# Estimating global landslide susceptibility and its uncertainty through ensemble modelling

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**Abstract.** This study assesses global landslide susceptibility (LSS) at the coarse 36-km spatial resolution of global satellite soil moisture observations, to prepare for a subsequent combination of a global LSS map with dynamic <u>satellite-based</u> soil moisture estimates for landslide modelling. Global LSS estimation <u>intrinsically</u> contains uncertainty, arising from errors in the underlying data, the spatial mismatch between landslide events and predictor information, and large-scale LSS model generalizations.

- 5 For a reliable uncertainty assessment, this study combines methods from the landslide community with common practices in meteorological modelling to create an ensemble of global LSS maps. The predictive LSS models are obtained from a mixed effects logistic regression, associating hydrologically triggered hydrologically-triggered landslide data from the Global Landslide Catalog (GLC) with predictor variables describing the landscape. The latter are taken from the Catchment land surface modeling system (incl. input parameters of soil (hydrological) properties and resulting climatological statistics of water budget
- 10 estimates), geomorphological and lithological data. Road network density is introduced as a random effect to mitigate potential landslide inventory bias. We use a blocked random cross validation to assess the *model uncertainty* that propagates into the LSS maps. To account for other uncertainty sources, such as *input uncertainty*, we also perturb the predictor variables and obtain an ensemble of LSS maps. The perturbations are optimized so that the *total predicted uncertainty* fits the observed discrepancy between the ensemble average LSS and the landslide presence or absence from the GLC. We find that the most reliable *total*
- 15 uncertainty estimates are obtained through the inclusion of a topography-dependent perturbation between 15% and 20% to the predictor variables. The areas with the largest LSS uncertainty coincide with moderate ensemble average LSS, because of the asymptotic nature of the LSS model. The spatial patterns of the average LSS agree well with previous global studies and yield areas under the Receiver Operating Characteristic between 0.63 and 0.9 0.84 and 0.92 for independent regional to continental landslide inventories.

# 20 1 Introduction

Mitigating landslide impacts requires a good understanding of the spatial and temporal patterns of landslide occurrence. The spatial likelihood of a landslide is referred to as landslide susceptibility (LSS) and plays a crucial role in risk assessment and land use planning (Guzzetti et al., 2005; Crozier, 2013; Reichenbach et al., 2018). Regional high-resolution LSS maps derived

from environmental conditions are a fundamental tool for informing local population, city planners and decision makers both

on the immanent landslide likelihood, but also about secondary effects such as major sediment sources (Crozier, 2013; Maes et al., 2017; Broeckx et al., 2020). Large scale low-resolution LSS maps can serve as background information to be downscaled for the above applications at the local scale, or they can be used in conjunction with large-scale satellite data to construct a spatio-temporal estimate of the likelihood for a landslide.

Due to their generalizing nature, LSS models are however prone to uncertainty (Petschko et al., 2014). A large number of

- 30 LSS models exists, but most focus on local to regional scales and typically lack thorough validation or uncertainty assessment (Reichenbach et al., 2018). Recent advances in computational power and data availability have fostered the development of larger scale LSS maps at continental level (for example Europe: Wilde et al. (2018) and Van Den Eeckhaut et al. (2012), Africa: Broeckx et al. (2018)) or at the global scale (for example Nadim et al. (2006); Hong et al. (2007); Lin et al. (2017); Stanley and Kirschbaum (2017)). While information about the uncertainty would be essential to know how reliable these predictions
- 35 are large scale LSS maps are as well as how much variation can be expected within a mapping unit, only Broeckx et al. (2018) provide such a measure for their map of Africa and only to a limited degree. The intrinsic uncertainty of LSS may become more relevant at larger (global ) scales and quantification of LSS uncertainty becomes even more called for yet challenging at the global scale and with coarser spatial resolution due to necessary generalizations , higher chances of errors in the underlying data or the and the increased spatial mismatch between landslide events and predictor information. When estimating LSS both
- 40 globally and at a coarse spatial resolution to facilitate a subsequent combination A reliable uncertainty assessment of global LSS estimates is moreover crucial when subsequently combining them in a statistically optimal way with, for example, satellite soil moisture products from Soil Moisture Ocean Salinity (SMOS) or Soil Moisture Active Passive (SMAP) as used by Felsberg et al. (2021), a reliable uncertainty assessment becomes even more crucial.
- Uncertainty is typically grouped according to its origin into *model uncertainty* (here: 'How correct are the equations that 45 we use to predict LSS?') and *input uncertainty* (here: 'How correct is the input to these equations?'). *Model uncertainty* stems from heuristic choices that are necessary in the process of model creation, including the choice of the statistical modelling approach, the selection of predictor variables, training data sampling and training data quality (see for example Steger et al. (2015); Pourghasemi and Rossi (2016); Zêzere et al. (2017); Depicker et al. (2020); Lima et al. (2021)). In order to estimate some of these model-intrinsic errors for a chosen modelling approach, cross validation (CV) is a widely used method where
- 50 data is divided into a number of subsets, that are subsequently used for training and testing of the model. How to best sample the CV subsets to retrieve realistic uncertainty estimates is in itself a field of research. For LSS maps, random sampling is most common (see for example Broeckx et al. (2018)), while spatial sampling is used less often for an additional uncertainty estimate (see for example Steger et al. (2020) or Depicker et al. (2020)). However, these are known to respectively strongly under- and possibly overestimate the *model uncertainty*, and hybrid methods such as blocked random CV (B-CV) have been suggested to
- 55 result in the most reliable uncertainty estimates (Roberts et al., 2017). CV leads to multiple LSS model equations (one per CV subset) and the standard deviation of the resulting LSS values gives an indication of the associated *model uncertainty* as shown by Broeckx et al. (2018) for Africa.

Input uncertainty principally results from errors in the environmental dataas well as from possible variability within a modelling unit such as a grid cell or catchment. More specifically, coarser input data might be less representative for local

- 60 events, such as landslides... To assess how *input uncertainty* propagates into the *total prediction uncertainty*, ensemble simulations can be used. Meteorologists, for example, simulate the weather based on a distribution of starting conditions and retrieve initial conditions and predict an ensemble of equally possible predictions outcomes (ensemble members). Instead of only one deterministic weather forecast, they use the ensemble average prediction that has been found to perform better than their deterministic counterpart (Kalnay et al., 2006). Note that this is not necessarily the case for individual ensemble members which
- 65 can and often will perform worse than the deterministic prediction. The uncertainty of the final ensemble average prediction can then be estimated by the variance or standard deviation among the ensemble members.

The *total ensemble uncertainty*, resulting from the combination of these methods that account for *model* and *input uncertainty* respectively, is assumed to be reliable if it matches the observed 'actual' *total uncertainty*. The latter is estimated by comparing the predicted average LSS against the observed presence and absence of landslides. The gap between this observed

- 70 and the predicted *total uncertainty* can then be closed by tuning the magnitude of the ensemble input perturbations. Note that this implies that the perturbations might in the end not purely capture the *input uncertainty*, but actually compensate for other sources of uncertainty as well that are not specifically addressed. One such important source of uncertainty is spatial representativeness error (Blöschl and Sivapalan, 1995; van Leeuwen, 2015), especially when evaluating spatially averaged grid cell LSS estimates using single landslide observations as reference data.
- 75 In this study, we combine CV and an ensemble approach to create global LSS maps with a reliable *total uncertainty* (full ensemble standard deviation). We create multiple LSS equations as part of CV ('weak model constraint'), and subsequently perturb the selected predictor variables (input of the LSS model equations) to retrieve a 'full ensemble' of possible LSS values. Specifically, we focus on hydrologically triggered landslides based on the Global Landslide Catalog (GLC). hydrologically-triggered landslides and propose to include long-term climatological statistics of hydrometeorological variables
- 80 as predictor variables, in addition to the common geomorphological ones. We use a mixed effects logistic regression (MELR) relying on the strong generalizing capabilities of logistic regression , while mitigating as the basic model structure, and we mitigate the potential reporting bias of landslide *presences* in the GLC with Global Landslide Catalog (GLC) with stratified average road network density (RND) as a random effect. To limit biases from unreliable and confounding definitions of landslide *absence* grid cells for the model creation, we introduce a novel approach based on a 'characteristic distance' between
- 85 landslides. After having taken these steps to limit the introduced uncertainty, the B-CV is used to introduce instill model uncertainty and via a selection of different possible predictor variables and associated parameters, and we further add (and tune) ensemble perturbations to the selected predictor variables to obtain a reliable *total ensemble uncertainty*. This LSS assessment is carried out on the 36-km Equal-Area Scalable Earth version 2 (EASEv2 ) grid, which is is also used for grid, in line with the nominal spatial resolution of satellite soil moisture products estimates from SMOS or SMAP. Producing spatial LSS estimates
- 90 at this resolution facilitates the inclusion of long-term statistics of global hydrometeorological variables, a subsequent combination with the satellite-based temporally dynamic data, as well as and the development of computationally intense ensemble

approaches. To our knowledge, no framework has earlier been developed for the assessment of the *total uncertainty* of LSS predictions.

