

The Cambodian Mekong floodplain under future development plans and climate change

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HIGHLIGHTS

- We study the impact of future scenarios on floods in the Cambodian Mekong floodplain
- The full combined development scenario alters flows up to –30% in wet season and +140% in dry season
- Hydropower developments alone reduce total flood extents by more than 20%
- Prey Veng and Takeo are the provinces most susceptible to climate change induced flood risks

1 **ABSTRACT**

2 Water infrastructure development is considered necessary to drive economic growth in the
3 Mekong region of mainland Southeast Asia. Yet the current understanding of hydrological
4 and flood pattern changes associated with infrastructural development still contain several
5 knowledge gaps, such as the interactions between multiple drivers, which may have serious
6 implications for water management, agricultural production, and ecosystem services. This
7 research attempts to conduct a cumulative assessment of basin-wide hydropower dam
8 construction and irrigation expansion, as well as climate change, implications on discharge
9 and flood changes in the Cambodian Mekong floodplain. These floodplains offer important
10 livelihoods for a considerable part of the 6.4 million people living on them, as they are among
11 the most productive ecosystems in the world – driven by the annual flood pulse. To assess
12 the potential future impacts, we used an innovative combination of three models: Mekong
13 basin-wide distributed hydrological model IWRM-VMod, whole Mekong delta 1D flood
14 propagation model MIKE-11 and 2D flood duration and extent model IWRM-Sub enabling
15 detail floodplain modelling. We then ran scenarios to approximate possible conditions
16 expected by around 2050. Our results show that the monthly and seasonal hydrological
17 regimes (discharges, water levels, and flood dynamics) will be subject to substantial
18 alterations under future development scenarios. Projected climate change impacts are
19 expected to decrease dry season flows and increase wet season flows, which is in opposition
20 to the expected alterations under development scenarios that consider both hydropower and
21 irrigation. The likely impact of decreasing water discharge in the early wet season (up to –
22 30%) will pose a critical challenge to rice production, whereas the likely increase in water
23 discharge in the mid-dry season (up to +140%) indicates improved water availability for
24 coping with drought stresses and sustaining environmental flows. At the same time, these
25 changes would have drastic impacts on total flood extent, which is projected to decline by
26 around 20%, having potentially negative impacts on floodplain productivity and aquaculture,
27 whilst reducing the flood risk to more densely populated areas. Our findings demonstrate the
28 substantial changes that planned infrastructural development will have on the area, potentially
29 impacting important ecosystems and people’s livelihoods, calling for actions to
30 mitigate these changes as well as planning potential adaptation strategies.

31 **Keywords:** Cambodian Mekong floodplain, Climate change, Cumulative impact assessment,
32 Hydrological alteration, Hydropower dam, IWRM model

33 **1. Introduction**

34 The Mekong River Basin is the largest river basin in the Southeast Asian mainland.
35 Historically, cyclones and severe tropical storms have generated the most significant Mekong
36 flooding events, the largest of which was recorded in 1966, when tropical storm Phyllis struck
37 the Upper Mekong Basin (Adamson et al., 2009). At the downstream end of the basin (Fig. 1),
38 severe floods have most commonly been recorded in the area around Stung Treng Province, at
39 the confluence of the Mekong and Tonle Sap rivers, and within the Vietnamese Mekong Delta.
40 The last severe flood occurred in 2011 and it is ranked among the highest discharge recorded
41 in the Lower Mekong Basin (LMB) (MRC, 2011).

42 Whilst flooding damages infrastructure, crops and floodplain vegetation, and the fertile
43 land, seasonal flooding is a vital hydrological characteristic of the Mekong River Basin, as it
44 improves water availability during the dry season, and maintains and increases the high
45 productivity of ecosystems and biodiversity (Arias et al., 2014; Arias et al., 2012; Boretti, 2020;
46 Kondolf et al., 2018; Kummum et al., 2010; Kummum and Sarkkula, 2008; Lamberts, 2008;
47 Schmitt et al., 2018; Schmitt et al., 2017; Västilä et al., 2010; Ziv et al., 2012). As part of the
48 annual flood cycle, floodwaters play an important role in the recharging of aquifers and
49 ensuring the hydrological connectivity of the floodplain, which is essential to maintaining
50 ground water resources for use during the dry season (Kazama et al., 2007; May et al., 2011).
51 Floodwaters also transport essential sediments and nutrients from the river channel into the
52 floodplain and distribute them across a wide area, which fertilizes agricultural lands and
53 enhances floodplain productivity (Arias et al., 2014; Kummum and Sarkkula, 2008; Lamberts,
54 2008). In addition, the wider the flood extent, the larger the area of interaction between aquatic
55 and terrestrial phases, which increases the potential transfer of floodplain terrestrial organic
56 matter into the aquatic phase. Under the combined impacts of hydropower infrastructure and
57 climate change, the flooded area in Cambodia's Tonle Sap Lake Basin is projected to decline
58 by up to 11% circa 2050, which may lead to a decline in the net sedimentation and the aquatic
59 net primary production of up to 59%, and 38% respectively (Arias et al., 2014; Lamberts,
60 2008).

61 Existing hydrological and flood regimes will likely be altered due to climate change
62 and infrastructure developments; but the degree of alterations vary with different drivers,

63 location, and time (Piman et al., 2013; Try et al., 2020a). Hoang et al. (2016) project that the
64 Mekong's discharge under climate change conditions by 2050 under RCP 8.5 will decrease in
65 the wet season (up to -7% at Stung Treng) and increase in the dry season (up to $+33\%$ at Chiang
66 Saen), equivalent to an annual increase between $+5\%$ and $+15\%$. Lauri et al. (2012) shows that
67 hydrological conditions of the Mekong River Basin were highly dependent upon the Global
68 Climate Model (GCM) being used, with projections of water discharge at Kratie station (Fig.
69 1), Cambodia, ranging from -11% to $+15\%$ for the wet season and from -10% to $+13\%$ for the
70 dry season for projections circa 2050. The study also concludes that the impact on water
71 discharge due to planned reservoirs was much larger than those simulated due to climate
72 change, with water discharge during the dry and early wet season being primarily determined
73 by reservoir operation. Hoang et al. (2019) find that for the same period under RCP 8.5
74 hydropower development plans in Mekong River Basin are expected to increase dry season
75 flows up to $+133\%$ and decrease wet season flows up to -16% . The future expansion of
76 irrigated lands in the wider Mekong region is expected to reduce river flows up to -9% in the
77 driest month (Hoang et al., 2019).

78 Changes to the Mekong mainstream flows will have direct impacts on flooding in the
79 LMB floodplains in Cambodia and Vietnam. Try et al. (2020a) considered the impact of future
80 climate change (circa 2100 under RCP 8.5) in isolation on the flood dynamics of the LMB,
81 projecting an increased flood extent area of $19\text{--}43\%$. Infrastructure development, in contrast,
82 is expected to cause a decline in the Tonle Sap's flood extent by up to $1,200\text{ km}^2$ (Arias et al.,
83 2012), as dam development alone is expected to reduce flooded area in the Vietnamese Mekong
84 Delta by 6% in the wet year and by 3% in the dry year (Dang et al., 2018). Flood extent in the
85 Vietnamese Mekong Delta is projected to increase by 20% under the cumulative impacts of
86 climate change and infrastructure development, bringing prolonged submergences of $1\text{--}2$
87 months (Triet et al., 2020).

88 The impacts described above may eventually lead to a new hydrological and flood
89 regime in the Mekong region, and would likely endanger the riverine ecology and endemic
90 aquatic species of the Mekong floodplain (Arias et al., 2012; Dang et al., 2018; Kummur and
91 Sarkkula, 2008; Räsänen et al., 2012). To effectively manage and overcome these pressures
92 and challenges in any floodplain, there is an urgent need to evaluate the combined impacts of
93 climate change and infrastructure operations basin-wide (Hoang et al., 2019; Hoanh et al.,
94 2010; Lauri et al., 2012; Västilä et al., 2010). However, the existing studies have focused either
95 on the basin scale flow changes (Dang et al., 2018; Hoang et al., 2016; Hoang et al., 2019;

96 Hoanh et al., 2010; Lauri et al., 2012; Pokhrel et al., 2018; Try et al., 2020a) or assessed the
97 impacts on flooding either for the Tonle Sap (Arias et al., 2012; Chen et al., 2021; Ji et al.,
98 2018; Yu et al., 2019) or the Vietnamese Mekong Delta (Dang et al., 2018; Tran et al., 2018;
99 Triet et al., 2020). Very little is known how basin-wide development and climate change would
100 impact Cambodian Mekong floodplain other than the Tonle Sap (Fig. 1), despite them being
101 important agricultural lands and home to more than 6.4 million people (2008 Population
102 Census).

