



#### Temporal changes in rainfall intensity-duration thresholds for post-1 wildfire flash floods and sensitivity to spatiotemporal distributions of 2 rainfall 3

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10 Abstract. Rainfall intensity-duration (ID) thresholds are commonly used to assess flash flood potential downstream of burned 11 watersheds. High-intensity and/or long-duration rainfall is required to generate flash floods as landscapes recover from fire, 12 but there is little guidance on how thresholds change as a function of time since burning. Here, we force a hydrologic model 13 with radar-derived precipitation to estimate ID thresholds for post-fire flash floods in a 41.5 km<sup>2</sup> watershed in southern 14 California, USA. Prior work in this study area constrains temporal changes in hydrologic model parameters, allowing us to 15 estimate temporal changes in ID thresholds. Results indicate that ID thresholds increase by more than a factor of 2 from post-16 fire year 1 to post-fire year 5. Thresholds based on averaging rainfall intensity over durations of 30-60 minutes perform better 17 than those that average rainfall intensity over shorter time intervals. Moreover, thresholds based on the 75<sup>th</sup> percentile of radar-18 derived rainfall intensity over the watershed perform better than thresholds based on the 25<sup>th</sup> or 50<sup>th</sup> percentile of rainfall 19 intensity. Results demonstrate how hydrologic models can be used to estimate changes in ID thresholds following disturbance 20 and provide guidance on the rainfall metrics that are best suited for predicting post-fire flash floods.





# 22 1 Introduction

23 Heightened hydrologic responses are common within and downstream of recently burned areas, resulting in an increased 24 likelihood of flash floods. Rainfall intensity-duration (ID) thresholds are commonly used to assess the potential for flash floods 25 (Moody and Martin, 2001; Cannon et al., 2008). Many past studies aimed at defining thresholds for flash floods focus on the 26 first 1-2 years following fire (Cannon et al., 2008; Wilson et al., 2018). Since the hydrologic impacts of fire are transient, 27 rainfall ID thresholds associated with flash floods are likely to change as a watershed recovers (Ebel and Martin, 2017; Ebel 28 and Moody, 2017; Moreno et al, 2019; Ebel, 2020). It may take more than a decade for hydrologic responses to return to pre-29 fire levels, yet there is limited guidance on how the magnitude and utility of rainfall ID thresholds change with time since 30 burning. Given the increased frequency and size of fire in many geographic and ecological zones (e.g. Gillett et al., 2004; 31 Westerling et al., 2006; Kitzberger et al., 2017), it is of growing importance to quantify the best metrics for assessing flash-32 flood potential in the immediate aftermath of fire as well as how these metrics change throughout the recovery process (e.g. 33 Ebel, 2020).

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35 Rainfall ID thresholds for flash floods are typically defined using historic data that relates rainfall over different intensities 36 and durations to an observed hydrologic response, namely the presence or absence of flooding (e.g. Cannon et al., 2008). Due 37 to the stochastic nature of rainfall over burned areas and limited observations throughout the recovery process, there is a 38 paucity of data that can be used to derive empirical thresholds for flash flooding beyond one year of recovery. Hazards 39 associated with flash flooding, however, may exist downstream of burned areas well beyond one year of recovery. Wildfire 40 alters rainfall-runoff partitioning and flood routing by incinerating vegetation and reducing interception capacity (Stoof et al., 41 2012, Saksa et al., 2020), decreasing hydraulic roughness, and reducing soil infiltration capacity (Larsen et al., 2009, Ebel and 42 Moody, 2013). Reductions in infiltration capacity are often attributed to fire-induced soil water repellency (Ebel and Moody, 43 2013), which is generally strongest immediately following a fire and then decays over time scales ranging from one year to 44 more than five years (Dyrness, 1976; Huffman et al., 2001; Larsen et al., 2009), though surface soil sealing (Larsen et al., 45 2009) and hyper-dry conditions (Moody and Ebel, 2012) are also known to play important roles. Vegetation recovery, which 46 may influence temporal changes in hydraulic roughness and canopy interception, can take five years or longer. Cannon et al. 47 (2008) collected sufficient data over a two-year time period following fire in southern California, USA, to define separate 48 rainfall ID thresholds for post-fire debris flows and flash floods in the first- and second-years following fire. They found that 49 the ID thresholds for flash floods and debris flows may increase by as much as 25 mm/h after one year of recovery, a change 50 that they attributed to a combination of vegetation growth and sediment removal as a result of rainstorms during the first post-51 fire year.

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Rainfall ID thresholds are often defined over a range of durations, though averaging rainfall intensity over a particular duration
 may provide a more reliable threshold. Post-fire hydrological response in the first few years is often best related to rainfall





55 intensity over short durations (less than 60 min) (Staley et al., 2017; Moody and Martin, 2001). In their efforts to define rainfall 56 ID thresholds for post-fire debris flows, Staley et al. (2013) showed that averaging rainfall intensities over durations between 57 15 minutes and 60 minutes resulted in thresholds that performed better relative to those associated with longer durations. One 58 potential explanation for this observation is that post-fire debris flows are often triggered by runoff in steep, low-order 59 drainages, which both Kean et al. (2011) and Raymond et al. (2020) have found to be highly correlated with rainfall intensities 60 averaged over similarly short time intervals (10-15 minutes). Moody and Martin (2001) have also documented a substantial 61 increase in peak discharge following wildfire once the 30-minute rainfall intensity  $(I_{30})$  crossed a threshold value, suggesting 62 that  $I_{30}$  may be a consistent predictor of flash flood activity in recently burned watersheds. Moody and Martin (2001) suggest 63 that peak  $I_{30}$  can be used to set the threshold for early-warning flood systems. The optimal duration for defining post-fire flash 64 floods thresholds, as well as how it may change with time, remains relatively unexplored.

