



1 **The Effect of the Wenchuan and Lushan Earthquakes on the Size**
2 **Distribution of Earthquakes along the Longmenshan Fault**

3 Chun Hui^{1,2,3}, Changxiu Cheng^{1,2,3,4*}, Shi Shen^{1,2,3}, Peichao Gao^{1,2,3}, Jin Chen^{1,2}, Jing Yang⁵, Min
4 Zhao^{1,2,3}

5 ¹ Key Laboratory of Environmental Change and Natural Disaster, Beijing Normal University, China,
6 100875

7 ² State Key Laboratory of Earth Surface Processes and Resource Ecology, Beijing Normal
8 University, China, 100875

9 ³ Faculty of Geographical Science, Center for Geodata and Analysis, Beijing Normal University,
10 China, 100875

11 ⁴ National Tibetan Plateau Data Center, Beijing, China, 100101

12 ⁵ College of Data Science, Taiyuan University of Technology, China, 030024

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14 Corresponding author: Changxiu Cheng

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33 Abstract

34 Changes in the stress state of faults and their surroundings is a highly plausible mechanism
35 explaining earthquakes interaction. These stress changes can impact the seismicity rate and the size
36 distribution of earthquakes. However, the effect of large earthquakes on the earthquake size
37 distribution along the Longmenshan fault has not been quantified. We evaluated the levels of the b
38 value for the stable state before and after the large earthquakes on 12 May 2008 (Wenchuan, M_S 8.0)
39 and 20 April 2013 (Lushan, M_S 7.0) along the Longmenshan fault. We found that after the
40 mainshocks, the size distribution of the subsequent earthquakes shifted toward relatively larger
41 events in the Wenchuan aftershock zone (b value decreased from 1.03 to 0.84), and generally
42 remained invariable in the Lushan aftershock zone (b value remained at 0.76). The time required
43 for the b value to return to stable states after both mainshocks were entirely consistent with the time
44 needed by the aftershock depth images to stop visibly changing. The result of the temporal variation
45 of b values show decreasing trends for the b value before both large earthquakes. Our results are
46 available for assessing the potential seismic risk of the Longmenshan fault as a reference.

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48 **Keywords:** b value; stable state; depth; Longmenshan fault

49 1 Introduction

50 Following the Wenchuan M_S 8.0 earthquake on 12 May 2008, the Longmenshan fault zone was
51 struck by the 20 April 2013 M_S 7.0 Lushan earthquake. The Longmenshan fault zone is composed
52 of several almost parallel thrust faults, forming a boundary fault between the Sichuan Basin and
53 Tibetan Plateau, and controls the seismicity of the Longmenshan region (Fig. 1). The epicenters of
54 the Wenchuan and Lushan earthquakes were approximately 87 km apart, and the focal mechanism
55 of both events showed a thrust rupture (Jia et al., 2014).

56 According to the characteristics of the Wenchuan and Lushan earthquakes, whether the M_S 7.0
57 Lushan event was a strong aftershock of the M_S 8.0 Wenchuan earthquake or a new and independent
58 event has been a topic of debate. For example, some researchers suggest that the two large
59 earthquakes were independent events. The reasons are as follows: (1) there is no overlapping area
60 between the Wenchuan and Lushan earthquake rupture zones (Zhang et al., 2013); (2) the Wenchuan
61 and Lushan earthquakes were generated in different faults in the Longmenshan fault zone (Li et al.,
62 2014); (3) the rupture processes of the Wenchuan and Lushan earthquakes were different, and the
63 aftershock zones of the two events were nearly 45 km apart (Du et al., 2013). Alternatively, some
64 scientists propose that the Wenchuan and Lushan earthquakes were a mainshock-aftershock
65 sequence and note that the Lushan event struck in an area where Coulomb stress was increased due
66 to the Wenchuan earthquake (Parsons and Segou, 2014; Zhu, 2016).

67 The controversy over the relationship between the Wenchuan and Lushan earthquakes
68 highlights the complexity of earthquakes interaction in the Longmenshan fault zone. It is widely
69 accepted that earthquakes interactions can be understood by identifying changes in static and
70 dynamic stress states around faults (Toda et al., 2011; Wedmore et al., 2017; Verdecchia et al., 2018).

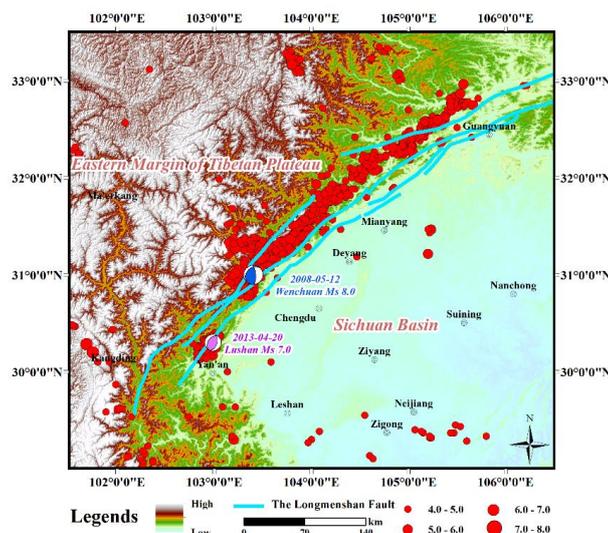


71 The most observable effect of this stress change is a significant increase in seismicity rate, which is
72 generally considered an aftershock phenomenon (Kilb et al., 2002; Toda et al., 2012; Devries et al.,
73 2018). Statistically, aftershock activity is classically described by $n(t) = K/(t + c)^p$, where $n(t)$ is the
74 number of aftershocks after time t and K , c , and p are constants that describe the aftershock
75 productivity (Utsu et al., 1995). Ogata (1988,1998) described aftershock activity as a
76 multigenerational branching process and proposed the epidemic-type aftershock sequence model,
77 which is a stochastic point process model of self-exciting point processes.