103 Therefore, we have attempted to quantify the cumulative impacts of water resources
104 development plans and climate change on hydrological and flood conditions localised in the
105 Cambodian Mekong floodplain (Fig. 1) by using an innovative combination of state-of-the-art
106 hydrological and hydrodynamic models. In concentrating on the provincial level, using an
107 extended time-series for the calibration period, validating the flood extent against satellite
108 imagery, and incorporating a larger set of driving factors within our analysis, the present study
109 is a novel contribution to the work being done to understand the potential for future changes to
110 the complex hydrology of the floodplains in general, and specifically the Cambodian Mekong
111 floodplain. The results of this study may contribute to formulating adaptation and mitigation
112 strategies to flood-prone areas that balance the need for flood prevention and water resource
113 allocation against the ecological functioning of the floodplain.

114 **2. Materials and methods**

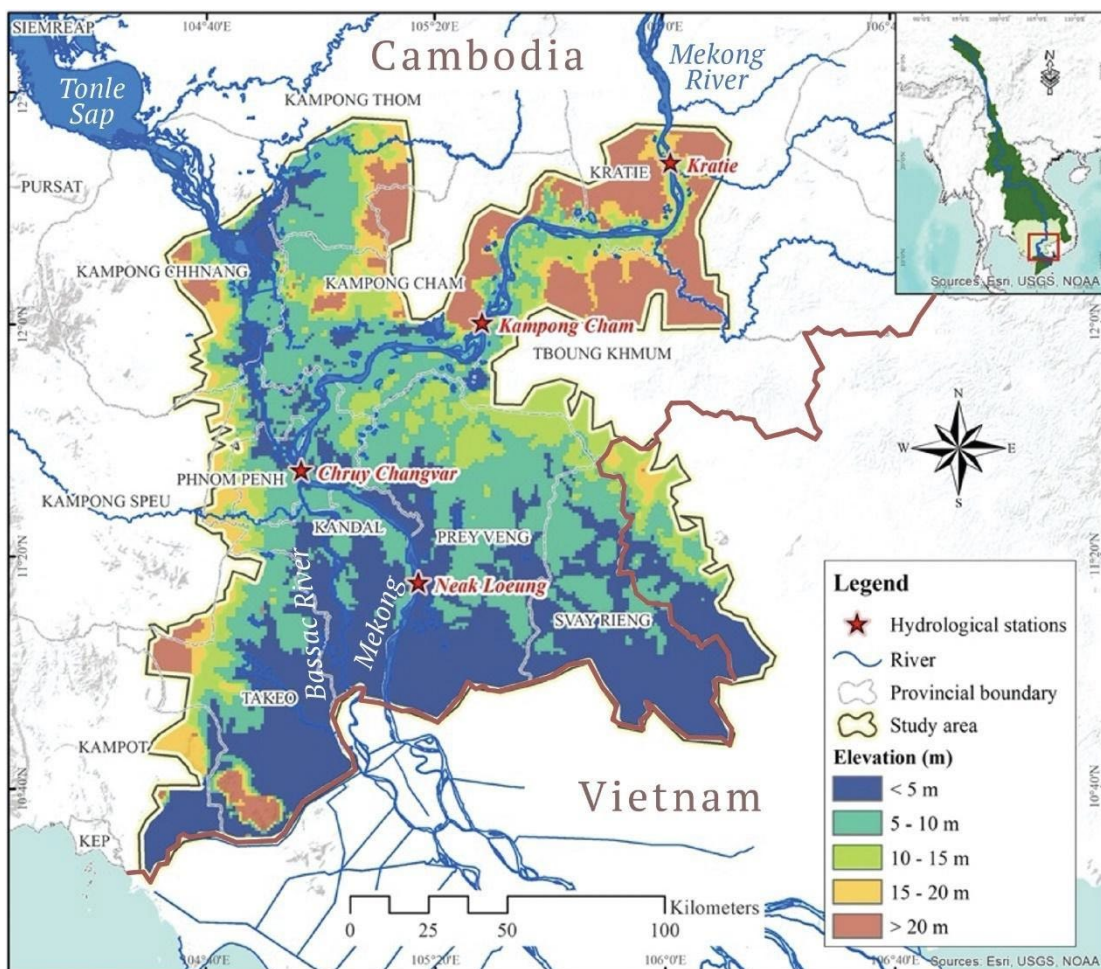
115 *2.1. Study area*

116 The study area is located in the downstream part of the Cambodian Mekong River Basin
117 (excluding the Tonle Sap Lake region), also known as the “Cambodian Mekong floodplain”
118 (Fig. 1). The area is about 27,760 km² and extends along the Mekong mainstream from Kratie
119 province to the Cambodia-Vietnam border. It covers parts of 12 provinces in Cambodia and
120 one province in Vietnam (Tay Ninh), but does not extend into the Vietnamese Mekong Delta
121 region (see division in Fig. 1).

122 A major part of the Cambodian Mekong floodplain is characterized by a flat terrace and
123 low-lying grounds with gentle slopes that contain many depressions and lakes, except for the
124 upper parts of the Prek Thnot and Prek Chhlong tributaries, which contains steeper terrain.
125 Hydrological conditions within the area are dominated by the seasonality and year-to-year
126 variability of the Mekong flow regimes. The wet season runs from June to October, and the dry

127 season runs from November to May. During the wet season, the characteristics of the floodplain
 128 and Tonle Sap Lake play a vital role in flood peak attenuation and regulation temporarily
 129 storing and later conveying water across the vast low-lying areas. During the wet season, water
 130 flows from the Mekong mainstream into the Tonle Sap Lake, but this flow is then reversed in
 131 the dry season. This illustrates the highly complex hydrological system at play throughout the
 132 region, and the seasonal variations that characterize the ecological and agricultural landscape.

133 Within our historic baseline period of 1971–2000, the annual average temperature
 134 across the study area varies from 26.9°C to 28.2°C, with mean monthly temperatures between
 135 30°C during the hottest months (April and/or May), and 26°C in the coldest month (January).
 136 Average annual rainfall across the study area during the same period varies between 1,100 mm
 137 and 1,850 mm, with mean monthly rainfall ranging between 250 mm in the wettest months
 138 (May/June), and 10 mm in the driest (February).



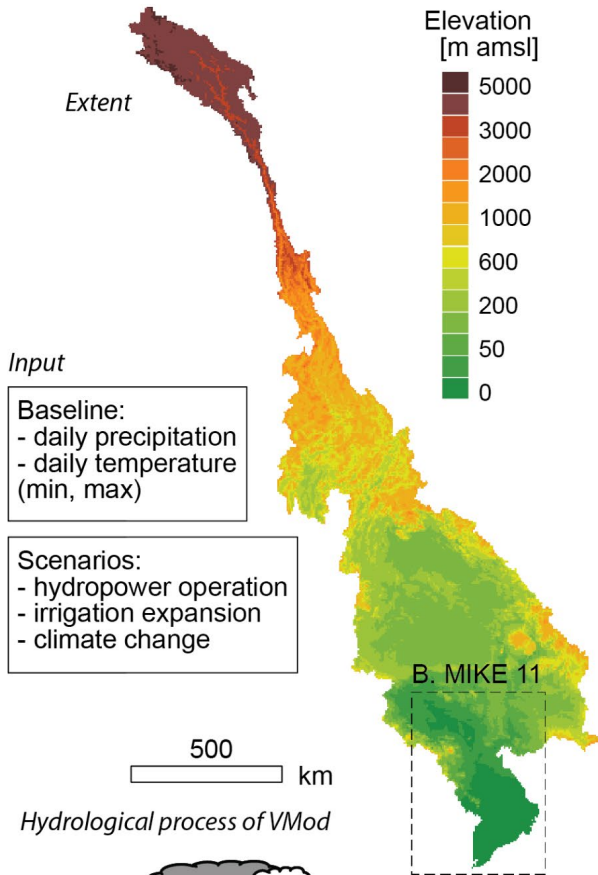
140 **Fig. 1.** Map of the study area, the Cambodian Mekong floodplain. Elevation of 90-m grid cell was extracted from the SRTM
 141 database and river lines were obtained from the MRC database.

