



1 **Evaluating Spatiotemporal Patterns and Trends of Drought in Japan**
2 **Associated with Global Climatic Drivers**

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8
9 **Abstract:** Drought disasters, such as water scarcity and wildfires, are serious natural disasters in
10 Japan that are also affected by climate change. However, as drought generally has widespread
11 impacts and the duration of drought can vary considerably, it is difficult to assess the spatiotemporal
12 characteristics and the climatic causes of drought. Therefore, to identify the drought homogeneous
13 regions and understand climatic causes of regional drought over Japan, this study provides a
14 spatiotemporal analysis for historical droughts patterns and teleconnections associated with global
15 climatic drivers. The trends of meteorological elements, which are the basis of drought index
16 calculation, was first assessed. Then, drought characterized by the Self-calibrating Palmer Drought
17 Severity Index (scPDSI) was investigated. Trends and patterns of drought were identified through
18 the trend-free pre-whitening Mann-Kendall test and distinct empirical orthogonal function. The
19 continuous wavelet transform and cross wavelet transform together with wavelet coherence were
20 utilized to depict the links between drought and global climatic drivers. The results are described as
21 follows: (1) the trends of precipitation were insignificant. However, temperature and potential
22 evapotranspiration increasing trends were detected over Japan; (2) the drought trend over Japan
23 varied seasonally, increasing in spring and summer and decreasing in autumn and winter; (3) two
24 major subregions of drought variability—the western Japan (W region) and most of the
25 northernmost Japan near the Pacific (N region) were identified; (4) wildfires with large burned area
26 were more likely to occur when the scPDSI was less than -1; and (5) the North Atlantic Index (NAOI)
27 showed the strongest coherence connections with Distinguished Principle Components-1 among four



28 climatic drivers. Additionally, Distinguished Principle Components-2 showed stronger coherence
29 connections with NAOI and Arctic Oscillation Index. This study is the first to identify homogeneous
30 regions with distinct drought characteristics over Japan and connect the drought in Japan with the
31 global climatic drivers.

32 **Key Words:** Drought; scPDSI; DEOF; Spatiotemporal patterns; Wavelet analysis; Climatic causes.

33 **1. Introduction**

34 Under climate change conditions, especially direct and clear global warming (IPCC, 2014),
35 drought has shown increasing trends in certain regions of the world (Cook et al., 2004; Dai, 2011,
36 2013; Kogan and Guo, 2016). Contrasted with permanent aridity in arid areas, drought is a
37 temporary reduction in precipitation or water availability over an extended period (Hisdal, 2000) and
38 can last for months or years. Considering the duration, intensity, geographical extent, and broad
39 effects of droughts, it is difficult to determine drought occurrences and their effects (Asong et al.,
40 2018). Additionally, drought is considered to be the most complex and impenetrable extreme climate
41 event, affecting more people than any other natural hazard (Hagman, 1984). Droughts can have
42 catastrophic impacts on the economy, society, and environment (Edwards et al., 2019; Wilhite et al.,
43 2007). For example, droughts experienced in Africa, Asia, Australia, South America, and Europe
44 have had devastating effects over large areas (Mishra and Singh, 2010). In particular, the deficit in
45 precipitation combined with high evapotranspiration losses during a drought would increase the risk
46 of other disasters such as wildfires (Sarris et al., 2014). The risk of water scarcity would be
47 exacerbated by long-term drought, which poses challenges to water resources management (Iglesias
48 et al., 2007).

49 The research on droughts over Japan has been receiving increasing attention. More than 70% of
50 Japan is mountainous, causing rain to flow quickly to the ocean after falling. Historically, most areas
51 of Japan have experienced droughts with varying duration, frequency, severity, and intensity.
52 Particularly, long-lasting droughts occurred over a large part of Japan in 1967, 1973, 1978, 1984,
53 1985, and 1994 (Okada, 2016). In 1994, almost the whole of Japan experienced a particularly
54 long-lasting drought and the drought caused an economic loss of approximately 1.3 billion dollars as
55 a result of the decline in agricultural production (Lee et al., 2012). The number of areas affected by



56 drought in Japan is decreasing but still exists every year (Okada, 2016). In 2007, due to the drought,
57 the leaf necrotic area percentage of sampled dogwood trees was significantly severe in Yamaguchi,
58 Japan (Wang et al., 2009). Also, due to climate change, the uncertainty of drought characterization in
59 Japan would bring more challenges to water management.

60 Indeed, drought is generally driven by multiple global climatic drivers, which are forced by
61 land-sea-atmosphere interactions (Sheffield et al., 2009). Therefore, to further understand the
62 drought characteristics and predict drought events, it is necessary to consider the relationship
63 between drought and global climate drivers (Asong et al., 2018). This type of research has been
64 carried out in different regions. Rajagopalan et al. (2000) selected the Palmer Drought Severity
65 Index (PDSI) to characterize drought and explored the impact of winter El Niño-Southern
66 Oscillation (ENSO) and global sea surface temperatures (SSTs) on summer drought in the United
67 States. Rangsiwanichpong et al. (2017) analysed the relationship between various ocean indices and
68 precipitation in the Chao Phraya River Basin. Actually, the decrease in precipitation is often a cause
69 of drought (Viste et al., 2013). Wang et al. (2015) explored the teleconnections of seven selected
70 climatic drivers with drought in the arid region of China. Asong et al. (2018) used the Standardized
71 Precipitation Evapotranspiration Index (SPEI) to identify drought patterns over Canada and analysed
72 their teleconnections with global climatic drivers. Their researches have contributed to
73 understanding the causes of drought across the region.

74 Considering that the drought over Japan has unique characteristics and may be different from
75 other global arid land. Similar research on the causes of drought over Japan also needs to be carried
76 out. The establishment of connection analysis between drought and global climatic drivers over
77 Japan will also help to understand the potential links between different regions of drought. For Japan,
78 choosing the appropriate global climatic drivers is first required. Previous studies have already
79 analysed the relationship between global climatic drivers and hydroclimate in Japan. He et al. (2017)
80 pointed out that the Arctic Oscillation (AO) affected freezing precipitation or snowfall events over
81 East Asia. A statistically significant positive relationship between the North Atlantic Oscillation
82 (NAO) and precipitation in the western region of Japan was also found (Aizen et al., 2001). Hu et al.
83 (2005) analysed the connection between the ENSO and East Asian precipitation variations, which



84 showed that an ENSO generates stronger precipitation anomalies than a non-ENSO. Lee et al. (2012)
85 pointed out that the Pacific Decadal Oscillation (PDO) has a certain impact on cyclone-induced
86 precipitation over East Asia. These global climatic drivers have already been confirmed to have
87 impacts on hydroclimate in Japan.

88 Overall, to further improve the capacity of early warning and risk assessment of drought at the
89 regional level, it is necessary to identify the homogeneous regions with distinct drought
90 characteristics over Japan and analyse the causes of drought. However, previous researches have
91 mainly focused on the phenomenon of drought events. There is still a lack of understanding of the
92 causes and specific consequences of the droughts in Japan. In other words, establishing the link
93 between nationwide drought properties in Japan and global climatic drivers has not been addressed
94 before. For this purpose, this study attempts to provide an in-depth analysis of historical droughts
95 over Japan to fill these gaps. The drought events were characterized by Self-calibrating Palmer
96 Drought Severity Index (scPDSI) using the most up-to-date data. Then trends in the meteorological
97 elements (precipitation, near-surface temperature, potential evapotranspiration) and scPDSI were
98 investigated by the trend-free pre-whitening Mann-Kendall (TFPW-MK) test. Major patterns of
99 long-term trends in drought and periodicity were then identified using the distinct empirical
100 orthogonal function (DEOF) and continuous wavelet transform, respectively. Additionally, the
101 relationship between drought index and wildfire was analysed to identify the effects of the drought.
102 Finally, the teleconnections between the major drought patterns and global climatic driving factors
103 were analysed by cross wavelet transform together with wavelet coherence.

104 This paper is organized as follows: Section 2 describes the data of the study area and analytical
105 methodology. In Section 3, the results and discussion are revealed. Finally, the summary and
106 conclusions are given in Section 4.

107 **2. Materials and Methodology**

108 **2.1 Study area**

109 The study area comprises the whole of Japan, which is an area mostly characterized by steep
110 mountainous terrain. Japan's total annual precipitation is approximately 1,719 mm, while the annual
111 precipitation per capita is approximately 5,100 m³, which is only approximately 1/3 of the world



112 average of 16,800 m³ (MLIT, 2014). Moreover, water resources in Japan are significantly dependent
113 on the weather. Precipitation is generally concentrated in the rainy, typhoon, and snowfall seasons.
114 The country is so steep that most precipitation quickly runs off into the sea (Su et al., 2019).

