

# Ground motion prediction maps using seismic microzonation data and machine learning

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**Abstract.** Past seismic events worldwide demonstrated that damage and death toll depend on both the strong ground motion (i.e., source effects) and the local site effects. The variability of earthquake ground motion distribution is caused by local stratigraphic and/or topographic setting and buried morphologies (e.g., irregular sub-interface between soft and stiff soils), that can give rise to amplification and resonances with respect to the ground motion expected at the reference site. Therefore, local site conditions can affect an area with damage related to the full collapse or loss in functionality of facilities, roads, pipelines, and other lifelines. To this concern, the *near real time* prediction of ground motion variation over large areas is a crucial issue to support the rescue and operational interventions. A machine learning approach was adopted to produce ground motion prediction maps considering both stratigraphic and morphological conditions. A set of about 16,000 accelometric data and about 46,000 geological and geophysical data were retrieved from Italian and European databases. The intensity measures of interest were estimated based on 9 input proxies. The adopted machine learning regression model (i.e., Gaussian Process Regression) allows to improve both the precision and the accuracy in the estimation of the intensity measures with respect to the available *near real time* predictions methods (i.e., Ground Motion Prediction Equation and shaking maps). In addition, maps with a 50 m x 50 m resolution were generated providing a ground motion variability in agreement with the results of advanced numerical simulations based on detailed sub-soil models.

## 1 Introduction

Spatial distributions of ground motion induced by seismic events should be properly estimated to support risk mitigation policies over large areas. Moreover, seismic risk analysis, extended to spatially distributed anthropic systems, presents new challenges in characterising the seismic risk input, regarding the spatial correlation of the ground motion values where the spatial correlation is the spatial characteristics of the ground motion arising from similarities in the seismic wave paths and local-site effects. The ShakeMaps (Wald et al., 2021), provided by the US Geological Survey, is used globally for post-earthquake emergency management and response, engineering analyses, financial instruments, and other decision-making activities. Moreover, in Italy post-event ShakeMaps are delivered by the National Institute of Geophysics and Volcanology

31 (Michellini et al., 2019; ShakeMap, 2021). Such ShakeMaps are based on Ground Motion Prediction Equation (GMPE; Bindi  
32 et al., 2011, among the others) and data recorded from accelerometric stations when available.

33 Recently, artificial intelligence-based procedures were proposed to produce *near real time* ground motion in terms of  
34 acceleration time histories (Jozinović et al., 2021; Tamhidi et al., 2021) and Intensity Measure (briefly, IM; Kubo et al., 2020,  
35 among the others). In general, ground motion maps were generated using earthquake source parameters (location, magnitude,  
36 and the finite fault if available), IM (Peak Ground Acceleration, Peak Ground Velocity, and Spectral acceleration, briefly  
37 named PGA, PGV, and Sa, respectively) at the recording accelerometric stations and the mean shear wave velocity in the upper  
38 30 m,  $V_{S30}$ , as a proxy to account for site lithostratigraphic amplifications. Having shaking maps only when the first location  
39 and magnitude estimation are available, Jozinović et al. (2021) propose to use waveforms to predict the ground motion intensity  
40 by means of a Machine Learning (briefly, ML) approach (i.e., it utilizes only a training set of earthquake waveforms recorded  
41 at a pre-configured network of recording stations). Moreover, ML has been adopted to produce seismic amplification factors  
42 maps, as in the Japan case study proposed by Kim et al. (2020), rather than to provide ground motion maps. Finally, Zhou et  
43 al. (2020) propose a seismic topographic effect prediction model.

44 Overall, the above-mentioned works have pointed out what follows:

- 45 - hypocentral depth (H), epicentral distance (R), and magnitude (M) are widely used to estimate ground motion over large  
46 areas considering the source effect; moreover, H, R, and M are provided few minutes after an earthquake;
- 47 -  $V_{S30}$ , the fundamental frequency of the deposit ( $f_0$ ), and the depth to the engineering bedrock ( $H_{800}$ ) are the key-parameters  
48 which well gauge the effect of local sub-soil conditions on the seismic wave propagation (i.e., lithostratigraphic effect). The  
49 only  $V_{S30}$  was used in the adopted ML approach since the Italian  $V_{S30}$  map was provided by Mori et al. 2020a while national  
50  $f_0$  and  $H_{800}$  maps are not currently available;
- 51 - elevation (h), topographic gradients ( $h_x$  and  $h_y$ , where x and y are two orthogonal directions), and second-order topographic  
52 gradients ( $h_{xx}$  and  $h_{yy}$ ) are proxies which allow to describe the morphological effects on the seismic amplification phenomena.  
53 In this view, this work focuses on the improvement of ground motion prediction over large areas by using ML technique. The  
54 main task of this work is to suggest a procedure including all the main key-parameters together (i.e., H, R, M,  $V_{S30}$ , h,  $h_x$ ,  $h_y$ ,  
55  $h_{xx}$ ,  $h_{yy}$ ).

56 Damage pattern induced by seismic events is related to both geological/geomorphological conditions and vulnerability of  
57 structures and infrastructures (Brando et al., 2020; Fayjaloun et al., 2021; Mori et al., 2020b, 2019). The ground motion  
58 prediction (i.e., seismic site response) is generally evaluated by means of numerical simulations which are time consuming  
59 and require well detailed models capable of properly representing sub-soil and topographic conditions (see for example,  
60 Bouckovalas and Papadimitriou, 2005; Falcone et al., 2020a, 2020b, 2018; Gatzmiri and Arson, 2008; Gazetas, 1982; Luo et  
61 al., 2020; Moscatelli et al., 2020b; Pagliaroli et al., 2014; Ptilakis et al., 1999; Régnier et al., 2016, 2018).

62 Hence, ML approach was adopted to:

- 63 i) implement H, R, and M parameters available few minutes after a seismic event;
- 64 ii) include both lithostratigraphic ( $V_{S30}$ ) and morphological effects (h,  $h_x$ ,  $h_y$ ,  $h_{xx}$ ,  $h_{yy}$ );

65 *iii*) capture the spatial correlation at short distances (hundreds of meters) due to local site effects, which is essential for  
66 reliable hazard assessments.

67 The main results of these elaborations are ground motion prediction maps (i.e., PGA, PGV, Sa) with the resolution of  
68 50 m x 50 m, which can reproduce the variability captured by advanced numerical modelling.

69 Seismological data (i.e., H, R, M, PGA, PGV, and Sa) retrieved from European and Italian networks (Luzi et al., 2016, 2019,  
70 2020), geological, geophysical, and geotechnical data from seismic microzonation (hereafter SM) studies (DPC, 2021), and  
71 morphological data (ALOS, 2021) are presented in § 2. The ML approach is discussed in § 3. In detail, the § 3.1 is focused on  
72 the adopted ML approach in terms of training and validation phase. Performances, presented in terms of Root Mean Square  
73 Error (RMSE) and residuals (i.e., difference between the base-10 logarithms of observed and predicted values of PGA, PGV,  
74 and Sa), are compared to the results proposed by other studies (Jozinović et al., 2021; Michelini et al., 2019, Bindi et al., 2011).  
75 For the seismic sequence that hit Central Italy in 2016-2017, ML results and maps are shown in § 3.2 and § 4, respectively.  
76 Referring to the seismic event occurred in Central Italy on October 30, 2016, a test is proposed in § 3.2 in terms of residuals  
77 of the ground motion IMs (i.e., PGA, PGV, and Sa). Ground motion prediction maps for the Central Italy event occurred on  
78 August 24, 2016, (i.e., the first destructive event of the Central Italy seismic sequence for which a great amount of studies have  
79 been published) are shown in § 4 to enlighten the capability of the proposed ML approach to gauge the ground motion  
80 variability at the urban scale. Moreover, with reference to § 4, the ground motion profiles, based on the proposed ML approach,  
81 are compared with results obtained by means of two completely different methodologies: 2D numerical modelling of seismic  
82 site response (Gaudiosi et al., 2021; Giallini et al., 2020; Grelle et al., 2020) and with the mean values predicted by the Italian  
83 ShakeMap (2021).

## 84 **2 Input and output data for machine learning training and validation**

85 The input and output data for the training of ML approach, were classified into three categories: seismological, geophysical,  
86 and morphological data. The ML approach was based on 15,779 seismological data regarding the log-10 geometric mean of  
87 the horizontal component (geoH) for each IM (i.e., PGA, PGV, and Sa at 0.3 s, 1.0 s, and 3.0 s). Each value recorded by the  
88 accelerometric station, named output data in Table 1 (i.e., data to be reproduced by means of ML), represents an observed  
89 datum. In addition, Table 1 lists the used 9 predictors, named input data. Fig. 1 shows the location of the selected accelerometric  
90 stations. Figs. 2 and 3 show the input and output data, respectively, adopted for the training phase of the selected ML approach  
91 and presented in this section. Furthermore, some data distributions seem to be imbalanced (e.g., magnitude, M, and elevation,  
92 h, Fig. 2). An imbalanced training input dataset is characterised by an unequal distribution of values. For instance, focusing on  
93 Fig. 2 and M distribution, it results that the first and third quartile are 4.1 and 5.1, respectively. Moreover, focusing on elevation  
94 distribution, it results that the first and third quartile are 136 m and 761 m, respectively. Consequently, when the ML algorithm  
95 learns the imbalanced data (see for example, Kubo et al., 2020) the learning focus is mainly on the fit of ground motions with  
96 magnitude lower than 6 or on the fit of site characterised by elevation lower than 1,200 m. The imbalance of the selected

97 training input dataset seems to be caused by a sampling bias since no high magnitude ground motions were registered by the  
 98 available accelerometric stations and since few accelerometric stations have been installed at high elevation where the  
 99 exposition at seismic event is very low. Hence, the training dataset cannot actually be improved. In addition, distributions of  
 100 topographic gradients and  $V_{S30}$  are characterised by few data with respect to steep slopes and high  $V_{S30}$  values. How to handle  
 101 the imbalanced dataset in the regression problem was out of the scope of this work. Consequently, referring to a range of an  
 102 input datum, it is expected that the lower the number of training data the higher the uncertainty. To this end, referring to the  
 103 output data, maps of standard deviation are reported in § 4.