# 2 Data

# 95 2.1 Landslide data

A first step in creating our LSS models is the creation of suitable training datasets (see , indicated in the upper part of the flowchart in Fig. 1). We use reported hydrologically triggered hydrologically-triggered landslide occurrences from the most recent version of the GLC (https://landslides.nasa.gov/viewer, accessed 8th February 2021). The GLC is a landslide inventory that contains information about location, date and trigger. It is originally based on media reports (Kirschbaum et al., 2010, 2015)

100 , which has but has recently been supplemented with the citizen science based science-based Landslide Reporter Catalog (LRC) data (Juang et al., 2019), see Stanley et al. (2021) for details. Any reference to the GLC hereafter refers to this combined data product. Despite known English-language and economic biases (Kirschbaum et al., 2010, 2015), the GLC covers all continents and landslide hotspots. It has already been used for the creation of two global LSS maps (Stanley and Kirschbaum, 2017; Lin et al., 2017) and was used to train the newest version of the Landslide Hazard Assessment for Situational Awareness (LHASA)

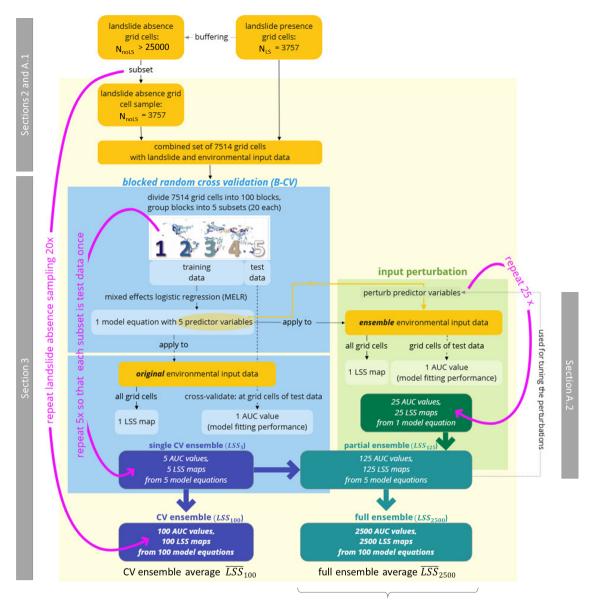
105 model version 2.0 (Stanley et al., 2021).

- 110 36-km Equal-Area Scalable Earth version 2 (EASEv2) grid cell are therefore aggregated into one 'landslide location presence grid cell', resulting in  $N_{LS}$  = a total of  $N_{LS}$  = 3757 landslide presence grid cells (orange grid cells, Fig. A1). This While we acknowledge that grid cells with more frequent landslide reporting can in general be expected to have a higher LSS, we found that the information about the frequency of landslide occurrence within a grid cell strongly mirrors biases in the landslide inventory, e.g. more landslides are reported in English-speaking countries. The aggregation, on the contrary, reduces
- 115 the landslide presence reporting bias of the GLCand also excludes any temporal aspect of landslide occurrence... To address the remaining landslide presence bias originating from more landslide reporting in frequently accessed areas, we use stratified data on the average road network density RND (including highways and all types of roads, ranging from primary to local roads) provided by the Global Roads Inventory Project (GRIP) (Meijer et al., 2018) as a random effect, explained in sect. 3.1.

The creation of realistic statistical LSS models and uncertainty estimates depends on the knowledge of both landslide pres-

120 ences and absences (Roberts et al., 2017; Steger and Glade, 2017; Knevels et al., 2020; Lucchese et al., 2021). Usually, an absence grid cell is simply defined as one without a recorded landslide. For local modelling, this might work when complete and reliable landslide inventories are available. For large or remote areas, however, no reported landslide does not necessarily mean that the site never experienced one. Terrain features show a certain amount of spatial autocorrelation indicating that locations in proximity of a known landslide are generally prone to instability as well. It should therefore be avoided to use

For this study, we use 12515 landslides hydrologically-triggered landslides (GLC classifiers "continuous rain", "downpour", "monsoon", "flooding", "rain" and "tropical cyclone") reported mainly between January 2007 and November 2020. Since LSS informs about the static environmental landslide likelihood, it is common practice to exclude the temporal aspect of landslide occurrence and instead work with landslide presence and absence locations. Multiple landslides within the same



accounts for total uncertainty

Figure 1. Schematic of the setup for LSS assessment methodology used in this study and with an indication of the subsections that describe the corresponding methods in provide more detail. 'Ensemble' refers to a collection of LSS maps. In the course of this study, we refer to different subsets of the full ensemble ( $LSS_{2500}$ ), namely the ensemble from one single B-CV application ( $LSS_5$ ), when adding input perturbations to it ( $LSS_{125}$ ) or when repeating the underlying landslide absence subsampling ( $LSS_{100}$ ).

- 125 grid cells too close to known landslide locations as an absence reference (Brenning, 2005). On the other hand, absence grid cells sampled very far from the reported landslide locations, in so-called 'trivial' or easily classifiable areas (for example flat areas), might result in an underrepresentation of stable areas in the vicinity of the known landslide locations (Steger and Glade, 2017). Additionally, it might confound the selection process of geomorphologically meaningful predictor variables and lead to an overoptimistic conception of the resulting LSS map's quality (Steger and Glade, 2017; Lucchese et al., 2021).
- In this study, we therefore adopt a sampling strategy as used in earlier LSS assessments (Van Den Eeckhaut et al., 2012; Lin et al., 2017; Zhu et al., 2017; Nowicki Jessee et al., 2018; Knevels et al., 2020; Lucchese et al., 2021), where reliable absence grid cells are defined between a minimum (buffer) and maximum radius around known landslide locationspresence grid cells. As a measure of spatial autocorrelation we derive the 'characteristic distance' between two landslides from the GLC (for details see Appendix A1). We use this characteristic distance of 221.43 km (appr. ~ 6 grid cells) as the buffer radius, and
- 135 2.5 times this distance (appr.  $\sim$  15 grid cells) as maximum radius. Absence grid cells are hence selected from grid cells 7 to 15 around a landslide occurrence (blue grid cells in Fig. A1). This definition still results in more than six times more absence grid cells ( $N_{noLS} > 25000 N_{noLS} \ge 25000$ ) than landslide presence grid cells ( $N_{LS} = N_{LS} = 3757$ ). We therefore sample from the absence grid cells with a 1:1 ratio ( $N_{LS}:N_{noLS}N_{LS}:N_{noLS}$ ) as is commonly done, for example by Brenning (2005), Steger and Glade (2017), Nowicki Jessee et al. (2018), Depicker et al. (2020), Knevels et al. (2020), Lin et al. (2021) and Lucchese
- et al. (2021). LSS models are subsequently constructed based on data from 7514 (absence + presence) grid cells, as illustrated in Fig. 1.

# 2.2 Environmental data

The 77 predictor variables considered in this study are listed in Table 1 and were selected based on earlier reviews on the most common predictors used for LSS maps (Pourghasemi and Rossi, 2016; Reichenbach et al., 2018). In statistical LSS models, these predictor variables act as proxies for one or multiple processes underlying a landslide (Whiteley et al., 2019). Since LSS

in its definition is referring to the spatial likelihood of landslides, we only consider predictor variables that are (quasi) static in time.

To better represent processes underlying hydrologically triggered hydrologically-triggered landslides, we include hydrological long-term climatological statistics of soil moisture in different layers, soil surface temperature, runoff, rainfall, evaporation and

- 150 snow depth as possible predictor variables. These climatological statistics include the range (here defined as the difference between percentiles 1 and 99), inter-quartile range, mean, median, percentile 99 and maximum within the time period 1990-2020, derived from 36-km simulations with the Catchment Land Surface Model (CLSM) (Koster et al., 2000; Reichle et al., 2019), forced with Modern-Era Retrospective analysis for Research and Applications, Version 2 (MERRA-2) meteorological data, as in Felsberg et al. (2021). MERRA-2 rainfall input climatological statistics are used to accompany the above-mentioned
- 155 hydrological ones.

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Most other predictor variables are part of the 36-km input parameters to the CLSM. Of these, elevation and Compound Topographic Index (CTI) stem from the same underlying Shuttle Radar Topography Mission (SRTM) data as the morphological

**Table 1.** Environmental predictor variables used in this study, alongside their data source, original spatial resolution and methods used for aggregation to the 36 km EASEv2 grid(if applicable). Apart from slope, lithology, PGA and rainfall, the specified aggregation was not conducted in this study. Predictor variables that are part of the CLSM parameter set or output do not require any spatial aggregation. Long-term climatological statistics of all hydrological variables comprise the range (here: difference between 1<sup>st</sup> and 99<sup>th</sup> percentile), inter-quartile range, mean, median, 99<sup>th</sup> percentile and maximum between 1990 and 2020. MERRA-2 precipitation is used as input for the calculations of the hydrological statistics and has been interpolated to the 36 km EASEv2 grid as part of the simulation process. Units are given for the original data, but are removed through the rescaling of the data to the interval (0,1) (see text).

Predictor variables	Data source	Original spatial resolution	Aggregation method to or within EASEv2, 36 km grid cell
slope (mean, maximum) [°]	USGS: details in Verdin et al. (2007) based	3" (SRTM DEM),	mean and maximum
	on SRTM DEM <sup><math>a</math></sup> and GTOPO30 <sup><math>b</math></sup>	30" (GTOPO30)	
elevation (mean, standard deviation) [m a. s. l.]	CLSM parameters: details in Verdin (2013)	3" (SRTM DEM),	mean and standard
	based on SRTM DEM <sup><math>a</math></sup> and GMTED2010 <sup><math>c</math></sup>	7.5" (GMTED2010)	deviation
depth to bedrock [m]	CLSM parameters: details in De Lannoy	1°	spatial interpolation
	et al. (2014) based on GSWP- $2^d$		
percentage of gravel (0-30 cm) [vol%]	CLSM parameters	30"	most representative
percentage of clay (0-30 cm and 0-100 cm) [w%]	details in De Lannoy et al. (2014)		30" sample
percentage of sand (0-30 cm and 0-100 cm) [w%]	based on STATSGO2 <sup>e</sup>		
porosity (0-30 cm and 0-100 cm) [m <sup>3</sup> /m <sup>3</sup> ]	and HWSD1.21 <sup>f</sup>		
wilting point divided by porosity (0-30 cm and 0-100 cm) [-]			
compound topographic index, CTI (mean, maximum) = ln(specific catch-	CLSM parameters: details in Verdin (2013)	3" (SRTM DEM),	mean and maximum
ment area/tan(slope)) [log(m)]	based on SRTM DEM <sup><math>a</math></sup> and GMTED2010 <sup><math>c</math></sup>	7.5" (GMTED2010)	
land fraction within grid cell	CLSM parameters: HYDRO1k based on	10"	areal fraction
	GTOPO30, 1996 (EROS, 2018; Verdin,		
	2013)		
fraction covered by each of 13 lithological classes [-]: metamorphic rocks,	GLiM created by Hartmann and Moosdorf	polygons	areal fraction
mixed sedimentary rocks, siliclastic sedimentary rocks, basic plutonic	(2012)		
rocks, acid plutonic rocks, basic volcanic rocks, intermediate volcanic			
rocks, carbonate sedimentary rocks, unconsolidated sediments, interme-			
diate plutonic rocks, pyroclastics, evaporites, acid volcanic rocks			
peak ground acceleration, PGA [m/s <sup>2</sup> ] due to earthquakes expected with	$GSHM^g$ created by $GSHAP^h$ (Giardini et al.,	1°	nearest neighbour
a return period of 475 years (i.e. 10% exceedance probability in 50 years)	2003)		
rainfall climatological statistics [mm]	MERRA-2 (Bosilovich, 2015)	$0.625^\circ \log x \; 0.5^\circ$ lat	bilinear interpolation
surface soil moisture climatological statistics (0-5 cm) [m <sup>3</sup> /m <sup>3</sup> ]	CLSM output	EASEv2, 36 km	-
root zone soil moisture climatological statistics (0-100 cm) [m <sup>3</sup> /m <sup>3</sup> ]			
profile soil moisture climatological statistics (0-100 cm) [m <sup>3</sup> /m <sup>3</sup> ]			
land surface temperature climatological statistics [K]			
runoff climatological statistics [mm]			
evaporation climatological statistics [mm]			
snow depth climatological statistics [mm]			

