

1 **A morpho-tectonic approach to the study of earthquakes in Rome**

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16 **Abstract**

17 Rome has the world's longest historical record of felt earthquakes, with more
18 than 100 events during the last 2,600 years. However, no destructive earthquake
19 has been reported in the sources and all of the greatest damage suffered in the
20 past has been attributed to far-field events. While this fact suggests that a
21 moderate seismotectonic regime characterizes the Rome area, no study has
22 provided a comprehensive explanation for the lack of strong earthquakes in the
23 region. Through the analysis of the focal mechanism and the morphostructural
24 setting of the epicentral area of a "typical" moderate earthquake (ML=3.3) that
25 recently occurred in the northern urban area of Rome, we demonstrate that this
26 event reactivated a buried segment of an ancient fault generated under both a
27 different and a stronger tectonic regime than that which is presently active. We
28 also show that the evident structural control over the drainage network in
29 this area reflects an extreme degree of fragmentation of a set of buried faults
30 generated under two competing stress fields throughout the Pleistocene. Small
31 faults and a present-day weaker tectonic regime with respect to that acting
32 during the Pleistocene **might explain the lack of strong seismicity in the long**
33 **historical record, suggesting that a large earthquake is not likely to occur.**~~explain~~
34 ~~the lack of strong seismicity and imply that a large earthquake could not~~
35 ~~reasonably occur.~~

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37 **Key words:** Rome; geomorphology; streambed analysis; structural geology;
38 earthquakes; seismotectonics

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49 **1. Introduction**

50 On May 11th 2020, a moderate ($M_L=3.3$, $I_0=IV$ MCS) yet broadly felt earthquake
51 awoke most of the Rome's inhabitants at 05:03 a.m. (local time) (for details see
52 <https://e.hsit.it/24397691/index.html>). While producing no damage, the
53 shaking alarmed many citizens, who searched for information and reassurance
54 through the dedicated informative sources such as the INGV (Italian National
55 Institute of Geophysics and Volcanology) website. Others, instead, preferred to
56 trust on several popular beliefs which state that "Rome couldn't be struck by a
57 Big One" (i.e., a destructive earthquake with $M>7.0$), such as the mitigating effect
58 of the catacomb voids (trivial simplification from the Aristotelian theories), or
59 the protection granted by the Pope's presence. It is very likely that only few
60 people based their reactions upon a learned knowledge of the actual
61 seismotectonics features of the Rome's area. Indeed, even if a series of
62 specialized studies have been published in the last 20 years, a dedicated paper
63 investigating the reasons why Rome would not be affected by large earthquakes
64 is still missing in the scientific literature. Filling this gap is the aim of the present
65 paper in which we present a seismic study of the May 11th 2020 earthquake,
66 coupled with a statistical analysis of streambed directions in the epicentral area.
67 We identify the geometry of the seismogenic structure responsible for this $M=3.3$

68 event, and we frame it within the overall geo-morpho-structural setting of the
69 Rome's area, providing insights on the seismo-tectonic features of this region.

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71 **2. Seismicity of the Rome's area**

72 Our knowledge on the earthquakes that affected the roman area can be resumed
73 from the seismic catalogues' records (Guidoboni et al., 2018; Rovida et al., 2020
74 and from the literature (e.g., Tertulliani and Riguzzi, 1995; Molin and Rossi,
75 2004; Galli and Molin 2014; Tertulliani et al., 2020) as follows:

- 76 • very few events caused significant damage in the city (1349, 1703, 1915),
77 according to the studies mentioned above; all these large earthquakes
78 occurred in the Apennines mountain range;
- 79 • some other seismogenic areas surrounding Rome (e.g., the Colli Albani
80 Volcanic District) generated events that caused moderate damage;
- 81 • the Province of Rome (hereafter GAR, is the present metropolitan area of
82 Rome) is periodically affected by low to moderate magnitude local
83 earthquakes which is not supposed to cause significant damage.
- 84 • Uncertain events. Catalogue records quote several earthquakes that
85 provoked some damage in Rome (see table 1). Most of such events,
86 occurred during the Roman Age and Early Middle Ages are poorly
87 documented and therefore not localizable.

88 A summary of the historical and instrumental seismicity of the GAR is shown in
89 Figure 1. Evidently, the completeness of our knowledge of seismicity decreases
90 going back in time. In the period of ancient Rome, as well in the Early Middle
91 Ages, strong earthquakes would seem hit Rome, sometime causing damage,
92 whose origin is still unknown. The difficulty to understand if such earthquakes
93 were generated by local or far-field sources depends on the documentary
94 accounts: the earthquake was considered a prodigy, and as such, interpreted as a
95 divine foretelling. Information on effects, damage or victims was often neglected,
96 and very rarely documented. For these reasons we are not able to distinguish
97 with reliability if such ancient events were originated, for example, in the
98 Apennines region, or near Rome (in italic in table 1). In table 1 the earthquakes
99 that hit Rome with a local intensity greater than 6 are listed.

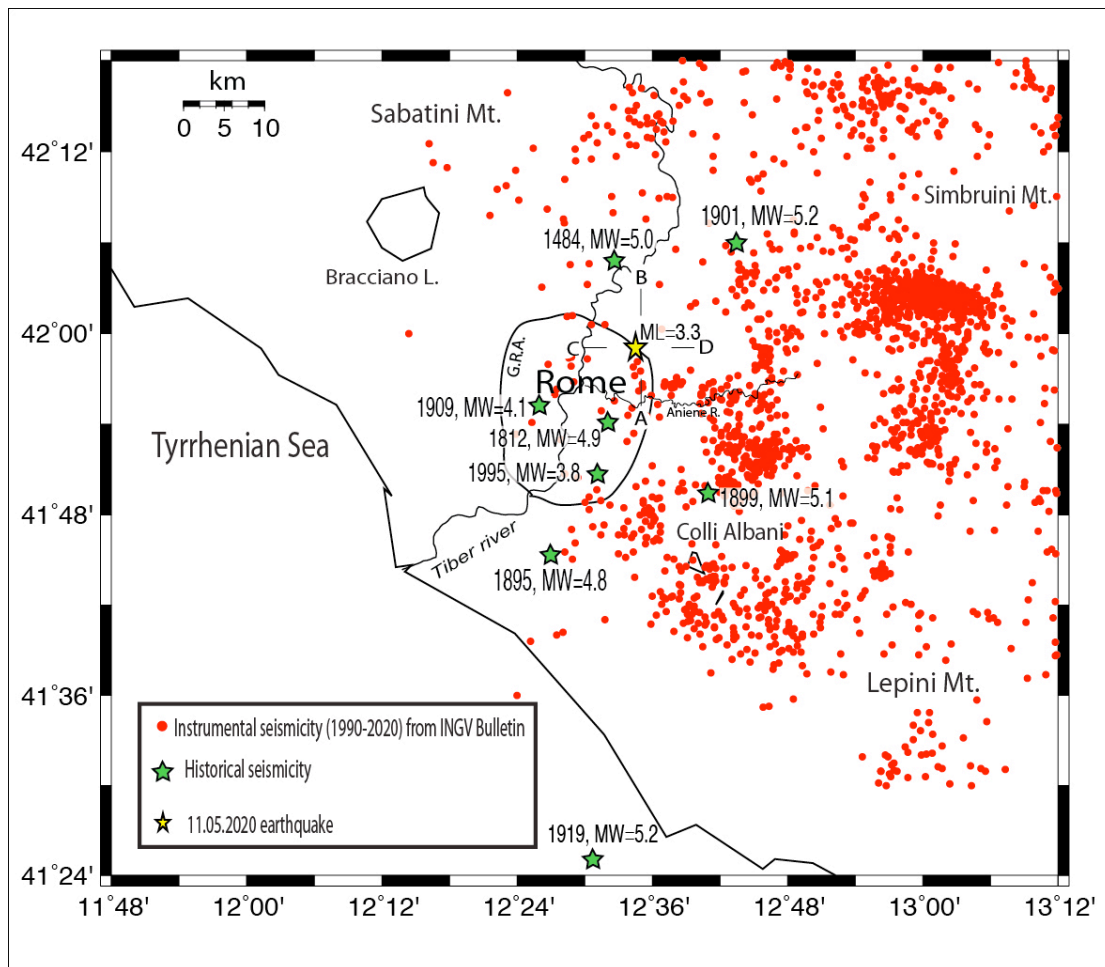
100

Int. in Rome	Year	Epicentral Area	Epic Int Io	Mw
<i>7-8</i>	<i>83 BC</i>	<i>Rome</i>	<i>7-8</i>	<i>5.4</i>
<i>7-8</i>	<i>72 BC</i>	<i>Rome</i>	<i>7-8</i>	<i>5.4</i>
<i>7-8</i>	<i>15</i>	<i>Rome</i>	<i>7-8</i>	<i>5.4</i>
<i>8</i>	<i>51</i>	<i>Rome</i>	<i>8</i>	<i>5.6</i>
<i>8</i>	<i>443</i>	<i>Rome</i>	<i>8</i>	<i>5.6</i>
<i>7-8</i>	<i>484</i>	<i>Rome</i>	<i>7-8</i>	<i>5.4</i>
<i>7-8</i>	<i>801</i>	<i>Rome</i>	<i>7-8</i>	<i>5.4</i>
<i>7</i>	<i>1091</i>	<i>Rome</i>	<i>7</i>	<i>5.1</i>
7-8	1349	Central Apennines	9	6.3
5-6	1703	Central Apennines	11	6.9
6	1703	Central Apennines	10	6.7
6	1730	Central Apennines	9	6.0
6-7	1812	Rome	6-7	4.9
5-6	1895	Rome	6-7	4.8
6-7	1899	Albani Hills	7	5.1
6-7	1915	Central Apennines	11	7.1
6	1927	Albani Hills	7	4.9

101 Table 1. List of earthquakes that caused documented damage in the present GAR.
 102 The oldest events (italic in table) are not constrainable. (Data from Guidoboni et
 103 al., 2018; Rovida et al., 2021; Tertulliani et al.; 2020).

