1 Earthquake-induced landslides monitoring and survey by means of

2 InSAR

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13 Abstract. This study uses interferometric SAR techniques to identify and track earthquake-induced landslides landslides and 14 as well as lands prone to landslides, by detecting deformations fringes and changes in areas struck by earthquakes. The pilot 15 study area investigates the Mila region (in Algeria,) which suffered significant landslides and structural damages (earthquake: 16 Mw-5, 2020-08-07):-). the study checks ground deformations and tracks earthquake induced landslides. DInSAR analysis 17 shows shows normal interferograms, with atmospheric contribution, and slightsmall fringes. However, i_The Coherence 18 Change Detection (CCD) and DInSAR analysis were able to identify many landslides and ground deformations confirmed 19 also byIn addition, SAR images and Sentinel-2 optical images_(Sentinel 2) confirm and site field inspection. The most 20 important displacement (2.5 m), located in Kherba neighborhood, caused causing severe damages to dwellings. It is worth 21 notice that CCD and DInSAR are very useful since they were also able to identify ground cracks surrounding a large zone 22 (3.94 Km² area) in Grarem City whereas the Sentinel-2 optical images could not detect them. These unnoticed ground disorders 23 were confirmed during fields inspection. Such results have key importance since they can serve as an alert to monitor the zone 24 at the proper time. Although, Displacement displacement time time series analysis of 224 many interferograms -(April 04-25 2015 to September 09-2020) using LiCSBAS were performed using LiCSBAS did not detect any pre-event geotechnical 26 precursors, the post-event analysis shows a 110 mm/y subsidence velocity in the back-back-hillside of Kherba, and high 27 displacement velocity at specific points in Grarem region.

28 1 Introduction

Although it is still challenging to predict exactly where and when natural hazards (earthquakes, landslides, floods, etc.) might occur, the capacity to monitor and survey the zones prone to important landslides as well as the capacity to identify and locate those impacted by earthquakes are key issues in risks mitigation, reduction, preparedness and adaptation. Actually, since earthquakes and landslides might occur in many places worldwide, they might cause a huge number of victims, important socio-economic, assets damages and losses. Their impact can be significantly reduced thanks to satellite imaging which allows prediction and early alerts of some landslide cases (Del and Idrogeologico, 2012; Jacquemart and Tiampo, 2021; Moretto et al., 2021). 36 It is then worth detecting or predicting critical ground changes at specific places, either after a geotechnical disorder-hazard 37 occurs due to landslides and earthquakes mainly, or before it is suddenly triggered (Bakon et al., 2014; Galve et al., 2015). 38 Such challenges can be tackled by regular image processing oriented landslides areas monitoring, in the aftermath of 39 earthquakes, by means ofusing SAR interferometric methods and optical images, for instance. Actually, since InSAR 40 (Interferometric Synthetic Aperture Radar) is an active sensor system that uses microwave signals to collect data backscattered 41 from the earth's surface, the use of satellite imaging systems like Interferometric InSAR methods appears as a cost-effective 42 way for measuring millimeter-level displacements of the earth surface (Herrera et al., 2009), at a regional scale and can be 43 used as an early warning system for the safety of structures and their surroundings (Galve et al., 2015; Roque et al., 2015).

44 The expected outcomes are based upon the processing of SAR data making use as it uses of Differential InSAR (DInSAR), 45 Coherence Change Detection (CCD), and time series analysis (<u>using-LiCSBAS software</u>). LiCSBAS of that exploitexploits 46 the LiCSAR data which is a system for andthat process processing-InSAR datasets automatically automatically (Sentinel-1 47 dataset) using LiCSBAS software, to illustrate thetaking advantages of high-resolution SAR sensing, in order to track for the 48 objective of tracking ground changes and landslides.

49 The-performed SAR analyses can reveal some aim to detect ground deformations changes detected through DInSAR and 50 CCD mapsinvestigations, as they consider, for illustrative purposes, a city in Algeria struck by an earthquakeas it is shown the illustrative purposes for the 7th of August 2020 earthquake (August 7, 2020: Algeria, Mila): . Thethe ground se 51 52 deformations and displacements, which occurred in Kherba City the and northeastern part at the Grarem City 53 (northeastern part of Mila downtown, {2 km from Mila downtown) and Kherba City are investigated presented as , caused a 54 loss of coherence in CCD maps_and_as fringes in DInSAR maps. Their extend affects affected areas of aroundspan over 55 3.94 km² for Grarem and 2.1 km² for the Kherba landslides-areacase. Furthermore, the a time-series analysis of LiCSAR data 56 performed out by using LiCSBAS software, investigates reveals a slothew possible existence of precursors in geotechnical 57 conditionssubsidence deformation signal in the left partof the other of Kherba landslide side area in the Kherba case.

The formed fringes and coherence loss in <u>the</u> Grarem case indicate the boundary of a potential land failure. The results can
 serve as early warning information provided by the InSAR monitoring system, since the area should be monitored to investigate
 the existent of plane failure or probable growing <u>slope failures</u> disorder.