- 66 Rain gage records are typically used to derive rainfall ID thresholds for flash flood and post-fires debris flows (Staley et al., 67 2013; Staley et al., 2017). Post-fire debris flows, however, tend to initiate in small ( $<1 \text{ km}^2$ ), steep watersheds. In these small 68 watersheds, the rainfall intensity responsible for initiating a debris flow can be characterized by a single rain gage installed 69 near the initiation zone. Flash floods differ in that they tend to occur at larger spatial scales where rainfall is spatially variable 70 and may not be adequately characterized by data from a single rain gage. Radar-derived precipitation estimates, which can 71 provide high spatiotemporal resolution of rainfall intensity, present opportunities to develop basin-specific thresholds for post-72 fire flash floods. However, high spatiotemporal variability in rainfall intensity also brings new challenges when employing 73 radar-derived precipitation in flood warning practice. In particular, what is the best way to summarize spatially and temporally 74 variable rainfall intensity information with a single metric that can be used as a threshold? How does hydrological recovery 75 following fire influence the generation of flash floods and the metrics that are best suited for their prediction? Data-driven 76 approaches to answering these and related questions may be hampered by limited monitoring of post-fire hydrologic response 77 throughout the recovery period and the stochastic occurrence of rainfall over burned areas, which limits opportunities for 78 observations. Given a well-constrained hydrologic model that accounts for changes associated with post-fire recovery, it is 79 possible to use numerical experiments to understand relationships between time since burning, the spatiotemporal patterns of 80 rainfall over a watershed, and the occurrence of flash floods.
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Here, we use realistic patterns of spatially and temporally varying radar-derived rainfall over a 41.5 km<sup>2</sup> watershed in the San Gabriel Mountains of southern California, USA, to (1) determine the optimal method to define a rainfall ID threshold for flash floods, and (2) identify changes in rainfall ID thresholds for flash floods as a function of time since burning. The watershed, which we refer to as the upper Arroyo Seco, burned during the 2009 Station Fire (USDA Forest Service, 2009). Liu et al. (2021) used rain and stream gage data collected at different times following the fire to calibrate the KINEROS2 hydrologic model for this watershed, enabling them to quantify temporal changes in model parameters as a function of time since burning. Combining this calibrated model with spatially explicit, radar-derived estimates of rainfall intensity during 34 rainstorms, we





explore the utility of different rainfall ID metrics as flash flood thresholds and quantify temporal changes in those thresholds through the first five years of recovery. Results provide insight into the magnitude of temporal changes in flash flood thresholds in the densely populated, fire-prone region of southern California. More generally, results support the development of early warning systems for flash floods by identifying specific metrics that can be computed using spatially variable rainfall intensity estimates to assess the potential for flash flooding.

# 94 2 Study Area

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96 Figure 1: Modified from figure 1 in Liu et al. (2021) (a) The location of the upper Arroyo Seco watershed within California. The red

97 triangle indicates the location of the USGS stream gage (11098000); (b) Shaded relief showing the study watershed with the USGS





98 stream gage (red triangle; 34°13'20", -118°10'36"); (c) Soil burn severity for the 2009 Station fire. Burn severity percentages are for 99 planform area within each category.

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101 The upper Arroyo Seco watershed drains the 41.5 km<sup>2</sup> area above USGS stream gage station (11098000) near Pasadena in the 102 San Gabriel Mountains (Figure. 1). The upper Arroyo Seco was burned in the August-October 2009 Station Fire, which burned 103 more than 80% of the watershed at moderate to high soil burn severity (USDA Forest Service, 2009). Dominant shrubs and 104 chaparral, such as chamise (Adenostoma fasciculatum) and manzanita (Arctostaphylos spp.), were completely consumed with 105 severe soil heating in isolated patches throughout many areas burned at moderate to high severity (USDA Forest Service, 106 2009). Soils in this area are typically sand and silty-sand textured and thin (<1 m) with partial exposure of bedrock (Staley et 107 al., 2014). The majority of rainfall in the study area typically occurs in the cool season, between December and March, while 108 warm, dry conditions dominate from April to early November. The San Gabriel Mountains also experience some of the most 109 frequent short-duration, high-intensity rainfall in the state (Oakley et al. 2018a).

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111 Due to wildfire-induced changes in surface conditions, including canopy cover and soil-hydraulic properties, runoff generation 112 in the first year following the fire was likely dominated by infiltration excess overland flow (Schmidt et al., 2011, Liu et al., 113 2021). Enhanced soil water repellency (SWR), which helps promote low infiltration capacity, and extensive dry ravel, which 114 loads channels with fine-grained hillslope sediment, are both commonly observed after fires in the San Gabriel Mountains 115 (e.g., Watson and Letey, 1970; Hubbert and Oriol, 2005; Lamb et al., 2011; Hubbert et al., 2012). Rengers et al. (2019) 116 calibrated a hydrologic model using data from small watersheds (0.01-2 km<sup>2</sup>) burned by the Station Fire and found relatively 117 low values for saturated hydraulic conductivity ( $K_s$ ), generally between 2-10 mm/h. These results are consistent with values 118 for saturated hydraulic conductivity inferred by Liu et al. (2021) via model calibration in the upper Arroyo Seco watershed. 119 The impact of dry ravel, which reduces grain roughness in the channel network, and reduced vegetation density led to estimates 120 of Manning's n in the channels of the upper Arroyo Seco of approximately 0.09 s m<sup>-1/3</sup> in the first year following fire (Liu et 121 al., 2021). These hydrologic changes led to widespread flooding and debris flows during multiple rainstorms in the first winter 122 after the fire (Kean et al., 2011; Oakley et al., 2017). As hydrologic recovery began over the next several years, the watershed-123 scale  $K_s$  and Manning's *n* generally increased and likely started to mitigate the flash flood risk (Liu et al., 2021).

