



# 1 A Comparative Analysis of Machine Learning Algorithms for Snowfall Prediction

- 2 Models in South Korea
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- 16 17

Abstract

- 18
- 19 Heavy snowfall is a natural disaster that causes extensive damage in South Korea. Therefore, it is 20 crucial to predict snowfall occurrence and establish countermeasures to reduce the damage caused by heavy snowfall. In this study, the meteorological and geographic data of the past 30 years were collected, 21 22 and four machine learning algorithms were used: multiple linear regression (MLR), support vector 23 regression (SVR), random forest regressor (RFR), and eXtreme gradient boosting (XGB). Subsequently, 24 the performances of the machine learning algorithms were compared. Machine-learning algorithms 25 were selected as regression models to predict heavy snowfall. Additionally, grid search and five-fold 26 cross-validation techniques were used to improve learning performance. Model performance was 27 evaluated by comparing the observed and predicted data. It was observed that the RFR model accurately 28 predicted the occurrence of snowfall (R<sup>2</sup>=0.64) compared with other models with various statistical 29 criteria. This result demonstrates the possibility of using the RFR model for heavy snowfall prediction. 30 The proposed study can aid the government, local governments, and public institutions in developing 31 strategies to respond to heavy snowfall in the fields of facilities, roads, and transportation.





32

33 Keywords: snowfall prediction, machine learning, comparative analysis





# 35 1. Introduction<sup>1</sup>

36	The 5th report of the IPCC stated that the abnormal climate observed worldwide is due to the rapid
37	climate change caused by global warming (IPCC, 2014). Because of global warming, the ice in the Arctic
38	region melts and subsequently evaporates to form a large number of clouds. This has increased the
39	occurrence of heavy snowfall in the Northern Hemisphere, particularly in countries, such as Siberia.
40	Heavy snowfall frequently occurs in the northern mid-latitudes (Krasting et al., 2013) and causes
41	significant damage. In February 2021, shipments of COVID-19 vaccines to New York, USA, were
42	suspended because of the heaviest snowfall in the past ten years. In January 2019, a snowstorm in Austria
43	killed 11 people and isolated 12,000. In March 2018, heavy snowfall and cold waves in Europe killed 53
44	people. In December 2020, approximately 2,000 vehicles were isolated in Tokyo, Japan owing to heavy
45	snowfall.
46	According to Article 3, No. 1 of the Framework Act on the Management of Disasters and SAFETY,

47 in South Korea, heavy snowfall is classified as a major natural disaster. The damages caused by heavy

#### <sup>1</sup> Abbreviations:

Artificial neural network (ANN) Automated synoptic observing system (ASOS) Coefficient of determination (R<sup>2</sup>) Decision tree (DT) eXtreme gradient boosting (XGB) Gradient boosting machine (GBM) Intergovernmental Panel on Climate Change (IPCC) Korea Meteorological Administration (KMA) Mean absolute error (MAE) Ministry of the Interior and Safety (MOIS) Multiple linear regression (MLR) Random forest (RF) Random forest regressor (RFR) Representative concentration pathway (RCP) Root mean square error (RMSE) Snow ratio (SR) Support vector machine (SVM) Support vector regression (SVR) Tolerance (TOL) Variance inflation factor (VIF)





48 snowfall have been incurred nationwide in the safety fields of roads, logistics, transportation, and facilities.
49 According to the 'Disaster Annual Report 2019' published by the MOIS, which annually establishes and
50 publishes major statistics on the damage and recovery status of natural disasters, typhoon, heavy rainfall,
51 and heavy snowfall damage have accounted for approximately 53.85% (\$1550 million), 35.21% (\$1014
52 million), and 6.47% (\$186 million) of the total damage caused by natural disasters over the past 10 years
53 (2010–2019) (MOIS, 2020). Heavy snowfall has caused extensive damage in Korea, and studies on heavy
54 snow prediction and damage reduction are required.