78 Changes in stress can impact the seismicity rate and the frequency size distribution, which is
79 alternatively known as the frequency-magnitude distribution (FMD) (Gulia et al.,2018) or
80 Gutenberg-Richter (G-R) law (Gutenberg and Richter,1944) and is expressed as $\log N = a - bM$, where
81 N is the number of events in a given time period with magnitude greater than M , a describes the
82 seismicity of a volume, and b is slope of the FMD. Previous studies showed that b values fall within
83 the range of 1.02 ± 0.03 on a large scale for a long time (Wech et al., 2010; El-Isa et al., 2014). For
84 regions on a smaller scale, the b values show a broad range of spatial and temporal variations. For
85 example, the b value ranged from 0.5 to 2.5 in the Andaman–Sumatra region and California
86 (Nuannin et al., 2005). Interpretation of the variation of b values is based on several factors,
87 including stress state (Amitrano, 2003; Goebel et al., 2013), focal depth (Spada et al., 2013), faulting
88 style (Schorlemmer et al., 2005; Gulia et al. 2010), fluid pressure (Bachmann et al., 2012), and so
89 on.

90 The earthquake size distribution generally follows a power law, with a slope of b values, which
91 characterizes the relative occurrence of large and small events. A low b value indicates a larger
92 proportion of large earthquakes and vice versa. Zhao et al. (2008) compared the spatial footprint of
93 b values before and after the Wenchuan earthquake in the Longmenshan fault zone, and the results
94 showed that the b values tend to change from lower in the southern region to higher in the
95 northeastern region. The temporal change in b values before the Wenchuan M_S 8.0 earthquake
96 showed a decreasing trend (Zhang et al., 2016; Liu et al., 2017; Shi et al., 2018), and the Lushan M_S
97 7.0 earthquake showed similar temporal change trends in b values (Zhao et al., 2020). These studies
98 focused on the variation trend of b values before and after the two large events along the
99 Longmenshan fault. However, the fundamental effect of the Wenchuan and Lushan earthquakes on
100 the size distribution of earthquakes along the Longmenshan fault has not been quantified, which
101 limits our understanding of how the apparent stress changes in the region affect the size distribution
102 of earthquakes.

103 In this study, we evaluated the spatiotemporal evolution of the b values along the Longmenshan
104 fault in the past nearly 20 years. Moreover, we estimated the levels of the b value for the stable state
105 before and after the Wenchuan and Lushan earthquakes and quantified the effects of the two large
106 earthquakes on the size distribution of subsequent events at different times. In addition, the spatial
107 evolution process of the deep seismogenic environment in the Wenchuan and Lushan aftershock
108 zones in two and three dimensions was illustrated via spatial scanning and data fitting, which can
109 be used to analyze the aftershock activity of the two large earthquakes.



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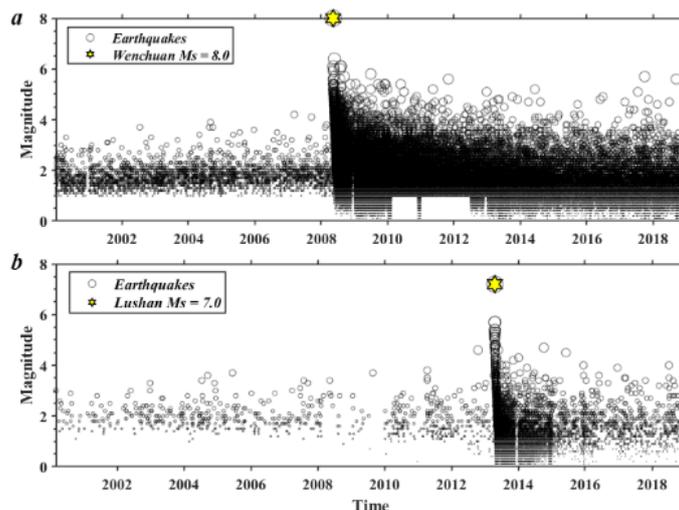
111 **Figure 1. The topographic and tectonic map of the Longmenshan fault zone and its surrounding region.**

112 Blue beach ball represents the focal mechanism of the Wenchuan M_s 8.0 earthquake. Pink beach ball represent the
113 focal mechanism of the Lushan M_s 7.0 earthquake. Red circles represent epicenters of earthquakes ($M_s \geq 4$) from 1
114 January 2000 to 1 January 2019.

115 2 Data and Postulates

116 The earthquake catalog we used here was documented by the regional seismic network and
117 then verified by the China Earthquake Networks Center (CENC) along the Longmenshan fault
118 during the period from 1 January 2000 to 1 January 2019. It is a relatively complete catalog
119 containing the Wenchuan-Lushan earthquake sequence.

120 Figure 2 shows the magnitude-time distribution of earthquakes in the Wenchuan source region
121 and Lushan source region. The locations of the earthquakes in this catalog were corrected for
122 accuracy. Each event includes the time, location, magnitude and depth. Homogeneity of the catalog
123 was iterated and optimized for subsequent research and analysis.



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Figure 2. Magnitude versus time for events in the Longmenshan fault zone from 2000 to 2019.

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a Magnitude versus time for events in the M_S 8.0 Wenchuan source region; b Magnitude versus time for

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events in the M_S 7.0 Lushan source region

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Stress change has been widely used to interpret the triggering of the mainshock-mainshock and mainshock-aftershock events (Stein et al., 1997; Mallman and Zoback, 2007; Toda et al., 2011; Delescluse et al., 2012; Durand et al., 2013; Sumy et al., 2014). However, the exact measurement of stress states is difficult; thus, a relationship between the stress state and b value has been proposed (Zuniga and Wyss, 2001; Schorlemmer et al., 2005; Nanjo et al., 2012; Gulia et al., 2018). Schorlemmer et al. (2005) demonstrated that the b value could be regarded as a stress indicator that depends inversely on differential stress. Therefore, changes in the stress state on faults lead to the variation in b values, which is followed by time-dependent recovery. In general, the larger the magnitude of the earthquake, the greater the stress changes and the larger the b value fluctuations. No event of magnitude larger than M 7.0 had been reported in the historical record of the Longmenshan fault zone and the catalog we used in this paper contains more than 80,000 events and only two large earthquakes greater than magnitude 6.5, that is, the Wenchuan M_S 8.0 and Lushan M_S 7.0 earthquakes. Thus, these two events are mainly responsible for the apparent changes in stress state along the Longmenshan fault zone in the past 20 years.