104

105 It is interesting to note, from the seismic hazard point of view, that the epicenter
 106 of several more constrainable historical events, that occurred in the Roman
 107 countryside, are nowadays included in the GAR territory, densely urbanized.
 108 Within this limited territory we can anyway discriminate some different clusters
 109 of seismicity, in particular SE and NE of the City center. Of the first cluster are
 110 part the 1812, 1895, 1995 earthquakes, while the 1901 and 2020 events are
 111 located NE of the city (Figure 1). Very likely this seismicity feature is due to the
 112 activity of different seismotectonic structures.



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2. Seismotectonic features of the Rome's area

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Our knowledge on the earthquakes that affected the roman area can be resumed from the seismic catalogues' records (Guidoboni et al., 2018; Rovida et al., 2020) and from the literature (e.g., Tertulliani and Riguzzi, 1995; Molin and Rossi, 2004; Galli and Molin 2014; Tertulliani et al., 2020) as follows:

119

- very few events caused significant damage in the city (1349, 1703, 1915), according to the studies mentioned above; all these large earthquakes occurred in the Apennines mountain range;

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- some other seismogenic areas surrounding Rome (e.g., the Colli Albani Volcanic District) generated events that caused moderate damage;

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- the greater area of Rome is periodically affected by low to moderate magnitude local earthquakes which is not supposed to cause significant damage.

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A summary of the historical and instrumental seismicity of the greater area of

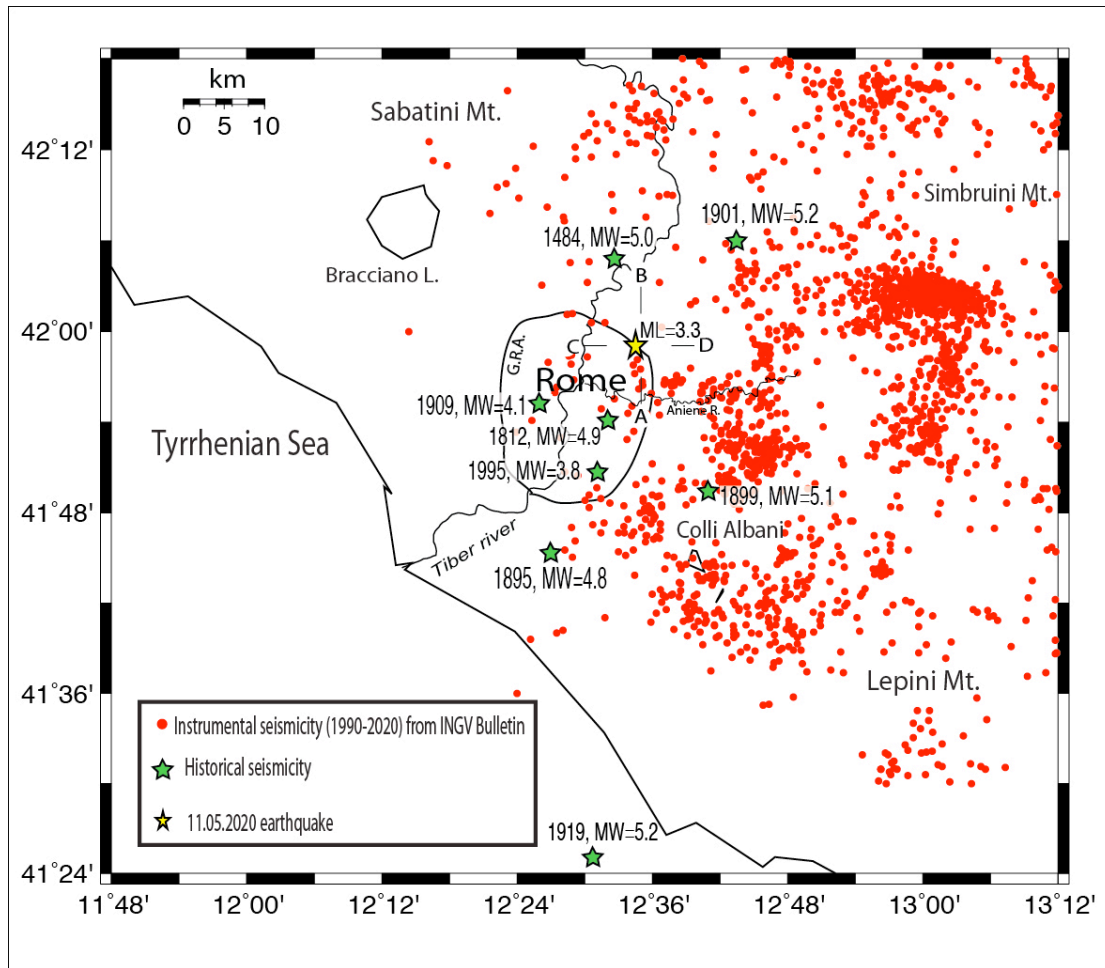
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Rome is shown in Figure 1. Evidently, the completeness of our knowledge of

129

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130 ~~the Early Middle Ages, strong earthquakes would seem hit Rome, sometime~~
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139 ~~of several historical events, that occurred in the Roman countryside, are~~
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144 ~~located NE of the city (Figure 1). Very likely this seismicity feature is due to the~~
145 ~~activity of different seismotectonic structures.~~



146

147 **Figure 1.** Map showing the seismicity of the Rome's area and mainshock location (blue star) of
 148 the 11.05.2020 earthquake. A-B and C-D are the cross-sections in Figure 4b. G.R.A. is the beltway
 149 around Rome.

150

151 **3. Regional tectonic setting**

152 In approaching the geodynamics of this region the contribution of three main
 153 mechanisms of deformation should be considered, as proposed in Faccenna et al.
 154 (1996):

155 i) the NW-SE shortening (arrow #1 in Figure 2b) induced by the convergence of
 156 Africa and Europe (Tapponier, 1977);

157 ii) the sinking of the Ionian slab (arrow #2 in Figure 2b), producing the eastward
 158 migration (arrow #3) of the Apennine arc, and consequent back-arc extension
 159 (arrow #4) in the Tyrrhenian region (Malinverno and Ryan, 1986; Patacca and
 160 Scandone, 1989);

161 iii) the gravitational spreading of the overthickened crust (arrow #5 in Figure
 162 2b) in the Apennine crustal wedge (Reutter et al., 1980; Horvath and
 163 Berckhemer, 1982).

164 All these mechanisms are to be considered presently active in the Northern
165 Apenninic arc on the basis of seismic and stress-field indications (Selvaggi and
166 Amato, 1992; Amato et al., 1993; Frepoli and Amato, 1997; Mariucci et al., 1999;
167 Lucente and Speranza, 2001; Montone and Mariucci, 2016). Moreover, crustal
168 thinning induced by extension was coupled with asthenospheric bulging (arrows
169 #6 in Figure 2b), leading to the back-arc volcanism on the Tyrrhenian margin
170 (Serri, 1997, and references therein). Such phenomena, and related magma
171 underplating, enhanced the extensional processes (arrow #6' in Figure 2b) in a
172 feedback mechanism in this region. In this regard, it is fundamental to notice that
173 the Rome area and the Alban Hills are at the southeastern margin of the Latium
174 Magmatic Province (Serri et al., 1993), and that very scanty volcanic activity
175 occurred in the area between Rome and the Ortona-Roccamonfina Line (O-R in
176 Figure 2a), which is considered (Patacca et al., 1990) a major geodynamic
177 boundary separating the Central and Southern Apennines (Figure 2a). According
178 to Marra (1999, 2001), the Sabina shear zone (Alfonsi et al., 1991) represents the
179 northern boundary of this crustal disengagement zone. Based on its proximity to
180 the Sabina shear zone, and in agreement with the numerous field evidence of
181 fault kinematics (Faccenna et al., 1994a, 1994b; Marra, 2001; Marra et al., 2004)
182 and the peculiar eruptive behaviour of the Alban Hills Volcanic District (Marra et
183 al., 2009), Frepoli et al. (2010) proposed that the transpressional stress regime
184 has been the prevailing one in this region during Quaternary times, and that it is
185 temporarily superimposed by the extensional regime during periods of incoming
186 volcanic activity and/or increased extensional activity (depending on which is to
187 be considered cause and which effect) on the Tyrrhenian margin (Figure 2b).