104  
 105 **Table 1. Input and output data for ML training and validation.**

Type of data	Category	Control Factors	Database	Ref.	
INPUT	Seismological	H	hypocentral depth	Luzi et al., 2016 and 2020	
		M	moment magnitude	Seismological DB	
		R	epicentral distance		
	Geophysical	$V_{S30}$	the time-averaged shear-wave velocity to 30 m depth	Seismological DB or $V_{S30}$ map	Luzi et al., 2016 and 2020 DPC, 2021 Mori et al., 2020a
			h	elevation	ALOS, 2021
	Morphological		$h_x$	first order partial derivative dx (E-W slope)	ALOS World 3D-30m DEM
			$h_y$	first order partial derivative dy (N-S slope)	
			$h_{xx}$	second order partial derivative dxx	
			$h_{yy}$	second order partial derivative dyy	
	OUTPUT	Seismological	PGA	Peak Ground Acceleration	Luzi et al., 2016 and 2020
PGV			Peak Ground Velocity	Seismological DB	
$Sa_{0.3}$			Spectral acceleration at 0.3 s		
$Sa_{1.0}$			Spectral acceleration at 1 s		
$Sa_{3.0}$			Spectral acceleration at 3 s		

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109 *Seismological parameters*

110 Seismological parameters are retrieved from Italian and European databases. Regarding 1,435 recording accelerometric  
111 stations, PGA, PGV, spectral accelerations (i.e.,  $S_a$  at 0.3 s, 1 s, and 3 s), H, R, and M were retrieved from European Strong  
112 Motion Database, briefly ESM, (Luzi et al., 2016; ESM, 2021) and Italian ACcelerometric Archive, herein ITACA, (Luzi et  
113 al., 2019). In detail, data regarding the Central Italy earthquake occurred on the 2016 and recorded by temporary network  
114 named 3A have been archived only in the ITACA database (ITACA, 2021). It is worth noting that Greek and Turkish seismic  
115 events data were collected to consider earthquake characterised by M value greater than 6.5 and up to 7.6. Moreover,  
116 earthquake characterised by H, R, and  $\log_{10}$ PGA value greater than 30 km, 400 km, and 2 ( $\text{cm/s}^2$ ), respectively, were selected.  
117 It should be noted that the ITACA and ESM selected data consider the shallow active crustal region (i.e., SACR zone  
118 characterised by shallow events,  $H < 35$  km, in agreement to Michelini et al., 2019). The distributions of seismological data of  
119 the chosen events are shown in Figs. 2 and 3. The same figures also show the distribution of data described in the next part of  
120 this section.

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122 *Geophysical data*

123 Dynamic site condition was described by means of the time-averaged shear-wave velocity ( $V_S$ ) to a depth of 30 meters, the  
124  $V_{S30}$  parameter. It is worth noting that the  $V_{S30}$  parameter has been successfully adopted to gauge lithostratigraphic effect on  
125 seismic wave propagation by Falcone et al. (2021).  $V_{S30}$  data (i.e., input data in ML approach), determined by means of *in situ*  
126 investigations, are also archived in the ESM and ITACA databases.  $V_{S30}$  values were retrieved from Mori et al. (2020a) for  
127 ESM and ITACA sites not characterised by *in situ* surveys. Fig. 2 shows the distribution of the  $V_{S30}$  data.

128 The  $V_{S30}$  map proposed by Mori et al. (2020a), based on SM studies, was adopted here. The SM studies have been carried out  
129 for the Italian municipalities through the funds allocated after the 2009 L'Aquila earthquake, in the framework of the Italian  
130 program for seismic risk prevention and mitigation (Moscatelli et al., 2020a). Approximately 4,000 SM studies have been  
131 already planned, representing about 99.8% of the municipalities eligible for funding (i.e., having 475 years return period  
132  $\text{PGA} \geq 0.125\text{g}$ ). Out of the 4,000 planned SM studies, about 75% have been completed and approved (DPC, 2021). The SM  
133 studies permitted to collect, classify, and archive geological, geophysical, and geotechnical data with a uniform approach  
134 following national standard criteria (SM Working Group, 2008; TCSM, 2018). The data from *in situ* tests are organised into a  
135 database and georeferenced through an appropriate geographic information system (DPC, 2021). About 35,000 borehole logs  
136 and 11,300  $V_S$  profiles, related to about 1,700 Down-Hole and 9,600 MASW tests, were extracted from the SM dataset. Starting  
137 from the 11,300  $V_S$  profiles,  $V_{S30}$  values were calculated. Mori et al. (2020b) derive a large-scale  $V_{S30}$  map for Italy, starting  
138 from the global morphological classes after Iwahashi et al. (2018), by integrating the large amount of data from the Italian SM  
139 dataset. The  $V_{S30}$  map by Mori et al. (2020a) was used here to integrate data where site-specific information was not available.

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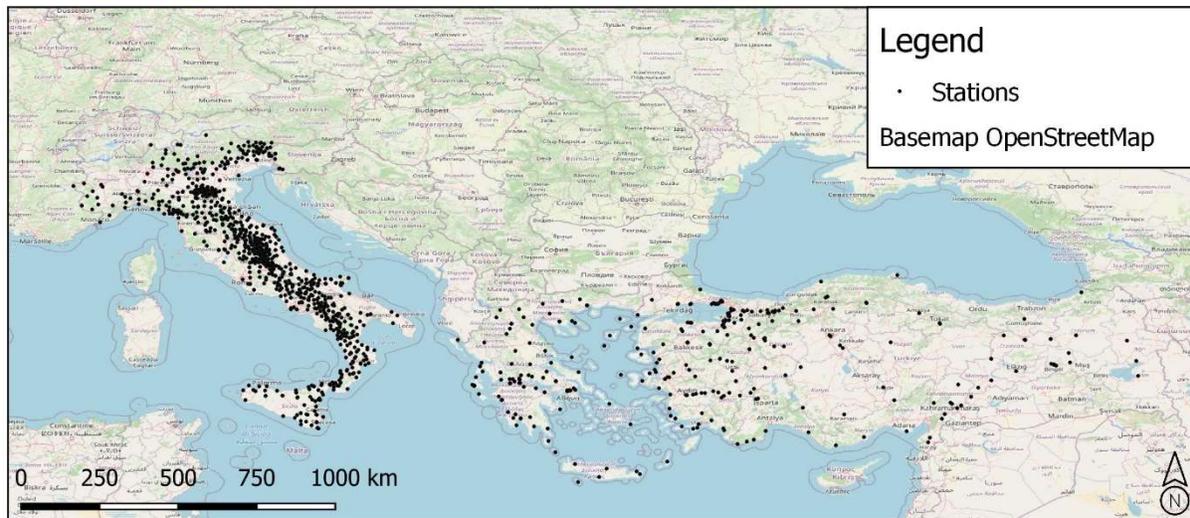
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143 *Morphological data*

144 The morphological elevation  $h$  (i.e., an input morphological datum) was retrieved by the Advanced Land Observing Satellite  
145 (ALOS) World 3D-30m (herein AW3D30) digital elevation model (DEM). The free version of the DEM (ALOS, 2021)  
146 adopted here has 1 arcsec resolution, which is equivalent to approximately 30 m at the Equator. AW3D-30m global DEM data  
147 were produced using the data acquired by the Panchromatic Remote Sensing Instrument for Stereo Mapping operated on the  
148 ALOS from 2006 to 2011. The Japan Aerospace Exploration Agency, that is the operator of the satellite, produced the global  
149 DEM using approximately 3 million images. Considering that AW3D30 model is the digital surface model which represents  
150 the canopy top and building roofs' elevations, Caglar et al. (2018) found that AW3D30 is the most accurate DEM among other  
151 similar data elevation products freely available. In detail, it was shown that the AW3D30 root mean square error is equal to  
152 1.78 m.

153 Finally, a GRASS GIS command *r.slope.aspect* (<https://grass.osgeo.org>) was used to generate the other morphological proxies  
154 (i.e.,  $h_x$ ,  $h_y$ ,  $h_{xx}$ , and  $h_{yy}$ ). Such command generates raster maps of first and second order partial derivatives from a raster map  
155 of true elevation values (i.e., AW3D30 data in this study). Fig. 2 shows the distribution of the selected morphological data.

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158 **Figure 1. Location of selected dataset (i.e., 1,435 accelerometric stations). © OpenStreetMap Distributed under the Open Data**  
159 **Commons Open Database License (ODbL) v1.0.**

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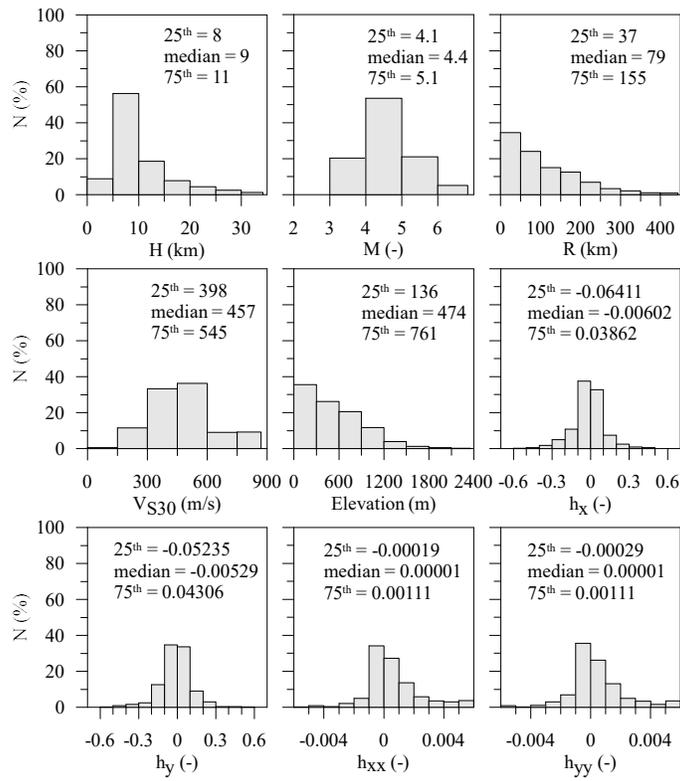


Figure 2. Distribution of input data for the training dataset.

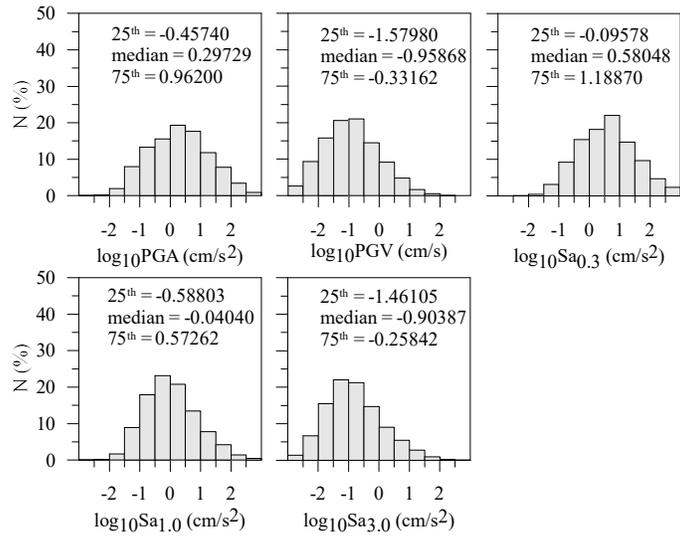


Figure 3. Distribution of output data for the training dataset in terms of geoH IMs.

