# 1 Review article: Brief history of volcanic risk in the Neapolitan area (Campania,

- 2 Southern Italy): A critical review
- 3

# 4 Stefano Carlino

5 Istituto Nazionale di Geofisica e Vulcanologia, Sezione di Napoli, Osservatorio Vesuviano

- 6 **Correspondence**: stefano.carlino@ingv.it
- 7

# 8 Abstract

9 The presence of three active volcanoes (Vesuvius, Campi Flegrei and Ischia Island) along the coast 10 of Naples did not contained the huge expansion of the urbanised zones around them. On the contrary, 11 since the Greco-Roman era, volcanoes have featured among the favourite sites for people colonising 12 the Campania region. The stable settlements around Vesuvius, Campi Flegrei caldera and Ischia were 13 progressively enlarged, attaining maximum growth rate between 1950 and 1980. Between 1982 and 14 1984, Neapolitans faced the last and most dramatic volcanic crises, which occurred at Campi Flegrei 15 (Pozzuoli), without an eruption. Since that time, volcanologists have focused their attention on the 16 problem of risks associated with eruptions in the Neapolitan area, but a systematic strategy to reduce 17 the very high volcanic risk of this zone is still lacking. A brief history of volcanic risk in the 18 Neapolitan district is narrated here in an effort to provide new food for thought for the scientific 19 community that works for the mitigation of volcanic risk in this area.

20

Keywords: Neapolitan volcanoes, volcanic risk, volcanic hazard, risk mitigation, human settlements.

# 23 **1. Introduction**

24 The region surrounding Naples is one of the most-risky volcanic areas in the world, due to the 25 presence of three active volcanoes, Vesuvius, Campi Flegrei caldera and the Island of Ischia, it is 26 inhabited by more than 1,500,000 people, directly exposed to the risk (Alberico et al., 2011; Carlino, 27 2019) (Fig. 1). These volcanoes are capable of generating a wide range of eruptions, from gentle lava 28 flow to those triggering catastrophic effects and were active in historical times (the last eruption 29 occurring in 1944 at Vesuvius, in 1538 at Campi Flegrei and in 1302 at Ischia). Larger eruptions at 30 Vesuvius have devastated entire territories around the volcano, up to a distance of 10-20 km from 31 the vent, as was observed in 79 AD (Pompei) and 1,800 BC (Avellino), respectively. At least two 32 large caldera-forming eruptions occurred at Campi Flegrei, the Campania Ignimbrite (CI), ~39 ka, and 33 the Neapolitan Yellow Tuff (NYT), ~15 ka, which involved the entire Campania Plain, such as the 34 case of the CI event. At Ischia, a large eruption occurred about 55 ka, while the subsequent activity

35 was mostly confined within the island (de Vita et al., 2010; De Vivo et al., 2006; Mastrolorenzo et

al., 2006; Piochi et al., 2005). In Figure 2, a sketch of the eruptive history of Vesuvius, Campi Flegrei
and Ischia is presented (Piochi et al., 2005).

38 On one hand, volcanoes and their activity produced fertile soils for farming, hot waters and lakes for 39 human recreation, raw materials and natural inlets along the coast for sea navigators (Carlino et al., 40 2010a; Scarpati et al., 2016). These features make the Neapolitan area a favourable site for human 41 settlements and the development of a local economy. However, volcanic activity has greatly 42 devastated the area and left behind many victims (Scarpati et al., 2013). The city of Naples itself 43 stands on various volcanic centres and, in particular, on the extended deposits of the NYT eruption 44 (~15 ka); this eruption triggered the collapse of the present Campi Flegrei caldera (Scarpati et al., 45 2013), the eastern rim of which is the site where an important residential area of the city (the Posillipo 46 hill) stands (Fig. 3). Analysing the most crucial historical moments that marked the relationship 47 between humans and Neapolitan volcanoes is fundamental to understanding why so many people are 48 nowadays residing in such a hazardous area. On the other hand, we need to also analyse the 49 development of the research in volcanology and its impact in mitigating the risk of this highly 50 inhabited area. In the long history of relations between humans and Neapolitan volcanoes, a few 51 notable milestone events must be mentioned: the Pompei 79 AD eruption, reconstructed by the letters 52 of Plinian the Younger; the eruption of Vesuvius of 1631 which, after almost 500 years of quiescence, 53 ushered a long period of continuous volcanic activity ending in 1944; the systematic exploration of 54 Pompei (buried by the 79 AD event) starting from 1748; the foundation of the "Osservatorio 55 Vesuviano" (Vesuvius Observatory) under the Bourbons domination in 1841; the eruption of 56 Vesuvius in 1944, which closed the activity of the volcano and the unrests crises at Campi Flegrei 57 caldera in 1970–72 and 1982–84 (Barberi et al., 1984; Cubellis et al., 2015; Perrotta & Scarpati, 2009; 58 ). Particularly, in this paper, the latter two crises at Campi Flegrei will be discussed, as they occurred 59 during a challenging time in the field of earth science and when volcano-monitoring networks were 60 being improved and policies for management and prevention of the risks in the Neapolitan area altered 61 (Carlino, 2019). Starting from that time, the problem of volcanic hazard and risk in the Neapolitan 62 area has been systematically treated by several authors, trying to quantify the equation of the risk: 63 *risk = hazard x vulnerability x exposed value* (see Blong, 1996 and the references therein). A larger 64 part of the studies has been aimed at assessing the hazard and, to a lesser extent, the risk (see, for 65 instance, Mastrolorenzo et al., 2006; Petrosino et al., 2004; Scandone et al., 1993) and the risk perception of communities exposed to potential volcanic activity (Carlino et al., 2008; Ricci et al., 66 67 2013). On the other hand, the primary drivers of vulnerability may be socio-economic, cultural and 68 political, and so policy changes and reduction of social inequality are more important than merely 69 measuring vulnerability itself. As discussed later, this topic encompasses social and policy sciences

70 rather than volcanology. Other authors have debated the criteria adopted to identify the most risked 71 area in the Neapolitan volcanic district (e.g. the red zones), criticising the emergency plan of Vesuvius 72 or proposing an alternative perspective to reduce the risk (De Natale et al., 2020; De Vivo et al., 2010; 73 Dobran, 2000, 2007; Matsrolorenzo et al., 2006; Rolandi, 2010). Although this district has been 74 becoming increasingly vulnerable for about 50 years, only in recent times (starting from early 2000) 75 have attempts been made to reduce its exposed values, though unsuccessfully. Possibly, a more 76 general analysis, from both the historical and scientific points of view, to understand the reasons why the attempts to reduce the volcanic risk in the Neapolitan area have systematically failed is necessary. 77 78 This paper does not intend to examine such a complex issue, which deserves a wider, longer and 79 multidisciplinary discussion, but sparing a thought for this topic is essential. This paper reports a brief 80 history of volcanic risk in the Neapolitan area and an account of recent studies and policies adopted 81 to reduce the risk. As it will be shown, new proposals to mitigate the volcanic risk of this area could 82 be ineffective if we do not analyse the reasons why previous attempts have failed. Furthermore, it is 83 important to define, as clearly as possible, the role of volcanologists in facing volcanic emergency 84 and risk education policies in this highly urbanised area.

85

#### 86 **2.** The first human settlements of Neapolitan volcanoes

87 The history of Neapolitan volcanoes harks back to before the birth of Christ, when the first stable 88 population settled in the plain along Vesuvius and the Campi Flegrei caldera (Pappalardo, 2007). The 89 great Greek geographer Strabo (64 B.C.-19 A.D) provided in his work "Geography" one of the first 90 descriptions of the Campania Plain and its surroundings, commenting on the splendour of these 91 places, dominated by the presence of Vesuvius and bordered by mountains extending along the sea 92 forming the Gulf of Naples (Strabone, XIV-XXIII A.D.). The first and most ancient human 93 settlements in Campania date back to the Palaeolithic period, primarily along the coasts of the 94 Sorrento Peninsula. The first evidence of disrupted human activity due to volcanic eruption in this 95 area dates back about 3,800 years (Mastrolorenzo et al., 2006). This is in fact the age of an ancient 96 Bronze Age village near Nola, about 11 km north of Mount Vesuvius, where archaeologists excavated 97 a human village with several findings in a state of excellent conservation. A massive explosion of 98 Vesuvius (the Avellino eruption, 3,800 years ago) had sealed the village beneath hot ash 99 (Mastrolorenzo et al., 2006), in a fate similar to that of Pompei a few thousand years later. That was 100 when the natural environment of Vesuvius showed a less friendly face, and humankind was 101 confronted with unexpected adversities. In fact, the geology and the landscape of Campania were the 102 chief attractions for the populations colonising this area, which Romans later called "Campania felix" 103 (from Latin "felix" = lucky, happy) (Montone, 2010). The expression derives not only from the beauty

