



1	Assessing the importance of feature selection in Landslide Susceptibility for
2	Belluno province (Veneto Region, NE Italy)
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11	
12	Abstract
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14	In the domain of landslide risk science, landslide susceptibility mapping (LSM) is very
15	important as it helps spatially identify potential landslide-prone regions. This study used a
16	statistical ensemble model (Frequency Ratio and Evidence Belief Function) and two machine
17	learning (ML) models (Random Forest and XG-Boost) for LSM in the Belluno province
18	(Veneto Region, NE Italy). The study investigated the importance of the conditioning factors
19	in predicting landslide occurrences using the mentioned models. In this paper, we evaluated
20	the importance of the conditioning factors (features) in the overall prediction capabilities of the
21	statistical and ML algorithms. By the trial-and-error method, we eliminated the least
22	"important" features by using a common threshold. Conclusively, we found that removing the
23	least "important" features does not impact the overall accuracy of the LSM for all three models.
24	Based on the results of our study, the most commonly available features, for example, the
25	topographic features, contributes to comparable results after removing the least "important"





ones. This confirms that the requirement for the important factor maps can be assessed based on the physiography of the region. Based on the analysis of the three models, it was observed that most commonly available feature data can be useful for carrying out LSM at regional scale, eliminating the least available ones in most of the use cases due to data scarcity. Identifying LSMs at regional scale has implications for understanding landslide phenomena in the region and post-event relief measures, planning disaster risk reduction, mitigation, and evaluating potentially affected areas.

33

34 1. Introduction

35

36 Landslides are one of the most frequently occurring natural disasters that cause significant human casualties and infrastructure destruction. Landslides are triggered by several natural and 37 man-made triggering events such as earthquakes, volcanic eruptions, heavy rains, extreme 38 39 winds, and unsustainable construction activities such as informal settlement development and 40 cutting of roads along the slopes (Glade et al., 2006; van Westen et al., 2008). Extreme 41 meteorological events such as the Vaia storm of 2018 triggered landslides and debris flow, 42 destroyed critical infrastructures in the northern parts of Italy (Boretto et al., 2021). As reported 43 by (Gariano et al., 2021) in the last 50 years between 1969-2018, landslides posed a severe 44 threat to the Italian population. Approximately, 1500 out of the 8100 municipalities in Italy have faced landslides with severe fatalities. Between the years of 1990 and 1999, 263 people 45 46 were killed by landslides. Studies by (Rossi et al., 2019) estimated that approximately 2500 47 people were killed between 1945-1990. Moreover, predictive modelling of the Italian 48 population at risk to landslides (Rossi et al., 2019) shows massive tendency of risk to the 49 population with data acquired between 1861-2015, emphasizing the necessity of landslide risk 50 studies.





51 Therefore, to assess landslide risk and plan for suitable risk mitigation measures, it is crucial 52 to realize the significance of landslide studies, particularly landslide susceptibility mapping 53 (LSM). LSM is an essential tool that incorporates the potential landslide locations (Senouci et 54 al., 2021). The probability of a landslide occurring in a particular region owing to the effects 55 of several causative factors is referred to as landslide susceptibility. LSM is an essential step 56 towards landslide risk management and helps in effective mapping of the spatial distribution of probable landslide manifestations (Dai et al., 2002). In the past, researchers have used a 57 range of models to assess landslide susceptibility using technologies such as Earth Observation 58 59 (EO) and Geographic Information Systems (GIS). The extraction and analysis of slope movements have been going on since the early 1970s (Brabb et al., 1972) and is still one of the 60 61 most important tools to perform LSM (Castellanos Abella and Van Westen, 2008; Catani et al., 62 2013; Chacón et al., 2006; Ercanoglu and Gokceoglu, 2002; Floris et al., 2011; Guzzetti et al., 2006; Liu et al., 2021; Pham et al., 2015; Reichenbach et al., 2018; Youssef and Pourghasemi, 63 64 2021).

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66 Traditional methods such as the expert-based Analytical Hierarchy Process (AHP), multi-67 variate statistics, data-driven Frequency Ratio (FR) have been employed for landslide 68 susceptibility for many years, with satisfactory results (Castellanos Abella and Van Westen, 69 2008; Komac, 2006; Pradhan, 2010). Examples of such approaches is given in the study area, by Floris et al. (2011) which combined traditional LSM methods with an updated online 70 71 landslide database in the Veneto Region, Italy, where they used online spatial data from Italian 72 portals for mapping landslide susceptibility at medium and large scales. Afterwards, with the 73 development of new approaches, susceptibility modelling has advanced from traditional 74 approaches. Presently, two approaches: (1) statistical and (2) machine learning, are practised 75 for LSM at investigating the landslide predisposing factors and to map the geographical





76 distribution of landslide processes. Reichenbach et al., (2018) classified landslide susceptibility 77 models into six main groups: (1) classical statistics, (2) index-based, (3) machine learning, (4) 78 multi-criteria analysis, (5) neural networks, and (6) others. Research by (Reichenbach et al., 79 2018) also depicted that before 1995, only five models were used for LSM, but in recent times, 80 an investigation of 19 other models was carried out, which yielded good results. More than 50 81 per cent of the methods consisting of the first five models mentioned above accounted for 82 landslide susceptibility studies. Recent work of (Stanley et al., 2021) emphasized the importance of data-driven methods in global LSM, trained to report landslide spatial 83 84 occurrences between the periods of 2015-2018. The first version of the Landslide Hazard Assessment for Situational Awareness (LHASA) from their work for NASA, reported landslide 85 86 occurrences with a decision tree model that first defines the intensity of one week of rainfall. 87 LHASA version 2 used the data-driven model of XG-Boost by adding two dynamically varying factors: snow and soil moisture. However, despite advances in LSM, the advent of feature 88 89 importance or the importance of the causative factors in the prediction capability of a model is 90 not discussed enough. The need of increasing our control over the model sensitivity to system 91 parameters changes, including those induced by anthropogenic and climate-change dynamics, 92 is becoming a key factor in the implementation of truly efficient LSM for risk mitigation 93 purposes. The VAIA windstorm of 2018, as a typical extreme weather event, may easily escape 94 traditional statistical prediction schemes and represent, therefore, a challenging test for exploring the sensitivity of the various LSM models to changing factors and conditions. 95