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### 166 3 Method

167 The “Matlab Regression Learner App” tool (<https://it.mathworks.com/help/stats/regression-learner-app.html>) was employed  
168 to produce ground motion prediction maps using a supervised ML approach. With this application, users can choose the desired  
169 models among many different methods to automatically train and validate regression models. After training multiple models,  
170 they can be compared to choose the best one. The application includes commonly used regression methods such as linear  
171 regression models, decision trees, support vector machines, ensembles of tree models, and Gaussian Process Regression (GPR).  
172 Fig. 4 shows the adopted ML workflow. After having imported and selected the data (input variables and output variables),  
173 the training and validation phases begin. In these phases the ML model that will be used is "adapted" or rather the algorithm  
174 is adapted to the training dataset. One of the objectives of this phase is the tuning of the model, acting on the hyperparameters  
175 (parameters whose value is used to control the learning process) of the algorithm to minimize errors. The K-fold cross-  
176 validation technique was used in this work. The models included in “Matlab Regression Learner App” tool have all been tested.  
177 The fitting performance (in term of RMSE) on the validation set was considered as an indicator for the generalization ability  
178 of models. Among the available models the best fitting performance in terms of RMSE was provided by the GPR model with  
179 exponential kernel (Table 2). GPR is a nonparametric, Bayesian approach to regression, which provides uncertainty  
180 measurements on the predictions. Moreover, a detailed description of GPR method is outside the scope of this work. Suggested  
181 references for comprehensive descriptions of the GPR method are Rasmussen and Williams (2006) and chapter 6 of  
182 MathWorks (2019). The above-mentioned k-fold cross-validation ( $k = 5$ ) method is described in chapter 24 of Mathworks  
183 (2019).

184 The second step is to test the model with the best performance (GPR with exponential kernel in this research) adopting a  
185 dataset not included in the training and validation phases. The dataset for the 30 October 2016 seismic event was used since  
186 the accelerometric data of many accelerometric stations are available. The test is used to evaluate the accuracy of the model in  
187 terms of residuals (Eq. 1). In the workflow of Fig. 4 there is also a phase (comparison) that is not part of the standard ML  
188 methodology. The comparison with the ground shaking obtained by completely different methodologies was used to further  
189 analyse the ML model in terms of ground motion resolution and variability.

190 Training and cross-validation phases are described in § 3.1. Comparison in terms of residuals with the performance of the  
191 existing methods (i.e., an external test) is presented in § 3.2. The comparison with the ground shaking obtained by completely  
192 different methodologies is presented in § 4.

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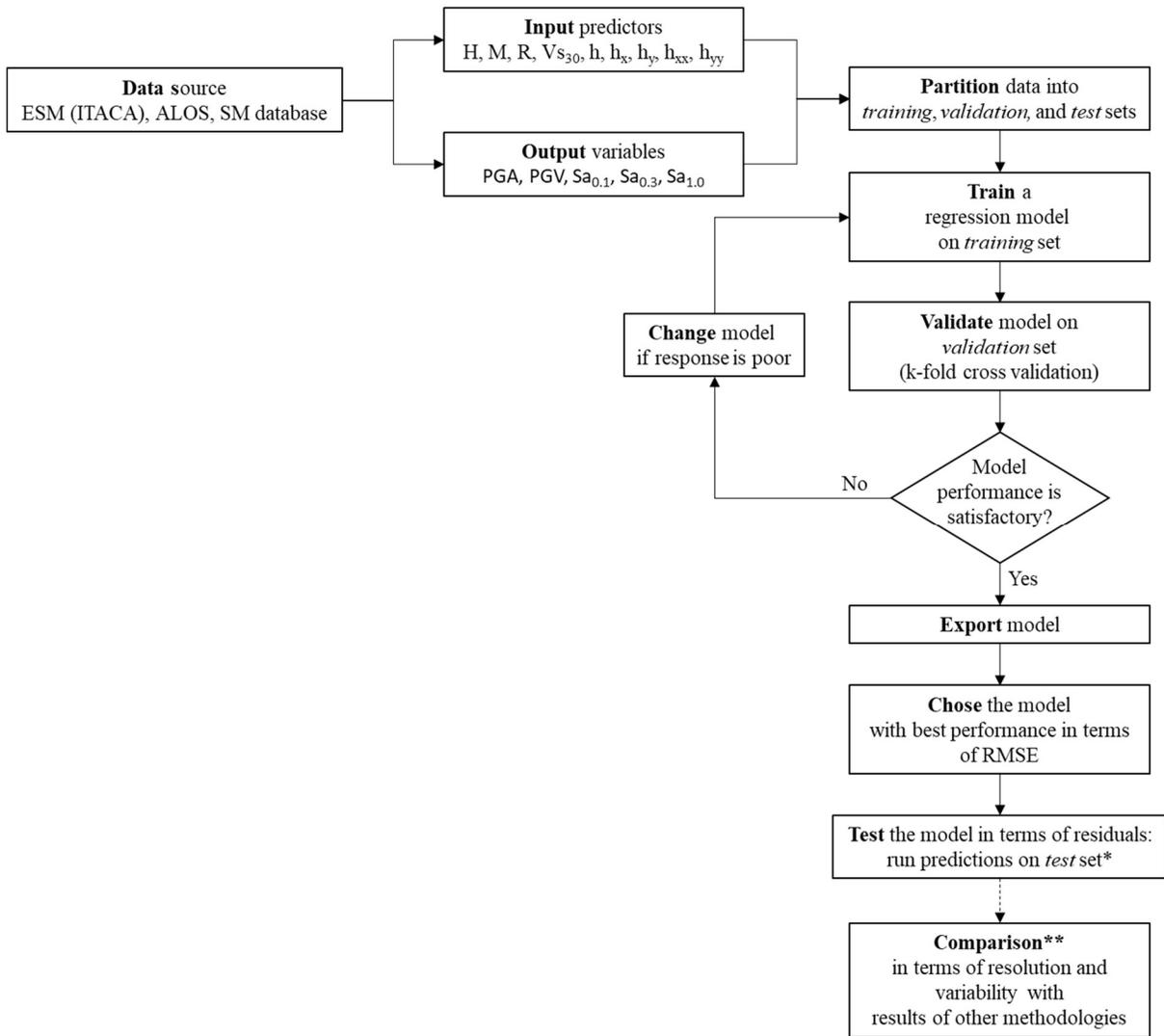


Figure 4. ML workflow adopted in this study.

\*The selected test set was the input and output data for the October 30, 2016 seismic event.

\*\* This element of the workflow is not part of the standard ML methodology. This element was introduced to enlighten the capability of the adopted ML procedure in estimating local scale ground motion variability. Comparison against predictions from ShakeMap and 2D numerical simulations was based on August 24, 2016 seismic event input and output data.

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### 202 3.1 Training and validation phases

203 The mean RMSE of the five cross-validation datasets were adopted to select the best ML approach. With reference to the tested  
204 ML approaches, Table 2 lists the RMSE values for each predicted IM.

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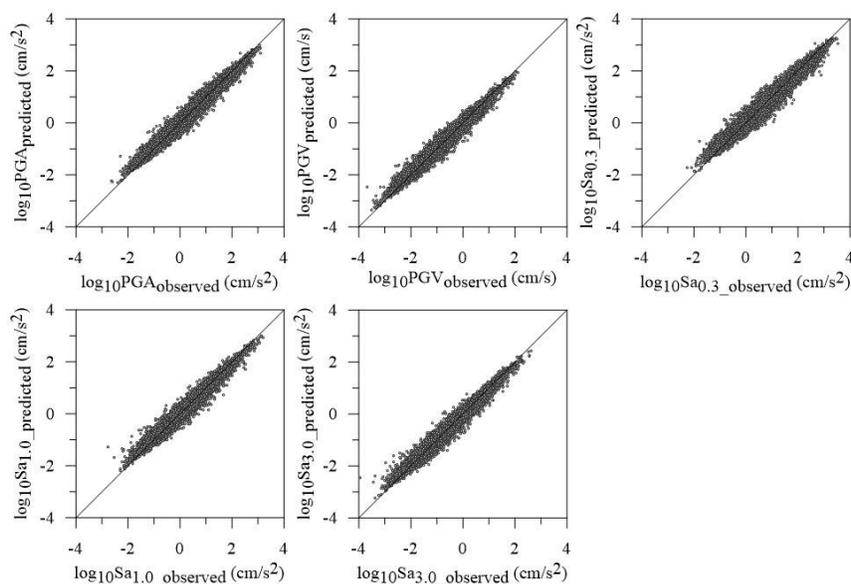
**Table 2. RMSE, for all ML prediction models used to forecast log10 geometric horizontal mean (geoH) of PGA, PGV, and Sa at 0.3 s, 1.0 s, and 3.0 s. Suggested reference for comprehensive descriptions of the ML prediction models is MathWorks (2019).**

ML Prediction Model	Performance in term of RMSE				
	PGA	PGV	Sa(0.3s)	Sa(1.0s)	Sa(3.0s)
Linear Regression (Linear)	0.53	0.47	0.50	0.44	0.43
Linear Regression (Interactions Linear)	0.48	0.43	0.47	0.42	0.40
Linear Regression (Robust Linear)	0.53	0.47	0.50	0.44	0.43
Stepwise Linear Regression (Stepwise Linear)	0.48	0.43	0.47	0.42	0.40
Tree (Fine Tree)	0.42	0.38	0.42	0.39	0.38
Tree (Medium Tree)	0.40	0.36	0.40	0.38	0.36
Tree (Coarse Tree)	0.40	0.36	0.40	0.37	0.36
Support Vector Machine (Linear)	0.53	0.48	0.49	0.44	0.43
Support Vector Machine (Quadratic)	0.43	0.39	0.42	0.39	0.39
Support Vector Machine (Cubic)	0.40	0.36	0.40	0.37	0.36
Support Vector Machine (Fine Gaussian)	0.48	0.46	0.48	0.45	0.46
Support Vector Machine (Medium Gaussian)	0.37	0.34	0.38	0.35	0.34
Support Vector Machine (Coarse Gaussian)	0.43	0.39	0.42	0.39	0.38
Ensemble (Boosted Trees)	0.40	0.36	0.40	0.37	0.36
Ensemble (Bagged Trees)	0.33	0.31	0.33	0.31	0.31
Gaussian Process Regression (Squared Exponential)	0.38	0.35	0.39	0.36	0.35
Gaussian Process Regression (Matern 5/2)	0.37	0.34	0.38	0.34	0.34
<b>Gaussian Process Regression (Exponential)</b>	<b>0.31</b>	<b>0.30</b>	<b>0.33</b>	<b>0.30</b>	<b>0.29</b>

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209 Referring to the best prediction model (i.e., GPR with exponential kernel) and to the training dataset, Fig. 5 shows the  
210 comparison between predicted and observed values.

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213 **Figure 5. Comparison between observed and predicted values referring to the output data (i.e., geoH in terms of PGA, PGV, Sa0.3,**  
214 **Sa1.0, and Sa3.0).**

215 The performance of the GPR model is also presented in terms of mean value and standard deviation of the residuals’  
 216 distributions (Table 3), where the residual is defined according to the Eq. (1) in agreement to what presented by other  
 217 researchers (Bindi et al., 2011; Jozinović et al., 2021; Michelini et al., 2019). It should be noted that mean and standard  
 218 deviation of the residuals’ distributions referred to ShakeMap and GMPE were retrieved from the work of Jozinović et al.  
 219 (2021) to evaluate the performance of the ML approach suggested in this study. It is worth noting that the suggested ML  
 220 approach provides the best performance with respect to the approaches proposed by the other studies in terms of both accuracy  
 221 (mean value) and precision (standard deviation). In detail, the standard deviation values are reduced by the 45-60%.