104 of the place but also from its soil, made fertile by the volcanic activity, the presence of streams and 105 the gentle climate. The broad river and coastal plains, the modest mountain ranges overlooking them, 106 the steam and the various volcanic areas, the thermal waters and natural coastal inlets to protect sailors 107 - all combined together to transform the area into the crossroads of different civilisations (Carlino, 108 2019). The Campi Flegrei area is also linked to a myth, possibly due to the suggestion recalled by the 109 continuous emission of hot steam and the boiling of mud pots. It was there, along the Lake of Averno 110 (a volcanic crater close to the city of Pozzuoli), that the ancients placed the cave of the Cumaean 111 Sibyl (motioned in the famous literary work "L'Eneide" of Virgilio) and the entrance to the afterlife 112 (Azcuy, 2013). This crater lake exhaled vapours and volcanic gases that probably kept some animals 113 away, from which it derived its Greek name, "aoèrnov", that is, "without birds". Following the 114 migration of the Etruscan population, from central Italy to the Campania plain from the 9th to the 5th 115 century B.C., the first early urban centres were established (Maiuri, 1957). These immigrants 116 predominantly settled in the fertile lowlands of the Campanian Plain, along the rivers or close to the 117 river-mouths. With the arrival of the Greeks and the development of maritime trade, the inhabitants 118 of Campania migrated towards coastal areas and started settling in the volcanic areas of Ischia (called 119 "Pithecusae") and, later, of Campi Flegrei and Vesuvius (D'Ascia, 1867). The Greeks arrived 120 between the 9th and 8th centuries B.C., from a long and narrow island close to the coast of modern-121 day south-east Greece, namely Euboea. On the Phlegrean side, ancient signs of stable habitation 122 dating to between the 7th and 6th centuries B.C. were discovered in the Rione Terra, the old town in 123 present-day Pozzuoli (Pappalardo, 2007). The historical centre of this town stands on a small volcanic 124 promontory that, at that time, played host to a modest Cumaean mooring. Between 529 and 528 B.C., 125 some Samnite exiles, banned by the tyrant Polycrates, founded a colony on the promontory named 126 Dikaiarchia, meaning "Just Government", integrated into a territory still controlled from Cumae 127 (Annecchino, 1996). In 194 B.C., the Romans transformed this small colony into a town called 128 Puteolis (hereafter Pozzuoli), thus named for its abundance of thermal springs. The town soon became 129 an imposing port and warehousing area for large quantities of foodstuffs. Earlier, the Greeks had 130 moved eastwards, forming the first inhabited elements of the city of Naples (called Pharthenophe), 131 between Mount Echia (Fig. 3), an upland of volcanic origin, and the island of Megaride where Castel 132 dell'Ovo stands today (Ghirelli, 2015). The Greek population was faced with the hazard of volcanoes 133 on the island of Ischia. In fact, their migration from Ischia towards the coast of Campania was possibly 134 influenced by the eruptions in the western and southern parts of the island from the 5th century B.C. onwards. Amidst the lavas and the ash of the 5th century B.C. eruption and close to the port of Ischia, 135 136 an old ground level was excavated containing potsherds and other archaeological finds from the 6th 137 and 5th centuries B.C., demonstrating the existence of an ancient Greek settlement destroyed in the 138 eruption (Carlino et al., 2010a). Strabo bore witness to the eruptions in the Greco-Roman era, writing: 139 "...in ancient times a series of extraordinary events took place on the island of Pithecusae. [...] when

140 Mount Epomeo, which rises in the middle of the island, was shaken by earthquakes and erupted fire 141 and (again) swept away everything that lay between itself and the shore and into the sea. At the same 142 time a part of the ground, reduced to ash and thrown upwards, fell back onto the island like a 143 maelstrom and the sea retreated for a distance of three stadia (about 500 m) and, flowing back shortly 144 afterward, flooded the island, extinguishing the fire. Such was the deafening noise that the inhabitants 145 of the mainland fled from the coast to the inner regions of Campania." The towns of Naples and 146 Pozzuoli and the villages in the Vesuvius area, such as Pompei, were expanding rapidly, with its 147 citizens having to deal with the adverse forces generated by the volcanic nature of the area. While in 148 historical times (starting from the former civilised human settlements), the Campi Flegrei caldera and 149 the island of Ischia generated small eruptions, the Vesuvius, contrarily, demonstrated its power with 150 the 79 A.D. eruption, which seriously affected the cities of Pompei and Ercolano and the southern 151 part of the volcano (Giacomelli et al., 2003). During the longest period of expansion of the Western 152 Roman Empire, the cities around the volcanoes had expanded progressively. The volcanic activity of 153 Ischia in the early centuries before Christ and its insular nature had, however, contained its 154 demographic expansion. On the other hand, the quiescence of the Campi Flegrei in eruptive terms 155 did not imply that the volcanic nature of these places had been forgotten; the continuous puffs of 156 steam and the hot thermal springs served as haunting symbols. However, in the minds of the people 157 at least, the hostile nature of these places, sometimes sinister, was associated with the mood of the 158 gods and not the actual nature of the area itself (Carlino, 2019). In this emerged the perception of 159 natural disasters as divine punishments for humankind, a view that remained rooted in culture up to 160 the 17th century (Cocco, 2012).

161

#### 3. Towards a modern view of volcanoes

162 With Galileo Galilei (1564–1642), a gradual change in the approach to the study of earth science and 163 the risk related to natural phenomena occurred. A crucial moment in the history of volcanic risk in 164 the Neapolitan area came in 1631 when, after a long quiescence, Vesuvius awoke with an explosive 165 (sub-plinian) eruption, beginning an almost continuous eruptive activity that ceased only in 1944 at 166 the end of World War II (Cocco, 2012; Kilburn & McGuire, 2001; Rosi et al., 1993). However, here 167 too a theological meaning was attributed to this calamitous event, as an expiation of punishments. In 168 this sense, the eruption of 1631 symbolised an event that, in the coming centuries, affected not only 169 volcanology but also other political, sociological, literary and, above all, religious disciplines (Scarth, 170 2009). Although Aristotelian science still dominated in the 17th century, it was also the beginning of 171 its end as a result of the works of the Galileans and Cartesians (Fiorentino, 2015).

The period witnessed immense cultural transformations, with new impulses in the field of scientific research with the introduction of the experimental method by Galileo (Rossi, 2020). Further support

174 and impetus to the scientific revolution were lent by the foundation of the Royal Society of London 175 in 1662 and of Acadèmie Royale des Sciences in Paris. Although this revolution determined a new 176 perspective that views losses as resulting from the effects of extreme natural events, religious terms 177 of reference remain a vital element for a significant portion of Neapolitan people in the perception of 178 volcanic eruptions (Chester et al., 2008, 2015). Actually, the Vesuvius eruption of 1631 was the first 179 event that focused attention on the problem of volcanic risk. In fact, the suggestion to mitigate the 180 volcanic risk at Vesuvius was first formally proposed by the viceroy of Naples, Emmanuele Fonseca, 181 in 1632. The viceroy placed an epigraph in the town of Portici (in the Granatello area), inviting the 182 local population to abandon the Vesuvius area and recalling the catastrophic effects of the 1631 183 eruption. Many years later, for this inscription, the expression "the paradox of Granatello" was 184 coined by Nazzaro (2001), referring to the reluctance of Vesuvians to consider the risk (Nazzaro, 185 2001; Gugg, 2018). The continuous activity of Vesuvius pushed many scholars and artists to visit the 186 volcano (during the famous Grand Tour epoch) and, at the urging of few intellectuals, the idea of a 187 volcano observatory was born gradually (Luongo, 1997). Particularly, an important impetus came 188 from Sir William Hamilton (1730–1803), who arrived in Naples in 1764 as the British "Envoy 189 Extraordinary to the Kingdom of the Two Sicilies". Hamilton's amateur activity inspired the intuition 190 of active volcano surveillance and later, in 1841 (under the Bourbon Kingdom), the first 191 volcanological observatory in the world was founded, the Vesuvius Observatory (Cubellis et al., 192 2015). It was a great moment for the Neapolitan School of Volcanology. Then, the interest of this 193 new institution was mainly devoted to the observation of the eruptive activity and to the development 194 of new instruments to monitor the volcano dynamic, such as the electromagnetic seismograph 195 designed by Luigi Palmieri (1855–1896) (Palmieri, 1880). Thus, the attention was mainly directed at 196 the volcanic hazard.

#### 197 4. Volcanic risk increase

198 With the increase of population in Neapolitan area the problem of volcanic risk grew critical, because 199 of the exponential rise of the exposed value. The increase of population in the Neapolitan volcanic 200 district was possibly sustainable, with respect to volcanic risk, up to the economic boom of Italy 201 following the Second World War (Carlino, 2019). Immediately after this war, western civilisation 202 suffered a long economic crisis. A global-scale response to the crisis was the activation of the 203 Marshall Plan (the European Recovery Program, lasting from April 1948 to December 1951), whose 204 aim was the creation of stable economic conditions to guarantee the survival of democratic 205 institutions. The plan contributed to the renewal of the western European chemical, engineering and 206 steel industries and to a rise in gross national products between 15 and 25% (The Marshal Plan; 207 https://www.history.com/topics/world-war-ii/marshall-plan-1). The demographic increase in the

208 province of Naples and the consequent expansion of urban areas since the end of the Second World 209 War have been largely influenced by the country's economic choices following the Industrial 210 Revolution, a process beginning in the 19th century. For instance, the first mechanical plants began 211 in Pozzuoli in Campi Flegrei where, in 1885, a factory for the construction of naval artillery was set 212 up. The increase of population and postwar industrial activity mainly involved the Vesuvius area in 213 conjunction with the volcano's quiescent state following its most recent eruption in 1944 (Carlino, 214 2019). The Campi Flegrei were also affected by a migratory flow (albeit to a lesser extent) particularly 215 in the districts of Fuorigrotta and Bagnoli (located inside the caldera), reflecting a strong phase of 216 urban growth, especially following the expansion of the Bagnoli industrial area in 1954 (Andriello et 217 al., 1991). The social and environmental change within the Campi Flegrei area had been drastic and 218 often sudden, but the area around Vesuvius was even more badly affected. This latter came under 219 attack from rampant "cementification" not following any town planning criteria, especially 220 concerning the volcanic risk. In the westernmost sector of the volcano, at the border with the eastern 221 outskirts of Naples, oil refineries and various mechanical industries were developed along the coastal 222 strip, while between Portici and Torre Annunziata, residential areas expanded enormously (D'Aprile, 223 2014). Agricultural land in many areas was converted into construction sites so that the landscape of 224 farming and forestry use was transformed into a typically urban, densely populated environment, contrasting sharply with Vesuvius in the background. Between the 1950s and 1990s, the entire 225 226 Vesuvius area witnessed uncontrolled speculative building with an exponential increase in residential 227 areas, so as to make unrecognisable the boundaries between the towns that, especially in the coastal 228 sector, became merely an expanse of housing and villas (Carlino, 2019; Luongo, 1997). In the whole 229 metropolitan area belonging to Naples, an increase of 1,000,000 residents occurred between 1950 and 230 1980 (Censimento Popolazione Città Metropolitana Napoli, 1861–2001). In this chaotic growth, the architectural beauties around Vesuvius leftover from the time of the Grand Tour, the historic villas, 231 232 were engulfed and new buildings covered the lava flows arising from Vesuvius's most recent activity 233 (Lancaster, 2008). This was a bad sign of the decline of local culture and of the corruption of the 234 political establishment (Berdini, 2010; Curci et al., 2018).

