

1 **Evaluating landslide response in seismic and rainfall regime:**

2 **A case study from the SE Carpathians, Romania**

3 ¹Vipin Kumar, ¹Léna Cauchie, ¹Anne-Sophie Mreyen, ²Mihai Micu, ¹Hans-Balder Havenith

4 ¹Georisk and Environment, Department of Geology, University of Liege, Belgium

5 ²Institute of Geography, Romanian Academy, Bucharest, Romania

6 Correspondence: Vipin Kumar (v.chauhan777@gmail.com)

7 **Abstract**

8 There have been many studies exploring the rainfall induced slope failures in the earthquake
9 affected terrain. However, studies evaluating the potential effects of both landslide triggering
10 factors; rainfall and earthquake have been infrequent despite the rising global landslide
11 mortality risk. The SE Carpathians, which have been subjected to many large historical
12 earthquakes and changing climate and thus resulting in frequent landslides, is one such region
13 that is least explored in this context. Therefore, a massive (~9.1 Mm²) landslide, situated
14 along the Basca Rozilei River, in the Vrancea Seismic Zone, SE Carpathians is chosen as a
15 case study area to achieve the aforesaid objective using slope stability evaluation and runout
16 simulation. The present state of slope reveals the Factor of Safety in a range of 1.17-1.32 with
17 a static condition displacement of 0.4-4 m that reaches up to 8-60 m under dynamic
18 (earthquake) condition. The Groundwater (GW) effect further decreases the Factor of Safety
19 and increases the displacement. Ground motion amplification enhances the possibility of
20 slope surface deformation and displacements. The debris flow prediction, implying the
21 excessive rainfall effect, reveals a flow having 9.0-26.0 m height and 2.1-3.0 m/sec velocity
22 along the river channel. The predicted extent of potential debris flow is found to follow the
23 trails possibly created by previous debris flow and/or slide events.

24 **Key words:** Landslide; Earthquake; Rainfall; Slope Stability; Runout; SE Carpathians.

25 **1 Introduction**

26 Landslides, though a normal process of hillslope erosion, pose socio-economic risk to human
27 life and infrastructure (Gupta et al. 2017; Froude and Petley 2018; Pollock and Wartman
28 2020; Kumar et al. 2021). Despite the rising global landslide mortality risk, effective
29 evaluation of disastrous influences of landslides has been infrequent (Sassa 2015; Haque et al.
30 2019; Klimes et al. 2019). Such evaluation approaches could be regional
31 (susceptibility/hazard/risk/vulnerability) or local (slope stability, runout prediction,
32 monitoring/change-detection mapping) (Fell and Hartford 1997; Westen et al. 2006;
33 Margottini et al. 2013; Hungr 2018). However, effectiveness in such approaches cannot be
34 justified until the main landslide triggering factors; rainfall and earthquake are evaluated
35 together. Despite the numerous case studies of rainfall induced slope failures in the
36 earthquake affected terrain (Lin et al. 2006; Helmstetter et al. 2010; Tang et al. 2011; Durand
37 et al. 2018; Bontemps et al. 2020), studies predicting the potential effects of both factors have
38 been relatively rare. Necessity of such studies becomes more critical in view of an annual
39 average of >4000 landslide related deaths worldwide in the last decade (Pollock and Wartman
40 2020).

41 Owing to the capability to represent the progressive deformation in the slope under various
42 loading conditions, numerical modeling based analysis can be considered as one of the few
43 approaches for effective evaluation of slope instability and associated risk (Jing 2003; Fenton
44 and Griffiths 2008). Though the continuum modelling based approaches have been common
45 for local scale evaluation of hillslope response (Griffiths and Lane 1999; Jamir et al. 2017;
46 Kumar et al. 2018; 2021), their limitations in estimating large strain, particularly during the
47 dynamic analysis makes the discontinuum modeling better option (Havenith et al. 2003;
48 Bhasin and Kaynia 2004). Apart from the stability evaluation, prediction of potential run-out
49 during the slope failure constitutes a principal risk evaluation approach (Hungr et al. 1984;
50 Hutter et al. 1994; Rickenmann and Scheidl 2013). Among different types of landslides,
51 debris flows have shown the maximum outreach, relatively more fatality, and secondary
52 effects like river damming and subsequent outburst flood (Jakob et al. 2005; Ding et al. 2020;
53 Kumar et al. 2021). Among different run-out prediction approaches, dynamic model based
54 Rapid Mass Movement Simulation (RAMMS) (Christen et al. 2010), Flo-2D (O'Brien et al.
55 1993), and MassMov2D (Beguería et al. 2009) have been relatively more useful
56 (Rickenmann and Scheidl, 2013; Kumar et al. 2021).

57 In view of these understandings, the present study aimed to infer the potential response of a
58 landslide slope under the seismic and extreme rainfall conditions using stability evaluation
59 and runout simulation. Such simulations/modeling outputs depend upon certain input
60 parameters and criteria, the values of which might be affected by uncertainties due to
61 nonlinear behavior of material. Therefore, a parametric analysis is also performed to evaluate
62 the uncertainty. In order to achieve the aforementioned objectives, a massive (~9.1 Mm²)
63 landslide in the Vrancea Seismic Zone, SE Carpathians is chosen as a case study area. The
64 region has been subjected to frequent earthquakes and relatively wet climatic conditions that
65 induce frequent landslides and related socio-economic losses (Micu et al. 2013; 2016; Micu,
66 2019; Mreyen et al. 2021).

67 **2 Study area**

68 2.1 Geological setting & geomorphology

69 The landslide is situated at latitude 45° 30' 23" N, longitude 26° 25' 05" E along the Basca
70 Rozilei River in the SE Carpathians, Romania (Fig. 1). The earliest record of this landslide is
71 mentioned in the geological map by Murgeanu et al. (1965). Unfortunately, no previous
72 record and/or dating are available at present. The slope is composed of shale belonging to the
73 Miocene thrust belt that separates the external foredeep in the north, east, and south-east from
74 the inner Carpathians mountain ranges. Thrust faults, strike-slip faults, and folds traverse the
75 region in and around the vicinity of landslide slope. The origin of these structural features has
76 been related to the Eocene-Miocene collision of Alcapa and Tisza-Dacia plates against the
77 Bohemian and Moesian promontories that gave rise to the Carpathians Mountain (Tischler et
78 al. 2008). The SE part of the Carpathians, however, is still uplifting at a rate of 3-8 mm/yr.
79 due to the foreland coupling of the converging plates (Pospisil and Hipmanova 2012; Mațenco
80 2017).

81 The landslide toe along the river hosts the 'Varlaam' village (Fig. 1, 2a). The landslide has a
82 slope gradient ranging between 15°-20° and encompasses an area of ~9.1 Mm². The landslide-
83 affected area is covered by shrubs and scattered trees towards its flanks and with grasslands in
84 the inner parts, mainly used as pastures and hayfields. The landslide crown region has a
85 depression that might be a surficial imprint of the paleo-detachment (or depletion zone) (Fig.
86 2b). Near the right (or southern) flank, a seasonal flow channel (or gully) emerges near the
87 paleo-detachment depression and finally merges at the river channel (Fig. 2c). Near the left

88 (or northern) flank, slope surface comprises flow relics, possibly of paleo-debris flow and/or
89 slide events (Fig. 2d), as also inferred from loose/unconsolidated deposit at the slope toe (Fig.
90 2e). This flow deposit is noted to develop 100-150 m wide minor scarps (Fig. 2e). Such scarps
91 may further grow and result in the debris flows during extreme rainfall and/or earthquake
92 events and hence pose a risk to the nearby human settlement.

93 2.2 Rainfall and earthquake regime

94 The study area is subjected to an increasing rainfall trend (Fig. 3a). The average monthly
95 rainfall has been 50 ± 1.6 (SE) mm during the years 1982-2019 that has increased in recent
96 decades (2000-2019) to 53 ± 2.3 (SE) mm (Fig. 3b). Monthly rainfall patterns further reveal
97 relatively higher rainfall in the months of May, June, and July (Fig. 3c). Such enhanced
98 summer (June-July) rainfall has been related to the existing positive phase of the North
99 Atlantic Oscillation (NAO) index that allows the strengthening of continental climate,
100 Mediterranean retrogressive cyclones, and Siberian High in central and southern Europe
101 (Constantin et al. 2007; Magyari et al. 2013; Obrecht et al. 2016).

102 Apart from the rainfall, soil moisture and surface runoff also show increasing trend during the
103 years 1982-2019 (Fig. 3d, g). Though the average monthly soil moisture and surface runoff
104 also increased in recent decades, their trends do not follow rainfall entirely (Fig.3e, h). It
105 occurs due to the fact that the temporal coexistence of rainfall, surface runoff, and soil
106 moisture depend upon rainfall threshold and soil conditions (antecedent soil moisture).
107 Further, the surface runoff (water, from precipitation that flows over the land surface)
108 correlates relatively well with the rainfall unlike the soil moisture that retains part of the
109 rainfall before achieving saturation and hence do not correlate well (Supplementary Fig. 1).
110 This difference of correlation is further visible in the monthly pattern (Fig. 3f, i). It is to note
111 that the surface runoff and soil moisture data are based on the FLDAS (Famine Early Warning
112 Systems Network Land Data Assimilation System) model (McNally et al. 2018). It utilizes
113 precipitation datasets & analyses like CHIRPS (Climate Hazards Group InfraRed
114 Precipitation with Station data) & MERRA2 (Modern-Era Retrospective analysis for
115 Research and Applications, Version 2) along with land cover data to derive variables like soil
116 moisture and surface runoff.

117 Further, the daily rainfall data of the years 2000-2019 revealed 48 extreme rainfall events
118 (Fig. 3j). 'Extreme' rainfall pertains to >30 mm/24h in the region on the basis of previous

119 studies exploring the rainfall variability (Apostol 2008; Croitoru et al. 2016). Out of these 48
120 events, 28 events occurred in the last decade, particularly in the years 2005, 2007, 2010, and
121 2016. The debris flows and flash floods in the region in the years 2005 and 2010 (Micu et al.
122 2013; Grecu et al. 2017) can be related to these extreme rainfall events in the region. The year
123 2005 and 2010 had relatively high precipitation due to synoptic conditions that involved
124 pressure lows and front systems moving along a SE–NW trajectory from the Mediterranean
125 Sea and Black Sea towards Central Europe and in west to east direction from the Atlantic
126 Ocean to Eastern Europe. These trajectories led to severe flood and slope failure events in
127 different parts of the Central and Eastern Europe (Mihailovici et al. 2006; Micu et al. 2013;
128 Grecu et al. 2017). The influence of these trajectories is also visible in the regional rainfall
129 pattern (Supplementary Fig. 2), where year 2005 and 2010 have relatively higher rainfalls.
130 Though the years 2007 and 2016 also had many extreme rainfall events, these years didn't
131 have as high surface runoff as year 2005 and 2010 (Fig. 3h). Notably, many conceptual and
132 physically based models have been proposed relating the initiation of debris flow to surface
133 runoff conditions (Simoni et al. 2020).

134 Further, the temporal pattern of relatively higher values (above-average) of rainfall, surface
135 runoff, and soil moisture revealed that May–September months dominate the trend having
136 majority of the events when all three variables had extremes (i.e., above-average) (Fig. 3k).
137 These 'above-average' values refer to the monthly scale. The temporal overlapping of these
138 variables further justifies the occurrence of debris flows and flash floods in this region in the
139 last decade and possibility of more such events in the near future (Micu et al. 2013; Ilinca
140 2014; Grecu et al. 2017; Micu et al. 2019).

141 Apart from the temporally enhanced rainfall, surface runoff, soil moisture, the study area is
142 also subjected to frequent earthquakes owing to its position in the Vrancea Seismic Zone that
143 is one of the most active seismic zones in Europe (Fig. 4a, b). This region has received ~469
144 earthquakes ($M_s \geq 4$) during the years 1960–2019. The earthquake event cluster represents a
145 NE–SW trend (Fig. 4b). About 75 % of the total earthquake events occurred in a depth range
146 of 60–180 km (sub-crustal depth) and 4 out of 5 events having a magnitude ≥ 6 occurred
147 within 60–100 km depth (Fig. 4c). The relative dominance of $M \geq 6$ earthquakes in this depth
148 range has been related to the reverse faulting mechanism in this depth range (Radulian et al.
149 2007; Petrescu et al. 2019). The possible explanation of the pattern of earthquakes has been
150 divided in the following two categories; (1) it might be associated with descending relic
151 ocean lithospheric beneath the bending zone of the SE Carpathians, or (2) it might be

152 associated to continental lithosphere that has been delaminated, after the collision
153 (Bokelmann and Rodler, 2014; Petrescu et al. 2019).