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Therefore, there are two postulates: first, without a large earthquake perturbation, the b value will remain in a stable state with a small fluctuation range for a long time; second, after the perturbation of a large earthquake, the b value may recover to another stable state. We evaluated the levels of the b value for the stable state before and after these two large earthquakes in the study region.

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147 3 Methods

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The main methods we used include estimation methods (MaxCurvature for the estimation of the completeness magnitude; maximum likelihood estimation (MLE) for the b value estimation),

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150 test methods (Akaike information criterion (AIC) for the variation trend of b values; the nonlinearity
151 index (NLIndex) for the linearity assessment of frequency-magnitude distribution) and kriging
152 interpolation to describe the spatial and temporal evolution images of aftershock focal depth.

153 3.1 Completeness magnitude (M_C) and b value estimation

154 The estimation of the completeness of earthquake catalogs is essential to the computation of b
155 values, and the lowest magnitude of all earthquakes that are reliably detected in a space-time volume
156 is defined as the completeness magnitude (M_C) (Woessner and Wiemer, 2005). The lower the M_C ,
157 the higher the detection capability. Here, we use the MaxCurvature technique, which estimates the
158 M_C by locating the magnitude that is the highest frequency of events in the FMD. Mignan (2011)
159 showed that the MaxCurvature technique underestimates the M_C in cases involving gradually curved
160 FMDs and postulated that this underestimation tendency arises from spatiotemporal heterogeneities
161 within the earthquake monitoring network. Therefore, we used the corrected MaxCurvature method
162 with a correction factor of +0.2 (Gulia and Wiemer, 2019), and the uncertainties were determined
163 by bootstrapping.

164 The least-squares method and maximum likelihood estimation are often used to calculate the
165 b value, and the latter approach is considered more stable. In this work, the maximum likelihood
166 estimation used to calculate the b value and its standard deviation (Aki, 1965; Utsu, 1965):

$$167 \quad b = \frac{1}{\ln(10)(\bar{M} - M_C)}$$

168 where \bar{M} is the average magnitude of earthquakes with $M \geq M_C$; M_C is the cutoff magnitude. The
169 confidence limit of the b value is expressed as follows:

$$170 \quad \sigma = \frac{b}{\sqrt{N}}$$

171 where N is the number of earthquake cases of the given sample.

172 3.2 Estimation of the frequency-magnitude distribution (FMD) extrapolation

173 The nonlinearity index (NLIndex) can be used to assess whether the extrapolation of a given
174 high-magnitude FMD is likely an overestimate or underestimate of the probable rates for large
175 events (Tormann et al., 2014).

- 176 1) Calculate the b value for all M_{cut} from M_{min} to the highest M_{cut} for which N_{min} events
177 are still sampled.
- 178 2) Divide the standard deviation by the largest individual b value uncertainty for each
179 possible M_{cut} , and for each M_{cut} , this value is the NLIndex.
- 180 3) Divide the NLIndex for each M_{cut} by the number of estimated b values to weight the result
181 by data density.
- 182 4) Find the minimum weighted NLIndex to find the best M_C that produces the most linear
183 FMD fit.

184 If $NLIndex \leq 1$, the FMD is regarded as linear, and if $NLIndex > 1$, the FMD is not linear. The
185 slope of M_{cut} is clearly positive or negative, respectively indicating that the FMD overestimates
186 or underestimates large M rates.

187 3.3 Akaike information criterion

188 To quantify the changing trend of b values, the P test was conducted for b values in two sample
189 windows based on the Akaike information criterion (AIC) (Akaike, 1974). Hypothesis 1: the b



190 values in the two sample windows are the same; Hypothesis 2: the b values in the two sample
191 windows are different, respectively represented as b_1 and b_2 . The hypothesis of the difference of the
192 AIC leads to the difference ΔAIC (Utsu,1992):

$$193 \quad \Delta AIC = -2(N_1 + N_2) \ln(N_1 + N_2) + 2N_1 \ln(N_1 + \frac{N_2 b_1}{b_2}) + 2N_2 \ln(N_2 + \frac{N_1 b_2}{b_1}) - 2$$

194 where N_i is the numbers of events in the sample windows and b_i is b values in the sample windows.
195 P_b represents the probability that the events in the two sample windows come from the same
196 population and can be derived from the AIC as follows:

$$197 \quad P_b = e^{(-\Delta AIC/2)-2}$$

198 The b value in the sample window represents a significant change when $\Delta AIC \geq 2$ ($P_b \approx 0.05$) and is
199 highly significant when $\Delta AIC > 5$ ($P_b \approx 0.01$) (Utsu, 1999).

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201 3.4 Kriging interpolation

202 Kriging interpolation is the most commonly used geostatistical approach for spatial
203 interpolation. With this method, a semivariogram is used to express the spatial relationship of the
204 distance between samples. This technique depends on the spatial model between samples to predict
205 attribute values at unsampled locations (McGrath et al. 2004). As a widely used interpolation
206 method, kriging takes into account the distance between unknown positions and the sample
207 locations as well as the distance between sample locations, effectively reducing the interference of
208 clustering in samples on the accuracy of the interpolated estimates (Ha et al., 2014). We used the
209 kriging interpolation algorithm to produce maps incorporating anisotropy and underlying trends
210 from irregularly spaced data.

211 The exponential semivariance model with the smallest prediction errors was chosen over the
212 Gaussian and spherical models for the spatial interpolation of focal depth data.

213 4 Results and Analysis

214 4.1 Completeness magnitude (M_C) and linearity assessment of frequency-magnitude 215 distribution (FMD)

216 As shown in Fig. 3, the results of the corrected MaxCurvature method show that the M_C of the
217 earthquake catalog used in this work is $M_C=1.5$. This result is consistent with the results of previous
218 studies on the Wenchuan earthquake zone (Huang, 2008; Shi et al.,2018). Fang et al. (2015)
219 described in detail the aftershock performance and analysis of the Lushan earthquake based on the
220 combined data from permanent and temporary seismic stations. They concluded that the minimum
221 complete magnitude was $M=1.0$. To unify the consistency of the M_C of the Wenchuan M_S 8.0 and
222 Lushan M_S 7.0 earthquakes in the Longmenshan fault zone, we selected events with magnitudes of
223 $M \geq M_C=1.5$.