=<sup>a</sup> Shuttle Radar Topography Mission digital elevation model; <sup>b</sup> USGS global elevation model; <sup>c</sup> Global Multi-resolution Terrain Elevation Data 2010; <sup>d</sup> Second Global Soil Wetness Project;

e State Soil Geographic project. f Harmonized World Soil Databank version 1.21; G Global Seismic Hazard Map; ᠲ Global Seismic Hazard Assessment Project;

information on slope from the United States Geological Survey (USGS), but with different data sources for the high northern latitudes (Verdin et al., 2007).

- We use lithological information from the Global Lithological Map (GLiM) (Hartmann and Moosdorf, 2012) aggregated to the fraction of a grid cell covered by each of the 13 lithological classes (we exclude the classes 'water', 'ice and glacier', and 'no data'). This produces a dataset with 13 fields, each with a continuous fraction estimate. peak Peak ground acceleration (PGA) (Giardini et al., 2003), is the likely level of ground motion from earthquakes , can be seen as a (Giardini et al., 2003). Here, we do not use it as the likelihood of a seismic landslide trigger, but rather as a proxy for the fracturation and weakening
- 165 that lithologies have undergone due to seismic and tectonic activity (Lin et al., 2017; Vanmaercke et al., 2017; Broeckx et al., 2018). Details on the aggregation methods are given in Table 1.

#### **3** Model construction and evaluation

## 3.1 Mixed effects logistic regression (MELR) for model development

In this study, we create a statistical LSS model using MELR (Zuur, 2009), as previously also employed by Steger et al. (2017),
and at national scale by Lin et al. (2021) and Lima et al. (2021). Logistic regression is the most commonly used approach for statistical LSS mapping (Reichenbach et al., 2018), and . It is associated with strong generalizing capabilities (Brenning, 2005)-, which is a necessity when working at the global scale. Within such an approach, and it has proven to be reliable in continental to global LSS assessments (Broeckx et al., 2018; Lin et al., 2017). Within logistic regression, the LSS, here defined as the probability of a landslide presence within a grid cell, P(Y = 1), is given by:

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$$P(Y=1) = \frac{exp(\alpha + \sum_{i=1}^{n} \beta_i x_i)}{1 + exp(\alpha + \sum_{i=1}^{n} \beta_i x_i)}$$
(1)

with  $\alpha$  [-] the intercept,  $x_i$  [-] the independent predictor variable variables,  $\beta_i$  [-] the associated coefficient and n the number of predictor variables. A one unit change in the predictor variable  $x_i$  results in a muliplicative change in the odds of landslide presence by  $exp(\beta_i)$ . Positive (negative)  $\beta$ -values hence indicate an increase (decrease) in LSS with an in-increase in the predictor variable. In this study, we work with rescaled predictor variables (between their global minimum and maximum) to detach the magnitude of the  $\beta$ -values from the magnitude of the predictor variable. This facilitates subsequent interpretation.

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We employ a stepwise forward technique to select five predictor variables, corresponding well-to the commonly used number of predictor variables for LSS assessment at the global scale (Nadim et al., 2006; Stanley and Kirschbaum, 2017; Lin et al., 2017; Reichenbach et al., 2018). Based on the Akaike information criterion (AIC), a measure that is proportional to the sum of squared errors and allows for comparison between non-nested models, we determine the best performing univariate MELR, i.e.

the first predictor variable. The AIC comparison is subsequently repeated for multivariate MELR with one additional predictor variable at a time. This stepwise forward selection also allows to exclude correlated predictor variables (r > 0.7, following for example Dormann et al. (2013)), so that largely independent predictor variables are used in the logistic regression. Analysis

<u>An analysis</u> of the generated models using the Variance Inflation Factor (VIF) proved that this approach indeed successfully prevented a logistic regression model construction based on predictor variables that are too strongly <u>intercorrelated</u> correlated.

- 190 The mixed effects approach allows us to include a <u>categorically scaled variable as a</u> so-called 'random effect', here the random intercept  $\alpha$ , for which we use the average road network density (RND) stratified into 6 <del>groups (divided by the global quintile thresholds). The underlying assumption here</del> classes. We summarize all land grid cells where average RND is negligible ( $< 1m/km^2$ ) into the first class and use quantiles 20, 40, 60 and 80 of those grid cells with non-negligible RND to divide the rest into additional 5 classes. The mixed effects approach will then result in one global logistic regression equation that has the
- 195 same  $\beta$ -factors for all grid cells, but different  $\alpha$  values according to each grid cell's RND class. The 6  $\alpha$  values are assumed to come from a zero-mean normal distribution (Zuur, 2009).

The underlying assumption of RND as a random effect is that the representativeness of the landslide data from the GLC varies with the road network density RND of the region. We recognize that road network density RND may also serve as a proxy for human interference or likelihood of slope cutting and may hence be included as a predictor variable, as was argued by Stanley

- and Kirschbaum (2017). Instead, we opt for the inclusion The use of RND as a predictor variable or random effect can be expected to have similar results were the connected bias small. For large biases, however, predictions using RND as a predictor variable would systematically underestimate the actual LSS of remote areas with strong underreporting of landslides (as was put forward by Steger et al. (2017) for forested areas). The inclusion of RND as a random effect in order to favour favours the selection of natural, physically valid predictor variables while allowing for locations without roads to also receive a high
- 205 predicted LSS, as was put forward by Steger et al. (2017) for forested areas. While they Steger et al. (2017) found results to be very similar between these two options for small biases in the landslide inventory, they conclude a clear underrepresentation in the underreported areas for stronger biases. We use the glmer function from the lme4 package (Bates et al., 2015) to create MELR models in R version 4.0.3 (R Core Team, 2020) where the best fitting parameters are obtained by maximum likelihood estimation.

#### 210 3.2 Cross validation (CV) and input perturbations for reliable uncertainty estimation

The inclusion of random effects in a regression model results in unbiased model parameter estimates, but it does not inform about the uncertainty of the predictions (Roberts et al., 2017). Similar to a The Brier Score (BS) (Wilks, 2011), gives a measure of the 'actual' total uncertainty can be obtained by comparing the predicted average LSS ( $\overline{LSS}$ ) against landslide observations from the GLC (*o*) at different grid cells *i* (*i* = 1, ..., *N*):

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$$\underline{BSBS} = \frac{1}{N} \sum_{i=1}^{N} (\overline{LSS} - o)_i^2$$
(2)

with *o* being 1 for landslide presence and 0 for absence grid cells. The aim is to design an LSS model setup so that the predicted *total ensemble uncertainty*, quantified by the ensemble variance or spread  $\sigma_{LSS}^2$  matches this 'actual' uncertainty, which by design includes model and input error ( $\overline{LSS}$ ), but also error in the reference data (*o*), and spatial representativeness error.

In this study, the predicted total ensemble uncertainty results from the combination of CV techniques and input ensemble

- 220 perturbations. For CV, the data is separated into five subsets, which subsequently are used for training and testing the model with the hold-one-out technique, as illustrated in Fig. 1. We employ a B-CV, as recommended by Roberts et al. (2017), which we found to indeed yield most realistic error estimates in comparison to random or spatial sampling (not shown). One subset consists of 20 randomly sampled 'blocks', i.e. small groups , of the 7514 grid cells selected for model creation. We group the This means that instead of randomly sampling individual grid cells into the 5 subsets for training and testing the model as part
- 225 of CV, we randomly sample small groups of grid cells into a total of 100 blocks according to climatological conditions within with similar environmental conditions, so-called "blocks" (see Fig. 1). We expect that the environmental conditions are similar in neighboring pixels (for example same subcontinent) and for similar climate zones. We therefore derive blocks in 2 steps. First, the 7514 grid cells selected for model creation are divided according to 10 predefined regions (roughly two per continent) , independent of landslide absence or presence. Within these regions, we mimic typical climatological zonations (for example
- 230 that of Köppen) through k-means (sub-) continents. Within each (sub-) continent, we then derive in a second step 10 blocks through kmeans clustering (Lloyd, 1982) of 30-year average soil surface temperature and rainfall (see Table 1), dividing each region into 10 blocks . In total we retrieve 100 blocks comprising different numbers of grid cells (median: 55) that are not necessarily located next to each other. The 100 blocks are then randomly divided into the 5 subsets for model creation (20 each).
- Next, the MELR is iteratively trained on 4 subsets and the model fitting performance is tested against the 5<sup>th</sup>, i.e. the holdone-out subset, until each of these has served using each subset as a test-subset once (see Fig. 1). This results in 5 different model equations and corresponding LSS maps. By repeating the absence sampling 20 times, we obtain a total of 100 LSS maps (referred to as CV ensemble or  $LSS_{100}$ , see Fig. 1) that allow for calculations of an ensemble average LSS ( $\overline{LSS}_{100}$ ), as well as a standard deviation ( $\sigma_{LSS_{100}}$ ). Note that the definition of the individual blocks varies between each repetition of absence grid cell sampling due to the kmeans clustering algorithm.