61 2 Land and ground movements monitoring and surveying in the aftermath of an earthquake

62 2.1 Satellite images and methods - Case study

The present research study is multifold. It aims to use InSAR image processing for various purposes, in the case of landslidesand earthquakes:

- Use the InSAR in the aftermath of an earthquake in order to identify the geotechnical disordershazardsdisplacements
 or deformations, their extent, and locations. The Differential radar interferometry and the Coherence Changes
 Detection are the most adapted methods for ground and soil surfaces changes detection (Jung and Yun, 2020; Meng
 et al., 2020; Pawluszek-Filipiak and Borkowski, 2020; Tampuu et al., 2020; Tzouvaras et al., 2020). A city, Mila, in
 Northern Algeria, is considered as the pilot study. It has been struck by an earthquake in August 2020. The geotechnical
 hazards_disorders_(landslides and surface faults)cracks have been_affected significantly, during the same-earthquake
 events series, two distinct zones being distant by almost 15 km from each other (Kherba and Grarem).
- Use the time __series analysis to investigate study the mean displacements and mean <u>displacementheirt_velocity</u>
 velocities before and after the occurrence of the main shock. For the city of Mila, the time series is performed out for
 a period extending from April 2015 up to October 2020, i.e. a long period before the (*April 2015 up to March 2020*,
 i.e. 5 entire years)_and a period 4 months ahead of the main shock in order to avoid a disturbance or bias that might
 be related to seasonal effects such as rains and vegetation effects (Lazeckỳ et al., 2020a).(Lazeckỳ et al., 2020a), and

- 77 <u>a short period (4 months) aheadahead of-of</u> the event date in order to checkinvestigate the historical development of
 - 78 <u>the landslide.</u>
 - 79

- Compare and correlate the InSAR images processing results with the satellite optical images observations.

80 2.2 Pilot zone, earthquakes and landslides - Observed disorders

The case study area lies in Mila Province which is located in the northeast part of Algeria (Mediterranean zone), near the Dam of Beni Haroun. The Mediterranean zone is seismically active because of the northward convergence (4-10 mm/yr) of the African plate relative to the Eurasian plate along a complex plate boundary_(Frizon de Lamotte et al., 2000; Mouloud and Badreddine, 2017; Peláez Montilla et al., 2003; USGS, n.d.)-. Throughout the last years, several landslide events have taken place in the wider region of Mila_(Merghadi et al., 2018). Merghadi et al.(2018) constructed a detailed landslide inventory map of the study area. The seismic activities and landslides pose a persistent threat for built-up areas and facilities, such as roadways, bridges and tunnels, which need continuous monitoring and survey.

- After an earthquake (Mw 5, 2020-08-07, epicenter 36.550° N 6.271° E, Depth=10 km, (USGS)) that struck this region,
 important landslides were mostly observed in Mila City and its surroundings, see Figs. 1 and 2-3. Although, the earthquake
 was moderate, Beni Haroun Dam and the two large bridges built on the RN 27 highway needs to be inspected and their possible
- 91 displacements monitored.



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Figure 1. Mila location map (a), ascending and descending orbits footprints. Red stars indicate earthquake epicenter (QGIS, ESRI basemap).
In the present, two areas are studied, i.e. Kherba and Grarem Cities. The altitude at the top point 1 (Fig. 42.a) in Kherba
hill is 654 m and <u>in 411 m for the upper point (2) is 411 m a.s.l. in the upper point (2), located at with a horizontal length</u>
between 2.14 km distance with 11.34% and a slope of 11.34%. The maximum ground horizontal offset reached 2.5 m and the
vertical deformations exceed 1.8 m (Fig. 23.b) at the top of Kherba hill (point A Fig. 42.a). The slope failure boundary of
Kherba City is mapped as shown in Fig. 42.b. The Grarem area of interest (AoI) is located at east north of Mila in a-hilly
ground with an average slope reaching 12.5%, see Fig. 42.c.



Figure 42, 3D view of AoIs, Kherba AoI and Grarem using QGIS with DEM SRTM 1sec and ESRI basemap, a & b are Kherba AoI, c is
 the Grarem case area, the red polygon is the boundary of change detected by InSAR.

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107 (a) (b) (c)
 108 Figure 23. Ground cracks due to landslides in Kherba, Mila, ~2.5m offset towards the North, a. Drone aerial photo from (LNHC), b. & c. 109 Lateral displacements (*Photos: courtesy M. Yacoub A., University of Setif, Algeria*).

110 2.3 Pilot zone - Data and images collection

111 The dataset used for this study is collected from European Space Agency (ESA), via the Copernicus Open Access portal, and 112 from the Alaska Satellite Facility (ASF DAAC). The C-band Sentinel-1 A and B, launched in 2014 and 2016 respectively, 113 provide regular data-sets. +The Sentinel-1 sensors have a wavelength of 5.546 cm (ESA), suitable for change detection and 114 monitoring of large areas, and are right side-looking with an incidence angle ranging approximately from 20° to 46° (ESA, 115 2012)-, which are suitable for change detection and the monitoring of large areas. (Morishita, 2021). For the InSAR use, the 116 Interferometric Wide (IW) swath Single Look Complex (SLC) data is selected and processed with the open-source software 117 SNAP (Sentinel Applications Platform). It is worth using data from many orbits to monitor the AoIs due to different oriented 118 directions, incidence angles of satellites, and the ground topography. The optical images of Sentinel-2 sensors satellites are 119 obtained from ESA, whereas downloading and processing data is done via QGIS, Semi-Automatic Classification Plugin (SCP) 120 (Congedo, 2021).