154 Though the majority of earthquakes have their magnitude smaller than 5 and quite deep
155 (mostly between 60 and 180 km), their epicentres are situated within 50 km from the study
156 area (Fig. 4d). Such intermediate to deep earthquakes in Vrancea region (study area) have
157 triggered landslides as far as 250–300 km from their epicentres (Havenith et al. 2016).
158 Further, any major future earthquake might have ground effects in a much larger area (150000
159 km²), possibly causing more landslides (Havenith et al. 2016). Regional distribution of the
160 annual rainfall and earthquakes around the study area is also shown in Supplementary Fig. 3.

161 **3 Methodology**

162 In order to evaluate the landslide response under seismic and extreme rainfall conditions, our
163 approach involved dynamic slope stability analysis and runout simulation, respectively. Both
164 the techniques required a landslide model that was constructed using field based ambient
165 noise analysis, empirical equations/values, digital elevation model, and geological modelling
166 software. Details are as follows;

167 3.1 Debris (or loose material) depth estimation

168 We analysed seismic ambient noise at 56 measure points to estimate the depth of impedance
169 contrasts. The equipment was composed of 7 velocimeters Güralp CMG-6TD 30s and 1
170 velocimeter Lennartz 5s and Cityshark II. The technique aims at estimating the site resonance
171 frequency by computing the spectral ratio between horizontal (NS, EW) and vertical
172 components (Nakamura, 1989). Under particular geological conditions where impedance
173 contrast exists at depth, as representative of a loose/soft material overlying bedrock, the
174 resulting Horizontal to vertical spectral ratio (HVSr) curve presents a peak in correspondence
175 of the site resonance frequency (f_0). Fig. 5a represents the location of the inferred f_0 in a range
176 of <1.5-4.5 Hz. Lower frequencies, generally implying relatively higher thickness of loose
177 material, are noted in the central part and near the right flank.

178 The thickness (h) of the loose/soft material is consecutively estimated using the shear-wave
179 velocity (V_s) and resonance frequency (f_0) using the following equation (Murphy et al. 1971;
180 Ibs-von Seht & Wohlenberg 1999);

$$181 \quad \mathbf{h=V_s / (4*f_0)} \quad \mathbf{Eq. 1}$$

182 In view of the similar litho-tectonic conditions and spatial proximity, the shear-wave velocity
183 (V_s) values in the present study are based on Mreyen et al. (2021). For the loose overburden
184 (soil) and rockmass, the V_s are taken as ~400 m/sec and ~900 m/sec, respectively.

185 The thickness of the loose material (inferred from the HVSR and V_s) at different measurement
186 locations was later imported in the LeapfrogGeo software (v. 5.1) along with the surface
187 morphology (Fig. 5b). The surface morphology with a spatial resolution of ~12 m is based on
188 the TanDEM-X (TerraSAR-X add-on for Digital Elevation Measurement) digital elevation
189 model. The surface morphology and depth information of loose material were integrated using
190 the LeapfrogGeo (v.5) to construct a continuous soil thickness layer and hence a 3D model of
191 the landslide (Fig. 5c, d). This model was later used to extract the 2D slope sections (CS-1,
192 CS-2, CS-3, and CS-4) for the slope stability evaluation (sec. 3.2) and runout simulation (sec.
193 3.3).

194 3.2 Slope Stability evaluation

195 The 2D slope sections (CS-1, CS-2, CS-3, and CS-4), shown in Fig. 6a, were used to
196 determine the hillslope response under static (gravity) and dynamic (seismic) conditions by
197 performing the slope stability analysis in the UDEC v.6 (2014) software. Each slope section
198 comprises loose overburden (soil) over rockmass and an interface joint separating these
199 blocks (Fig. 6b-e).

200 Under static condition, factor of safety of slope and potential material displacement are
201 determined, whereas under dynamic condition, potential material displacement, Peak Ground
202 Acceleration (PGA), and spectral ratio are evaluated. The factor of safety is determined using
203 Shear Strength Reduction approach (Matsui and San 1992; Griffiths and Lane 1999). The
204 potential material displacement under static condition refers to displacements after the model
205 has reached static equilibrium under gravity load. The spectral ratios are used to understand
206 the response of the medium to the input signal by comparing the signals obtained in the
207 monitoring points at the surface with the signal at the monitoring point at depth (base)
208 (McCowan and Lacoss 1978).

209 For the PGA and spectral ratio, material models are considered as elastic, whereas for the
210 factor of safety and material displacement (static/dynamic) calculations, elasto-plastic models
211 are considered. Elastic material model involved modulus (elastic/shear/bulk) values of the
212 rock mass and soil. In elasto-plastic conditions, Modified Hoek-Brown (MHB) plasticity

213 criteria (Hoek et al. 2002) and Mohr-Coulomb (M-C) plasticity criteria (Coulomb 1776; Mohr
214 1914) are used for the rock mass and soil, respectively. The joint plane is assigned Coulomb-
215 Slip criteria (Coulomb 1776) in both elastic and plastic conditions. For dynamic analysis, two
216 different signals, i.e. Ricker wavelet (Ricker 1943) and a signal record of the 1976 Friuli
217 Earthquake, are used (Fig. 8).

218 The Ricker wavelet, a theoretical waveform, provides an advantage to be a relatively short
219 signal marked by an energy distributed over a range of frequencies. Therefore, the PGA and
220 spectral ratios are evaluated using the Ricker wavelet to understand the ground motion
221 amplification on the landslide surface. Notably, in many studies such ground motion
222 amplification is found to enhance the slope instability (Lenti and Martino 2012; Gaudio et al.
223 2014). The Ricker wavelet has been used in several studies owing to its reliable representation
224 of seismic waves propagating through the viscoelastic homogeneous media (Bourdeau et al.
225 2004; Gholamy and Kreinovich 2014). Further, the displacement is determined using both
226 dynamic signals (Ricker wavelet and Friuli earthquake, 1976) to evaluate the difference.

227 Soil and rock mass blocks in the cross sections (CS-1 to CS-4) were discretized into finite
228 difference zones of 6m and 20m size, respectively according to the following relation
229 (Kuhlemeyer and Lysmer, 1973);

$$230 \quad \Delta l \leq \lambda/10 \text{ or } \leq \lambda/8 \quad \text{Eq. 2}$$

231 Here, Δl = zone size, λ = wavelength associated with the dominant frequency. ' λ ' can be
232 determined using $\lambda = C/f$, where C is the speed of wave propagation associated with the
233 fundamental frequency (f). For the ' C ' (or shear wave velocity) of soil and rock mass, we
234 used 400 m/sec and 900 m/sec, respectively (sec. 3.1). The ' f '=2.0-4.5 Hz was considered as a
235 central frequency range. The lateral boundaries in all four slope sections (or models) were
236 considered as free-field owing to near surface position of hillslope (Fig. 6). A stress-boundary
237 condition (Joyner and Chen 1975; UDEC v.6, 2014) was applied at the base in which
238 horizontal direction is considered as viscous, whereas vertical direction is kept free. This
239 stress-boundary condition converts seismic input from velocity wave to stress wave. To
240 approximate the natural attenuation in the models during the seismic loading, Rayleigh
241 damping with a 0.02 damping ratio (i.e., 2% fraction of critical damping and 2.5 Hz central
242 frequency was used with the both mass and stiffness damping. Though most of the soil types
243 and rock mass possess the damping in the 2%-5% fraction of the critical damping (Biggs

244 1964), plasticity models (M-C criteria) and presence of joints result in further energy loss
245 (UDEC v.6 2014). Therefore, the damping ratio was kept at the lower level of the suggested
246 range.

247 Since, the area is subjected to temporally enhanced rainfall (sec. 2.2) and some studies have
248 noted the percolation of rainfall water in the loose material resulting in the Groundwater
249 (GW) level increase and subsequent slope instability (Van Asch et al. 1999; Liang 2020),
250 effect of the GW is also explored. To simulate the GW effect, coupled hydraulic (fluid flow)-
251 mechanical analysis was used in which mechanical deformation and joint fluid pressure affect
252 each other as analysis progresses. Further, model was brought to static equilibrium before
253 performing Factor of Safety (FS) calculations. Notably, FS calculations were also performed
254 under mechanical stress only (without GW). Steady-state (water table) fluid flow analysis was
255 used to simulate fluid flow. The GW is included in static as well as in dynamic analysis in
256 plasticity conditions. The UDEC allows the GW simulation through the joints as per the
257 parallel plate model (Witherspoon et al. 1980). The parameters and their values used in the
258 static and dynamic analysis are mentioned in Table 1.

259 A parametric analysis was also performed to justify the selection of values of different input
260 parameters by evaluating the change in the output parameters in response to the change in
261 different input parameters. Out of four slope sections, the CS-2 and CS-3 were chosen to
262 perform the parametric analysis in view of their central position in the landslide and the
263 heterogeneity in soil thickness and topography (Fig. 6c, d). In order to understand the effect of
264 the GW level change, two GW levels were considered in the CS-2 and CS-3 sections. Since
265 the UDEC simulates the fluid flow through joint aperture, the GW level change is manifested
266 by different heights (h_1 , h_2) of the GW at the joint. Here, the difference of h_1 and h_2 i.e., Δh
267 is 10m (Fig. 6d). Among the different input parameters listed in Table 1, angle of internal
268 friction of soil, joint friction angle, groundwater head, and elastic modulus were used for the
269 parametric analysis. It is to note that the bulk and shear modulus were also changed along
270 with elastic modulus because all three modulus parameters are interrelated (Mc Dowell 1990).
271 Though each parameter might have a certain effect on the output, these four have been noted
272 to affect the Factor of Safety and displacement relatively more (Kumar et al. 2021).

273 3.3 Run-out simulation

274 The hillslopes affected by the seismic shaking have also been noted to be more prone to
275 rainfall induced slope failures, particularly in the form of debris flows (Shieh et al. 2009;
276 Tang et al. 2011). Such debris flows can initiate either by increased pore pressure or runoff
277 involving entrainment (Godt and Coe 2007). Thus, the increased frequencies of the extreme
278 rainfall, soil moisture, surface runoff, and recent debris flows events in the region (sec. 2.2),
279 escalate the possibility of debris flow in the Varlaam landslide.

280 To ascertain the outreach of such potential debris flow during an extreme rainfall event,
281 Voellmy-Salm (Voellmy 1955; Salm 1993) fluid-flow continuum model based Rapid Mass
282 Movement Simulation (RAMMS) software was used. The RAMMS divides the frictional
283 resistance into a dry-Coulomb type friction (μ) and viscous-turbulent friction (ξ) (Christen et
284 al. 2010). The frictional resistance S (Pa) is thus;

285
$$S = \mu N + (\rho g u^2) / \xi \quad \text{Eq. 3}$$

286 Where $N = \rho h g \cos(\psi)$ is the normal stress on the running surface, $\rho =$ density, $g =$ gravitational
287 acceleration, $\psi =$ slope angle, $h =$ flow height and $u = (u_x, u_y)$, consisting of the flow velocity
288 in the x- and y-directions. A detailed description of the governing equations is presented in
289 [Supplementary data](#).

290 Generally, the values for μ and ξ parameters are achieved using the reconstruction of real
291 events through simulation and subsequent comparison between dimensional characteristics of
292 real and simulated event. However, the toe of Varlaam landslide merges with the river floor
293 and hence there is an uncertainty in reconstruction of the volume of previous flow events that
294 has been washed away by the river. Therefore, μ and ξ are taken in view of topography of
295 landslide slope and run-out path, landslide material, and based on previous studies/models
296 (Hurlimann et al. 2008; Rickenmann and Scheidl 2013; RAMMS v.1.7.0). In this study,
297 maximum allowable friction (μ) i.e., $\mu = 0.4$ (or $\phi = 21.8^\circ$) was used with the turbulence (ξ) of
298 250 m/sec^2 (Table 2). Different depths were considered as block release in view of
299 uncertainties to ascertain the exact depth of loose material that will be eroded/entrained during
300 the debris flow. Though the landslide surface has some relics of flow channels near left flank
301 (Fig. 2d, e), the data pertaining to the spatial-temporal pattern of discharge at these flow
302 channel/gullies was not available. Therefore, the release area is chosen as block release
303 because it has been more appropriate when the flow path (e.g. gully) and its possible

304 discharge on the slope is uncertain (RAMMS v.1.7.0). The runout stopping criterion is based
305 on momentum threshold, which was considered as 5 % of moving mass. A sensitivity analysis
306 is also performed to evaluate the possible influence of frictional parameters on the debris flow
307 characteristics. Further, in order to understand the influence of river channel morphology on
308 the debris flow characteristics, their inter-relationship is also sought.