# 124 **3 Data and Methods**

# 125 **3.1 Radar-derived precipitation**

We sought to identify storms in the study area that produced moderate-to-high intensity rainfall to use as inputs to a hydrologic model to simulate flood responses. Storm events were selected within the period for which observations are archived for the





- two operational NWS Next-Generation Weather Radar installations (NEXRAD; NOAA 1991) that cover the study area,
   KSOX, (Santa Ana), and KVTX (Ventura). Though archives for the radars begin in 1997 and 1995, respectively.
- 130

131 We compiled storm events starting with those known to have produced high intensity rainfall and a debris flow response in 132 the San Gabriel Mountains (e.g., Table 1 in Oakley et al. 2017) as well as other storms that produced high-intensity rainfall in 133 the region (e.g., Oakley et al. 2018b, Cannon et al. 2018). We then used hourly rainfall observations from the Clear Creek 134 (2002-present), San Rafael Hills (2005-present), and Heninger Flats (2010-present) Remote Automated Weather Stations 135 (RAWS, acquired from raws.dri.edu) as indicator gages for the study area. This further limited us to post-2002 events outside 136 of the literature. All gages are <10 km from the watershed of interest; there were no long-record gages within the watershed. 137 We used 15 mm/h as a threshold for moderate to high intensity rainfall and extracted all events from the gauge record meeting 138 or exceeding this value to develop a list of events of interest. We reviewed the radar data for these events at which point some 139 of the selected events could not be utilized due to radar outages or poor data quality. This exercise presented us with 34 storm 140 events (Table S1).

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142 Various atmospheric processes may contribute to generation of moderate-to-high rainfall intensities (e.g., Oakley et al. 2017), 143 resulting in differing spatial and temporal precipitation patterns over a burn area. To ensure the events selected captured 144 variability in spatial and temporal precipitation characteristics, we evaluated the spatial characteristics of the events. We found 145 rainfall patterns could generally be categorized into four main spatial patterns at the scale of several tens of kilometers: (1) a 146 broad pattern, a contiguous area of moderate-to-high intensity precipitation (>45 dBZ) spanning tens of kilometers; (2) a 147 scattered pattern with numerous cells of moderate to high precipitation that are not spatially continuous; (3) an isolated pattern, 148 with one to a few isolated cells of moderate-to-high intensity rainfall separated by non-precipitating areas several to tens of 149 kilometers in extent; (4) a narrow cold frontal rainband (NCFR)-a north-south oriented narrow band (~3-5 km wide, tens to 150 100 km in length) of very high intensity rainfall (e.g., Oakley et al. 2018b; Cannon et al. 2020; Figure S1 in Supplement). At 151 the <10 km horizontal scale (the scale of the watershed), it was harder to identify meaningful patterns and distinctions, though 152 the larger scale signals imply varying spatial and temporal patterns of precipitation as each pass over the watershed. A table 153 of storm events and their characteristics is available in Table S1 in the Supplement.

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An approximate start and end time were determined for each event using the Clear Creek RAWS gauge as an indicator. Start time was determined by identifying the time of maximum 1h rainfall in the event and going back in time to the first of three consecutive hours of >1.5 mm/h precipitation. The end of an event was determined as the last hour where precipitation dropped below 3 mm/h for at least two consecutive hours.





160 Level-II base reflectivity (https://www.ncdc.noaa.gov/wct/) between the start and end time of each event was downloaded 161 from both the KSOX and KVTX radars. The data were used to generate spatially-distributed precipitation over the study area. 162 Radar imagery concurrent with the gauge-based record of high intensity rainfall events was converted to a composite maximum 163 reflectivity product at 250 m spatial and 5-minute temporal resolution. Conversion of radar reflectivity to rain rate required 164 the application of an empirically derived reflectivity (Z) to rain rate (R) relationship (e.g. Marshall and Palmer 1948). The Z-165 R relationship is conventionally represented by the equation  $Z = aR^b$ , which includes parameters a and b to account for 166 variations in precipitation for a given reflectivity arising from differences in the drop size distribution. Due to the lack of 167 previous studies investigating Z-R relationships in precipitating conditions over the region of interest, there are no standard a 168 and b parameters to apply to the reflectivity data analyzed here. Thus, five well-known and previously published Z-R 169 relationships were applied to the gridded reflectivity values. Supplement S3 lists the different Z-R relationships applied here 170 and the general conditions for which they are suitable. Although the Z-R relationships used here are not based on observations 171 from the present study's region of interest, the variation of a and b parameters yields an estimate of precipitation uncertainty. 172 It is worth noting that a number of additional sources of radar measurement uncertainty exist that are not evaluated in depth 173 here, including beam broadening, topographic blocking and scan elevation. However, this was not of primary concern since 174 the goal of this study was to generate realistic spatial and temporal patterns of rainfall over the watershed with varying intensity 175 that could be used to force the KINEROS2 hydrologic model. The goal was not to reproduce the observed hydrologic response 176 resulting from a particular set of rainstorms.

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As a range of precipitation intensities for each storm result from the application of the five different Z-R relationships (e.g.,
Figure S2 in Supplement), we utilize these as realistic storms of varying precipitation intensity to increase our storm sample
size, such that we apply 34 storms \* 5 Z-R relations = 170 precipitation scenarios as inputs to KINEROS2. These 170 scenarios
were then processed for ingestion into KINEROS2 (Figure. 2).







184 Figure 2: Delineation of rainfall intensity-duration threshold for post-fire flash flood

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#### 186 **3.2 Summary metrics for spatially and temporally varying rainfall**

187 In search of a spatiotemporel summary metric that may serve as a reliable flash flood threshold, we begin by describing a 188 methodology to summarize spatially and temporally varying rainfall over a watershed. For a given rainstorm, the rainfall 189 intensity time series at a single point, such as a single radar pixel, can be summarized by computing a moving average of 190 intensity over a specified duration, *D*. Letting *t* denote time and *R* denote the cumulative rainfall (mm), we define the rainfall 191 intensity over a duration *D* at any given pixel within the watershed as

$$I_D(t) = \frac{R(t) - R(t - D)}{D} \tag{1}$$

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Here, we compute  $I_D(t)$  for each pixel for durations of 5, 10, 15, 30, and 60 minutes. Since the intensity in each radar pixel could have a unique value, we also need a way to summarize  $I_D(t)$  in space. One option would be to take the median of  $I_D(t)$ to determine a typical value of  $I_D$  within the watershed at each time, *t*. However, the median may not be a good predictor of flash flooding since one could envision a scenario where it is only raining over 1/3 of the watershed, yet it is raining with sufficient intensity to generate a flash flood. We therefore compute the j<sup>th</sup> percentile of  $I_D(t)$  at each time, *t*, for j between 1 and 99. We denote the j<sup>th</sup> percentile of  $I_D(t)$  as  $I_D^j(t)$ . For each rainstorm, we focus our analysis on the peak value of  $I_D^j(t)$ 