55 Previous studies related to heavy snowfall prediction have been conducted primarily in 56 meteorology and climate. Recently, studies related to heavy-snow prediction have been conducted in 57 disaster management. The accumulated data on meteorological factors, such as temperature, 58 precipitation, and relative humidity, and geographic factors, such as altitude, latitude, and longitude, 59 were utilized to predict heavy snowfall. Research has been conducted using statistical and machine 60 learning techniques that can consider the nonlinear relationship of factors and SR, which is the ratio of 61 snowfall depth to the amount of liquid-equivalent precipitation (Byun et al., 2008). Because snow cover 62 occurs as a complex nonlinear combination of factors caused by meteorological and geographic 63 conditions, the nonlinear relationship between temperature, precipitation, relative humidity, and 64 geographic factors that affect snow cover should be considered (Park et al., 2016).

65 First, previous studies on snowfall prediction conducted in South Korea were reviewed. Kim et al. (2013) collected temperature, precipitation, and snowfall data and developed a snowfall prediction 66 67 model using an ANN model and a multiple regression model. The ANN model exhibited better performance than the multiple regression model. Park et al. (2014) developed a snowfall prediction 68 69 model by learning precipitation, minimum temperature, and maximum temperature as input variables 70 using an ANN and proposed a frequency analysis result to the RCP scenarios. In addition, a comparison between the results of learning by individual weather stations with those of learning by the integrated 71 72 data demonstrated that the performance of the model trained by integrating the data of all points was 73 exceptional. Kim et al. (2014) used an ANN model to learn the temperature and precipitation data. In





addition, they calculated the probability of snow cover using the KMA-RegCM3 climate model and climate change RCP scenario data provided by the KMA. Oh et al. (2020) conducted a study that predicted the depth of snowfall by applying temperature and humidity changes and solar insolation using multiple linear regression analysis.

78 Tabari et al. (2010) compared the predicted results derived using MLR, allowance ratio, and ANN, 79 using latitude, longitude, altitude, snow cover, and snow density as the input variables. A comparison 80 between the R<sup>2</sup> and RMSE values of the model determined that the MLR model yielded optimum results 81 with R<sup>2</sup> and RMSE values of 0.67 and 47.12, respectively. Liang et al. (2015) predicted snow depth in 82 Xinjiang, northern China, using data, such as visible and infrared surface reflectance, brightness, and 83 temperature using the SVM method. The performance of the SVM prediction model was evaluated by 84 using a correlation coefficient of 0.87. Hamidi et al. (2018) predicted monthly snowfall in Iran using 85 SVM, RF, and MLR methods. This study was conducted using time-series forecasting, and monthly 86 snowfall observation data were used as input variables. The performance of each model was evaluated 87 using RMSE and R<sup>2</sup> values, and it was observed that the SVM model exhibited exceptional performance 88 with an  $R^2$  value of 0.95, which was applied for snowfall prediction in the area. Zhang et al. (2019) 89 performed snow-load predictions for mountainous regions. Eight factors, including average temperature, 90 relative humidity, wind speed, latitude, longitude, altitude, slope, and slope direction, were used as input 91 parameters for the MLR and RF models to predict snowfall. The coefficient of determination of the RF model was 0.74, which was superior to that of the linear regression model. In addition, relative humidity, 92 93 temperature, and longitude were identified as the three crucial variables affecting snowfall. Hu et al. (2021) derived a gridded predictive snowfall dataset using ANN, SVR, and RFR algorithms for five 94 95 regions in the northern hemisphere. The geographic location (latitude and longitude), topographic data 96 (altitude), and field observation data were used as input variables, and the RFR model exhibited the best 97 performance.

Recent studies have accurately predicted snowfall using various machine learning techniques. This
is because nonlinear activation functions (sigmoid and hyperbolic tangent) are used in machine learning





- 100 algorithms to evaluate the nonlinear relationship between weather factors. Learning results are
- 101 determined through trial and error (Tabari et al., 2010).