For the input ensemble perturbations, we apply a retrieved one fitted model equation to slightly perturbed a slip slightly perturbed a slightly perturbed a slightly perturbed a

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The perturbations to the predictor variables are randomly sampled from a normal distribution with the mean being the original value of the grid celland a standard deviation that is referred to as the perturbation magnitude. The latter. The standard deviation, or perturbation magnitude, is tuned, so that the resulting total ensemble spread (including the spread originating

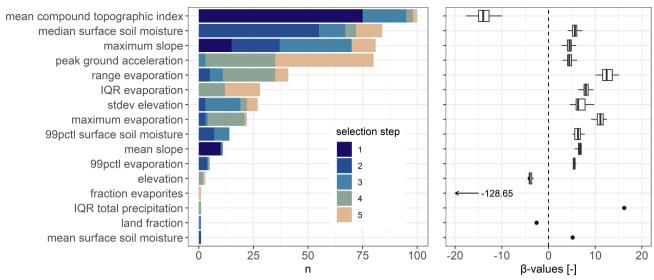
from CV) matches the observed actual uncertainty as retrieved by BS in Equation 2. For details of the tuning process, see Appendix A2. We apply the same perturbation magnitude to all predictor variables, but have it increase proportional (rescaled) predictor variables. The magnitude is chosen to increase proportionally to the topographic complexity of a location from 0.15to 0.215% to 20%. We use the variation of elevation within a grid cell as a measure of said topographic complexity and find this perturbation scaling to yield better results than a globally constant perturbation magnitude. Note that these perturbations do not

255 linearly propagate into the final LSS, as we are working with a because the logistic regression (see Equation 1) is asymptotic and locations of largest perturbation do thus not necessarily coincide with large resulting ensemble uncertainty.

#### 3.3 Evaluation

To quantify how well the predicted average LSS (*LSS*<sub>2500</sub>) represents the a predicted LSS map represents observed landslide presences and absences, a BS can be used (see Equation 2). Alternatively, the LSS accuracy can be quantified using the Receiver
Operating Characteristic (ROC), is commonly used as evaluation tool for categorical response values such as landslide presence or and absence (Reichenbach et al., 2018). For the ROC, the true positive rate of one LSS map is displayed against the its false positive rate for different possible thresholds in the continuous probability (here: *LSS*LSS) that is predicted. We summarize its information by the The area under the ROC curve (AUC) - A value of is 1 indicates for a perfect representation of the LSS, while a spatial LSS distribution, whereas an AUC value of 0.5 indicates that the model does not perform better than random guessing. As part of the CV model construction, we assess the model *fitting* performance for each retrieved model equation against landslide presences within the test subset (see Fig. 1). This evaluation is conducted both for the LSS resulting from the original predictor variable values and the perturbed ones, resulting in a total of 100 AUC values for the CV ensemble (*LSS*<sub>100</sub>) and 2500 AUC values for the full ensemble (*LSS*<sub>2500</sub>). Note that the performance of individual perturbed ensemble members can be worse than their counterpart based on the original predictor variable values, a uniform distribution.

- 270 The ensemble averages, in contrast, are assumed to outperform deterministic predictions, i.e. have a higher accuracy (Kalnay et al., 2006) To test this assumption we additionally assess model Depending on the reference landslide data, the ROC analysis can be conducted for specific grid cells from a CV subset (independent data not used in the training), or from other independent landslide inventories. Here, we use landslide presence and absence information from the grid cells of the fifth CV subset (test subset, see Fig. 1) to assess the model *fitting* performance for each LSS ensemble member map "on the go". To evaluate the
- 275 final *predictiveprediction* performance of ensemble average LSS ( $\overline{LSS}_{100}$  and  $\overline{LSS}_{2500}$ ), the complete ensemble averages and the corresponding ensemble membersand one fully deterministic reference MELR equation (based on neither CV nor input perturbations) against independent validation data: from , we use 3 independent landslide inventories. We obtain 36-km landslide presence grid cells as described for the GLC in sect. 2.1 for i) quarterly reports issued by the Russian Federation (FSBIH, 2018) with  $N_{LS} = 56$  aggregated from 183 observations, ii) an inventory for Africa by Broeckx et al. (2018) with
- 280  $N_{LS} = 649$  aggregated from 18053 observations and iii) FraneItalia, a catalog of recent landslides in Italy (Calvello and Pecoraro, 2020) with  $N_{LS} = 309$  aggregated from 5438 observations. Since we trust their landslide absence reporting to be reliable, we use all other grid cells within the region in question as landslide absence grid cells. These validation inventories cover different climatic zones and hence landslide regimes, stem from (mostly) non-English speaking regions (Africa, Russia, Italy) and include less populated areas (Africa, Russia). Predictions from an LSS model created based on the GLC might here hence
- 285 be less reliable, not well represented in the GLC data that underlie our LSS estimates. With Italy being a hot-spot of landslide occurrence within Europe, we are moreover able to assess whether the coarse spatial resolution hinders realistic regional assessment within smaller, potentially very susceptible areas.



**Predictor variables** 

Figure 2. (Left) Frequency of selected predictor variables and (rightRight) corresponding  $\beta$ -values. The 5 best predictor variables (out of 77, see Table 1) are determined using stepwise forward selection for each MELR model equation (n=100). Colors indicate at which selection step (1-5) the predictor variable was selectedat. Boxplots base for  $\beta$  values are based on the *n* values of the left panel, independent of the selection step. Where n = 1, boxplots are replaced by a point.

The AUC and BS metrics can be computed for individual ensemble members (of the CV ensemble LSS<sub>100</sub>, or the full ensemble LSS<sub>2500</sub>, yielding a distribution of metrics) or for ensemble averages (LSS<sub>100</sub> and LSS<sub>2500</sub>). It will be assessed
whether i) an ensemble average outperforms an individual member LSS realization, and whether ii) the full ensemble average with ensemble input perturbations (LSS<sub>2500</sub>) outperforms the CV ensemble average which does not include input perturbations (LSS<sub>100</sub>). This would be in line with the expectations for hydrological or meteorological models (Kalnay et al., 2006).

# 4 Results

## 4.1 LSS model structure

To assess the global LSS, we create This section investigates the different values for the  $\beta$ -coefficients and intercept  $\alpha$  of the 100 MELR model equations using B-CV (see models created following Fig. 1). Each one of these 100 models has a different set of 5 predictor variables with associated  $\beta$ -values and intercept  $\alpha$ . The landslide absence data, used to train these models, differ for each of the 20 repetitions and subsequently the definitions of the subsets for B-CV vary slightly as well. All 100 models result in LSS maps with very high AUC values above 0.8, with a median of 0.92, for the corresponding test data.

- 300 The values of the intercept  $\alpha$  take negative values for low RND and positive values for high RND (by design, not shown). Figure 2 (left panel ) left panel shows which predictor variables were selected how often and during which step of the selection process (AIC, see section 3.1). The right panel shows boxplots of the  $\beta$ -values retrieved for each predictor variable (see Equation 1). Values of the intercept, which is part of all models, vary with road network density as part of the MELR and mostly have and average close to zero (not shown). The first selected predictor variable was always related to the slope, i.e.
- 305 either the mean CTI within the grid cell, the maximum slope or the mean slope. The mean CTI, also known as a topographic wetness index, was selected as part of all 100 models. It is inversely proportional to slope (see Table 1), which is in line with the negative  $\beta$ -values, i.e. an expected decrease in LSS is expected with increasing CTI. As a second selection, if not again a slope-measure (slope max The second selected predictor variable is either another slope measure (maximum slope or standard deviation of the elevation i.e. local relief), we mostly find variables or, for more than 65% of the models, related to the
- 310 climatologic conditions (median surface soil moisture, range of evaporation, maximum evaporation or surface soil moisture). Out of these variables, median surface soil moisture stands out as most frequently being the second predictor variable (for more than 50% of the models). Independent of the selection step, it is part of more than 80% of the models. All of these variables are modeled with positive  $\beta$ -values, i.e. the higher the predictor variable, the larger the odds of a landslide presence and hence the LSS.
- The areal fraction of evaporites within the grid cell is the only lithological class that was selected, and only in the final selection step. The very unrealistic  $\beta$ -value associated with this predictor (-128.65) suggests that this selection is possibly a statistical artefact. The PGA, treated as a proxy for lithologic weakening due to regular seismic activity, is dominantly selected in the later variable selection steps, but still part of about 80% of the models.

# 4.2 Evaluation of ensemble LSS

- Based on these 100 model equationsand the input parameter perturbations, and when perturbing the input parameters (see Fig. 1), we obtain the full ensemble average LSS (*LSS*<sub>2500</sub>) and standard deviation (σ<sub>LSS2500</sub>) as shown in Fig. 3(based on 2500 LSS values per grid cell, see Fig. 1). The highest *LSS*<sub>2500</sub> can be found in the large mountain ranges on all continents as well as coastal areas (especially the islands in South-East Asia). Very flat areas or planes, such as central northern Canada, Siberia, the Tibetan plateau, the Sinai peninsula, the Sahara as well as central Australia have very low *LSS*<sub>2500</sub>. Intermediate *LSS*<sub>2500</sub> values are found in the northern Rocky Mountains towards Alaska as well as the Kolyma Range in Russia, at the north-eastern shores of South America and the western shores of Africa, along the East African Rift, Scandinavia and India. Areas with intermediate Figure 4a shows a density scatter plot of σ<sub>LSS2500</sub>, versus *LSS*<sub>2500</sub> exhibit large. The uncertainty σ<sub>LSS2500</sub> while those with is large for areas with intermediate *LSS*<sub>2500</sub>, whereas very high or low *LSS*<sub>2500</sub> typically have smaller σ<sub>LSS2500</sub> associated . This parabolic behaviour is summarized in the density scatter plot of Fig. 4a), a smaller associated
- 330  $\sigma_{LSS_{2500}}$ .