224 We performed a linearity check on FMD, and the results are shown in Fig. 4. The NLIndex
225 (red) is shown for different cutoff magnitudes (upper inset) and the NLIndex ≤ 1 for all cut off
226 magnitudes; thus, the linear FMD is accepted as the best M_C .

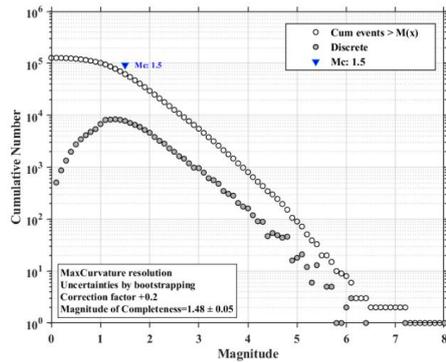


Figure 3. Frequency-magnitude distribution of the seismicity of the Wenchuan-Lushan sequence from 1 January 2000 to 1 January 2019

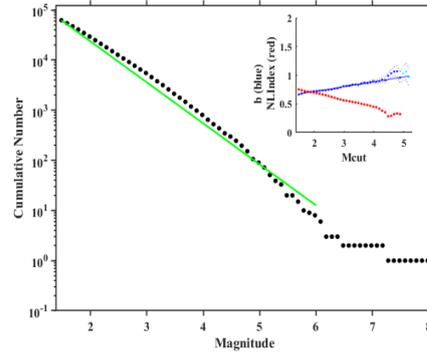


Figure 4. Frequency-magnitude distribution and NLIndex.

4.2 Time-space analysis of b values

Earthquake frequency will increase immediately within a short time after a large event and may exceed the recording capacity of the seismic network. Before establishing the time-space series of b values with aftershocks, we should eliminate the events documented in the early catalog, which is somewhat heterogeneous and incomplete in small events (Gulia and Wiemer, 2019). In this work, the exclusion period depends on the magnitude of completeness over time. Therefore, we first removed the events documented in the initial catalog within two months after the Wenchuan M_S 8.0 and Lushan M_S 7.0 earthquakes, a period for which the data are highly incomplete. Then, we calculated the spatiotemporal distributions of b values before and after two large events that occurred from 2000-2019 along the Longmenshan fault by selecting events with $M \geq M_C = 1.5$ and using a time window and spatial grid to calculate the b values. In this computation, the window lengths were set to at least 500 events in the Wenchuan aftershock zone and 200 events in the Lushan aftershock zone. Each window was moved forward by one event at a time.

Figures 5a and 5b display time series of the b value in source regions, and the overall change trend conforms to our postulation that b values will undergo relatively significant changes in a period of time before and after a large earthquake. Specifically, b values show a decreasing trend before the occurrence of both large earthquakes in both zones. To ensure that this trend is statistically significant, we quantitatively assessed the temporal variation in b values using the P parameter test and selected three windows before the M_S 8.0 event (W_1 , W_2 , and W_3) and the M_S 7.0 event (L_1 , L_2 , and L_3). Window selection was based on the significance of changes in b values (Fig. 5a, 5b). The results are shown in Table 1. The b value in the sample window has significantly changed when $\Delta AIC \geq 2$ ($P_b \approx 0.05$) (Utsu, 1999). Table 1 shows that the b values decreased before both large earthquakes with statistically significant variations.

Table 1 Results of the P parameter test between windows

Windows	ΔAIC	P_b
W_1 & W_2	3.8	0.02
W_2 & W_3	3.2	0.03
W_1 & W_3	19.9	6.4×10^{-6}
L_1 & L_2	2.6	0.03



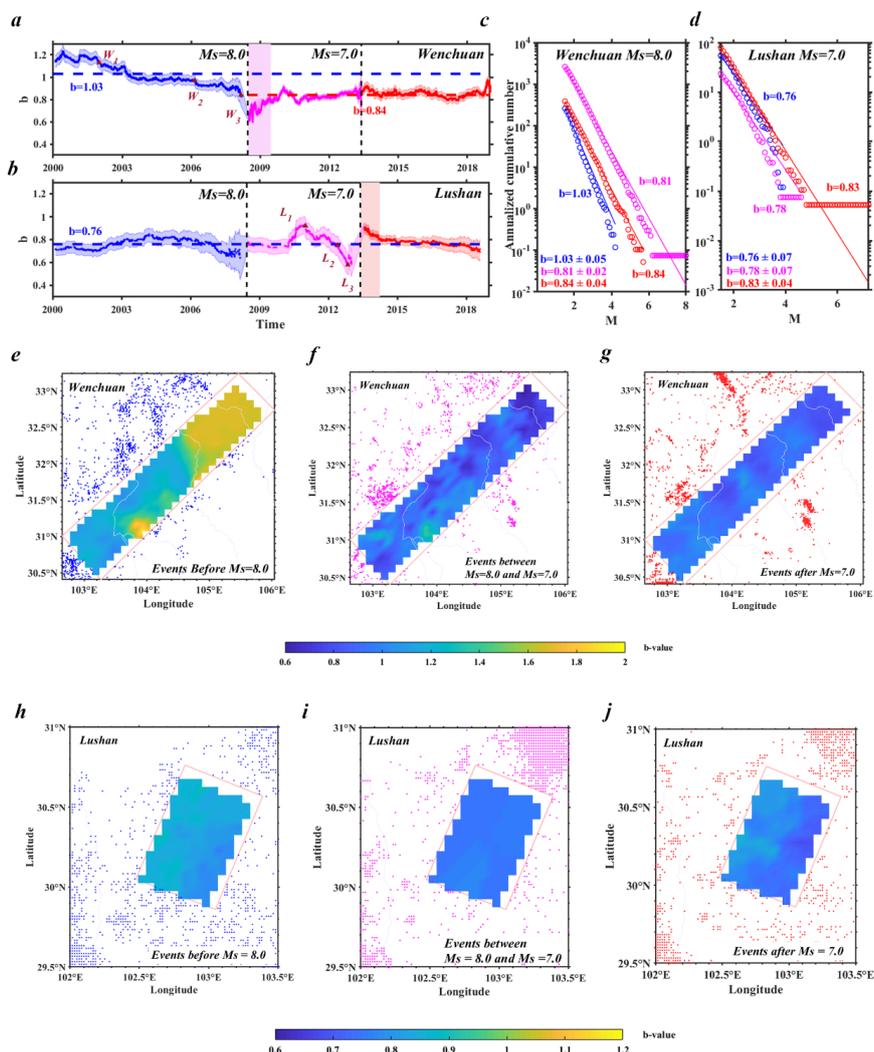
L_2 & L_3	4.6	0.01
L_1 & L_3	20	5.9×10^{-6}