115 **2.2 Data**

116 The 0.5° high-resolution gridded datasets of precipitation, near-surface temperature, potential
117 evapotranspiration, and scPDSI were obtained from the Climatic Research Unit (CRU) at the
118 University of East Anglia (Blunden et al., 2019; Harris et al., 2014; Van Der Schrier et al., 2013). The
119 period of scPDSI from CRU is currently from 1901 to 2018. Since this paper is mainly dedicated to
120 analysing the time trends and spatial homogeneity zone of drought, using data from the 1900s may
121 leading the trend of drought to be exaggerated. Besides, at an early stage, there was a problem of
122 sparse distribution of stations. Therefore, the time scale selected in this paper is from 1960 to 2018.
123 The scPDSI class is shown in Table 1 (Palmer, 1986). This scPDSI was presented by Wells et al.
124 (2004), which was a variant of the original PDSI (Palmer, 1986) used to make the results from
125 different climatic regimes more comparable. To better understand how scPDSI characterizes drought,
126 the main calculation formulas of scPDSI are listed as follows:

$$127 \quad Z_i = K(P - (\alpha_i PE + \beta_i PR + \varphi_i PRO - \delta_i PL)) \quad (1)$$

128 The Z index can be used to show the dryness/wetness in month i . K is a climatic characteristic
129 value that varies over both time and space to account for climate changes. α_i , β_i , φ_i , and δ_i are the
130 weighted values according to the climate of the area. The P , PE , PR , PRO , and PL represent
131 precipitation, potential evapotranspiration, potential recharge, potential runoff, and potential loss,
132 respectively. Through the Z index, the scPDSI value can be calculated for a given month using the
133 following formula:

$$134 \quad scPDSI_i = \eta scPDSI_{i-1} + \rho Z_i \quad (2)$$

135 where η and ρ represent the sensitivity of the index to precipitation events. For specific values, see
136 Van Der Schrier et al. (2013).

137 In particular, the potential evapotranspiration was calculated through the Penman-Monteith
138 equation rather than the Thornthwaite equation, which can more realistically estimate potential
139 evapotranspiration. The specific formula is listed as follows:



$$140 \quad PET = \frac{0.408\Delta (R_n - G) + \gamma \frac{900}{T + 273} U_2 (e_s - e_a)}{\Delta + \gamma (1 + 0.34U_2)} \quad (3)$$

141 where R_n is the net radiation at the crop surface, G is the soil heat flux density, T is the air
 142 temperature at the 2 m height, U_2 is the wind speed at the 2 m height, e_s is the vapour pressure of the
 143 air at saturation, e_a is the actual vapour pressure, Δ is the slope of the vapour pressure curve and γ is
 144 the psychrometric constant (Allen et al., 1998).

145 Additionally, scPDSI can deal with snow by adding a simple snowmelt and accumulation
 146 process, which other drought indices, such as the Standardized Precipitation Index (SPI), Effective
 147 Drought Index (EDI), and SPEI could not consider. Snowmelt was treated using the following
 148 simple formula:

$$149 \quad M = \beta T_{pdd} \quad (4)$$

150 where β is the degree-day factor and T_{pdd} is the sum of all positive daily mean temperatures during
 151 the entire research period. For specific values, see Van Der Schrier et al. (2013)

152 The snow accumulation was estimated for each month from monthly mean temperature and
 153 monthly precipitation as follows (Blunden et al., 2019; Harris et al., 2014; Van Der Schrier et al.,
 154 2013):

$$155 \quad f = \frac{1}{\sigma\sqrt{2\pi}} \int_{-\infty}^{T_s} e^{-\frac{(T-T_m)^2}{2\sigma^2}} dT \quad (5)$$

156 where T_s is the rain/snow threshold temperature and T_m is the monthly mean temperature. σ is the
 157 standard deviation of daily temperatures.

158 Table 1 Categories of dryness/wetness degree according to the scPDSI values

Categories	scPDSI values
Extremely wet	[4.0, +∞)
Severely wet	[3.0, 4.0)
Moderately wet	[2.0, 3.0)
Mid wet	[1.0, 2.0)
Incipient wet	[0.5, 1.0)
Normal	(-0.5, 0.5)



Incipient dry	(-1.0, -0.5]
Mid dry	(-2.0, -1.0]
Moderately dry	(-3.0, -2.0]
Severely dry	(-4.0, -3.0]
Extremely dry	($-\infty$, -4.0]

159 To analyse the key global climatic drivers of drought events over Japan, the following four
160 global climatic indices were investigated. The Arctic Oscillation Index (AOI) (Li et al., 2003) and
161 North Atlantic Oscillation Index (NAOI) (Li et al., 2003) are employed to describe the abnormal
162 conditions of the AO and NAO, respectively. These indices are sourced from
163 <https://ljp.geess.cn/dct/page/1> (last access: 10 March 2020). The Pacific Decadal Oscillation Index
164 (PDOI) (Mantua et al., 2002) and Oceanic Niño Index (ONI) (Bamston et al., 1997) respond to the
165 abnormalities of PDO and ENSO, which are sourced from <https://www.esrl.noaa.gov/psd/> (last access:
166 10 March 2020).

167 2.3 Trend-Free Pre-whitening Mann-Kendall test

168 The Mann-Kendall (MK) test, which was proposed by Mann (Mann, 1945) and modified by
169 Kendall (Giglio et al., 2015), is widely used for analysing the change trends in hydrometeorological
170 time series (Liu et al., 2015; Yue et al., 2003a, 2003b). The advantage of the MK test is that the time
171 series does not require any special form for the probability distribution function, which means it is
172 less sensitive to potential interference from outliers in the data (Serrano et al., 1999). However, this
173 test requires that the data should be independent. Some hydrometeorological time series may usually
174 display serial correlation. This will increase the probability that the MK test detects a significant
175 trend, altering the magnitude estimate of serial correlation (Yue et al., 2002). To efficiently eliminate
176 the effect of the serial correlation on the MK trend test, Yue et al. (2002) proposed the trend-free
177 pre-whitening MK (TFPW-MK) test. Before the MK test, the time series is first detrended and
178 pre-whitened. In this paper, we adopted TFPW-MK to analyse the time series trends. The specific
179 details of TFPW-MK can be found in Yue et al. (2002). The main steps are listed as follows:

180 Step 1. Using the Theil-Sen approach (Sen, 1968) estimates the slope b of the trend in the time
181 series. If the slope is equal to zero, then it is unnecessary to continue conducting the trend analysis.



182 If the slope differs from zero, then it is assumed to be linear, and the time series are detrended by the
 183 following equation:

$$184 \quad X'_t = X_t - T_t = X_t - bt \quad (6)$$

185 Step 2. The lag- k serial correlation coefficient r_k of the detrended series X'_t is computed using
 186 Equation (7), and then, Autoregressive (k) (AR) is removed from X'_t by Equation (8).

$$187 \quad r_k = \frac{\frac{1}{n-k} \sum_{t=1}^{(n-k)} [X'_t - E(X'_t)] [X'_{(t+k)} - E(X'_{t+k})]}{\frac{1}{n} \sum_{t=1}^n [X'_t - E(X'_t)]^2} \quad (7)$$

$$188 \quad Y'_t = X'_t - r_1 X'_{(t-1)} \quad (8)$$

189 This pre-whitening procedure after detrending the series is referred to as the TFPW procedure.
 190 After applying the TFPW procedure, the time series should be independent.

191 Step 3. The identified trend T_t and the residual Y'_t are blended by the following equation:

$$192 \quad Y_t = Y'_t + T_t \quad (9)$$

193 Step 4. The MK test is applied to the blended series to assess the significance of the trend. We
 194 can obtain the statistic Z through the calculation of the TFPW-MK test and measure the degree to
 195 which a trend is consistently decreasing or increasing. In the bilateral trend test, if $|Z| > Z_{1-\alpha/2}$ is at a
 196 desired confidence level α , the original hypothesis is unacceptable; that is, the time series trend is not
 197 statistically significant at the $1-\alpha$ confidence level. The confidence levels of 0.05 and 0.1 are equal to
 198 the Z values of 1.96 and 1.64, respectively. Thus, the trend can be classified according to the Z value
 199 (Table 2) (Wang et al., 2014).