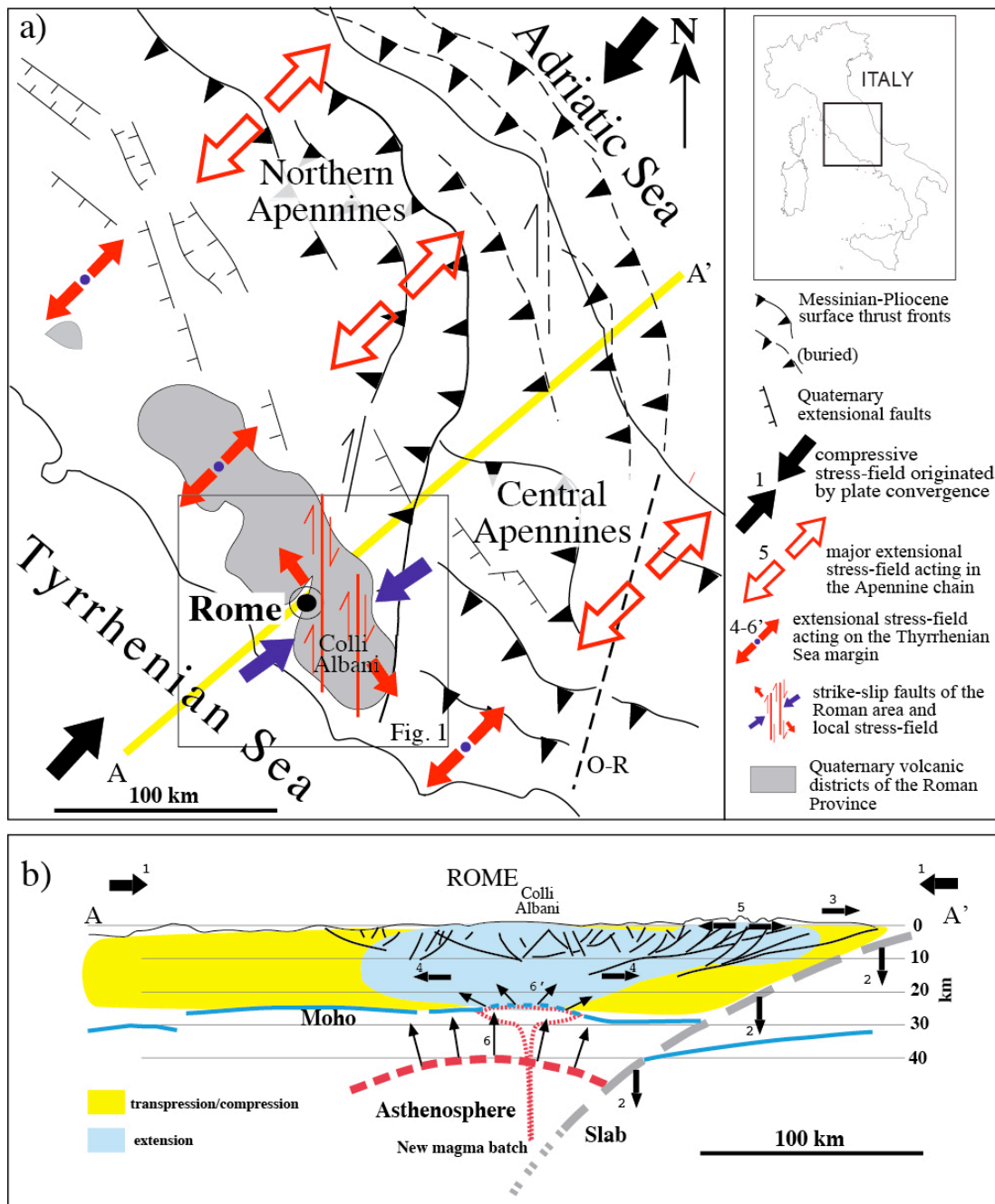
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190 **34. Morpho-structural features of the Rome's area**

191 The morpho-structural setting of the Roman area originates in the deformation
192 of the geological substrate by combined faulting processes and erosion of rivers
193 and streams (Del Monte et al., 2016). Although partially obliterated by millennia
194 of anthropic interventions, it presents some evident and peculiar traits, whose
195 analysis allows us to understand the features of the tectonic forces (and related
196 stress-fields) that acted in the geological past through present time (Marra,

197 2001) (Fig. 2). Such analysis also consents to interpret the origin of the
 198 earthquakes that nowadays affect this area.
 199



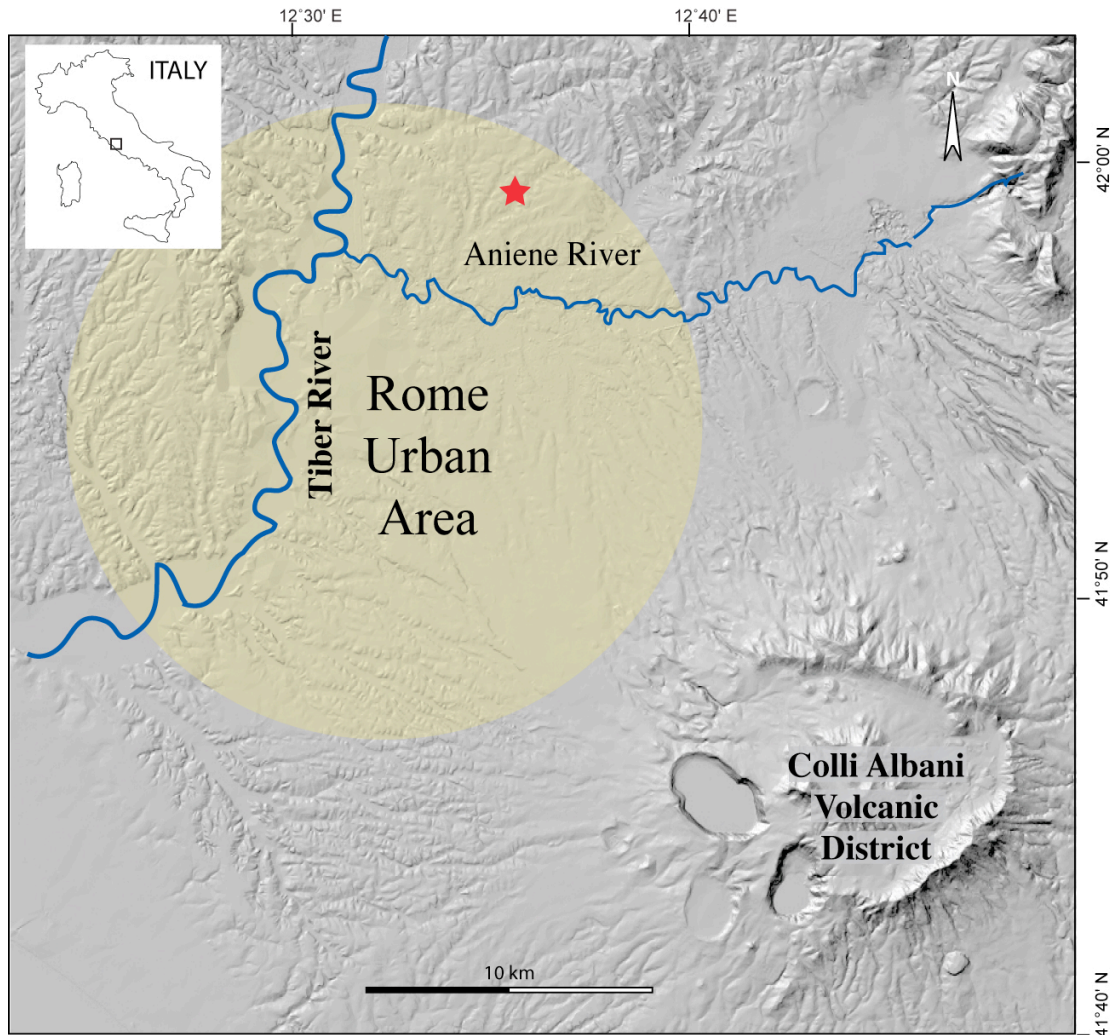
200 **Figure 2.** Structural scheme of central Italy showing the competing tectonic force fields and the
 201 main faults associated with them that acted in the Middle-Upper Pleistocene. *See text for*
 202 *comments and explanations.*
 203
 204

205

206 If we could see what the topography was like before the foundation of the City,
 207 the area of Rome would appear as a large flat sector, deeply engraved and
 208 dissected by the valleys of the tributary streams of the Tiber and Aniene Rivers,

209 and by the wider ones of the two main watercourses. While these features are
 210 less visible in the historical center of Rome, they are still well recognizable
 211 through a Digital Elevation Model (DEM) in its surrounding territory, as
 212 highlighted in Fig. 3.

213



214

215

216 **Figure 3.** Digital elevation model (DEM) of the Roman area (TINITALY by Istituto Nazionale di
 217 Geofisica e Vulcanologia (INGV), published with a CC BY 4.0 license; available at:
 218 <https://doi.org/10.13127/TINITALY/1.0>), showing the strongly marked characters of the river
 219 and stream incisions that form the hydrographic network afferent to the Tiber and the Aniene
 Rivers. Location of the 10.05.2020 earthquake is also shown (red star).

220

221

222 Most of the tabular surface highlighted by the shaded area in Fig. 3 is a
 223 "pyroclastic plateau" created by the emplacement of large coulter of volcanic
 224 deposits **erupted from the Colli Albani and Monti Sabatini districts**. These are
 225 represented by pyroclastic flows, originated by the collapse of the sustained
 226 eruptive column, and air-fall products such as windblown pumice, scoria and

227 lapilli. The deposition of these volcanic products, starting from around 600,000
228 years ago (Marra et al., 2014; Gaeta et al., 2016), leveled the ground creating a
229 thick, layered blanket of sediments which was soon after etched by the erosive
230 action of the watercourses. The latter, however, did not settle at random, but
231 progressively shifted in correspondence with embryonic fractures and fault lines
232 created by active tectonic deformation. The same fracturing and faulting
233 associated with the extensional tectonic regime which shaped the Tyrrhenian
234 Sea margin of central Italy during the Pleistocene allowed the magma residing in
235 the mantle to rise to the surface (e.g., Locardi et al., 1977, Acocella and Funicello,
236 2006), originating the volcanoes of the so-called "Roman Province" (Peccerillo,
237 2017) (Fig. 2). An intense seismotectonic regime must have been associated to
238 these large extensional faults, likely producing strong earthquakes throughout
239 this region.