252 After the Wenchuan M_S 8.0 event, the b values in the Wenchuan aftershock zone experienced
253 a period of dramatic fluctuation (indicated by the pink shading lasting not more than one year)
254 before gradually stabilizing within a small fluctuation range (Fig. 5a), which was similar to the range
255 of b values in the third period (Fig. 5c, $b=0.84$). After the Lushan M_S 7.0 event, the b values in the
256 Lushan aftershock zones increased rapidly and then slowly dropped to a stable state (Fig. 5b), which
257 was similar to the FMD in the first period (Fig. 5d, $b=0.76$). As shown in Fig. 5b (red shading), the
258 b value required less than ten months to return to a stable state.

259 The reference b values can be estimated for the background levels (for the period, $b=1.03$ in
260 the Wenchuan aftershock zone and $b=0.76$ in the Lushan aftershock zone). When the perturbation
261 effect of the mainshocks gradually decreases, the b values in the Lushan aftershock zone eventually
262 return to the background level ($b=0.76$), whereas those in the Wenchuan aftershock zone drop below
263 the background level (from 1.03 to 0.84). To date, there have been no earthquakes that have
264 significantly changed the stability of the Longmenshan fault zone since the M_S 7.0 Lushan
265 earthquake.

266 The temporal distribution of earthquakes also indicates the change in stress state of faults and
267 their surroundings. As shown in Fig. 2a, before the M_S 8.0 Wenchuan earthquake, the frequency of
268 events in the Wenchuan aftershock zone had been decreasing for a year since 2006, and only two
269 events greater than M_S 4 occurred during the period when b values were significantly decreasing
270 (Fig. 5a). However, earthquakes greater than M_S 4 struck the entire Wenchuan aftershock zone after
271 the M_S 8.0 event (Fig. 1). In addition, there were no strong aftershocks above magnitude 6.5 along
272 the faults, and only six events greater than M_S 4 occurred within two months after the mainshock.
273 These phenomena indicate that without the continuous perturbation of strong aftershock, the b value
274 gradually stabilized to the state mainly determined by the background earthquakes, which tended to
275 shift to larger events following the M_S 8.0 event in the Wenchuan aftershock zone.

276 After the M_S 8.0 Wenchuan earthquake, the Lushan aftershock zone also experienced a
277 “seismic quiescence” of approximately two years, and only one event greater than M_S 4 occurred
278 before the M_S 7.0 Lushan earthquake during the period when b values were significantly decreasing
279 (Fig. 2b). Moreover, only a few events greater than M_S 4 occurred within two months after the
280 mainshock; the subsequent events basically returned to the magnitude of the background
281 earthquakes before the mainshock, which shows that the b values in the Lushan aftershock zone
282 eventually returned to the background level (Fig. 5b).



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Figure 5. Temporal and spatial analysis of the b values for the Wenchuan-Lushan sequence

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a, b, Temporal variation of b values for the Wenchuan and Lushan source regions. The dashed black lines represent

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the times of the M_s 8.0 (Wenchuan) and M_s 7.0 (Lushan) events, and the dashed blue lines show the background b

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values for the Wenchuan and Lushan source regions. The dashed red line represents the b value after the time of

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the M_s 7.0 event (Lushan) for the Wenchuan source region. The shaded regions represent the uncertainties in the b

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values. **c, d,** FMDs for the two aftershock zones in three different periods. **e, f, g,** Map showing the spatial

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footprint of b values for the Wenchuan aftershock zone in three different periods. **h, i, j,** Map showing the spatial

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footprint of b values for the Lushan aftershock zone in three different periods.

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To analyze the spatial footprints of the changes in the b values, we divided the two study

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regions into $0.1^\circ \times 0.1^\circ$ grids, sampled the 300 events nearest to each grid node within radius of 30

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km, and re-estimated the M_C in each node. For this purpose, we used a bootstrap approach to sample

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the events 1000 times randomly. The spatial footprints of the changes in the b values are consistent



296 with the FMDs (Fig. 5c, 5d). Figures 5e-5g demonstrate the spatial variation in the b values
297 throughout the Wenchuan aftershock zone. Figures 5h-5j show the spatial variation in the b values
298 in the Lushan aftershock zone.

299 The b value in the southern part of the Wenchuan source region and Lushan source region was
300 lower than that in the northern part of the Wenchuan aftershock before the M_S 8.0 Wenchuan event
301 (Fig. 5e, 5h). This pattern is consistent with the characteristics of the Longmenshan fault, which is
302 a strike-slip fault in the north and a thrust fault in the southern section. It is generally considered
303 that the b value is inversely proportional to stress, and the b values of different types of faults are as
304 follows: $b(\text{normal}) > 1$, $b(\text{strike-slip}) \sim 1$, and $b(\text{thrust}) < 1$ (Schorlemmer et al., 2005; Gulia et al.
305 2010). The conditions changed markedly after the M_S 8.0 Wenchuan event; the b values decreased
306 in the Wenchuan source region and Lushan source region (Fig. 5f, 5i). This finding illustrates the
307 effect of the Wenchuan earthquakes on stress change along the Longmenshan fault. Figures 5g and
308 5j illustrate the stable state of the b value in the Longmenshan fault zone.