142 *2.2. Modelling structure and datasets*

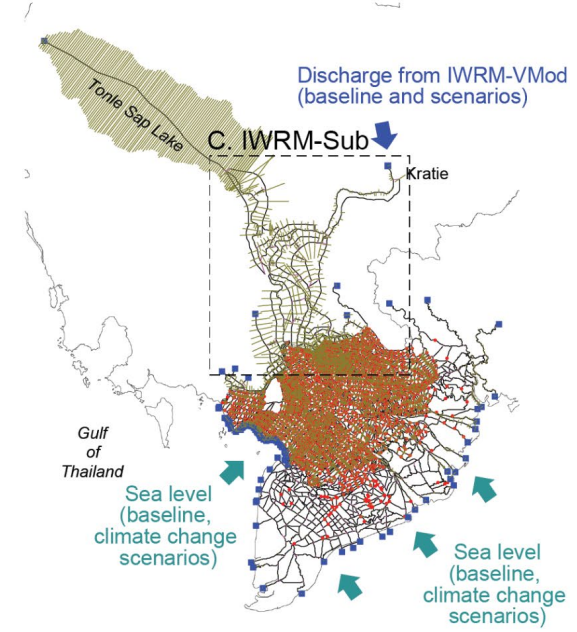
143 We used a hydrological – floodplain model combination (Fig. 2), consisting of the distributed
144 hydrological model IWRM-VMod (Lauri et al., 2006), the floodplain propagation model
145 MIKE 11 (Dung et al., 2011), and the flood extent and duration model IWRM-Sub (MRC,
146 2018a) (Fig. 2). First, the IWRM-VMod model with resolution of 5 km x 5 km (see extent
147 and hydrological processes in Fig. 2a) was used to simulate the entire Mekong basin’s flow
148 response to hydropower developments, irrigation expansion, and climate change impacts at
149 around year 2050. We used the model runs, both baseline and scenarios, from Hoang et al.
150 (2019). From the hydrological model we derived the boundary condition discharges that were
151 used to drive the 1D flood propagation model MIKE 11 (as constructed and employed in
152 Triet et al., 2017, 2020) in order to obtain the initial floodplain conditions, water levels, and
153 fluctuating discharge of the Tonle Sap River. MIKE 11 model extends over the entire
154 Mekong Delta down to the South China Sea, where sea level is used as another boundary
155 condition. MIKE 11 also includes a detailed description of the channels, canals, and sluice
156 gates in the delta (Triet et al 2020). The results from MIKE 11 in turn were used as boundary
157 conditions to the detail scale (1 km x 1 km) floodplain hydrodynamic IWRM-Sub model. The
158 IWRM-Sub model is a flood model that also has hydrological processes (i.e., precipitation,
159 evaporation, etc) in it, making it ideal for large floodplain modelling in monsoon climate. It
160 uses the 2D depth averaged Navier Stokes, and St Venant equations to propagate a flood
161 wave out into the floodplain from the water level points passed as boundary conditions
162 (MRC, 2018a).

163 The IWRM-Sub model was applied to Cambodian floodplains for the Mekong River
164 Commission’s (MRC) Council Study (MRC, 2018a). It is based on the SRTM 90-m
165 topographical map (Jarvis et al., 2008), a soil types map (FAO, 2003), and a land use map
166 (GLC2000, 2003), all aggregated to 1 km × 1 km resolution (Table 1). Geospatial data and
167 river cross-section data were retrieved and added from the Mekong River Commission
168 (MRC). The future climate scenarios are based on an ensemble of 5 GCM projections of
169 precipitation and temperature taken from the CMIP5 suite of models (ACCESS, CCSM,
170 CSIRO, HadGEM2, and MPI). Whilst the CMIP6 collection has now superseded the CMIP5
171 model results, an analysis of the differences between model collections shows consistent
172 mean values for both precipitation and temperature across our study area for both wet and dry
173 seasons (Table S1).

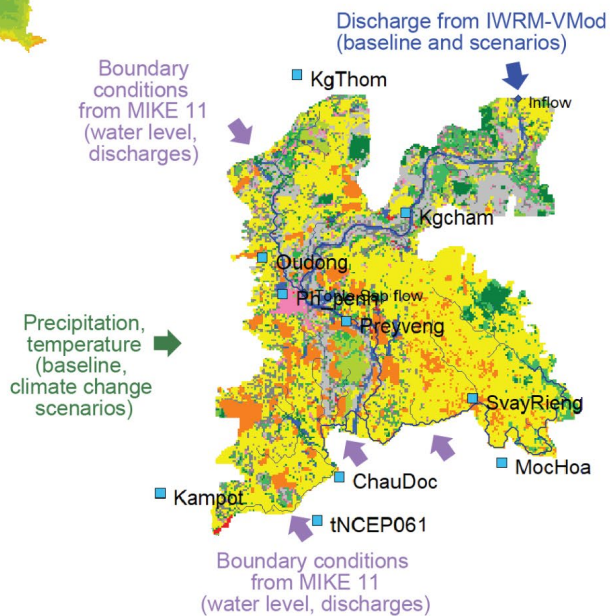
A. Mekong basin hydrological model (IWRM-VMod)



B. Flood propagation model (MIKE 11)



C. Flood extent and duration model (IWRM-Sub)



175 Fig. 2. Schematic illustration of the modelling setup. A: Mekong basin hydrological model IWRM-VMod models the
 176 hydrology of the entire Mekong basin with 5 km x 5 km resolution (Hoang et al 2019). B: Flood propagation model MIKE 11
 177 models the hydrodynamics of the entire Mekong floodplain using the discharges from IWRM-VMod and sea level in South
 178 China Sea as boundary conditions (Triet et al., 2017). C: Flood extent and duration model IWRM-Sub is a detailed 2D
 179 floodplain model using the output from two other models as an input.

180

181 Flood extent maps for calibration and validation were derived from Landsat images using a
 182 sophisticated water detection algorithm developed and optimized for the Lower Mekong
 183 region (Donchyts et al., 2016). All IWRM-Sub model inputs and their brief description are

184 presented in Table 1, while input data for IWRM-VMod is detailed in Hoang et al (2019) and
 185 MIKE 11 in Triet et al. (2020).

186 **Table 1.** List and brief description of datasets for IWRM-Sub.

No.	Data type	Period	Resolution	Source
1	Topography (digital elevation model)	–	90 m	Shuttle Radar Topography Mission 2000
2	Land use map	2003	1 km	Global Land Cover 2000
3	Soil types map	2003	1 km	Food and Agriculture Organization
4	Meteorological data <ul style="list-style-type: none"> ● Temperature ● Rainfall 	1971–2000	Daily	Ensemble of 5 GCMs (ACCESS, CCSM, CSIRO, HadGEM2, and MPI)
5	Historical discharge data	1985–2000	Daily	Mekong River Commission
6	Historical water level data	1985–2000	Daily	Mekong River Commission
7	Hydropower dams and irrigation	–	–	Mekong River Commission
8	Climate change projections of temperature and precipitation.	2036–2065	Daily	Ensemble of 5 GCMs (ACCESS, CCSM, CSIRO, HadGEM2, and MPI)
9	Flood extent maps (satellite image)	1985–2008	30 m	SERVIR-Mekong
10	River cross-section	–	–	Mekong River Commission

187

188 2.3. Modelling methodology

189 We adapted and applied the existing IWRM-VMod (Hoang et al., 2019), MIKE11 (Triet et
 190 al., 2017), and IWRM-Sub (MRC, 2018a) models to assess the smaller scale cumulative
 191 impacts of future development plans and climate change on the Cambodian Mekong
 192 floodplain. Here we enhanced the reliability of these existing models, particularly in the
 193 Cambodian Mekong floodplain, by advancing the predictive accuracy of the hydrology
 194 (recalibration), accounting for multiple calibration stations (four stations), and validating
 195 flood extents against satellite imagery, as described below.

196 Our initial model setup describes the current state of the floodplain for the historic
197 baseline period of 1971–2000, which we further calibrated and validated against observations
198 of water discharge and water level taken at Kratie, Kampong Cham, Chroy Changvar, and
199 Neak Loeung hydrological stations (see locations in Fig. 1). The model performance was
200 systematically quantified and evaluated based upon the Nash-Sutcliffe efficiency (NSE),
201 percent bias (PBIAS), ratio of the root mean square error to the standard deviation of
202 observed data (RSR), and coefficient of determination (R^2).

203 The use of 1971-2000 as our baseline represents well the hydrological state of the basin
204 before major alterations were introduced (Soukhaphon et al., 2021). Including years after 2000
205 in our baseline would introduce significant hydrological and irrigation influences that would
206 prohibit a thorough examination of these in isolation as part of our simulations.

207 Flood extent maps generated from the IWRM-Sub model were validated for the same
208 period against satellite-based flood extent maps generated by the Surface Water Mapping Tool
209 (SWMT). The SWMT is a Google Appspot based online application developed by Donchyts
210 et al. (2016). A stack of Landsat (4 and 5) data were generated using SWMT from 1984 - 2000.
211 This stack of images was then used to generate a water index map using the Modified
212 Normalized Difference Water Index (MNDWI) (Xu, 2006) to distinguish between water and
213 non-water areas, which were then adjusted to account for dark vegetation and hill shadows
214 using a Height Above Nearest Drainage (HAND) map (Rennó et al., 2008). Fig. S1 illustrates
215 all procedures of the Surface Water Mapping Tool.

216 To evaluate the model performance for flood inundation maps, we applied three indices:
217 Recall, Precision, and the ratio between simulated and observed flood extent areas. Recall
218 evaluates what proportion (0-1) of the flood derived from remote sensing images are identified
219 by the simulation. Precision evaluates what proportion of the simulated extent agrees with the
220 remote sensing data. If the simulated extent overlaps the observed extent area perfectly, recall,
221 precision, and the ratio of extents become 1.

222 Once the IWRM-Sub model was successfully calibrated and validated, we modulated
223 the inflow at Kratie and at the confluence of the Tonle Sap River with the main Mekong channel
224 to represent the upstream impacts of multiple development and climate change scenarios (see
225 Section 2.4). We then simulated the Cambodian Mekong floodplain's hydrological and flood
226 conditions (flood extent, flood depth, and flood duration) for each scenario.