Figure 5 illustrates the ensemble  $LSS_{2500}$  distribution for 20 randomly sampled landslide presence and absence grid cells. Even though we quantify the uncertainty with a  $\sigma_{LSS_{2500}}$  statistic, the distributions around  $\overline{LSS}_{2500}$  within one grid cell-are mostly non-gaussian, as illustrated for 20 randomly sampled landslide presence and absence grid cells in Fig. 5. Most

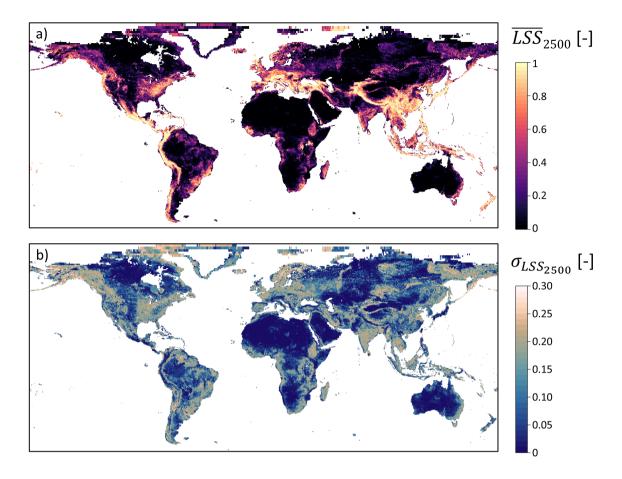


Figure 3. a) Ensemble average LSS ( $\overline{LSS}_{2500}$ ) and b) standard deviation ( $\sigma_{LSS_{2500}}$ ) at 36-km resolution. White areas denote missing values (water bodies, ice). Seemingly larger grid cells in the North are characteristic of the EASEv2 grid projection.

displayed landslide presence (absence) grid cells have LSS distributions ranging at the upper (lower) end of the interval (0,1).
Grid cells 1, 7 and 18, however, exhibit an opposite tendency to their landslideobservationa very wide distribution that seems disconnected from the absence (1, 17) or presence (18) of a landslide.

The ROC curves for ensemble average  $\overline{LSS}_{2500}$  are shown in Fig. 6, with the <u>curve for Russia curves for Russia (AUC:</u> 0.92) and Italy (AUC: 0.91) being closest to the upper left corner(AUC: 0.90), and that for Africa being a little further from this optimum (AUC: 0.82) and Italy relatively close to the 1-1 line (AUC: 0.63)0.84). The  $\overline{LSS}_{2500}$  map hence performs very

340 well over Russia and Africa, while showing some difficulties to capture the patterns for Italyvery well captures the landslide patterns over all three regions.

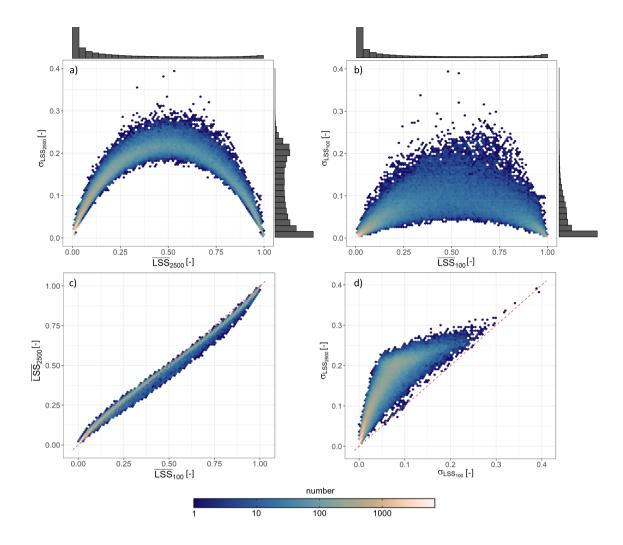


Figure 4. (Top) Ensemble standard deviation LSS ( $\sigma_{LSS}$ ) versus ensemble average ( $\overline{LSS}$ ) of a) the full ensemble ( $LSS_{2500}$ ) and b) CV ensemble ( $LSS_{100}$ ) with the corresponding marginal distributions. Please note that all The marginal distributions contain values of the complete set of 112573 'land' grid cells for which LSS is estimated and are merely scaled by their peak for visualization. (Bottom) Comparison of the c) ensemble average and d) standard deviation of  $LSS_{2500}$  and  $LSS_{100}$ . The 1-1 line (red, dashed) is shown as reference.

# 4.3 Impact of input perturbations

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The additional inclusion of above discussion of the full ensemble  $LSS_{2500}$  includes perturbations to the predictor variables alters the ensemble standard deviations ( $\sigma_{LSS}$ ), while only slightly changing the ensemble averages ( $\overline{LSS}$ ) compared to the ensemble statistics on top of the CV ensemble  $LSS_{100}$  obtained by the CV techniques alone. The parabolic behaviour of  $\sigma_{LSS}$ with  $\overline{LSS}$  (as shown in Fig. 4a and b) is hence amplified. Figure 4 c) and d) compare results for the full ensemble ( $LSS_{2500}$ ) against those of the CV ensemble ( $LSS_{100}$ ) (top) shows that  $\sigma_{LSS_{2500}}$  is typically higher than  $\sigma_{LSS_{100}}$  whereas the ensemble

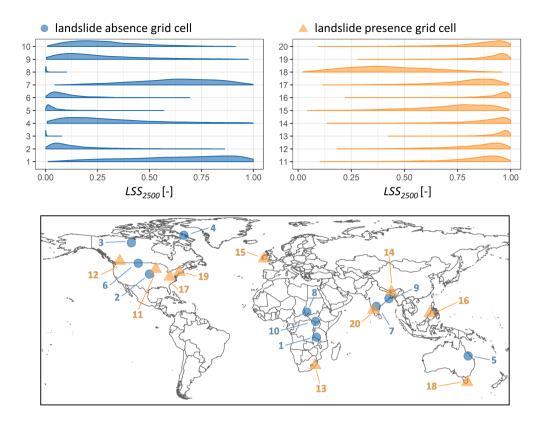


Figure 5. Distribution of ensemble member LSS values ( $LSS_{2500}$ , see Fig. 1) within sample grid cells for select landslide presence (light orange triangle on map) and absence (blue circle on map) grid cells. Please note that the distributions (top) all contain 2500 LSS ensemble members and are merely scaled by their peak to avoid overlaying (large peak) or invisible (small peak, but wide distribution) curves.

averages ( $\overline{LSS}_{2500}$  and show  $\overline{LSS}_{100}$ ) are similar, as expected from the additional zero-mean predictor variable perturbation. Figure 4 (bottom) compares the results for both ensembles and shows only slightly smaller  $\overline{LSS}_{2500}$  in comparison to  $\overline{LSS}_{100}$ ,

350 except for very small  $\overline{LSS}$  (< 0.1). By contrast, the standard deviation  $\sigma_{LSS_{2500}}$  is larger than  $\sigma_{LSS_{100}}$  for nearly all locations, as was intended by the additional predictor variable perturbation. The differences between  $\sigma_{LSS_{2500}}$  and  $\sigma_{LSS_{100}}$  are the least for the very high and low  $\sigma_{LSS_{100}}$ .

Figure 7 shows boxplots of the AUC values for individual members of the CV ensemble  $(LSS_{100})$  and the full ensemble  $(LSS_{2500})$  compared against the according CV test subsets, as well as the independent validation inventories. Note that  $LSS_{100}$  is a subset of  $LSS_{2500}$ . The median AUC value is lower for  $LSS_{2500}$  than for  $LSS_{100}$  for all reference data. Note though that

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is a subset of  $LSS_{2500}$ . The median AUC value is lower for  $LSS_{2500}$  than for  $LSS_{100}$  for all reference data. Note though that despite Despite this shift, a number of the  $LSS_{2500}$  ensemble members also perform better than any of those from  $LSS_{100}$ . As stated before, the The intention is not for the individual ensemble members to have the best prediction, but rather for the ensemble average  $\overline{LSS}$  to be best: clearly the ensemble mean performs better than the majority of the individual ensemble

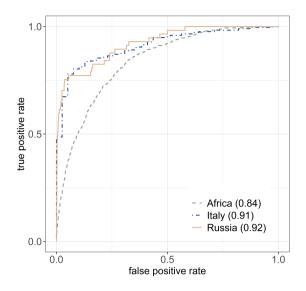


Figure 6. ROC curves of full ensemble average LSS ( $\overline{LSS}_{2500}$ ) for validation inventories from Russia, Africa and Italy and Africa. Corresponding AUC values are denoted in brackets.

members. We find AUC values for these  $\overline{LSS}_{2500}$  and  $\overline{LSS}_{100}$  (dots on the figure) to be practically the same , since there are only minor difference between the two (Fig. 4c)

#### 5 Discussion

#### 5.1 Selected predictor variables

For the global LSS prediction of this study, the mean CTI per grid cell is the most important predictor variable. Mean and maximum slope within a grid cell are selected less often as the first predictor variable, but one of the two is still included in nearly every MELR model. We attribute the primary importance of CTI to the fact that our model is trained with data from hydrologicall triggered hydrologically-triggered landslides (Kirschbaum et al., 2010, 2015), which do not uniquely occur on strong slopes. steep slopes. The CTI intrinsically contains information on the potential hydrological conditions of the site (through the catchment area) as well as its slope. In line with our study, Emberson et al. (2021) found that the CTI is a strong predictor of rainfall-induced landslides for a number of inventories in the tropics and subtropics. Earlier global LSS maps by

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Nadim et al. (2006), Hong et al. (2007) and Stanley and Kirschbaum (2017) primarily used slope information, while Lin et al. (2017) use relative relief. The latter is comparable to the standard deviation of elevation, which is selected in more than 25% of the models of our study.

Long-term median surface soil moisture was most frequently selected as the second predictor variable and part of more than 80% of all models. The positive connection of LSS to the long-term median surface soil moisture in the MELR equations is

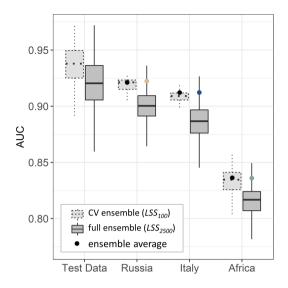


Figure 7. Distribution of AUC for model fitting performance (test data) and model prediction performance (based on independent validation inventories from Russia, Africa and Italy and Africa). Results are shown for CV ensemble members ( $LSS_{100}$ ) and full ensemble members ( $LSS_{2500}$ , including CV ensemble members). AUC values for ensemble averages are displayed as points (black:  $\overline{LSS}_{100}$ , coloured:  $\overline{LSS}_{2500}$ ). The latter correspond to the ROC curves shown in Fig. 6.