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97 One goal of this research is to look into the relative changes in LSM accuracy when the least 98 "important" conditioning factors are removed. Feature selection in LSM is an approach in 99 reducing landslide conditioning features to improve model performance and reduce 100 computational costs. The purpose of this approach is to find the optimal set of conditioning





101 features that will provide the best fit for the model to yield higher accuracy as predictions. 102 Micheletti et al., (2014) emphasized the importance of feature selection in LSM and discussed 103 the use of Machine Learning (ML) models such as Support Vector Machine (SVM), Random 104 Forest (RF), and AdaBoost for LSM, as well as the significance of associated features within 105 the confluence of the ML models for feature importance. However, their study did not consider 106 geological and meteorological features like lithology, land use, and rainfall intensity for both 107 LSM and feature selection. Studies by Liu et al., (2021) depicted the improvement in the predictive capability of the so-called Feature Selected Machine Learning (FS-ML) model but 108 109 also remarked on the fact that the same features may contribute differently in different ML models. In this study, we wanted to investigate post-prediction feature selection approach to 110 improve LSM accuracy in contrast to what has been done in literature like Liu et al., (2021), 111 112 where they perform pre-prediction feature importance using approaches like multi-collinearity 113 analysis, variance inflation factor. The identification of the most crucial features can help in 114 monitoring the effect of extreme events (such as Vaia) on the increase of landslide hazard. This 115 has implications for observation of the influence of extreme events on crucial factors in 116 comprehending the changes in the evolution of hazard can be evaluated.

We present a study in the province of Belluno, northern Italy, with the comparison of feature or factor importance of statistical and ML models for LSM before the Vaia storm event. The results from the LSM will be then validated using the IFFI landslide inventory data for testing the various models' prediction capability with/without certain factors. We also investigate whether many of the latter features are crucial for LSM. As in many regions over the world, the same data or factor maps might not be available.

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124 2. Study area and Data

125 2.1 Study area





126 The area of the Belluno Province (Veneto Region, NE Italy) is part of the tectonic unit of the 127 Southern Alps. The territory is 3,672 km<sup>2</sup> wide, stretching from north to south between the 128 Dolomite Alps and the Venetian Pre-Alps, with elevations ranging from 42 to 3325 m above 129 mean sea level. From a geological point of view, Dolomite Alps comprises the Hercynian 130 crystalline basement consisting of micaschists and phyllites intruded by the Permian 131 ignimbrites (Doglioni, 1990; Schönborn, 1999) These Paleozoic units are mainly outcropping in the NE and central-West sectors. The Middle-Upper Triassic includes carbonate, volcanic 132 133 and dolomitic formations. In particular, the Upper Triassic Main Dolomite covers 14% of the 134 whole province. Jurassic-Cretaceous limestone and marls are especially located between the Valsugana and Belluno thrusts (Sauro et al., 2013). Moreover, in the Belluno valley and in the 135 136 southern part of the area, Cenozoic sediments, i.e., flysch and molasse and Quaternary glacial, 137 alluvial and colluvial deposits are largely present. Instead, Venetian Prealps are characterized 138 by Jurassic-Cretaceous sedimentary cover, such as layered limestones and dolomites with cherts (Compagnoni et al., 2005; Corò et al., 2015). Because of its morphological 139 140 characteristics, the study area is affected by slope instability, which overlay an area of 165 km<sup>2</sup> 141 corresponding to 6% of the province (Baglioni et al., 2006). Most of the landslide phenomena 142 are located in the NW (Upper basin of Cordevole River) and SE (Alpago district) sectors of the 143 province (Figure 1). The dominant landslide types are slides (47%), rapid flows (20%), slow 144 flows (12%), and shallow soil slips (7%) (Iadanza et al., 2021). The climate of the province of Belluno is continental. The mean annual temperature recorded in the period 1961-1990 is 7°C 145 146 and the mean precipitation is 1284 mm/year (Desiato et al., 2005) with two peaks distributed 147 in spring and autumn. In the last 27 years, temperature and rainfall intensity in the study area 148 have increased due to climatic changes leading to more frequent meteorological conditions 149 ARPAV (Agenzia Regionale per la Prevenzione e Protezione Ambientale del Veneto).

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## 151 2.2 Landslide inventory data

152	The inventory of landslide phenomena in Italy (IFFI) conducted by the Italian Institute for
153	Environmental Protection and Research (ISPRA) and the Regions and Autonomous Provinces
154	was used in this study(Trigila et al., 2010). The IFFI Project was financed in 1997. Since 2005,
155	the catalogue is available online and consists of point features indicating the scarp of the
156	landslides and polygon features delineating the instabilities. The archive stores the main
157	attributes of the landslides, such as morphometry, type of movement, rate, involved material,
158	induced damages and mitigation measures. The inventory currently holds 620,808 landslides
159	collected from historical documents, field surveys and aerial photointerpretation, covering an
160	area of 23,700 $\rm km^2,$ which corresponds to the 7.9% of the Italian territory (Trigila and Iadanza,
161	2018). In the Belluno province, the IFFI inventory consists of 5934 points of landslides
162	occurred before 2006 (Baglioni et al., 2006).







164 Figure 1: Location of the study area and landslides (yellow points) collected by IFFI
165 (Inventory of Landslide Phenomena in Italy) project.