which we denote as  $I_D^j$ . As an example,  $I_{30}^{50}$  would be computed by defining  $I_{30}$  for all radar time steps within a rainstorm, determining the median value of  $I_{30}$  over the watershed at each of those time steps, and then taking the maximum of that time series of median  $I_{30}$  intensities. This analysis yields 495 different metrics ( $I_D^j$  for j=1,2,...,99 and D=5,10,15,30,60) that summarize spatially and temporally varying rainfall intensities over the watershed. In the following sections, we describe how we test the utility of each of these 495 different metrics as a flash flood threshold.

# 204 **3.3 Hydrological modeling**

205 We used the KINEROS2 (K2) hydrological model to simulate the rainfall partitioning, overland flow generation, and flood 206 routing in the upper Arroyo Seco watershed. K2 is an event-scale, distributed-parameter, process-based watershed model, 207 which has been used extensively for rainfall-runoff processes in semi-arid and arid watersheds (Smith et al., 1995; Goodrich 208 et al., 2012). Liu et al (2021) used rain gage data in combination with the USGS stream gage installed at the outlet of the upper 209 Arroyo Seco watershed to calibrate K2 during different stages of the post-fire recovery process. We use the same model setup 210 for simulations in this study. In particular, the 41.5 km<sup>2</sup> watershed was discretized into 1289 hillslope planes and these planes 211 were connected by a stream network of 519 channel segments based on a one-meter LiDAR-derived digital elevation model 212 (DEM). After accounting for a fixed interception depth of 2.97 mm based on land cover look-up table in the Automated 213 Geospatial Watershed Assessment toolkit (AGWA; Miller et al., 2007), infiltration of rainfall into soil is represented using the 214 Parlange et al. (1982) approximation. Overland flow and channel flow are modeled by kinematic wave equations. Both 215 saturated hydraulic conductivity on hillslopes ( $K_{sh}$ ) and hydraulic roughness in channels ( $n_c$ ) primarily determine runoff 216 generation and the shape of hydrograph, including total runoff volume, peak discharge rate, time to peak (Canfield et al., 2005; 217 Yatheendradas et al., 2008; Menberu et al., 2019). Other parameters, such as hydraulic roughness  $(n_h)$  and capillary drive  $(G_h)$ 218 on hillslopes, had a relatively minor impact on modelled runoff after the Station Fire in the upper Arroyo Seco watershed (Liu 219 et al., 2021).

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Table 1. Summary of model parameters for post-fire year 1, 2, 3, and 5. The saturated hydraulic conductivity on

hillslopes  $(K_{sh})$  and hydraulic roughness in channels  $(n_c)$  are the average of values calibrated in post-fire years 1, 2,

223 3, and 5 (Liu et al., 2021)

Post-fire Year	Calibration Events	$K_{sh}$ (mm/hr)	$n_c (s/[m^{1/3}])$	
	12 Dec 2009		0.087	
1	17 Jan 2010	7.2		
	5 Feb 2010			
2	17 Dec 2010	12.0	0.275	
2	20 Mar 2011	13.8		





3 13 Apr 2012 0.320	
5 28 Feb 2014 23.8 0.280	

225 Liu et al. (2021) found that both  $K_{sh}$  and  $n_c$  were lowest immediately after the fire.  $K_{sh}$  increased, on average, by approximately 226 4 mm/h/yr during the first five years of recovery, whereas  $n_c$  increased by more than a factor of two after 1 year of recovery 227 and then remained relatively constant. We focus here on simulating the response to rainfall in the first five years following the 228 fire where the watershed is likely most vulnerable to extreme responses. To represent the temporal changes in  $K_{sh}$  and n229 documented by Liu et al. (2021) following the fire, we used different values of  $K_{sh}$  and  $n_c$  for each post-fire year (i.e. post-fire 230 years 1, 2, 3, and 5) based on the values calibrated by Liu et al. (2021) in post-fire years 1, 2, 3, and 5 (Table. 1). Liu et al. 231 (2021) were unable to calibrate the necessary K2 parameters in post-fire year 4 so we do not perform any simulations to 232 constrain flash flood thresholds in that year. Initial soil moisture is set to a volumetric soil-water content of 0.1, following Liu 233 et al. (2021). Other parameters were also given the same values as the calibrated K2 model, including saturated hydraulic 234 conductivity of channels (1 mm/hr), net capillary drive of channels (5 mm), hydraulic roughness of hillslopes  $(0.1 \text{ s/(m^{1/3})})$ , 235 net capillary drive of hillslopes (50 mm), and soil porosity of 0.4. With this model set-up, we simulate the response to each of 236 the 170 rainstorms for post-fire years 1, 2, 3, and 5.

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# 238 **3.4 Rainfall intensity-duration thresholds**

239 Each K2 simulation results in a modeled hydrograph at the watershed outlet. As a first step towards defining a flash flood 240 threshold, it is necessary to determine, based on the modeled time series of discharge, whether or not a flash flood would have 241 occurred. We defined the flash flood level as the discharge required to exceed bankfull flow (Sweeney, 1992), which we 242 assumed was equal to the two-year flood (Leopold et al., 1964). To determine the discharge associated with the two-year flood, 243 we performed a flood frequency analysis using HEC-SSP v2.2 (Bartles et al., 2019) based on annual maximum records at the 244 USGS stream gage station (11098000). The discharge associated with the two-year flood at the stream gage station is 15.3 245 m<sup>3</sup>/s, with a 95% confidence interval of 12.3-19.2 m<sup>3</sup>/s (Figure S3). A flash flood threshold by this definition can be viewed 246 as conservative since it may only indicate the onset of minor flooding as water begins to spill out of the channel. Based on this 247 definition, we then used two approaches to identify the rainfall ID threshold for flash floods (Figure 2).