309 **4 RESULTS & DISCUSSION**

310 4.1 Slope stability evaluation

311 4.1.1 Factor of Safety (FS) & displacement

312 The FS of slope varies in a range of 1.17-1.32 that decreases further to 1.09-1.29 under
313 Groundwater (GW) condition (Fig. 8). In both cases, the CS-2 model attains lowest FS
314 implying relatively more instability. The displacement in loose material was obtained in
315 static, static with fluid (GW), dynamic, and dynamic with fluid (GW) conditions. Under the
316 static condition, displacement ranges between 0.4-4.0 m that increases to 0.68 m-18 m under
317 the GW condition with minimum at CS-1 and maximum at CS-2 (Fig. 8). Under dynamic
318 condition, displacement ranges from 8-60 m, and further increases to 7.5-62 m by combining
319 dynamic with GW conditions. Similar to the static condition, minimum displacement is noted
320 at CS-1, whereas maximum at CS-2. Further, in all sections (CS-1 to CS-4), displacement
321 accumulated mostly at the upper part of the debris layer (i.e., landslide crown) or at the
322 steepest portion of slope surface. This spatial affinity of displacement and steep gradient is
323 caused by the influence of topography on the material displacement (Kumar et al. 2021).
324 Notably, this dynamic displacement pattern pertains to the Friuli earthquake signal (Fig. 7c,d).
325 A comparison of the static and dynamic displacement (caused by the Friuli earthquake signal
326 and Ricker wavelet) is presented in Fig. 9.

327 As also shown in Fig. 9, the GW condition enhanced the displacement in static as well as in
328 dynamic conditions (Fig. 9). Static displacement showed least scattering as evident from the
329 median level and least difference of Max. and Min. values. Further, except for the CS-2
330 section, all three sections (CS-1, 3, 4) have relatively low dynamic displacement in dry and
331 wet (GW) conditions due to the Ricker wavelet than compared to the displacement caused by
332 the Friuli signal (Fig. 9a-d). This difference may be attributed to the response of steep
333 topography (of CS2 model) to the high energy Ricker wavelet signal (Fig. 7b).

334 Thus, it can be understood that ‘Varlaam’ hillslope, situated in the region having frequent
335 extreme rainfalls and earthquakes, attains more instability under saturated-dynamic
336 conditions.

337 4.1.2 Parametric analysis

338 The Factor of Safety (FS) of slope increased in response to increase in angle of internal
339 friction of soil, joint friction, and elastic modulus (Fig. 10). Such increase in the FS (~7% in
340 the CS 2) is attained by increasing the angle of internal friction of soil. This effect is attributed
341 to the ‘Shear Strength Reduction (SSR)’ approach. The GW level increase resulted in a
342 decreasing FS because the increased GW level increased the joint flow rate and thus enhanced
343 the fluid pressure on the overlying medium i.e., soil. This increased fluid pressure further
344 decreased the normal stress and hence the shear stress of the overlying soil, as per Mohr's
345 Criteria (Mohr 1914). Such decrease in the shear stress of soil resulted in the decreased FS.

346 Owing to their spatially variable nature, static and dynamic displacements are represented in a
347 range of maximum (max.) and minimum (min.) in Fig. 10. Static displacement decreased on
348 increasing the angle of internal friction of soil, joint friction, and elastic modulus. Such
349 decrease (~40% in CS 2 and ~38 % in CS3) occurred in response to the modulus increase.
350 This decrease in the displacement refers to fact that increased modulus increases the normal
351 and shear strength of the soil and hence displacement will decrease on increasing the modulus
352 (Hara et al. 1974). The GW level increase resulted in the increased static displacement (~16%
353 in CS2, ~36% in CS3). Such increase in the static displacement is attributed to the decreased
354 shear strength of soil due to the increased joint fluid pressure (Witherspoon et al. 1980).
355 Similar to the static displacement, dynamic displacement decreased on increasing the angle of
356 internal friction of soil, joint friction, and elastic modulus and increased on increasing the GW
357 level. Along with the modulus, angle of internal friction of soil is also noted to decrease
358 (~16% in the CS2, ~21% in the CS 3) the dynamic displacement relatively more. The increase
359 in the GW level resulted in 8% and 33% increase in the CS2 and CS3 models in dynamic
360 displacement.

361 Notably, present study utilized approximated values of the input parameters for the slope
362 stability analysis (Table 1). Though the approximated values cannot replace the values
363 measured in the geotechnical analysis, parametric analysis minimizes the uncertainty caused
364 by selection of specific values by exploring the possible output pattern.

365 Thus, by utilizing the central values (highlighted as grey in Fig. 10) in the slope stability
366 analysis (sec. 4.1.1), present study attempted to minimize such uncertainty in the findings.
367 Further, though the GW was also used in the UDEC models to infer the influence of
368 saturation on slope stability, potential response of the slope under excessive saturation
369 (extreme rainfall) is further explored using the debris flow runout simulation (sec. 4.2).

370 4.1.3 Peak Ground Acceleration (PGA)

371 Apart from the FS and displacement, ground motion (acceleration) amplification was also
372 evaluated to understand the potential seismic deformation at the slope surface (Fig. 11). The
373 input seismic signal for the following acceleration pattern is presented in Fig. 7a. For all four
374 models (CS1 to CS4), the PGA values at the river floor (RF) ranges between 5.78-7.47 m/sec²
375 (0.58g – 0.74g), whereas at the rock mass surface above the landslide crown (CR) it varies
376 from 6.37 to 10.19 m/sec² (0.65g -1.03g) (Fig. 11). At the model base (MB), maximum
377 acceleration remains between 3.79-3.90 m/sec² (0.38g -0.39g).

378 Thus, the PGA at the river floor (RF) amplifies~1.5-2.0 times from the maximum acceleration
379 at the model base, whereas at the rock mass surface above the landslide crown, it amplifies
380 ~1.7-2.7 times from the maximum acceleration at the model base. Such amplification of the
381 PGA at the rock mass surface above the landslide crown can be attributed to the topographic
382 irregularity and upward propagation of seismic waves where they meet preceded waves
383 produced on the relatively horizontal surface of the slope (Jibson 1987; Havenith et al. 2003;
384 Bourdeau and Havenith 2008; Luo et al. 2020).

385 The debris surface, however, attains relatively higher PGA in all four models than the rock
386 mass surface as noted at the following three monitoring stations; DB_Lw, DB_Md, and
387 DB_Up (Fig. 11). At the lower part of the debris (DB_Lw), the PGA ranges from 8.3 to
388 12.13 m/sec² (0.84g-1.23g) that further grew at the middle part of the debris (DB_Md) and
389 attains 10.17-14.40 m/sec² (1.03g-1.46g). The maximum PGA is attained by the upper part of
390 the debris (DB_Up) with a range of 7.26-18.50 m/sec² (0.74g - 1.88g). Such relatively high
391 PGA at the debris surface can be referred to the impedance contrast between underlying rock
392 mass and overlying soil and/or partial loss of the shear strength during seismicity (Novak and
393 Yan, 1990; Safak, 2001).

394 Detailed evaluation at different monitoring points in each model are as follows; Model Base
395 (MB) and River floor (RF) monitoring points have almost similar maximum acceleration

396 values in all four models. At the lower part of the debris i.e., DB_Lw, relatively higher PGA
397 is attained by the CS3 model (~12.1 m/sec²) followed by the CS2 model (~10.8 m/sec²) in
398 comparison to DB_Low points of CS1 and CS4. Relatively higher PGA is attributed to lower
399 soil thickness below this monitoring point in the CS3 and CS2 models that could be the main
400 reason for acceleration amplification as also stated by Murphy et al. (1971); Beresnev and
401 Wen (1996).

402 At the middle part of the debris i.e., DB_Md, relatively higher PGA is attained by the CS2
403 model (~14.4 m/sec²). Notably, despite the relatively higher soil thickness, this monitoring
404 point obtained a relatively higher PGA. It possibly occurred due to irregular topography of the
405 CS2 model that generally results in interference of direct and scattered waves and hence
406 amplification of ground motions (Asimaki and Mohammadi 2018).

407 At the upper part of the debris i.e., DB_Up, relatively higher PGA is attained by the CS1
408 model (18.5 m/sec²) followed by the CS4 model (15.8 m/sec²). The effect of soil thickness
409 below this monitoring point, as explained for the lower part of debris, could be the main
410 reason for such amplification at this monitoring point in these models. Monitoring point at
411 rock mass surface above the landslide crown (CR) too has almost similar PGAs in all the
412 models except the CS3 model. Relatively higher PGA (10.19 m/sec²) at the CR monitoring
413 point of CS3 model might be due to its position on steeper surface, whereas CR points at
414 other models are at relatively flat surface.

415 4.1.4 Spectral Ratio

416 The ground motion amplifications were also explored using the spectral ratios at two central
417 slope sections; CS-2 and CS-3 (Fig. 12). In both models, the (River Floor) RF point showed
418 no significant amplification at any particular frequency, possibly due to the flat surface
419 positioning. In CS2 model, Debris Lower part (DB_Lw) point shows notable amplification at
420 2.0-2.5 Hz with minor amplification at 4.5-5.0 Hz, whereas in the CS 3 model, DB_Lw point
421 shows attenuation (or de-amplification) near ~2 Hz and slight amplification at 4.5-6.0 Hz. The
422 contrast of amplification and de-amplification at ~2 Hz is attributed to the geometrical
423 variation in topography because the DB_Lw point in the CS2 is situated at a relatively
424 elevated surface, whereas in the CS3, at a relatively shallow surface. Minor geometrical
425 variations at the slope toe have been observed to result in de-amplification at low frequencies
426 in other studies also (Bouckovalas and Papadimitriou, 2005).

427 Notably, along with the DB_Lw point, Debris Middle part (DB_Md) and Debris Upper part
428 (DB_Up) points in both the models also have minor/major amplification at 4.5-6.0 Hz. This
429 coexistence of amplification at a certain frequency range by different monitoring points at
430 debris surface may be attributed to impedance contrast between debris and underlying rock
431 mass. Further, the DB_Md point in both the models showed amplification at ~1.0 Hz and 2.0-
432 2.5 Hz. The amplification at lower frequency i.e., ~1.0 Hz may be attributed to the thick (40-
433 60m) layer of debris that possibly decreases the resonance frequency and results in
434 amplification of ground motion as also reported by Beresnev and Wen (1996). The
435 amplification at 2.0-2.5 Hz may be referred to the elevated topography at these points in both
436 the models.

437 The DB_Up point in both the models has different responses. In the CS2 model, it showed
438 amplification at 1.0-1.5 Hz, whereas in the CS3 model, spectral ratio is relatively stagnant
439 except minor amplification at 4.0 & 6.0 Hz. This contrast may be understood by the fact that
440 in the CS2, this monitoring point is situated at a thicker and elevated surface, whereas in the
441 CS3, it is situated at relatively shallow topography and on top of relatively thin landslide
442 thickness. Finally, the Crown (CR) point also has a different spectral ratio in both the models.
443 It shows higher amplification in the CS3 model than the CS2 model that may be referred to
444 the positioning of these points. The CR in the CS2 is situated at a relatively flat surface unlike
445 in the CS3 model where it is situated at a steep surface. Thus, the monitoring points showed
446 amplification at multiple frequency range that is attributed to complex topography of
447 landslide, soil thickness variation, and impedance contrast.

448 4.2 Landslide runout pattern

449 In view of uncertainties to ascertain the exact depth of loose material that will be
450 eroded/entrained during the debris flow, runout pattern was evaluated at four different release
451 area depths; 5m, 10m, 15m, and 20m of the loose overburden (Fig. 13a, b). The identification
452 of release area was based field and satellite imagery observations. Following four factors;
453 gullies (Fig. 2c), flow relics (Fig. 2d), signs of failure (Fig. 2e), and overburden thickness
454 pattern (Fig. 5c) were considered while selecting the release area. The thickness region of 60-
455 80 m having flow relics and signs of failure were therefore selected as the potential release
456 area (Fig. 13b). Debris flow characteristics (flow height/flow velocity) of the debris flow that
457 will strike the river floor during such an event are also inferred along the river channel (Fig.