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$$223 \text{ residual} = \log_{10} \left( \frac{\text{IM}_{\text{observed}}}{\text{IM}_{\text{predicted}}} \right) \quad (1)$$

224

225 **Table 3. Referring to the training dataset (15,779 data for each IM), comparison of mean and standard deviation values of the**  
 226 **residuals’ distributions obtained in this study and that reported by other works (geoH stays for geometric mean of the horizontal**  
 227 **components).**

IM (geoH)	This study (ML)		ShakeMap		GMPE	
	mean	std	mean	std	mean	std
PGA	-0.000033	0.161	0.038	0.372	0.017	0.352
PGV	-0.000015	0.156	0.041	0.380	-0.151	0.330
Sa <sub>0.3</sub>	0.000024	0.192	0.046	0.370	-0.252	0.359
Sa <sub>1.0</sub>	0.000028	0.160	0.017	0.374	-0.198	0.303
Sa <sub>3.0</sub>	-0.000072	0.159	-0.012	0.404	0.083	0.368

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229 Fig. A1 in the Appendix A1 shows the contribution of each predictor variable to the reduction of standard deviation of the  
 230 residuals’ distribution.

### 231 3.2 Testing phase

232 Input and output data for the October 30, 2016 seismic events were selected as external test dataset not included in the training  
 233 data. The seismic events in Central Italy of 2016 and 2017, began in August 2016 with epicentres located between Latium,  
 234 Marche, and Umbria Regions. The first strong shock occurred on August 24, 2016, at 3:36 a.m. and had a magnitude of 6.0,  
 235 with its epicentre located along the Tronto River valley, between the small municipalities of Accumoli and Arquata del Tronto.  
 236 Two powerful replicas took place on October 26, 2016, with epicentres on the Umbria-Marche border, the first shock with  
 237 magnitude 5.4 and the second with magnitude 5.9. On October 30, 2016, the strongest quake was recorded, with a moment  
 238 magnitude of 6.5 with its epicentre in Umbria Region. On January 18, 2017, a new sequence of four strong tremors with a

239 magnitude greater than 5 (with a maximum of 5.5) and epicentres located in Abruzzi Region took place. This set of events  
 240 caused a total of about 41,000 displaced persons, 388 injured, and 303 deaths.

241 In detail, the paper refers to the October 30, 2016 mainshocks since according to the available data much more accelerometric  
 242 data are available and it is therefore possible to make more detailed and reliable analyses.

243 Mean and std values of the residuals' distributions are presented in this section for the seismic event occurred on October 30,  
 244 2016 (briefly named test event), because it is the event with the most recordings of the whole dataset (241 accelerometric  
 245 stations). It is worth noting that this event was not included in the dataset adopted for the training phase of the ML approach.  
 246 Noting that 943 seismic events were characterised by  $M \leq 6$  and 25 earthquakes by  $M > 6$  (see Fig. 3 for the training dataset),  
 247 the Central Italy earthquake occurred on October 30, 2016 ( $M = 6.5$ ) provides a robust test of the adopted ML approach. The  
 248 GMPE proposed by Bindi et al. (2014) (hereafter also Bindi GMPE) was selected to estimate the IMs at the 241 sites of interest  
 249 aiming to compare the GMPE and this ML approach performances. It should be noted that the Bindi GMPE provides IMs  
 250 depending on the  $V_{S30}$  as in this study. Furthermore, the OPENQUAKE software (Pagani et al., 2014) was used to determine  
 251 the IMs values based on the selected GMPE.

252 Mean and std values regarding the test event (Table 4), are higher than those referred to the training and validation phase  
 253 (Table 3), as expected, because the GPR model is trained on few events with high magnitudes as discussed in § 2.  
 254 Moreover, mean and std values obtained in this example are lower than those obtained by means of GMPE as shown in Table  
 255 4. In detail, the standard deviation values are reduced by the 20-30%. Therefore, the overall performance of the proposed ML  
 256 approach is satisfactory also at the highest magnitude.

257

258 **Table 4. Comparison of mean and standard deviation values of the residuals' distributions obtained in this study and by means of**  
 259 **GMPE (Bindi et al., 2014), regarding the earthquake occurred on October 30, 2016, (241 data for each IM; geoH stays for**  
 260 **geometric mean of the horizontal components).**

IM (geoH)	This study		GMPE	
	mean	std	mean	std
PGA	0.0019	0.30	-0.19	0.43
PGV	0.0130	0.34	-0.16	0.42
Sa <sub>0.3</sub>	0.0170	0.32	-0.18	0.39
Sa <sub>1.0</sub>	-0.0550	0.35	-0.38	0.46
Sa <sub>3.0</sub>	-0.0360	0.39	-0.23	0.55

261

#### 262 **4 Ground motion prediction map for August 24, 2016 seismic event of Central Italy and comparison with numerical** 263 **modelling**

264 After having demonstrated the goodness of the proposed method to reproduce IM values, this chapter presents examples of  
265 predictive maps produced by means of the exponential GPR model with a 50 m x 50 m resolution. In this section the map for  
266 the August 24, 2016 seismic event of Central Italy is produced to compare some significant IM profiles produced with  
267 independent advanced numerical simulations and data retrieved from ShakeMaps (2021).

268 The ground motion prediction map of the  $Sa_{0.3}$  reported in Fig. 6 is one of the cartographic results of this study; maps of PGA,  
269 PGV, and other spectral ordinates are in the supplementary materials. Macroseismic intensities,  $I_{MCS}$ , retrieved by Galli et  
270 al. (2017) are also reported next to the name of the villages in Fig. 6. These maps were chosen because the 0.3 s period is the  
271 fundamental vibration period of most buildings in the area (i.e., 2-3 storey buildings). Moreover, 0.3 s is compatible with the  
272 results of modelling provided by Gaudiosi et al. (2021), Giallini et al. (2020), Grelle et al. (2020) for the same areas.

273 The map of Fig. 6 shows an output that is in good agreement with the geophysical data (i.e.,  $V_{S30}$  in Fig. 7) and  
274 geomorphological data (i.e., elevation and slope in Figs. A2 and A3 in Appendix A2 and A3, respectively) and, therefore,  
275 highlights local site effects. In fact, referring to Fig. 6, it can be noted that the highest  $Sa_{0.3}$  values well describe the valleys'  
276 trend (i.e., the largest and continuous Tronto River valley) and the two extended areas in the southern part of the map (i.e.,  
277 near Petrana and Torrita villages), which are characterized by lowest values of  $V_{S30}$  (Mori et al., 2020a). Fig. 8 shows the  
278 ShakeMap of  $Sa_{0.3}$  regarding the Central Italy earthquake occurred on August 24, 2016 for the same area sketched in Fig. 6.  
279 As a general issue referring to the ShakeMaps, the higher the distance from the epicentre (the star in Fig. 8) and the lower the  
280 predicted  $Sa_{0.3}$ . Hence, the ShakeMaps does not provide ground motion variability induced by the local site condition (i.e.,  
281 sub-soil setting and topography). In detail, ShakeMap provides  $Sa_{0.3}$  equal to 0.36 g for the entire area of Arquata del Tronto  
282 (square A in Fig. 8) and equal to 0.99 and 1.08 g for Amatrice (square B in Fig. 8).

283 Referring to A and B close-ups of Fig. 6, Fig. 9 shows the mean values of  $Sa_{0.3}$  in the left side and the standard deviation, std,  
284 values in the right side. It should be noted that the uncertainty is provided by a combination of the input data values. The  
285 uncertainty increases referring to input data values for which the ML is not well trained (Figs. 2 and 3 and discussion in § 2).  
286 For instance, std values around 0.3-0.4 are in the areas of inhabited villages, characterised by input data values widely  
287 represented in the training dataset, while values in the range 0.6-0.8 are observed in correspondence with the combination of  
288 high slope values and high  $V_{S30}$  values, which are underrepresented in the training dataset.

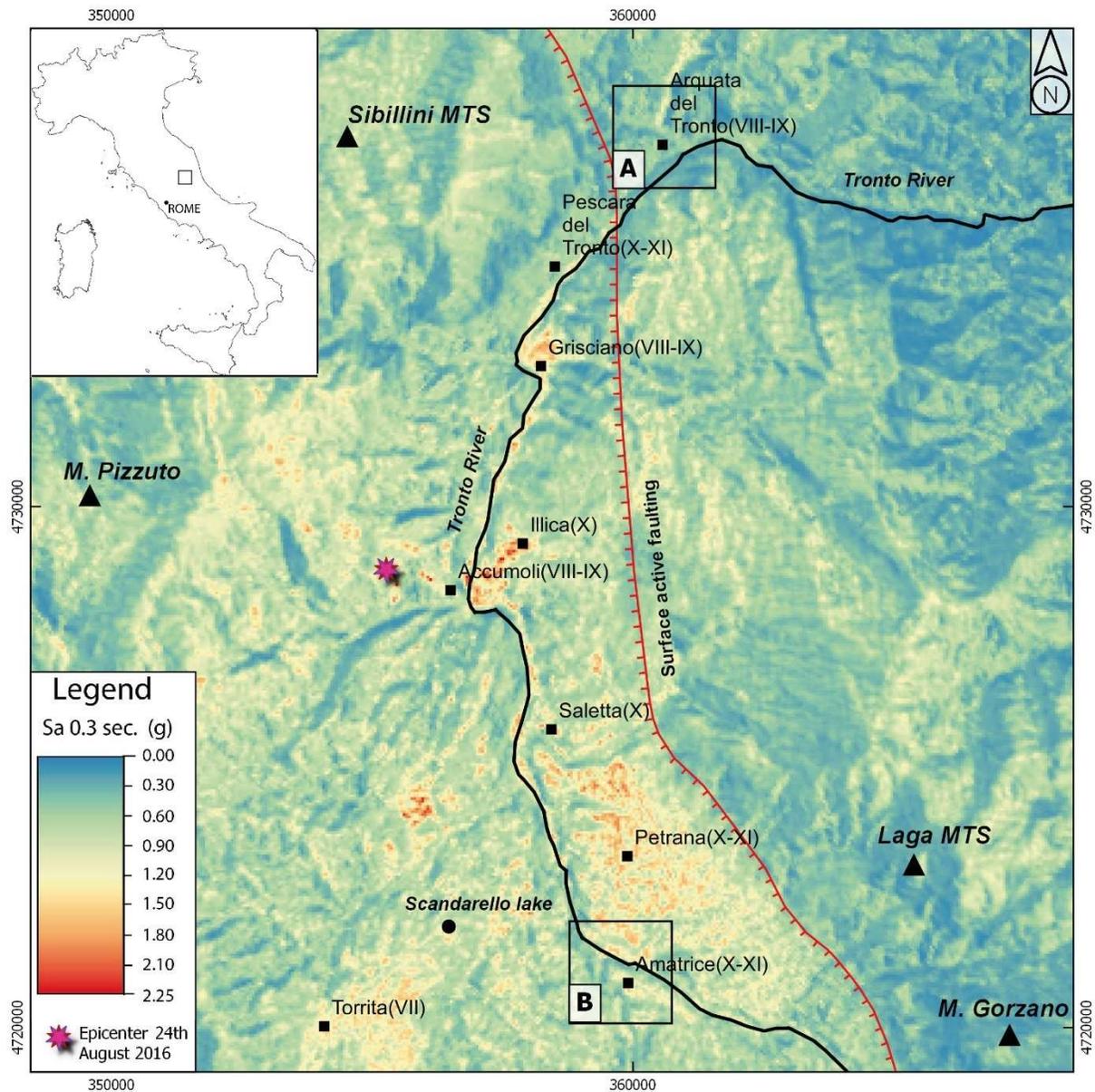
289 In addition to the maps, Fig. 10 shows the profiles (2 at Amatrice and 1 at Arquata del Tronto) of  $Sa$  at 0.3 s and the comparison  
290 with the values of the same shaking parameter, calculated with different methodological approaches: ground motion prediction  
291 with ML approach (this study), 2D numerical simulations (modified after Gaudiosi et al., 2021; Giallini et al., 2020; Grelle et  
292 al., 2020), and ShakeMap (2021). All the models are defined for the geometric mean (geoH) of the horizontal components. As  
293 ShakeMaps are released for the maximum of the horizontal components, the ShakeMap values are converted to geoH according  
294 to the empirical relation proposed by Beyer and Bommer (2006). The three profiles were chosen because they represent three  
295 very different geological and geomorphological structures: narrow valley (section AA' in Fig. 10, Arquata del Tronto), plateau