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167 2.3 Landslide conditioning factors

Based on the regional environmental characteristics of the study area and the scientific literature, fourteen landslide conditioning factors were selected, including: (i) topographical factors such as elevation, slope angle, slope aspect, topographical wetness index (TWI), topographical position index (TPI), topographical roughness index (TRI), profile curvature, and plan curvature; (ii) hydrological factors (i.e., distance to drainage, precipitation); geological factors (lithology); (iii) anthropogenic factors (distance to roads); and (iv) environmental factors like Normalized Difference Vegetation Index (NDVI) and landcover





- 175 (see figure 2). A freely accessible digital elevation model (DEM) with a spatial resolution of 176 25 metres was downloaded from the Veneto Region cartographic portal 177 (https://idt2.regione.veneto.it), was used to derive the topographical layers. Refer to table 1 for a detailed description of the conditioning factors. Land cover, lithology maps, road network 178 and drainage maps were downloaded from the same portal. Rainfall data was downloaded from 179 the Regional Agency for the Environmental Prevention and Protection of Veneto (ARPAV: 180 181 https://www.arpa.veneto.it/ ) web site. 182 183
- 184
- 185 Table 1: Description of the conditioning factors for landslide occurrences.
- 186

Sl	Conditioning	Data Range	Description/Justification
No.	Factor		
1	Elevation	42 m to 3325	The geomorphological and geological processes
		m	are affected by elevation (Raja et al., 2017). It
			has an impact on topographic characteristics,
			which contribute to spatial differences in many
			landform processes, as well as the distribution of
			vegetation.
2	Slope	Flat areas to	Slope is a derivative of the DEM which can cause
		very high	failure of slope (Pham et al., 2018). Landforms
		slopes till	having a higher angle of slope are usually more
		86.48°	susceptible to collapse, which is closely
			correlated to landslides.





3	Aspect	North (0	Aspect has a correlation with other geo-
		degrees) to	environmental factors is a crucial factor for LSM
		North (360	that describes the slope direction (Dahal et al.,
		degrees)	2008). The slope direction to a degree dictates
			the frequency of landslides.
4	Topographic	-2.12 to	The influence of topography on the location and
	wetness index	20.06	amount of saturated runoff source areas is an
			essential conditioning factor (Pourghasemi et al.,
			2012). TWI measures the amount of
			accumulated water and distribution of soil
			moisture at a location. Higher TWI values can
			relate to higher chances of landslide occurrence.
5	Topographic	-1143.68 to	The topographic position index (TPI) shows the
	Position Index	243.84	difference between the elevation of a point and
			its surrounding defined by a specified radius.
			Lower values represents the plausibility of
			features lower than the surrounding, thus
			possibly relating to higher odds of landslide
			occurrence.
6	Topographic	0 to 1077.30	Topographic Roughness Index (TRI) calculates
	Roughness		the difference in elevation between adjacent
	Index		pixels in a DEM which depicts the terrain
			fluctuation (Riley et al., 1999). As the slope of a
			landscape moves, the TRI decreases, relating to
			slope movement.





7	Profile	Concave	The driving and resisting forces within a
	Curvature	Flat	landslide in the slope direction are affected by
		Convex	profile curvature.
8	Plan Curvature	Concave	The direction of landslide movement is
		Flat	controlled by the plan curvature, which regulates
		Convex	the convergence or divergence of landslide
			material (Dury, 1972; Meten et al., 2015).
9	Drainage	0 to 400	Drainage transports water, which induces
			material saturation, culminating in landslides in
			valleys. (Shahabi and Hashim, 2015).
10	Rainfall	84 to 1198.05	Precipitation characteristics shift by climatic
		(mm/month)	conditions and geographical characteristics,
			resulting in significant temporal and
			geographical variations in rainfall quantity and
			intensity. This can lead to the triggering of
			landslides across large areas but also for specific
			smaller areas.
11	Lithology	Volcanites,	The geological strength indices, failure
		Pre-Permian,	susceptibility, and permeability of lithological
		metamorphic,	units differ (Yalcin and Bulut 2006), where
		sequence	changes in the stress-strain behaviour of the rock
		Morainic,	strata can be caused by lithological unit
		Gravels, etc.	variation. Slope failure typically occurs on a
			slope with low strength and permeability.





12	Distance to	0 to 200	A crucial manmade element impacting the
	Roads		occurrence of landslides is roads because of road
			clear-cutting and construction activities
			(Dunning et al., 2009).
13	Landcover	Rock, Forest,	Land cover can be utilized to describe the
		Urban cover	region's vastly dismembered zones and the
		etc.	likelihood of landslide activities.
14	NDVI	-0.66 to 0.66	NDVI is important in realizing the amount of
			vegetation cover which can be interpreted to
			understand the strength of the slope and the
			landslide occurrences. The NDVI reflects the
			inhibitory effect of landslide occurrence (Huang
			et al., 2020).

























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Figure 2: Maps of the conditioning factors used in this study: (A) Elevation, (B) Slope, (C)
Aspect, (D) Topographical wetness index, (E) Topographical position index, (F) Topographical
roughness index, (G) Profile curvature, (H) Plane curvature, (I) Distance to drainage networks,
(J) Rainfall monthly average (1994-2020) mm, (K) Lithology, (L) Distance to road network
(M) Landcover, (N) NDVI

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199 3. Methodology

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We propose an approach that help understand the intrinsic relationship between the features and the output post-prediction, which can be then refined by removing the less "important" features throughout the statistical and ML models. As stated previously, the study attempts the application of sensitivity analysis to understand relative feature importance as a preliminary