227 2.4. Analytical scenario descriptions

228 The scenario setup that we adopted for our study is the same as that described in Hoang et al.
229 (2019). The baseline (1971-2000) represents the Mekong basin at a time before significant
230 alterations to the hydrological functioning of the catchment have occurred through
231 infrastructural development. We then defined 11 development scenarios that cover each of the
232 three main drivers of hydrological change in isolation (hydropower, irrigation, and climate
233 change), as well as combinations of these together. For future scenarios, we used climate data
234 from an ensemble of five GCMs (ACCESS, CCSM, CSIRO, HadGEM2, and MPI) for the
235 years 2036-2065, and considered representative concentration pathway (RCP) levels 4.5 and
236 8.5. These GCMs were selected based on their performance in reproducing historic
237 temperature, seasonal precipitation, and climate extremes in the Mekong region. The GCM
238 data were downscaled using bilinear interpolation and statistically bias corrected using a
239 quantile mapping method. For full details see Hoang et al (2016; 2019). The sea level boundary
240 condition was adjusted by 43 cm for future scenarios to account for the combined effects of
241 sea level rise and deltaic subsidence, taken as the average of the range estimated by Manh et al
242 (2015) i.e., 22-63 cm. This value was used for both RCP4.5 and RCP8.5 as the climate change
243 component of sea level rise for our study period taken from IPCC (2014) is relatively consistent
244 across RCP scenarios (RCP4.5: 19-33 cm; RCP8.5: 22-38 cm). Our hydropower development
245 scenario includes 126 dams on both mainstreams (N= 16) and tributaries (N= 110) of the
246 Mekong, equivalent to a total active storage of 108 km³, all of which are planned to be active
247 between 2036 and 2065. Dam simulation was based on the optimisation scheme developed by
248 Lauri et al. (2012), which calculates each dam's operating rules separately in a cascade, aiming
249 to maximise productive outflows (i.e., outflows through the turbines), thus maximising hydro-
250 power production. The optimised dam operation rules were later validated against observations
251 by Räsänen et al (2017). We also included two irrigation scenarios, a high and low expansion
252 version, using the global projected irrigation expansion scenarios by Fischer et al. (2007)
253 applied to the baseline irrigation extent taken from the MIRCA - 'Global Dataset of Monthly
254 Irrigated and Rain-fed Crop Areas around the Year 2000' (Portmann et al., 2010). A list of
255 scenarios and their notation are presented in Table 2, and a thorough description and
256 justification for these scenarios can be found in Hoang et al. (2019).

257 **Table 2.** Summary of scenario names, driving climate data, and development inclusion
258 descriptions. See Section 2.4 for data description.

Scenario name	Scenario description
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	Climate data	Hydropower	Irrigation
S1_Baseline	Baseline (1971 - 2000)	Circa 2000	Circa 2000
S2_Hydropower	Baseline (1971 - 2000)	Future development	Circa 2000
S3_Irrigation_High	Baseline (1971 - 2000)	Circa 2000	HIGH irrigation expansion
S4_Irrigation_Low	Baseline (1971 - 2000)	Circa 2000	LOW irrigation expansion
S5_CC_RCP45	Future (2036 - 2065) RCP 4.5	Circa 2000	Circa 2000
S6_CC_RCP85	Future (2036 - 2065) RCP 8.5	Circa 2000	Circa 2000
S7_HP_RCP45	Future (2036 - 2065) RCP 4.5	Future development	Circa 2000
S8_HP_RCP85	Future (2036 - 2065) RCP 8.5	Future development	Circa 2000
S9_LI_HP_RCP45	Future (2036 - 2065) RCP 4.5	Future development	LOW irrigation expansion
S10_LI_HP_RCP85	Future (2036 - 2065) RCP 8.5	Future development	LOW irrigation expansion
S11_HI_HP_RCP45	Future (2036 - 2065) RCP 4.5	Future development	HIGH irrigation expansion
S12_HI_HP_RCP85	Future (2036 - 2065) RCP 8.5	Future development	HIGH irrigation expansion

259

260 **3. Results**

261 *3.1. Predictive accuracy of the models*

262 The Mekong basin wide IWRM-VMod hydrological model was calibrated and validated
263 against discharges in various stations, with very good performance: validation period NSE at
264 Nakhon Phanom station of 0.74, and at Stung Treng station of 0.64 (Hoang et al., 2019). MIKE
265 11 model application to the entire Mekong delta was, in turn, validated against two flood events
266 in 2000 and 2011 in Triet et al (2017) also with good correspondence to the observations

267 achieving NSE to observed water levels of between 0.72 and 0.97 across 19 different gauging
 268 stations.

269 Here we validated the IWRM-Sub model for Cambodian Mekong floodplain against
 270 water levels and discharge in four stations and flood extent based on Landsat imagery (see
 271 Methods). Based on the validation measures (Table 3), a good model performance is obtained
 272 at all stations (both water discharge and water level) with the values of NSE between 0.69 and
 273 0.87, PBIAS between -14.4% and $+9.8\%$, RSR between 0.37 and 0.55, and R^2 between 0.89
 274 and 0.93. It should be noted that the statistical model performance with NSE and R^2 greater
 275 than 0.5, PBIAS between $\pm 25\%$, and RSR less than 0.7 is indicated as decision guidelines for
 276 hydrologic model studies (Benaman et al., 2005; Setegn et al., 2010). A time series comparison
 277 between the simulated and observed water discharge and water level (1985–2000) at four
 278 hydrological stations can be found in Fig. S2 and Fig. S3. It is apparent that the simulated water
 279 discharge among these stations is well in line with the observed data throughout the 15-year
 280 hydrological record available for comparison.

281 Results of the flood extent comparison between IWRM-Sub model and SWMT
 282 observations over the time horizon 1985–2000 show equally a good agreement. The model
 283 underestimates the total flooded area by just 0.1% as the ratio of simulated to observed flooded
 284 extent areas is 0.99. However, the overlapping flooded area only constituted 71% of the
 285 observed (SWMT) extent (which constitutes the recall), and 72% of the simulated (IWRM-
 286 sub) extent (which is the precision) (Fig. 3). Part of this discrepancy may be accounted for by
 287 the inclusion of rivers and lakes in the extent of the simulation, yet not in the SWMT derived
 288 extents. Using multiple models in succession can have the negative effect of compounding
 289 errors, however these results demonstrate that this has not unduly impacted our methodology
 290 as our estimations closely match the observations of flood extent.

291

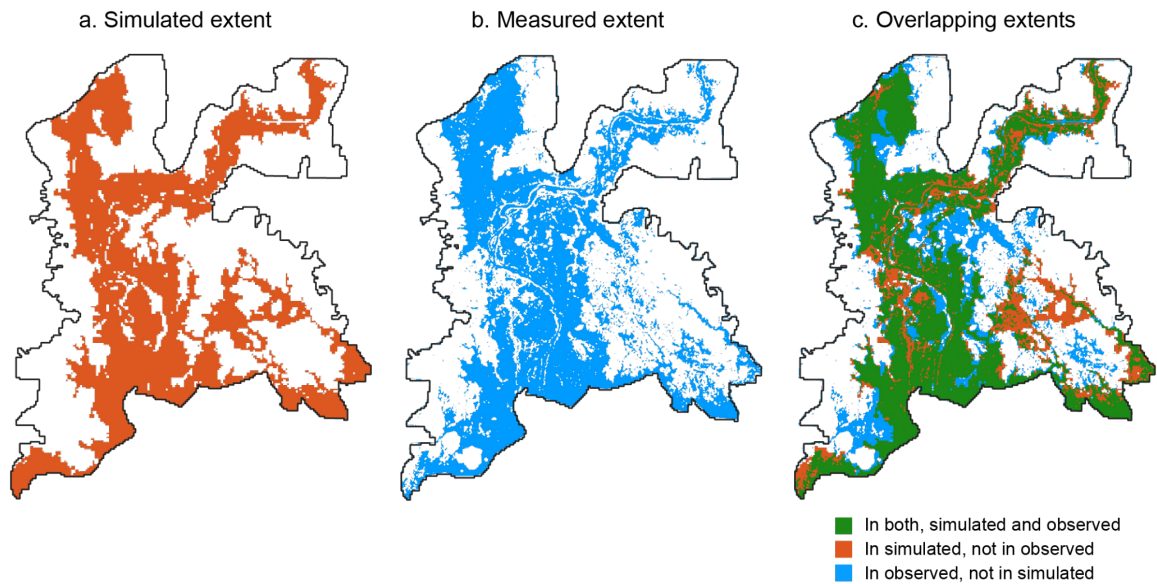
292 **Table 3.** Model performance at four hydrological stations (1985–2000) evaluated with daily
 293 values. See station locations in Fig. 1. Note: the statistical model performance with Nash
 294 Sutcliffe Efficiency (NSE) and the coefficient of determination (R^2) greater than 0.5,
 295 percentage bias (PBIAS) between $\pm 25\%$, and the ratio of the root mean square error to the
 296 standard deviation (RSR) less than 0.7 is indicated as decision guidelines for hydrologic
 297 model studies (Benaman et al., 2005; Setegn et al., 2010).