296 of soft ground (section BB' in Fig. 10, Amatrice), morphology of a mountain peak with coverage of soft ground (section CC'  
297 in Fig. 10, close to Amatrice). As a matter of fact, the adopted ML approach reproduces the so-called valley effect, as in the  
298 case of Arquata del Tronto shallow valley (see the trend for  $200 \leq x \leq 400$  m in AA'), the combined lithostratigraphic and  
299 topographic effects, as in the case of Amatrice village (see the trend for  $200 \leq x \leq 500$  m in BB'), and the topographic  
300 amplification, as in the case of the AMT accelerometric station (see the trend for  $100 \leq x \leq 200$  m in CC'). It should be noted  
301 that the trend of the values of our study reproduces that of the numerical simulations, also getting closer to the recorded values  
302 at Osservatorio Sismico delle Strutture (OSS, a network of buildings and bridges monitored *in continuum* by the Italian Civil  
303 Protection Department) site and AMT station (Luzi et al., 2019; stars in BB' and CC'). Moreover, the profiles provided by the  
304 ML approach are much more articulated and complex than the constant value (horizontal dashed line) of the ShakeMap, which  
305 obviously fails to grasp the local site effects at this scale. The difference between different methodology and the recorded  
306 values were quantified according to the following Equation and are provided in Table 5.

307

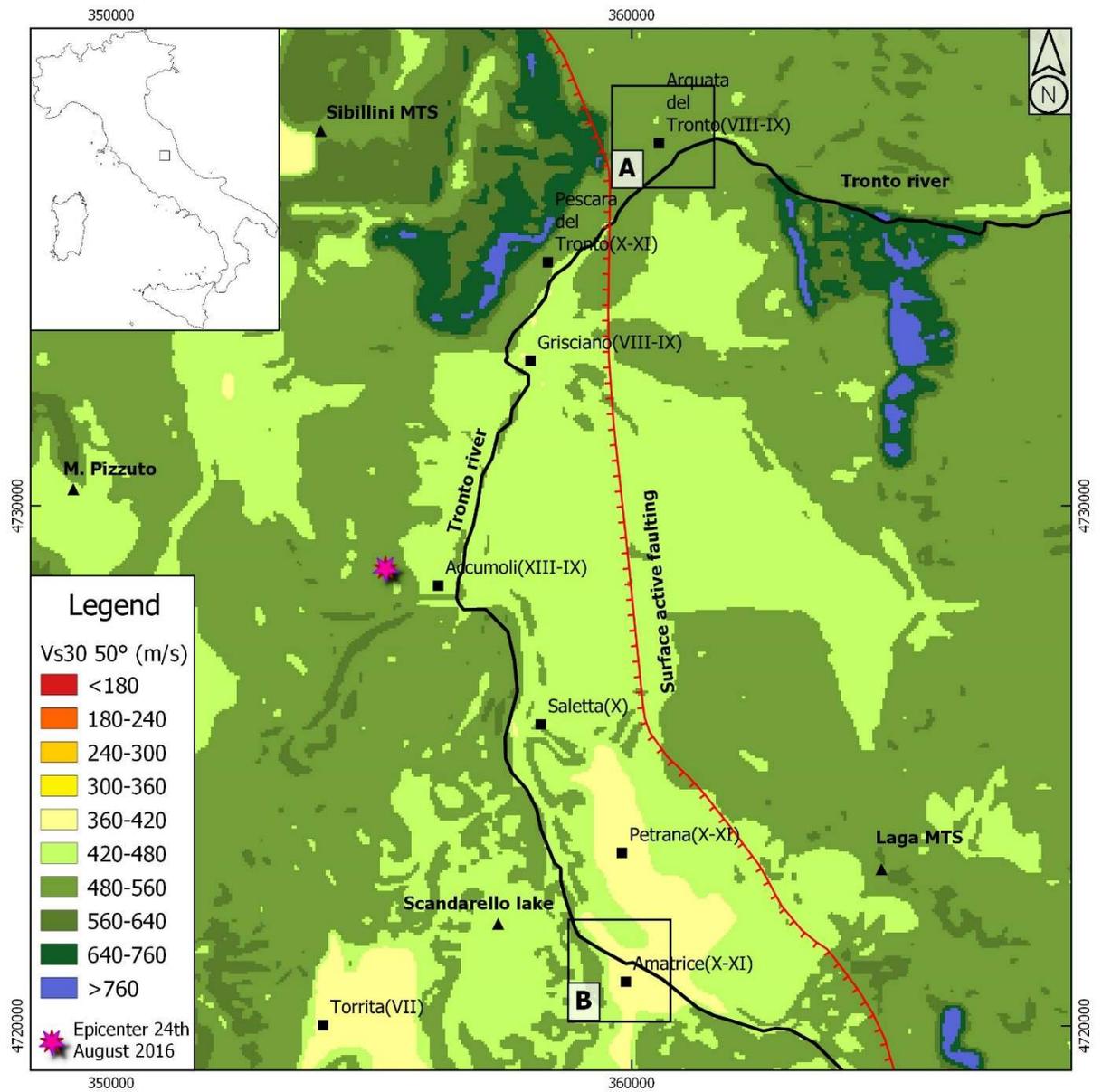
$$308 \quad \varepsilon_{Sa} = \frac{Sa_{0.3 \text{ estimated}} - Sa_{0.3 \text{ recorded}}}{Sa_{0.3 \text{ recorded}}} \cdot 100 \quad (2)$$

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**Figure 6. Ground motion prediction map of  $S_{a0.3}$  (resolution 50 m x 50 m) regarding the Central Italy earthquake occurred on August 24, 2016.  $I_{MCS}$  values retrieved by Galli et al. (2017) are reported next to the name of the villages. A and B squares are referred to the close ups at Arquata del Tronto and Amatrice, respectively. The surface active faulting, sketched in the figure, has been slightly modified after Galli et al. (2017).**



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Figure 7.  $V_{s30}$  maps for the area of interest shown in Fig. 6. It can be noted that two extended areas in the southern part of the map (i.e., near Petrana and Torrita villages) are characterized by lowest values of  $V_{s30}$  inducing the highest  $S_{a0.3}$  values (i.e., valley effect) as shown in Fig. 6..