102

- 103 2. Materials and methods
- 104
- 105 2.1 Study Area and data description
- 106
- 107 The input variables used in previous studies were used to develop a snowfall prediction model. Table 1
- 108 shows that nine input variables were selected by dividing each factor into geographic (latitude, longitude,
- 109 and altitude of the ASOS) and meteorological factors (minimum temperature, maximum temperature,
- 110 average temperature, precipitation, relative humidity, and snowfall).
- 111

# 112 Table 1. Geographic and meteorological factors for machine learning model training

	Input Variables	<b>Output Variables</b>	
Geographic factors	Minimum temperature (°C), maximum temperature (°C), average temperature (°C), precipitation (mm), relative humidity (%)	Snowfall (cm)	
Meteorological factors	Latitude (°), longitude (°), and altitude (m)	Showran (cm)	

113 114

115 Meteorological data over the past 30 years (1991–2020) during the winter season (October to April) were

116 collected from 102 ASOS nationwide under the KMA. These factors included daily minimum temperature,

117 maximum temperature, average temperature, precipitation, and relative humidity. Figure 1 shows the

118 study area and ASOSs in South Korea.







120

#### 121 Figure 1. Study area - ASOSs in South Korea

122

123 Machine learning is difficult to perform when there are missing values in the dataset. Therefore, a 124 complete removal method was used to eliminate the datasets with missing independent variables. 125 Among the collected 945,748 daily datasets, 42,701 were selected after excluding non-snowy days and 126 datasets with missing values. In addition, a multicollinearity analysis was performed. Multicollinearity 127 is a problem that results in inaccurate analysis owing to the strong correlations between the independent 128 variables in the regression analysis. A general diagnostic index of multicollinearity states that a 129 multicollinearity problem occurs when the TOL is less than 0.1 or the VIF is greater than 10 (Ainiyah 130 et al., 2016). A high VIF indicates a high collinearity (Mallick et al., 2021). This study performed 131 multicollinearity analysis on meteorological factors (average temperature, minimum temperature, maximum temperature, daily precipitation, and average relative humidity) and snowfall among 132





- 133 independent variables. Table 2 shows the results of the collinearity analysis. The VIF of the average
- 134 temperature was 21.738. After dimensionality reduction, the multicollinearity analysis was repeated by
- 135 excluding average temperature from the independent variable. The variance expansion coefficient of
- 136 the variables was  $\leq 2$ , and it was verified that multicollinearity was absent.
- 137

### 138 Table 2. Multicollinearity analysis

	Input Variables	TOL	VIF		Input Variables	TOL	VIF
1	Average temperature (°C)	.046	21.738	2	Average temperature (°C)	-	-
	Minimum temperature (°C)	.104	9.585		Minimum temperature (°C)	.533	1.877
	Maximum temperature (°C)	.149	6.689		Maximum temperature (°C)	.561	1.783
	Precipitation (mm)	.816	1.226		Precipitation (mm)	.816	1.226
	Relative humidity (%)	.849	1.178		Relative humidity (%)	.849	1.178
		Outp	out variable	s: s	nowfall (cm)		

#### 139

The pre-processed datasets consisted of the final eight input variables, and four machine-learning 140 141 algorithms (MLR, SVR, RFR, and XGB) were trained. The snowfall prediction model was developed on 142 a Jupyter Notebook (64-bit Windows 10) using Python 3.7. The optimal hyperparameters for each 143 algorithm were selected and applied using a grid search technique during the learning process. 144 Additionally, the data were used for training using 5-fold cross-validation to improve accuracy and solve the overfitting problem. The model performance was evaluated by comparing the snowfall estimated by 145 146 the trained model with the actual snowfall value measured at the observation station. The optimal model 147 was determined by comparing and verifying the accuracy of the models using MAE, RMSE, and R<sup>2</sup>. 148 Figure 2 shows a graphical representation of the research workflow.







150

### 151 Figure 2. Research workflow

152

### 153 2.2 MLR

Linear regression is an extensively used regression analysis model, and it has been used by researchers 154 before the invention of artificial intelligence (Chaloulakou et al., 2003). This method derives the results 155 of independent and dependent variables using a one-dimensional linear predictive equation. The derived 156 equation when the cost function has a minimum value is defined as the optimal predictive model. The 157 least-squares method or gradient descent method is mainly used to determine the minimum value of the 158 159 loss function (Liu et al., 2021). Linear regression analysis refers to the estimation of a dependent 160 variable using a statistical method considering the independent variables  $(X_1, X_2, \dots, X_k)$  that are expected to affect the dependent variable (Y) significantly. The linear regression model expresses the 161 162 relationship between the dependent and independent variables in linear form, as shown in Equation 1. 163