Station	Water discharge				Water level			
	NSE	PBIAS (%)	RSR	R^2	NSE	PBIAS (%)	RSR	R^2

Kratie	0.79	0.9	0.45	0.89	0.69	-14.4	0.55	0.93
Kampong Cham	0.80	4.5	0.45	0.90	0.87	-1.4	0.37	0.93
Chroy Changvar	0.80	9.8	0.45	0.91	0.86	-3.4	0.37	0.93
Neak Loeng	0.81	-5.6	0.44	0.91	0.85	3.8	0.38	0.93

298

299



301 *Fig. 3. Comparison of maximum flood extent resulting from the model and measured from satellite images.*

302 *3.2. Impacts on hydrological conditions*

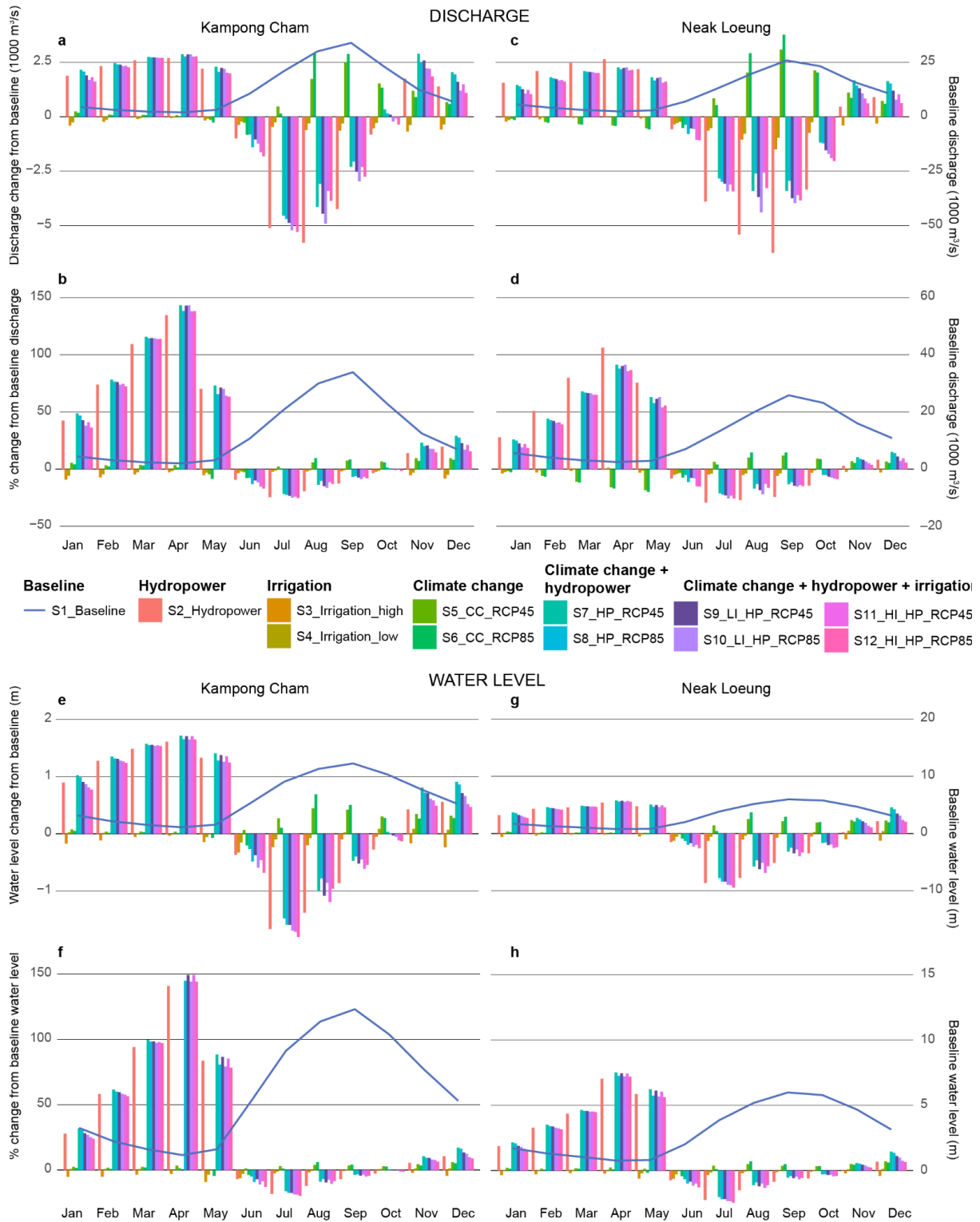
303 Having run the model for each of the development scenarios (S1-S12; see Table 2), we obtained
 304 the corresponding daily time series of water discharge and water level at each station and
 305 compared them with the baseline scenario. We then calculated the mean monthly water
 306 discharge and water level across the study period. Finally, we computed the percentage change
 307 in mean monthly water discharge and water level for each scenario at each station. The results
 308 at Kratie, Kampong Cham, and Chroy Changvar were virtually indistinguishable from one
 309 another, so to avoid unnecessary repetition, we have presented results from only Kampong
 310 Cham (as the midway station) and Neak Loeng, which differs significantly from the other
 311 stations for being downstream of the Tonle Sap River confluence (Fig. 1), and the Bassac River
 312 distributary (Fig. 4).

313 All scenarios that contain an element of hydropower development follow the same
314 pattern of increasing both water discharge and water level during the dry season (Nov–May),
315 whilst reducing water discharge and water level during the early and mid- wet season (Jun–
316 Sep) (Fig. 4). The impact of climate change appears to fluctuate during the months of January
317 to June between Kampong Cham (and Kratie and Chruy Changvar) and Neak Loeung, as there
318 is a slight increase in discharge and water levels at the upstream stations, yet a slight decrease
319 at the downstream station, though the magnitude of any alteration is only small. From July to
320 December, however, the climate change impact is much stronger and increases discharge and
321 water levels at all stations. The larger magnitude of the climate change impacts during the
322 wetter months counteracts the impact of hydropower and irrigation (which slightly reduces
323 flows and water levels in all months), which can be seen in the difference between scenario S2
324 (hydropower solo) and scenarios S7-S12 that incorporate multiple drivers (Fig. 4; scenario
325 description in Table 2). This is most evident at Kampong Cham station in October, where
326 climate change impacts are large enough to offset hydropower impacts, so that only those
327 scenarios that incorporate the additional impact of irrigation are strong enough to reduce flows
328 and water levels. Whilst the largest magnitude impacts are in the wetter months of July to
329 September, the proportional impacts are far larger in the dry season, where the impact of
330 hydropower development dominate the flow regime and increase water levels up to 150% in
331 April at Kampong Cham, compared to a maximum decrease of <25% in July.

332 Comparing results from upstream stations with those at Neak Loeung, we see that the
333 magnitude of climate change impacts are larger downstream both absolutely and
334 proportionally. This is evident in the greater differences between the solo hydropower scenario
335 (S2) and the combined hydropower and climate change scenarios (S7-S12) here than observed
336 at the upstream stations. Nevertheless, hydropower impacts still dominate the flow regime,
337 especially during the drier months where discharges increase >100% in April.

338 Our results suggest that planned hydropower developments will drastically alter the
339 hydrology of the Mekong main channel and far outweigh the effects of irrigation or climate
340 change impacts in either counteracting or enhancing these alterations.