205	step towards the modelling of a space-time changing parameter in LSM methods. The apparent
206	reality is not as simple as using a certain model that gives the highest LSM accuracy and using
207	said derived outputs maps for disaster risk management and mitigation measures. Therefore, It
208	is important to test the effects of the features and it's relative importance in LSM. The
209	successive sub-sections address the definitions of the statistical and ML models for LSM.
210	
211	3.1 Statistical approach
212	3.1.1 Ensemble Frequency Ratio - Evidence Belief Function
213	
214	In landslide susceptibility studies, the frequency ratio (FR) model is often applied. This is a
215	straightforward evaluation tool which calculates the likelihood of landslide occurrence and
216	non-occurrence for each conditioning factor. (Lee, 2013; Mondal and Maiti, 2013; Shahabi et
217	al., 2014). For each landslide, the FR is a probabilistic model based on observed correlations
218	between landslide distribution and related parameters (Lea Tien Tay 2014). The model depicts
219	the relationship between spatial locations and the factors that determine the occurrence of
220	landslides in a specific area. Spatial phenomenon and factor classes correlation can be found
221	through FR and is very helpful for geospatial analysis (Mahalingam et al. 2016; Meena et al.
222	2019b). Figure 3 gives an overview of the methodology employed in this study.
223	The proportion of landslide inventory points for all classes within each factor can be used to
224	compute FR weights. The area ratio for each of the factor classes in relation to the total area of
225	the study region was calculated by overlapping the landslide inventory points with the
226	conditioning factors. The FR weights are calculated by dividing the landslide occurrence ratio

227 in a class by the entire area in that class (Demir et al. 2012).







230

231 Figure 3: Overview of the conceptual workflow of methodology for landslide susceptibility

232

assessment.





233	Using the equation, the landslide susceptibility index (LSI) was computed by summing the
234	values of each factor ratio (Lee, 2013):
235	
236	$LSI = \sum FR (Eq.2)$
237	
238	LSI= (DEM)+(slope)+(aspect)+(Topographic Wetness Index)+(Topographic Roughness
239	Index)+(Topographic Position Index)+(Distance to road)+(Distance to drainage)+(Land
240	Cover)+(Lithology)+(NDVI)+(Rainfall)+(Profile Curvature)+(Plain Curvature)
241	
242	Where the landslide susceptibility index is the LSI, and the frequency ratio of each factor type
243	is the FR. An FR value of 1 in the relationship analysis implies that the density of landslides in
244	a specific class is proportionate to the size of the class in the map; an LSI value of 1 is an
245	average value. Higher LSI values suggest a stronger correlation, whereas lower LSI values
246	imply a weaker correlation. In a nutshell, a greater LSI value represents higher landslide
247	susceptibility and the vice-versa. We integrated the LSI results with evidence belief functions
248	(EBF) derived predictor values. The EBF uses the conditioning factors defined by FR as the
249	input data. Eq. (3) was applied to the rating of every spatial factor with the training dataset.
250	
251	$PR = \frac{SAmax - SAmin}{SAmax - SAmin}min  (Eq.3)$
252	

where SA is the indicator of spatial association (Bel) between spatial variables and landslides and PR is the prediction rate. The lowest absolute difference of all variables is divided by the computed absolute difference between the maximum and least SA values (Table 2). The eigenvectors of the matrix were calculated by normalising each column's pairwise result. The eigenvalue was calculated by dividing each pairwise importance rate in a column by the total





- 258 of the pairwise importance rates in that column. The fractional predictor is obtained by
- averaging the eigenvectors across a row of matrices. Pairwise comparison of the PR values of
- the slope failure predictors yielded the pairwise rating matrix of the predictor rating.
- 261 3.2 Machine learning models
- 262 3.2.1 Random Forest model
- 263 Random Forest (RF) is based on the fundamental concept of the "wisdom of crowds" where multiple decision trees, introduced by (Breiman, 2001), has been utilized in a number of remote 264 265 sensing research for a variety of applications.(Melville et al., 2018). RF creates many deep 266 decision trees using the training data and it can overcome the overfitting problem mostly 267 resulting from complex datasets better than other decision trees. Each RF decision tree gives a 268 prediction, which is then weighted according to the value created from votes from each tree (see figure 4). Since the RF has shown an impressive performance for classification purposes, 269 it is regarded as one of the most efficient non-parametric ensembles models (Chen et al., 2017). 270



- 273
- Figure 4: Conceptual diagram of the Random Forest model.
- 274
- 275 3.2.2 XG-Boost model





276 Extreme gradient boosting or commonly known as the XG-Boost ML model is an optimized 277 gradient boosting algorithm that is designed for optimum speed and performance and boosting 278 ensembles are used to generate a prediction model. (Sahin, 2020). The core idea of a boosting 279 algorithm is to combine the weaker learners to improve accuracy (Can et al., 2021). The model 280 is known for its fast-training speed for classification tasks. In the study, we use training 281 parameters to adjust the XG-Boost algorithm like learning rate, subsample ratio, maximum 282 depth of the tree and others. It uses boosting techniques to reduce overfitting problems to 283 improve accuracy results (figure 5).

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286

287

Figure 5: Training and testing procedure of the XG-Boost model.

## 288 3.3 Feature selection algorithms

The goal of feature selection is to aid in the discovery of acceptable conditions for training the models and to increase generalisability in landslide prediction. This selection help eliminate the irrelevant (less important) conditioning factors to obtain optimal prediction accuracy (Micheletti et al., 2014). For the statistical model, we used class weights obtained from





frequency ratio and used them as input for generating predictor rate from FR-EBF model which gives the final weights of the conditioning factors. So, we used the predictor rate weights to select the suitable features.

296

297 In terms of the feature importance for selecting the right set of features (or factors in this case) 298 for both RF and XG-Boost, we use the in-built impurity feature importance algorithm which is 299 performed on the training set. Based on the results as ranks of features sorted in a descending 300 order, the most important features will be selected to investigate the improvement of model 301 performance in terms of the accuracy obtained. Thus, we can comment on whether certain 302 factors are impactful in performing LSM with ML models. Besides, the comparison of the 303 resulting important features of the different models can be interpreted to highlight the respective strengths of the models and allow drawing better conclusions towards the robustness 304 305 of the relevant features for landslide predictions.