235 With the onset of globalisation and the expansion of international markets, the industrial activities in 236 the areas of Campi Flegrei went bankrupt. This definitively closed Bagnoli's industrial district in 237 1992 leading to an attempt to reclaim the area, with numerous halts and course changes, taking place 238 in the sector east of the city of Naples closer to Vesuvius. Meanwhile, the unbroken quiescence of 239 Vesuvius since 1944 gradually transformed the volcano from a perceived risk to a "passive" actor in 240 the landscape. This step resulted in inevitable demographic growth that did not take the security 241 implications into account while the boom in the construction industry extended the cities around the 242 volcano with increasingly invasive settlements. Between 1950 and 1981, the town of Portici alone,

243 now one of the most densely populated places in the world, saw the population rise from just over 244 30,000 to about 84,000 (ISTAT Censimento popolazione e abitazioni). The cities around Vesuvius 245 extended centripetally, approaching more and more frequently the areas repeatedly affected by recent 246 eruptions. If the quiescence of Vesuvius has caused a progressive decline in the perception of volcanic 247 risk, the territorial management policies until the end of the last century have continuously postponed 248 to posterity the issue of the risks involved in spite of the continual efforts of the scientific community 249 (Carlino et al., 2008). Only relatively recently, following the unrest in the Campi Flegrei caldera in 250 1982-84, scientists, local authorities and the Civil Protection faced the problem of excessive 251 anthropic pressure in the Neapolitan volcanic area, but an organic plan for decongesting one of the 252 areas of the greatest volcanic risk is still lacking.

- 253
- 254

#### **5.** The last experience of volcanic emergency in the Neapolitan district: Pozzuoli 1970–1984

256 A fundamental moment in the history of volcano emergency in Campania was the episode of volcanic 257 unrest of Campi Flegrei caldera affecting the town of Pozzuoli in 1970-72 and 1982-84, respectively. 258 During those years, the ground of the town experienced the maximum cumulative uplift of about 3 259 meters, forcing the local authorities to evacuate the town during both episodes (Barberi et al., 1984). 260 By the beginning of the 1970s, the phenomenon of bradyseism (a Greek origin word which describes 261 the up and down movement of the ground) was largely forgotten, since the last time it had occurred 262 was more than 400 years before when an uplift of about 20 m culminated in the eruption of Monte 263 Nuovo in 1538, the most recent volcanic event at Campi Flegrei (Di Vito et al., 2016). In 1970, 264 monitoring networks for volcano surveillance did not exist in the area. In fact, the inversion in the 265 movement of the ground was signalled by fishermen, who suddenly managed to pass with their small 266 boats beneath an arch at the entrance of the small harbour of Pozzuoli while standing, while it had 267 normally been necessary to bend down (Carlino, 2019). The uplift, in the first phase, was almost 268 aseismic, while the Vesuvius Observatory decided to undertake a new elevation survey performed by 269 the engineers of the Genio Civile to estimate the real amount of the ground uplift. The results 270 indicated that the floor of the Serapeum of Pozzuoli (a ruin of an ancient Roman market) had risen 271 by about 0.70 m since the last surveys and that the area affected by this phenomenon included the 272 entire town (Longo, 2019; Luongo, 2013). The concern about the volcano uplift focused the attention 273 on the hazard related to a possible eruption. There was no consensus among scientists; thus, scientific 274 meetings took place to understand the possible evolution of the phenomenon might and the associated 275 volcanic risk. Experts such as the volcanologists Alfred Rittman and Izumi Yokoyama participated

276 in the debate together with the researchers of Vesuvius Observatory. However, the physical model 277 adopted by the Japanese researchers associated the observed uplift with a high probability of an 278 eruption. In 1972, the centre of Pozzuoli was evacuated, although the unrest was characterised by a 279 modest seismic activity, while the maximum uplift was about 1.7 m and ended without eruption 280 (Yokoyama, 1970). The evacuees were placed in the new Toiano district, whose construction was 281 accelerated during the final stages of the bradyseismic episode. The 1970–72 bradyseism crisis, 282 possibly was not handled in a transparent way, and this experience was complicated by the lack of 283 sufficient knowledge about the physics of the volcano phenomenon (Longo, 2019). This last fact, 284 along with the virtual absence of a monitoring network, determined the decision to evacuate the centre 285 of Pozzuoli, although the perceptible signs of a possible eruption were low, and all the local residents 286 criticised this decision. Nonetheless, it was during that period that earth science experienced new 287 important studies and projects, also strengthening the monitoring networks and the assessment of 288 seismic and volcanic hazards in the world.

289 Following the Campi Flegrei caldera unrest of 1970–72, the Italian peninsula was severely tested 290 with the devastating earthquakes of Friuli in 1976 (leaving about 1,000 people dead and more than 291 100,000 displaced) and the one in Campania-Basilicata in 1980 (with about 3,000 deaths and 280,000 292 dis- placed) (Boschi & Bordieri, 1998). Subsequently, a National Civil Protection service was 293 established in Italy. Thus, when a new bradyseismic crisis occurred in Pozzuoli in 1982, the scientific 294 community and the national and local authorities were better prepared to handle the emergency 295 (Luongo, 2013). The Vesuvius Observatory had strengthened its surveillance network so that, 296 throughout 1972–1981, it was possible to record a tendency to ground subsidence and a new uplift in 297 1982. In the summer of that year, it became clear that a new episode of bradyseism was underway 298 (Cannatelli et al., 2020). It was most dramatic compared to the previous one. Continuous and 299 significant seismic activity was recorded since spring 1983. Pozzuoli was shaken by hundreds of 300 seismic events a day, while the population was frightened by the roars accompanying the earthquakes 301 and the continued ground movements which wrought widespread damage on the city's ancient 302 buildings. A further increase of seismic activity occurred between September and October 1983, 303 peaking on 4th October with a shallow magnitude 4.0 earthquake, spreading panic among the 304 population, damaging several buildings in the historic centre of Pozzuoli and being clearly felt in 305 Naples (Branno et al., 1984). The ground uplift in the Pozzuoli area reached a maximum rate of the 306 order of centimetres per day. The main concern about the situation was primarily related to the 307 damage to the buildings caused by the shallow earthquakes (2-3 km in depth). Accordingly, the 308 Vesuvius Observatory and the National Group for Volcanology, responsible for surveillance, 309 presented a seismic hazard map of the Phlegraean area, demonstrating that the level of risk in the 310 historical centre of Pozzuoli had become very high, especially because of the high vulnerability of

the buildings at risk (Luongo, 2013). A further concern related to the possibility of an eruption, for 311 312 which the recorded uplift and the seismic activity appeared as clear precursors, although the 313 likelihood of an eruption was considered low by the director of the Vesuvius Observatory. On 1st 314 April 1984, a new dramatic seismic crisis, with continuous swarms throughout the morning, hit the 315 town of Pozzuoli. At this stage, the problem of the evacuation was faced, also considering the 316 possibility of an eruption inside the caldera of Campi Flegrei. In collaboration with the Central 317 Government, the evacuation plan was drawn up and, following the meetings between monitoring staff 318 and civil defence authorities it was decided to evacuate about 25,000 people from the centre of 319 Pozzuoli. The evacuees were relocated to the new settlement area of Monteruscello, which was built 320 in a few years, a few kilometres north-west of the centre of Pozzuoli, considered a safer area than the 321 coastal strip.

322 During the 1984 emergency, an effective communication system was established between the 323 monitors, the Civil Protection Service and the citizenry, and the crisis was handled with maximum 324 transparency, especially in light of the 1970 experience (Luongo, 2013). Particularly, the monitoring 325 info-centre, close to Pozzuoli, was activated to ensure the correct management and spreading of 326 information about the ongoing events. Meanwhile, as the plan was actualised the unrest seemed to 327 decrease in intensity, and in December 1984 the uplifting and seismic activity ceased, marking the 328 end of the crisis (Barberi & Carapezza, 1996). Pozzuoli remained for few years like a "ghost town" 329 while local and central governments were deciding on the future of the city. Pozzuoli was later rebuilt 330 without limiting the anthropic pressure that should have been contained within thresholds that would 331 make the volcanic risk acceptable. Today, the municipality of Pozzuoli has about 82,000 residents, 332 representing a coveted residential site for Neapolitan people.

- 333
- 334
- 335

### **6.** The debate about the volcanic risk in the Neapolitan area

The subject of volcanic risk and its mitigation in the Neapolitan area has very important implications because this zone involves at least 1,500,000 people who are potentially exposed to a very large eruption (Mastrolorenzo et al., 2006). Otherwise, given the long history of volcanic risk in the Neapolitan area and the current very high risk of the area, two preliminary inquiries are required: i) can we find a new paradigm or an alternative plan to reduce the high risk? and ii) how feasible is it in the Neapolitan area? We do not have a unique response to the questions, but to analyse the issue, 343 we have to revert to the last Campi Flegrei caldera unrest between 1982 and 1984, culminating in the 344 evacuation of the town of Pozzuoli (Barberi & Carapezza, 1996). After this event, a strong debate 345 ensued (among scientists, citizens and politicians) about the possible solutions to reduce the volcanic 346 risk in the densely inhabited Neapolitan area.

347 Between 1980 and 1990, the problem of volcanic risk in the Neapolitan area was considered by the 348 National Group of Volcanology (GNV) (see De Vivo et al., 2010 and references therein), while the 349 one of territorial planning was discussed during several Italian workshops, and the few solutions 350 focused primarily on two actions (Leone, 1987; Ulisse, 1984): i) the short-term one with the 351 preparation of the evacuation plans, ii) the long-term one, which provided the actions and methods, 352 aimed to reduce the demographic pressure in the riskiest areas. The latter is not simple, because it 353 cannot be forced, while developing a new organisational set-up of the whole Campania Region would 354 be necessary by planning a "new geography" (Leone, 1987) of the services industry and the 355 productive activities, allowing a spontaneous relocation of the residents from the risk areas.