341



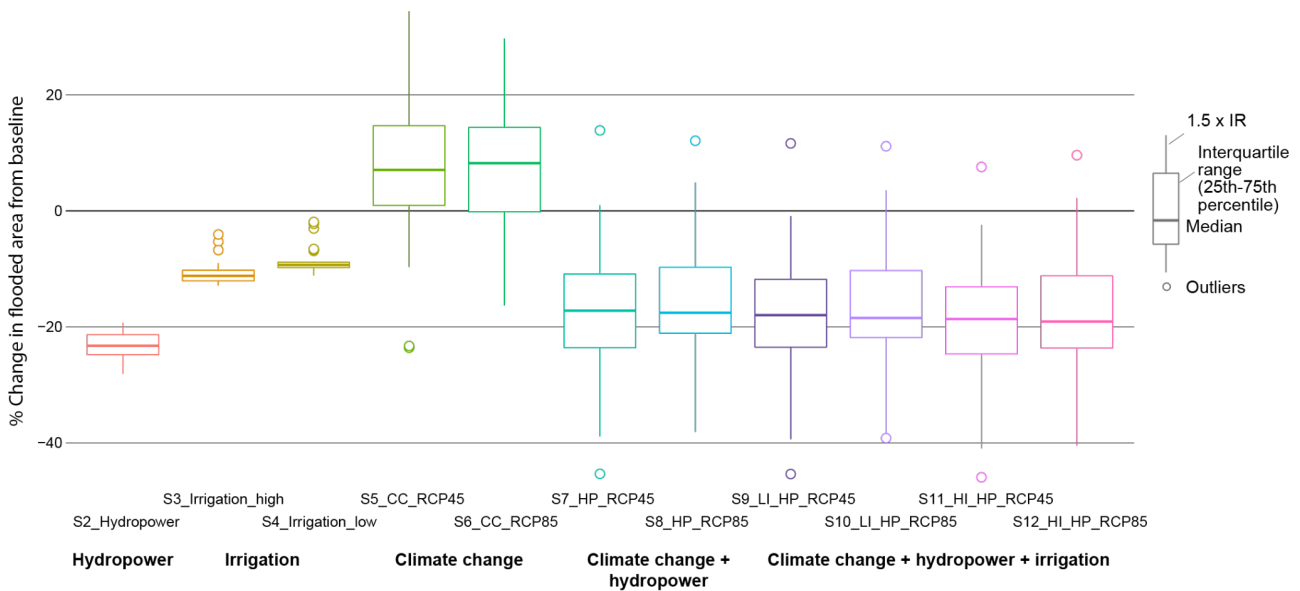
343 **Fig. 4.** Changes in monthly water discharge and water level at Kampong Cham (left hand side) and Neak Loeung (right
 344 hand side); the blue line indicates the baseline monthly discharge and water level, and the colour bar charts indicate both
 345 the magnitude (a, c,e,g) and the percentage (b, d, f, h) change under different scenarios in comparison with the baseline
 346 (1971–2000). (See location of stations in Fig. 1).

347 *3.3. Impacts on flood conditions*

348 Here we present the quantitative results together with the spatial analysis of flood conditions
349 throughout the entire study area. The comparisons between each scenario and their
350 justifications are described in the analysis at the provincial level because of the similarity in
351 patterns. Under the baseline scenario (S1), the modelling results between 1971 and 2000 show
352 that the yearly flooded area ranges from 7,785 to 11,525 km². Its mean annual value is
353 estimated at 9,370 km², about 34% of the whole study area.

354 We compared year to year the impact of each development scenario against the
355 S1_baseline (1971-2000) on the total flooded area across the study area (Fig. 5). Scenarios S2-
356 S4 use the same driving climate data as the baseline scenario (S1), and so the variability in the
357 impact shown is significantly reduced to produce consistent impacts for all years. Whereas
358 scenarios S5-S12 are driven by future climate data projections, so that the variability in
359 comparing year to year is significant. Nevertheless, there is a clear pattern that emerges once
360 again showing the dominance of hydropower development in significantly reducing the yearly
361 flooded area. The impacts of both irrigation development scenarios (S3 and S4) also reduce the
362 yearly flooded area, though to a lesser extent. Climate change impacts in isolation (S5 and S6)
363 increase the flooded area overall, though there are some years in which the area is reduced
364 compared to the baseline. The proportional magnitude of these effects is most evident in the
365 solo hydropower development with a median reduction of >20% year on year, yet the combined
366 impact of irrigation, hydropower, and climate change did reduce flooded areas by up to 40%
367 in some years (Fig. 5).

368

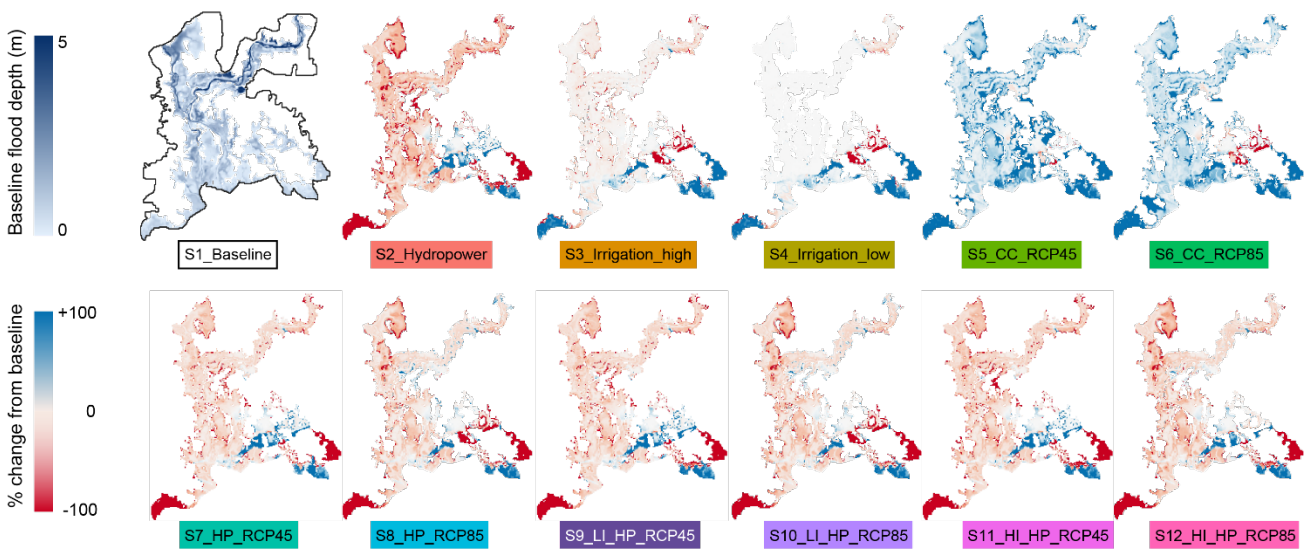


370 **Fig. 5.** Changes in total flooded area compared to the baseline period 1971–2000; the graph shows the range of changes
 371 due to interannual variation (box and whiskers), the median change (horizontal line) and outliers that were exceptional
 372 years (circles).

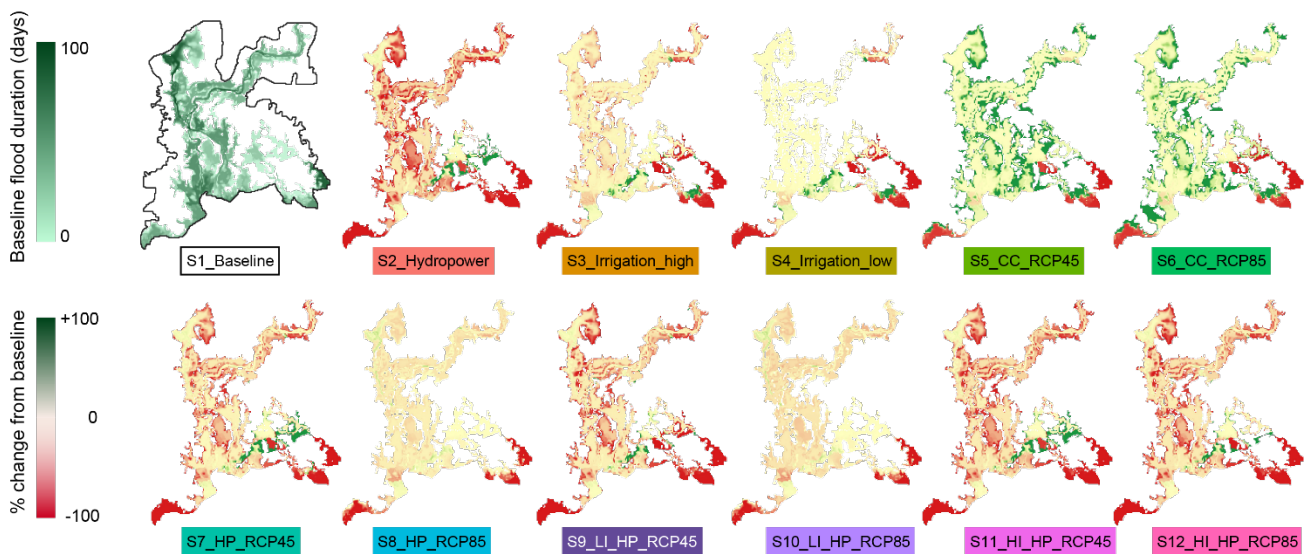
373 The spatial distribution of flood inundation and depth across the Cambodian Mekong
 374 floodplain varies greatly between scenarios of planned developments and climate change (Fig.
 375 6). The floodplain is characterized spatially by a high fluctuation of flood depth and flood
 376 duration alteration of over $\pm 100\%$ in almost all scenarios, especially in the Southeast and the
 377 Southwest part of the study area. Whilst the magnitude of these fluctuations is large across all
 378 scenarios, it is most evident in hydropower (S2) (reductions of depth and duration) and climate
 379 change RCP 8.5 (S6) scenarios (increase in depth and duration). Though even in these most
 380 extreme cases, there are areas that run contrary to the general pattern of change, highlighting
 381 the hydrological complexity of the region. The low irrigation scenario (S4) has the least impact
 382 (Fig. 6), though even this level of development may significantly impact the lower lying regions
 383 in the southwest and southeast where much of the rice cultivation is concentrated. Our results
 384 suggest that all scenarios will cause heterogeneous impacts across the region that may
 385 effectively shift flood impacts from one area to another rather than completely dispel the
 386 associated risks.