306

307 4. Results

308 4.1 Statistical model

309 The class weights were derived from data driven FR model and the final weights of the factors 310 were derived by using predictor rate from evidence belief function given in Table 2. The class 311 and factor weights were calculated using equations 1 and 2. The final weights of landslide conditioning factors were calculated using an ensemble of FR-EBF, and then utilised to create 312 313 the final LSM. Because there is no common approach for identifying landslide susceptibility 314 classes in the final LSM, we normalised the findings to 0 to 100 for uniformity and 315 comparability. Using a quantile classification, which separates the values into groups with an 316 equal number of values, the resultant LSM was classified into five classes: very low, low, 317 moderate, high, and very high, as shown in figure 7.(Chung and Fabbri, 2003). This method of





- 318 classification gives a better distribution of values in each class than common approaches such
- 319 as natural breaks, which can result in certain classes having limited or excessive data.
- 320 In terms of the feature importance that we observe in figure 6 and Table 2 (normalized weights),
- based on the trial-and-error approach, factors (or features) under the threshold of 0.3 were discarded as they did not make much of a difference in terms of predicting landslide occurrences in the study area. Therefore, five conditioning factors having coefficient values lower than 0.30 were dropped and overall, the area under the curve (AUC) accuracy still remained similar to the original accuracy with the 14 factors.







430 - 700	0.15					1.13	0.20
700 - 1000	0.13					0.96	0.19
1000 - 1500	0.12					0.86	0.15
1500 - 1900	0.11					0.81	0.12
1900 - 2300	0.24					1.72	0.17
>2300	0.18					1.31	0.12
Profile		0.00	0.53	0.53	2.30		
Curvature							
Concave	0.53					1.05	0.40
Flat	0.00					0.00	0.30
Convex	0.47					0.95	0.30
Plan		0.00	0.52	0.52	2.26		
Curvature							
Concave	0.52					1.03	0.35
Flat	0.00					0.00	0.33
Convex	0.48					0.97	0.32
Slope		0.14	0.25	0.11	0.48		
<10	0.14					0.70	0.14
10 - 20	0.23					1.11	0.22
20 - 30	0.25					1.25	0.27
30 - 40	0.20					0.99	0.20
>40	0.17					0.86	0.17
Distance from		0.02	0.36	0.34	1.49		
drainage							
0 - 100	0.36					1.15	0.28
100 - 200	0.30					0.97	0.19
200 - 300	0.23					0.74	0.12
300 - 400	0.10					0.31	0.07
>400	0.02					0.06	0.34





Distance from		0.08	0.24	0.15	0.67		
roads							
0 - 50	0.36					1.15	0.27
50 - 100	0.30					0.97	0.19
100 - 150	0.23					0.74	0.17
150 - 200	0.10					0.31	0.16
>200	0.02					0.06	0.13
Landcover		0.01	0.24	0.23	2.98		
Urban	0.17					1.48	0.17
Rocks	0.10					0.90	0.09
Arable	0.01					0.07	0.01
Permanent	0.10					0.92	0.13
cultivation							
Forest	0.11					0.95	0.11
Grassland	0.24					2.11	0.14
Shrubland	0.04					0.37	0.04
Sparse	0.12					1.08	0.21
vegetation							
Water body	0.12					1.05	0.09
TWI		0.17	0.25	0.08	1.00		
-2.12 - 1.52	0.19					1.01	0.20
1.52 - 3.35	0.20					1.04	0.20
3.35 - 5.70	0.18					0.92	0.18
5.70 - 9.62	0.17					0.90	0.18
9.62 - 20.06	0.25					1.30	0.24
TPI		0.00	0.31	0.31	1.35		
-1143.68	0.00					0.00	0.00
202.34							
-202.34	0.18					0.74	0.21
17.33							





-17.331.01	0.26					1.06	0.27
-1.01 - 20.75	0.24					0.98	0.26
20.75 - 243.84	0.31					1.24	0.27
TRI		0.00	0.34	0.34	1.47		
0 - 4.22	0.22					0.73	0.23
4.22 - 21.1	0.34					1.11	0.35
21.12 - 46.47	0.25					0.82	0.22
46.47 - 257.70	0.20					0.65	0.20
257.70 -	0.00					0.00	0.00
1077.30							
Rainfall		0.00	0.81	0.81	3.54		
intensity							
84 - 110.83	0.81					11.29	0.32
110.83 -	0.08					1.15	0.27
127.38							
127.38 -	0.05					0.70	0.15
140.80							
140.80 -	0.06					0.81	0.19
157.35							
157.35 -	0.00					0.00	0.06
198.05							
NDVI		0.14	0.25	0.11	0.48		
-0.66 - 0.15	0.14					0.70	0.13
0.15 - 0.34	0.22					1.13	0.21
0.34 - 0.52	0.25					1.26	0.25
0.52 - 0.66	0.21					1.07	0.21
0.66 - 0.99	0.18					0.89	0.20
Aspect		0.05	0.15	0.09	0.41		
Flat (-1)	0.11					1.02	0.10
North (0-22.5)	0.08					0.75	0.07





Northeast	0.09	0.84	0.09
(22.5-67.5)			
East (67.5-	0.11	1.08	0.11
112.5)			
Southeast	0.14	1.31	0.14
(112.5-157.5)			
South (157.5-	0.15	1.40	0.14
202.5)			
Southwest	0.14	1.33	0.14
(202.5-247.5)			
West (247.5-	0.08	0.76	0.09
292.5)			
Northwest	0.05	0.50	0.07
(292.5-337.5)			
North (337.5-	0.06	0.58	0.06
360)			