- 249 The first approach is based on a linear regression analysis that relates peak discharge with different rainfall ID metrics, namely
- 250  $I_D^j$  for different values of j and D. Using simulations of 170 rainfall-runoff events in each post-fire year, it is possible to
- determine a relationship for peak discharge (Q) as a function of  $I_D^j$ . Then, the rainfall ID threshold can be found by determining





the rainfall intensity at which the peak discharge exceeds the bankfull capacity. The simplest quantitative relation is a linear regression:

$$Q = mI_D^J + k \tag{2}$$

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where *Q* is the peak discharge (m<sup>3</sup>/s) of a simulated hydrograph at the outlet,  $I_D^j$  denotes rainfall intensity (mm/hr) for the rainstorm that produced the hydrograph, and *m* and *k* denote the slope and y-intercept of the linear regression, respectively.

Considering the channel dimensions and resolution of the DEM used in the K2 model, we selected intensity-discharge  $(I_D^j - Q)$ pairs associated with Q greater than 2 m<sup>3</sup>/s. The parameters in the linear equation (1) with the maximum determination coefficient (R<sup>2</sup><sub>max</sub>) were estimated using least-squares linear regression in the SciPy Python library for the selected  $I_D^j - Q$  pairs. A total of 495 linear regressions were produced for each year because  $I_D^j$  can take on 495 different values (5 durations, 99 percentiles) for each rainstorm. For each post-fire year, we then identified the maximum R<sup>2</sup> value for each duration as a function of percentile from 1<sup>st</sup> to 99<sup>th</sup> (Figure 3). The rainfall ID threshold for flash flooding in each year was found, for each duration, from the linear relation associated with the largest R<sup>2</sup> (Figure 4).



Figure 3: The determination coefficient ( $\mathbb{R}^2$ ) associated with the linear regression between  $I_D^j$  and peak discharge in post-fire year 1, 2, 3, and 5. Data used to fit the linear relation is from events with peak discharge greater than 2 m<sup>3</sup>/s.







Fig. 4 The rainfall intensity threshold for flash flood derived from the best linear relation for different durations and
 percentiles of the most intensive rainfall field in post-fire year 1, 2, 3, and 5.

The second approach for determining rainfall ID thresholds is based on a receiver operating characteristic (ROC) analysis following Staley et al. (2013). We assess the utility of a potential threshold (e.g.  $I_{30}^{50} = 20mm/hr$ ), by computing the threat score (TS) associated with using that threshold to define the transition between rainstorms that produce flash floods and those that do not. The TS, as one of the ROC utility functions, measures the fraction of forecast events that were correctly predicted:

$$TS = \frac{TP}{TP + FP + FN} \tag{3}$$

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where TP, FP, and FN denote a true positive, false positive, and false negative, respectively. Flash flood occurrence (true or false) is determined by comparing the peak discharge of each simulated hydrograph with the flash flood level (15.3 m<sup>3</sup>/s). A TP represents an event where rainfall rates exceed the threshold (e.g.  $I_{30}^{50} = 20mm/hr$ ), and a flash flood occurred. A FP represents an event where rainfall rates exceed the threshold, but no flash flood occurred. FN events occur when rainfall rates were below the threshold, yet a flash flood occurred. The optimal TS is 1, meaning use of the threshold resulted in no false positives or false negatives.

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For a given rainfall intensity metric (e.g. the peak 75<sup>th</sup> percentile of  $I_{30}$ ,  $I_{30}^{75}$ , in year 1), we calculated TS for intensities ranging from 0-100 mm/hr at 0.01 mm/hr intervals (Figure 5). We then identified the threshold associated with the maximum TS





 $(TS_{max})$ . The intensity associated with  $TS_{max}$  is the optimal threshold for that rainfall metric (Figure 6). We determined the optimal threshold associated with each of the 495 rainfall metrics for each post-fire year (1,2,3, and 5) (Figure 7).



Fig. 5 Threat score (TS) of the peak 75<sup>th</sup> percentile of  $I_{30}$  in post-fire year 1. (a) Relationship between rainfall intensity and TS; (b) Scatter plots of positive (flood, red circle) and negative (no flood, hollow circle) with the rainfall intensity associated with the maximum TS.

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Fig. 6 The threat scores (TS<sub>max</sub>) associated with flood occurrence and  $I_D^i$  in post-fire years 1, 2, 3, and 5. Data used to analyze is from events with peak discharge greater than 2 m<sup>3</sup>/s.







Fig. 7 The rainfall intensity threshold for flash flood derived from the maximum of TS for different durations and
 percentiles of the most intensive rainfall field in post-fire years 1, 2, 3, and 5.

#### 302 4 Results

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# 303 **4.1 Optimal summary metrics for defining rainfall ID thresholds**

Linear regression analyses suggest that there is a stronger relationship between  $I_D^j$  and peak discharge (Q) as j increases, with 304 305 the exception of a rapid dropoff in  $\mathbb{R}^2$  for j>90 and durations (D) greater than 5 minutes (Figure 3). For durations of 5-15 min, R<sup>2</sup> were low in the first 20-30 percentiles, then increased to 0.61-0.82 between the 30<sup>th</sup>-90<sup>th</sup> percentiles. Whereas the high R<sup>2</sup> 306 307 interval for durations of 30 min and 60 min were with the largest value between 0.92-0.96 between the 60th-90th percentiles in year 1-5. The optimal rainfall threshold for flash floods (based on regressions of Q as a function of  $I_D^j$ ) increased from 13.3 308 mm/hr of  $I_{60}^{89}$  (the 89<sup>th</sup> percentile of 60 min peak rainfall field) in year 1 to 33.2 mm/hr of  $I_{30}^{76}$  (the 76<sup>th</sup> percentile of 30 min 309 310 peak rainfall field) in year 5 (Figure 4; Table 2). More generally, averaging rainfall intensity over a duration of 30 minutes and 311 choosing a percentile, *j*, of approximately 75-85 leads to threat scores of approximately 0.8 or greater for all post-fire years. 312 None of the other rainfall summary metrics performed this well across all post-fire years.