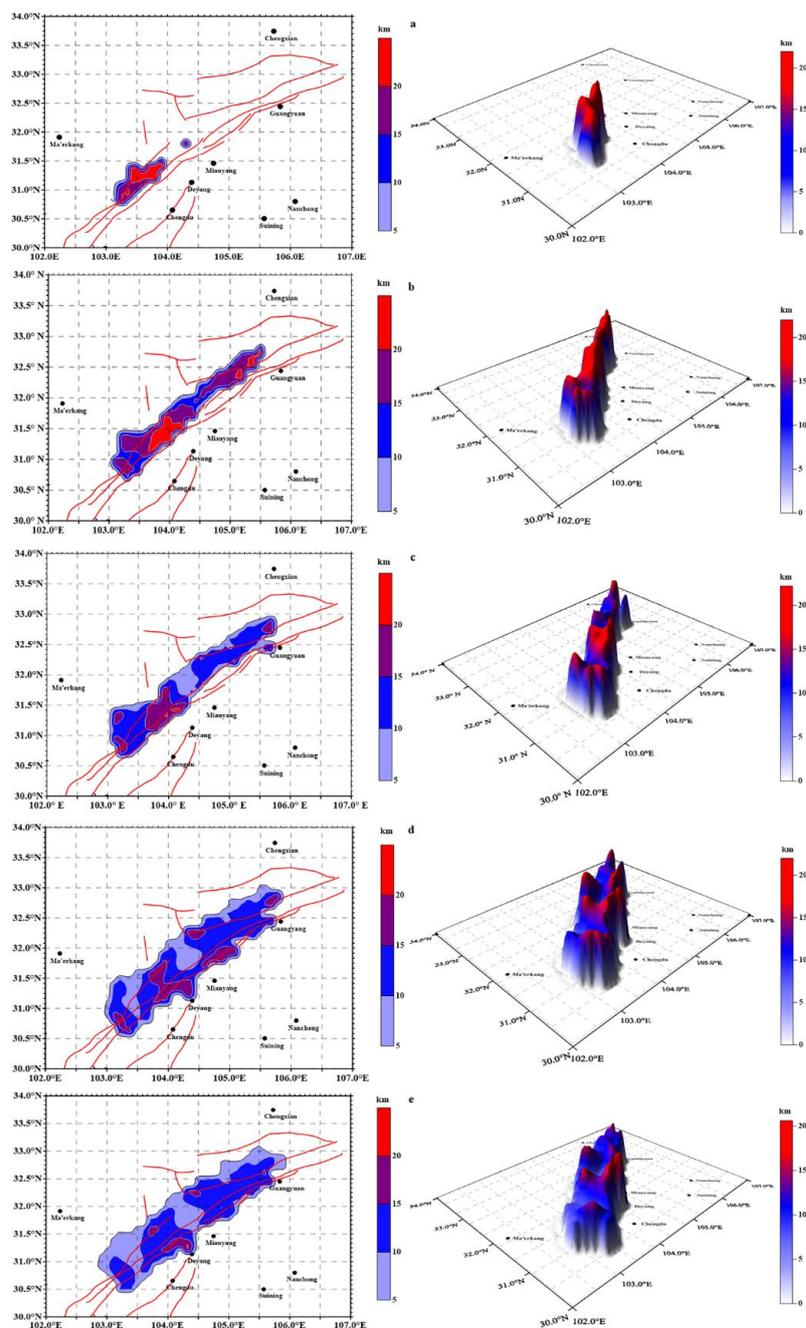
309 4.3 Evolution of images of aftershock activity depicted by focal depth

310 Spatial scanning was performed using events with depth data in the catalog, i.e., at a step size
311 of 0.1° for both longitude and latitude. For all earthquakes in each $0.1^\circ \times 0.1^\circ$ grid point, the average
312 depth was used as the depth value of the grid point, and then kriging interpolation was applied to all
313 the grids. We counted at least ten events in each grid node in the Wenchuan aftershock zone as
314 samples (as well as five events for the Lushan aftershock zone) to prevent the average depth of grid
315 points from being affected by too few events. The contour lines of depth distribution at different
316 periods after the mainshock were obtained and superimposed with regional faults (Fig. 6, Fig. 7).

317 To illustrate the evolution of the deep seismogenic environment in the Wenchuan aftershock
318 zone. Figure 6 shows the spatial evolution of the aftershock depth at one day, one week, one month,
319 six months, one year and three years after the mainshock. The analysis shows that the focal depth
320 of the Wenchuan aftershock zone spread along the direction of the fault. With Mianyang as the
321 boundary, the aftershock zone can be divided into the southern section and the northern section. The
322 depth distribution is generally deep in the southeast and shallow in the northwest, and the average
323 aftershock focal depth is 10-15 km.

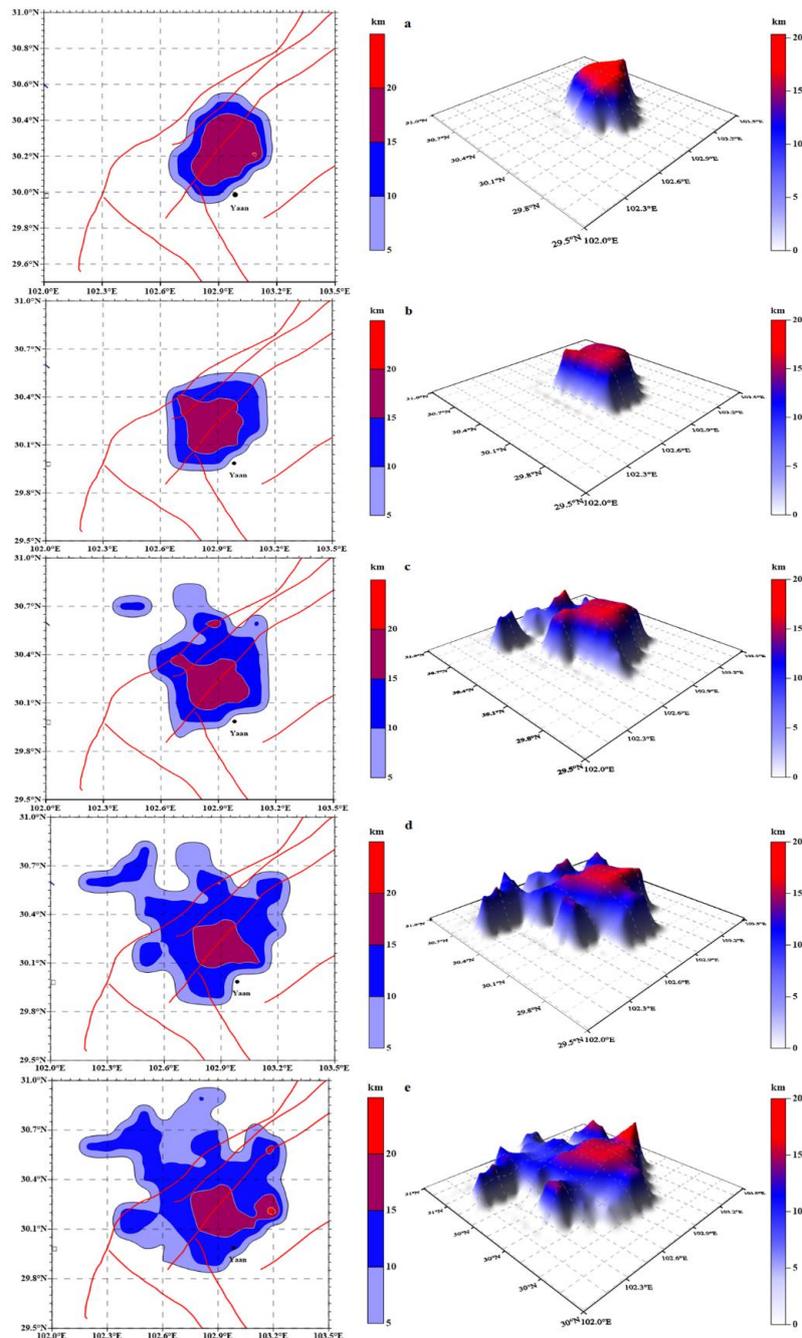
324 A comparison of the aftershock activity images depicted by the focal depth information shown
325 in Fig. 6d and Fig. 6e revealed that the image formed one year after the mainshock did not show a
326 significantly different pattern in the following two years. This finding indicates that after the
327 mainshock, the aftershock frequency tends to be stable one year later, which means that the
328 aftershock active period of the Wenchuan M_S 8.0 was less than one year.