458 13c). Debris flow height and velocity at hillslope and along the river channel are summarized
459 in Table 3.

460 As can be seen from Fig. 13, increasing depth of the release area increases the flow
461 characteristics at hillslope. However, the flow characteristics vary once strike the river floor.
462 Debris flow height increases towards downstream part of the river channel on increasing the
463 depth of material (Fig. 13f, i, l, o). This behaviour can be referred to the gully/channel on the
464 hillslope near the downstream part (Fig. 2c) that possibly accelerated the flow. Debris flow
465 velocity, however, decreases on increasing the depth of material. Relatively higher flow
466 velocity at lower material depth (Fig. 13f) can be understood using “turbulence (or Chezy
467 resistance): ξ ” factor used in the Eq. 3. The Chezy resistance is famous as "turbulent" friction
468 (Voellmy, 1955) since the mathematical formulations are similar to the well-known turbulent
469 Chezy equation (Chow 1959). According to ‘Chezy’ equation, lower material thickness
470 results in higher flow velocity. Further, apart from such turbulence effects, river channel
471 morphology also affects the flow characteristics. As can be seen in Fig. 14a-c, lower channel
472 width (narrow sections) generally accommodates higher flow velocity and height, of course
473 with some non-linearity as seen at 10 m depth (Fig. 14a). Further, increasing the material
474 depth increase the flow height relatively more than flow velocity (Fig. 14c).

475 By keeping the maximum friction ($\mu=0.4$) as constant, sensitivity analysis was also performed
476 by using different turbulence coefficients (Fig. 14d-f). It revealed that flow height and
477 velocity increase on increasing the turbulence coefficient (implying increasing liquid content).
478 It is to note that the central value i.e., 250 m/sec², showing the moderate response, is used in
479 the main findings, as also mentioned in Table 2. Further, flow velocity is found more
480 responsive at lower turbulence, whereas at higher turbulence, flow height dominates (Fig.
481 14f). Further, in order to understand the extent of runout along the river channel, runout
482 results at maximum release area depth were also laid over the Google Earth imagery (Fig 15a,
483 b). A top view of the landslide with the runout is shown in inset ‘c’. The predicted runout is
484 noted to extend across the river channel mainly at two locations, one near the left flank (Fig.
485 15d) and the other near the right flank (Fig. 15e). At both of these locations, the river channel
486 attains sinuosity in a range of ~1.30-1.32 (shown through channel length measurement). River
487 channel might owe this sinuosity to the paleo-landslide and/or fluvial deposit that is extending
488 the slope toe at these locations. Thus, the runout findings of present study are noted to follow
489 the same spatial extent as possibly followed by previous landslide events.

490 **5 SUMMARY**

491 The present state of slope reveals an instability condition through the Factor of Safety in a
492 range of 1.09-1.32 and potential displacement near the landslide crown (Fig. 8, 9). Such a
493 displacement near the landslide crown may be related to the development of shear failure in
494 slopes (Matsui and San, 1992; Kumar et al. 2018; 2021). The possibility of shear failure
495 becomes more viable in case of degradation of shear strength of slope material and/or rupture
496 planes. Notably, both the main landslide triggering factors; rainfall and earthquake have been
497 found to degrade the shear strength of slope material through the percolation and shaking
498 induced particle movements, respectively (Cai and Ugai, 2004; Chang and Taboada 2009).
499 The GW, implying the rainfall induced percolation effect, decreases the Factor of Safety and
500 increases the material displacement. This effect is attributed to the joint hydraulic pressure
501 against the overlying loose material that decreases the normal stress and hence the shear
502 strength of overlying loose material (Mohr, 1914; Witherspoon et al. 1980). Similar to the
503 GW effect in static condition, the combined response of the dynamic force and the GW
504 resulted in an increase of the displacement (Fig. 8). It can be attributed to the fact that seismic
505 shaking increases the hydraulic pressure in the joints that causes enhanced material
506 displacement in the overlying loose material (Wang et al. 2010).

507 Further, the ground motion amplification also revealed the slope instability (or potential
508 deformation). The maximum value of Peak Ground Acceleration (PGA) is attained by the
509 upper part of the debris surface (near the landslide crown) (Fig. 11). It is referred to the
510 impedance contrast between underlying rock mass and overlying soil and/or partial loss of the
511 shear strength during seismicity (Novak and Yan, 1990; Safak, 2001). Further, the spectral
512 ratio also showed signal amplification, at multiple frequency range, at the debris surface (Fig.
513 12). Such an amplification at multiple frequency ranges is attributed to complex topography
514 of landslide, soil thickness variation, and impedance contrast (sec. 4.1.4). Such high
515 amplification at the slope surface has been considered as a main cause of slope failure in
516 many other studies also (Lenti and Martino, 2012; Gaudio et al. 2014).

517 As also stated in sec. 3.3, hillslopes affected by the seismic shaking have also been prone to
518 rainfall induced failures, particularly in the form of debris flows. Further, the earthquake
519 induced shear strength degradation of slope material may also result in the enhanced
520 entrainment during a debris flow event (Liu et al. 2020). These debris flows might be initiated
521 either by increased pore pressure (or GW induced hydraulic pressure) or runoff involving

522 entrainment (Godt and Coe 2007). Though the GW effect is obtained on the slope instability
523 (Fig. 8, 9), potential response of the slope under excessive rainfall is explored through debris
524 flow runout analysis (Fig. 13, 14, 15).

525 The debris flow runout predictions revealed a non-linear increase in the debris flow height
526 and velocity along the river channel on increasing the depth of release area (Fig. 13). This
527 non-linearity is attributed to the variation in the river channel width (Fig. 14) and influx of
528 debris flow material from the slope. Though the present study noted the influence of channel
529 morphology on the debris flow characteristics, other studies have observed the changes in
530 channel morphology caused by the debris flows (Remaître et al., 2005; Simoni et al. 2020).

531 Thus, there seems to be a positive feedback process between channel morphology and debris
532 flow that is further strengthened by the finding of debris flow extent across the river channel
533 (Fig. 15d, e). At both of these locations, slope toe extends towards the E-SE direction
534 resulting in higher channel sinuosity. These extended slope toes probably represent paleo-
535 landslide and/or fluvial deposits. Signs of flow relics at the slope surface & failure at slope toe
536 at these locations (Fig. 2d,e) further support the possibility of paleo-landslide deposit. Thus,
537 the predicted extent of potential debris flow is found to follow the trails possibly created by
538 previous landslide flow and/or slide events. Aforementioned findings, temporally increasing
539 rainfall, soil moisture, and surface runoff (sec. 2.2), and frequent debris flows/flash floods in
540 this region (Micu et al. 2013; Grecu et al. 2017; Micu et al. 2019) pose increasing risk of
541 debris flow in the study area.

542 Finally, there are still some uncertainties in such predictive approaches that are as follows; (1)
543 inclusion of subsurface discontinuity network, spatially varying groundwater surface, and
544 material heterogeneity in the 3D model, (2) inclusion of variable depth and phases in the
545 runout modeling. Despite these possible uncertainties, which will be overcome in future
546 prospects, such studies are required to minimize the risk and avert the possible disasters.

547 **6 CONCLUSIONS**

548 By utilizing field based data and numerical simulations of a massive (~9.1 Mm²) ‘Varlaam’
549 landslide in the SE Carpathians (Romania), present study explored the potential response of
550 this landslide in seismic and rainfall regime.

551 The slope revealed the Factor of Safety in a range of 1.09-1.32 along with a static
552 displacement of 0.4-4 m that increases up to 8-60 m under seismic load. The Groundwater,
553 implying the saturation, further decreased the slope stability owing to enhanced joint
554 hydraulic pressure. Ground motion amplification, during seismic shaking, further revealed the
555 potential instability of slope with a Peak Ground Acceleration (PGA) on the slope surface in a
556 range of 0.65g - 1.88g. Such amplification pertains to complex topography of landslide, soil
557 thickness variation, and impedance contrast.

558 Further, though the GW effect is obtained in the slope instability, potential response of the
559 slope under excessive rainfall is also evaluated through debris flow runout analysis. The
560 predicted debris flow revealed a non-linear increase in the debris flow height (9.0-26.0 m) and
561 velocity (2.1-3.0 m/sec) along the river channel. This variation along the river channel is
562 attributed to the river channel morphology and influx of debris flow material from the slope.
563 Owing to the predictive nature of present study, the concept may be applied in other terrains
564 subjected to frequent landslides mostly triggered by extreme rainfall & earthquakes.

565 **Author contribution:** VK and HBH conceived the idea. All authors participated in the field
566 data collection & data interpretation. VK, LC, and ASM performed the numerical simulations.
567 MM led the geomorphic interpretation. All authors contributed to the writing of the final
568 draft.

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876 the river channel.

877 Table 1: Input parameters used in the slope stability analysis.

878 Table 2: Input parameters used in the Run-out simulation

879 Table 3: Results of the Run-out simulation at different depths of release area.

880

881 Table 1: Input parameters used in the slope stability analysis.

Rockmass parameters	values	Rockmass-soil interface (shear horizon) parameters	values	Soil parameters	value
Density, γ (Gg/m ³)	0.0025	⁴ Normal Stiffness, k_n (MPa/m)	~10000	Density, γ (Gg/m ³)	0.0019
¹ Uniaxial Compressive Strength, σ_{ci} (MPa)	~30	Shear Stiffness, k_s ($k_n/10$) MPa/m	~1000	² Poisson's Ratio	~0.43
² Poisson's Ratio	~0.4	⁵ Cohesion, c (MPa)	~0.01	² Young's Modulus, E (MPa)	549 \pm 38
² Young's Modulus, E (MPa)	3658 \pm 1411	⁶ Friction angle, ϕ	~30°	² Bulk Modulus, K (MPa)	1316 \pm 206
² Bulk Modulus, K (MPa)	7308 \pm 4014	⁷ Residual aperture at high stress, m	0.0001	² Shear Modulus, G (MPa)	194 \pm 14
² Shear Modulus, G (MPa)	1303 \pm 480	⁷ Aperture for zero normal stress, m	0.0005	⁵ Cohesion, c (MPa)	~0.01
³ GSI	30	Water density, Gg/m ³	0.001	⁵ Friction angle, ϕ	~28°
³ Material Constant (m_i)	17 \pm 4	⁷ Joint permeability, (1/MPa*s)	10 ⁸		
m_b	1.3954	¹ It was inferred from the empirical equation of Kahraman (2001) using the Vs and Vp data of Mreyen et al. (2021).			
s	0.004	² These values were inferred from the empirical equations of McDowell (1990) using the P & S wave velocity of Mreyen et al. (2021).			
a	0.5223	³ Based on Hoek and Brown (1997) and field observation.			
³ D	0	⁴ It was inferred from the empirical equations of Barton (1972); Hoek and Diederichs (2006) using the elastic modulus of rock and approximated spacing of joint sets of ~5-10cm. This spacing was assumed in view of highly sheared nature of rockmass.			
		⁵ Based on Bednarczyk (2018); Peranić et al. (2020) due to similar litho- tectonic conditions.			
		⁶ Based on Barton and Choubey (1977).			
		⁷ Based on UDEC v.6 (2014).			

882

883 Table 2: Input parameters used in the Run-out simulation

Landslide	Material type	Material depth ¹ , m	Friction coefficient ²	Turbulence coefficient ³ , m/sec ²
Varlaam	Clayey Silt	5, 10, 15, 20	$\mu= 0.4$	$\xi = 250$

884 ¹ Considering that fact that during slope failure, irrespective of type of trigger, entire loose material might not slide down, the
885 depth is taken as a variable. ² In order to keep the results of conservative nature, we have taken a maximum allowable friction
886 i.e., $\mu= 0.4$ (Hung et al., 1984; RAMMS v.1.7.0). This case is considered to understand the potential impacts of debris flow
887 even after the maximum friction. ³ This range is used in view of the type of loose material i.e., cohesive (RAMMS v.1.7.0).