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314 Table. 2 The optimal metrics of rainfall ID and corresponding rainfall thresholds for flash floods in post-fire year 1-5

Linear regression	Receiver operating characteristic (ROC)
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Year	Rainfall	Equation	$R^2_{\text{max}}$	Intensity	Rainfall metric	$TS_{max}$	Intensity
_	metric			(mm/hr)			(mm/hr)
1	$I_{60}^{89}$	$Q = 10.25 * I_{60}^{89} - 121.27$	0.958	13.3	$I_{60}^{85} - I_{60}^{86}$	0.89	13.1-13.2
2	$I_{30}^{81}$	$Q = 2.38 * I_{30}^{81} - 42.64$	0.916	24.4	$I_{60}^{76} - I_{60}^{95}$	0.88	20.4-25.0
3	$I_{30}^{81}$	$Q = 1.91 * I_{30}^{81} - 41.92$	0.917	30.0	$I_{30}^{75} - I_{30}^{85}$	0.94	33.5-37.3
5	$I_{30}^{76}$	$Q = 2.38 * I_{30}^{76} - 63.70$	0.919	33.2	$I_{30}^{75} - I_{30}^{79}$	0.94	35.1-36.3

315

Note: We denote the peak j<sup>th</sup> percentile of  $I_D$  (rainfall intensity over a duration *D*) as  $I_D^j$ . For example,  $I_{30}^{81}$  is the peak value of the 81<sup>st</sup> percentile of  $I_{30}$  (rainfall intensity over 30-min).

318

319 Thresholds derived using the ROC method yielded broadly similar trends. The maximum threat score, TS<sub>max</sub>, generally 320 increased with j up to a point (approximately j=90) and then began to decrease regardless of the choice of duration (D) (Figure 321 6). The highest threat scores (TS), regardless of post-fire year or duration, were generally associated with the 60<sup>th</sup>-95<sup>th</sup> 322 percentiles. For events in years 1-2, the TS<sub>max</sub> (0.88-0.89) occurs around  $I_{60}^{85}$  (the 85<sup>th</sup> percentile of the peak  $I_{60}$  rainfall field); for events in years 3-5, the TS<sub>max</sub> (0.94) occurs  $I_{30}^{75}$ - $I_{30}^{79}$  (the 75<sup>th</sup>-79<sup>th</sup> percentile of the peak I<sub>30</sub> rainfall field). The optimal 323 rainfall threshold for flash flood increased from 13.1 mm/hr of  $I_{60}^{85}$ - $I_{60}^{86}$  (the 85<sup>th</sup>-86<sup>th</sup> percentile of 60 min peak rainfall field) 324 in year 1 to 36.3 mm/hr of  $I_{30}^{75}$ - $I_{30}^{79}$  (the 75<sup>th</sup>-79<sup>th</sup> percentile of 30 min peak rainfall field) in year 5 (Table 2; Figure 6). As with 325 326 thresholds derived using the linear regression analysis, averaging rainfall intensity over a duration of 30 minutes and choosing 327 a percentile, j, of approximately 75-85 leads to threat scores of approximately 0.8 or greater for all post-fire years. Other 328 metrics did not perform this well, on average, across all post-fire years.

# 329 4.2 Increases in rainfall intensity thresholds with time since fire

The rainfall intensity thresholds at each percentile significantly increased from post-fire year 1 to 5 (Figures 4 and 7). However, the increase from year 1 to 2 is considerably larger than that from year 2 to 3 or from year 3 to year 5. Taking the  $I_{30}^{75}$  (the 75<sup>th</sup> percentile of the peak I<sub>30</sub> rainfall field) as an example due to its strong performance as a threshold for all post-fire years, the thresholds based on linear regression analyses in year 1, 2, 3, and 5 are 14.0, 22.6, 27.8, and 32.9 mm/hr, respectively; the ROC-based  $I_{30}^{75}$  thresholds in year 1, 2, 3, and 5 are 15.0, 28.5, 33.5, and 35.0 mm/hr, respectively (Figure 7).

335

We are also able to use the model to assess the individual impacts of temporal changes in  $K_{sh}$  and  $n_c$  on temporal variations in the flash flood threshold. If  $K_{sh}$  is allowed to vary from year to year (Table 1) and  $n_c$  is held fixed at its calibrated value for year 1, then ROC analysis indicates that the optimal threshold of  $I_{30}^{75}$  still increases with time since burning (Figure 8).





However, it increases slower than the case where both  $K_{sh}$  and  $n_c$  are allowed to vary with time (Figure 8). If  $n_c$  is allowed to vary from year to year (Table 1) and  $K_{sh}$  is held fixed at its calibrated value for year 1, then ROC analysis indicates that the optimal threshold associated with  $I_{30}^{75}$  increases from year 1 to year 2 but then stays roughly constant as time increases (Figure 8). Therefore, changes in  $K_{sh}$  and  $n_c$  both play important roles in determining the degree to which the flash flood threshold increases from year 1 to year 2, but that further increases in the threshold in years three and five are driven mainly by increases in  $K_{sh}$  as a function of time since burning.



345

Figure 8: The ROC (receiver operating characteristic) based thresholds for  $I_{30}^{75}$  in each year with different model settings. Pairs of  $K_{sh}$  (saturated hydraulic conductivity on hillslopes) and  $n_c$  (Manning's *n* in channels) in each model are along with the data points.