356 After the last Campi Flegrei caldera unrest ended in 1984, the volcano rested again (up to 2005), but 357 not the debate about volcanic risk. Later, responding to the solicitations and concerns emanating from 358 the scientific and institutional world, and following the foundation of the Italian Civil Protection, the 359 attention was mainly focused on Vesuvius, the most inhabited volcano of the district. The volcanic 360 risk in this area was evaluated by Scandone et al., (1993), in terms of human losses, and according to 361 the equation:  $Risk = Exposed Value \times Vulnerability \times Hazard$  (Blong, 1996). The authors evaluated 362 the hazard based on the entire history of the volcano and identified the events likely to cause loss of 363 human lives as those with Volcanic Explosivity Index (VEI) >~3. Later on, the first evacuation plan 364 for the Vesuvius area was released by the Civil Protection in 1995.

365 After its foundation in 1999, the Istituto Nazionale di Geofisica e Vulcanolgia (INGV) became the 366 reference scientific institution for the Civil Protection, to assess the volcanic hazard and continuously 367 update it for Neapolitan volcanoes. As regards Vesuvius, the extension of the most hazardous zone 368 (i.e. the Red Zone) involves about 600,000 inhabitants, who must be evacuated in case of eruption 369 (Protezione Civile: Update of the National Emergency Plan for Vesuvius). The extension of the Red 370 Zone was obtained considering a medium energy scenario for the next eruption (a sub-plinian 371 eruption) such as the one in 1631. The emergency plan for Vesuvius foresees a part of the population 372 spontaneously moving away from the Red Zone during the pre-alarm phase (Fig. 1). Depending on 373 the state of the volcano, the actions to be taken are defined within the emergency plan by the different levels of alertness in which the scientific and monitoring activities are decided upon depending on 374 the assessment of the hazard. The lowest level (a "green" alert level) corresponds to the quiescence 375 376 of the volcano, during which there are no significant changes in the parameters being monitored. If 377 these changes are detected, however, the protocol provides for a transition to a level of attention 378 ("yellow"), during which there is an intensification of monitoring activities and a more frequent 379 assessment of the condition of the volcano by the Civil Protection agency and the Italian 380 Commissione Grandi Rischi (Major Risks Commission). The levels above this are those of pre-alarm 381 ("orange") and alarm ("red"), which, for the latter, involve the evacuation of the population from the 382 Red Zone. The Vesuvius evacuation plan has been updated and modified during the time. At present, 383 at least three days (compared to the previous three weeks) would be required to effectively evacuate 384 600,000 inhabitants. This should correspond to the actual possibility of forecasting the eruption with 385 this level of forewarning. The last choice was also based on the forecasting experiences of the 1980 386 Mt. Saint Helens (USA) and 1991 Pinatubo (Philippine) eruptions (Pinatubo Volcano Observatory 387 Team, 1991; Swanson et al, 1983). The plan posed, among the scientific community, a number of 388 concerns and criticisms about the actual possibility of forecasting the next eruption in advance and 389 evacuating at least 600,000 people at risk. In the framework of this debate, an alternative plan to 390 mitigate the volcanic risk of Vesuvius area was proposed by Flavio Dobran (Vesuvius 2000 plan, 391 Dobran 2006, 2007). Although the first work of Flavio Dobran was published in 2006, the 392 dissemination of his plan took place a few years earlier, with an intense information campaign around 393 the Vesuvius area. More than an emergency or evacuation plan, Vesuvius 2000 proposed a new 394 paradigm of development to reduce the risk of the area. The main intention of this proposal was "...to 395 produce guidelines for transforming high-risk areas around Vesuvius into safe and prosperous 396 communities. This would be accomplished through interdisciplinary projects involving engineers, 397 environmentalists, urban planners, economists, educators, geologists, sociologists, historians, and 398 the public" (Dobran, 2007). Among the general aims of Vesuvius 2000 plan, the decreasing of the 399 resident population density in the most-risky areas was proposed, as well as improving the resistance 400 of the buildings to seismic shaking, the quality of infrastructure and the resilience of urban centres. 401 Furthermore, Dobran (2006, 2007) showed that given the strong historical and social connection 402 between "Vesuvius people" and their land, the diminishing of urban pressure in most of the risky 403 zones represented a very long-term aim, needing a complete social, cultural, urbanistic and economic 404 reconsideration of the Vesuvius area and surroundings. This long-term action will minimise the 405 economic and social costs of the evacuation of people from the red zone in case of an eruption. The 406 great challenge of the ambitious Vesuvius 2000 plan was therefore that people around the volcano 407 acquired the awareness of the environment in which they lived and participated in the solution of this 408 difficult conundrum (Dobran, 2006).

409 After the solution proposed by Dobran (2006, 2007), a wide literature about the methods and the 410 actions devoted to reduction and management of volcanic risk, and also of natural risks in general, 411 was proposed by different authors, and in which most detailed descriptions of the limits of each 412 solution and the case history were reported (Barcklay et al., 2008, 2015; Chester et al., 2000; Donovan 413 and Oppenheimer, 2016; Fearnley et al., 2017; Jenkins & Haynes, 2011; Hansjürgens et al., 2008; 414 Hicks et al., 2014; Hossain et al., 2017; Newhall & Punongbayan, 1996; Papale, 2017; Peterson et al, 415 1993; Petrazzuoli & Zuccaro, 2004; Petrosino et al., 2004; Small & Naumann, 2001; Spence et al., 416 2007; Usamah & Haynes, 2012; Wisner, 2003). Furthermore, some of the above researches also 417 demonstrate that a volcanic resettlement program must be directed by meaningful consultation with 418 the impacted community, as also suggested by Dobran (2006), which also shares in the decision 419 making.

420 What happened in the period following the first releasing of the Vesuvius emergency plan and of the 421 alternative paradigm Vesuvius 2000 proposed by Flavio Dobran? The latter was not welcomed by the 422 political establishment and remained a mere proposal. On the other hand, the former (the institutional 423 one) only partially guaranteed the restraint or decreasing of anthropic pressure around the volcano. 424 To deal with this problem, a new plan called Vesuvia (https://www.viveretraivulcani.it/il-progetto-425 vesuvia/) was approved in 2003 by the Campania Region (Legge regionale n. 21/2003, "Legge del 426 Vesuvio", http://www.sito.regione.campania.it/leggi regionali2003/lr21 2003.htm). The intent of this project was to lighten the demographic pressure around the Vesuvius volcano. This intent would 427 428 be promoted by offering economic incentives (up to 30 thousand euros) to the population (living in 429 the red zone) willing to relocate themselves outside the dangerous areas. The project expects to reduce 430 the number of people living in the red zone over a period of about 20 years by evacuating at least 431 100,000 people from this zone (Gugg, 2018). A further aim of Vesuvia was also the reconversion of 432 available buildings into tourist reception facilities, to create an opportunity of valorisation of the great 433 cultural and natural heritage of the Vesuvius volcano. (http://www.cngeologi.it/wp-434 content/uploads/2017/08/Casa-Italia Rapporto-sicurezza-rischi naturali-patrimonio-abitativo.pdf). 435 Three years from the launch of the project, there was a reduction of residents in the red zone of only 436 0.1%, prompting the promoters of the project to abandon the endeavour. It was a resounding flop. 437 The reasons for the failure were described by Gugg (2018). Among the reasons reported, the lack of 438 involvement of the mayors and the local communities in the development of the project was probably 439 the most critical. Additionally, as also described by the Vesuvius 2000 plan (Dobran 2006, 2007), a 440 relocation of people from the red zone outside the Vesuvius volcano is very unlikely without long-441 term economic and social policies stimulating Vesuvius people to move to safer zones. It is clear that 442 in a complex social, cultural and urban context such as that of Naples and surroundings, the choice 443 to reduce the volcanic risk by relocating a part of people in the red zones (Campi Flegrei and 444 Vesuvius) outside the most-risky areas and by increasing the volcanic perception is a very gruelling 445 challenge (Carlino, 2019). Furthermore, the policies to improve the vulnerability of edifices against 446 disasters (and reduce the risk) have rarely been adopted in Italy, as demonstrated for instance by

447 heavy damages suffered by many cities after moderate earthquakes recently (Valensise et al., 2017). 448 The main issues, in this case, are related to the actual perception of risk in general (as well as of 449 volcanic risk in particular), but mainly to the morals and personal profit of politicians in taking 450 specific actions to reduce the risk and to other social and political problems of the Neapolitan area 451 (Carlino et al., 2008; Donovan and Oppenheimer, 2015; Donovan, 2019; Luongo, 1997). For instance, 452 political timescales generally limit the amount of capital invested in the volcanic risk reduction. 453 Basically; as reported by Donovan (2019), "if a politician is only in power for 4 years" (and this time 454 is the best case in Italy!) "the probability of an eruption at a particular volcano within that timeframe 455 is usually very low, and so, the personal-political cost-benefit analysis indicates that there are more 456 socially acceptable policies to invest in". This is possibly one of the main reasons why a long-term 457 plan for risk reduction such as *Vesuvius2000* was rejected by the political establishment. The example 458 reported by Donovan (2019) appears particularly true for the Neapolitan area, where the volcanic risk 459 increased exponentially during the last 50 years, and no policies have contained this trend. This aspect 460 was also debated by De Vivo et al., (2010) who stated that while the Italian Civil Protection tries to 461 convince people to dislocate from the risk zone, it does not take a stand against the illegal buildings 462 in the red zone. Otherwise, from the institutional point of view, the latter problem does not involve 463 Civil Protection, because the management control of illegal buildings and their compliance with the 464 seismic risk primarily involves the municipalities (Decreto Legislativo 18 agosto 2000, n. 267; Testo 465 unico delle disposizioni legislative e regolamentari in materia edilizia, d.P.R. n. 380/2001). In this 466 regard, the seismic risk associated with the volcano-tectonics earthquakes is not neglectable as well, 467 at least for Campi Flegrei and Ischia. A representative case is the Island of Ischia. In 1883, the island 468 was hit by a moderate and shallow earthquake (with magnitude around 4.5, Cubellis and Luongo, 469 1998), which devastated its northern sector (Casamicciola town) and had more than 2300 victims 470 (Carlino et al., 2010b). This event was followed by an almost seismic silence, up to 2017. At least 471 during the last 25 years, the scientific community urged the island local authorities and the 472 municipality of Casamicciola to take actions favouring the mitigation of seismic risk in the island 473 (Cubellis and Luongo, 1998; Luongo et al., 2012). However, this message went unnoticed, up to 21 474 August 2017, when an ML4.0 earthquake occurred in Casamicciola town and caused two deaths, tens 475 of injuries and heavy damage in the upper part of the municipality (De Novellis et al., 2018). From 476 the above considerations, it appears that conciliating the emergency plans, drawing the red zones of 477 volcanoes, and regulating for the seismic risk, with the actual economic and land-use planning 478 policies in the Neapolitan area are a hard purpose to attain.