200

Table 2 Trend categories according to the Z values

Categories	Z values
Significant increasing trend	[1.96, $+\infty$)
Weak increasing trend	[1.64, 1.96)
No significant increasing trend	[0, 1.64)
No significant decreasing trend	(-1.64, 0)
Weak decreasing trend	(-1.96, -1.64]
Significant decreasing trend	($-\infty$, -1.96]



201 **2.4 Distinct Empirical Orthogonal Function**

202 The empirical orthogonal function (EOF), which deals with temporal and spatial functions, is
203 used to extract the spatiotemporal modes based on the data variance representations. The EOF was
204 introduced into meteorology and climate research by Lorenz (1956) in the 1950s and has already
205 been widely applied in other fields, such as geoscience and hydrology. The EOF analysis method can
206 decompose the time-varying variable fields into the space function part (EOFs) that does not change
207 with time and the time function part (principal components, PCs) that depends only on time. The
208 distinct EOF (DEOF) analysis was subsequently introduced to overcome problems in the EOF
209 analysis (Dommenget, 2007). In the DEOF, a continuous spectrum of spatial patterns resulting from
210 a stochastic process can be represented by EOF modes, where some spatial structures will be more
211 dominant than others. Based on the isotropic diffusion null hypothesis, the DEOF modes (DEOFs)
212 can be found by rotating the leading DEOF modes, corresponding to the distinguished principal
213 components (DPCs) (Ye et al., 2019b). These DPCs take up a large part of the total variance in all
214 the variables in the original field, which is equivalent to the main information of the original field
215 concentrated on a few main components. The higher the eigenvalues, the more typical the
216 corresponding modes, and the greater the contribution to the total variance. The details about DEOF
217 can be found in Dommenget (2007).

218 **2.5 Wavelet analysis**

219 The continuous wavelet transform (CWT) (Torrence et al., 1998) is widely used for analysing
220 the frequency domain of hydrometeorological time series (Fang et al., 2018; Li et al., 2020). The
221 spectral and temporal features of the time series can be projected onto a time-frequency plane by
222 CWT, where the dominant cycle period and its duration can be identified (Grinsted et al., 2004). The
223 square modulus of the CWT defines the wavelet power spectrum (WPS) (Jiang et al., 2014), which
224 represents the signal energy at a specific scale (period) and time (Asong et al., 2018). In this paper,
225 the time-frequency domain of DPCs was analysed by CWT. The specific calculation process for
226 CWT can be found in Torrence et al. (1998). Notably, the CWT brings about a cone of influence
227 (COI) that delimits a region of the WPS beyond which the edge effects become significant and the
228 power could be suppressed (Torrence et al., 1998).



229 Also, the cross wavelet transform (XWT) (Torrence et al., 1998) and wavelet coherence (WCO)
230 (Torrence et al., 1999) can examine the relationship between the DPCs and the global climatic
231 driving factor. WCO reveals local similarities between two time series and may be found to be a
232 local correlation coefficient in the time-frequency plane; that is, their possible teleconnection can be
233 identified by WCO (Asong et al., 2018). Similar to the CWT, the parts outside of the COI should
234 also be interpreted with caution. The specific XWT and WCO analysis methods can also be found in
235 Torrence et al. (1998;1999).

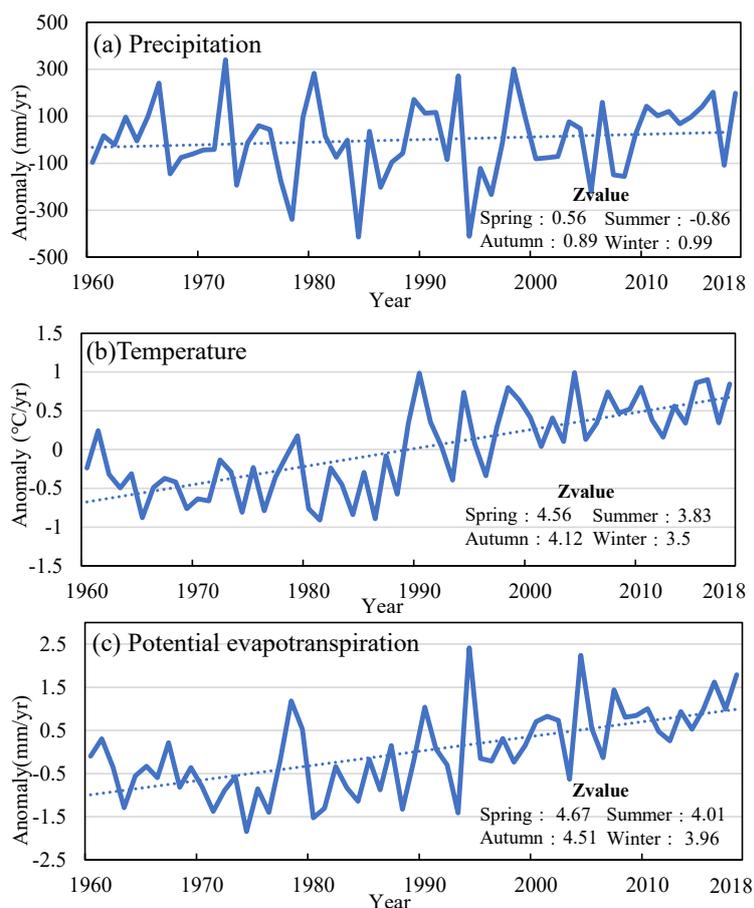
236 **3. Results and Discussion**

237 **3.1 Variation characteristics of meteorological elements**

238 To better understand the drought trends in Japan, the variation characteristics of meteorological
239 elements (precipitation, temperature, and potential evapotranspiration) were first analysed by
240 anomaly curves. Figure 1 shows the anomaly curves of precipitation, temperature, and potential
241 evapotranspiration, which reflects the difference between the annual value of meteorological
242 elements and the overall mean over Japan. For precipitation, there was a weak increasing trend.
243 Moreover, the years with the least precipitation were 1978, 1984, and 1994. The annual scale
244 deviations were -414.91 mm, -411.48 mm, and -339.88 mm respectively.

245 For temperature and potential evapotranspiration, it could be interpreted that these two
246 meteorological elements have similar obvious increasing trends, especially after the late 1970s. Most
247 annual values of temperature and potential evapotranspiration were greater than the overall mean
248 after 1990. In particular, most anomaly values of temperature and potential evapotranspiration
249 appeared in 2004 (0.99 °C) and 1994 (2.42 mm), respectively.

250 The trend analysis of three meteorological elements during different seasons from 1960 to 2018
251 was calculated (see Figure 1). Except for summer, precipitation values have shown an increasing
252 trend in the other three seasons, although these trends were not significant. The temperature and
253 potential evapotranspiration showed a consistent trend in different seasons. The increasing trend was
254 most obvious in spring, while the increasing trend in winter was weakest when compared to other
255 seasons.



256

257

Figure 1. Average meteorological element anomaly curves and Z value of different seasons over Japan from

258

1960 to 2018. (a) Precipitation. (b) Temperature. (c) Potential evapotranspiration

259

Next, the TFPW-MK trend test results were calculated and then interpolated over Japan by

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inverse distance weighted (IDW) (see Figure 2). For precipitation, except for some parts of the

261

northernmost region, Japan showed increasing trends. However, all the precipitation trends were

262

insignificant. For temperature, the whole of Japan showed strong increasing trends. The trends in

263

northeast Japan was most significant. For potential evapotranspiration, the trends of potential

264

evapotranspiration were similar to that of temperature. It was evident that almost all of Japan showed

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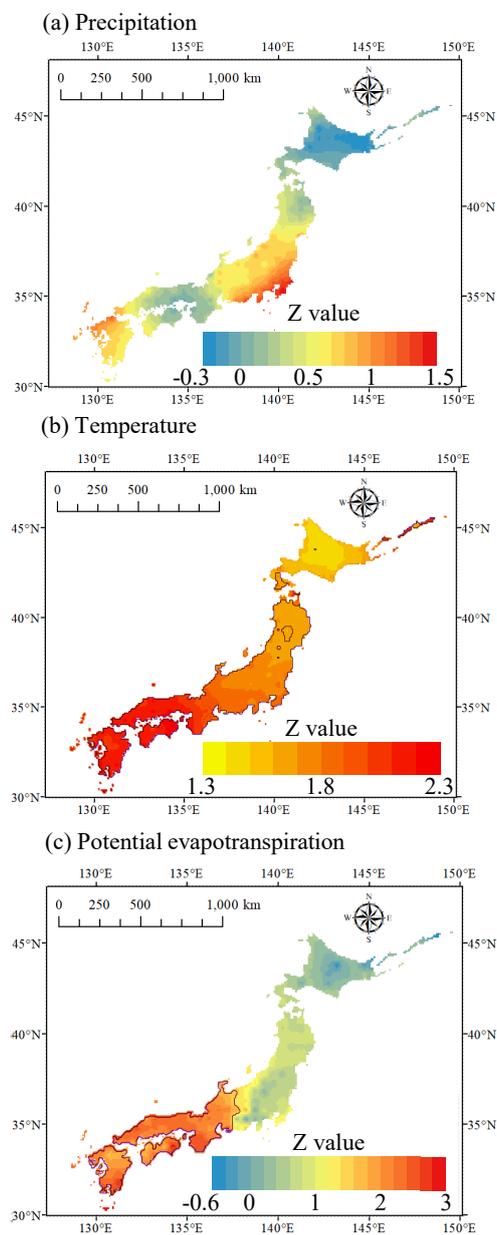
increasing trends in potential evapotranspiration, except for several parts of the northernmost region.