387

a FLOOD DEPTH



b FLOOD DURATION



389 **Fig. 6.** Spatial distribution of changes in flood depth and duration. *a:* food depth; *b:* flood duration. Results are shown over
 390 the baseline period 1971-2000, and all scenarios (see description in **Table 2**).

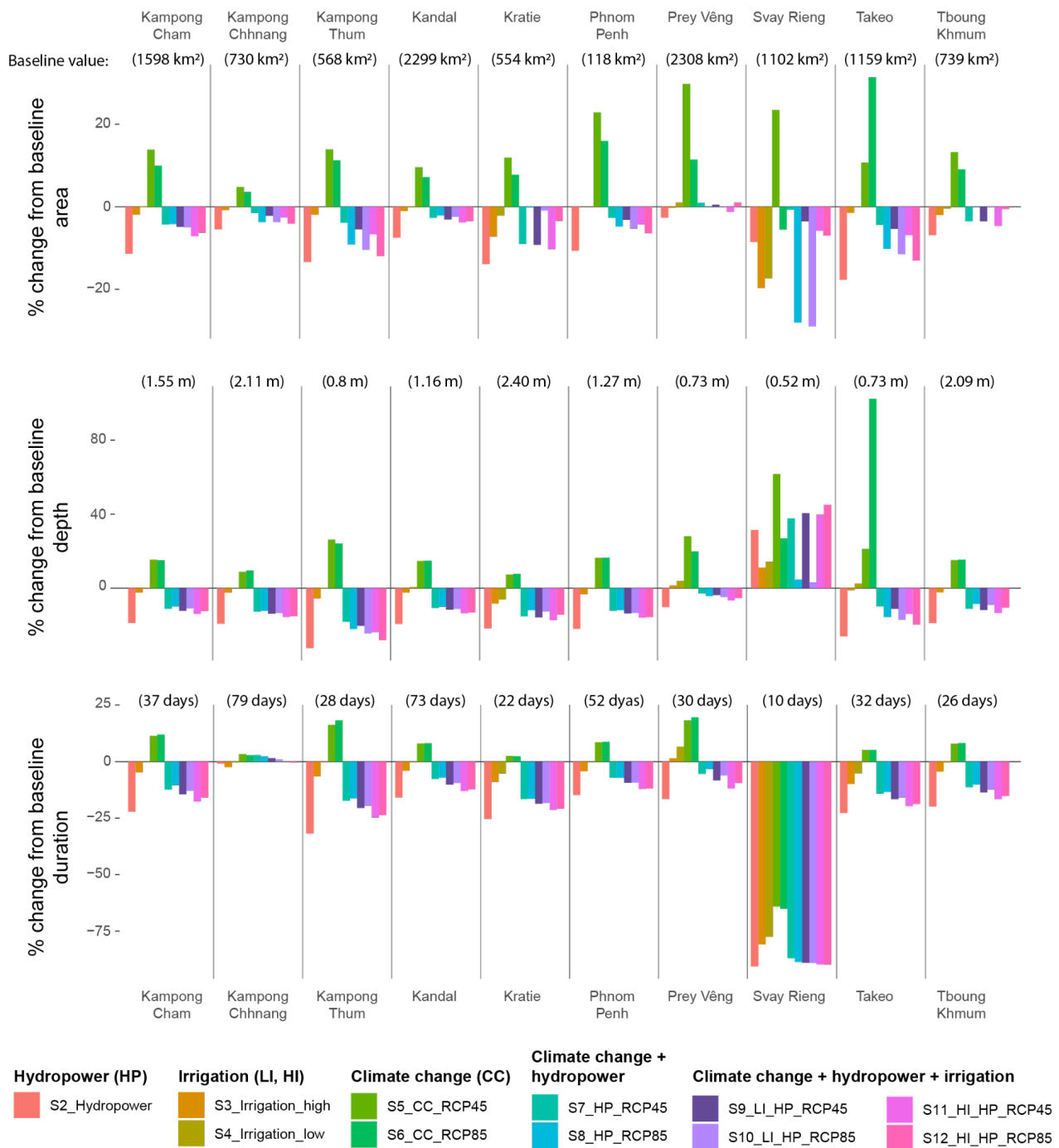
391 **3.4. Provincial level analysis**

392 We examined the change in flooded area, flood depth and flood duration for 10 provinces that
 393 have a considerable part of their area within the study area (Kampong Speu and Kampot
 394 province, and Tay Ninh province in Vietnam, were not included; see Fig. 1). Each scenario
 395 was compared to the baseline period at the provincial level (Fig. 7). Under the baseline scenario
 396 (S1), the modelling results show that the average flooded area ranges from a minimum of 188
 397 km² in Phnom Penh province to a maximum of 2,308 km² in Prey Veng province, which
 398 represents 43% of the provincial territory. Whilst the average flood depth ranges from 0.54 m

399 in Svay Rieng province to 2.4 m in Kratie province, and the average flood duration ranges from
400 10 days in Svay Rieng province to 79 days in Kampong Chhnang province.

401 Except for the Svay Rieng region, which appears anomalous, Kampong Chhnang and
402 Kratie are least affected by the impacts of climate change, whilst Prey Veng and Takeo are
403 most affected (Fig. 7). The development scenarios have least effect in Prey Veng, where flood
404 area and depths are almost unaffected in comparison to the other provinces.

405 Svay Rieng displays an extreme reduction in flood duration for all scenarios, including
406 climate change scenarios, which is also true of the flooded area except for the RCP 4.5 climate
407 impact scenario (S5). Depths, however, increase in all scenarios suggesting that flooding in this
408 province is reduced in extent and duration to a shorter more intense (and so deep) flood event.



410 **Fig. 7.** Changes in annual mean flooded area, flood depth, and flood duration compared to the baseline period (1971–2000)
 411 for all scenarios at the provincial level. See province location in Fig. 1.

412

413 **4. Discussion**

414 **4.1. Key findings**

415 The model performance metrics achieved by our hydrological simulation of water discharge
 416 and water level for the baseline period of 1971–2000 at all four monitoring stations (Kratie,

417 Kampong Cham, Chroy Changvar and Neak Loeung) exceed existing studies within the same
418 region (Västilä et al., 2010), with the exception of Dang et al. (2018), who recorded an NSE
419 value of 0.98 compared to our value of 0.80 at Kampong Cham station. Whilst there are studies
420 of flood extent within our study area that only focus on a single event rather than a multi-year
421 analysis that slightly surpass our own in terms of performance metrics (Fujii et al., 2003), our
422 continual analysis of annual flood patterns comprising a 30-year time horizon is comparable
423 to, and often exceeds, other such multi-year analyses done in the region (Try et al., 2020a; Try
424 et al., 2020b). The relative success of our baseline simulations allows us to have a high degree
425 of confidence in our future projections of the Cambodian Mekong floodplain's hydrological
426 response to planned infrastructural development and future climate changes. All future
427 projections of scenarios containing multiple drivers that we considered within our analysis
428 followed the same generic pattern of alterations to both the expected discharge and river water
429 level, increasing during the dry season (Nov–May), and decreasing during the early- and mid-
430 wet season (Jun–Sep). Such a general pattern of alteration is due to the overwhelming
431 dominance of the hydropower development impacts, that overcome any counteraction that
432 might be applied by either irrigation development schemes (counteracts in dry season) or
433 climate change impacts.

434 These general trends are in line with the majority of previous research in the region
435 (Dang et al., 2018; Kallio and Kummu, 2021; Lauri et al., 2012; Piman et al., 2013; Räsänen
436 et al., 2012; Västilä et al., 2010). The degree of alteration to these hydrological indicators is
437 most pronounced in the upstream areas of Kratie, Kampong Cham, and Chroy Changvar
438 stations and diminishes downstream of the confluence with the Tonle Sap River towards Neak
439 Loeung station, which is also consistent with earlier findings (Dang et al., 2018).

440 Our findings clearly demonstrate the homogenizing effect that the planned hydropower
441 developments would have on the Mekong River's hydrograph, which would go far beyond
442 simply contracting the impacts of other drivers and would reshape the expected flow regime,
443 massively increasing dry season low flows and significantly reducing wet season high flows.

444 The future projections of flood conditions suggest that most provinces will see an
445 increase in depth, duration, and area under climate change scenarios, but that these alterations
446 are counteracted by the combined development scenarios reflecting the flood prevention
447 benefit afforded by irrigation and hydropower scenarios. These findings are supported by other
448 studies that look at the impact of isolated drivers of hydrological change in the region (Fujii et

449 al., 2003; Try et al., 2020a), and studies that look at multiple drivers in nearby regions (Hoanh,
450 et al., 2010; Pokhrel et al., 2018;).