121 For Mila region, the AoI is covered by 3 orbits-(, 2two are ascending (66, 59) and 1-one is descending 66, 59(, and 161) 122 (Fig. 1). Since the present study intends to detect the areas influenced by landslides, many pre-event and post-event data 123 are were used in order to get an accurate evaluation of the event. Eighteen Sentinel-1 A and 17 Sentinel-1 B images (a total of 124 35) images were downloaded to monitor Mila's area for the period of from 1 July 2020 to 26 October 2020, to monitor Mila's 125 area, and are being used to perform out a detailed study on the land deformations and the dynamics of the landslide. Table 1 126 summarizes the appropriate interferograms, i.e. those having -with that have a small perpendicular baselines and short temporal 127 baselines. Tables 1, -2, and - 2bis presents all the images, their -used in this study and they are labelled as IFG-ID, 128 Orbits, and dates of their acquisitionssummarize the whole data collected for the case study.

Table 1. Characteristics of Sentinel-1 InSAR pairs for Mila caseused for this study.

IFG-ID	Track	M Date	S- Date	<i>Bp</i> [m]	Bt [days]
IFG- <mark>40</mark>		2020-07-22	2020-07-28	-9.99	6
<u>IFG-1</u>		<u>2020-07-28</u>	<u>2020-08-03</u>	<u>40.90</u>	<u>6</u>
IFG-2	66 ASCENDINC	2020-07-28	2020-08-03	40.62	6
IFG-3	00 ASCENDING	2020-08-03	2020-08-09	-51.47	6
IFG-4		2020-08-03	2020-08-09	-50.76	6
IFG-5		2020-08-09	2020-08-15	-27.57	6

IFG-6		2020-08-09	2020-08-15	27.62	6	
IFG-7		2020-08-15	2020-08-21	-16.19	6	
IFG-8		2020-08-21	2020-08-27	42.43	6	
IFG-9		2020-08-27	2020-09-02	-28.59	6	
IFG-10		2020-09-02	2020-09-08	29.26	6	
IFG-11		2020-09-08	2020-09-14	17.95	6	
IFG-12		2020-09-14	2020-09-20	-6.05	6	
IFG-13		2020-09-20	2020-10-02	-4.64	12	
IFG-14		2020-10-02	2020-10-14	18.13	12	
IFG-15		2020-10-14	2020-10-26	-49.36	12	
IFG-16		2020-07-27	2020-08-02	69.64	6	
IFG-17		2020-08-02	2020-08-08	-75.10	6	
IFG-18	59 ASCENDING	2020-08-08	2020-08-14	-8.86	6	
IFG-19		2020-08-14	2020-08-20	175.97	6	
IFG-20		2020-08-20	2020 08 26	226 75	6	
		2020 00 20	2020-08-20	-226.75	0	
IFG-21		2020-07-22	2020-08-28	-226.75	6	
IFG-21 IFG-22		2020-07-22 2020-07-28	2020-08-28 2020-07-28 2020-08-09	-226.75 -169.19 30.39	6 12	
IFG-21 IFG-22 IFG-23		2020-07-22 2020-07-28 2020-07-28	2020-08-28 2020-07-28 2020-08-09 2020-08-03	-226.75 -169.19 30.39 99.88	6 12 6	
IFG-21 IFG-22 IFG-23 IFG-24	161 DESCENDING	2020-07-22 2020-07-28 2020-07-28 2020-08-03	2020-08-28 2020-07-28 2020-08-09 2020-08-09 2020-08-09	-226.75 -169.19 30.39 99.88 -70.12	6 12 6 6	
IFG-21 IFG-22 IFG-23 IFG-24 IFG-25	161 DESCENDING	2020-07-22 2020-07-28 2020-07-28 2020-08-03 2020-08-09	2020-08-28 2020-07-28 2020-08-09 2020-08-03 2020-08-09 2020-08-15	-226.75 -169.19 30.39 99.88 -70.12 2.14	6 12 6 6 6 6	
IFG-21 IFG-22 IFG-23 IFG-24 IFG-25 IFG-26	161 DESCENDING	2020-07-22 2020-07-28 2020-07-28 2020-08-03 2020-08-09 2020-08-15	2020-08-28 2020-07-28 2020-08-09 2020-08-09 2020-08-09 2020-08-15 2020-08-21	-226.75 -169.19 30.39 99.88 -70.12 2.14 121.22	6 12 6 6 6 6 6	

Bt: temporal baseline; **Bp**: perpendicular baseline.

131 The All-temporal baselines time interval for all InSAR pairs processing is are 6 days, except the last three pairs of the 66 132 ascending pass orbit 66 that have 12 days. Furthermore, since a bad coherence map of the IFG-24 (Orbit 161), may lead to misinterpretation of results, early-prior acquisition images data (before the 3rd of August) with a time interval of 12 days are is 133 134 selected for to generate the co-event interferogram for the 161 descending pass (IFG-22)₃. <u>+Therefore the temporal baseline is</u> 135 12 days. The gray <u>cells rows</u> in Table 1 represent the co-event interferograms of the three orbits. The perpendicular baselines 136 guarantees also a good quality of InSAR studies (Braun, 2019). As LiCSBAS time series analysis aims to investigate long 137 period displacements and velocities over a large area, 34 interferograms IFGs from the from orbit 66 and 190 interferograms 138 interferograms collected IFGs form the 161 ascending track (Table 2), are selected for the present study.