Recently, in August 2016, the emergency planning for the volcanic risk of the Campi Flegrei was
updated (Protezione Civile: Update of the National Emergency Plan for Campi Flegrei), and the area
of the new Red Zone to be evacuated as a precautionary measure in case of an eruption, was defined,

482 together with the Yellow Zone, which is potentially exposed to a high concentration of falling ash 483 (Fig. 1). As for Vesuvius, the Red Zone and the Yellow Zone were defined by the Civil Protection in 484 agreement with the Campania Region and based on the indications provided by the scientific 485 community. As a whole, and considering that an emergency plan for the island of Ischia (Gulf of 486 Naples) is still lacking, about 1,000,000 people could be directly affected by a moderate to large 487 eruption (VEI 3-4) in the red zones of Campi Flegrei and Vesuvius, respectively. The high number 488 of people exposed to the risk and the uncertainty in eruptions forecasting (Sparks, 2003) motivated some authors to criticise the evacuation plans and the risk reduction policies in the Neapolitan district 489 490 (De Natale et al., 2020; Rolandi, 2010). Particularly and recently, De Natale et al. (2020) have 491 questioned how the very high volcanic risk in the Neapolitan area can be effectively mitigated. The 492 authors focused the attention on two evacuation-related problems: i) the extremely high number of 493 people to evacuate in case of an impending eruption; ii) the lack of plans today to rehabilitate such a high number of evacuated people (600,000 and 700,000 for Campi Flegrei Caldera and Vesuvius, 494 495 respectively). It is important to highlight that some works criticising the evacuation plans (De Natale 496 et al., 2020; Dobran 2006) do not exclude their effectiveness if a number of actions to mitigate the 497 risk are carried on. Unfortunately, what we have seen during the last 40 years of volcanic risk 498 management in the Neapolitan area is a predominance of emergency policies in respect to that of prevention. The result is that the present volcanic risk, given the current high values of society, 499 500 appears unacceptable.

501 7. 1

#### 7. The role of volcanologists

502 In the framework of the discussed topics, a fundamental issue is the role of volcanologists in 503 managing volcanic risk and crises. It was, in many cases, misinterpreted by people living in the 504 Neapolitan area. The role and responsibilities of volcanologists in volcanic hazard evaluation, risk 505 mitigation and crisis response have been outlined by the International Association for Volcanology 506 and Chemistry of the Earth's Interior (IAVCEI). Their main responsibility is to improve the scientific 507 knowledge of volcanoes to better understand how they work and provide the most robust eruption 508 forecasts, and to educate the local and global community (mainly exposed to eruptions) to the 509 volcanic risk, making people more perceptive of the risk itself. The latter is fundamental to evoking 510 an amenable response from people to an evacuation (IAVCEI, 2016). Anyway, the main task of 511 volcanologists is to provide as robust a forecast of an eruption as possible. It is well-known how 512 problematic it is to obtain a clear picture of the progression of volcano processes during unrests and 513 to understand what the actual state of the volcano is (critical state or not). In general (but not always), 514 as the eruption approaches the number and the amplitude (or energy) of geophysical and geochemical 515 signals increases, the uncertainty in the forecast should decrease (Carlino, 2019; Decker, 1986;

516 Kilburn, 2003; Robertson et al., 2016; Sparks, 2003; Sparks & Cashman, 2017;) (Fig. 4). An unsolved 517 question is whether, and at what moment, the volcano approaches the critical state during an unrest; 518 that is the moment when the physical processes occurring within the volcano are irreversible and the 519 volcano erupts (Fig. 4). This is the most critical issue because the promulgation of a false alarm or a 520 missed alarm will adversely affect 600,000–1,500,000 people living in the Neapolitan area (De Natale 521 et al., 2020). The problem of false alarms and of uncertainty in volcano forecast is chronic in 522 volcanology and also relates to communications and managing the expectations that a population 523 have of scientific capacity over long term. The uncertainty in anticipating eruptions may reflect the 524 complexity of volcanic systems, the level of monitoring networks and the complex multidisciplinary 525 decision-making process during a volcanic crisis (Winson et al., 2014; Harris, 2015b). During the last 526 20 years, the monitoring networks for the surveillance of the Vesuvius, Campi Flegrei and Ischia 527 volcanoes have been greatly improved, reaching one of the best standards worldwide 528 (www.ov.ingv.it). This effort should correspond to a reduction of the uncertainty in forecasting the 529 next eruption although it depends on the capacity of volcanologists to correctly decipher the volcano 530 signals. Beyond the efforts of scientists to improve their understanding of volcanic processes and 531 provide more robust forecasts, communicating the systemic uncertainty of the forecast to the public 532 is fundamental. This can be done effectively only with a proficient direct communication network 533 between volcanologists and the media (Haynes et al, 2008; Winson et al., 2014).

#### 534 7.1 Volcanologists and media

The relationship between volcanologists and media is also a very important topic, particularly when 535 536 the communication of an ongoing volcanic crisis involves large metropolitan areas like Naples and 537 its surroundings. The example of what occurred during the 1982–84 unrest is emblematic of this view. 538 During that crisis, a unique channel of communication was established between the Vesuvius 539 Observatory and the press while the observatory was continuously communicating with the Minister 540 for the Coordination of the Civil Protection (Luongo, 2013). The activation of the information centre 541 for the citizens of Pozzuoli and the straight link between the latter and the direction of the Vesuvius 542 Observatory generated confidence among people. How would it have turned out if the same crisis 543 had happened today? The unrest and the evacuation at Pozzuoli occurred in an era without the internet 544 and social media (Facebook, Twitter and WhatsApp) which, nowadays, represent the main rapid 545 dissemination channels of news and information. Furthermore, the "tabloidization" of the news has 546 also resulted in the use of strong, exaggerated words, headlines and images to support a particular 547 frame (Harris, 2015a). Social media platforms are disruptors of traditional communication, opening 548 up new opportunities for scientists to communicate (Dong et al., 2020) but, on the other hand, 549 bestowing the right to evaluate or criticise scientific decisions on everyone. This could lead to

550 misinterpretations or distortions of scientific broadcasts and information and, consequently, to false 551 alarms or unjustified panic among the population, in case of a volcanic crisis (Harris, 2015a). This 552 circumstance, albeit not related to a volcanic crisis, occurred recently before the commencement of 553 the Campi Flegrei Deep Drilling Project, at Campi Flegrei, a project aimed at scientifically 554 investigating the caldera (Carlino, 2019). The project worried many local residents about the possible disturbance that the scientific drilling would unleash in the volcanic system. Just before the onset of 555 556 the drilling, the declarations spreading on social networks and newspapers assumed an increasingly 557 alarming tone (sometimes to the limit of the paradoxical) such as to seriously worry the municipal 558 administration of Naples, which had cleared the drilling. The climax was reached in October 2010 559 when the national newspaper "Il Mattino" led with the front-page title: "If you touch the volcano, 560 Naples will explode" (Carlino, 2019, page 265). The project was temporarily suspended by the Naples 561 administration to further clarify its aim and associated risk. This fact highlights that the position of 562 volcanologists in communicating the hazard and the risk in densely inhabited regions like Naples is 563 very tricky because the communication occurs within a complex social system where many people 564 exposed to the risk are involved. Furthermore, a number of studies demonstrate that Neapolitans have 565 a low perception of risk and a low level of risk education (Carlino et al., 2010b; Ricci et al., 2013).

As a whole, beyond the effort that scientists are expending to improve the robustness of the volcanic eruptions forecast, a further effort is necessary to promulgate the culture of volcanic risk and promote open debates with the local population and authorities. In other words, volcanologists should be more present on the territory (not only during an ongoing volcanic unrest) and they should be an open book, not an acquired skill (Fearnley et al., 2017; Goodstain, 2010). This approach is fundamental to improving the confidence of people in a scientific institution such as INGV.

572

#### 573 8. Conclusions

574 The past experiences concerning the management of volcanic risk in the Neapolitan area reveal the 575 complexity of devising a collaboration around the active volcanoes of Vesuvius, Campi Flegrei 576 caldera and Ischia Island to reduce the risk in such densely inhabited areas. The history of volcanic 577 risk in this area demonstrates the tendency to not consider, or to underestimate, the risk (which 578 otherwise is a human attitude). Nonetheless, we cannot reduce the problem of the high volcanic risk 579 of the Neapolitan area to this latter consideration only. The present development of the urbanised 580 areas around the volcanoes of Naples is the result of a very long history and stratification of different 581 cultures and populations that settled the Neapolitan area and its surroundings as a scenic and useful 582 place to live, since the Bronze Age. This history left a huge cultural heritage in its wake but also a

583 demanding socio-economic condition, especially around Vesuvius. Thus, as also highlighted by 584 Galliard (2008), in many cases the historical and cultural heritage and political economy remain of 585 much greater importance and may override the choice of people in the face of volcanic hazards. This 586 fact emphasises the importance of understanding the complex contexts of the Neapolitan area in 587 proposing policies to reduce volcanic risk. It appears evident, for instance, that the choice of people 588 not to relocate themselves outside the red zone of Vesuvius and to remain in their native towns, 589 despite the perceived threats, has little to do with volcanic activity. This point, already discussed by 590 Galliard (2008), suggests that, in such a complex social context, the policies for volcanic risk 591 mitigation need to go far beyond only prevention of relatively rare events. A different and more 592 general approach is thus required, and rational access and the use of resources to adapt the social and 593 economic development of the area to its natural vocation should be aimed at. This is a long-term 594 objective conflicting with the short-sighted policies adopted by the Campania Region and the Central 595 Government. Consequently, the proposals to re-convert the riskiest areas of Neapolitan volcanoes 596 into lower-risk zones using a different (and long-term) paradigm of development (e.g. Dobran, 2006, 597 2007) are struggling to take off. Simultaneously, the proposed economic incentives (*Vesuvia* project) 598 to relocate people from the red zone (at Vesuvius) towards safer areas was a failure as well. 599 Accordingly, these failures first have to do with a wrong territorial policy, and secondly with the 600 volcanology.