266

The trends of potential evapotranspiration in most of eastern Japan were insignificant and only



267 several coastal areas in southwestern Japan showed significant trends. Also, the increased
268 temperature and evapotranspiration could cause the acceleration of soil moisture evaporation loss,
269 which would increase the risk of drought.



270

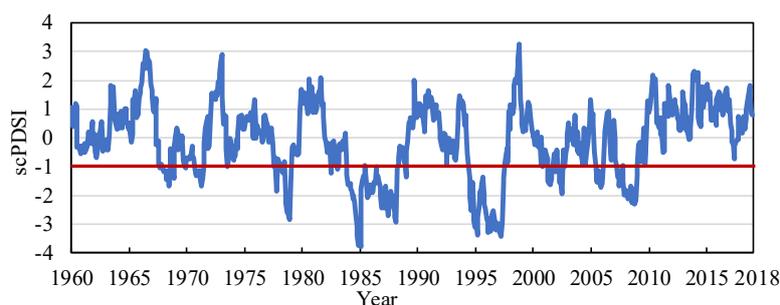


271 Figure 2. Meteorological element TFPW-MK trend analysis results over Japan. (The contour indicates that Z value is
272 greater than 1.64) (a) Precipitation. (b) Temperature. (c) Potential evapotranspiration.

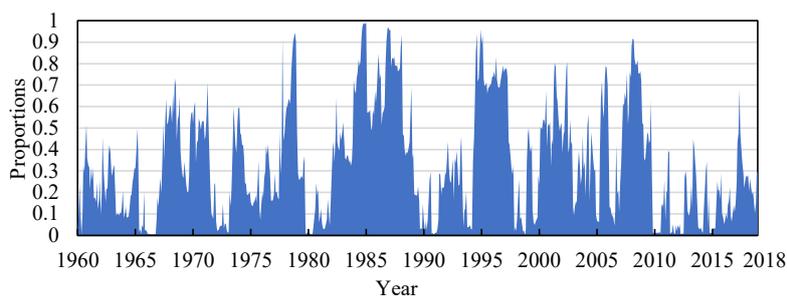
273 In addition, in order to reduce the impact of using a single data on the robustness of the results,
274 the trend analysis results of different meteorological elements using different datasets were
275 compared (As shown in the Appendix I). The results showed that the CRU dataset used in this paper
276 was proven to be credible.

277 3.2 Variation characteristics of drought

278 For drought, the average scPDSI series of Japan from 1960 to 2018 is shown in Figure 3. A
279 drought month event is defined to occur when the scPDSI is less than -1 (Ye et al., 2019a). The
280 results indicated that the two driest periods occurred in 1983~1988 and 1994~1997. In these two
281 periods, the minimal values of scPDSI were -3.80 and -3.42, which both reached the level of
282 severely dry, as shown in Table 1. To better analyse the size and influence of droughts, the
283 proportions of monthly drought grid points were calculated. The number of grid points where
284 scPDSI ≤ -1 is divided by the total number of grid points. These proportions could indirectly reflect
285 the severity of the droughts, as shown in Figure 4. Specifically, there were 193 months out of the 708
286 months from 1960 to 2018 when the drought grid point proportions were $\geq 50\%$. In this case, the
287 situation of the drought grid point proportions of $\geq 90\%$ occurred in 22 months, which meant that
288 drought occurred over almost all of Japan during this several month periods. The range of drought
289 grid point proportions was 0.97 to 0.99 from September 1984 to January 1985 when the maximal
290 value appeared.



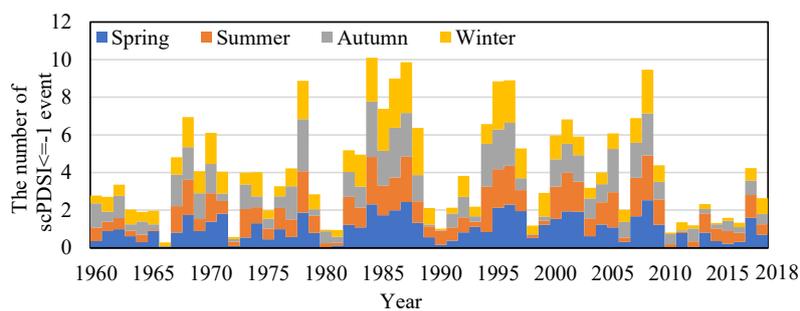
291
292 Figure 3. Average scPDSI time series over Japan from 1960 to 2018. (When scPDSI is less than -1, it means the
293 occurrence of drought month)



294

295 Figure 4. The drought grid point proportions of the scPDSI over Japan from 1960 to 2018.

296 Also, the number of drought month occurrences in different seasons of each year was counted
297 throughout 1960~2018 (Figure 5). There were 10.1 drought month occurrences on average in 1985
298 with more than two droughts month occurring each season. Indeed, different seasons showed
299 different trends. Figure 6 shows the number of drought month occurrences in different seasons. The
300 percentage of drought month occurrences in spring and summer were observed to be increasing,
301 while the percentage of drought month occurrences in autumn and winter were decreasing. In other
302 words, spring and summer became drier and autumn and winter became wetter in Japan.



303

304 Figure 5. The number of scPDSI <= -1 event for different seasons over Japan from 1960 to 2018

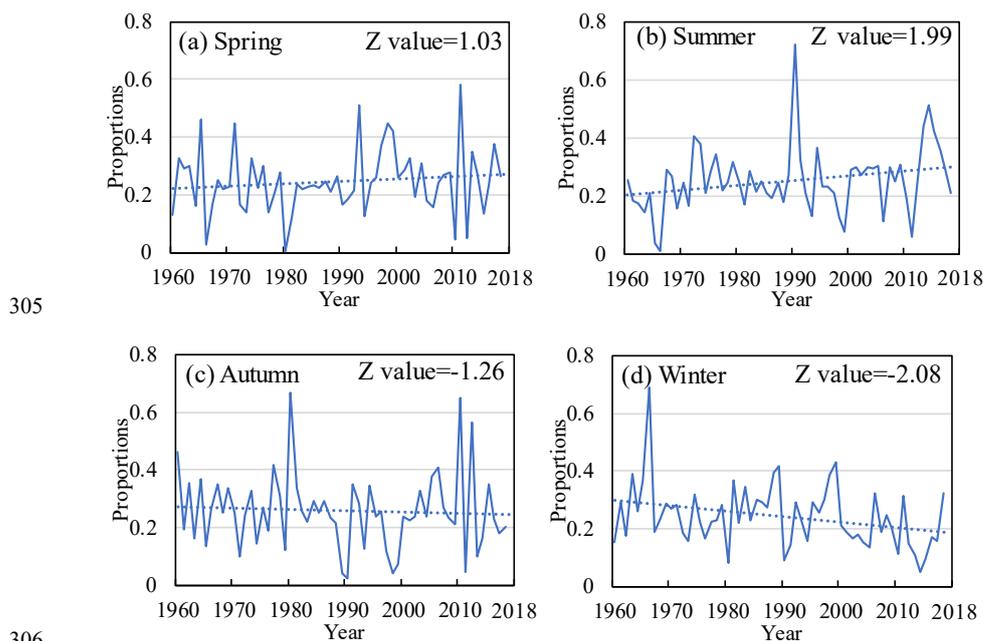
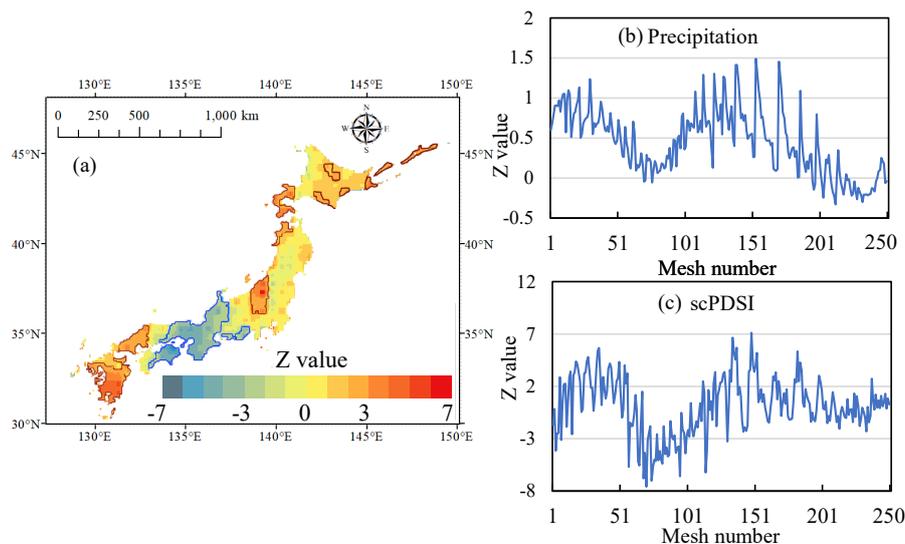


Figure 6. The drought seasonal proportions according to the scPDSI over Japan from 1960 to 2018.

