provinces, was generally low. Coastal areas in Guangdong and Guangxi Provinces had a lower tidal range, lower water level at the typical return period, and higher shore section importance level indicating lower warning water level correction value leading to lower warning water levels. Hainan Island has more natural coastlines of lower shore defensive capability. This island is less affected by typhoons, and thus, has a lower high water level at the typical return period, resulting in a lower warning water level.

355 4. Discussion

356 The warning water level is mainly used for storm surge prewarning, and it is crucial to decision-making 357 and mitigation measure design. This study proposed a newly approved quantitative method for 358 determining the four-color warning water level, which includes the calculation formula of the HWL at 359 the typical return period, the classification method of the shore section based on its importance and 360 coastal county unit, and the quantitative calculation formula of the correction value of the warning tide 361 level corresponding to wave exposure degree, surge protection facility construction standard and the 362 shore section importance level. Compared with the method used for calculating the one-single-value 363 warning water level in the mid-1990s, the method of calculating the four-color warning water level used 364 in this study is more reasonable, mainly in the following aspects: (1) It proposed the description of the 365 warning water level classification corresponding to the four levels of marine disaster emergency 366 response levels, and the determination results of the four-color warning tide level are more helpful for the 367 storm surge prewarning, in a way, tThe newly approved termined dred alert tide warning tide level can 368 more truly reflect the actual defense capability of the approved shore section-

369 ; (2) The calculation of correction values has been improved, by replacing qualitative calculation method 370 with quantitative calculation method, especially proposing the method of calculating the wave run-up 371 which is an important decisive element for the correction values; (3) In the process of calculating the 372 four-color warning water level, the verification of the approved results are strengthened, to determine 373 whether the approved warning water level is suitable based on the statistical analysis of historical storm 374 surge disasters and the corresponding tidal heights. Our results about the spatial distribution of four-color 375 warning water level, have been preliminarily applied to storm surge disaster prevention and mitigation in 376 coastal areas of China. Several studies focused on the storm surge prewarning application methods for 377 the newly approved four-color warning water level, corresponding to a refined shore section (Fu et al., 378 2017). However, limited by the data availability, it is not considered that the influence of storm surge 379 disaster loss factors on the calculation of warning water level. The Correlation between storm surge

380 disaster losses and the highest tide water exceeding the warning water level has not been established.

- 381 The precision of the warning water level directly affects the accuracy of the storm surge prewarning
- results, thereby affecting the objectivity of emergency strategies and decision-making for storm surge
- 383 disaster mitigation. With the rapid development of China's coastal society and economy, storm surge
- 384 protection facilities, population density, and coastal development conditions have also been changing.
- 385 Therefore, the warning water level needs to be updated according to the actual conditions of the coastal
- areas in time, when it is not compatible with the storm surge prevention and mitigation. At the same time,
- in order to meet the needs of the increasingly refined storm surge disaster prevention and mitigation plans,
 the scale of warning water level assessment should be changed from coastal counties to coastal towns and
- 389 <u>communities.</u>
- 390 Several studies highlighted that global sea-level rise would continue accelerating in the 21st century as a 391 consequence of climate change (Church and White, 2011; Hay et al., 2015). In fact, coastal flooding 392 hazard has been increasing on a global scale in recent decades, a trend expected to continue as a result of 393 climate change (Maria et al., 2022). In the past 40 years, sea level in the coastal China seas has increased 394 significantly, with the rate of 3.4 mm/a, higher than the global average from 1993-2018(3.25mm/a) 395 (Ministry of Natural Resources of China, 2021; IPCC, 2021). In the IPCC Sixth Assessment Report, the 396 latest monitoring and simulation results indicate that the current rate of Global mean sea level rise from 397 2006 to 2018 is accelerating (3.7mm/a) and will continue to rise in the future, showing an irreversible 398 trend (Zhang et al., 2021; IPCC,2021). Regional relative sea level rise is an important driving factor 399 affecting extreme still water levels. The continuous rising sea level has led to an increase in extreme water levels in coastal areas of China (Qi et al., 2019), which can have an impact on the determination of 400 401 warning water levels. Additionally, changes in storminess may have an important role in modifying the 402 frequency and magnitude of water level extremes (Lowe et al., 2010; Woodworth et al., 2011). Future 403 work about re-determining the warning water level should take these abovementioned issues into 404 consideration.