601 Furthermore, at least during the last 25 years, the policies for the reduction of volcanic risk in the 602 Neapolitan area have been disconnected from their natural, social and politico-economic context. 603 This is possibly the result of a not so holistic approach to the problem of volcanic risk reduction 604 which, particularly in this area, is unavoidable and, on the contrary, requires an openly discussed 605 method between academics of all disciplines, policymakers and stakeholders (Donovan, 2019). The 606 most recent history of Neapolitan volcanoes is also interesting for disaster development trajectories 607 in other countries. Actually, the mistakes - particularly those of not linking risk with development 608 practice - are being repeated all over the world in hazard-prone areas. This fact highlights the 609 importance of risk-sensitive development practices, that incorporate scientific advice, urban planning, 610 social study and so on (Barclay et al., 2008; Donovan and Oppenheimer, 2014).

Finally, after about 40 years of debates around the volcanic risk in the Neapolitan area, an analysis of the reasons why the strategies aimed to reduce the risk in this area systematically failed is required. This step is necessary to propose more reliable solutions for the risk reduction in a very large and urbanised territory such as that of Neapolitan volcanoes. A further effort is also required by Neapolitan scientists to connect the territorial governance structures and local (at risk) communities to the scientific network. In this framework, scientists must pay further attention to avoidpoliticisation of volcanology when advising the authorities (Donovan, 2019).

618 *Data availability*: No datasets were used in this article.

619 *Competing interests of interest*: The author declares that he has no conflict.

Acknowledgments: I'm very grateful to Amy Donovan and the anonymous Referee for their helpful
 comments which improved the quality of the paper. I'm also grateful to the Editor Paolo Tarolli for
 the handling of the paper.

623

## 624 Figure captions

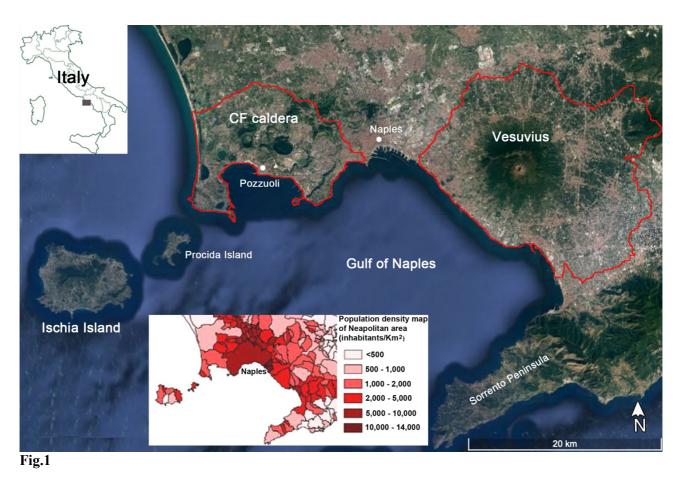
Fig.1. The Neapolitan volcanic area with the three active volcanoes, Vesuvius, Campi Flegrei caldera and the Island of Ischia. The limits of the red zones of the evacuation plans for Vesuvius and Campi Flegrei caldera are reported, respectively (from www.protezionecivile.gov). About 1,000,000 of people are living in both the red zones. A plan for the island of Ischia is currently in progress (base map is from Google Earth). The box below shows the inhabitants density map of the Neapolitan area (from regione.campania.it)

Fig. 2. A timeline of volcanic activity history at Vesuvius, Campi Flegrei and Ischia Island. The most
important eruptions are reported. Red and blue color indicates increasing and decreasing of volcanic
activity, respectively (after Piochi et al., 2005).

Fig. 3. The city of Naples with the location of the eruptive vents associated with different eruptive
periods. The dotted line represents the eastern boundary of the caldera of Campi Flegrei (modified
after Scarpati et al., 2013 and Carlino, 2019; base map is from Google Earth).

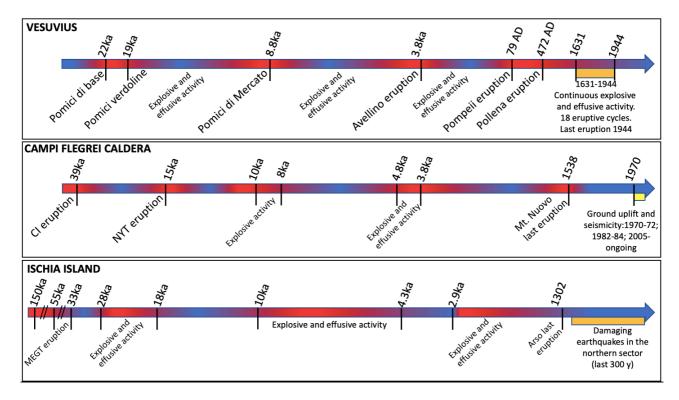
637 Fig. 4. A qualitative sketch describing the possible state of a volcano approaching an eruption and its 638 forecast reliability. For a quiescent volcano the reawakening is generally associated with the onset of 639 seismic activity indicating the variation of stress field within the volcano. The latter is generally due 640 to circulation of pressurized fluids in the crust and, eventually, to magma migration at shallow level. 641 This dynamic is accompanied by others precursors (ground deformations and variation of fluids 642 emission) which make the forecast more reliable as the eruption is approached. The point at which 643 the volcano overcomes the critical state, is the moment (t?) in which the physical processes occurring 644 within the volcano are irreversible, that is to say the volcano will erupt. Volcanologists cannot predict the time (t?) because the processes are chaotic and the forecast has a probabilistic nature (after,Carlino, 2019).

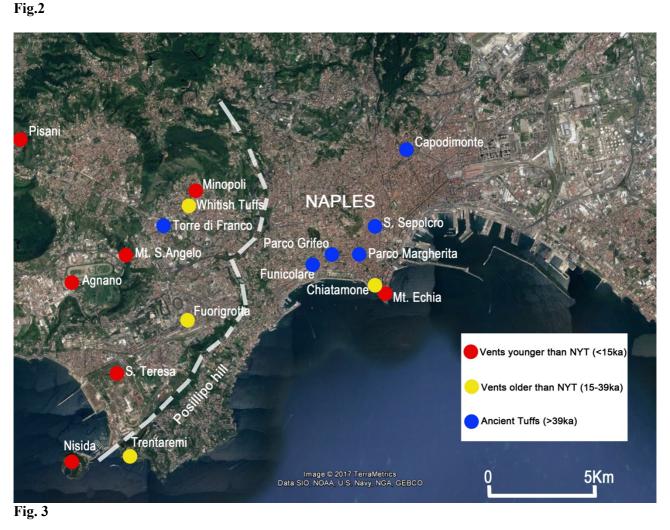
647				
648				
649				
650				
651				
652				
653				
654				
655				
656				
657				
658				
659				
660				
661				
662				
663				
664	Figures			
665				

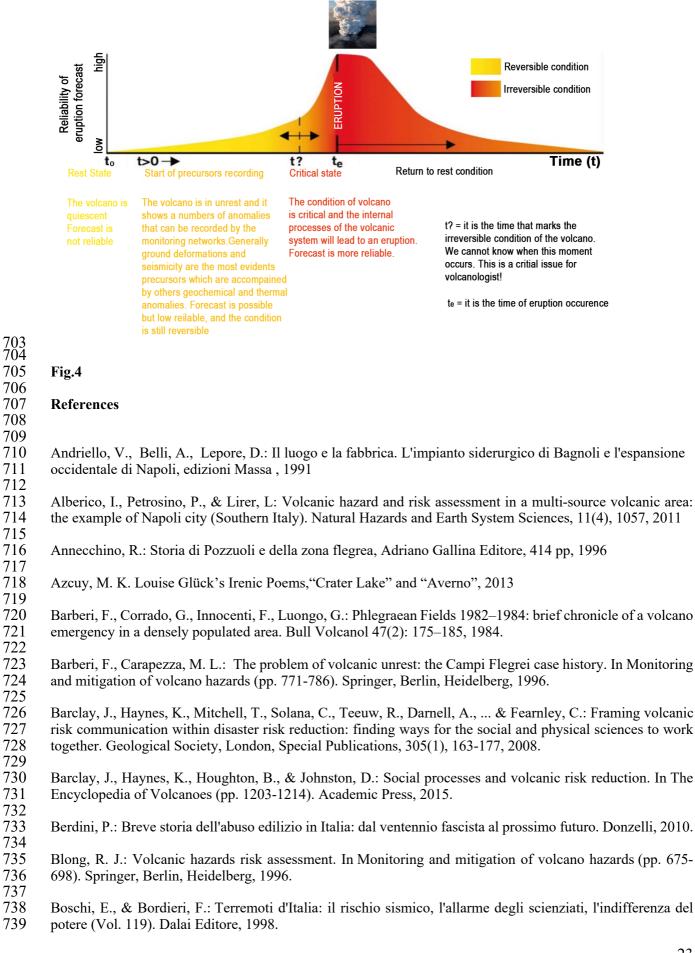


677 678
679
680
681
682
683
684
685
686
687
688

689







- Branno, A., Esposito, E. G. I., Luongo, G., Marturano, A., Porfido, S., & Rinaldis, V.: The October 4th, 1983—
  Magnitude 4 earthquake in Phlegraean Fields: Macroseismic survey. Bulletin volcanologique, 47(2), 233238.1984
- 744