Table 2. LiCSAR frames, analyzing periods and the total number of IFGs used in this study.

Frame ID	Da	ate	Period	IFGs	
	Start	End	I chiou	11 05	
161A_05343_090806	2015-4-26	2020-9-26	66 month	190	
066D_05394_131311	2020-4-5	2020-9-26	6 months	34	

Table 2bis. Sentinel-2 optical images collected for the study case.

Frame ID	Date	Duration days, to the main shock
Image 1	2020-07-30	-7 days
Image 2	2020-08-09	+ 2 days

141 3 Methodology description and results

- 142 Four aspects are investigated and compared in the present case study:
- 143 The SAR Interferometric (InSAR) methodology, which is subdivided into three sub-groups:
- DInSAR for the phase changes (fringes),
- 145 CDD for the coherence change detection,
- 146 Time series analysis and LiCSAR data.
- 147 The optical image processing.
- 148 For the results section, eEvery image contains the description of its source, by i.e. IFG-ID (Tables 1, 2, and 2bis) or by the
- 149 <u>image's acquisitions dates.</u>

150 3.1 SAR Interferometric methodology

The Interferometric Synthetic Aperture Radar (InSAR) is an active microwave imaging system. It is independent of sunlight and can penetrates clouds, unlike optical imaging systems which are passive passive optical imaging systems. The interferometric InSAR method uses the phase components of co-registered SAR images of the same pixel to estimate the topography and to measure the surface change in the target area (Kim, 2013). At least two constellation images are needed to generate an interferogram, which contains topographic, atmospheric effect, baseline error, and noise components (Goudarzi, 2010; Kim, 2013; Netzband et al., 2007):

157
$$\phi = \phi_{disp} + \phi_{flat} + \phi_{topo} + \phi_{atm} + \phi_{orbit} + \phi_{noise}$$
(1)

- Where ϕ_{disp} is the <u>line-of-sight (LOS)</u> displacement, ϕ_{flat} the flat earth phase, ϕ_{topo} the topographic phase, ϕ_{atm} is an atmospheric phase, ϕ_{orbit} , the baseline phase and ϕ_{noise} is noise phase contribution (Kim, 2013).
- The main steps for the studyof processing, by data using SNAP software (DInSAR and CCD), are depicted in Fig. <u>34</u>. It's worth notice that for CCD processing, it is not necessary to follow the whole (DInSAR, Phase Unwrapping, and Phase to displacement).
- 162 displacement)



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164 Figure <u>34</u>. Workflow chart for <u>the</u> DInSAR processing using (SNAP) software.

165 **3.1.1 Differential radar interferometry (DInSAR)**

- 166 Differential radar interferometry (DInSAR) exploits the phase difference to measure coherent changes or deformation between
- two image acquisitions. It is often used for ground subsidence measurement (Canaslan Çomut et al., 2020; Galve et al., 2015).
- 168 One of DInSAR's limitations is that the changes are not measurable in the case of non-coherent events (e.g., rapid landslide)
- (Braun, 2019) which such as <u>-is-the case in this</u> present study.
- 170 3.1.2 <u>Coherence Change Detection (CCD) Times series analyses</u>

171 The estimated coherence is considered as a quality indicator of an interferogram (Jacquemart and Tiampo, 2021). Actually, it

indicates that the phase and amplitude of the received signal express the degree of similarity between the images pair. The

173 pixel coherence γ of two SAR images is estimated on the basis of N neighboring pixels (Jia et al., 2019; Wang et al., 2018).

174
$$\gamma = \frac{\sum_{i=1}^{N} S_{1i} S_{2i}^{*}}{\sqrt{\sum_{i=1}^{N} |S_{2i}|^2 \sum_{i=1}^{N} |S_{2i}|^2}}$$
(2)

Where: S_{1i} , S_{2i} , are the complex signal values of the SAR image pair, N is <u>the</u> window of neighboring pixels, * is the complex conjugate.

177 The coherence values range between 0 and 1 so that the map is represented as a gray color which 0 is white and 1 is black.

178 3.1.3 Time series analysis and LiCSAR data

The <u>"Looking into Continents from Space with Synthetic Aperture Radar</u>" (LiCSAR) LiCSAR-system processes <u>automatically</u> Sentinel-1 InSAR-datasets for InSAR use, and generates wrapped, unwrapped interferograms and coherence maps (Lazeckỳ et al., 2020b), with a final product resolution of ~26.5 m (Lazeckỳ et al., 2020a). For such purposes, the open-source LiCSBAS software, adopted in the present study, is used for InSAR time series analysis based on LiCSAR data. It is able to<u>can</u> generate maps of <u>mean-LOS displacement</u> velocity <u>displacement</u> and deformation time series for all processed frames. Furthermore, it is easy to implement and does not request high-performance computing facilities (Morishita, 2021).

In addition, the mechanism of landslides can be thoroughly studied through LiCSBAS analyses. They rely on <u>the the</u> InSAR time-series analysis package integrated with <u>Looking into Continents from Space with Synthetic Aperture Radar</u> (LiCSAR), (Lazeckỳ et al., 2020b). Such <u>time-time-</u>series analyses are very <u>helpful-useful</u> in identifying, for a given landslide or <u>geological-ground disorderdeformations and displacements</u>, the prior patterns of ground movements versus the time-<u>as well</u> as foreseeing a potential disorder<u>hazards</u>.