Eq. 1

# $Y = a_0 + a_1 X_1 + a_2 X_2 + \ldots + a_k X_k,$

164

165	where $a_0$ represents the constant and $a_1$ , $a_2$ , and $\cdots a_k$ are the regression coefficients of each independent
166	variable. A multiple regression analysis was performed for the independent variables (factors affecting
167	snowfall) in this study. Additionally, the variables were adjusted and analyzed after multicollinearity
168	analysis was performed.

169

170 2.3 SVR

171 SVM (Cortes & Vapnik, 1995) is a supervised machine learning algorithm used for classification 172 problems. The input variable is built into a high-dimensional functional space using a linear or nonlinear 173 kernel function depending on the relationship between the dependent and independent variables. A 174 linear model was developed in the feature space to maintain a balance between error minimization and 175 overfitting (Bansal et al., 2021). SVR is an extension of SVM that can be applied to classification 176 problems and prediction fields such as regression analysis (Bermolen & Rossi, 2009). SVR learns in a direction that maximizes the distance between the separation hyperplane and support vector within a 177 178 threshold (Carrera & Kim, 2020).

179

# 180 2.4 RFR

181 The RF algorithm is a DT-based algorithm (Breiman, 2001). It is a model of an ensemble technique 182 developed by combining multiple DTs with different structures and performance. It functions by outputting classification or average predictions (regression analysis) from multiple DTs that are 183 184 constructed during the training process. The RFR compensates for the bias introduced by a single DT owing to the randomness. Therefore, it does not easily overfit and provides high accuracy and a fast 185 186 training speed (Babar et al., 2020). The RFR algorithm randomly selects data (bootstrapping) and learns 187 individually. Bagging is an abbreviation for bootstrap and aggregation, which is a concept that collects 188 models generated from each bootstrap sample. Aggregating refers to the merging of datasets formed by





- bootstrapping, and a random subspace is applied to train the dataset. A random subspace is a process of ensuring the independence of each basic algorithm. Determining the split point of the DT based on the split function implies that learning is performed by randomly selecting a number of variables that are less than the variables of the input data. In contrast to the DT algorithm, in which the error is transferred at each intermediate node in RF, the error generated in the intermediate node of each tree is not transmitted to the terminal node and converges to the limit value. This improves the predictive model's performance by minimizing the correlation between individual trees (Ganguly et al., 2019).
- 196

197 2.5 XGB

198 XGB (Tianqi Chen & Guestrin, 2016) is known for its powerful performance, as demonstrated by recent 199 studies. In addition, they have been extensively used in various applications. XGB is an algorithm based 200 on GBM, a boosting model consisting of a series of basic regression trees using a sequential ensemble 201 technique (Zhu et al., 2021). This is a method of improving the error by sequentially repeating the 202 learning prediction for several weak learners and assigning weights when the predicted values differ 203 from the input data. The residual error of the model derived from Tree 1 was checked, and a predictive 204 model that reduced the residual error of Tree 1 was derived from Tree 2. Subsequently, the residuals in 205 Tree 2 are checked, and a predictive model that reduces the residuals in Tree 2 is derived using Tree 3. 206 This method derives a model from the final tree with small residuals as the final prediction model while 207 repeating this process (Zhu et al., 2021). Furthermore, XGB exhibits exceptional performance in 208 classification and regression problems. The weight of the hidden layer is not known in the case of 209 commonly used ANN-based algorithms. Therefore, the correlation between each variable and the 210 prediction model remains unknown. However, XGB has the advantage of being able to analyze the 211 feature importance of variables.