# 349 5 Discussion

# 350 5.1 Implication of optimal metrics of rainfall intensity for flood warning

351 Rain gage records, which provide rainfall intensity data at a single point, are often used to define rainfall ID thresholds in 352 debris-flow and flash flood studies (e.g. Moody and Martin, 2001; Cannon et al. 2008; Cannon et al. 2011; Guzzetti et al. 353 2008; Kean et al., 2011; Staley et al., 2013; Raymond et al., 2020; McGuire and Youberg, 2020). Using point source data to 354 define thresholds for debris flows and flash floods is ideal when rainfall intensity does not vary substantially over the 355 watershed, an assumption that is most appropriate for watershed areas less than several square kilometers. Radar-derived 356 rainfall data has the advantage of providing spatially explicit information over an entire watershed at a high-temporal resolution 357 (e.g. 5 minute). However, one challenge in using radar-derived precipitation to define thresholds is the need to condense 358 spatially and temporally variable rainfall intensity information down to a single rainfall intensity metric. Regardless of whether 359 the approach to determining an ID threshold involves fitting empirical relationships (e.g., Moody and Martin, 2001; Cannon





et al., 2008) or using ROC analysis (e.g., Staley et al., 2013), a single metric is required to represent the rainfall intensity foreach duration.

362

We summarized spatially variable rainfall intensity data over the watershed by computing the peak value of  $I_D^j(t)$ , the j<sup>th</sup> 363 364 percentile of  $I_D(t)$  for each rainstorm. We used two different techniques, one based on a linear regression analysis and one 365 based on ROC analysis (Figure 2), to define thresholds for flash floods in post-fire years 1, 2, 3, and 5. Although the optimal 366 metrics produced by the two approaches are not identical, they are generally similar in each post-fire year. In particular, high 367 R<sup>2</sup> and TS<sub>max</sub> values are associated with metrics of the peak 75<sup>th</sup>-85<sup>th</sup> percentile of rainfall intensity averaged over 30-60 minutes 368  $(I_D^j \text{ for } 75 \le j \le 85, D = 30,60)$ . In other words, a good indicator of the potential for a flash flood is the presence of intense 369 pulses of rainfall over durations of 30-60 minutes that cover at least 15%-25% of the watershed (Figure 9). This finding 370 highlights the ability of rainstorms to produce flash floods even if they don't cover the majority of the watershed with intense 371 rainfall. If rainfall over the majority of the watershed was required to produce flash floods, then we would expect that  $I_D^j$  with 372 j<50 would be a better predictor of flash floods. Previous work has also identified that 30-minute rainfall intensity works well 373 for predicting flash floods and debris flows (Moody and Martin, 2001; Kean et al., 2011; Staley et al., 2013). The finding that 374  $I_{30}^{j}$  and  $I_{60}^{j}$  work best as thresholds when  $75 \le j \le 85$  could be helpful when issuing flash flood warnings based on radar-375 derived precipitation estimates or data from several real-time rain gages within a watershed. Current operational forecast 376 models such as the High Resolution Rapid Refresh model have a horizontal resolution of 3km and minimum temporal resolution of 15 minutes (Benjamin et al. 2016; NOAA 2021a), such that it is feasible to use  $I_{30}^{j}$  and  $I_{60}^{j}$  in an operational 377 378 forecast setting. Where sufficient operational NEXRAD weather radar coverage is present, radar-derived precipitation 379 estimates such as the MRMS (Zhang et al. 2016) can provide near-real-time precipitation estimates at 1 km and as fine as 15 380 min temporal resolution (NOAA 2021b). In the case of poor radar coverage, gap-filling radars may be temporarily deployed 381 or installed (e.g., Jorgensen et al. 2011; Cifelli et al. 2018) to provide information necessary for accurate precipitation estimates. 382 While the magnitude of rainfall thresholds estimated here may only work for similar, recently burned watersheds within the 383 San Gabriel Mountains, the use of metrics such as  $I_{30}^{75}$  as a reliable predictor of post-fire flash floods may be more general. 384 Further testing is needed in watersheds with different watershed size, topographic characteristics, landscape, and burn severity 385 patterns.







387

Figure 9: Snapshots of the spatial patterns of  $I_{30}^{75}$  of 34 unique storms. The peak j<sup>th</sup> percentile of  $I_D$  (rainfall intensity over a duration *D*) is denoted as  $I_D^j$ .  $I_{30}^{75}$  is the peak value of the 75<sup>st</sup> percentile of  $I_{30}$  (rainfall intensity over 30-min). Red contours delineate the pixels with rainfall intensities larger than  $I_{30}^{75}$  of each storm.

391

Several limitations are present in this work. First, we assess a small number of storm events (34) in the area as we are limited by the length of radar and gage records as well as and the number of events that impact the indicator rain gages. However, the advantage of using observed storms rather than using a rainfall generator (e.g., Zhao et al., 2019; Evin et al., 2018) is that our results represent spatial and temporal precipitation patterns that are physically realistic. Second, the challenges of radar observations and application of Z-R relationships to convert reflectivity to precipitation also presents challenges in accurately representing precipitation values. This can be addressed in future work through studies to constrain Z-R relationships for





398 storms producing intense rainfall in this region and through the deployment or installation of high-resolution gap-filling radars

(e.g., Johnson et al. 2019).

# 400 5.2 Increasing rainfall intensity thresholds with time since fire

401 In this study we employed the K2 model calibrated by Liu et al. (2021) to parameterize hydrologic changes affecting Hortonian 402 overland flow within a five-year period following fire. Hillslope saturated hydraulic conductivity ( $K_{sh} = 7.2$  mm/hr) and hydraulic roughness in channels ( $n_c = 0.087 \text{ s/m}^{1/3}$ ) were lowest immediately after fire (Table 1), resulting in high runoff 403 404 coefficients and low rainfall thresholds in post-fire year 1. In later years, with  $K_{sh}$  and  $n_c$  gradually increasing (Table 1), more 405 rainfall infiltrated into soil and there was increased attenuation of flood peaks. Simulations indicate that the number of flash-406 flood-producing rainstorms decreased from 59 in year 1 to 25, 18, and 16 in years 2, 3, and 5, respectively. Runoff coefficients 407 and peak discharge of simulated hydrographs also decreased with time since fire (Figure 10). Given the same precipitation 408 ensemble, the likelihood of flash floods significantly decreased with time. The peak discharge produced by the highest intensity 409 rainfall event with  $I_{60}^{50}$  of 51.8 mm/hr was 554.0 m<sup>3</sup>/s in the first year after the fire, which is three times greater than the peak 410 discharges of 157.5 m<sup>3</sup>/s in year 3 and 161.2 m<sup>3</sup>/s in year 5 produced by the same rainstorm. From a flood hazard perspective, 411 the downstream area may be exposed to a 1000-year flood under the recently burned condition (less than one year since the 412 fire), whereas the discharge produced in years three and five would amount to roughly a 30- to 40-year flood (Figure S3).