240

241 From the end of the Middle Pleistocene (125,000 years ago), the tectonic activity
242 began to decrease in intensity, paralleling the decrease in volcanic activity
243 (Marra et al., 2004a). Hence the seismogenic potential of the faults associated
244 with this tectonic regime must also have decreased significantly. This is one of
245 the reasons why Rome is today a low seismicity area. Moderate earthquakes
246 ($M \leq 5.0$) (Tertulliani and Riguzzi, 1995; Basili et al., 1995) are almost exclusively
247 concentrated in the volcanic area of Colli Albani (Amato and Chiarabba, 1995),
248 which is in a quiescent status (Trasatti et al., 2018). The moderate seismicity of
249 the Roman area reflects an active stress-field of the same nature, but weaker,
250 than the extensive tectonic regime that characterized the Tyrrhenian Sea margin
251 of central Italy for the entire Pleistocene, as revealed by the study of the focal
252 mechanisms of these earthquakes and borehole breakouts (Montone et al., 1995;
253 Montone and Mariucci, 2016). Such weaker tectonic regime, therefore,
254 reactivates all the faults present in this region with small movements, compatible
255 with their orientation with respect to the vectors of the stress-field (Frepoli et al.,
256 2010). The seismic events associated with this regime do not generate ground
257 ruptures, as it happens for strong, heavy damaging earthquakes, because the
258 small displacements that occur on the fault planes at depth do not propagate to
259 the surface. However, these movements repeated over time generate a slow and

260 progressive deformation of the soil, conditioning the flow direction of surface
261 waters, and exerting a "structural control" on the stream axes and alluvial valleys
262 (Marra, 2001). It follows that the hydrographic network has assumed over time a
263 geometry reflecting that of the faults occurring in the geological substrate.

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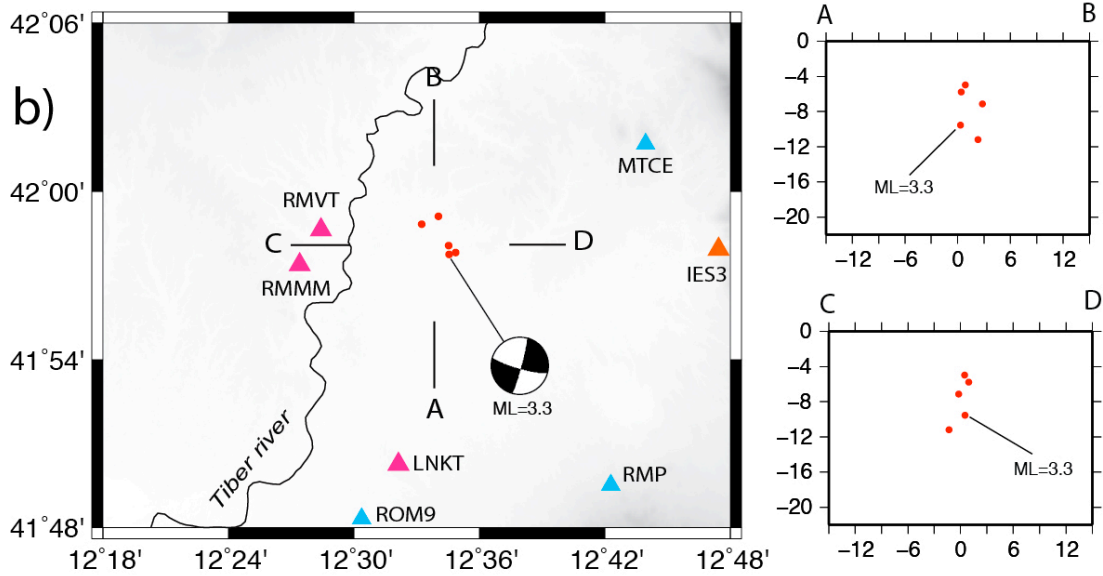
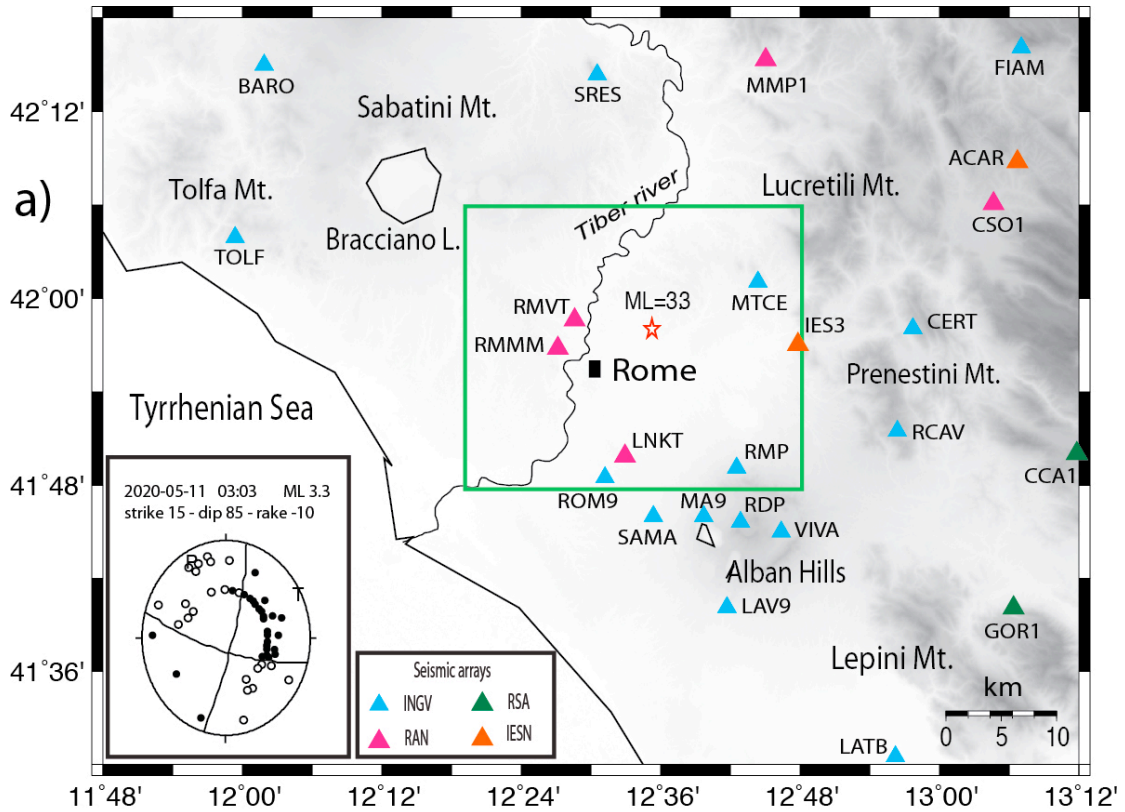
266 **5. Data and Methods**

267 **5.1 Seismic analysis**

268 **4. Seismicity**

269 The small seismic sequence occurred on May 11th 2020 in the north-eastern area
270 of Rome was recorded by the Italian National Seismic Network (RSN) of the
271 Istituto Nazionale di Geofisica e Vulcanologia (INGV) and by the regional seismic
272 network of Lazio and Abruzzo (RSA) (De Luca et al., 2009; Frepoli et al., 2017)
273 (Figure 4). Both national and regional Italian seismic networks have been
274 significantly extended in the last two decades through installation of new three
275 components, mostly broadband, stations. In addition we integrated the dataset of
276 this sequence with the data of the Italian strong motions network (RAN)
277 **operated by the National Civil Protection Department** and with the IESN network
278 (Italian Experimental Seismic Network) of Central Italy, an amateur seismic
279 network equipped with very good digitizers and sensors. This dense monitoring
280 improved in the last decade the detection and location of the seismicity in central
281 Italy.

282 To accurately relocate the seismicity, we used the Hypoellipse code (Lahr, 1989)
283 and a reliable 1D V_p velocity model computed by the application of a genetic
284 algorithm (Holland, 1975; Sambridge and Gallagher, 1993). A constant value of
285 $1.84 V_p/V_s$ determined with the Wadati method (Chatelain, 1978) was used.



286

287 **Figure 4.** a) Distribution of the seismic stations of the Italian National Seismic Network (RSN) of
 288 the Istituto Nazionale di Geofisica e Vulcanologia (INGV) and of the regional seismic network of
 289 Lazio and Abruzzo (RSA) used to locate the epicenter of the 11.05.2020 event (red star). b) Map
 290 and vertical distribution of the mainshock and two aftershocks.
 291