888

889

890

891 Table 3: Results of the Run-out simulation at different depths of release area.

Release area depth, m	At hillslope		Along the river channel	
	Maximum flow height, m	Maximum flow velocity, m/s	Maximum flow height, m	Maximum flow velocity, m/s
5	8.0	4.5	9.0	3.0
10	20.0	10.0	16.0	2.9
15	30.0	16.0	22.0	2.2
20	42.0	21.0	26.0	2.1

892

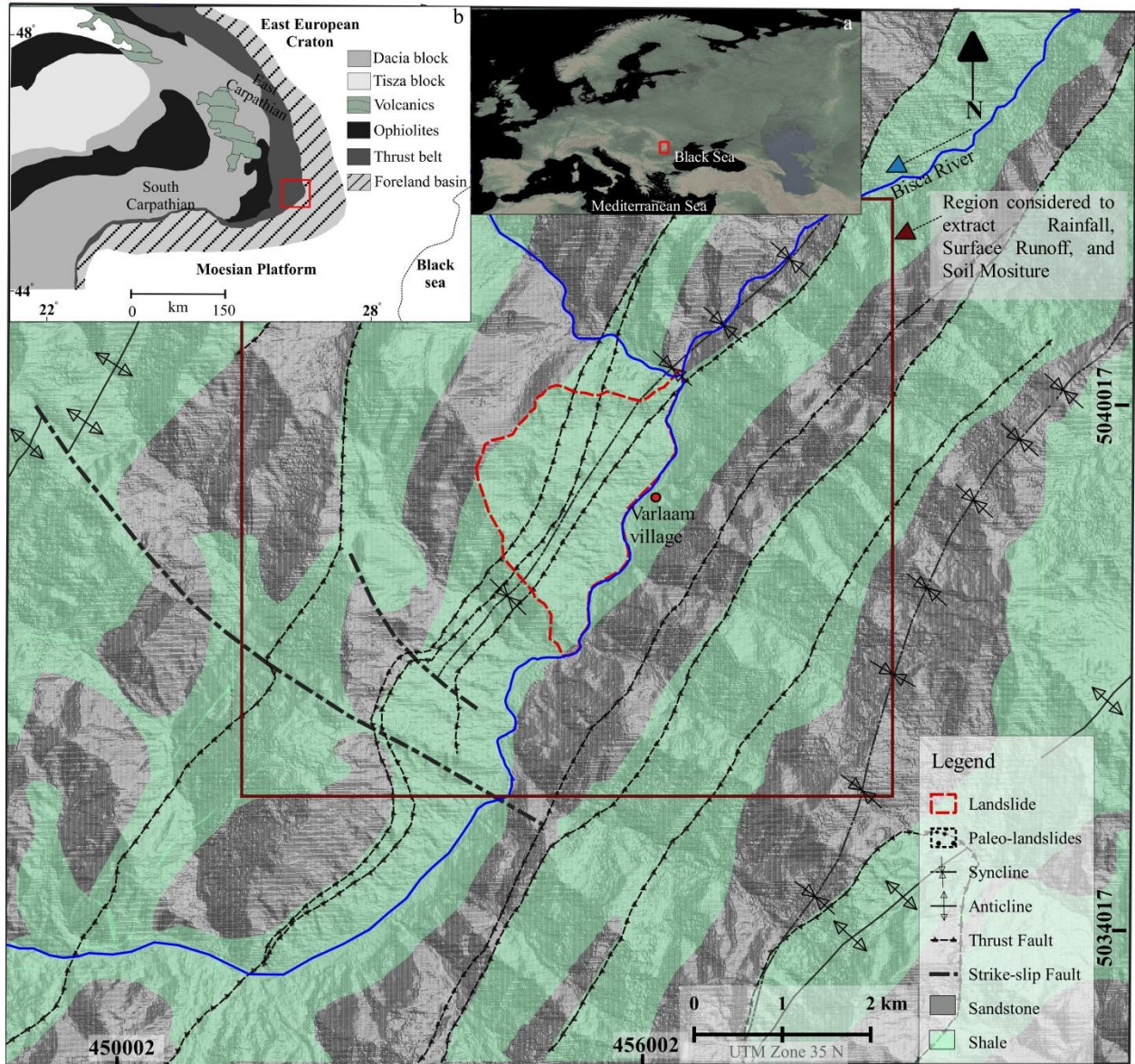


Fig. 1

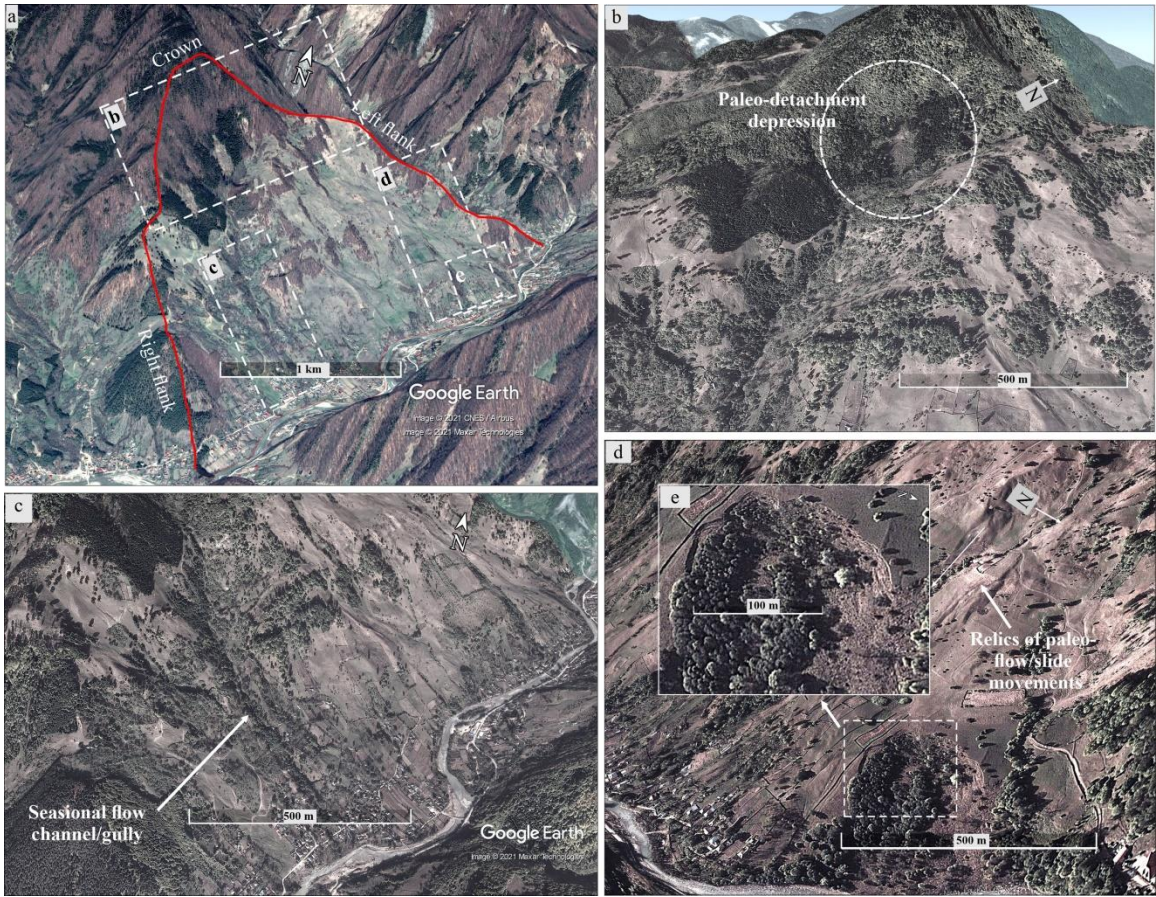


Fig. 2