212

# 213 2.6 Model performance

214 Several criteria were used to evaluate the performance of the regression models. The accuracy of the





- 215 model was compared and verified using the MAE, MSE, RMSE, and R<sup>2</sup> values(Guo et al., 2021). The 216 MAE is the arithmetic mean of the absolute value of the difference between the measured and estimated 217 values. The MAE has high applicability if it has a value close to zero. The low MSE and RMSE values demonstrate that the error of the estimation model was small. In this study, it was used to indicate the 218 219 suitability of the estimation of high snowfall (Hamidi et al., 2018). R<sup>2</sup> is used to measure the linear 220 relationship between the observed and estimated snowfall and has a value in the range 0-1. An R<sup>2</sup> value close to 1 indicates optimum model applicability. The MAE, MSE, RMSE, and R<sup>2</sup> were calculated 221 222 using Equations 2, 3, 4, and 5, respectively.
- 223

224 
$$MAE = \frac{1}{m} \sum_{i=1}^{m} |X_i - Y_i|, \text{ Eq. } 2$$

225 
$$MSE = \frac{1}{m} \sum_{i=1}^{m} (X_i - Y_i)^2$$
, Eq. 3

226 
$$RMSE = \sqrt{\frac{1}{m}\sum_{i=1}^{m}(X_i - Y_i)^2}, \text{ Eq. 4}$$

227 
$$R^2 = 1 - \frac{\sum_{i=1}^m (X_i - Y_i)^2}{\sum_{i=1}^m (\bar{Y} - Y_i)^2}$$
, Eq. 5

228

where  $X_i$  is the predicted  $i_{th}$  value and  $Y_i$  is the actual  $i_{th}$  value. The regression method predicts the  $X_i$  element for the corresponding  $Y_i$  element in the observation dataset (Chicco et al., 2021).





# 232 2.7. Grid search and K-fold cross-validation

233 The optimization of a regression model using machine learning refers to the estimation of a 234 hyperparameter that minimizes a predefined loss function in the training data(Luo, 2016). This study 235 applied the grid search and k-fold cross-validation methods to select the optimal hyperparameter. The grid 236 search depicted in Figure 3 was used to select the optimal parameters for each model. The range of each 237 parameter was set, the accuracy of the model generated according to the combinations was measured, and 238 the optimal parameter that provided the highest accuracy was selected (Claesen & De Moor, 2015). In the 239 case of the k-fold cross-validation method, as shown in Figure 4, the datasets were k equalized into sets 240 of the same size. The k-1 among the divided datasets was used as the training data, and the remaining 241 dataset was used as the testing data. This method was used to verify the performance of the model. In this 242 study, 5-fold cross-validation was applied (Vabalas et al., 2019).



243

# 244 Figure 3. Hyperparameter tuning using GridSearch



246 Figure 4. 5-fold cross-validation





# 248 3. Result

- 249 The optimum hyperparameter results of each machine-learning algorithm were derived through grid
- 250 search and k-fold cross-validation (Table 3).
- 251

### 252 Table 3. Results of hyperparameter tuning

Models	Eval	Hyperparameters	
	Kernel	Linear, Polynomial, Sigmoid, RBF	RBF
SVR	Cost	0.01, 0.1, 1, 10, 100	1
	γ	0.01, 0.1, 1, 10, 100	1
	max_features	4, 8, 10, 12, 14, 16, 18, 20	4
RFR	n_estimators	10~1000	100
	max_depth	4, 8, 10, 12	10
	max_features	4, 8, 10, 12, 14, 16, 18, 20	4
XGB	n_estimators	10~1000	20
	max_depth	4, 8, 10, 12	6

253

254 The applicability of  $f_{MLR}(x)$ ,  $f_{SVR}(x)$ ,  $f_{RFR}(x)$ , and  $f_{XGB}(x)$ , which were the optimal models for each algorithm, was evaluated using hyperparameters. The RFR model exhibited MAE, MSE, RMSE, and R<sup>2</sup> 255 256 values of 1.65, 11.68, 3.35, and 0.64, respectively, using performance evaluation criteria. Additionally, it 257 exhibited a higher prediction accuracy than the three models (MLR, SVR, and XGB models). The XGB model exhibited a similar performance to the RFR model because it was close to the evaluation standard 258 259 value obtained based on the RF model. In the case of snowfall prediction, it was determined that ensemble 260 models, such as RFR and XGB, demonstrated better performance than single regression models such as 261 MLR and SVR.