292 **5.2 Geomorphology**

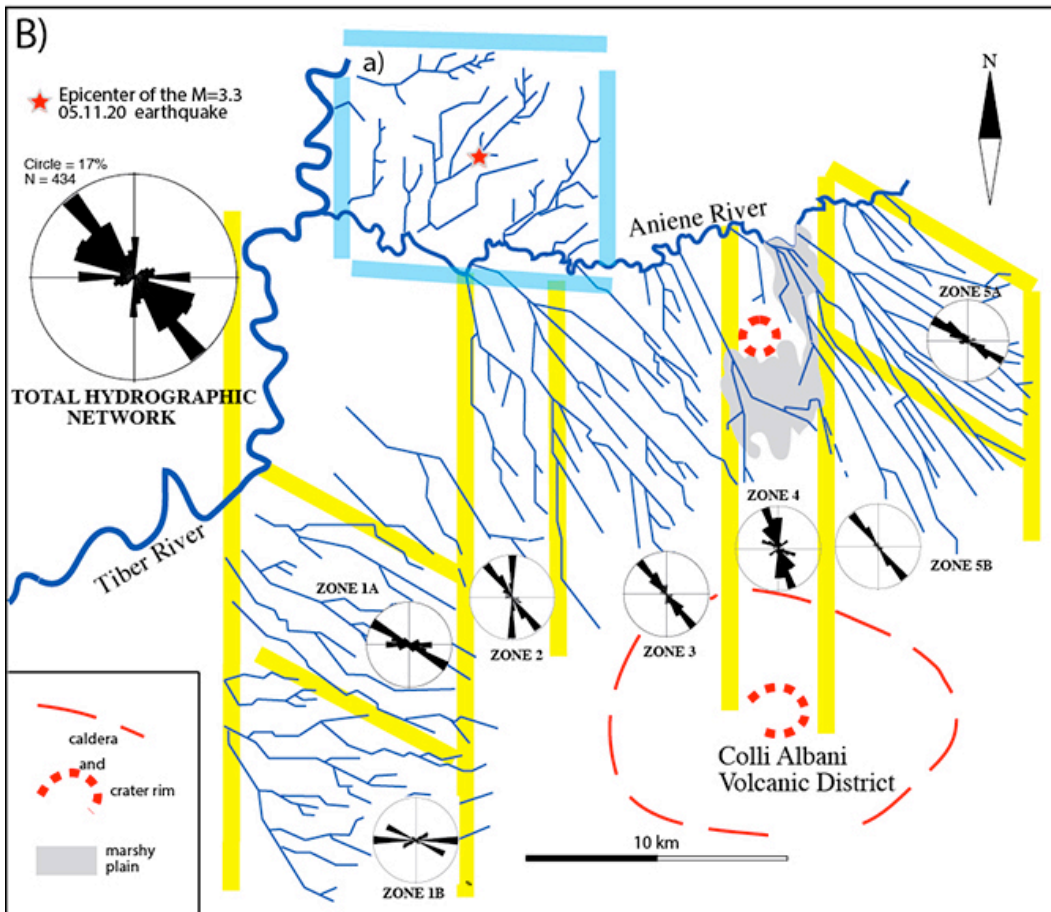
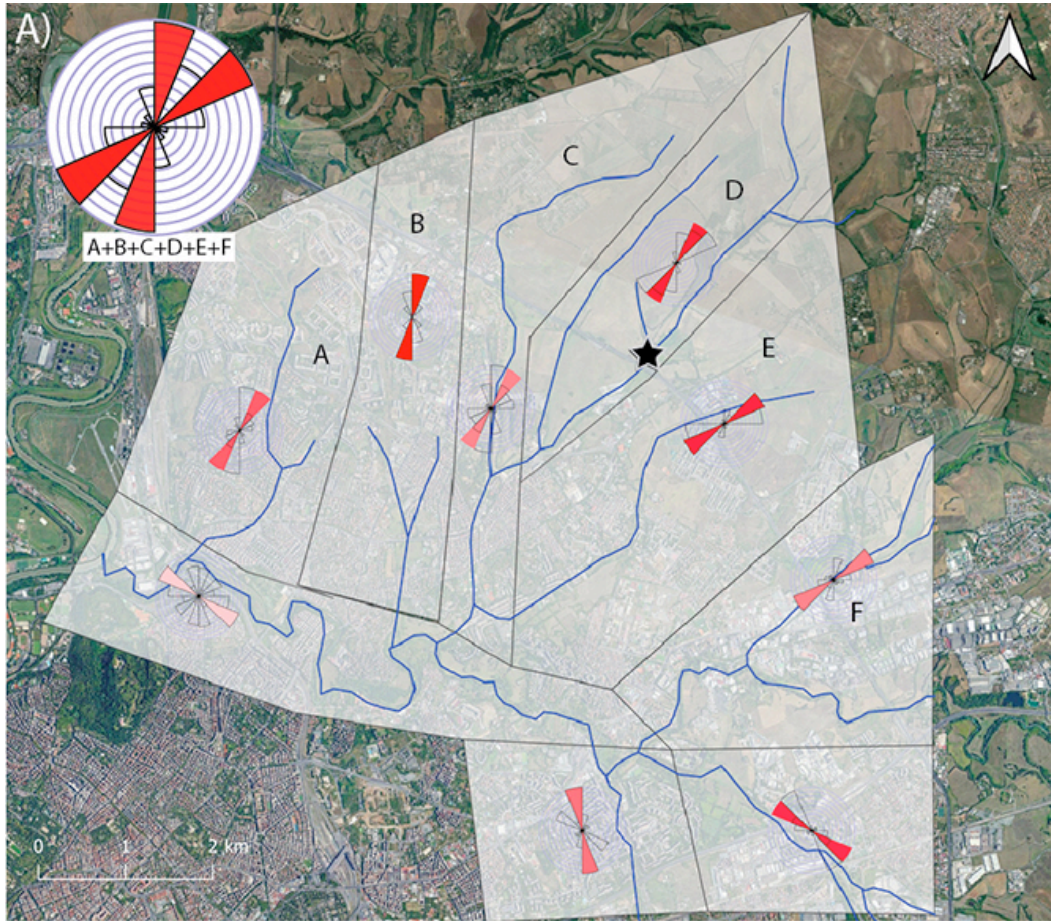
293 **5.2.1 Previous studies**

294 A quantitative analysis of drainage trends in the south-eastern area of Rome
 295 bounded by the Tiber and Aniene Rivers and by the Colli Alabani volcanic district

296 was carried ~~on~~-out by Marra (2001). A simple technique based on statistical
297 analysis of rectified directions of streambeds was applied- (e.g., Ciccacci et al.,
298 1987; Caputo et al., 1993; Macka, 2003). Stream channel directions for the total
299 area and for different sectors were weighted according to three groups of length,
300 independent of hydrographic order, and plotted on rose diagrams.

301 While it is possible that rectifying drainage patterns can introduce directionality
302 that is unrelated to structural control, it still does indicate preferential directions
303 of river flow. In the case that these preferential directions of river flow were
304 statistically significant and different from those expected from non-structural
305 controls (e.g. topographic and geographic trends), they were interpreted to be
306 diagnostic of the structural setting. Anthropogenic intervention is also possible cause
307 of rectification of water channels, however, the linearity of the alluvial valleys
308 forming in the hydrographic network consents to compare and support the
309 directionality of the streambeds. Indeed, deep incisions and a "canyon-like"
310 morphology characterizes the alluvial plains forming the hydrographic network
311 (see Fig. 3), due to the occurrence of ca. 50 m tectonic uplift in the last 250 ka
312 (Marra et al., 2016).

313



315 **Figure 5.** A) Result of the streambed direction analysis performed in this work
 316 within the hydrographic basin including the epicenter area of the May 11th event
 317 (pale-blue borders in B) is compared with that performed in the south-eastern
 318 Roman area, between the Tiber, the Aniene and the Colli Albani (B) (Marra,
 319 2001). **Yellow lines border the different sectors of the analyzed drainage**
 320 **catchment basins.** Analysis in the historical city center was hindered by the
 321 occurrence of a widespread anthropic cover. Basemap from Qgis
 322 QuickMapServices (available under [Creative Commons Attribution-ShareAlike](https://plugins.qgis.org/plugins/quick_map_services/)
 323 [3.0 licence \(CC BY-SA\)](https://plugins.qgis.org/plugins/quick_map_services/) at:
 324 https://plugins.qgis.org/plugins/quick_map_services/).

325

326 Results of the analysis conducted by Marra (2001) are shown in Fig. 5B showing
 327 that the NW-SE direction is the dominant one in the total area analysis (large
 328 diagram in the left upper corner), as opposed to an expected radial drainage
 329 trend descending from the Colli Albani caldera rim **and affecting an**
 330 **heterogeneous geologic substrate.** –The maximum concentration of fluvial
 331 channel directions oriented N145° matches the strike of extension-induced faults
 332 and fractures and agrees with the present-day stress field determined from focal
 333 mechanisms and breakouts data in this region (Montone et al., 1995; Montone
 334 and Mariucci, 2016). Moreover, there are significantly different concentrations in
 335 discrete sectors delimited by the yellow lines. In particular, there are two narrow
 336 bands (zones 2 and 4) where the N-S direction of the streambeds prevails, and
 337 peculiar "domains" (zones 1A, 5A) where the WNW-ESE one is prevailing. The
 338 validation of the 'tectonic' hypothesis was performed through comparison with
 339 geometry and kinematics of fault and fractures surveyed in the area, allowing
 340 to interpret the pattern highlighted as the result of a complex structural control
 341 in this area, exerted by two competing stress-fields alternating each other
 342 throughout Pleistocene times (Marra, 1999, 2001; Frepoli et al., 2010).

343

344 **5.2.3 Streambed analysis**

345 In order to compare the results with previous analysis of the regional
 346 deformation pattern, a quantitative analysis of drainage trends has been
 347 performed in the discrete hydrographic basin located in the sector NE of the
 348 Tiber and Aniene Rivers confluence (Fig. 5A), within which the May 11th
 349 earthquake occurred.

350 The streambed direction analysis within the hydrographic basin including the
 351 epicenter area of the May 11th event was created by using the QGIS "Line
 352 Direction Histogram" plugin (Tveite, 2015), that visualizes the distribution of
 353 line segment directions as a rose diagram (weighted using the line segment

354 lengths). The number of bin of direction which composes the rose diagram could
355 be set and in this work we used 8 bins corresponding to the main cardinal
356 directions. The tiles in which the area has been divided were identified
357 according to the main directions of streambeds.

358

359 **5.3.4 Drainage network anomalies and river profile analysis**

360 Drainage network anomalies are one of the most useful morphotectonic
361 indicators of active tectonics and they are widely used as an effective tool to infer
362 the possible control of fault activity on landscape and channels (see for example,
363 Boulton et al., 2014; Calzolari et al., 2016; Pavano et al., 2016; Kent et al., 2017;
364 Baharami, 2013). Integrated studies of possible active tectonic control on the
365 geometry of the drainage network frequently include analysis of river
366 longitudinal profiles, preferential orientation and alignments of channels, right-
367 angle confluences and fluvial elbows (Boulton et al., 2014; Pavano et al., 2016;
368 Kent et al., 2017; Gioia et al., 2018). Indeed, river profile analysis is one of the
369 most powerful tools for the identification of transient state of a drainage
370 network and recognition of knickpoints/knickzones, which represent valuable
371 and effective morphotectonic markers of recent crustal deformation (Whipple
372 and Tucker, 1999). Our approach combines the analysis of anomalies in drainage
373 network geometry (i.e. preferential orientation and/or alignments of channels,
374 fluvial elbows, right-angle confluences) with the identification of
375 knickpoints/knickzones of tectonic origin in transient longitudinal river profiles.
376 Such data have been used as morphotectonic evidences of active/recent tectonic
377 deformation induced by fault system responsible for the seismic activity of the
378 study area.