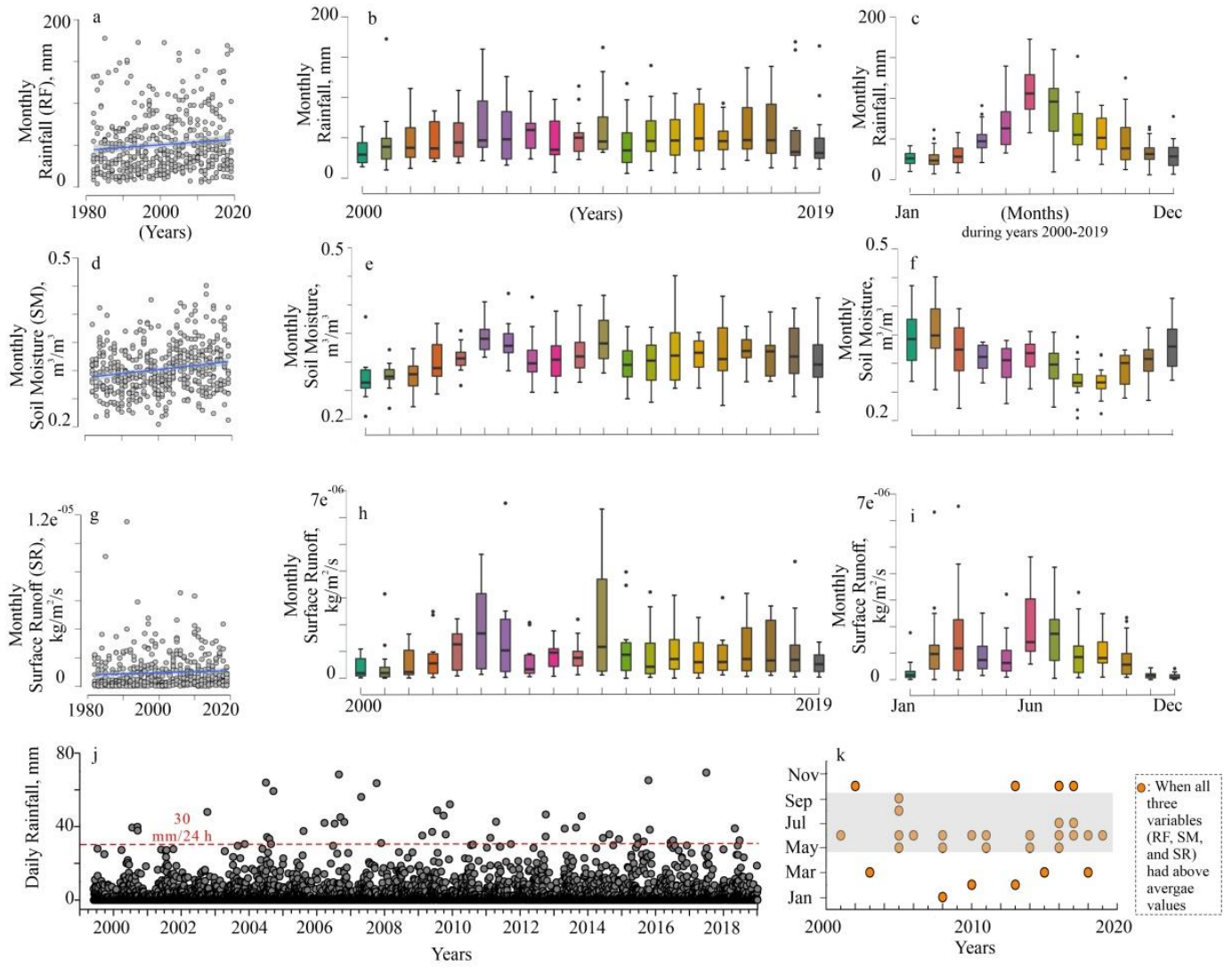


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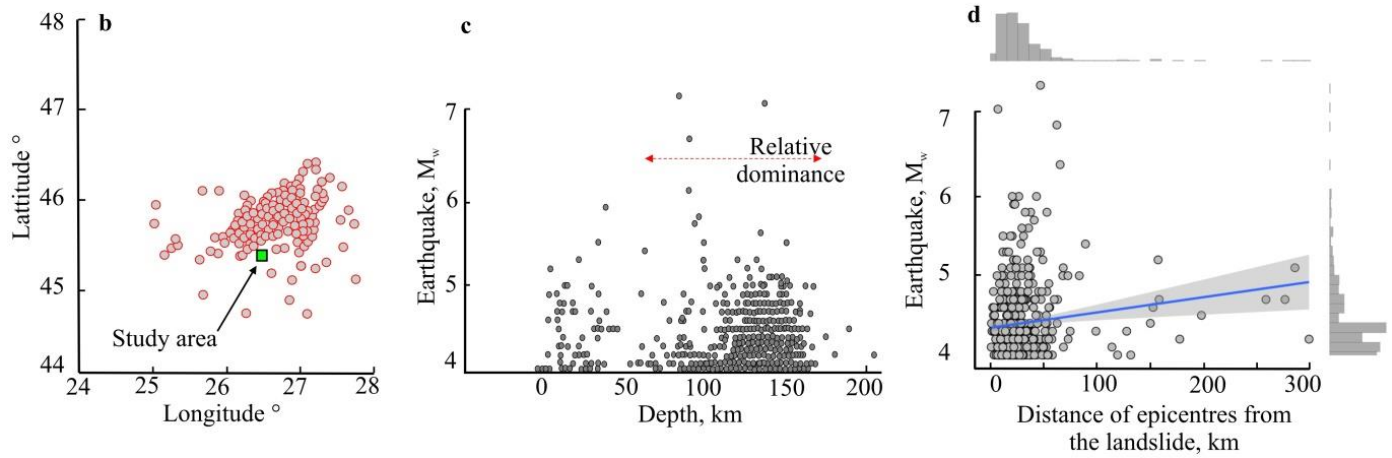
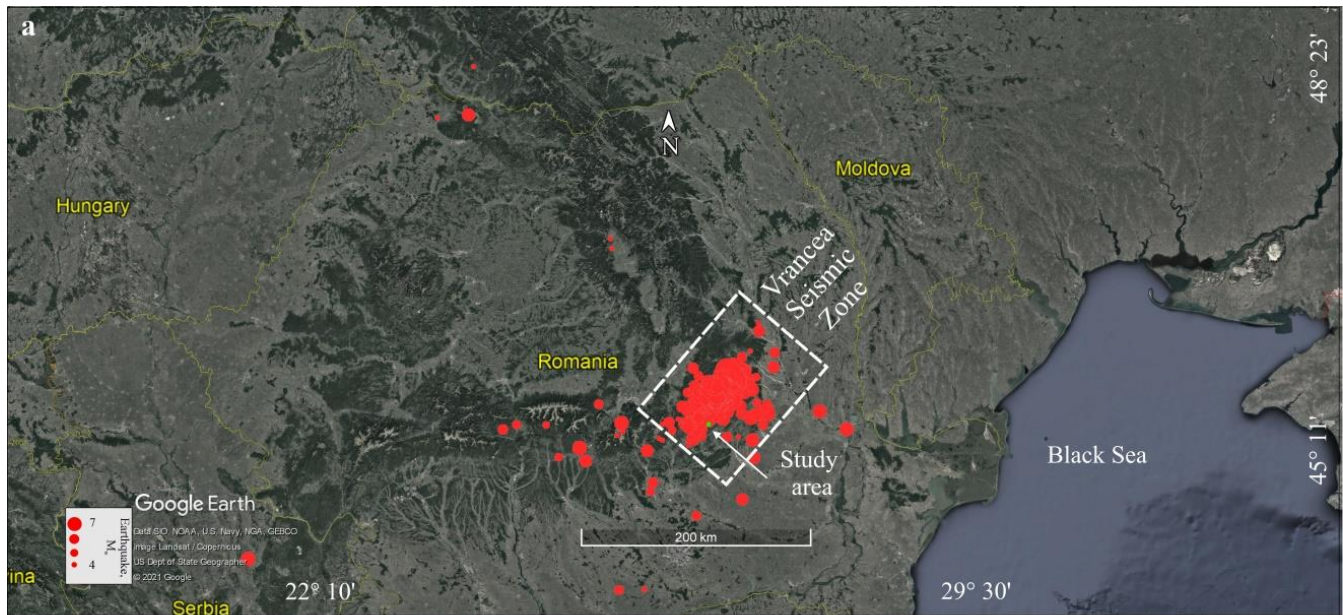


Fig. 4

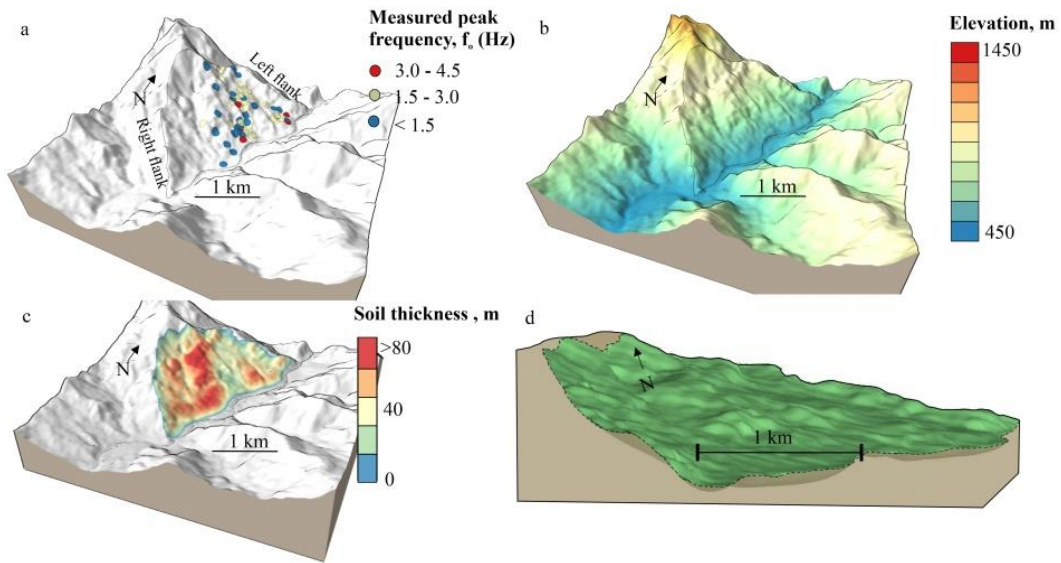


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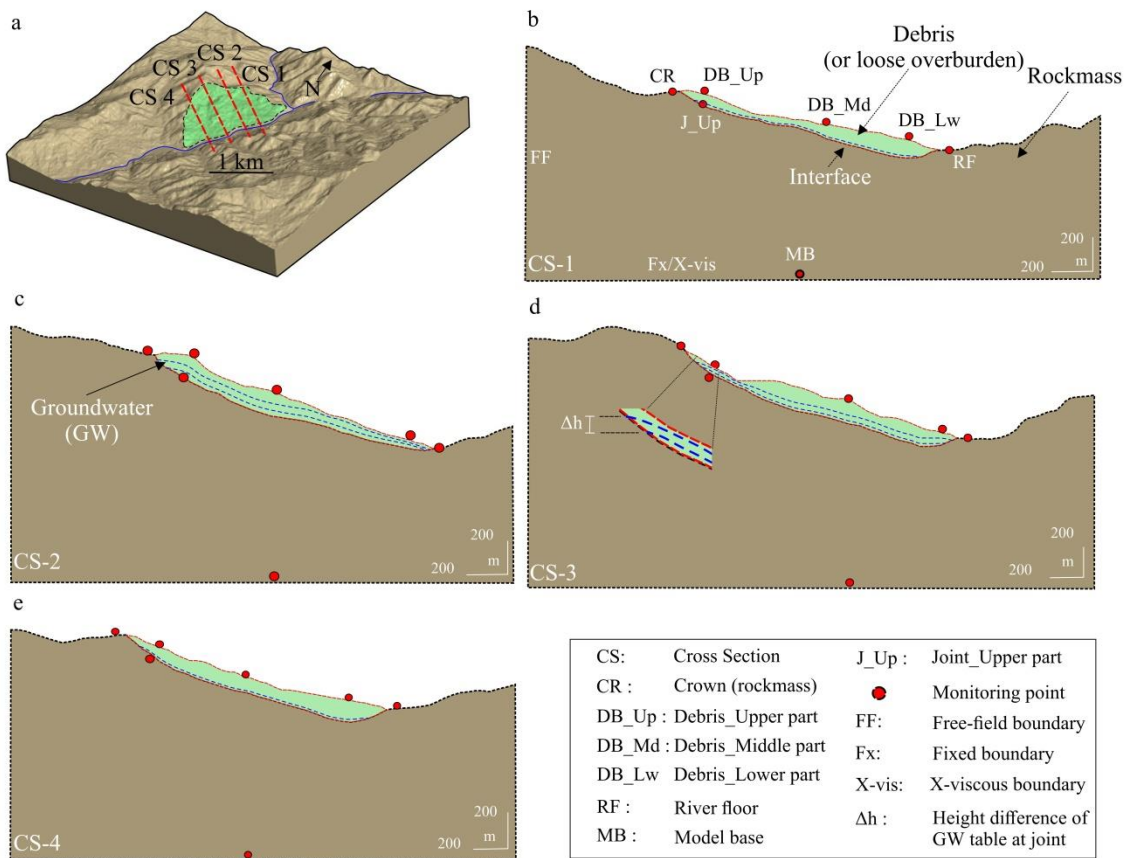


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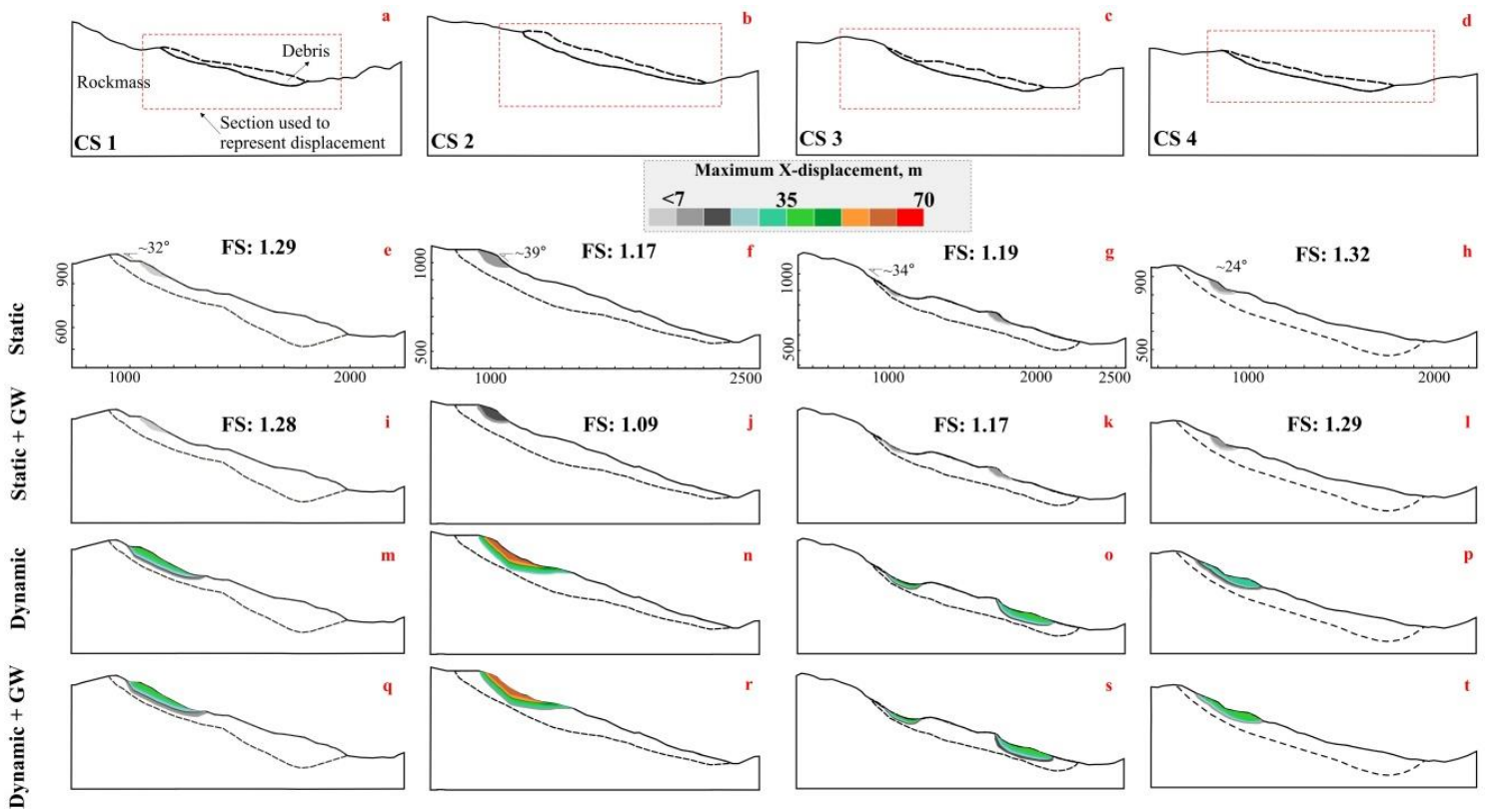
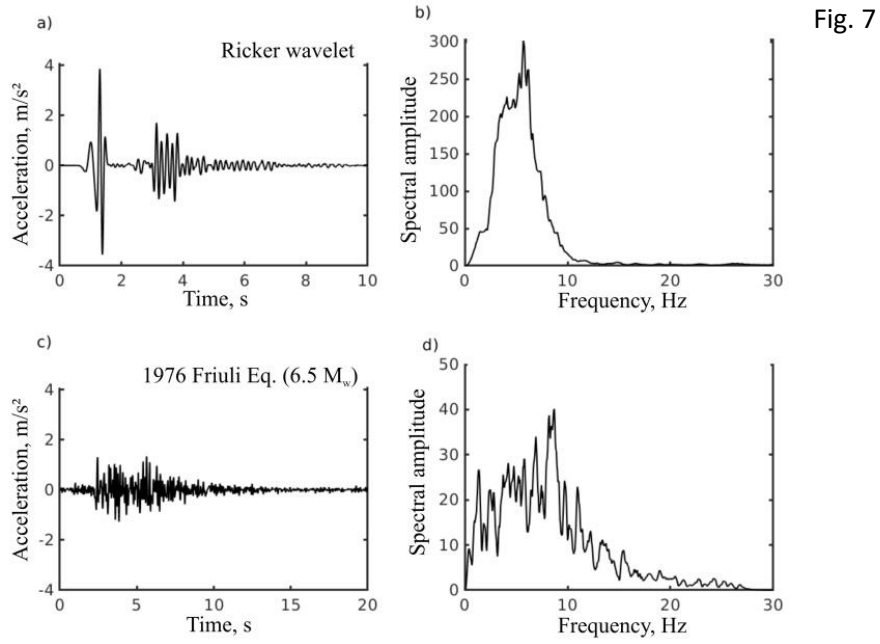