416 The numbers of flash floods in each year are displayed next to the box.





417 We were also able to perform numerical experiments to quantify the relative importance of temporal changes in  $K_{sh}$  and  $n_c$  on 418 temporal variations in the flash flood threshold (Figure 8). Results suggest that changes in vegetation and grain roughness, 419 which are likely to influence  $n_c$ , throughout the recovery process are less important for determining flash flood potential in our 420 study area relative to changes to saturated hydraulic conductivity on hillslopes. It is worth noting that temporal changes in 421 other model parameters (e.g., hydraulic roughness on hillslopes, capillary drive) may play more of a role in driving changes 422 in post-fire flash flood thresholds in other settings. In this study, however, we focus on changes in  $K_{sh}$  and  $n_c$  because Liu et 423 al. (2021) were able to detect temporal changes in  $n_c$  and  $K_{sh}$  through time and unable to detect similar temporal changes in 424 other hydrologic parameters (e.g., hydraulic roughness on hillslopes, capillary drive) due to their relatively minor influence on 425 runoff in the study watershed.

426

427 In this study, the optimal flash flood thresholds increased from  $I_{30}^{75} = 14.0-15.0$  mm/hr in post-fire year 1, to 22.6-28.5 mm/hr 428 in year 2, and 22.9-35.1 mm/hr in post-fire year 5 (Figure 4 and 7; Table 2). In the San Gabriel Mountains and nearby San 429 Bernardino and San Jacinto Mountains, Cannon et al. (2008) estimated rainfall thresholds of  $I_{30}$ =9.5 mm/hr and for flash floods 430 and debris flows in the first winter rainy season following fire. They found that the thresholds for flash floods and debris flows 431 increased to  $I_{30}$ =19.8 mm/hr in post-fire year 2. The thresholds that we infer from hydrological modeling are greater than those 432 reported by Cannon et al. (2008), which may be partly due to differences in (1) data and methods used and (2) the size of the 433 studied watersheds. Our results are driven by a hydrologic model, forced with a radar precipitation ensemble that consists of 434 170 rainstorms that contain a variety of storm types that impact southern California. The occurrence of a flash flood is based 435 on exceedance of the maximum channel capacity and we summarize temporal changes in the rainfall ID threshold using  $I_{30}^{75}$ 436 since we find this to be a reliable metric for all post-fire years included in this study. In contrast, Cannon et al. (2008) 437 established rainfall ID relations by using observations of rainstorms and hydrological response in the two years following fire 438 in 87 small watersheds (0.2-4.6 km<sup>2</sup>). They base their thresholds on rainfall characteristics that produced either flash floods or 439 debris flows whereas we focus solely on flash floods. In their dataset, flash floods and debris flows were identified by 440 investigating flood and debris flow deposits at the outlet of those small watersheds in the field. Despite differences in the 441 magnitude of the thresholds, the increase in the threshold from post-fire year 1 to year 2 in both studies are quite close. This 442 agreement provides support for the use of simulation-based approaches to inform temporal shifts in rainfall ID thresholds.

443

444 During the recovery process, increasing thresholds for flash floods and debris flows have also been identified in other areas at 445 different scales by either observation- or simulation-based studies, such as hillslopes in the Colorado Front Range (Ebel, 2020) 446 and small watersheds in Australia (Noske et al., 2016). The consistent increase in rainfall ID thresholds with time since fire in 447 different geographic and ecological zones implies that hydraulic and hydrologic models may be useful tools for exploring how 448 transient effects of fire translate into changes in water-related hazards. Particularly when historic data is limited and traditional 449 empirical methods are impractical for defining thresholds, the role of hydraulic and hydrological models becomes more 450 important.





# 451 6 Conclusions

452 We used 250 m, 5-minute radar-derived precipitation estimates over a 41.5 km<sup>2</sup> watershed in combination with a calibrated 453 hydrological model to estimate the rainfall intensity thresholds for post-fire flash floods as a function of time since burning. The optimal threshold for predicting the occurrence of a flash flood in our study areas is the 75<sup>th</sup>-85<sup>th</sup> percentile of peak rainfall 454 455 intensity averaged over 30-60 minutes, i.e.,  $I_{30}^{75}$ - $I_{30}^{85}$ . In other words, a flash flood tends to be produced when rainfall intensity 456 over 15%-25% of the watershed area exceeds a critical value. A threshold based on  $I_{30}^{75}$  performs consistently well for post-457 fire years 1, 2, 3, and 5, although the magnitude of the threshold increases with time since burning. For the watershed studied, 458 the  $I_{30}^{75}$  threshold increases from 14.0-15.0 mm/hr for year 1 to 22.6-28.5 mm/hr, 27.8-33.5 mm/hr, and 32.9-35.1 mm/hr, for 459 years 2, 3, and 5 respectively. Increases in the threshold value of  $I_{30}^{75}$  can be primarily attributed to increases in  $K_{sh}$  rather than 460  $n_c$  during the hydrological recovery process. The increase in the magnitude of the threshold from year 1 to year 2 is consistent 461 with previous observations from nearby areas in southern California. Results provide a methodology for using radar-derived 462 precipitation estimates and hydrological modeling to estimate flash flood thresholds for improved warning and mitigation of 463 post-fire hydrologic hazards. Thresholds developed through these methods can then be built into operational tools that use 464 incoming radar data to evaluate flash flood hazard in near-real time or precipitation forecasts to evaluate potential for flash 465 flood hazard in burned watersheds.

# 466 Author contributions

TL and LM conceived the study. TL, LM, NO and FC contributed to the development and design of the methodology. TLanalysed and prepared the manuscript with review and analysis contributions from LM, NO and FC.

#### 469 Competing interests

470 The authors declare that they have no conflict of interest.

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