329 Figure 7 shows the spatial evolution of aftershock depths at one day, one week, five months,
330 ten months, one year and three years after the mainshock in the Lushan aftershock zone. The analysis
331 shows that the focal depths of the Lushan aftershock are distributed around the fault, with the fault
332 as the boundary, with a trend of deep in the southeast and shallow in the northwest. Moreover, a
333 comparison of the regional aftershock activity images depicted by the focal depth information in
334 Fig. 7d and Fig. 7e revealed that the pattern of the image formed ten months after the mainshock
335 presented limited changes in the following one year, which indicates that the aftershock frequency
336 tended to be stable ten months after the Lushan mainshock. Therefore, the aftershock active period
337 of the Lushan M_S 7.0 earthquake was less than ten months.



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Figure 6. Spatial distribution of the focal depths of the aftershocks following the Wenchuan M_S 8.0 earthquake on the fault plane. Red lines represent the locations of faults. a One day after the mainshock; b 1 week after the mainshock; c 1 month after the mainshock; d 1 year after the mainshock; and e 3 years after the mainshock.



343
344 **Figure 7. Spatial distribution of the focal depths of the aftershocks following the Lushan M_s 7.0 earthquake**
345 **on the fault plane. Red lines represent the locations of faults. a** One day after the mainshock; **b** 1 month after the
346 **mainshock; c** 5 months after the mainshock; **d** 10 months after the mainshock; and **e** 2 years after the mainshock.



347 5 Discussion

348 Earthquake interaction can change the stress state of faults, which is reflected in both
349 earthquake activity rate and earthquake size distribution. The relationship between stress state and
350 b value can be used to quantify the effect of large earthquakes that can significantly change the
351 stress states and, therefore, the earthquake size distribution. In contrast to previous studies on the
352 Wenchuan and Lushan earthquakes, our paper focuses on a quantitative analysis and discussion of
353 the effect of the Wenchuan M_S 8.0 and Lushan M_S 7.0 earthquakes on the size distribution of the
354 earthquakes along the Longmenshan fault at different times.

355 Interpretation of the b value and its variability according to physical mechanisms has received
356 considerable attention and discussion. In most cases, the observation of spatial and temporal b value
357 variability can be caused by several factors: *i*) Process of estimation: homogeneity of catalog and
358 method of calculation can affect the results. All the data in this work are from the Longmenshan
359 fault and its surroundings, and each event includes the time, location and magnitude depth. The
360 maximum likelihood estimation and least-squares regression method (Aki, 1965; Utsu, 1965;
361 López-Pineda and Rebolgar, 2005; Singh et al, 2011; Woessner et al. 2015; Shi et al.,2018, Li et
362 al.,2018; Gulia and Wiemer, 2019) are used to estimate the b value and its uncertainty, but the latter
363 is excessively affected by the largest earthquake magnitude. Marzocchi et al. (2020) measured the
364 bias on b values caused by the magnitude binning and catalog incompleteness when the b value is
365 estimated by the maximum likelihood estimation and provided guidance to reduce the likelihood of
366 being misled by b value variation. *ii*) Stress conditions: the b value and its variation represent stress
367 buildup and release. The differential stress is inversely dependent on the b value has been observed
368 in laboratory experiments (Varotsos et al., 2013; Sarlis et al., 2013) as well as in the field (Scholz,
369 2015; Rodríguez-Pérez and Zuñiga, 2018). The stress acting on a fault may control the variation in
370 the b value in space and time. Parsons et al. (2008) calculated the regional Coulomb stress changes
371 on major faults surrounding the rupture resulting from the Wenchuan M_S 8.0 and showed that
372 significant stress increased in the Lushan aftershock zone. Other studies obtained similar results
373 (Shan et al., 2013; Parsons and Segou, 2014; Zhu, 2016). The spatial variation in the b values
374 throughout the Lushan aftershock zone decreased after the M_S 8.0 mainshock (Fig. 5i), and the same
375 effect occurred in the southern part of the Wenchuan aftershock zone after the M_S 7.0 event (Fig.
376 5g). These finding suggest that the b value is negatively correlated with stress and indicate the effect
377 of the earthquakes interaction along the Longmenshan fault zone. *iii*) Crustal tectonics: the variation
378 in b value can be interpreted according to the tectonic characteristics, i.e., rock heterogeneity
379 (Gerstenberger et al., 2001), focal depth (Spada et al., 2013), pore pressure (Bachmann et al., 2012),
380 and fault types (Schorlemmer et al., 2005; Gulia et al. 2010). Previous studies have shown that the
381 b value in different types of faults is $b(\text{normal}) > 1$, $b(\text{strike-slip}) \sim 1$, and $b(\text{thrust}) < 1$ (Beauval and
382 Scotti, 2004; Ishibe et al., 2008; Gulia et al. 2010). As shown in the spatial footprints in Fig. 5, the
383 b value of the southern part of the Longmenshan fault zone is lower than that in the northern part.
384 This pattern is consistent with the tectonic characteristics of the Longmenshan fault, which is a
385 strike-slip fault in the north and a thrust fault in the southern part (Hubbard and Shaw, 2009; Lei
386 and Zhao, 2009).