379 River profile analysis has been carried out according to the methods and
380 procedures developed by Wobus et al. (2006), Forte and Whipple (2019) using a
381 DEM with a spatial resolution of 10 m. Stream profile analysis is classically
382 carried out by identifying knickpoints or knickzones along the river longitudinal
383 profiles or by extracting a linear regression in a log-log slope-area graph, which
384 allowed us to extrapolate the concavity index (the slope of the regression) and
385 the steepness index (the y-intercept, that is the projection of the best-fit line that
386 intersects the y-axis). Knickpoints or abrupt scarps of the longitudinal profiles

387 can be related to tectonic- or eustatic- induced perturbations of ancient base-
388 levels but their formation and migration can be also related to a co-seismic fault
389 ruptures **or deformation induced by blind faults** (Kirby and Whipple, 2012). In
390 particular, the identification of fault-induced disturbance on channel profiles can
391 be performed through the recognition of linear alignments of
392 knickpoints/knickzones in channels with different sizes and orientations
393 (Boulton et al., 2014; Kirby and Whipple, 2012).
394 In order to investigate the possible occurrence of fault-related knickpoints and
395 river profile anomalies, we have investigated the river longitudinal profiles of the
396 main channels of the study area through the identification and mapping of
397 abrupt changes in river profile shape. Such data have been combined with the
398 morphotectonic analysis of the spatial distribution of drainage network
399 anomalies. Then, their spatial distribution has been used to infer the traces of
400 possible tectonic lineaments of the study area.

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402 **6. Results**

403 **6.1 Focal mechanism and re-location of the 11 May earthquake**

404 The M_L 3.3 mainshock (11 May at 03:03 UTC) was followed over the next two
405 days by only four small aftershocks with magnitude ranging from 0.7 to 1.8
406 (Table 2). Thanks to the high station coverage we were able to determine all
407 earthquake hypocenter depths with acceptable uncertainties. The average
408 location errors are 0.14 km (horizontally) and 0.32 km (vertically) with a
409 confidence level of 90%. Mainshock hypocenter is at 9.6 km of depth, while the
410 aftershock hypocenters are ranging from 5.0 to 11.2 km of depth (Fig. 4). The
411 two largest aftershocks (magnitude M_L 1.8 and 1.4, respectively) have depth
412 between 5.0 and 5.8 km, and are located very close to the mainshock epicenter,
413 while the two smallest aftershocks (both magnitude M_L 0.7) are located slightly
414 towards NW with respect to the mainshock epicenter, at 7.2 and 11.2 km of
415 depth. **These two aftershocks are clearly unrelated with the seismogenic**
416 **structure responsible for the mainshock and are likely the effect of stress**
417 **propagation to a contiguous fault.**

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Table 2. List and localization parameters of the Rome sequence (May 2020).

Date	Origin time	Lat	Lon	Depth	Azimuthal gap	RMS	Magnitude M_L
2020-05-11	03:03	41 57.77	12 34.54	9.6	44	0.14	3.3
2020-05-11	03:14.43	41 59.13	12 34.05	7.2	72	0.12	0.7
2020-05-11	03:14.47	41 58.84	12 33.25	11.2	73	0.11	0.7
2020-05-12	00:06	41 57.83	12 34.87	5.8	47	0.18	1.8
2020-05-13	00:07	41 58.08	12 34.53	5.0	46	0.20	1.4

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We have computed the fault plane solution of the mainshock with the FPFIT code (Reasenbergs and Oppenheimer, 1985). First-motion polarities are 57. The focal mechanism has a large strike-slip component (first nodal plane: strike 21015 , dip 6585 , rake -2510). T-axis is oriented in a NE-SW direction according with the general "Antiapennine" (NE-SW) extension. Following some tectonic information of this area, the fault plane coincides with the NNE-SSW nodal plane of the solution which has a left-lateral strike-slip kinematics.

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6.2 Statistical analysis of streambed directions in the epicenter area

Results of the streambed analysis in the small hydrographic basin where the epicenter of the May 11th earthquake occurred are summarized in Fig. 5A. The streambeds in the eastern portion of the basin (discrete sectors D, E, F) concentrate around the NE-SW direction, which is the one expected based on the topographic gradient, perpendicular to the Aniene River course, towards which the catchment basin drains. In contrast, an abrupt rotation occurs in the western portion of the basin (discrete sectors A, B, C), where the streambeds are aligned along the NNE-SSW direction, parallel to the main watercourse of the Tiber River. Similarly to the results obtained in the southern area by Marra (2001), showing that the ca. N-S direction is a characteristic feature of the streambeds in this region which is clearly independent by the geographic and topographic control on the hydrographic network, we interpret the N-S lineaments to reflect tectonic control on the streambeds exerted by fault activity in the analyzed basin. As it has been remarked in previous works (e.g., Alfonsi et al., 1991; Faccenna et al., 1994, 2008; Marra et al., 2004b) strike-slip, right-lateral N-S faults have been

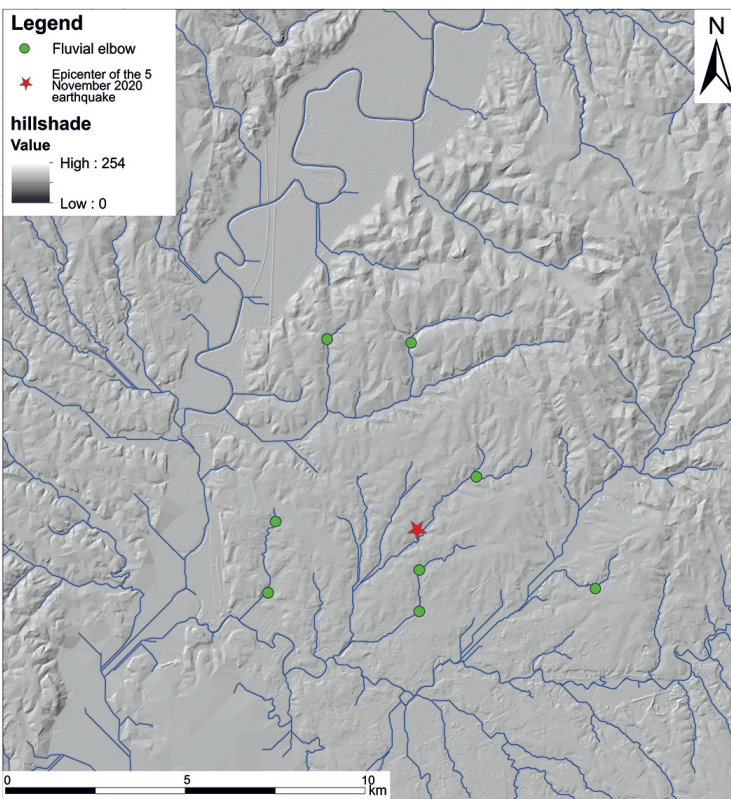
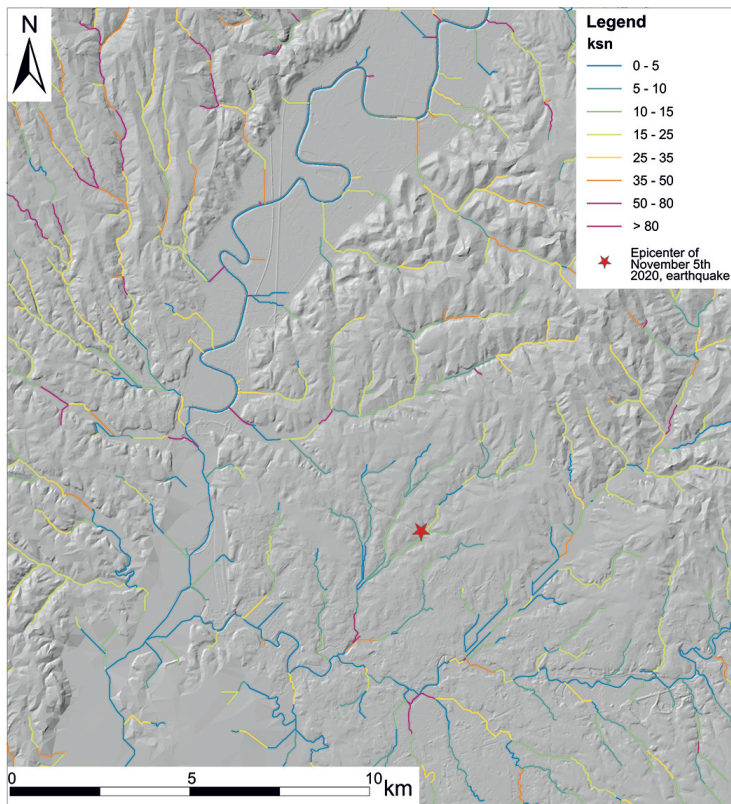
451 active repeatedly during the Pleistocene, up to historical times. Frepoli et al.
452 (2010) have remarked on the direct relationship between the sectors
453 characterized by N-S direction of the streambeds and seismically active fault
454 zones. It is worth noting that the May 11th earthquake epicenter occurs on the
455 northern continuation of one such N-S zone (zone 2 in Fig. 5B).