190 **3.2 Optical image processing**

191 The optical methods-sensors are a-passive detection methodsmeans way that needs sunlight and clear weather conditions in 192 order to exploit the data. The Sentinel-2 is a multi-spectral instrument (MSI) that measures reflected solar radiance in 13 bands 193 with a spatial moderate-resolution of 10 m in the red, green, blue, and near-infrared bands (Laneve et al., 2021).

-The optical data collected from the ESA platform (Sentinel-2) is treated and plotted using QGIS software to generate true

color images (bands 2, 3, and 4 corresponding to RGB), in the present study. We skipped The present study skips the image of

196 <u>3rd, Aug 2020 due to bad weather conditions, so that only the two images collected and mentioned in the table 2bis above were</u>

197 <u>used to validate the ground changes detected by InSAR.</u>-

4 Application to the case study and results

The case studies are located in two different sites and both areas of interest are located in Algeria. They have a hilly relief: the first one is located northeast of Mila City (Grarem) and the second is at the west part of Mila City (Kherba). To monitor the AoIs, several available images are processed and used with different orbits directions (Ascending and Descendingtotal of 35 ascending and descending acquisitions, see Fig. 1), in order to catch deformation from different angles along the sensor's LOS. The InSAR technique is used in both areas, in order to detect land deformation and landslides <u>causedtriggered</u> by the earthquake.

The present study uses Sentinel 1 A and B datasets: The Sentinel 1 sensors have a wavelength of 5.546 cm (ESA) and are right side looking with an incidence angle ranging approximately from 20° to 46° (ESA, 2012), which are suitable for change detection and the monitoring of large areas. Furthermore, optical sensors data from Sentinel 2 are used in order to validate the ground changes detected by InSAR.

- 209 The four-adopted methods are applied for Mila case study in order to:
- detect and measure the co-event surface displacements and landslides, caused by the earthquake (CCD and DInSAR)
- 211 monitor their dynamic evolution in the first weeks and months, at the post-event period (CCD and LiCSAR data).
- analyze their possible initiation ahead of the earthquake by months and years, at the pre-event period (Time-series
- 213 methods and LiCSAR data).
- corroborate the results by comparing several methods outputs, i.e. SAR (CCD, DInSAR, LiCSAR), aerial optical photo
- 215 (Sentinel-2), and field surveys.

The quality of the SAR image is consistent with the topography slopes and area roughness. Actually, the AoI has rough topography, hills, and rivers (Fig. 2). Selecting either ascending or descending passes, relying on which will avoid some limitation of InSAR is an extremely essential action able to infer the deformation from various angles. Therefore, considering the regional topography and geology of the AoI is necessary to process InSAR and results interpreting.



(a) (b) (c)
 Figure 4. 3D view of AoIs, Kherba AoI and Grarem using QGIS with DEM SRTM 1sec and ESRI basemap, a & b are Kherba AoI, e is
 the Grarem case area, the red polygon is the boundary of change detected by InSAR.

224 Differential InSAR (DInSAR) method is helpful to investigate co-seismic effects and detect ground changes in the ground. 225 The produced Interferograms and coherence images are projected to WGS84 reference, with a pixel size of 13.4 m. The 226 unwrapped interferograms_<u>below</u> present phase contribution of many noise resources (atmospheric), see Fig. 5. In general, 227 strong earthquakes cause large-scale fringes patterns around the epicenter which is not the case in the event under study (a 228 moderate earthquake). Processing DInSAR analysis may then lead to misinterpretation due to atmospheric contribution in 229 differential phase interferograms (Fig. 5). In the study case, no regional deformation due to the earthquake is observed and 230 there is no need to continue investigating the dam and the two bridges by simple DInSAR. However, to monitor the dam and 231 bridges, it is highly recommended to use PS-InSAR for regional and local ground deformation detection (Hooper et al., 2004; 232 Rapant et al., 2020; Sanabria et al., 2014).

this moderate carthquake has triggered small deformation and landslides in Grarem, Kherba, and Azeba, see Figs. 7, 10





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Figure 5. Wrapped Interferograms from Sentinel-1 for IFG-3+IFG-4, IFG-17 and IFG-22, The red star is the epicenter location (USGS).



238 Figure 6. Mila Area, InSAR coherence maps for IFG-3+IFG-4, IFG-17 and IFG-22.

<u>*This moderate earthquake has triggered small deformation and landslides in Grarem, Kherba, and Azeba, see Figs. 7, 10,</u>
 <u>and 20.</u>

The IFG-3 and IFG-4 are merged in one image due to the AoIs <u>(Kherba and Grarem)</u>, which are located between <u>in</u> two different image acquisitions <u>in of the descending</u> orbit number 66. In order to monitor the dam and bridges, it is highly recommended to use PS InSAR for regional and local ground deformation detection.

244 4.1 Case of GRAREM

The detection of deformation or changes between two InSAR images reveals a small change in the region of Grarem. This change is <u>detected observed</u> as small fringes, each fringe corresponding to a displacement of a half-wavelength (λ =5.546 cm) in the LOS direction (Fig. 7). Usually, coherent change does not appear in coherence images as dark region, but in the study case, the outer borderline of the fringes region shows incoherence change which is clearly visible in coherence maps (Fig. 8).