748

- Cannatelli, C., Spera, F. J., Bodnar, R. J., Lima, A., & De Vivo, B.: Ground movement (bradyseism) in the
  Campi Flegrei volcanic area: a review. In Vesuvius, Campi Flegrei, and Campanian Volcanism (pp. 407-433).
  Elsevier, 2020.
- Carlino, S., Somma, R., and Mayberry, G. C.: Volcanic risk perception of young people in the urban areas of
  Vesuvius: Comparison with other volcanic areas and implications for emergency management, J. Volcanol.
  Geoth. Res., 172, 229–243, 2008.
- Carlino, S., Cubellis, E., Delizia, I., & Luongo, G.: History of Ischia Harbour (Southern Italy). In Macroengineering Seawater in Unique Environments (pp. 27-57). Springer, Berlin, Heidelber, 2010a
- Carlino, S., Cubellis, E., & Marturano, A.: The catastrophic 1883 earthquake at the island of Ischia (southern
  Italy): macroseismic data and the role of geological conditions. Natural hazards, 52(1), 231, 2010b
- 759 Carlino, S.: Neapolitan Volcanoes (pp. 179-274). Springer, Cham, 2019.
- 761 Censimento Popolazione Citta Metropolitana Napoli, 1861-2011.
   762 https://www.tuttitalia.it/campania/provincia-di-napoli/statistiche/censimenti-popolazione/
   763
- Chester, D. K., Degg, M., Duncan, A. M., & Guest, J. E.: The increasing exposure of cities to the effects of
  volcanic eruptions: a global survey. Global Environmental Change Part B: Environmental Hazards, 2(3), 89103, 2000.
- Chester, D. K., Duncan, A. M., & Dibben, C. J.: The importance of religion in shaping volcanic risk perception
  in Italy, with special reference to Vesuvius and Etna. Journal of Volcanology and Geothermal
  Research, 172(3-4), 216-228, 2008.
- Chester, D., Duncan, A., Kilburn, C., Sangster, H. and Solana, C.: Human responses to the 1906 eruption of
  Vesuvius, southern Italy. Journal of Volcanology and Geothermal Research, 296, pp.1-18, 2015.
- Cocco, S.: Watching Vesuvius: a history of science and culture in early modern Italy. University of Chicago
   Press, 2012
- Cubellis, E., & Luongo, G.: Il Terremoto del 28 luglio 1883 a Casamicciola nell'Isola d'Ischia 'Il contesto
  fisico'. Monografia n, 49-123, 1998
- Cubellis, E., de Vita, S., Di Vito, M. A., Ricciardi, G., Troise, C., Uzzo, T., & De Natale, G.: L'Osservatorio
  Vesuviano: storia della scienza e cultura del territorio nell'area vesuviana. L'Ambiente Antropico, 2015.
- Curci, F., Formato, E., & Zanfi, F.: Territori dell'abusivismo: un progetto per uscire dall'Italia dei condoni.
  Donzelli Editore, 2018.
- D'Aprile, M.: L'area costiera vesuviana tra il regno di Carlo di Borbone e la speculazione edilizia: il caso
  Portici, in A. Buccaro, C. de Seta (a cura di), Città mediterranee in trasformazione. Identità e immagine del paesaggio urbano tra Sette e Novecento, Atti del VI Convegno Internazionale di Studi CIRICE 2014 (Napoli, 13-15 marzo 2014), pp. 531-542, 2014
- d'Ascia, G.: Storia dell'isola d'Ischia descritta da Giuseppe d'Ascia:(Divisa in quattro parti-storia fisica-civileamministrativa-monografica) Volume unico. Gabriele Argenio, 1867.
- Decreto Legislativo 18 agosto 2000, n. 267. https://www.camera.it/parlam/leggi/deleghe/testi/00267dl.htm
- Decker, R. W.: Forecasting volcanic eruptions. Annual Review of Earth and Planetary Sciences, 14(1), 267 291, 1986.

De Natale, G. D., Troise, C., & Somma, R.: Invited perspectives: The volcanoes of Naples: how can the highest
volcanic risk in the world be effectively mitigated? Natural Hazards and Earth System Sciences, 20(7), 20372053, 2020.

798

806

815

841

- B03 De Novellis, V., Carlino, S., Castaldo, R., Tramelli, A., De Luca, C., Pino, N. A., ... & Bonano, M.: The 21
  August 2017 Ischia (Italy) earthquake source model inferred from seismological, GPS, and DInSAR
  measurements. Geophysical Research Letters, 45(5), 2193-2202, 2018.
- de Vita, S., Sansivero, F., Orsi, G., Marotta, E., & Piochi, M.: Volcanological and structural evolution of the
  Ischia resurgent caldera (Italy) over the past 10 ky. Geol. Soc. Am. Spec. Pap, 464, 193-239, 2010.
- 810 De Vivo, B.: Volcanism in the Campania Plain: Vesuvius, Campi Flegrei and Ignimbrites. Elsevier, 2006. 811
- B12 De Vivo, B., Petrosino, P., Lima, A., Rolandi, G., & Belkin, H. E.: Research progress in volcanology in the
  Neapolitan area, southern Italy: a review and some alternative views. Mineralogy and Petrology, 99(1-2), 128, 2010.
- Bi Vito, M. A., Acocella, V., Aiello, G., Barra, D., Battaglia, M., Carandente, A., ... & Scandone, R.: Magma
  transfer at Campi Flegrei caldera (Italy) before the 1538 AD eruption. Scientific reports, 6(1), 1-9, 2016
- Bobran, F.: VESUVIUS 2000 toward security and prosperity under the shadow of vesuvius. In Developments
  in Volcanology (Vol. 8, pp. 3-I). Elsevier, 2006.
- B22 Dobran, F.: Urban Habitat Constructions Around Vesuvius. Environmental Risk and Engineering Challenges.
  In Proc. of COST Action C26 Seminar on Urban Habitat Constructions Under Catastrophic Events,
  Prague (pp. 30-31), 2007.
- B26 Dong, J. K., Saunders, C., Wachira, B. W., Thoma, B., & Chan, T. M.: Social media and the modern scientist:
  a research primer on social media-based research, dissemination, and sharing. African Journal of Emergency
  Medicine, 2020.
- B30 Donovan, A., & Oppenheimer, C.: Science, policy and place in volcanic disasters: insights from
  Montserrat. Environmental Science & Policy, 39, 150-161, 2014
- Bonovan, A., Oppenheimer, C.: At the mercy of the mountain? Field stations and the culture of
  volcanology. Environment and Planning A, 47(1), 156-171, 2015.
- Base Donovan, A., & Oppenheimer, C.: Imagining the unimaginable: communicating extreme volcanic risk.
  In Observing the Volcano World (pp. 149-163). Springer, Cham, 2016
- 839 Donovan, A.: Critical volcanology? Thinking holistically about risk and uncertainty. Bulletin of 840 Volcanology, 81(4), 20, 2019.
- Fearnley, C., Winson, A. E. G., Pallister, J., Tilling, R.: Volcano crisis communication: challenges and
  solutions in the 21st century. In Observing the Volcano World (pp. 3-21). Springer, Cham, 2017.
- Fiorentino, F.: The dark side of the Scientific Revolution. Dialogo, 2(1), 141-157, 2015
- Gaillard, J. C.: Alternative paradigms of volcanic risk perception: The case of Mt. Pinatubo in the
  Philippines. Journal of volcanology and geothermal research, 172(3-4), 315-328, 2008.
- 850 Ghirelli, A.: Storia di Napoli, Store Einaudi Tascabili, 2015
- 852 Giacomelli, L., Perrotta, A., Scandone, R., & Scarpati, C.: The eruption of Vesuvius of 79 AD and its impact
- on human environment in Pompeii. Episodes-Newsmagazine of the International Union of Geological
   Sciences, 26(3), 235-238, 2003
- 855 Goodstein, D. On fact and fraud, cautionary tales from the front lines of science. Princeton, 168 pp, 2010.