262

### 263 Table 4. Comparative statistics of prediction models

Criteria Models	MAE	MSE	RMSE	<b>R</b> <sup>2</sup>
MLR	2.32	18.20	4.22	0.45
SVR	1.73	15.91	3.91	0.53





RFR	1.65	11.68	3.35	0.64
XGBoost	1.64	12.31	3.44	0.62





265	The snowfall prediction estimates obtained using the MLR, SVR, RFR, and XGB models and the
266	corresponding observed snowfall values are shown in Figures 5 through scatter plots. It was observed
267	that the snowfall simulation of the RFR and XGB models exhibited better performance compared with
268	that of the other two models. The RFR and XGB models accurately evaluated the nonlinear relationship
269	between the predictor and independent variables using a coefficient of determination. The MLR and
270	SVR models partially interpreted the variance in snowfall. In the case of field observation data, there is
271	a lack of datasets for high snowfall and there are a lot of datasets for low snowfall. The imbalance of
272	datasets was analyzed as a result of underestimating the MLR and SVR models(Park et al., 2021).
273	Finally, a comparison between the statistical criteria of the four models demonstrated that the RFR was
274	the optimum model for predicting snowfall. The predictive performance of the RFR model was
275	exceptional because it was not necessary to assume a correlation between the dependent and
276	independent variables in this model. In addition, it is less sensitive to datasets with inappropriate error
277	distributions (Zhang et al., 2019).







Figure 5. Correlation of observed and predicted snowfall results from (a) MLR, (b) SVR, (c) RFR, and (d) XGB

281

# 282 **4. Discussion and Conclusions**

283

In this study, the occurrence of snowfall over the past 30 years in Korea was investigated, and machine-learning algorithms were used to predict heavy snowfall. The optimal snowfall prediction model was selected to establish response strategies for heavy snowfall.

The snowfall prediction model was developed according to the following steps. Independent variables were selected by analyzing previous studies, and data collection was performed by considering the meteorological and geographic factors collected through the ASOS. Data pre-processing was performed, and the pre-processed data were learned using MLR, SVR, RFR, and XGB machine learning





291 algorithms. A machine learning algorithm was selected as the regression model for prediction purposes. 292 Grid search and k-fold cross-validation were used to improve learning performance. It was observed 293 that the predictive model using the RFR algorithm had the best performance based on a comparison 294 between the observed and predicted data. In addition, it was observed that the performance of the 295 ensemble models (RFR and XGB) was better than that of the single regression models (MLR and SVM). 296 Snowfall prediction is a nonlinear process in which precipitation, temperature, relative humidity, and 297 geographic variables are correlated. Additionally, the prediction results may vary depending on the 298 regional research scope and characteristics of the input variable data used for model development. The 299 meteorological factors were provided in the form of daily data when they were used as input variables. 300 Because the daily average observation data were used as input data for the meteorological factor, rather 301 than the weather data when the actual heavy snowfall occurred, the performance of the prediction model 302 was relatively low. In the future, the proposed model can be used as an estimation model to obtain the 303 distribution of the predicted snowfall in South Korea using the RCP climate change scenario. 304 Additionally, the model can aid in establishing response strategies for heavy snowfall disasters in road 305 facilities and transportation sectors by providing long-term prediction (~2100 years) data for heavy 306 snowfall. In particular, when predicting future snowfall using climate change RCP scenario data, it is 307 difficult to improve the predictive power of the model considering the uncertainty of the scenario. 308 Therefore, it is crucial to continuously develop and verify predictive models (Park et al., 2016).

309

### 310 Author's contribution

- Moon-Soo Song: Conceptualization, Methodology, Data curation, Investigation, Writing original,
   review & editing.
- 313 Hong-Sik Yun: Conceptualization, Methodology, Funding
- 314 Jae-Joon Lee: Methodology, Investigation, Writing review & editing
- Sang-Guk Yum: Methodology, Project administration, Validation, Supervision, Writing review &
   editing.





# 317

# 318 Data Availability

319 The data presented in this research are available from the corresponding author by reasonable request.

# 320 Declaration of interests

- 321 The authors declare that they have no known competing financial interests or personal relationships that
- 322 could have appeared to influence the work reported in this paper.

323

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