(a) Spring. (b) Summer. (c) Autumn. (d) Winter.

309 Figure 7a shows the Z values of the scPDSI series at each grid point calculated by the
310 TFPW-MK trend test. Significantly increasing drought trends (decrease in scPDSI) were observed in
311 some western regions. Decreasing trends were found in the northwestern region, the western part of
312 the central region, the partial area of the northeast region, and most of the northernmost region. And
313 when the precipitation and the scPDSI trend analysis results were compared one mesh by one mesh
314 (Figure 7b~c), the trend analysis results of scPDSI varied with the change of precipitation trend.



315
316 Figure 7. (a) TFPW-MK trend analysis results over Japan (The blue contour indicates that Z value is less than -1.64;
317 the red contour indicates that Z value is greater than 1.64). (b)–(c) Comparison of the trends of each mesh between
318 precipitation and scPDSI

319 Besides, the comparison of trend analysis results of scPDSI based on different datasets was also
320 applied (As shown in the Appendix II), which proved the robustness of the results.

321 3.3 Spatial and temporal variabilities in drought using DEOF

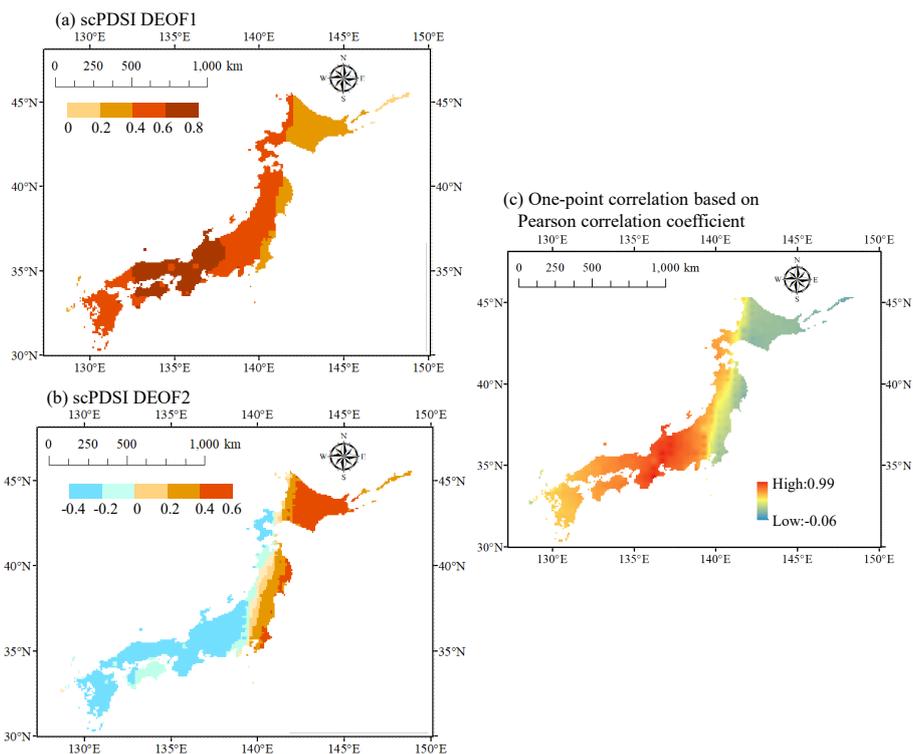
322 The DEOF calculation used the scPDSI time series of each grid point on the monthly scale.
323 Figure 8a–b displays the spatial partitioning results of the first two DEOFs. The explained variances
324 in the first two DEOFs were 46.85% and 20.55% respectively, which meant that the DEOFs could
325 explain approximately 67.40% of the total spatial wet/dry characteristics of Japan from 1960 to 2018.
326 The first two had sufficiently explained variances to represent most of the wet/dry conditions in
327 Japan. The explained variances in DEOF3 and DEOF4 were only 8.0% and 4.2% respectively. The
328 explained variance in the remaining DEOF values would become much smaller and not considered
329 in this research.

330 The spatial distribution of DEOF1 illustrated that a high positive loading occurred in the
331 western region at approximately 35°N (W region). This finding meant that the W region had similar
332 drought characteristics from 1960 to 2018. Similarly, the spatial distribution of DEOF2 illustrated



333 the common positive spatial behaviour of drought in most of the northernmost region near the
334 Pacific (N region). However, the central region, western region, and most parts of the northwestern
335 region showed common negative spatial behaviour, indicating that these regions showed the opposite
336 drought characteristics as the N region. Notably, the two DEOFs were unable to represent all drought
337 characteristics across the whole of Japan. However, according to their loadings, the whole region
338 could be divided into two drought subregions, the W and N regions, which had different spatial
339 variabilities in drought.

340 For the corresponding drought temporal characteristics, the DPC scores are displayed in Figure
341 9. The DPC1 scores showed a decreasing trend, which meant that the W region became drier.
342 However, the N region was getting wetter. The trends in the DPC scores were consistent with the
343 TFPW-MK trend results in Figure 7. In addition, the drought characteristics in different seasons
344 were analysed. Figures 10–11 show the number and proportion of drought occurrences of DPCs in
345 different seasons. From 1960 to 2018, the number of drought events in the DPC1 scores was highest
346 in spring, accounting for 31%. For the DPC2 scores, the maximal value, 28% of drought occurrences,
347 appeared in autumn.



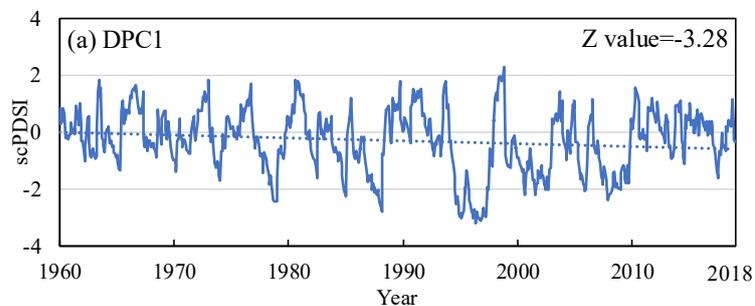
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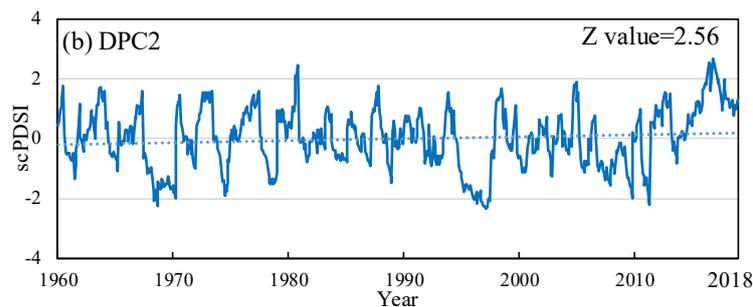
Figure 8. Patterns of the first two DEOFs for scPDSI (a) scPDSI DEOF1. (b) scPDSI DEOF2, and (c) One-point

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(34.25°N, 136.25°E) correlation based on Pearson correlation coefficient.