- 856
  857 Gugg, G.: Anthropology of the Vesuvius Emergency Plan: History, perspectives and limits of a dispositive for
  858 volcanic risk government. Geographies of the Anthropocene, 105, 2018.
- 859
  860 Hansjürgens, B., Heinrichs, D., Kuhlicke, C.: Mega-urbanization and social vulnerability. Megacities.
  861 Resilience and social vulnerability. UNU-EHS Source, 10, 20-28, 2008.
  862
- Hicks, A., Barclay, J., Simmons, P., & Loughlin, S.: An interdisciplinary approach to volcanic risk reduction
  under conditions of uncertainty: a case study of Tristan da Cunha. Natural Hazards and Earth System
  Science, 14(7), 1871-1887, 2014.
- Harris, A. J.: Forecast communication through the newspaper part 1: framing the forecaster. Bulletin of
  volcanology, 77(4), 1-37, 2015a
- Harris, A. J. (2015). Forecast communication through the newspaper part 2: perceptions of
  uncertainty. Bulletin of Volcanology, 77(4), 1-39. 2015b
- Hossain, S., Spurway, K., Zwi, A. B., Huq, N. L., Mamun, R., Islam, R., ... & Adams, A. M.: What is the
  impact of urbanisation on risk of, and vulnerability to, natural disasters? What are the effective approaches for
  reducing exposure of urban population to disaster risks. London: EPPI-Centre, Social Science Research Unit,
  UCL Institute of Education, University College London, 2017.
- Haynes, K., Barclay, J., & Pidgeon, N.: The issue of trust and its influence on risk communication during a
  volcanic crisis. Bulletin of Volcanology, 70(5), 605-621, 2008
- IAVCEI Task Group on Crisis Protocols: Toward IAVCEI guidelines on the roles and responsibilities of
  scientists involved in volcanic hazard evaluation, risk mitigation, and crisis response. Bulletin of
  Volcanology, 78, 1-3, 2016.
- ISTAT,: Censimento abitazioni e popolazione, https://www.istat.it/it/censimenti-permanenti/popolazione-e abitazioni
- Jenkins, S., & Haynes, K.:Volcanic risk: Physical processes and social vulnerabilities. WISNER, B. et al.,
  2011.
- Kilburn, C. and McGuire, B.: Italian volcanoes. Classic Geology in Europe 2. Terra, 166 pp, 2001.
- Kilburn, C. R.: Multiscale fracturing as a key to forecasting volcanic eruptions. Journal of Volcanology and
  Geothermal Research, 125(3-4), 271-289, 2003.
- Lancaster, J.: In the shadow of Vesuvius: a cultural history of Naples. I.B. Tauris & Co., Ltd, 2008
- Leone, U.: La convivenza col rischio nelle aree vulcaniche campane: formazione ed informazione. Rischio
  vulcanico e programmazione territoriale. Provincia di Napoli, Osservatorio Vesuviano. Atti del Convegno, 1011-12 Febbraio 1987, Napoli-Casamicciola, pp79-82, 1984.
- Longo, M. L. How memory can reduce the vulnerability to disasters: the bradyseism of Pozzuoli in southern
  Italy. AIMS Geosciences, 5(3), 631. 2019
- Luongo, G. (edited by): Mons Vesuvius, Storie di sfide e catastrofi tra paura e scienza. Stagioni d'Italia, 1997.
- Luongo, G., Carlino, S., Cubellis, E., Delizia, I., & Obrizzo, F.: Casamicciola milleottocentottantatre: Il sisma tra interpretazione scientifca e scelte politiche. Bibliopolis, 2012.
- Luongo, G.: Il bradisismo degli anni ottanta, In: Ambiente, Rischio, Comunicazione. Che succede ai Campi
   Flegrei? Amra, n.5 Feb 2013.
- 913

- Mastrolorenzo, G., Petrone, P., Pappalardo, L., Sheridan, M. F.: The Avellino 3780-yr-BP catastrophe as a
   worst-case scenario for a future eruption at Vesuvius. Proceedings of the National Academy of
   Sciences, 103(12), 4366-4370, 2006.
- 917

931

918 Maiuri, A.: Passeggiate Campane, Sansoni 1957

- Montone, F.:Il tópos della Campania felix nella poesia latina. SALTERNUM, 2010
   921
- Nazzaro, A.: Il Vesuvio. Storia eruttiva e teorie vulcanologiche, Liguori, Naples, 2001.

Newhall, C. G., & Punongbayan, R. S.: The narrow margin of successful volcanic-risk mitigation.
In Monitoring and mitigation of volcano hazards (pp. 807-838). Springer, Berlin, Heidelberg, 1996.

927 Palmieri, L.:Il Vesuvio e la sua storia. Tip. Faverio, 1880.928

Papale, P.: Rational volcanic hazard forecasts and the use of volcanic alert levels, J. Appl. Volcanol, 6, 2–13,
https://doi.org/10.1186/s13617-017-0064-7, 2017.

Pappalardo U.: Il Golfo di Napoli. Archeologia e storia di una terra antica, Arsenale ed., 2007.

934 Perrotta, A., & Scarpati, C.: Vulcani come distruttori e conservatori di habitat naturali ed antropici: il Vesuvio
935 e gli insediamenti romani. De Simone and MacFarlane, 279-286, 2009.
936

- Peterson, D. W., Tilling, R. I., Kilburn, C. R. J., Luongo, G.: Interactions Between Scientists, Civil Authorities
  and the Public at Hazardous Volcanoes. Active Lavas, 1993.
- Petrazzuoli, S. M. and Zuccaro, G.: Structural resistance of rein- forced concrete buildings under pyroclastic
  flows: A study of the Vesuvian area, J. Volcanol., 133, 353–367, 2004.
- Petrosino, P., Alberico, I., Scandone, R., Dal Piaz, A., Lirer, L., Caiazzo, S.: Volcanic risk and evolution of
  the territorial system in the volcanic areas of Campania. Volcanic Risk and Evolution of the Territorial System
  in the Volcanic Areas of Campania, 1000-1015, 2004.
- 947 Pinatubo Volcano Observatory Team.: Lessons from a major eruption: Mt. Pinatubo, Philippines. EOS Trans
  948 American Geophysical Union 72, pp. 545, 552-553, 555, 1991
  949
- Piochi, M., Bruno, P. P., & De Astis, G.: Relative roles of rifting tectonics and magma ascent processes:
  Inferences from geophysical, structural, volcanological, and geochemical data for the Neapolitan volcanic
  region (southern Italy). Geochemistry, Geophysics, Geosystems, 6(7), 2005
- 953
  954 Protezione Civile: Update of the National Emergency Plan for Vesuvius
  955 http://www.protezionecivile.gov.it/media-communication/dossier/detail/-
- 956 /asset\_publisher/default/content/aggiornamento-del-piano-nazionale-di-emergenza-per-il-vesuvio 957
- 958 Protezione Civile: Update of the National Emergency Plan for Campi Flegrei 959 http://www.protezionecivile.gov.it/media-communication/dossier/detail/-
- 960 /asset\_publisher/default/content/aggiornamento-del-piano-nazionale-di-emergenza-per-i-campi-flegrei 961
- 962 Regione Campania: Rapporto Ambientale, regione.campania.it, 2018963
- Ricci, T., Barberi, F., Davis, M. S., Isaia, R., & Nave, R.: Volcanic risk perception in the Campi Flegrei
  area. Journal of Volcanology and Geothermal Research, 254, 118-130, 2013.
- Robertson, R. M., & Kilburn, C. R.: Deformation regime and long-term precursors to eruption at large calderas:
  Rabaul, Papua New Guinea. Earth and Planetary Science Letters, 438, 86-94, 2016.
- Rolandi, G.: Volcanic hazard at Vesuvius: An analysis for the revision of the current emergency plan. Journal
   of Volcanology and Geothermal Research, 189(3-4), 347-362, 2010

- Rosi, M., Principe, C., & Vecci, R.: The 1631 Vesuvius eruption. A reconstruction based on historical and
  stratigraphical data. Journal of Volcanology and Geothermal Research, 58(1-4), 151-182, 1993.
- 876 Rossi, P.: La rivoluzione scientifica. Da Copernico a Newton, ETS Ed, 336 pp, 2020877
- Scandone, R., Arganese, G., & Galdi, F.: The evaluation of volcanic risk in the Vesuvian area. Journal of
  Volcanology and Geothermal Research, 58(1-4), 263-271, 1993
- Scarpati, C., Perrotta, A., Lepore, S., & Calvert, A.: Eruptive history of Neapolitan volcanoes: constraints from
  40Ar–39Ar dating. Geological Magazine, 150(3), 412-425, 2013
- Scarpati, C., Perrotta, A., & De Simone, G. F.: Impact of explosive volcanic eruptions around Vesuvius: a
  story of resilience in Roman time. Bulletin of Volcanology, 78(3), 21, 2016
- 988 Scarth, A.: Vesuvius: a biography. Princeton University Press, 2009
- Small, C., Naumann, T.: The global distribution of human population and recent volcanism. Global
  Environmental Change Part B: Environmental Hazards, 3(3), 93-109, 2001.
- Sparks, R. S. J.: Forecasting volcanic eruptions. Earth and Planetary Science Letters, 210(1-2), 1-15, 2003.
- Sparks, R. S. J., & Cashman, K. V.: Dynamic magma systems: implications for forecasting volcanic
  activity. Elements, 13(1), 35-40, 2017.
- Spence, R., Kelman, I., Brown, A., Toyos, G., Purser, D., et al.. Residential building and occupant vulnerability
  to pyroclastic density currents in explosive eruptions. Natural Hazards and Earth System Science, Copernicus
  Publications on behalf of the European Geosciences Union, 2007, 7 (2), pp.219-230. hal-00299417, 2007.
- 1002Strabone: Geografia, BUR Biled, 384 pp, 19981003
- Swanson, D. A., Casadevall, T. J., Dzurisin, D., Malone, S. D., Newhall, C. G., & Weaver, C. S.: Predicting
  eruptions at Mount St. Helens, June 1980 through December 1982. Science, 221(4618), 1369-1376, 1983.
- 1007 Testo unico delle disposizioni legislative e regolamentari in materia edilizia, d.P.R. n. 380/2001.
   1008 https://www.bosettiegatti.eu/info/norme/statali/2001\_0380.htm
   1009
- 1010 The Marshal Plain; https://www.history.com/topics/world-war-ii/marshall-plan-1 (last access Dec 2020)
- Valensise, G., Tarabusi, G., Guidoboni, E., Ferrari, G.: The forgotten vulnerability: A geology-and historybased approach for ranking the seismic risk of earthquake-prone communities of the Italian
  Apennines. International journal of disaster risk reduction, 25, 289-300, 2017.
- 016 Vesuvia project: https://www.viveretraivulcani.it/il-progetto-vesuvia/
- Ulisse, C.: Il degrado del territorio vesuviano. Causa ed effetti. Rischio vulcanico e programmazione
  territoriale. Provincia di Napoli, Osservatorio Vesuviano. Atti del Convegno, 10-11-12 Febbraio 1987, NapoliCasamicciola, pp 69-74, 1984.
- Usamah, M., Haynes, K.: An examination of the resettlement program at Mayon Volcano: what can we learn
  for sustainable volcanic risk reduction? Bulletin of volcanology, 74(4), 839-859, 2012.
- 1025 Yokoyama, I.: Pozzuoli event in 1970. Nature 229(532–534):1970
- Winson, A. E., Costa, F., Newhall, C. G., & Woo, G.: An analysis of the issuance of volcanic alert levels during volcanic crises. Journal of Applied Volcanology, 3(1), 1-12. 2014
- 029

972

981

989

001

011

015

- 031 032 Wisner, B.: Disaster risk reduction in megacities: making the most of human and social capital. Building safer cities: The future of disaster risk, 181-96, 20