387 Stress changes seem to be a key factor that affects the b value and its variation. Excepting the
388 approach of estimation, all other factors are secondary because they are directly or indirectly



389 affected by the stress (El-Isa and Eaton, 2014). Therefore, the observed falls in the b values shown
390 in Fig. 5a and Fig. 5b was interpreted as changes in the related stress conditions, which could be
391 precursors to large earthquakes. However, these temporal variations may occur over a timescale
392 ranging from months to years, and the timeliness and effectiveness of this variability as an indicator
393 are difficult to guarantee. Additionally, there is usually an insufficient number of events to accurately
394 calculate the b value before large earthquakes. Gulia and Wiemer (2019) pointed out that the period
395 following a moderate earthquake is rich in such data, with thousands of events occurring within a
396 short period. These events may allow real-time monitoring of the evolution of b values. The authors
397 claim that the probability of a larger earthquake following a moderate earthquake increases by
398 several orders of magnitude if the b value remains the same or drops significantly rather than
399 increases. However, Brodsky (2019) suggested that the observed pattern revealing the changes in b
400 values is a statistical effect rather than deterministic and that researchers need more cases to test this
401 claim.

402 In general, thousands of aftershocks occur in the period following a large earthquake. Based
403 on these abundant data, there are two typically common operational aftershock forecasting models
404 used in aftershock hazard assessment, namely the short-term earthquake probability (STEP) model
405 (Gerstenberger et al., 2005) and epidemic-type aftershock sequence model (Ogata, 1988, 1999).
406 Gulia et al. (2018) reported that these models forecast a high probability for a repeat of the
407 mainshock rupture and thus substantially overestimate the aftershock hazard. This paradox can be
408 resolved by taking into account the stress changes and their effect on the earthquake size distribution.

409 Our results showed that the time series of the b value in the Longmenshan fault zone after the
410 mainshocks exhibited a period of significant fluctuation before returning to the stable state in both
411 the Wenchuan aftershock zone (one year) and the Lushan aftershock zone (ten months). Figure 6
412 and Figure 7 show that the time required for the b values to return to a stable state after both
413 mainshocks was entirely consistent with the time required for the aftershock depth images to cease
414 changing visibly. The spatial footprints of the changes in the b value reveal that the southern part of
415 the Longmenshan fault zone is lower than the northern part. This finding demonstrates that the
416 Wenchuan and Lushan events did not change the pattern of higher stress in the southern part of the
417 Longmenshan fault zone than in the northern part. However, the most obvious change is that after
418 the mainshock, the size distribution of the subsequent earthquakes in the Wenchuan source region
419 shifts toward relatively larger events (lower b values).

420 The Longmenshan fault zone began to develop in the Late Triassic, and severe tectonic
421 deformation occurred during the Indo-China and Himalayan movements, forming a combination of
422 thrust and strike-slip displacement (Lei and Zhao, 2009; Deng et al., 2012). Previous studies did not
423 comprehensively quantify the stable state for the Longmenshan fault zone before and after the two
424 large events in a long time series (Zhao et al. 2008; Wang et al., 2014; Parsons and Segou, 2014;
425 Zhu, 2016; Liu et al., 2017, Shi et al., 2018, Li et al., 2018). The b value is a measurable indicator of
426 earthquake size distribution within a specified region and period of time and is dependent on stress.
427 With our present results, we reported the temporal and spatial variation in b values before and after
428 two big earthquakes and fitted the source depth in time and space to quantify the stress changes and
429 their effect on the earthquake size distribution in the Longmenshan fault zone.



430 **6 Conclusions**

431 Based on the tectonic characteristics and potential seismicity surrounding the aftershock zones
432 of the Wenchuan M_S 8.0 and Lushan M_S 7.0 earthquakes, we studied the spatial and temporal
433 variation of b values in two source regions from 2000 to 2019. In addition, the spatial evolution
434 process of the deep seismogenic environment in the Wenchuan and Lushan aftershock zones was
435 drawn by spatial scanning and depth data fitting.

436 The results depict the decreasing trends of b values before the two large earthquakes in the
437 study region. Additionally, the b value in the Wenchuan aftershock zone took approximately one
438 year to enter a new stable state (b values ranging from 1.03 to 0.84), while the b value in the Lushan
439 aftershock zone took approximately ten months to return to its original stable state ($b=0.76$).
440 Moreover, the major aftershock active periods of the Wenchuan M_S 8.0 and Lushan M_S 7.0
441 earthquakes were less than one year and ten months, respectively, which are consistent with the time
442 required for the b value to return to a stable state. The spatial footprints of the changes in the b
443 values results reveal that the Wenchuan M_S 8.0 and Lushan M_S 7.0 events did not change the pattern
444 of high b values in the north and low b values in the south along the Longmenshan fault zone.

445 We quantified the effect of the Wenchuan M_S 8.0 and Lushan M_S 7.0 earthquakes on the size
446 distribution of earthquakes along the Longmenshan fault. Future studies can focus on how to
447 quantify the effect of large earthquake size distribution across different tectonic regimes and apply
448 the findings in potential seismic risk assessment.

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456 **Author Contributions**

457 Conceptualization, C X.C. and S.S.; Data curation, J.C.; Funding acquisition, C X.C.;
458 Investigation, C.H. and S.S.; Methodology, C.H. and C X.C.; Software and Code, C.H.; Manuscript
459 editing, C.H.; Manuscript revision, C X.C. and P C.G.; Supervision, C X.C.; Visualization, J.Y. and
460 M.Z.



461 **Competing Interests**

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