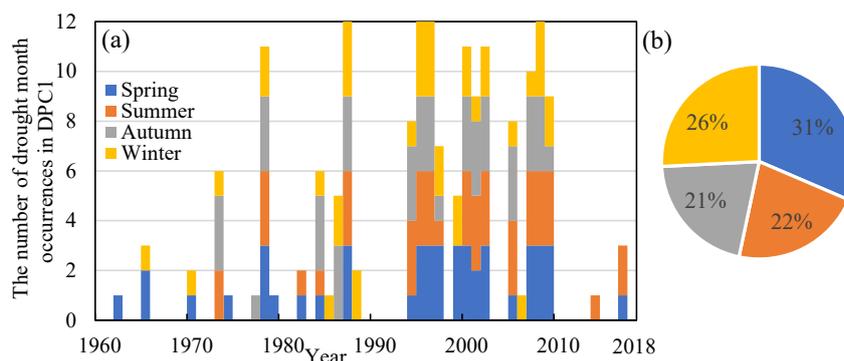
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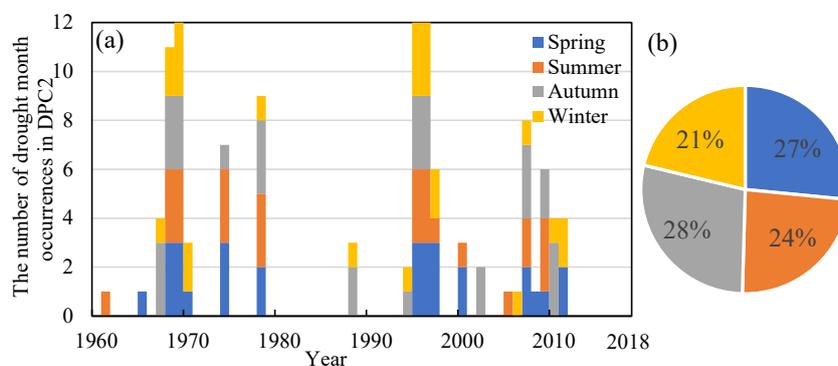
Figure 9. DPC scores of the first two DEOFs for scPDSI. (a) DPC1. (b) DPC2.



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Figure 10. The number (a) and percentage (b) of drought occurrences in different seasons of DPC1 from 1960 to 2018.



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Figure 11. The number (a) and percentage (b) of drought occurrences in different seasons of the DPC2 from 1960 to 2018.

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360 3.4 Comparison between spatial patterns of dryness wildfires



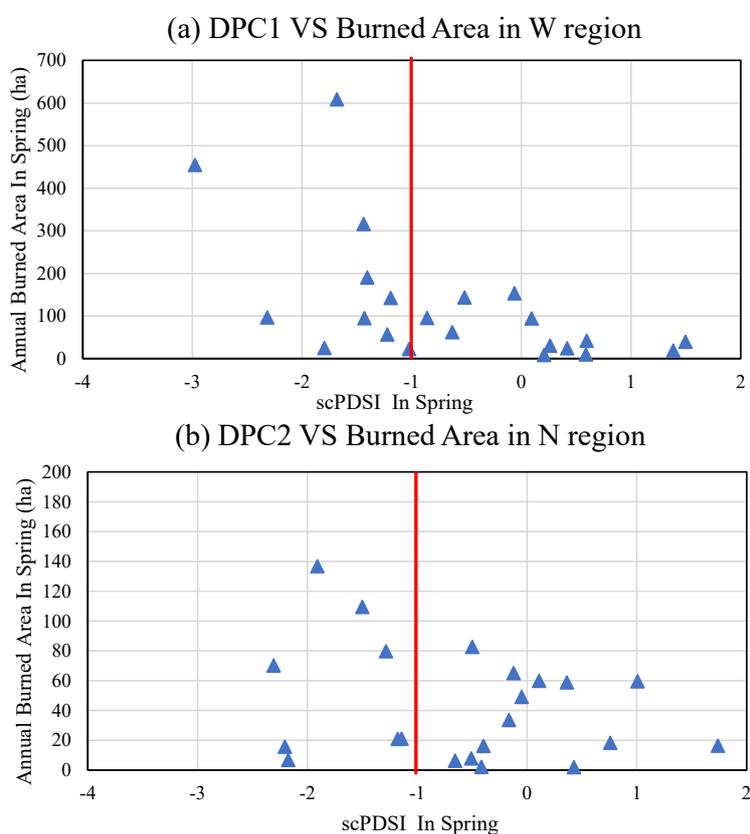
361 Drought is a factor influencing wildfire occurrence. Considering that drought would cause a
362 decrease in duff moisture and soil moisture, forest fuels (such as grass fallen leaves and branches)
363 become drier and more ignitable, contributing to fire ignition and the subsequent outcomes. Indeed,
364 there have been various studies on the relationship between scPDSI and wildfires. The wildfires in
365 the southern and mountainous ecoregions of the United States showed significant increasing trends,
366 coinciding with trends toward increased drought characterized by scPDSI (Dennison1 et al., 2014).
367 Mongolia experienced a dry period after the 1500s, which coincided with more fires and shorter fire
368 return intervals (Hessl et al., 2016). By comparing various drought indices (PDSI, Drought Index
369 (DI), Monthly Drought Code (MDC), and SPI), the scPDSI was considered to be the best predictor
370 of burned forest area in southern Sweden over 1942-1975 (Drobyshev et al., 2012).

371 Considering the ability of scPDSI to adequately represent the water balance, the analysis of
372 combining drought with wildfires would further enhance the understanding of drought-induced
373 natural disasters. In Japan, the most of wildfires occur in spring, especially in March to May (Suzuki
374 et al., 2009). Therefore, the comparison between the annual burned forest area in spring (March to
375 May) with DPCs was extracted, as shown in Figure 12. The burned area data come from fire reports
376 provided by the Fire and Disaster Management Agency, Government of Japan. The burned area data
377 of the W region included the Ishikawa prefecture, Fukui prefecture, Gifu prefecture, Aichi prefecture,
378 Shiga prefecture, Kyoto prefecture, Osaka prefecture, Hyogo prefecture, Nara prefecture, Wakayama
379 prefecture, Tottori prefecture, Okayama prefecture, and Mie prefecture. The burned area data of the
380 N region included the Hokkaido prefecture.

381 In a wet spring, when the scPDSI was positive, the burned area of western Japan was less than
382 100 ha. The three springs with severe wildfires, when the burned area was larger than 300 ha, were
383 accompanied by drought events in which the scPDSI was less than -1. Although there were fewer
384 wildfire occurrences in the N region than in the W region, these two regions followed a similar
385 pattern. A total of six wildfires with burned areas of over 60 ha occurred in the N region. The scPDSI
386 values corresponding to these six wildfires were all negative, and four of them experienced drought
387 (scPDSI \leq -1). When the scPDSI was more than 1, there were only six wildfire occurrences in the N
388 region, and the burned area was less than 60 ha.



389 Whether in the W or N region, there was, to a certain extent, a relationship between the wildfire
390 burned area and drought. Indeed, the drought did not necessarily lead to large wildfires, but a lack of
391 soil moisture could increase the risk of severe wildfires. Understanding the effects of drought on
392 other natural disasters could encourage scholars to pay more attention to drought research in Japan.



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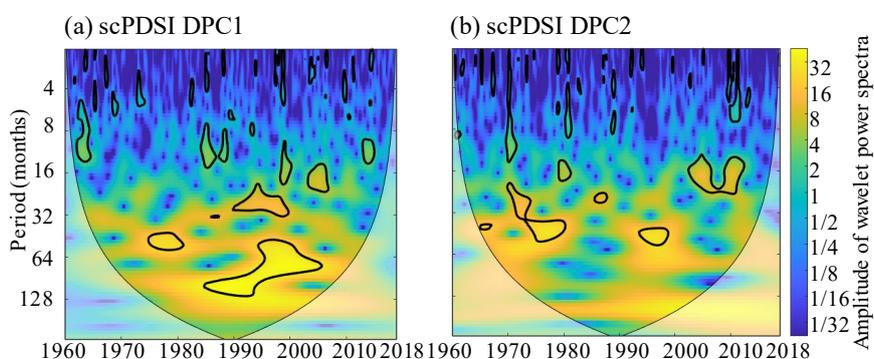
Figure 12. Comparison of DPCs for annual burned forest area in spring (a) DPC1. (b) DPC2.

395 3.5 Links between drought and global climatic drivers

396 Due to the complexity in the causes of drought, the relationship between drought and global
397 climatic drivers needs to be discussed. Figure 13 shows the WPS results of the DPCs of the scPDSI
398 time series. The dominant frequencies of drought were identified. For DPC1 (W region), the
399 significant interannual variability over approximately 44 to 60 months was obvious during the period
400 from 1975 to 1982. Also, a strong dominant frequency band was found to be centred at



401 approximately 48 to 128 months during the mid-1980s to mid-2000s. In the N region (DPC2), a
402 major periodicity of approximately 20 to 56 months was observed in the 1970s. During the 1990s,
403 there was a dominant frequency band of approximately 42 to 58 months. Overall, the frequencies of
404 drought were not strong in DPC1 or DPC2.