- 375 logical for hydrologically triggered landslides. Surface soil moisture is closely related to rainfall and the to LSS reflects the fact that hydrologically-triggered landslides mostly occur in humid regions where the soil is often wet and rainfall can more easily destabilize a slope. The close relation between surface soil moisture and rainfall characteristics is probably the reason for its preferred selection compared to deeper layer soil moisture variables. The high correlation between both prevents that both are surface soil moisture and both rainfall and deeper layer soil moisture variables prevents that the latter two would be selected during one model creation (see sect. 3.2). The preference for median surface soil moisture over average rainfall is probably might be due to the less extreme values in soil moisture (quasi-normal distribution) compared to the highly non-Gaussian non-normal distribution of rainfall. The, but could also reflect that surface soil moisture intrinsically contains additional information on the soil characteristics. It can be interpreted as a proxy or integrator of rainfall patterns, soil and possibly also vegetation characteristics. Similar to surface soil moisture, a positive relation of LSS to-is found for the (inter-quartile) range
- 385 of evaporation. This accounts for regions with strong seasonality in rainfall and in the associated evaporation over wet soils. In earlier global LSS maps, Nadim et al. (2006) and Lin et al. (2017) also included information on the soil moisture (in the form of a soil moisture index by Willmott and Feddema (1992)), with the latter finding soil moisture as the that distinguishes "wet" and "dry" climates. Lin et al. (2017) found this index to be the most important predictor variable. Broeckx et al. (2018) include climatological average annual rainfall as a predictor variable for LSS over Africa. At the global scale, the use of climatological

statistics of hydrometeorological variables for LSS has not been tested before. It is important to note that such long-term 390 statistics are meant to remain constant in time for global LSS estimation (by definition), but they also offer the possibility to recompute and refine LSS estimates in an era of climate change.

We did not find significant contributions of lithological predictor variables. For Africa, Broeckx et al. (2018) found a (limited) impact of the presence of unconsolidated sediments and siliclastic sedimentary rocks on LSS. Stanley et al. (2021) found the lithology (regrouped from GLiM) to be the least important factor. While local lithology plays a vital role for landslide 395 occurrence, the large data uncertainty and often very broad definitions (as for example elaborated by Campforts et al. (2020) in a different context) hinder meaningful contributions to LSS assessment, even for smaller scale studies. This might also explain why, instead, PGA was favoured as a proxy for structural weakening during the variable selection. The one-time selection of the fraction of land within a grid cell, with a negative  $\beta$ -value assigned, reflects that coastal or shore areas with a low land fraction are more prone to landslides (higher LSS). Overall, the selected predictor variables, as well as the assigned  $\beta$ -values 400

#### 5.2 Full ensemble results

are in line with general geomorphologic understanding and previous studies.

The spatial patterns of the full ensemble average LSS ( $\overline{LSS}_{2500}$ , see Fig. 3) agree well with those of the categorical LSS maps by Stanley and Kirschbaum (2017) at 1 km resolution and Lin et al. (2017) at 0.5° resolution(see., shown in Fig. 8a and b);

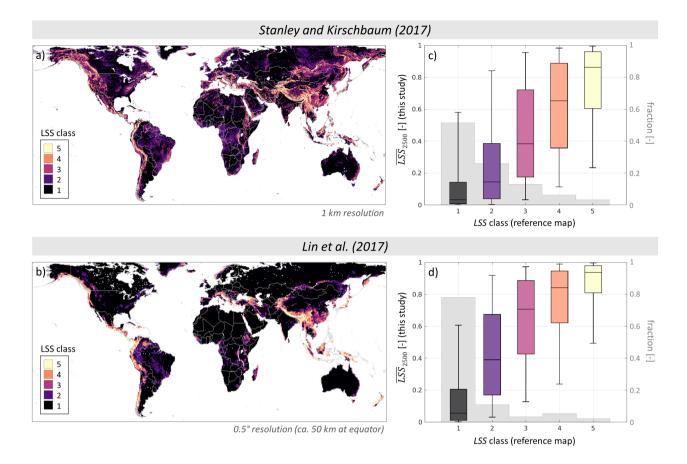
- 405 with high LSS in strongly mountainous areas, and low LSS for very flat and dry areas. Figure 8 c) and d show the distribution of the continuous 36-km  $\overline{LSS}_{2500}$  per LSS class of these two reference maps. In comparison to both comparing the maps, we find a larger area covered by high  $\overline{LSS}_{2500}$  for example in the Eastern United States, Latin America, Mediterranean Europe, India, South-East Asia and New Zealand. At the same time,  $\overline{LSS}_{2500}$  shows much less variation than the map by Stanley and Kirschbaum (2017) within large deserts (Sahara, Sinai peninsula and central Australia). This might be a result of
- the coarser spatial resolution, but is also attributable to the fact that  $\overline{LSS}_{2500}$  is strongly governed by hydrological predictor 410 variables apart from the typical geomorphological ones. With a very large proportion of the lowest LSS class, Lin et al. (2017) have even less variation within these areas than  $\overline{LSS}_{2500}$ .

These realistic spatial distributions of  $\overline{LSS}_{2500}$  are supported by the AUC values calculated for this ensemble average (dots in Fig. 7). The lower AUC value for Africa can be attributed to the fact that the inventory comprises also very old landslides

from very different climatic conditions. For Italy, *LSS*<sub>2500</sub> lacks detail to distinguish between the various levels of high 415 susceptibility: most  $\overline{LSS}_{2500}$  values are close to 1, i.e. there is little spatial variation. In general though, these AUC values are in line with those of Stanley and Kirschbaum (2017) and Lin et al. (2017), who reported AUC between 0.6 and 0.9, and and Lin et al. (2017) of around 0.9, respectively.

Figure 5 shows that the distributions of LSS ensemble members within one grid cell could have a very wide range. Even

420 though in this figure we only selected locations within English-speaking countries and excluded unreliable absence grid cells (see sect. 2.1), it is still possible that an <u>'absence</u> 'absence grid cell could experience a landslide, even if none has been reported in the GLC. A prominent example of this are absence grid cells 1 and 7, located in the East African Rift and India, respectively. Both grid cells have no reported landslide, but very wide LSS distributions, with relatively high LSS values. This



**Figure 8.** Comparison of  $\overline{LSS}_{2500}$  against existing global categorical LSS maps by (topa) Stanley and Kirschbaum (2017) and (bottomb) Lin et al. (2017). (a,b) spatial distributions; (c,d) boxplots Boxplots of  $\overline{LSS}_{2500}$  values :-e) extracted from the nearest 36-km grid cell for each (c) 1-km and (d) the average of the 6 nearest 0.5° grid cellscell in the reference map. Boxplots are underlayn underlain with the fractions of the reference map LSS classes (grey). Note that both reference maps start off from continuous LSS values but use very different thresholds for the class definitions: Lin et al. (2017) Stanley and Kirschbaum (2017) set breakpoints at [0.4,0.6,0.7,0.9, following Guzzetti et al. (2006) and Van Den Eeckhaut et al. (2012); Stanley and Kirschbaum (2017) set breakpoints at [0.4,0.6,0.7,0.9], following Guzzetti et al. (2006) and Van Den Eeckhaut et al. (2012).

discrepancy between prediction and observation could indicate the need for further researchin this location. to visit this location for landslide research. At the same time, landslide presence grid cell 18 also has a very wide LSS distribution with a rather low average. This could either indicate that a non-hydrological process caused the landslide (misclassification) or that specific unrepresented features are present within the grid cell area. Overall, we find an average  $\overline{LSS}_{2500}$  of 0.18 (0.82) for landslide absence (presence) grid cells (as displayed in Fig. A1) which makes us confident in our classification of these grid cells. Calculating the ensemble standard deviation of these distributions ( $\sigma_{LSS_{2500}}$ ) is a good measure of *total prediction uncer*-

- 430 *tainty* associated with a the  $\overline{LSS}_{2500}$  for one grid cell. The  $\sigma_{LSS_{2500}}$  is typically small for distributions at either end of the LSS interval (0,1), resulting in the parabolic pattern as displayed in Fig. 4a-b). This pattern has also been found for local assessments (Guzzetti et al., 2006; Depicker et al., 2020)) and seems to be hold holds for Broeckx et al. (2018) over Africa as well (visual comparison of two maps). The reasons for this  $\overline{LSS}_{2500}$ - $\sigma_{LSS_{2500}}$  relationship are twofold: (i) The classification algorithm works best for extreme environmental conditions, such as very steep slope or completely flat areas and has a strongly
- nonlinear, asymptotic behaviour (logistic regression), and (ii) the predictions are limited to the interval (0,1), restraining the opportunity for deviations at the extremes to one side. A comparison of σ<sub>LSS2500</sub> with independent global estimates is currently not possible for lack of uncertainty estimates (Nadim et al., 2006; Hong et al., 2007; Stanley and Kirschbaum, 2017; Lin et al., 2017). However, a comparison with the standard deviations retrieved during the process of random CV for the continental LSS map of Africa by Broeckx et al. (2018) (i.e. not accounting for the *total uncertainty*) reveals that the patterns are very similar, but with less (more) variation in σ<sub>LSS2500</sub> for the very arid (humid) regions.

## 5.3 Impact of input perturbations

In this study, we add predictor variable perturbations to the eross validation (CV) CV approach in order to obtain a more reliable estimate of the *total prediction uncertainty* from the resulting full ensemble. By design, the zero-mean input perturbation does only marginally affects affect the ensemble  $\overline{LSS}$ , i.e.  $\overline{LSS}_{2500}$  is practically the same as the CV ensemble average  $\overline{LSS}_{100}$ 

445 (see Fig. 4). Slightly increased (decreased)  $\overline{LSS}_{2500}$  at the lower (upper) limits can be attributed to the resampling of predictor variable values if they exceed the definition interval of rescaled predictor variables (0,1). Overall, this introduced bias remains rather small.