Fig. 8

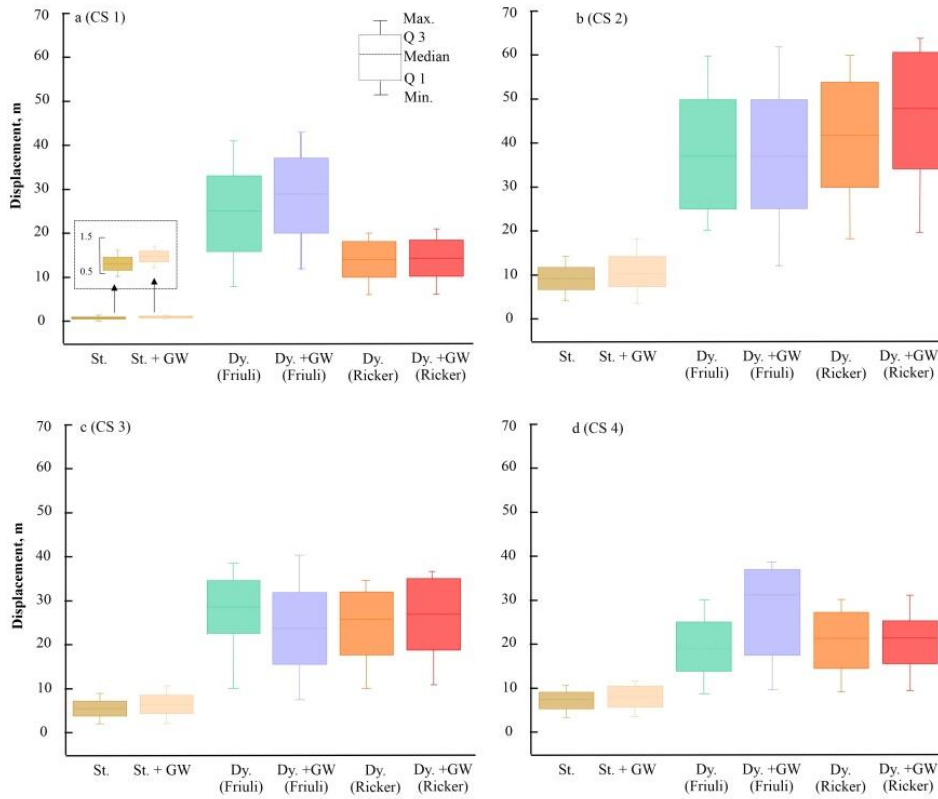


Fig. 9

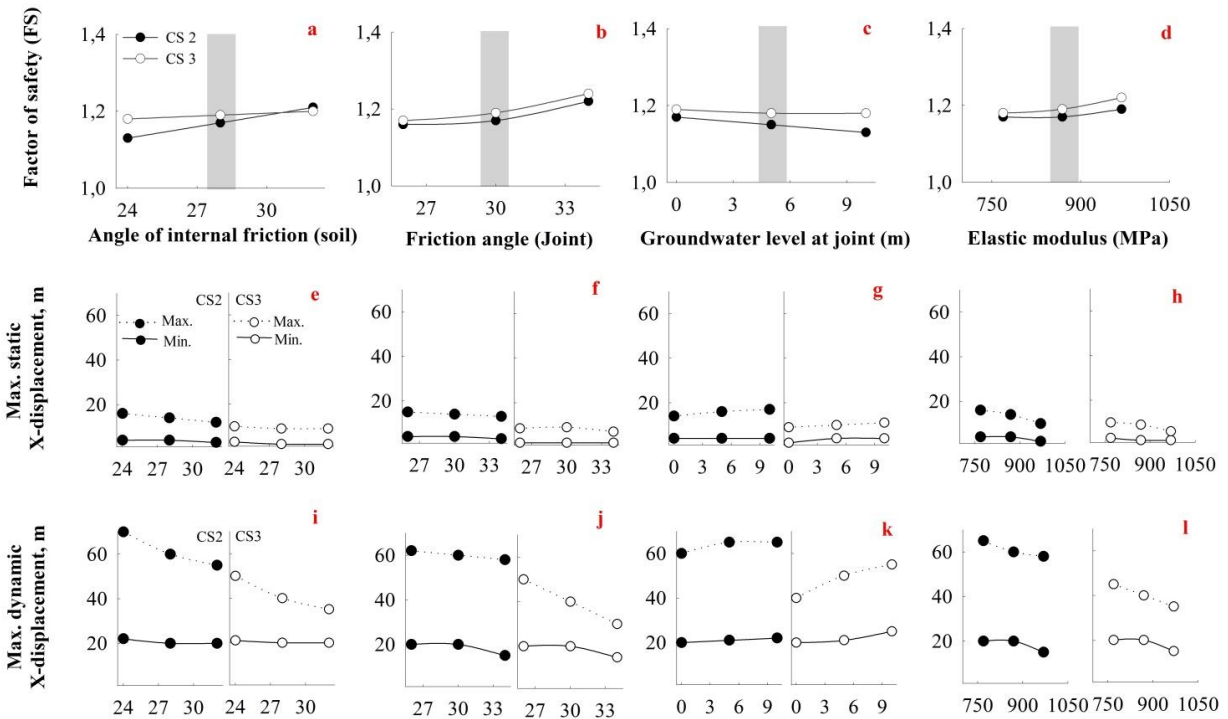


Fig. 10

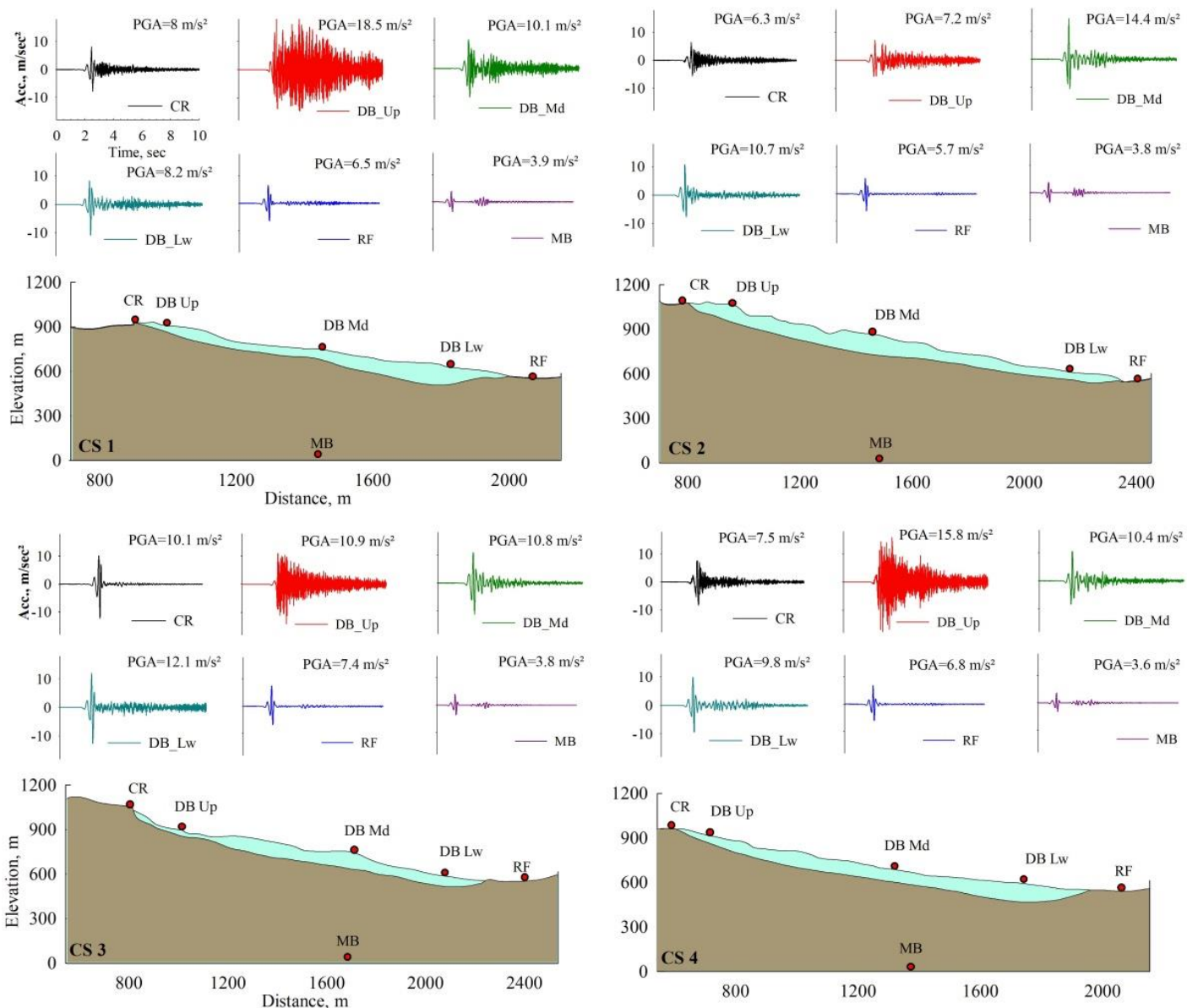


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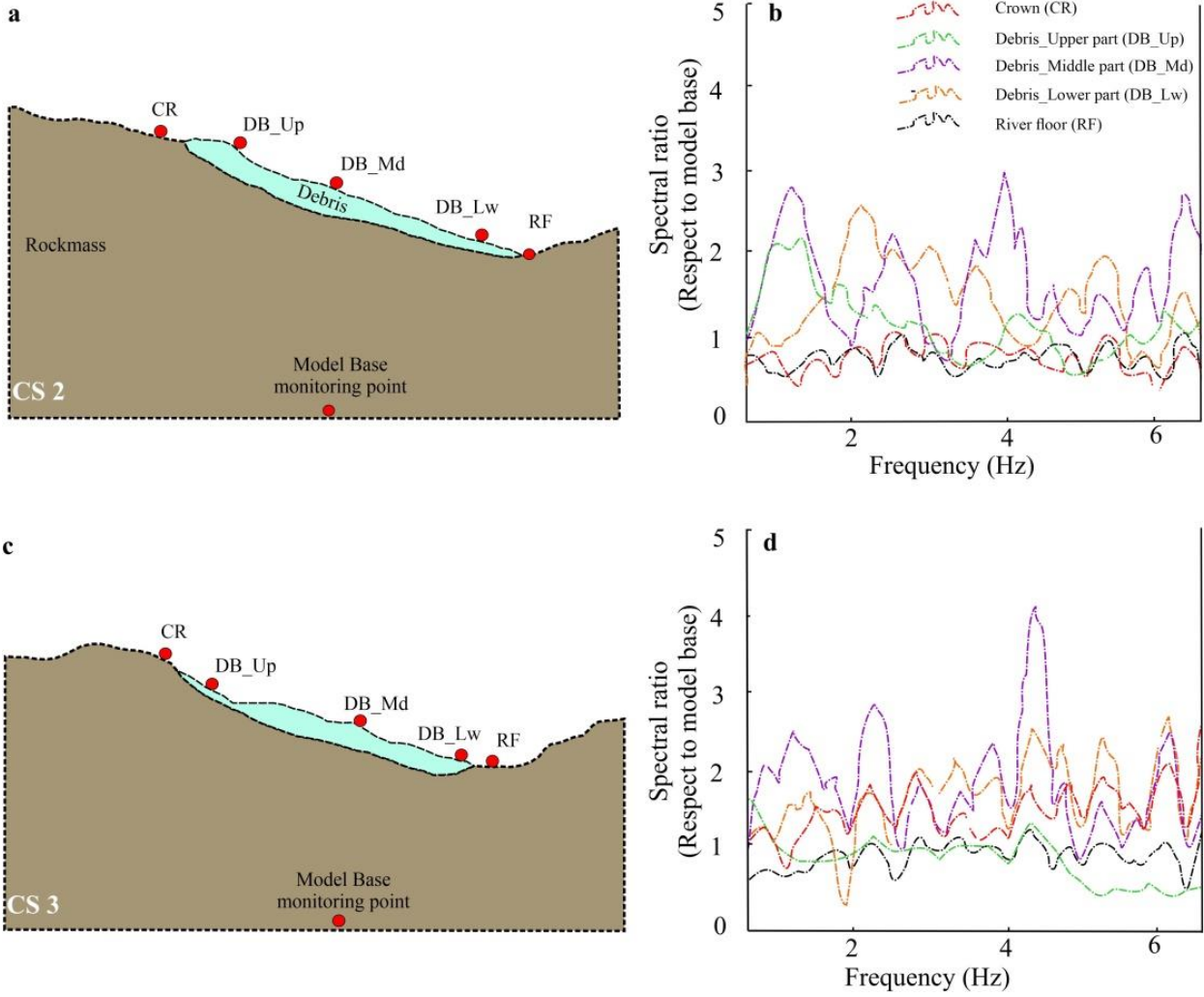