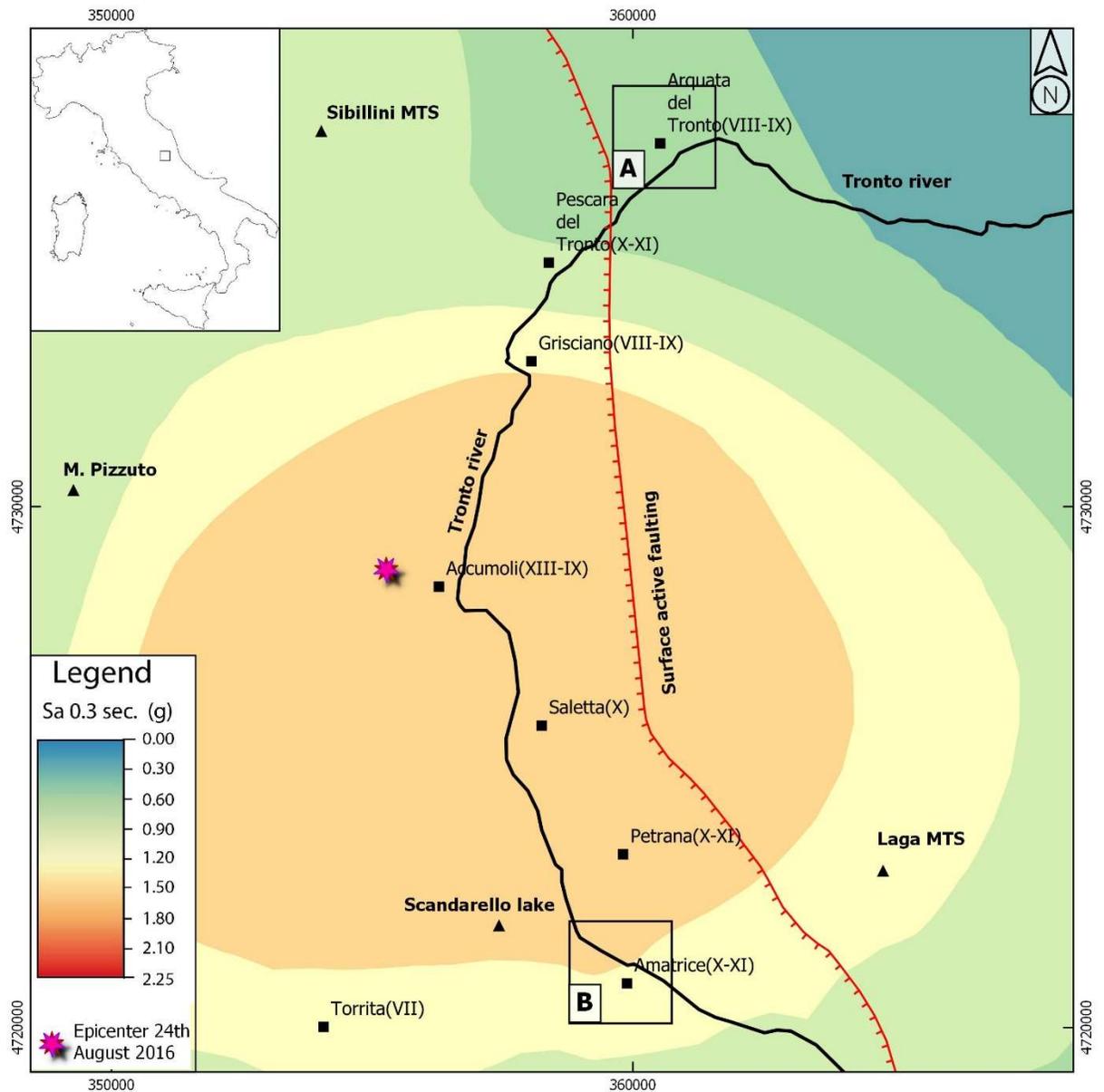
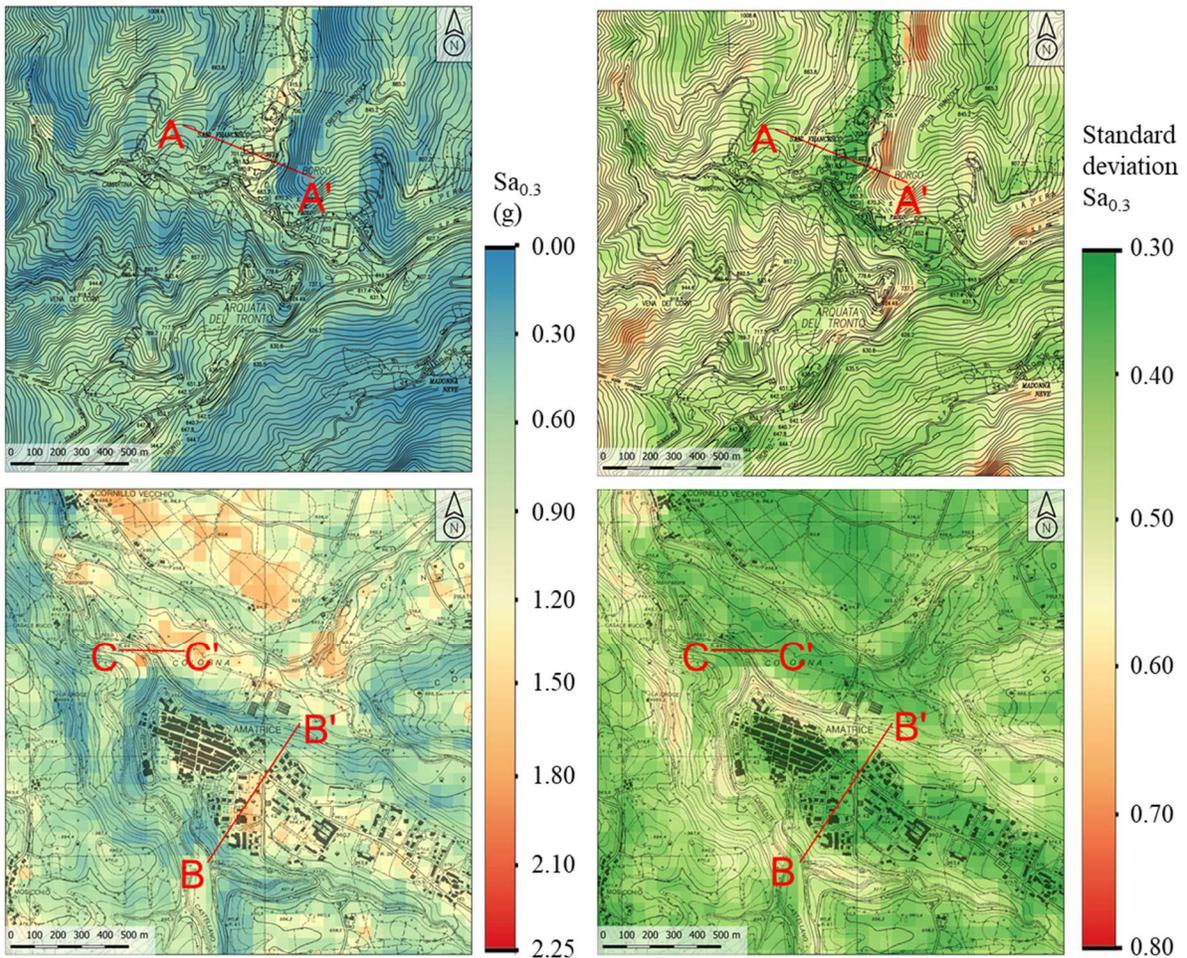


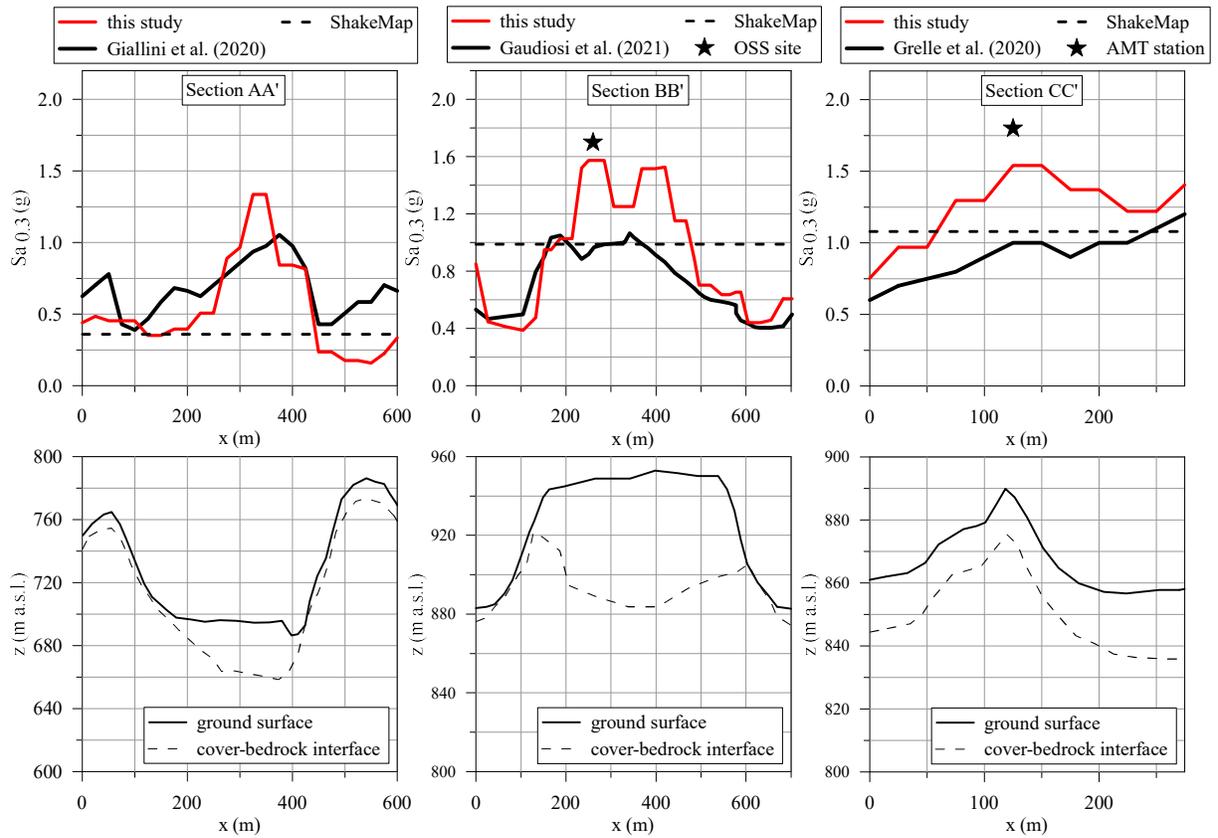
Figure 8. ShakeMap (slightly modified from ShakeMap, 2021) of  $Sa_{0.3}$  regarding the Central Italy earthquake occurred on August 24, 2016. A and B squares are referred to the close-ups at Arquata del Tronto and Amatrice, respectively. From the centre of the figure to the border, the homogenous coloured areas correspond to 1.20-1.50 g, 0.90-1.20 g, 0.60-0.90 g, 0.30-0.60 g, and 0.01-0.30 g intervals. It is evident that the map does not capture the variability at short distances



325

326 **Figure 9. Ground motion prediction maps (Central Italy earthquake occurred on August 24, 2016) regarding the Arquata del**  
 327 **Tronto (top) and Amatrice (bottom) in terms of  $Sa_{0.3}$  mean value (left) and standard deviation (right) (resolution 50 m x 50 m). The**  
 328 **base topographic layer was retrieved from Regione Marche (2021) and Regione Lazio (2021) for Arquata del Tronto and Amatrice**  
 329 **The uncertainty estimation is available here: <https://it.mathworks.com/help/stats/gaussian-process-regression-models.html>.**

330



331

332 **Figure 10. Profiles of  $Sa_{0.3}$  (top) for Central Italy earthquake occurred on August 24, 2016 and simplified sub-soil sections (bottom)**  
 333 **of Arquata del Tronto (Section AA') and Amatrice (Sections BB' and CC'). Cross sections' locations are in Fig. 9.  $Sa_{0.3}$  profiles and**  
 334 **geological information retrieved and modified after Gaudiosi et al. (2021), Giallini et al. (2020), Grelle et al. (2020); ShakeMap**  
 335 **(2021). The black stars indicate values recorded at the OSS site and AMT station (for details see the text).**

336

337 **Table 5. Difference  $\epsilon$  in percentage between  $Sa_{0.3}$  determined by means of different methodologies and recorded values for Central**  
 338 **Italy earthquake occurred on August 24, 2016.**

	Section BB'	Section CC'
	$\epsilon_{Sa}$ (%)	$\epsilon_{Sa}$ (%)
this study	-7	-14
Numerical	-43	-44
ShakeMap	-42	-40

339

340 **Discussion and conclusions**

341 Intensity and frequency contents of ground motions can be altered by many factors. Up until now, numerous empirical models  
342 of ground motion amplification have been developed based on conventional regression analyses, considering few key factors  
343 such as intensity measures of rock motions, shear wave velocities of soils, and territory morphology. Since Machine Learning  
344 techniques have been applied to many fields, this work investigated on efficacy of using such techniques for developing models  
345 to predict ground motion over large areas with a 50 m resolution raster.

346 A set of about 16,000 ground motion data from Italian and European networks were adopted to train a Gaussian Process  
347 Regression model, while recordings by 241 stations of the seismic events occurred in Italy on October 30, 2016 were used to  
348 test the same model. Peak ground acceleration and velocity, and spectral acceleration at 3 periods (i.e., 0.3, 1, and 3 s) were  
349 compared to the recorded data allowing to obtain residuals. With reference to the training dataset, mean value and standard  
350 deviation of the residuals' distribution were found equal to about 0 and to about 0.1, respectively. With reference to the test  
351 dataset characterised by magnitude equal to 6.5, mean value and standard deviation of the residuals' distribution were found  
352 equal to 0.01 and 0.3, respectively. Hence, the performance of the adopted Machine Learning technique was confirmed  
353 satisfactory also for magnitude higher than 6.