Lithology		0.04	0.26	0.22	2.84		
Volcanites	0.26					3.45	0.16
Pre-Permian	0.11					1.50	0.11
metamorphic							
sequence							
Morainic	0.06					0.85	0.15
Gravels	0.04					0.52	0.04
Mix of alluvial	0.05					0.70	0.03
deposits							
Conglomerate	0.21					2.84	0.21

s





Limestone and	0.13	1.76	0.16
dolomitic			
limestone			
Calcareous	0.08	1.04	0.08
shales			
Shales and	0.06	0.76	0.07
gypsums			
Alternation of	0.07	0.91	0.06
marls and			
sandstones			
Water body	0.22	2.97	0.00











335	Figure 7: Landslide susceptibility maps derived using the ensemble of FR-EBF approaches
336	for (A) 14 landslide features and (B) 9 landslide features (Black square represents the
337	enlarged area).
338	
339	4.2 Machine learning models
340	The LSM was generated based on the conditioning factor data, where the model learnt the
341	information from the feature maps, which helped identify areas of susceptibility. The final
342	results of the ML models in generating the LSM are given in Table 3. We observe that the AUC
343	scores of RF are not much apart from the XG-Boost model, indicating very good prediction
344	capability of both the models. Based on the information in Table 2, the number of pixels in the
345	moderate susceptibility class is more in the XG-Boost model than the RF model. Visually the
346	results show more susceptible areas near the landslide features (figures 8 and 9).
347	The model performance in terms of the accuracy of AUC is relatively similar to the results after
348	eliminating the lower degree of feature importance for both RF and XG-Boost. As discussed
349	previously in section 3.3, the feature importance for the ML models is carried out using the
350	impurity feature importance algorithm that enables to assess the relative relevance of the
351	conditioning factors in the optimal prediction of the landslides in terms of accuracy. As seen
352	in figure 10, the factors of Landcover, Profile Curvature, Plan Curvature, TWI and TPI have
353	the lowest values for the RF model. After trial-and-error, a value of 0.03 was chosen as the
354	threshold, and any factors above that were considered the "important" factors for landslide
355	susceptibility. Hence, in figure 8, we see that the five factors mentioned above are removed

and giving us 0.906 AUC as accuracy, which is better in AUC accuracy without removing thefive factors (0.902 Table 3).

358 Similarly, the same was repeated for the XG-Boost ML model and referring to Table 3, and 359 despite removing the lower valued conditioning factors of Profile Curvature, TPI, and Plan





- Curvature, the AUC accuracy score was similar (Table 3). We observe that Slope and Distance to Roads had a much bigger impact on the RF mode than the XG-Boost model. On the other hand, Lithology played a bigger role in estimating landslide occurrences in the XG-Boost model. These observations indicate interesting results which will be discussed further in the discussion section.
- 365
- Table 3: Overall table with AUC results for landslide susceptibility of Belluno.
- 367

366

No.	Model	AUC
1	FR-EBF 14 features	0.836
2	FR-EBF 9 features	0.834
3	RF 14 features	0.902
4	RF 9 features	0.906
5	XG-Boost 14 features	0.910
6	XG-Boost 10 features	0.907

368











- 372 Figure 8: LSMs derived using the Random Forest approach for (A) 14 landslide features and
- 373 (B) 9 landslide features (Black square represents the enlarged area).

374







377 378













- landslide input events were validated using 30% of the landslide occurrences. Validation for
  this study was done using the Receiver Operating Characteristics (ROC) and the Relative
  Landslide Density (R-Index) approaches.
- 398
- 399 5.1 Receiver Operating Characteristics (ROC)
- 400

401 The receiver operating characteristics (ROC) approach was used for this study to corroborate the six resultant LSMs from statistical and machine learning using the validation data. The 402 403 ROC approach demonstrates the assessment between the true positive rate (TPR) and the false 404 positive rate (FPR) in the resulting LSMs (Ghorbanzadeh et al., 2018; Linden, 2006). TPRs are 405 pixels in the landslide validation data that are correctly categorised as high susceptibility, 406 whereas FPRs are pixels that are erroneously labeled. TPRs versus FPRs are shown to create ROC curves. The AUC refers to the degree to which the generated LSMs are accurate The 407 408 AUC indicates whether more correctly labelled pixels were present than incorrectly labelled 409 pixels. Greater AUC values indicate that the susceptibility map is more accurate and the vice-410 versa. If the AUC values are near to unity or one, the susceptibility map is meaningful. A map 411 with a value of 0.5 is considered insignificant since it was created by chance. (Baird, 2013).

412

Figure 11 shows the accuracy values obtained using the ROC technique for the statistical approaches of FR-EBF and machine learning approaches of RF and XG-Boost. XG-Boost shows the highest accurate results with an AUC value of 0.91 and RF with 0.906, and FR-EBF with 0.836 (refer to Table 3). These results are quite good as it is closer to unity or one. The ensemble of FR-EBF shows lower AUC values than the machine learning-based XG-Boost and Random Forest. Machine learning results may vary as the models used landslides and nonlandslides features as training data, whereas results of FR-EBF are derived only from the





420 landslide data. The results could vary based on the geographical location and the selection of



421 landslide conditioning factors as well.

- The relative landslide density index was also used to assess the accuracy of the LSMs that
- 432 resulted (R-index). Equation (4) is used to get the R-index:
- 433  $R = (ni/Ni)/\Sigma(ni/Ni)) \times 100 (Eq.4)$
- 434





435	where Ni is the percentage of landslides in each susceptibility class and ni is the percentage of
436	land susceptible to landslides in each susceptibility class Table 4 shows the quantile
437	classification approach to classify the six landslide susceptibility maps into five susceptible
438	groups. In comparison to the RF and FR-EBF models, the XG-Boost model with 14 and 10
439	features has a higher R-index for very high susceptibility classes. The R-index findings show
440	that FR EBF has a better R-index value for high susceptibility class than XG-Boost, which has
441	the lowest R-index for high susceptibility class. FR-EBF has a higher r-index value for the high
442	susceptibility class than the other three approaches. In addition, the R-index of FR-EBF is
443	higher for the very low susceptible class. Table 4 shows the R-index values for susceptibility
444	class in FR-EBF, RF, and XG-Boost, as well as plots of the same in figure 12.