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457 **6.3 Morphotectonic analysis of the drainage network: river profile analysis** 458 **and drainage network anomalies**

459 Analysis of longitudinal river profiles of the bedrock-rivers is based on the
460 stream power incision model (Whipple and Tucker, 1999; Wobus et al., 2006;
461 Forte and Whipple, 2019) and has been carried out to evaluate the channel
462 response to eustatic- and tectonic-induced processes. In a first step, we prepare a
463 map of the normalized steepness index (ksn) with a reference concavity index of
464 $\theta_{ref} = 0.45$ (Fig. 6a). Ksn map allowed us to perform a preliminary analysis of the
465 spatial distribution of ksn values, which can be useful to individuate the sectors
466 of the landscape featured by knickpoints and knickzones of tectonic origin.
467 Moreover, a morphotectonic map showing the spatial distribution of fluvial
468 elbows and anomalies in drainage network geometry was also introduced (Fig.
469 6**4**b). Fig. 7 shows the results of the analysis of the river profiles, which
470 highlights how most of the channels deviates from the typical equilibrium shape
471 of the longitudinal profiles. Longitudinal profiles are featured by the presence of
472 knickpoints and knickzones, mainly in the central reach of the river profiles.
473 These knickpoints appear not controlled by lithological contact and suggest a
474 transient state of the fluvial net induced by tectonic perturbation or eustatic
475 base-level variations. In particular, we detect the occurrence of convex zones or
476 knickpoints related to a past base-levels, as testified by the presence of a large
477 “terraced surfaces” at altitude ranging from 60 to 40 m a.s.l. (Fig. 7). Our analysis
478 also reveals the occurrence of a cluster of knickpoints in the right-orographic
479 side of the Aniene River with different features than the previous ones. In fact,
480 they can be classified as slope-break knickpoint (*sensu* Wobus et al., 2006, see
481 also Kirby and Whipple, 2012) and are aligned along NW-~~SO-SE~~ and N-S
482 orientation. Such alignments as well as the location of anomalous confluences
483 and right-angle elbows of the drainage network allowed us to infer the

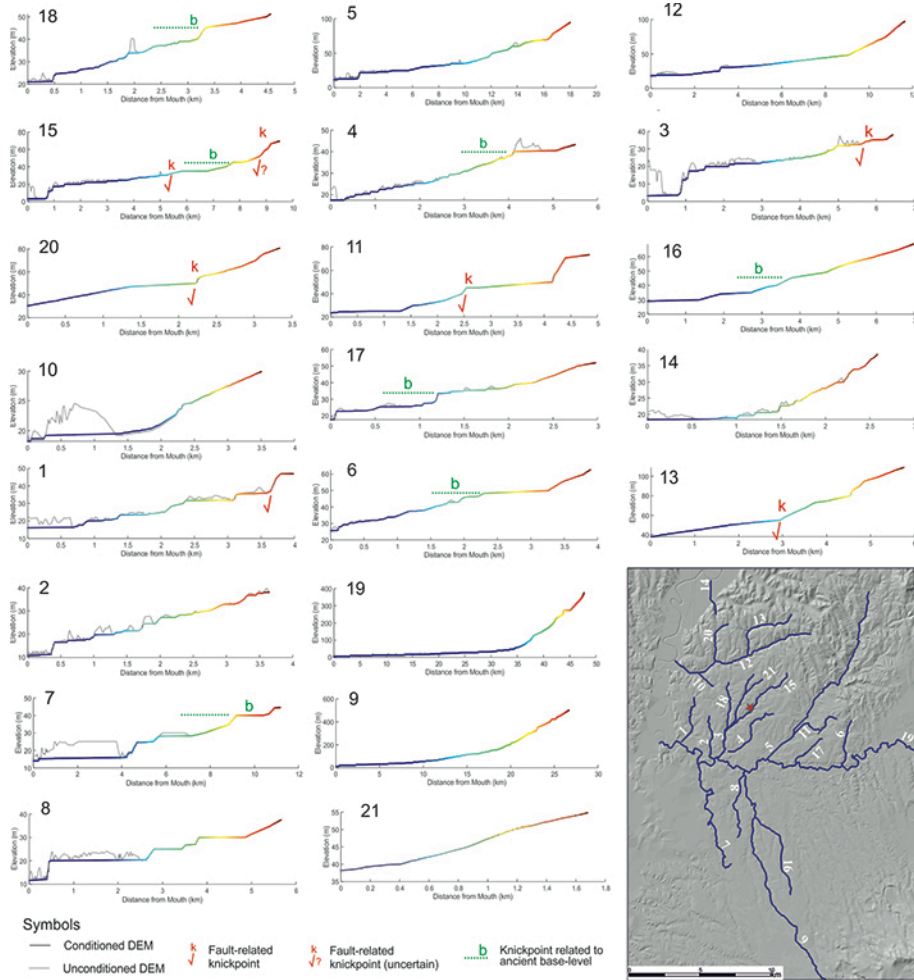
484 occurrence of the tectonic lineaments mapped in Fig. 78, which can be
485 responsible for the recent tectonic activity that promoted the perturbation of the
486 fluvial net.



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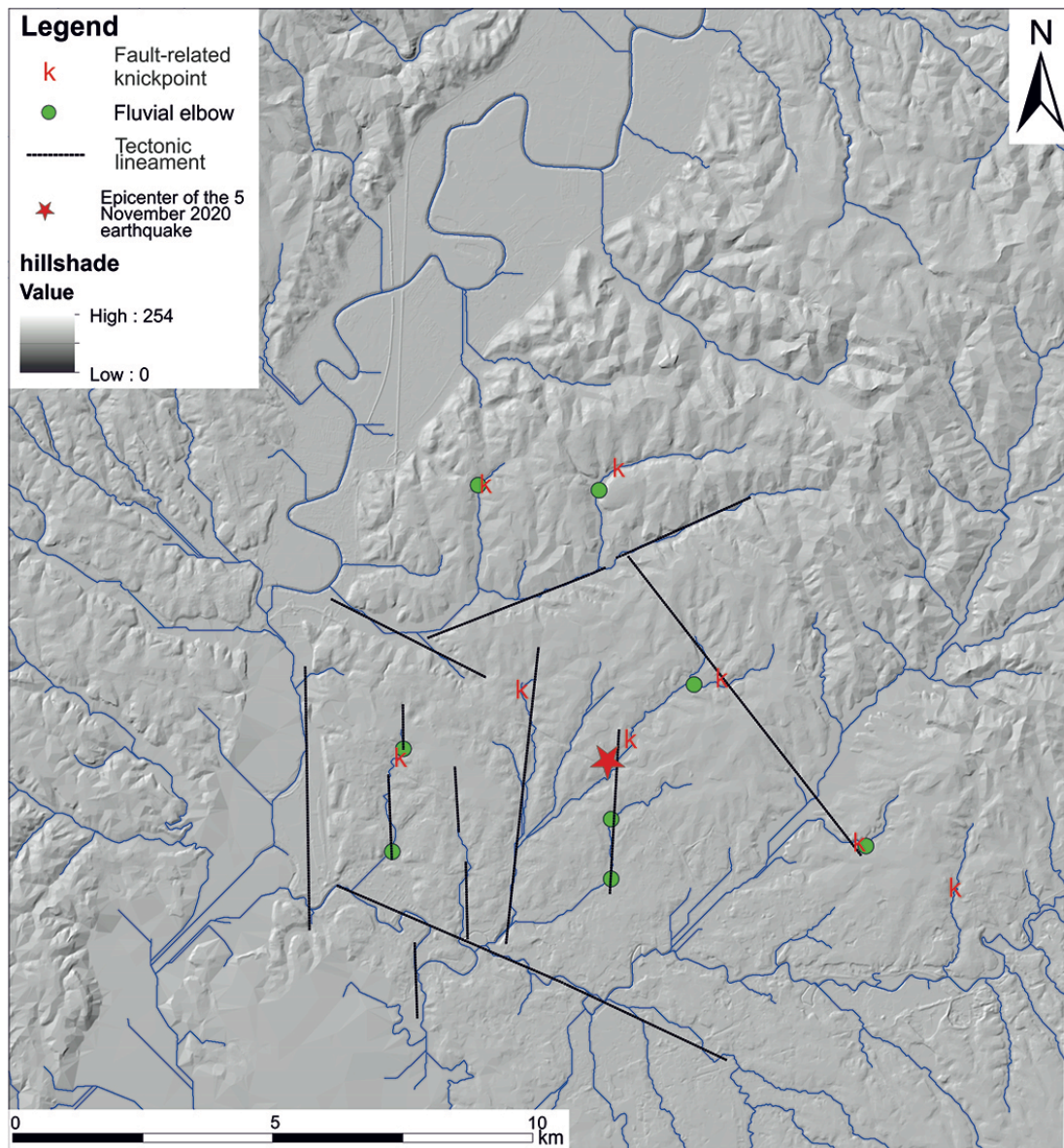
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Figure 6. a) Hillshade of the study area and distribution of the normalized channel steepness index (k_{sn} , $\theta_{ref} = 0.45$); b) Drainage network of the study area and main planar anomalies of the fluvial net. Tectonic lineaments inferred by morphotectonic analysis are also showed.



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Figure 7. Longitudinal profiles of the main channels of the study area (location and numbering in the main map) and interpretation of the knickpoints.