354 In addition, maps of ground motion in terms of peak ground acceleration, peak ground velocity, and of spectral acceleration at  
355 the selected three periods were produced for the Central Italy seismic event occurred on August 24, 2016. Profiles of intensity  
356 measures were in satisfactory agreement with those obtained by means of advanced numerical simulations of seismic site  
357 response referring to the same seismic event. Moreover, the adopted Machine Learning approach greatly improves the  
358 performance of existing methods for the analysed case studies.

359 Three main novelties of the work are synthesized in the following:

- 360 1) forecast of ground motion with high resolution (i.e., a 50 m x 50 m raster), in agreement with results of local scale numerical  
361 modelling. This outcome is achieved by means of Machine Learning techniques and large datasets including  
362 morphological, geological, geophysical, and geotechnical features (mainly the seismic microzonation dataset; DPC, 2021).  
363 Moreover, about 1,000 seismic events recorded by 1,435 accelerometric stations (ESM, 2021; ITACA, 2021) were  
364 analysed. The Machine Learning approach combines morphological and subsurface proxies: elevation, first and second  
365 order topographic gradient (define the morphological characteristics of the territory), mean shear wave velocity in the upper  
366 30 m (defines the dynamic response of a site as induced by the subsoil condition). Magnitude, epicentral, and hypocentral  
367 distances provide the source conditions;
- 368 2) use of robust statistical techniques such as Gaussian Process Regression. Among the machine learning based models, the  
369 model developed by the regression and Gaussian approach provides the best performance in terms of both precision and  
370 accuracy, that are standard deviation and mean value of the residuals' distribution, respectively.

371 In a nutshell, the novelty of this work is the use of the Machine Learning approach based on the analysis of a huge database of  
372 geological, geophysical, and geotechnical data, built with Seismic Microzonation studies for the entire Italian territory. The

373 quality and quantity of this database allow a robust application of Machine Learning including the prediction of local site  
374 effects (i.e., lithostratigraphic and morphological) on the seismic ground motion.

375 In terms of applications, the ground motion maps generated by means of the proposed Machine Learning approach are useful  
376 both for urban planning (aimed at reducing seismic risk) and for emergency management (aimed at a *near real time* estimation  
377 of shaking scenarios). With reference to the emergency phase, by knowing the position and depth of the hypocentre and the  
378 magnitude of the event (in Italy these data are available a few minutes after the event), it is possible producing ground motion  
379 maps in near real time. Overall, considering that the paradigm should be shifted from managing disasters to managing risk, the  
380 proposed methodology could represent a key-tool in seismic risk mitigation strategies deployed both pre and post seismic  
381 event.

382 Evaluation of the spatial correlation structure was studied to provide the relation between local site effects and spatial resolution  
383 of ground motion maps; results of such analysis were not reported in the main text since it is out of the scope while preliminary  
384 results in terms of sill and range are reported in the Appendix A4 referring to the seismic event occurred on October 30, 2016  
385 (i.e., the strongest of the Central Italy seismic sequence).

386 In conclusion, the research on this topic will continue and focus on specific goals, which are listed in the following:

- 387 - improve the method with more input proxies, made available after the seismic microzonation project for the whole national  
388 territory. In detail, maps of the depth to the engineering bedrock and of the fundamental frequency of the deposit will be soon  
389 available and allow to use such parameters as input data for the Machine Learning approach;
- 390 - improve the method with worldwide seismological dataset;
- 391 - improve the spatial resolution of existing input proxies integrating remote sensing data;
- 392 - improve the spatial correlation analysis.

### 393 **Author contributions**

394 Conceptualization: FM, GA. Data curation: FM, AM, RS. Formal analysis: FM, RS. Funding acquisition: MM. Methodology:  
395 FM, AM, GF, GA, RS, MM, GN. Project administration: MM. Supervision: FM, MM, GN. Validation: FM, AM, GF, GA.  
396 Visualization: AM, GF. Writing – original draft preparation: FM, GF, GN. Writing – review & editing: FM, AM, GF, GA,  
397 RS, MM, GN.

### 398 **Competing interests**

399 The authors declare that they have no conflict of interest.

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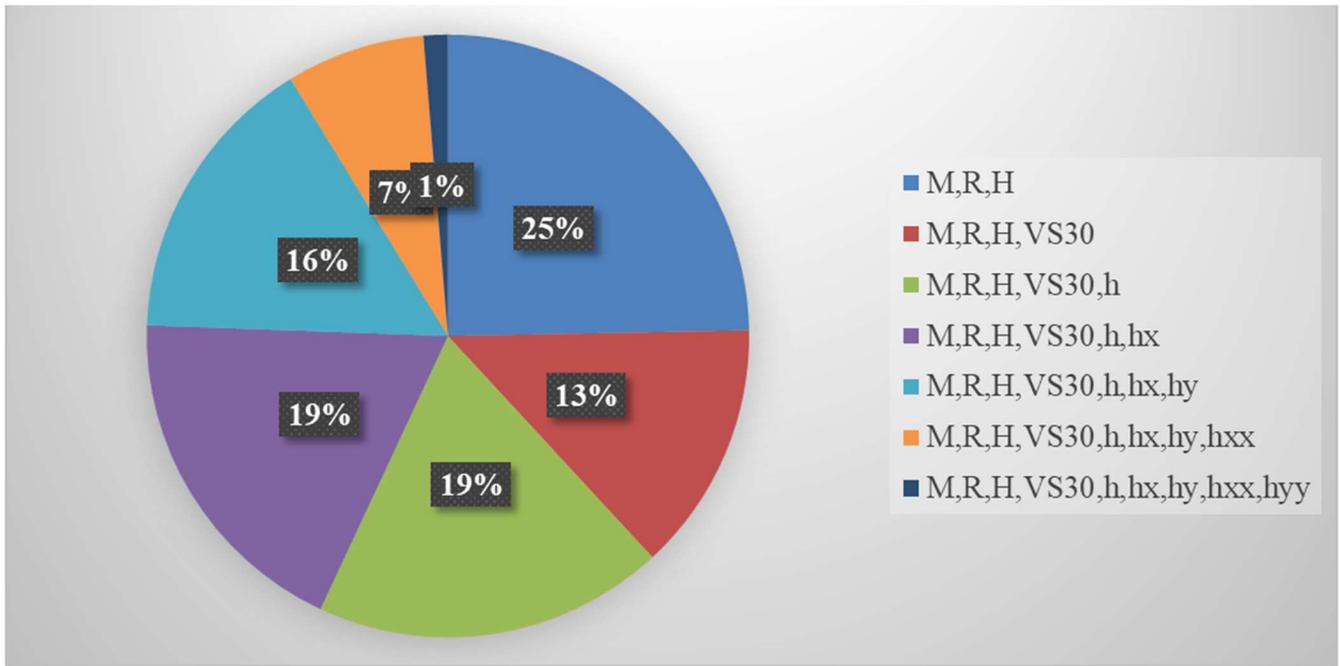
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559 **Appendix A1. Fig. A1 - The contribution of each proxy to the total reduction in the standard deviation of the**  
560 **residuals for the PGA.**