445

446 Table 4: R-indices for the FR-EBF, RF, and XG-Boost models' landslide susceptibility

Validation	Susceptibility	Number of	. (1 2)	Area (%)	Number of	Landslide	D 1
methods	class	pixels	Area (Km <sup>2</sup> )	(ni)	landslides	(%) (Ni)	K- index
FR-EBF-14	X7 X						
Features	Very Low	21875	334248750	9.28	48	2.71	6
	Low	90000	570760000	15.85	171	9.66	13
	Moderate	165000	896709375	24.90	308	17.40	15
	High	263750	1026578125	28.50	460	25.99	20
	Very High	444375	773585000	21.48	783	44.24	45
FR-EBF-9	Very Low						
Features	5	19375	323332500	8.98	38	2.15	5
	Low	91875	541371875	15.03	179	10.11	15
	Moderate	153125	894758125	24.84	289	16.33	15
	High	276875	1041846875	28.93	480	27.12	21

447 mappings (LSMs).





	Very High	443750	800571875	22.23	784	44.29	44
RF-14							
Features	Very Low	6875	682346250	18.94	11	0.62	1
	Low	34375	658375000	18.28	55	3.11	4
	Moderate	75625	619031875	17.19	122	6.89	9
	High	159375	749470625	20.81	264	14.92	17
	Very high	712500	892657500	24.78	1318	74.46	69
RF-9	V I						
Features	very Low	7500	735246875	20.41	12	0.68	1
	Low	30000	632679375	17.57	48	2.71	4
	Moderate	75000	581844375	16.15	120	6.78	10
	High	147500	692276250	19.22	245	13.84	17
	Very High	729375	959834375	26.65	1345	75.99	68
XG-Boost-	VeryLow						
XG-Boost- 14 Features	Very Low	11250	1076978750	29.90	18	1.02	1
XG-Boost- 14 Features	Very Low Low	11250 6875	1076978750 330045625	29.90 9.16	18 11	1.02 0.62	1 3
XG-Boost- 14 Features	Very Low Low Moderate	11250 6875 11875	1076978750 330045625 278243750	29.90 9.16 7.72	18 11 19	1.02 0.62 1.07	1 3 5
XG-Boost- 14 Features	Very Low Low Moderate High	11250 6875 11875 11250	1076978750 330045625 278243750 352568125	29.90 9.16 7.72 9.79	18 11 19 18	1.02 0.62 1.07 1.02	1 3 5 4
XG-Boost- 14 Features	Very Low Low Moderate High Very High	11250 6875 11875 11250 947500	1076978750 330045625 278243750 352568125 1564045000	29.90 9.16 7.72 9.79 43.42	18 11 19 18 1704	1.02 0.62 1.07 1.02 96.27	1 3 5 4 87
XG-Boost- 14 Features	Very Low Low Moderate High Very High	11250 6875 11875 11250 947500	1076978750 330045625 278243750 352568125 1564045000	29.90 9.16 7.72 9.79 43.42	18 11 19 18 1704	1.02 0.62 1.07 1.02 96.27	1 3 5 4 87
XG-Boost- 14 Features	Very Low Low Moderate High Very High Very Low	11250 6875 11875 11250 947500 12500	1076978750 330045625 278243750 352568125 1564045000 1094226250	<ul> <li>29.90</li> <li>9.16</li> <li>7.72</li> <li>9.79</li> <li>43.42</li> <li>30.38</li> </ul>	18 11 19 18 1704 20	1.02 0.62 1.07 1.02 96.27 1.13	1 3 5 4 87 1
XG-Boost- 14 Features	Very Low Low Moderate High Very High Very Low Low	11250 6875 11875 11250 947500 12500 7500	1076978750 330045625 278243750 352568125 1564045000 1094226250 297782500	29.90 9.16 7.72 9.79 43.42 30.38 8.27	18 11 19 18 1704 20 12	1.02 0.62 1.07 1.02 96.27 1.13 0.68	1 3 5 4 87 1 3
XG-Boost- 14 Features XG-Boost-	Very Low Low Moderate High Very High Very Low Low	11250 6875 11875 11250 947500 12500 7500	1076978750 330045625 278243750 352568125 1564045000 1094226250 297782500	29.90 9.16 7.72 9.79 43.42 30.38 8.27	18 11 19 18 1704 20 12	1.02 0.62 1.07 1.02 96.27 1.13 0.68	1 3 5 4 87 1 3
XG-Boost- 14 Features XG-Boost- 10 Features	Very Low Low Moderate High Very High Very Low Low Moderate	11250 6875 11875 11250 947500 12500 7500 8125	1076978750 330045625 278243750 352568125 1564045000 1094226250 297782500 242914375	29.90 9.16 7.72 9.79 43.42 30.38 8.27 6.74	18 11 19 18 1704 20 12 13	1.02 0.62 1.07 1.02 96.27 1.13 0.68 0.73	1 3 5 4 87 1 3 4
XG-Boost- 14 Features XG-Boost- 10 Features	Very Low Low Moderate High Very High Very Low Low Moderate High	11250 6875 11875 11250 947500 12500 7500 8125 15625	1076978750 330045625 278243750 352568125 1564045000 1094226250 297782500 242914375 314181875	29.90 9.16 7.72 9.79 43.42 30.38 8.27 6.74 8.72	18 11 19 18 1704 20 12 13 25	1.02 0.62 1.07 1.02 96.27 1.13 0.68 0.73 1.41	1 3 5 4 87 1 3 4 7