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Figure 7. Detected fringes in Interferograms N° 3, 17, and 22, focused images on Grarem zone.

A <u>time-time-</u>series analysis needs then to be performed out to prove whether this contour was formed at the event occurrence date (August 7, 2020). The coherence maps <u>of the co-event period</u> present a dark polygon <u>which-that</u> is related to incoherent change or deformation. But inside the AoI, the results show <u>a-some</u> coherent changes which means that this area has deformed

as a block up or down.





256



- **Figure 8.** Coherence maps of Grarem AoI: the images represent pre-event <u>(left)</u>, co-event <u>(middle)</u>, and post-event <u>(right)</u> for each orbits 66, 59, and 161. *Nota:* –*the co-event maps for the three orbits show the decay of coherence that is triggered by the earthquake*.
- According to phase and coherence maps, The the affected area is approximately covers about 3.94 km² as estimated from
- 261 phase and coherence maps, with an average runout distance of 2.6 km from the top to downhill of 2.6 km (Fig. 2.a distance)
- 262 <u>from point 1 to point 2)</u>.
- 263

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Figure 9. 3D view of Grarem Area, Images of IFG-3. Each fringe = wavelength/2 in LOS, and red zones represent existing building compounds (QGIS, ESRI basemap).

267 4.2 Case of Kherba

DINSAR has abundantly demonstrated its reliability as a technique for monitoring slow movements is expected to be more suitable for slow and gradual movements (Cascini et al., 2013; Wempen, 2020). In the present study, Kherba's landslides exceed the capabilities of DInSAR since this method cannot measure the changes deformations due to incoherent change at the first event. Phase images of the Region of Interest (RoI) show a clear decorrelation and consequently, the phase information is no longer convenient for analysis.



Figure 10. Kherba main event interferograms, biased pixels <u>in-inside</u> the <u>RoI-red line</u> correspond to <u>incoherent</u> changes.

In such cases of incoherent changes in the scene, <u>DInSAR is useless whereas we can serve to</u> the <u>Coherence Change Detection</u>
 coherence change detection (CCD) method which isremains useful and suitable <u>-and able</u> to monitor the event.

277 4.2.1 CCD Times series analyses

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278 For the case study, the coherence maps (Figs. 11-13) show very low coherence in the Kherba area-(RoI) and, indicateing that 279 some changes have occurred. This may confirm whether the decrease of coherence values is due to the hazard or it is naturally 280 low. The Coherence Change Detection (CCD) is useful when the change is incoherent in the scene, since CCD-quantifies 281 changes between two SAR images, and is is represented as a a decay of coherence values (co-event maps). This may confirm 282 whether the dDecreases of in coherence values can be caused by a variety of factors such as earthquake, geotechnical landslides 283 as well as includingis due to the hazards-or it isbeing-naturally low (e.g., water water, vegetation...).- To distinguish between 284 natural low coherence (e.g., water, vegetation...)-and induced surface changes, a second coherence map (pre-event or post-285 event) is needed-required in order to serve as a reference-referenceand to, which can be compared with the main preco-event 286 images. It is preferable to mask out the rest of the non-changed area usingwith a ratio of pre-event byto co-event image ratio 287 and filter values that are equal to orf less than 1 (see Fig. 13).





Figure 12. 3D view of Kherba Citylandslide, Sentinel-1 Coherence images of -Orbit 66 with a 6-day temporal baseline, the dark areas are
 the landslide and deformations zones.

The CCD time-series <u>analysis</u> displays the changes in the AoI over time for <u>the Kherba landslide</u>. The dark region represents the main changes that occurred during the co-event period <u>(earthquake date)</u>. The landslide shape is divided into two toes at the lower side of the Hill, as shown in Figs. 11 and 12. During the first week following the earthquake, changes are detected in the lower side of the hill, and lasted until the late date of August 2020 (IFG-8 orbits 66, IFG-27 orbit161, and IFG-20 for orbit 59). Afterwards, many other sources of noise were present in the AoI, which makes this technique less efficient (weather, human activities). Most of the processed images are 6 days' intervals, except the orbit 161 in which the co-event <u>image-interferogram (</u>IFG-24) was not <u>good</u> enough <u>good-(bad</u> <u>coherence)</u> to compare with other pre-<u>post-post-</u>event images:-<u>, it is thenso it was</u> replaced by the IFG-22. Fig<u>uress</u>. 14 and 15 illustrate how the <u>image-interferograms</u> selection may change the interpretation of results.

To quantify the change, an RoI is-represented in Fig. 13 (green rectangle) is selected for analysis, and the The plots in Figs. 14 and 15 shows also the frequency distributions of coherence values within the RoI. Table 3 displays the calculated average coherence values of the RoI and the decreased percentage values inside the RoIof changes. For the 66 orbit's 66 pairs, the RoI average coherence starts by 0.66 during the pre-event period (IFG-2) and decreases to 0.51 during the co-event period (-3123%) (IFG-3). For orbit 59 pairs, it decreases by 2722% after an initial mean value of 0.77 (IFG-16) with and a mean value of 0.60 (IFG-17) after an initial mean value of 0.77 (IFG 16).



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Figure 13. Pre-event coherences ratio (left), <u>co-event</u> coherences ratio (right), Sentinel-1 Orbit 66. The green box indicates the scope of the
 RoI, red spots represent significant changes of coherence in the landslide region (QGIS, ESRI World Imagery basemap).