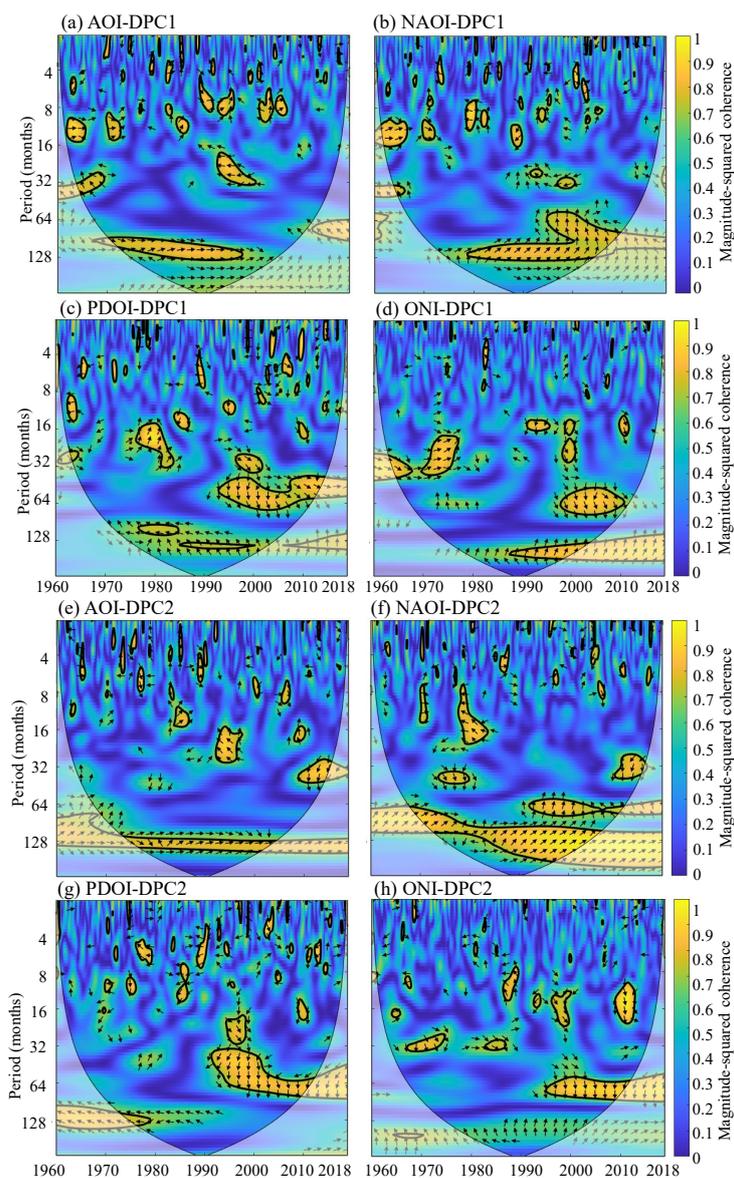
405
406 Figure 13. WPS of the DPC time series. The black contour designates the 95% confidence level against red noise,
407 and the COI, where edge effects might distort the picture, is shown as a lighter, paler shade.

408 To identify the coherence between drought subregions and climatic causes, the WCO method
409 was used to analyse the covarying occurrence in the dominant frequency bands and time intervals.
410 Figures 14 show the results of the WCO coefficients between the DPCs and climatic driving factors
411 (AOI, NAOI, PDOI, and ONI). In these figures, the level of the coherence and timescale variability
412 between the two time series is represented by different colours corresponding to values that range
413 from 0 to 1. Also, the information inside the thick black lines indicated statistical significance. The
414 arrows illustrate the phase difference between the two time series. If the arrow points to the right
415 (left), then the two time series are in-phase (antiphase). That is, there is a positive correlation
416 (negative correlation). When the arrow points up (down), then the independent variables lead the
417 dependent variables in phase by $3/4$ ($1/4$) of a period. Similarly, if the arrow points to the lower right,
418 lower left, upper right or upper left by 45° , the leading frequency would be $1/8$, $3/8$, $5/8$ or $7/8$ of a
419 period, respectively. In this case, because this paper focuses on the impacts of climatic causes on
420 drought, the DPCs were considered to be dependent variables, while the global climatic drivers were
421 considered to be independent variables.



422 For the W region, Figure 14a~b shows that sporadic but significant coherence was found
423 between DPC1 with AOI, NAOI, PDOI, and ONI. For AOI (as shown in Figure 14a), a positive
424 correlation occurred between approximately 96 and 128 months from the 1970s to the mid-1990s,
425 while a temporary negative correlation was found during 1992~2000 in the range of 20 to 32 months.
426 The coherence between DPC1 and NAOI is shown in Figure 14b. It was obvious that the NAOI led
427 the DPC1 in phase by approximately 84 to 112 months from the 1980s to 2000s. However, over the
428 1995~2010 period, DPC1 lagged the NAOI, ranging from 36 to 72 months. In Figure 14c, the
429 directions of the arrows were somewhat messy, meaning that the coherence between PDOI and
430 DPC1 was slightly difficult to determine. But a relatively obvious relationship was that DPC1 lagged
431 PDOI by approximately 8 to 16 months from 1992 to 2005. Also, three strong coherence bands were
432 observed in Figure 14d, one of which was nearly a positive correlation between the DPC1 and ONI
433 in the 1970s. And the ONI led the DPC1 by approximately 12 to 16 months in the 1998~2010 period.
434 From 1998 to 2000, the coherence band was mainly concentrated over 140~168 months.

435 The coherence was stronger in DPC2 than in DPC1. As shown in Figure 14e, a band of
436 approximately 112~128 months of high energy was observed during the period of the mid-1970s to
437 mid-2000s, while the regions beyond the COI were ignored due to edge effects. In this period, the
438 coherence was initially unstable, but after 1985, the relationship between the DPC2 and AOI
439 gradually showed positive correlations. The NAOI (Figure 14f) led the DPC2 by 63~105 months and
440 84~168 months over the periods of 1970~1980 and 1980~2005, respectively. In Figure 14g, from
441 1992 to 2002, the PDOI led the DPC2 by 8 to 16 months, which was similar to the relationship
442 between PDOI and DPC2 during the same period. Besides, the leading relationship of
443 time-frequency became 14~20 months between 2002 and 2010. The correlation between ONI and
444 drought was relatively weak compared with the other three climatic factors. The results indicated
445 that the ONI (Figure 14h) led the DPC2 by 14~18 months from 1995 to 2010.



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Figure 14. Squared wavelet coherence between the global climatic driving factors and the temporal patterns in the W region and N region. (a) AOI-DPC1. (b) NAOI-DPC1. (c) PDOI-DPC1. (d) ONI-DPC1 (e) AOI-DPC2. (f) NAOI-DPC2. (g) PDOI-DPC2. (h) ONI-DPC2. The black contour designates the 95% confidence level against red noise, and the COI, where edge effects might distort the picture, is shown as a lighter, paler shade



451 The foregoing analysis has shown the teleconnection between drought over Japan and global
452 climatic drivers. This paper mainly focused on qualitative analyses to determine the climatic driving
453 factors that have the most obvious impact on drought rather than focusing on quantitative analyses.
454 For DPC1, the most significant coherence between DPC1 and NAOI occurred from the 1980s to
455 2000s, and the dominant frequency of DPC1 appeared in 1985~2005, which suggested that the
456 periodic features of drought in the W region were likely to be affected by the NAO. For the N region,
457 the significant coherence between drought with the AO and NAO appeared throughout the research
458 period, but because of the edge effects, the coherence analysis mainly revolves around the parts in
459 the COI. This finding also showed that the complexity in the cause of the drought was affected by
460 more than one climatic driving factor. The CWT and WTC analysed the relationship between
461 drought and global climatic driving factors from only a statistical point of view, so the underlying
462 physical process was not the target of this research. However, this paper would be meaningful for
463 determining the climatic driving factors that affect the occurrence of drought, and in-depth research
464 on predicting droughts through climatic driving factors is required.

465 Additionally, this paper identified the two global climatic drivers, AO and NAO, which had the
466 most significant effects on drought over Japan. But the effects of these two global climatic drivers on
467 regional climate is not limited to Japan. Actually, in the Korean Peninsula across the sea from Japan,
468 the spring drought events in this region have also been confirmed to be affected by the NAO (Kim et
469 al., 2017). Also, the majority of UK recorded droughts in recent history showed a clear relationship
470 with NAO (Rust et al., 2019). Even in the northeastern United States, drought prediction was
471 performed based on NAO (Berton et al., 2017). The AO had significantly affected the extreme
472 drought event over southwestern China (Yang et al., 2012), hydrological drought over Turkey and
473 northern Iran (Vazifehkhah et al., 2018), and climatological drought over Finland (Irannezhad et al.,
474 2017). Global climatic drivers such as NAO and AO had vast and far-reaching effects on the
475 different regional hydroclimate. This paper is the first to connect the drought over Japan with NAO
476 and AO. The discovery of regional droughts with similar climatic causes would also provide the
477 basis for conducting further research on different drought teleconnection analysis in different
478 regions.