The AUC analysis (Fig. 7) shows that the ensemble averages perform much better than individual ensemble members, and that  $\overline{LSS}_{2500}$  and  $\overline{LSS}_{100}$  perform equally well. Not shown is that the BS (Equation 2) decreases (i.e. improves) for  $LSS_{2500}$ 

- 450 in comparison to  $LSS_{100}$  where LSS is not very close to the observation already (landslide presence and absence). This effect is, however, not visible in the AUC comparison (spatial accuracy) for the validation data in Russia, Africa and Italy because the grid cells with BS improvement only make up for ~8%, ~9% and ~18% respectively. The AUC values of ensemble averages remain practically the same, and an LSS model without predictor perturbations would hence suffice for a general insight in the global spatial LSS pattern.
- That the individual ensemble member LSS maps of  $LSS_{2500}$  (based on perturbed variables) have a lower median AUC values is, however, realistic than  $LSS_{100}$  is logical: the model equations are created with the original variables tailored to the original predictor variable values so that they are optimally combined into an LSS prediction. Any change of these variables naturally deteriorates could deteriorate the outcome. This is, however, no lack in quality of the ensemble, but rather a side effect. We do not use the individual ensemble members but their average as an LSS prediction, for which we find practically
- 460 unchanged <u>spatial</u> accuracy between CV ensemble and full ensemble. For a reference, we also retrieve one deterministic MELR equation (resulting in f(CTI, maximum slope, median surface soil moisture, range of evaporation, PGA), not shown). Again,we retrieve nearly identical AUC values (Russia: 0.90, Italy: 0.63, Africa 0.82). The finding of Kalnay et al. (2006) that the

introduction of ensembles increases the accuracy of the prediction does not hold for our LSS modelling. This is probably due to the non-linear characteristics of logistic regression and LSS being static.

- By tuning the predictor variable perturbations to match the *total ensemble prediction uncertainty* to the observed 'actual' uncertainty, we are able to provide statistically reliable uncertainty estimates for the predicted average LSS, even in places where landslide observations are unavailable. As stated before, this optimized spread is introduced to the input variables, but does not actually reflect the input errors only: it also compensates for other uncertainty sources that are not specifically addressed<del>.</del> This does, however, not include most, incl. spatial representativeness error, and uncertainties introduced by heuristic decisions
- 470 along the way, such as the choice of spatial resolution, the choice of the the statistical model, the definition of a random effect variable, the definition of landslide presence and absence grid cells (characteristic distance) and the preselection of predictor variables to include in the study. These etc. Explicitly accounting for these error sources would require dedicated analyses to assess their introduced uncertainty (as for example conducted by Depicker et al. (2020)). Because Zêzere et al. (2017) found that the choice of spatial mapping unit influences LSS estimates stronger than the choice of statistical model, we do not
- 475 expect that our results would fundamentally change for approaches other than MELR. Future research could also explore the additional information from the GLC, such as landslide sizes or types, types or the frequency of occurrence per grid cell instead of reducing the data to landslide presence and absence. For the latter, one would need to find ways to counteract the English-language and economic bias of the GLC which is more pronounced when using the actual number of reports instead of the presence absence method chosen in this study.

# 480 6 Conclusions

This study presents the first global landslide susceptibility (LSS) map directly developed to be compatible with satellite soil moisture products retrieved from passive microwave sensors, i.e. at a spatial resolution of 36 km. The novel method of combining blocked random CV (B-CV) and predictor variable perturbations results in a reliable reasonable assessment of the associated *total prediction uncertainty*. For each grid cell, we estimate 2500 individual LSS values ('full ensemble') that are summarized by the ensemble average LSS ( $\overline{LSS}$ ) and standard deviation ( $\sigma_{LSS}$ , i.e. the uncertainty). Together, these LSS statistics can provide unprecedented information for subsequent global probabilistic spatio-temporal landslide modelingand, and statistical combination of the LSS and soil moisture estimates, each with their respective uncertainties. Furthermore, the LSS maps have the potential to discern areas that deserve more attention for landslide detection.

- A mixed effects logistic regression (MELR) is used as the model structure to relate environmental predictor variables to spatial landslide likelihoods. The objectively selected predictor variables are mainly related to slope and hydrology, in line with the expectations for hydrologically triggered hydrologically-triggered landslides. The odds of landslide occurrence were found to (i) decrease with increasing Compound Topographic Index (CTI), which depends on the ratio of catchment area and slope and (ii) increase with increasing slope, peak ground acceleration (PGA) - and long-term climatological statistics of surface soil moisture (median and 99<sup>th</sup> percentile) or range of evaporation. The inclusion of long-term statistics of hydrometeorological
- 495 variabels enables future investigations into possible shifts in LSS due to climate change.

The map of the full ensemble  $\overline{LSS}$  reproduces global patterns of LSS as presented in previous global studies well. The performance assessment yields area under the ROC curve (AUC) values of 0.9, 0.8 and 0.6, 0.92, 0.91 and 0.84 for independent data from Russia, Africa and Italy Italy and Africa, respectively. The latter emphasizes that the coarse spatial resolution might not be suited for detailed assessments within small regions. The uncertainty  $\sigma_{LSS}$  is largest for intermediate  $\overline{LSS}$ . High

500 predicted LSS at (reliable) landslide absence grid cells might furthermore indicate regions that could benefit from future landslide detection and research.

For the ensemble perturbations of the selected predictor variables we use a perturbation magnitude of 15% to 20%, linearly proportional to the variation of elevation within a grid cell. The magnitude is chosen to match the *total predicted ensemble uncertainty* with the observed actual uncertainty relative to data from the Global Landslide Catalog (GLC). Adding these per-

- turbations does not linearly propagate into the ensemble spread due to the <u>asymptotic</u> nature of logistic regression. It increases the ensemble spread for locations of intermediate  $\overline{LSS}$  while having negligible impact where  $\overline{LSS}$  is close to its lower or upper limit. The ensemble  $\overline{LSS}$  remains practically unchanged, regardless of the input perturbations. This is also the case when comparing  $\overline{LSS}$  to that of pure B-CV ensembles, leaving the accuracy and its spatial accuracy (AUC) remain practically unchanged by the ensemble perturbations, but AUC values of these average predictions virtually unchanged are always much
- 510 <u>better than that of individual ensemble realizations</u>. In short, these novel methods explicitly focus on the uncertainty quantification. The availability of global reliable uncertainty estimates is an unprecedented new contribution to the suite of global LSS maps, and it will support stochastic landslide hazard modeling.

*Code and data availability.* For most of the landslide and environmental predictor data we refer the reader to the provided sources. Source code and climatological statistics of hydrological parameters in netCDF format can be obtained by contacting the authors. The resulting full LSS ensemble is available as a netCDF file as well and will be publicly available after the acceptance of this paper.

#### Appendix A

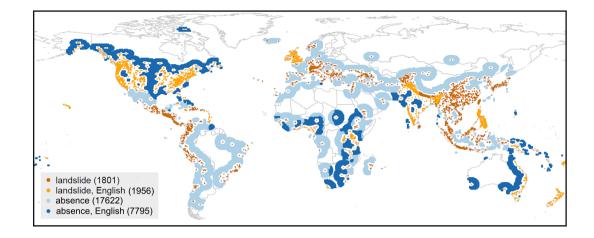
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#### A1 Landslide absence sampling

Figure A1 shows the  $N_{LS} = N_{LS} = 3757$  landslide locations based on data from the GLC aggregated to the 36-km EASEv2 (section 2.1). Landslide absence grid cells are sampled between a minimum (buffer) and maximum distance around known landslide locations ( $N_{noLS} = N_{noLS} = 25417$ ). These distances can be based on either heuristic choices (Van Den Eeckhaut et al., 2012; Lin et al., 2017; Knevels et al., 2020) or empirical approaches (Zhu et al., 2017; Nowicki Jessee et al., 2018; Lucchese et al., 2021).

For our global study, we set a buffer based on the probability for any two landslide locations from the GLC to be reported within a specific distance interval for 100 spatially defined clusters (k-means-clustering (Lloyd, 1982) on latitude and longitude). Figure A2 shows that the frequency of encountering two landslide locations decreases for larger distances and can

525 gitude). Figure A2 shows that the frequency of encountering two landslide locations decreases for larger distances and can be characterized by a Poisson exponential fit. In line with the definition of autocorrelation length (Gaspari and Cohn, 1999),



**Figure A1.** Spatial distribution of landslide presence (shade of orange) and absence (shade of blue) grid cells at 36-km resolution, for English speaking countries (light orange and dark blue) and non-English speaking countries (dark orange and light blue). White indicates grid cells that are excluded during the model creation process (buffer and maximum radius around landslide location, see sect. 2.1). The numbers are the sum of each subgroup of grid cells.

we define the 'characteristic distance' between two landslides as the distance where the probability to meet another landslide drops by 1/e. We use this characteristic distance of 221.43 km or appr.  $\sim 6$  36-km grid cells (median of characteristic distances retrieved for 50 repetitions of the clustering) as a buffer around landslide locations. The maximum distance around a landslide is subsequently defined as 2.5 times this characteristic distance (553.58 km, appr.  $\sim 15$  grid cells), borrowing from the data assimilation community where 2.5 times the autocorrelation length is a measure for absence of correlation (Gaspari and Cohn,

1999; De Lannoy, 2006; De Lannoy et al., 2010).

Landslide absence grid cells are hence selected from grid cells 7 to 15 grid cells around a landslide location presence grid cell (blue grid cells in Fig. A1). These distances are inevitably much larger than those found in literature for finer-scale studies,

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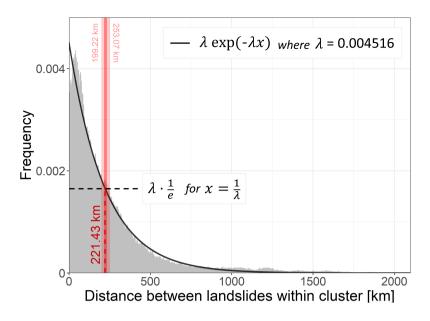
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because autocorrelation lengths are scale-dependent and the retrieved characteristic distance is influenced by the spatial extent, or the definition of the clusters in our case.