The last orbit 161 pairs make an exception due to <u>an-the</u> initial bad coherence maps (IFG-23 and 24), <u>as shown in Fig. 15</u>, where the dotted green line has low coherence compared to the co-event coherence map (red dotted line). So, the orbit 161 acquisition of the 3rd August must be skipped and not used for the analysis and the interpretation. <u>And iIt was replaced by the</u> previous acquisition of <u>of</u> July- 28th. see Fig. 14.c. However, the previous pair (IFG-21 and IFG-22) <u>gave-had</u> a value of 0.57 (IFG-21) <u>which</u>-that decreased to 0.52 (IFG-22), representing a 9 percenti.e. <u>11%</u> of change.

Table 3. Mean coherence change values inside the ROI.

Orbit	Pre-event coherence	Co-event coherence	Post-event coherence	Pre-event	Post-event
Orbit	mean	mean	mean	Change	<u>Change</u>
66	28Jul_03Aug	03_09Aug	<u>09_15Aug</u>	-3123%	+24%
00 -	0.66	0.51	<u>0.63</u>	- <u>51<u>25</u>/0</u>	124/0
59	27Jul_02Aug	02_08Aug	<u>08_14Aug</u>	-2722%	+15%
57	0.77	0.60	<u>0.69</u>	- <u>27<u>22</u>/0</u>	+1570
161	22Jul_28Jul	28Jul_09Aug	<u>09Aug 15Aug</u>	-119%	+37%
101 -	0.57	0.52	<u>0.71</u>	112/0	<u>+5770</u>

319 <u>The lines in Figure 14 indicate the frequency distributions of coherence time series maps. The green line in Fig.14.a represents</u>

320 the pre-event coherence distribution, while the red line represents the post-event coherence distribution, which clearly shows

321 <u>a decay of the mean coherence after the main event (dates and values are presented in the legend).</u>



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329 Figure 15. Effect of bad coherence-shift: the cause is the acquisition of 3rd August start at (17:28:15, orbit 161) under bad weather conditions 330 in the acquisition time according to precipitation site (WWO) (rainfall in that daytime), compared to the acquisition of the same day but not 331 the same time (05:37:58 for orbit 66).

332 The surface area <u>derived from the coherence images</u> covers 2.1 km², derived from the coherence images, and the shape 333 ends by two toes. The runout distance is 2.4 km for the right toe and 2.15 km for the left one. The CCD method has the potential 334 to differentiate between the areas impacted by induced changes and those affected by other sources of noise. The ratio operation 335 is useful in canceling out other noise factors and improvinge the detection of changes in the region.

336 4.2.2 Optical detection

\$37 To validate the SAR methods results, two images from Sentinel-2 are downloaded and treated using OGIS software, the dates 338 of the images being dated are 2020-07-30 (a week before the main shock), and 2020-08-09 (two days after the main shock), 839 and the optical data is treated using QGIS.

- 340 _The optical passive detection shows that an important ground surface displacement affected the ground of the Kherba 341 neighborhood, over an area of 1.32 km². The landslide shape of deformation has only one toe at the lower part of the hill 342 (blue line Fig. 16.b) compared to the CCD method results.
- 343



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 (a)

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 Figure 16. Sentinel-2 Optic Images: (a)- dated 30-Jul 2020, (b)- dated 09-Aug 2020.

The optical images were unable to detect the disorders ground deformations and displacements -in Grarem in which there
 was no apparent landslide although there were a lot of ruptures and cracks. However, a field inspection has confirmed the
 results of the CCD and DInSAR analysis in terms of pattern and limits of the zone affected by the deformation (surface
 rupture).

351 4.3 LiCSBAS analyses

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LiCSBAS is an open source program for InSAR time series analysis based on LiCSAR data. The LiCSAR system automatically processes Sentinel 1 InSAR datasets (Lazeckỳ et al., 2020b), to generate wrapped, unwrapped interferograms and coherence maps (Lazeckỳ et al., 2020b), with a final product resolution of ~26.5 m (Lazeckỳ et al., 2020a). LiCSBAS exploits the data of LiCSAR in order to generate maps of displacement mean velocity and deformation time series plots (Morishita, 2021).

Displacement time series and velocities analysis of the region is performed out using LiCSBAS that exploits the data of the
 LiCSAR system (Morishita, 2021). It allows identifying whether unstable conditions pre-existed or are still undergoing. The
 study started from the 5th of April to the 26th of September 2020, for the orbit 66 and from 26 April 2015 to 26 September 2020
 for the orbit N° 161.



(a) (b)
 Figure 17. (a) Line of sight (LOS) displacement velocity map, the red line is landslide area (066D_05394_131311), (b) Grarem case selected points for time-series analysis, (QGIS, ESRI basemap).

The <u>time_time_series_analysis</u> detected subsidence <u>at_of</u> the west part of Kherba. This region is on the other hillside of Kherba Hill<u>, and both sides have a significant slope</u>. A site investigation did not find any drilled wells. One may assume that this subsidence is not <u>caused_due to anyby the</u> pumping of groundwater. Therefore, another possible explanation is probably related to the <u>large</u> mass movement of the main landslide hillside <u>(red polygon)</u>, <u>causing the opposite side of the hill to move</u> <u>down (subsidence)</u>. The displacement velocity in Kherba is about 110 mm/year, Fig. 17.