479 **4. Summary and Conclusions**

480 Investigation of the coherence connections between drought and global climatic driving factors
481 is significant for a better understanding of drought. This paper focused on Japan, which has less
482 available drought-related research, as the research area and provided a comprehensive analysis of
483 drought patterns over Japan during the period from 1960 to 2018 using the scPDSI drought index.
484 The relationship between wildfire burned area and the scPDSI was analysed. Wavelet analysis was
485 applied to detect the climatic driving factors that had the strongest relationship with drought, which
486 overcame the insufficiency of classical drought analysis in determining the cause of the drought.

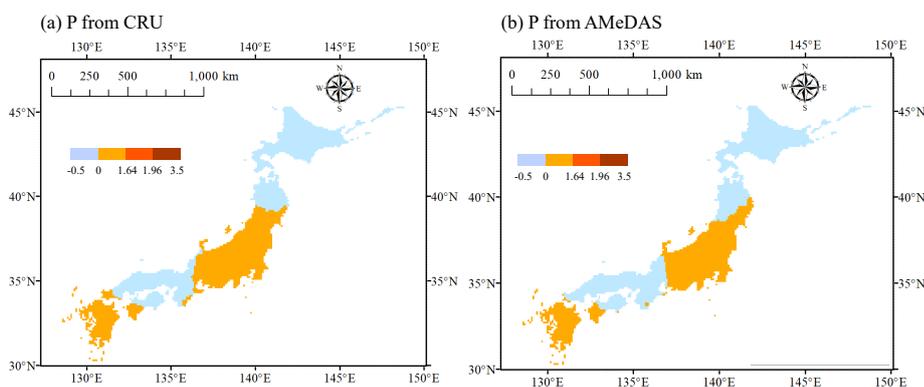
487 The main conclusions obtained from this paper are summarized as follows: (1) The potential
488 evapotranspiration and temperature showed increasing trends throughout almost all of Japan, while
489 the changing trend in precipitation was not significant. The changes in potential evapotranspiration
490 and precipitation were most obvious in summer, whereas there was little difference in the
491 temperature in different seasons. (2) On average, 1983~1988 and 1994~1997 were the two driest
492 periods in Japan. Also, the droughts were greater in spring and summer and weaker in autumn and
493 winter. (3) DEOF was used to identify two major subregions of drought variability—the western
494 region (W region) and most of the northernmost region near the Pacific (N region). The
495 corresponding scores of DPC1 and DPC2 showed a trend of decreasing (increasing in drought) and
496 increasing (decreasing in drought), respectively. (4) When scPDSI was less than -1, wildfires with
497 larger burned areas were more likely to occur. (5) The global climatic driving factors that showed the
498 strongest cross-correlation with DPC1 and DPC2 were the NAOI and AOI together with the NAOI,
499 respectively, and their corresponding coherence times were 1980~2010 and 1975~2005, respectively.

500 The outputs of the paper provide a reference for the future study of drought prediction in Japan.
501 Through the identification of drought subregions with similar drought spatiotemporal characteristics,
502 it can be helpful for drought risk management at the regional scale over Japan. The analysis of the
503 climatic causes of drought in these subregions can be useful for choosing suitable impact factors for
504 drought predictions.

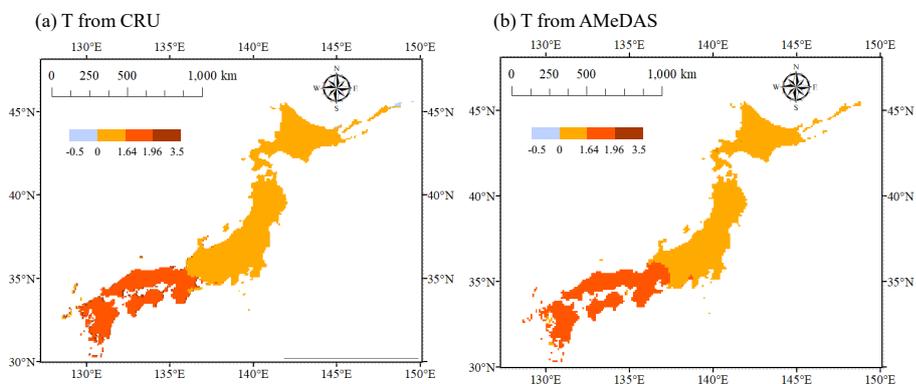
505 **5. Appendices**



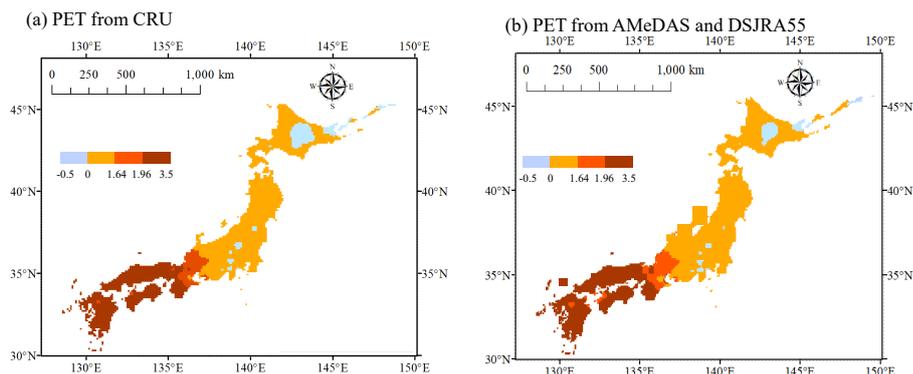
506 **Appendix I:** To analyze the robustness of trend results, the precipitation and temperature data from
507 the Automated Meteorological Data Acquisition System (AMeDAS) of Japan Meteorological
508 Agency and the radiation, wind speed and dew point temperature from Dynamical Regional
509 Downscaling Using Japanese 55-year Reanalysis Data (DSJRA55)(Kayaba et al., 2016) were
510 selected. Due to the time scale of DSJRA55 data is only available from 1958~2012, this paper only
511 compared the consistency of the data from 1958 to 2012. The specific results are shown in the Figure
512 A1~A3. Although the results of the two datasets were a little different, the trend analysis results
513 in most areas were consistent.



514
515 Figure A1. TFPW-MK trend analysis results of precipitation based on different datasets from 1960~2012
516 (Display of Significant area).



517
518 Figure A2. TFPW-MK trend analysis results of temperature based on different datasets from 1960~2012
519 (Display of Significant area).

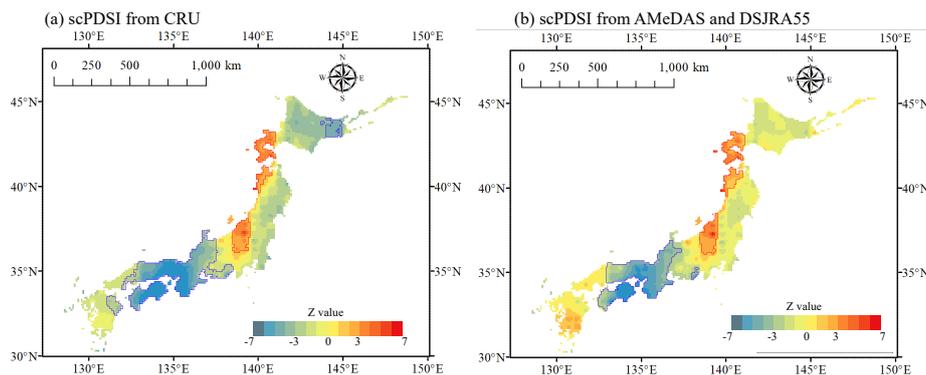


520

521 Figure A3. TFPW-MK trend analysis results of potential evapotranspiration based on different datasets from
522 1960–2012 (Display of Significant area).

523 **Appendix II:** Based on the data mentioned in Appendix I, the scPDSI based on the different datasets
524 were also compared during the period of 1958–2012 (As shown in Figure A4).

525



526

527

528 Figure A4. Trend analysis results of scPDSI based on different datasets from 1960–2012. (The blue contour
529 indicates that Z value is less than -1.64; the red contour indicates that Z value is greater than 1.64)

530 Data availability

531 All datasets utilized to perform this study are freely available on the internet. For further
532 information, please contact the corresponding author.

533 Author contributions



534 **Ke Shi:** Methodology, Software, Formal analysis, Original Draft; **Yoshiya Touge:** Data
535 Curation, Review & Editing, Project administration, Funding acquisition. **So Kazama:** Supervision,
536 Project administration, Funding acquisition.

537 **Competing Interest**

538 The authors declare no conflicts of interest.

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