#### A2 Input perturbation and optimization

For a reliable assessment, the *total ensemble prediction uncertainty* of the obtained ensemble average LSS map ideally should match the observed actual uncertainty. The first can be defined for a single location by the standard deviation (σ) among the
LSS ensemble members (LSS<sub>i</sub>, with i = 1,...,N<sub>ens</sub>), as also displayed in sect. 4 and Fig. 3. Similarly, it is possible to assess the according variance (σ<sup>2</sup>), referred to as ensemble spread (*ensp*):

$$ensp = \frac{1}{N_{ens}} \sum_{i=1}^{N_{ens}} (LSS_i - \overline{LSS})^2 \tag{A1}$$



**Figure A2.** Histogram of distances [km] between landslides within a k-means cluster (for 100 clusters across the globe) of the GLC (grey) and Poisson exponential fit (black line) to retrieve the characteristic landslide distance (red). The red dashed line indicates median characteristic landslide distance from 50 repetitions of the k-means clustering, with the smallest and largest characteristic distance indicated by the light red bar and numbers at top.

Similar to a (Wilks, 2011), the The observed actual uncertainty at a single location is defined as the difference between  $\overline{LSS}$  and the aggregated landslide observations from the GLC (o), referred to as the ensemble skill (*ensk*):

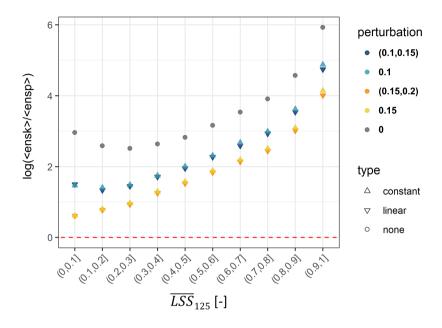
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$$ensk = (\overline{LSS} - o)^2$$
 (A2)

where o is 1 (0) in case of a landslide presence (absence) grid cell. The smaller *ensk*, the closer the predicted  $\overline{LSS}$  to the observation. This is essentially a Brier Score (see Equation 2) for one single grid cell. (Wilks, 2011).

The optimization of the uncertainty estimates entails tuning of *ensp* to match *ensk*. In this study, this is done by varying the perturbation magnitude that is added to the input variables (see sect. 3.2). Talagrand et al. (1997) defined spread-skill relationships that allow to verify the statistical consistency between the assumed uncertainty (chosen perturbation) and the actual 'observed' uncertainty based on the ergodicity principle. Over a large number of realizations, i.e. for large enough ensembles,  $\langle ensk - ensp \rangle \rightarrow 0$  or  $\langle ensk - ensp \rangle \rightarrow 0$  or

$$\frac{\langle ensk \rangle}{\langle ensp \rangle} \frac{\langle ensk \rangle}{\langle ensp \rangle} \to 1 \Leftrightarrow \log\left(\frac{\langle ensk \rangle}{\langle ensp \rangle} \frac{\langle ensk \rangle}{\langle ensp \rangle}\right) \to 0 \tag{A3}$$

where  $\langle . \rangle \langle . \rangle$  denotes the average. In most hydrological or meteorological applications, this is the temporal average within one grid cell. As this is not applicable for the static LSS data, we consider (i) spatial averages  $\langle ensk \rangle / \langle ensp \rangle \langle ensk \rangle / \langle ensp \rangle$  per LSS interval as well as (ii) the distribution of individual ensk/ensp per grid cell. Both should only be performed over grid cells with reliable information about landslide presence or absence (see Appendix A1). Note that this definition of  $\langle ensk \rangle$  (ensk) corresponds to the definition of the Brier Score as given in sect. 3.2.



**Figure A3.** Spread-skill relationship  $log(\langle ensk \rangle / \langle ensp \rangle) log(\langle ensk \rangle / \langle ensp \rangle)$ , stratified per ensemble average LSS ( $\overline{LSS}_{125}$ ). The optimum of 0 is indicated by red dashed line. Shapes indicate the type and colours the magnitude (constant) and interval (linear) of perturbation.

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We tested various magnitudes of perturbations to the rescaled predictor variables either by using (i) a globally constant standard deviation or (ii) a standard deviation proportional to the topographic complexity (i.e. the variation within a grid cell, here the standard deviation of elevation). A range of possible perturbation options was tested for a partial ensemble  $(LSS_{125}, i.e.$ no repetition of landslide absence sampling as illustrated in Fig. 1). Figure A3 shows  $log(<ensk_{LSS_{125}} > / <ensp_{LSS_{125}} >)$  $log((ensk_{LSS_{125}})/(ensp_{LSS_{125}}))$  for 10 intervals of  $\overline{LSS}_{125}$  and two examples of constant and linear perturbations. Adding any of the four perturbations brings  $log(<ensk_{LSS_{125}} > / <ensp_{LSS_{125}} >)$  log( $(ensk_{LSS_{125}})/(ensp_{LSS_{125}})$ ) values closer to zero, i.e. improves the spread-skill relationship, compared to results without a perturbation ( $LSS_5$ , single CV ensemble). Linear perturbations introduce larger spread in areas of higher  $\overline{LSS}_{125}$  resulting in  $log(<ensk_{LSS_{125}} > / <ensp_{LSS_{125}} >)$  $log((ensk_{LSS_{125}})/(ensp_{LSS_{125}}))$  closer to zero than constant perturbations, and are therefore preferred here.

We futher analyze the distribution of individual *ensp* and *ensk* across all grid cells in Fig. A4 (top), stratified for landslide presence and absence. Ideally, *ensp* versus *ensk* should stay close to the 1-1 line. Adding a perturbation to the predictor variables

570 (Fig. A4 c in comparison to a) nudges the distribution in this direction, but fails to do so for large ensk: a large ensk results

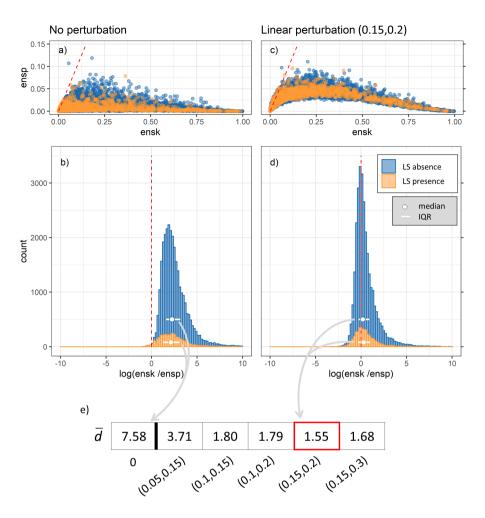
from a large difference between  $\overline{LSS}_{125}$  and landslide observation (*o*), and often coincides with very small *ensp*. This can be attributed in part to the incompleteness of the GLC (missing observations in a very susceptible area) and the coarse spatial resolution of this study (one very susceptible location surrounded by dominantly non-susceptible area within grid cell). Note also that the logistic regression (see Equation 1) does not linearly propagate the perturbations of predictor variables into the

575 resulting LSS values, especially not at the edges of the definition interval (0,1). Accepting this tail of the distribution as an unavoidable characteristic, we further analyze the histogram of grid cell wise log(ensk/ensp) as displayed in Fig. A4 c) and d) b and d. An optimal perturbation would result in median log(ensk/ensp) close to zero and a small inter-quartile range (IQR). We therefore define the optimal perturbation for a minimum Euclidean distance (d) between the point (median|IQR) and (0|0), averaged over the distribution of observed landslide presences and absences (o = 0, 1):

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$$\bar{d} = \frac{1}{2} \sum_{o=0,1} (median^2 + IQR^2)_o$$
 (A4)

The  $\bar{d}$  for a range of possible linear perturbation options for  $LSS_{125}$  is summarized in Fig. A4e). The optimal perturbation (smallest  $\bar{d}$ ) scales the applied standard deviation according to topographic complexity, represented by the standard deviation of elevation within a grid cell, between (0.15, 0.2)., i.e. between 15% and 20%. Fine tuning of the standard deviation is left for future work, but could involve other variables or transformations thereof or different amounts of perturbations per predictor variable.

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**Figure A4.** Spread-skill relationship per grid cell with the optimum indicated by the red dashed lines: (top; a,c) scatter plots of *ensk* against *ensp*, (middle; b,d) histograms of log(ensk/ensp), stratified for landslide presence and absence (between buffer and maximum distance). (Bottom; e) summary of the average Euclidean distance  $\bar{d}$  for all applied linear perturbations with the optimum framed in red. Shown are results for a-b) without perturbation of predictor variables ( $LSS_5$ ), and c-d) for linear perturbation of predictor variables within the interval (0.15,0.2) ( $LSS_{125}$ ). In other words, (a-b) account for *model uncertainty* alone whereas (c-d) account for the *total uncertainty* (see Fig. 1).

*Author contributions.* AF designed the LSS assessment setup, created the code and conducted the analysis, supervised by GDL and JP along the way. GDL provided scientific guidance for all steps of this study, with special focus on the input perturbation and optimization. MB provided guidance for the CV approaches. JP and MV provided topical expertise for interpretation of results. All co-authors provided guidance on the study's content and contributed to the paper.

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#### Abbreviations

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- $N_{LS}$  number of landslide locations, i.e. landslide presence grid cells
- $N_{noLS}$  number of landslide absence grid cells

**BS** Brier Score

600 CLSM Catchment Land Surface Model

DEM digital elevation model

EASEv2 Equal-Area Scalable Earth version 2

GLiM Global Lithological Map

- GMTED2010 Global Multi-resolution Terrain Elevation Data 2010
- 605 GSHAP Global Seismic Hazard Assessment Project

GSHM Global Seismic Hazard Map

GSWP-2 Second Global Soil Wetness Project

GTOPO30 USGS global elevation model

HWSD1.21 Harmonized World Soil Databank version 1.21

610 MERRA-2 Modern-Era Retrospective analysis for Research and Applications, Version 2

**R-CV** random CV

**ROC** Receiver Operating Characteristic

SRTM Shuttle Radar Topography Mission

STATSGO2 State Soil Geographic project

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