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For the Grarem case, the velocity map looks stable between the same dates (April 5 to September 26, <u>20212020</u>). The change occurred rapidly and is removed by the filters. For illustrative purposes, the displacement time series of some points

are illustrated in Figs. 18 and 19.



Figure 18. Displacement time series (orbit 66, <u>)Grarem case</u>), corresponding to the points in image (<u>a17.b</u>), the displacement is relative to the <u>a</u> reference point, (a) Grarem region, the plots presents a great dispersion of data points which is related to many noise resources (weather, man-made, etc.), and short period of analysis, (QGIS, ESRI basemap).



Figure 19. Displacement time series (orbit 161), corresponding to the points in Fig. 187.ab, displacement is relative to the reference point
 The plots show less dispersion of data points (linear) compared to short analysis, the long period analysis (5 years) is useful to eliminate
 other sources of noise.¹

During the LiCSBAS processing, a primary stable reference point is selected <u>at</u> (36.455885° N, 6.276909° E). This method proves to be efficient for large-scale deformation monitoring and slow coherent changes, <u>long time series analysis is useful in</u> reducing other noise factors.

391 4.4 Discussion

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- 392 InSAR monitoring proves its ability to detect land changes. First, landslides and land deformation can be detected remotely by
- 393 InSAR. Furthermore, optical images could detect only one case (Kherba). The theoretical results were validated by site visiting 394 and investigation, i.e.:
- 395 - Compared to results obtained from optical for the Kherba landslide, InSAR is more precise for detecting small 396 deformation (2 toes in CCD maps). Besides, the other optical techniques way analysis did not detect the full deformed 397 changing area in the region (only one toe).
- 398 - Large-scale Landslides of this magnitude exceed DInSAR's the capabilities of DInSAR, and their which induce an 399 extreme loss of coherence. The <u>-co-event</u> interferograms of co-events are strongly decorrelated. Therefore, the phase 400 information is no longer usable and one cannot measure the displacement of incoherent ground changes (Landslide).
- 401 - Land deformation in Grarem first detected by DInSAR, was confirmed by a site visit, during which small cracks were 402 visible on the ground (incoherent boundary region). Due to incoherent boundaries and because the displacement is 403 probably larger than what can be measured by one interferogram fringe cycle (depending on the wavelength, 5 cm for 404 Sentinel-1), the deformation measurements in this case are not reliable and accurate.
- 405 - Another landslide detected by InSAR in the Azeba region (6 km east of Mila) was visited too: the area covers 0.42 km² 406 and the site investigation (Fig. 20) confirms the landslide (Fig. 20).
- 407 - Analysis with LiCSBAS revealed new hillside deformation (subsidence) which is probably a consequence of the mass 408 that moved in the main landslide hillside. Displacements time series, in-of the Grarem region at some points, show 409 deformation along LOS with velocities ranging from 6 mm/year to 67 mm/year. This method is preferable in the large-410 scale area and largelong-period analysis.



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(b) (a) (c) 413 Figure 20. Landslide occurred in Azeba region (2 km North) detected by InSAR: (a) & (b)- visible ground cracks, (c)- coherence map of 414 Azeba zone delimited by the cracks.

415 **5** Conclusions and Recommendations

416 In this paper, active and passive space-based satellite data are used to monitor and study the impact of natural hazards 417 (earthquakes and landslides) on struck areas. The C-band Sentinel-1 SAR datasets (active sensing) and optical images of 418 Sentinel-2 data (10 m spatial resolution) were used in this study to investigate the area, the passive images were used only to 419 validate the active sensing results. For the InSAR processing, the use of DInSAR, CCD methods, and the LiCSBAS tools has 420 have been able to generate a detailed time series analysis of ground changes.

- 421 InSAR techniques have proved their efficiency efficiency can to extract useful geodetic information, such as the ground
- 422 movement and track surface deformation over large areas with centimetric accuracy in coherent change cases. The present 423 research study has demonstrated that the InSAR processing is adapted to study earthquake and landslides zones. As a result,
- 424 three primary land failures were detected over the study area using InSAR.
- 425 DInSAR is poorly suited to track and detected landslides. It is represented as a pixel decorrelation in phase interferograms and
- 426 high decay in coherence values. CCD is further suitable to map earthquake-induced landslides that may remain undetected
- using coherent methods (DInSAR). The estimation of their horizontal/vertical displacements is a challenge to be inferred. 427

- The Grarem deformation looks <u>likeas</u> a landslide that has just been initiated, but might extend under an upcoming triggering event. Actually, the failure plane rim is presented as a dark line in the coherence map or as the <u>fringe</u>_circumference of the fringe in phase maps (estimated area 3.94 sq. km). This impending land failure needs therefore a thorough and real-time monitoring <u>by the and adequate geotechnical studies</u>. PS-InSAR <u>method, which</u> can <u>serve as anprovide</u> efficient and low-cost monitoring method able to obtain millimeter-level precision displacement measurements over selected points in the area (Jia et al., 2019). (Jia et al., 2019), and adequate geotechnical studies.
- It is worth to increase increasing awareness of possible future geotechnical threats in a timely manner, through on-site monitoring using GPS, crack meters, and by placing inclinometers in the Grarem area, in order to develop a model of the slope stability.
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- 440 **Conflicts of Interest:** The authors declare no conflict of interest.

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