498
499 **Figure 8.** Tectonic lineaments of the study area inferred by morphotectonic analyses and the s
500 patial distribution of the main drainage network anomalies of the study area (i.e. fluvial elbow
501 and knickpoints of river profiles). Hillshade was derived by the 10 m TINITALY DEM
502 DEM images in these figures: TINITALY, by published with a CC BY 4.0 license by Istituto
503 Nazionale di Geofisica e Vulcanologia (INGV), published with a CC BY 4.0 license; available at:
504 <https://doi.org/10.13127/TINITALY/1.0>.
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509 7. Discussion

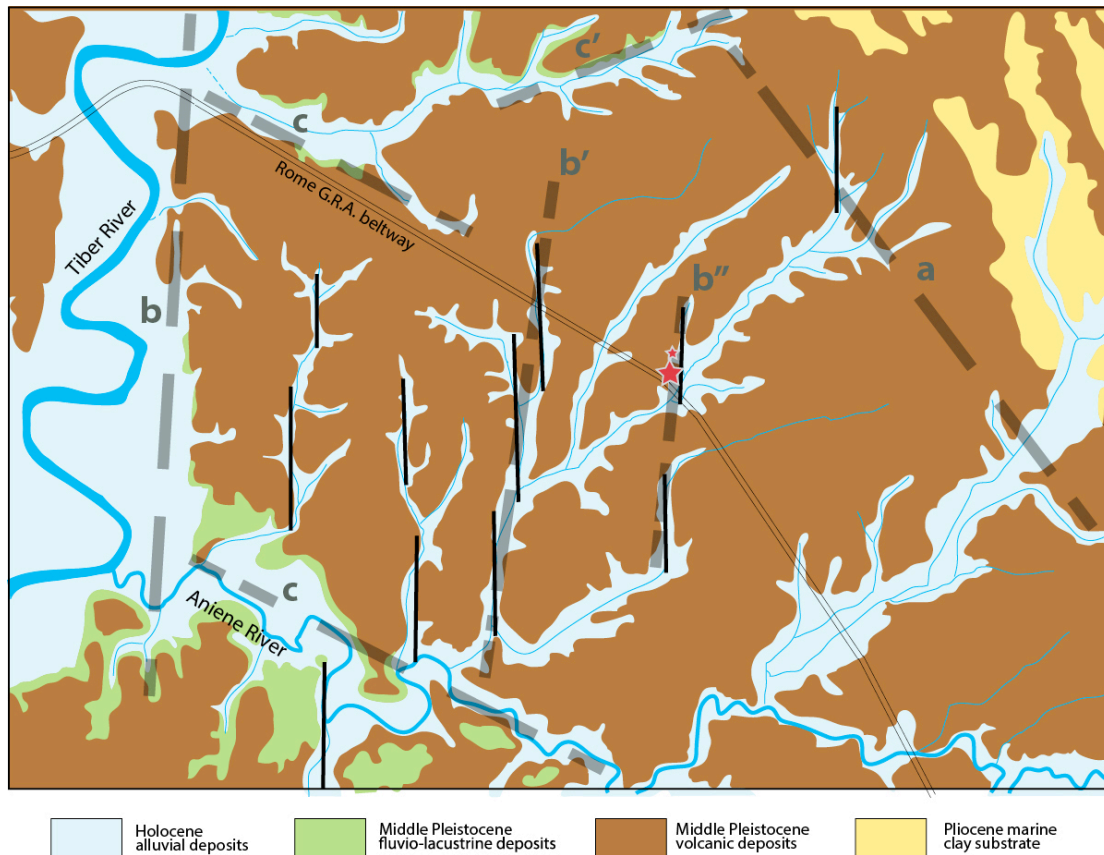
510 Studies conducted during the last two decades by the INGV on the geological-
511 structural and seismic-tectonic setting of the Roman area have shown that the
512 geometry of the hydrographic network reflects that of a set of buried faults
513 (Marra, 199, 2001; Frepoli et al., 2010). Considering the significant offsets
514 affecting the Middle-Pleistocene volcanic deposits in this area (e.g., Faccenna et

515 al., 1994a, 1994b; Marra, 2001) compared to the lack of strong events in the
516 historical record, it is inferred that these ~~These are~~ faults ~~that~~ are no longer
517 active with the seismic intensity they had in the geological past. We conclude
518 that they ~~They~~ are reactivated under the effect of the stress-field that currently
519 acts in the upper crust and determines the genesis of low-magnitude
520 earthquakes in this region.

521 In particular, it has been shown that the ~~drainage network pattern directions of~~
522 ~~the streambeds and the distribution of river profile anomalies (i.e. fluvial elbows~~
523 ~~and knickpoint/knickzones)~~ reflect the deformation field induced on the surface
524 by the reactivation of these buried faults, with a set of three preferential
525 alignments:

526 i- The first displays an NW-SE "Apennine" direction, ("a" in Fig. 89), which
527 precisely reflects that of the large, dip-slip extensional faults that first created the
528 Tyrrhenian Sea marine basins (Barberi et al., 1994) and later, in the lower-
529 middle Pleistocene, the so-called "Tyrrhenian margin" (Fig. 2). This is a wide
530 hilly or sub-flat area between the Apennine chain and the present coast,
531 originated by the fault displacement and the "staircase" lowering of the
532 mountain relief (Parotto and Praturlon, 1975). The direction of these faults also
533 reflects the alignment of the volcanoes that developed in the Middle Pleistocene
534 along the Tyrrhenian margin, following the rise of magmas mainly along the
535 fractures in the earth's crust created by these tectonic structures (Locardi et al.,
536 1977).

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Figure 89. Geo-morpho-structural setting of the epicenter area. The thicker dashed lines represent the main buried faults inferred from the analysis of the hydrographic network, with the exception of the "a" fault, interpreted on the basis of the presence of a structural high to the NE, represented by outcrops of Pliocene sediments. A fourth set of NE-SW lineaments is likely originated by the topographic gradient in this area and is not highlighted as potential structural control. The thin, solid lines represent the superficial expression of the deformation linked to faults that are continuous at depth (b', b''), evidenced by straight tracts of the riverbeds. One of these deep NNE-SSW faults is the one that generated the May 11th earthquake, as the focal mechanism of this event suggests.

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551 ii- The second set of lineaments has a direction from NS to NNE-SSW ("b" in Fig.
552 89) and reflects that of even older faults, with right-lateral strike-slip character
553 (i.e. sub-vertical faults with right-hand horizontal movement (Alfonsi et al., 1991;
554 Faccenna et al., 1994). These faults are linked to the dismemberment of the
555 Apennine chain in independent arcs, due to the fragmentation of the "slab", that
556 is the "Adriatic" tectonic plate which subducted below the Apennine orogenic
557 chain (Malinverno and Rayan, 1986; Patacca et al., 1990). However, these faults
558 have been active until recent times (Faccenna et al., 2008; Marra et al., 2004b),
559 probably due to the independent geodynamic mechanism that generated them,
560 and are competing with the regime of forces that originated the extensional

561 faults (Marra, 2001; Faccenna et al., 1996). We also know from the analysis of the
562 focal mechanisms of local earthquakes that small N-S fault segments are
563 currently reactivated with opposite movement (left-lateral) together with the
564 "Apennine", dip-slip faults (Frepoli et al., 2010).

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566 iii- Finally, a third set of lineaments has conjugated WNW-ESE and ENE-WSW
567 directions ("c" and "c'" in Fig. 89) and creates particular rhomboid "domains"⁹.
568 Within these discrete regions, the N-S direction (as in the case of the epicenter
569 area of the Rome's May 11th, 2020 earthquake, Fig. 89), or the same WNW-ESE
570 directions (sectors 1A and 5A in Fig. 5B) may prevail. The origin of these
571 domains is linked to the strike-slip faults and can be generated between two
572 long, parallel N-S lineaments (Jones and Tanner, 1995). The characteristic of the
573 strike-slip (transcurrent) faults is precisely that of being arranged in parallel
574 with "en-echelon" geometry, that is, along stairway segments which can,
575 however, locally have a lateral overlap between them (Sylvester, 1988). The en-
576 echelon geometry characterizes the surface expression of faults that are
577 continuous at depth (Sylvester, 1988) (examples b' and b" in Fig. 89).

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579 **8. Conclusions**

580 The analysis of the hydrographic network in the epicenter area of the May 11th,
581 2020 earthquake shows a relative maximum concentration of the streambed in
582 the NNE-SSW direction: some of such rectilinear tracts, arranged with en-
583 echelon geometry, are highlighted in Fig. 5. We interpret these features as the
584 surface expression of buried NNE-SSW, strike-slip faults. Indeed, the focal
585 mechanism and aftershock alignment reveal that one of these buried ~N-S fault
586 reactivated with left-lateral movement on the occasion of the May 11th 2020
587 earthquake. Effectively, tectonically sensitive geomorphic analyses revealed the
588 occurrence of a cluster of knickpoints in the right side of the Aniene River that
589 can be classified as slope-break knickpoints and are aligned along NW-SO and N-
590 S orientation. Such a fluvial net perturbation corroborates the hypothesis of
591 recent tectonic activity affecting the study area along those faults.

592 When we consider the multitude of lineaments that are present at a wider and at
593 a smaller scale in this region (e.g., Fig. 2 and Fig. 89, respectively), we realize the

594 extreme fragmentation deriving from the intricate network of genetically
 595 different faults. Such fragmentation results into a number of small fault
 596 segments, with respect to the original long fault lines generated under the
 597 competitive tectonic regimes that affected this region during Pleistocene times.
 598 We remark that such high fragmentation is mainly provided by a en-echelon
 599 system of ~N-S strike-slip faults which have crustal continuity. Therefore
 600 hindering the lateral continuity of the NW-SE trending faults, which represent
 601 the most favorably oriented fault system with respect to the Present-day NE-SW
 602 extensional regime.

603 Small fault planes and a weaker tectonic regime explain the occurrence of
 604 moderate seismicity and provide a likely explanation for the inhabitants of Rome
 605 of the reason why they should not expect- that a large earthquake may affect the
 606 City.

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608

609 **Additional information**

610 The authors declare no competing financial and non-financial interests.

611

612 **Data availability statement**

613 All data generated or analyzed during this study are included in this published
 614 article.

615

616 **Author Contribution statement**

617

618 F.M. conceptualization, methodology, validation, investigation, Writing - Original
 619 draft, supervision

620 A.F. methodology, validation, investigation, data curation, Writing - Original draft

621 D.G. methodology, validation, investigation, data curation, Writing - Original draft

622 M.S. methodology, validation, investigation, data curation, Writing - Original

623 draft

624 A.T. methodology, validation, investigation, data curation, Writing - Original draft

625 M.B. methodology, validation, investigation, data curation, Writing - Review and

626 editing

627 G.D.L. methodology, validation, investigation, data curation, Writing - Review and

628 editing

629 M.L. methodology, validation, investigation, data curation, Writing - Review and

630 editing

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