<sup>448 6.</sup> Discussion





Landslides are very dynamic in nature, meaning that their behaviour, movement, and spatial 449 450 distribution changes over space and time. Therefore, it is vital to analyse the significance of the 451 conditioning factors that lead to landslide occurrence. The relevance of the conditioning 452 features for LSM is essential to realize which of the features had impact on the prediction of 453 landslide occurrences. As not all features can be available globally, or even locally due to 454 various restriction or data unavailability, it is essential to choose the important features which 455 could be available for most use cases. For example, topographical features derived from digital elevation models such as Elevation, Slope, aspect, Plan curvature, Profile curvature, TWI, TPI, 456 457 TRI. Other features, such as distance to roads and drainage networks, that might have direct or 458 indirect influence on the occurrence of landslides, can also be easily accessed through 459 numerous open-source platforms. In this study we used fourteen features for landslide 460 susceptibility assessment and caried out the feature importance test using traditional statistical ensemble model of FR-EBF and machine learning models RF and XG-Boost. The feature 461 462 selection approach from statistical model is dependent upon the landslide data and its relation 463 to each feature and their classes. On the other hand, feature selection and determining their importance using machine learning models depends upon the landslide and non-landslide 464 465 samples used to train the models. We used the in-built impurity feature importance algorithm 466 to assess the importance of the features during the model training phases. Based on literature 467 review for this sort of study, there is no standard threshold values available for discarding or 468 selection of features for LSM. In this study, we used a trial-and-error approach to determine a 469 threshold of 0.30 for selection of features used for landslide susceptibility for all the three 470 models.

Feature importance algorithms used in this study are different, however there is similarity in
the importance of the features in both statistical and machine learning algorithms (See figure 6
and 10). As we look at the figures 7, 8, and 9 in the enlarged region, we observe that there are





474 not many differences despite removing the least important features. The reason for such 475 observation can be linked to the lower impact of least important factors on overall LSM results. 476 Furthermore, there are several factors that determine the importance of features for carrying 477 out LSM such as (1) completeness and quality of the landslide inventory dataset used for 478 analysis, (2) mapping scale of the features maps like landcover, lithology, or other geological 479 features. If the spatial locations of landslides in an inventory does not represent the ground 480 truth phenomenon, then there can be negative impact of landslide input data for feature 481 selection. Most importantly, the type of landslide inventory data also impacts the landslide 482 feature selection algorithms, such as landslides mapped as points and polygons. Sampling 483 methodology of landslide selection is important, there are various ways to use landslides in 484 carrying out susceptibility assessment, many studies have used 70-30 ratio and others have 485 used random sampling or K-fold sampling methods (Chen et al., 2018; Merghadi et al., 2018). 486 One of the most important observations from this study was the reclusion of the "least important 487 features" in the context of LSM. The fact that despite removal of certain factors, we still get 488 very good results or comparable results post feature removal. This observation annotates the 489 use of very important features for LSM which can be obtained for most of the use cases.

490 The use of landslide samples along with non-landslide samples can affect the landslide feature 491 importance as can be seen in results in this study. In the case of the statistical model, one of the 492 reasons for the lower AUC performance can be accredited to the absence of the non-landslide 493 samples. Therefore, the model's ability to discriminate between the non-landslide and landslide 494 pixels is affected hence, predicting landslide occurrences over potentially non-landslide 495 locations. Thus, this exhibits the homogeneous distribution of predicted landslide pixels (see 496 figure 7). We used landslides and non-landslide samples for training the ML models which 497 shows varying results from that of the statistical ensemble model (See figure 8 and 9). There is 498 more homogeneous distribution of landslide susceptibility classes in statistical model results,





- 499 but it is evident from the machine learning results that the non-landslide samples have a greater
- 500 impact on final landslide susceptibility results.

501

- 502 7. Conclusions
- 503

In context of the current state-of-the-art approaches for LSM, the contemporary literature lays emphasis on the advent of different models for improving accuracy of landslide occurrences against the test data. However, this study investigated how the conditioning factors affect the overall prediction of landslides in the context of northeast Italy, Belluno province. An important aspect of this study was to identify if at all, removing the "least important" conditioning factors in the modelling process affects the performance in predicting new unknown landslides.

As understood, ML models require conditioning factors as input for LSM, however, investing 511 512 on the importance of the features (conditioning factors) could possibly direct a better 513 understanding of landslide occurrences with respect to the available factor/feature maps for 514 LSM. This study indicates that various models behave differently with different features, 515 whereby the same features that are important in one instance of a particular model, can be the 516 least important (even null-void) in other models. Therefore, this study gave new insights 517 towards the application and use of already available maps, without spending/exhausting 518 resources for generating other maps/features that would otherwise not be available, thus 519 suggesting a streamlined acquisition of data and modelling of landslide occurrences for future 520 events.

521 In this study we also concluded that the landslides and non-landslides samples impacts the 522 feature importance, especially in the ML models as these models use inputs in the form of 523 landslides and non-landslides samples. Therefore, it was found to be crucial in asserting a





- balance between the two data samples to avoid overfitting or underfitting. This study illustrates that feature selection is very important step of carrying out LSMs. We found that there are differences in the final LSMs derived from the statistical and ML models, which are attributed to the above-mentioned sample selection techniques.
- 528 This research introduces the importance of post-training feature importance algorithms for 529 LSM. This approach can also be used to assess the susceptibility of other natural disasters. The 530 results can eventually comment whether certain conditioning factors can be discarded while 531 modelling landslide occurrences. In many parts of the globe, the availability of data is scarce 532 and therefore, with the ability to model landslides without relying on the conventional factors, we can still predict landslides spatially over a given region. Although there are certain 533 534 drawbacks like (1) the same factor maps will not be available everywhere, (2) factors that are least important in one region might not repeat the same behaviour in other regions of the world, 535 and (3) model capability changes with respect to different regions, the resulting susceptibility 536 537 maps can still give quality information for local emergency relief measures, planning of disaster 538 risk reduction, mitigation, and to evaluate potentially affected areas.

539

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- 542

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