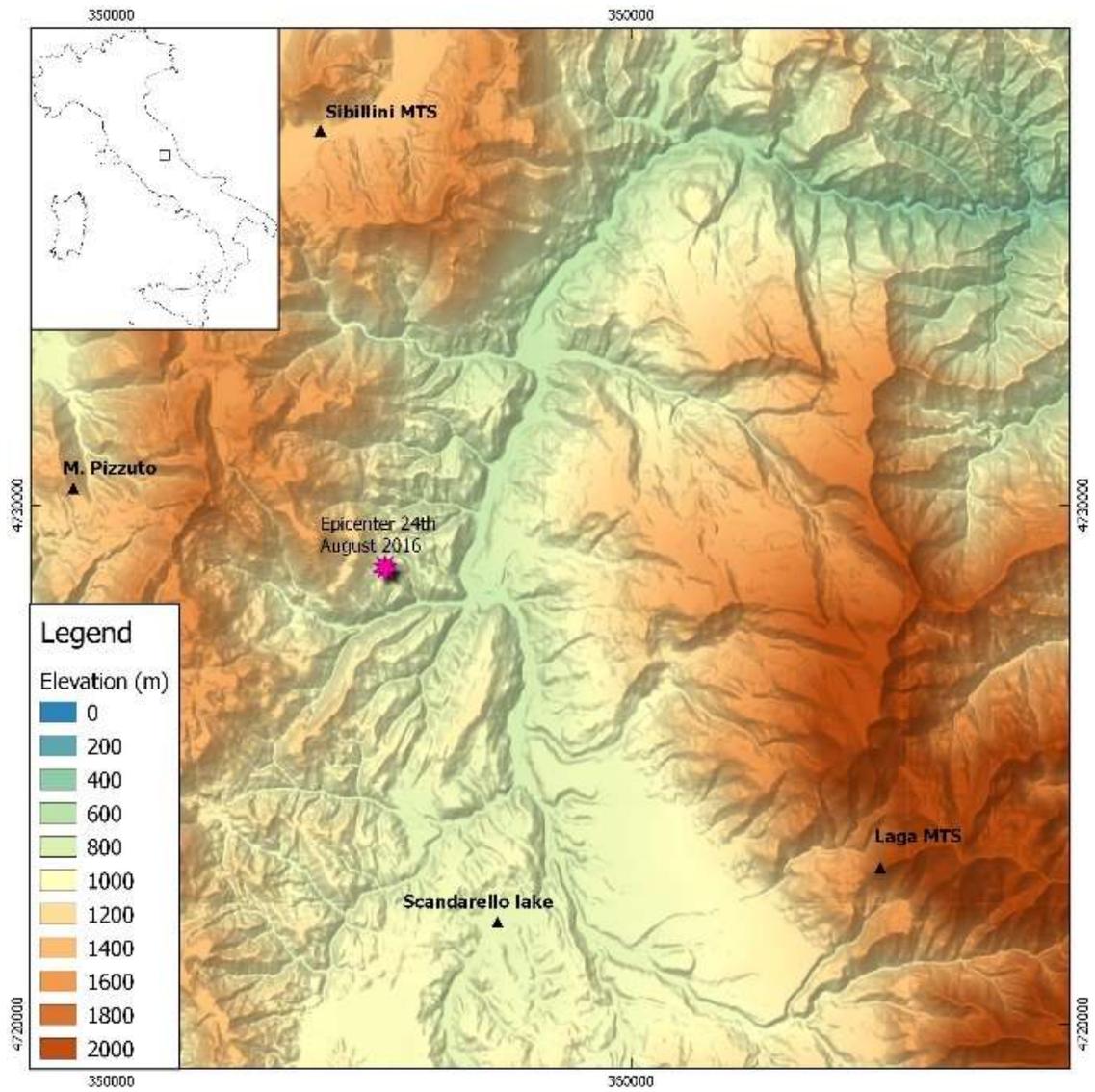
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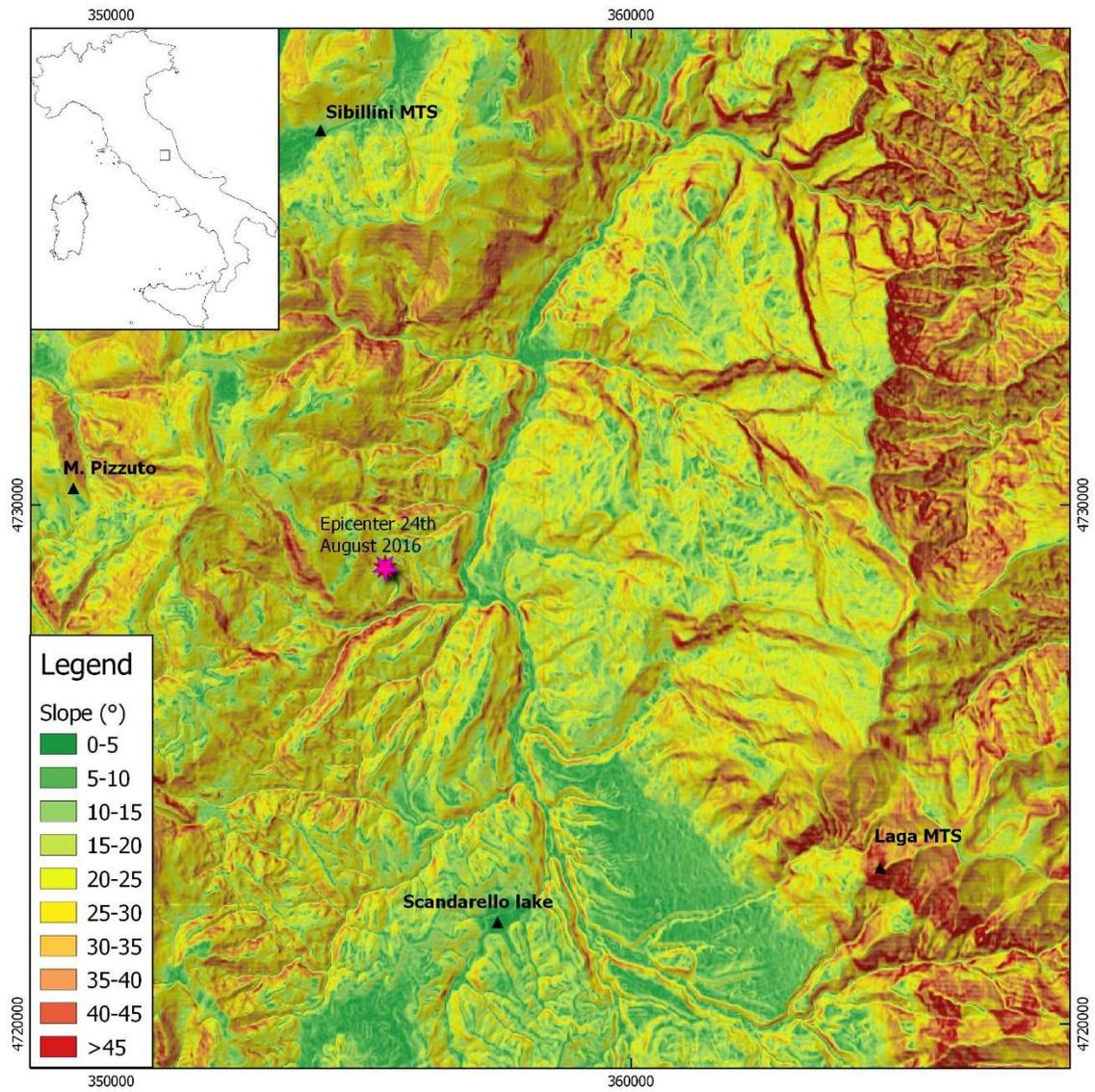
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577 **Appendix A4. Spatial correlation structure of the predicted maps**

578 In this appendix we want to preliminarily deal with the spatial correlation of the IM parameters. In fact, the spatial correlation  
 579 of ground-motion IMs represents a key issue in the seismic risk assessment, particularly in loss analysis (Infantino et al., 2021;  
 580 Schiappapietra et al., 2020, 2021). The geostatistical tool widely adopted to analyse the spatial correlation of geological and  
 581 geotechnical data (Paolella et al., 2021, Raspa et al., 2008, Salvatore et al., 2019, Spacagna et al., 2018) is the semi-variogram  
 582 (Chilès and Delfiner, 2012). The spatial structure is evaluated by assessing the dissimilarity of the variables measured at  
 583 different locations. First, referring to the variable of interest (in this case, one of the selected IMs), the experimental semi-  
 584 variogram  $\hat{\gamma}(\mathbf{h})$  is calculated from data using the method of moments (Chilès and Delfiner, 2012):

585

$$586 \quad \hat{\gamma}(\mathbf{h}) = \frac{1}{2n(\mathbf{h})} \sum_{i=1}^{n(\mathbf{h})} \{z(\mathbf{x}_i) - z(\mathbf{x}_i + \mathbf{h})\}^2 \quad (\text{A1})$$

587

588 where  $z(\mathbf{x}_i)$  and  $z(\mathbf{x}_i + \mathbf{h})$  are the observed values of the variable  $z$  (i.e., one of the selected IMs) at the location  $\mathbf{x}_i$  and  $\mathbf{x}_i + \mathbf{h}$   
 589 separated by  $\mathbf{h}$ , and  $n(\mathbf{h})$  is the number of pairs at lag  $\mathbf{h}$ . Under the assumption of second-order stationary, the semi-variogram  
 590 increases with  $\mathbf{h}$  up to a constant value of  $\hat{\gamma}(\mathbf{h})$ . In this study, to assess the spatial structure of the variables (predicted IMs),  
 591 the experimental variogram estimated from the predicted maps is fitted with the best fit model (i.e., the exponential model):

592

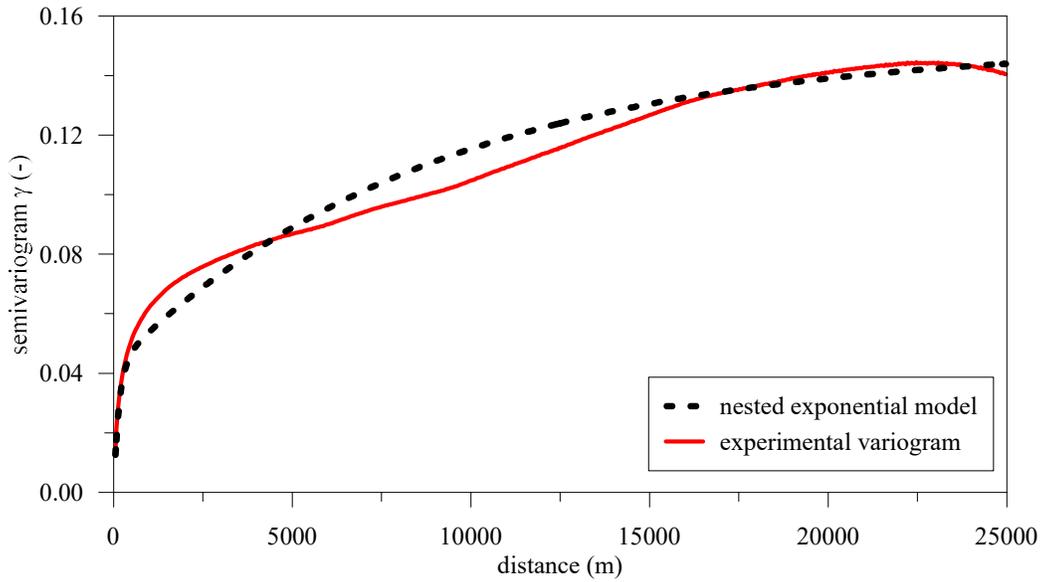
$$593 \quad \gamma(h) = C \left[ 1 - \exp\left(\frac{-3h}{a}\right) \right] \quad (\text{A2})$$

594

595 where the parameters  $a$  and  $C$  are called respectively range and sill. The range defines the correlation distance, namely, the  
 596 separation distance at which the data are spatially independent, and the sill represents the variance of the random process, limit  
 597 value of  $\gamma(\mathbf{h})$ .

598 For the Central Italy event occurred on October 30, 2016 and for all the predicted IMs maps (i.e., PGA, Sa<sub>0.3</sub>, Sa<sub>1</sub>; see Fig. 6  
 599 and supplementary materials), the spatial structure was performed with the GSTAT package (Pebesma, 2004) of the R software  
 600 (R Core Team, 2021). The IMs values were extracted from the predicted maps with a regular punctual grid of 50 m x 50 m.  
 601 The isotropic experimental semi-variograms were computed and fitted with the above-mentioned exponential model. As an  
 602 example, Fig. A4 shows the semi-variogram of the predicted Sa<sub>0.3</sub> map. The spatial structure of all predicted IMs maps was  
 603 characterized by the nested exponential model. The nested variograms highlight the presence of a double structure at different  
 604 scales, i.e., a short-scale and a long-scale variability.

605



606  
607 **Figure A4. Semi-variogram of the predicted  $Sa_{0.3}$  map (Central Italy event occurred on October 30, 2016): experimental**  
608 **variogram based on the adopted ML approach and best-fitting model (nested exponential).**

609 In this case, two ranges and two sills are obtained for two levels of variability. Table A1 shows the sill and range values for  
610 the nested exponential models of all predicted IM maps. The first range, or short-scale structure, captures the first source of  
611 variability (first sill) over hundreds of meters induced by lithostratigraphic site conditions and morphological variability. The  
612 long-scale structure captures the variability over thousands of meters and could be referred to regional geological units and  
613 large-scale morphological features. Furthermore, a significant part of the variance, around 30-40% of the total, are captured at  
614 short-scale.

615 An exhaustive treatment of this topic is beyond the scope of this work. We are now studying the spatial variability of input  
616 parameters that contribute to generate the target IM maps, and this will be the subject of a future paper. By the way, the  
617 preliminary results enlighten the importance to generate ground motion prediction maps with a spatial resolution in the order  
618 of hundreds of meters, to improve their quality in terms of predictivity. Seismic hazard maps should also include these  
619 specifications to consider the short-scale effects, even if starting from basic hazard maps with a resolution in the order of 2-5  
620 km.

621 **Table A1. Sill and range values of the nested exponential model for all the predicted IM maps.**

IM	Short-scale structure		Large-scale structure	
	sill	range [m]	sill	range [m]
PGA	0.01080	600	0.022550	28500
$Sa_{0.3}$	0.04250	450	0.108000	26700
$Sa_1$	0.00530	450	0.010500	21600
$Sa_3$	0.00022	750	0.000265	20400

622