405 The warning water level is mainly used for storm surge prewarning, and it is crucial to decision making 406 and mitigation measure design. Compared with the single value format that characterized the warning 407 water level as determined in the mid-1990s, the four-color warning water level, corresponding to the four-408 levels of marine disaster emergency response levels are more helpful for the storm surge prewarning. 409 This study proposed a newly approved quantitative method for determining the four color warning water level, which includes the calculation formula of the HWL at the typical return period, the classification 410 411 method of the shore section based on its importance and coastal county unit, and the quantitative 412 calculation formula of the correction value of the warning tide level corresponding to wave exposure 413 degree, surge protection facility construction standard and the shore section importance level. Our results 414 about the spatial distribution of four color warning water level, have been preliminarily applied to storm 415 surge disaster prevention and mitigation in coastal areas of China. Several studies focused on the storm 416 surge prewarning application methods for the newly approved four color warning water level, 417 corresponding to a refined shore section (Fu et al., 2017). 418 The precision of the warning water level directly affects the accuracy of the storm surge prewarning

- 419 results, thereby affecting the objectivity of emergency strategies and decision making for storm surge
- 420 disaster mitigation. With the rapid development of China's coastal society and economy, storm surge
- 421 protection facilities, population density, and coastal development conditions have also been changing.
- 422 Therefore, the warning water level needs to be updated according to the actual conditions of the coastal

423 areas in time, When it is not compatible with the storm surge prevention and mitigation. Generally, the 424 warning water level should be re-determined every 5 years(State Oceanic Administration of PRC, 2013). 425 At the same time, in order to meet the needs of the increasingly refined storm surge disaster prevention 426 and mitigation plans, the scale of warning water level assessment should be changed from coastal 427 counties to coastal towns and communities. Several studies highlighted that global sea level rise would 428 continue accelerating in the 21st century as a consequence of climate change (Church and White, 2011; 429 Hay et al., 2015). In fact, the continuous rising sea level has led to an increase in extreme water levels in 430 coastal areas of China, which can have an impact on the determination of warning water levels. 431 Additionally, changes in storminess may have an important role in modifying the frequency and 432 magnitude of water level extremes (Lowe et al., 2010; Woodworth et al., 2011). Future work about 433 re determining the warning water level should take these abovementioned issues into consideration.

434 5. Conclusion

This study proposed an effective method for determining the four-color warning water level, and introduced the application of this method by taking the determination of the warning water level at the shore section of Zhifu District (Yantai City, Shandong Province, China) as an example. Observational water level data from representative tide gauge stations along the 18,000 km coastline were collected and used in this study. Using the method and observational data, we calculated the warning water levels of 259 shore sections along the coast of China and analyzed the assessment results about the spatial distribution characteristics of the blue, yellow, orange, and red warning water levels.

- 442 The results showed that the warning water levels were lower in the shore sections of the northern and 443 southern coastal areas in China and higher in central coastal areas. In the northern coastal areas, where 444 are mainly affected by the extratropical storm surges with low intensity, the defense capability of the 445 shore sections was generally low, resulting in the lower warning water levels than the other coastal areas. 446 The maximum values of the blue, yellow, orange, and red warning water levels all appeared in Hangzhou 447 Bay (700 cm, 740 cm, 780 cm, and 820 cm, respectively) of central coastal areas in China. These areas 448 are mainly affected by the typhoon surges with high frequency and intensity, where the defense 449 capability was also high. Understanding the spatial distribution of warning water levels in China's 450 coastal areas cannot only provide important references for national and local governments to aid in the 451 decision-making process for storm surge disaster prevention and mitigation, but also offers a scientific 452 basis for coastal spatial planning, rational layout of coastal industries, and construction of major projects 453 and industrial parks.
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456 **Disclosure statement**

457 The authors declare that there is no conflict of interest.

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462 Author Contributions

463	Shi Xianwu organized the research project and prepared the manuscript with contributions from all				
464	co-authors. Specifically, Liu Shan wrote the manuscript and participated in the calculation of warning				
465	water levels; Liu Qiang devised a method for calculating warning water levels; Tan Jun organized the				
466	observational data from various tide gauge stations; Sun Yuxi analyzed the distribution of warning water				
467	levels along the coast of China; Liu Qingrong participated in the determination of warning water levels in				
468	the shore section of Zhifu District; Guo Haoshuang participated in designing and drawing the diagrams.				
469					
470	Data availability statement				
471	All data used during the study are available from the corresponding author by request.				
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