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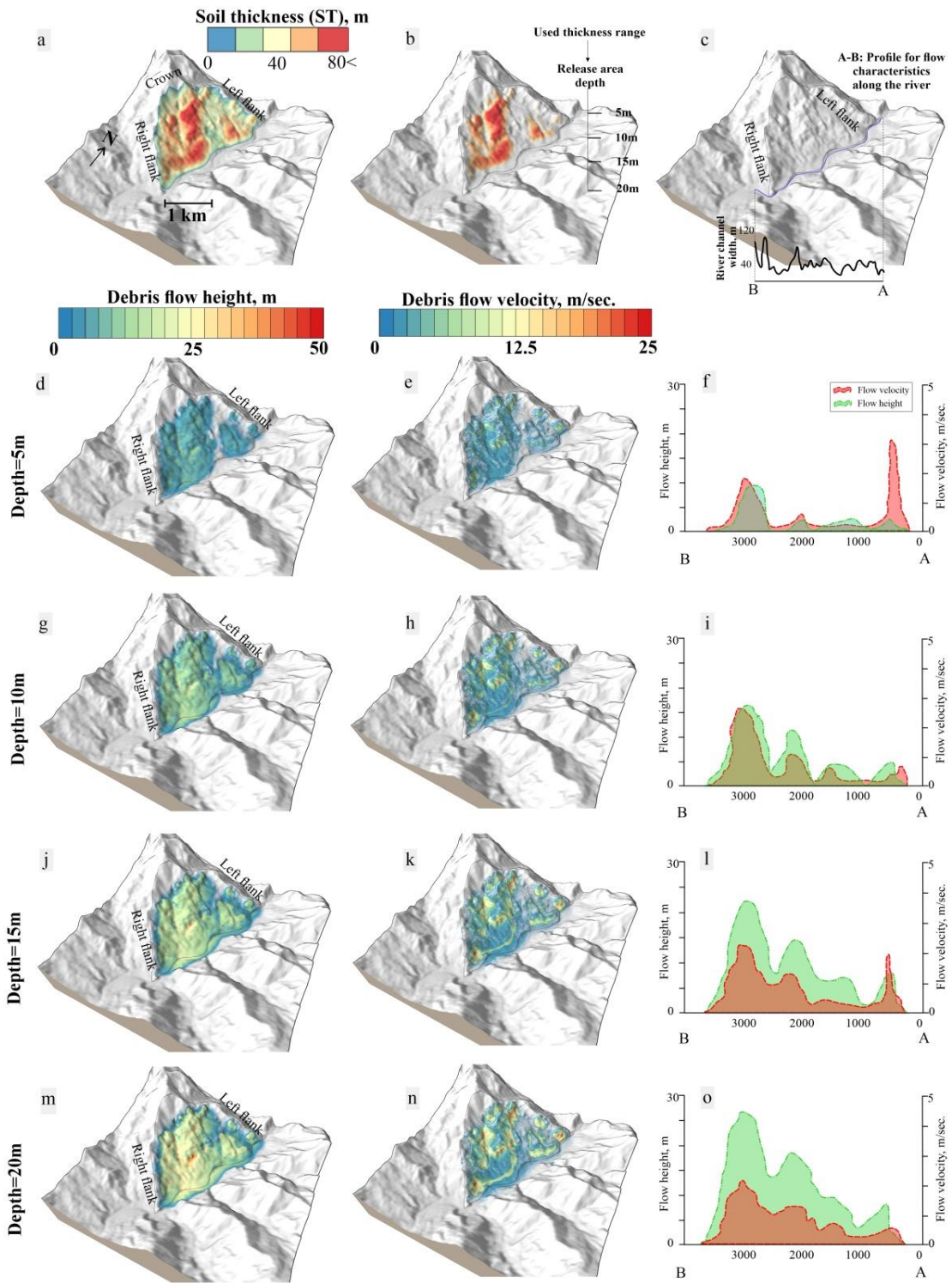


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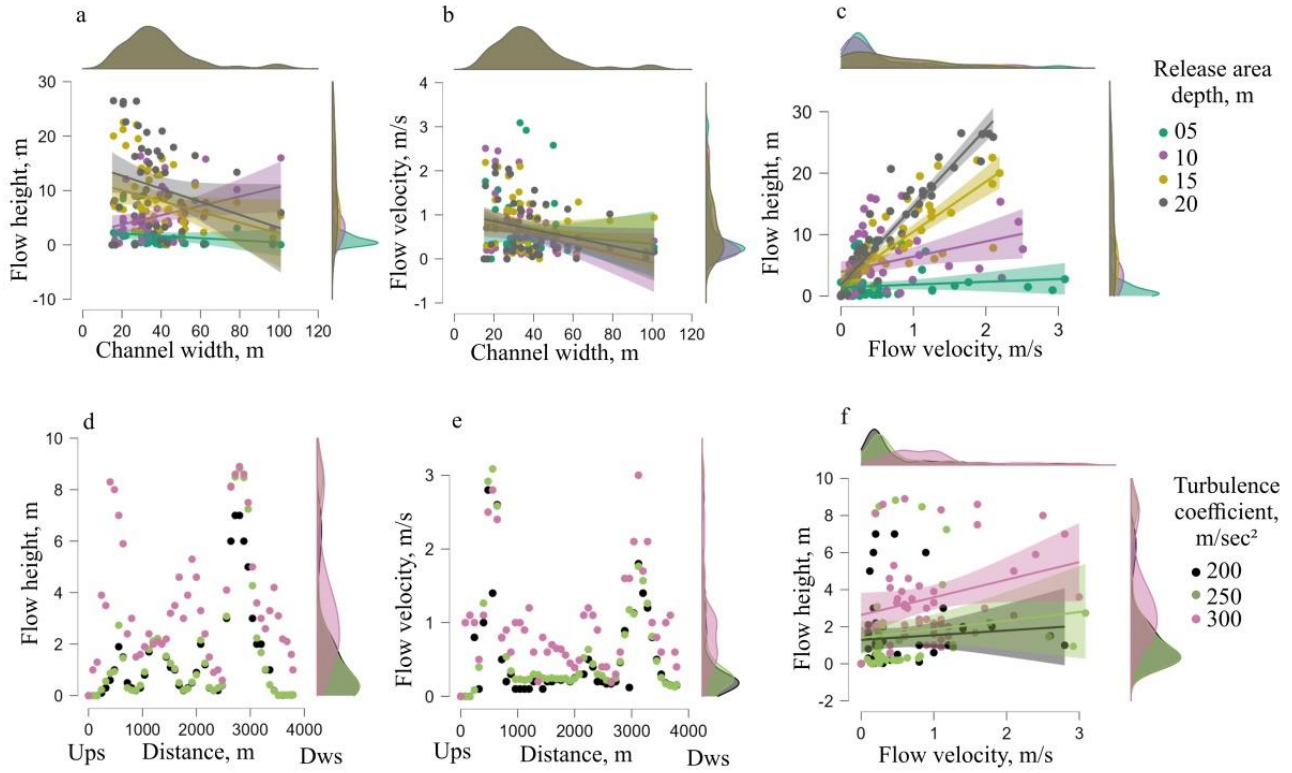


Fig. 14

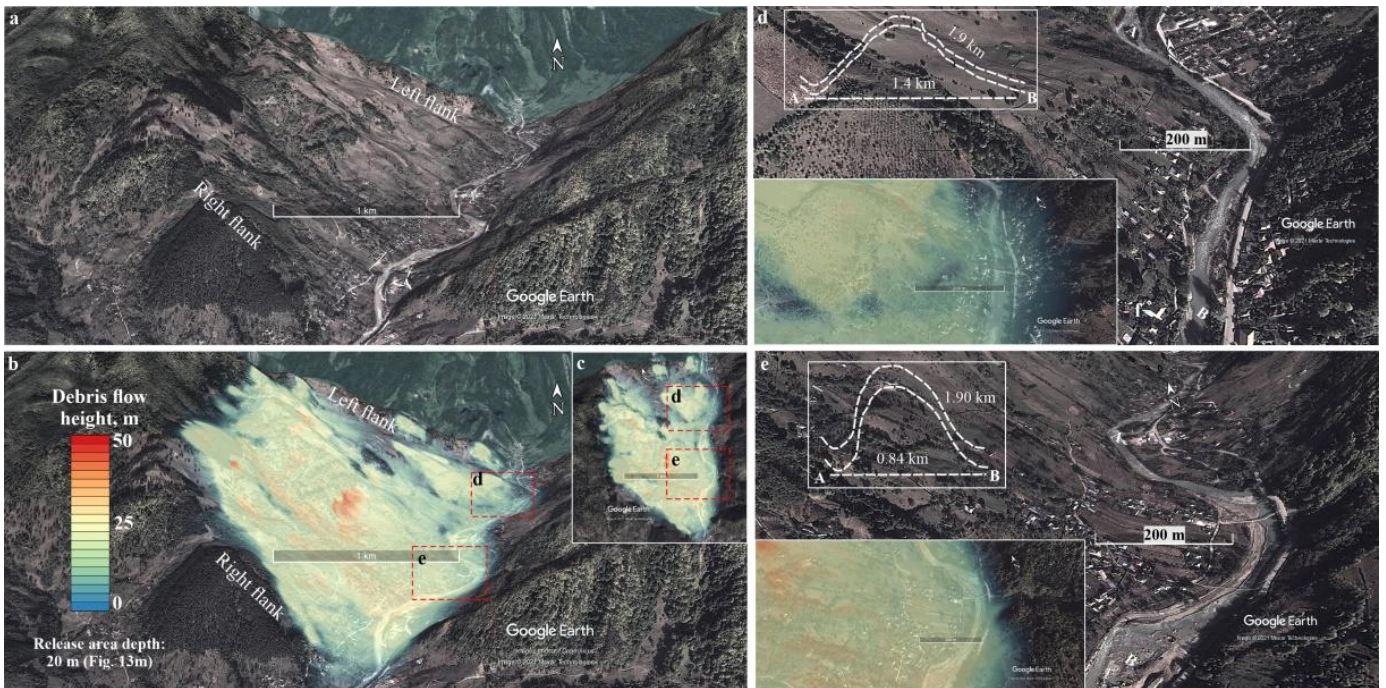


Fig. 15