451 Our provincial level assessment shows that Prey Veng province is most vulnerable to
452 the largest flooded area (Fig. 7), as its large territory is entirely located in the low-lying area
453 adjacent to the Mekong River. Kampong Thom province receives the largest flood prevention
454 benefit provided by the planned hydropower developments, whilst Kampong Chhnang receives
455 the least in terms of flooded area and flood duration, most likely because the flood regime is
456 strongly controlled by the Tonle Sap Lake System and receives less influence from the
457 upstream flow alterations. Svay Rieng province is drastically impacted by all the scenarios.
458 This is most likely due to the extremely low ground surface elevation (majority less than 8 m)
459 meaning that slight alterations have proportionally large impacts. The region may also be
460 affected by changes to the hydrological conditions on the Vietnamese Mekong Delta, some of
461 which were represented in this study by means of the boundary conditions supplied by Triet et
462 al (2020) that considered the whole delta region.

463 *4.2. Implications of hydrological and flood condition changes*

464 Changes in hydrological and flood conditions in the Cambodian Mekong floodplain could
465 imply both positive and negative consequences to various sectors such as water resource
466 management, agricultural productions, and ecosystem services (Arias et al., 2012; Kummu and
467 Sarkkula, 2008). In addition, the direction, magnitude, and frequency of impacts will be varied
468 from one location to another.

469 The beneficial consequences associated with the impact of planned developments are
470 derived from increased water availability in the dry season, and reduced flood prevalence in
471 the wet season. The reduction in flood risk due to the decline in the wet season flows and water
472 levels would be a large socio-economic benefit of these development plans, potentially
473 reducing the duration and extent of affected regions by more than 20% (Fig. 5). In addition,
474 increased dry season flow would greatly enhance agricultural productivity, enhance water
475 security, and minimize conflicts between consumers. Environmental flow could also be secured
476 which may help some aspects of ecosystem productivity. Increases in water levels might also
477 reduce energy costs associated with water pumping, and better facilitate dry season navigation.

478 However, there are many negative consequences to the reduction in flood extent and
479 duration associated with the planned development scenarios. Hydropower projects in the
480 Mekong are projected to trap considerable parts of the sediments and the nutrients it contains

481 in the reservoir behind the dam wall, reducing their transportation downstream and subsequent
482 distribution across the floodplain (Kondolf et al., 2018; Kummur et al., 2010; Schmitt et al.,
483 2018; Schmitt et al., 2017). The reduction in sediment transport rates associated with reduced
484 wet season flows and sediment trapping upstream inevitably leads to sediment-starved water
485 flow downstream. This in turn leads to increased rates of channel incision and accelerating
486 riverbank erosion as river waters gain in-situ material for transportation up to carrying capacity
487 (Darby et al., 2013; Morris, 2014). The drop in soil fertility (nutrient bound to sediment)
488 throughout the downstream floodplains would result in a great challenge for ecosystem
489 productivity (Arias et al., 2014), rice production (Boretti, 2020) and the sustainability of
490 flooded forests (rich habitats for fish and other species) (Arias et al., 2014). Dams also act as
491 barriers disturbing fish migration between upstream and downstream sections essential for
492 feeding and breeding, resulting in fisheries losses (Ziv et al., 2012). In addition, the increasing
493 dry season water levels will disturb various river works - for instance, the low water level
494 condition is favourable to river channel maintenance (dredging) and constructions of water
495 infrastructure, usually started and very active during the dry season months.

496 Whilst higher economic damages from flood disasters are proportional to extended
497 flooded areas, intensifying flood depths, and prolonging flood durations, there are
498 counteracting positive impacts associated with floods, including the transport of nutrients and
499 increased fisheries productivity. Increasing flood extents widen the coverage of fertile
500 agricultural land (Lamberts, 2008), which implies a more extensive production of rice - the
501 most important agricultural activity in the Cambodian Mekong floodplain. In contrast, a
502 substantial reduction in flooded area would lead to a fall in flooded forest, a rich habitat for
503 fish and other species (Arias et al., 2014; Kummur and Sarkkula, 2008), leading to a decline in
504 fisheries and ecosystem productivity in general. These benefits from an extended flood extent
505 need to be balanced against the detrimental impacts of deep flood depths and long flood
506 durations, which can be catastrophic to crop yields across the floodplains. Therefore, suitable
507 flood conditions should be well determined for a better trade-off with the developmental
508 impacts.

509 *4.3. Limitations and perspectives for future research*

510 Several studies have been conducted to understand hydrologic processes within the Cambodian
511 Mekong floodplain, Tonle Sap Lake Basin, and Vietnamese Mekong Delta. Different
512 considerations have been taken into account for the analysis in previous research; they include

513 but are not limited to (1) water infrastructure development, (2) climate change, (3) sea level
514 rise, (4) land use and land cover change, (5) population growth, and (6) climatic related
515 phenomena. However, the present study is targeted to gain insight into how the combination of
516 upstream hydropower development, irrigation expansion, and climate change will affect the
517 Cambodian Mekong floodplain in terms of hydrological and flood patterns. Under climate
518 change scenarios, the future rainfall and temperature were assumed respectively to be wetter
519 and warmer.

520 Future research should employ finer resolution climate models and newer CMIP-6
521 scenarios, although according to our analysis of basin-wide mean precipitation and temperature
522 do not differ greatly between these two climate change modelling phases (Table S1). In
523 addition, a small-scale decision support tool set-up; as well as satellite-based image analysis to
524 assist in evaluating a comprehensive study of the flood vulnerability in the Cambodian Mekong
525 floodplain or the wider implications for the Water-Energy-Food Nexus for present and future
526 conditions.

527 Another relevant research direction is the prediction of future land use and river
528 morphological changes. This could generate a key input for a more realistic assessment of
529 hydrological and flood alterations. River sand mining has been very active in the Cambodian
530 Mekong River and its main tributaries as rapid and on-going urbanization requires a massive
531 amount of sand, which is an important material not only for construction but also for backfill
532 (Boretti, 2020; Hackney et al., 2020). Riverbank collapses, directly or indirectly associated
533 with excessive sand extraction, have been very severe. Moreover, many floodplains and
534 wetlands have been filled by sand and transformed into urban areas, resulting in a critical
535 change in river morphology and landscape along the river channels and throughout the
536 floodplains. More importantly, these alterations are still being perpetuated without the full
537 impact of their occurrence being understood or accounted for.

538 Floods are an essential component of the landscape for both the people and the
539 ecosystem of the Mekong Basin, but they also pose significant hazards and losses when the
540 magnitude is too great to handle effectively. As the development of water infrastructure could
541 cause a decrease in flood conditions and climate change may reverse such impacts, it is still
542 unknown what the desired flood water level and flood duration should be. This has led to a
543 great difficulty in proposing optimum flood protection measures while maximizing dam

544 benefits. Therefore, another potential research topic is the determination of the ideal flood
545 conditions for maximum productivity from both the agricultural and ecosystem perspectives.

546 The intended purpose of these future research is to provide valuable information and
547 assist governments, policymakers, and water resources engineers to foresee future threats of
548 different intensities. Moreover, their results would be helpful in formulating better water
549 resources management strategies, and in elevating all living things' resilience to the future
550 challenges for the sustainability of resources within the floodplain.

551 **5. Conclusions**

552 By combining the effects of development activities and climate change, this research
553 uses a novel setup of three different models to assess the potential impacts of hydropower
554 development, irrigation expansion, and climate change on the Cambodian Mekong floodplain.
555 We show through model validation that the developed modelling setup performs well in the
556 study area and could therefore potentially be used for future studies in the Mekong, as well as
557 in the floodplains of other large rivers. Our findings contribute to the delivery of more precise
558 information about the expected changes to flooding regimes in the area and highlight the
559 importance of properly characterising the directions and magnitudes of these changes. The
560 combined development scenarios that we analysed exhibited the same pattern of decreasing
561 hydrological conditions during the wet season, whilst increasing water discharge and water
562 levels in the dry season. The degree of hydrological alteration under hydropower development
563 and irrigation expansion is counteracted to a limited degree by the impact of future climate
564 change, which is projected to intensify the onset of wet season months and exacerbate water
565 deficiencies in the dry season months.

566 Our findings assist in strategic plan formulation and decision-making processes in the
567 dynamic Mekong region. The positive and negative implications of developmental impacts on
568 water availability, flow alterations, and particularly flood regime alterations should be carefully
569 considered when determining the level of investment to place in counteracting measures.
570 Reduced flooding during the wet season has flood protection benefits, whereas increases in dry
571 season flows have the benefit of increased water availability for irrigation. However, the
572 negative impacts should also be considered: a reduction in fisheries productivity, sediment
573 trapping and a decline in nutrient supply to the floodplain, and a reduction in floodplain
574 ecosystem productivity. Balancing these trade-offs will be an essential component of any
575 successful floodplain management strategy put in place to address future climate change and

576 uncertainty in a sustainable manner. A timely preparedness will be essential to avoid future
577 economic and environmental damages, as well as safeguarding the wellbeing of vulnerable
578 communities living throughout the Cambodian Mekong floodplain.

579

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587

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