1 Assessing Climate Change-Induced Flood Risk in the

2 Conasauga River Watershed: An Application of Ensemble

3 Hydrodynamic Inundation Modeling

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46 Abstract

47 This study evaluates the impact of potential future climate change on flood regimes, 48 floodplain protection, and electricity infrastructures across the Conasauga River 49 Watershed in the southeastern United States through ensemble hydrodynamic inundation 50 modeling. The ensemble streamflow scenarios were simulated by the Distributed 51 Hydrology Soil Vegetation Model (DHSVM) driven by (1) 1981–2012 Daymet 52 meteorological observations, and (2) eleven sets of downscaled global climate models 53 (GCMs) during the 1966–2005 historical and 2011–2050 future periods. Surface 54 inundation was simulated using a GPU-accelerated Two-dimensional Runoff Inundation 55 Toolkit for Operational Needs (TRITON) hydrodynamic model. Nine out of the eleven 56 GCMs exhibit an increase in the mean ensemble flood inundation areas. Moreover, at the 57 1% annual exceedance probability level, the flood inundation frequency curves indicate a 58 \sim 16 km² increase in floodplain area. The assessment also shows that even after flood-59 proofing, four of the substations could still be affected in the projected future period. The 60 increase in floodplain area and substation vulnerability highlights the need to account for 61 climate change in floodplain management. Overall, this study provides a proof-of-62 concept demonstration of how the computationally intensive hydrodynamic inundation 63 modeling can be used to enhance flood frequency maps and vulnerability assessment 64 under the changing climatic conditions.

65

66 Keywords: Flood simulation; Climate change; Critical electricity infrastructure;

67 Floodplain protection standards.

1. Introduction

69	Floods are costly disasters that affect more people than any other natural hazard
70	around the world (UNISDR, 2015). Major factors that can exacerbate flood damage
71	include population growth, urbanization, and climate change (Birhanu et al., 2016;
72	Winsemius et al., 2016; Alfieri et al., 2017; Alfieri et al., 2018; Kefi et al., 2018). Recent
73	observations exhibit an increase in the frequency and the intensity of extreme
74	precipitation events (Pachauri and Meyer, 2014), which have strengthened the magnitude
75	and frequency of flooding (Milly et al., 2002; Langerwisch et al., 2013; Alfieri et al.,
76	2015a; Alfieri et al., 2018; Mora et al., 2018). As a result, the damage and cost of
77	flooding have substantially increased across the United States (US) (Pielke Jr. and
78	Downton, 2000; Pielke Jr. et al., 2002; Ntelekos et al., 2010; Wing et al., 2018) and the
79	rest of the world (Hirabayashi et al., 2013; Arnell and Gosling, 2014; Alfieri et al.,
80	2015b; Alfieri et al., 2017; Kefi et al., 2018).
81	Since 1968, the National Flood Insurance Program (NFIP), administered by the
82	Federal Emergency Management Agency (FEMA), has implemented floodplain
83	regulation standards in the US to mitigate the escalating flood losses (FEMA, 2002). For
84	communities participating in the NFIP, flood insurance is required for structures located
85	within the 1% annual exceedance probability (AEP) flood zone (i.e., areas with
86	probability of flooding \geq 1% in any given year; FEMA, 2002). However, existing
87	floodplain protection standards have proven to be inadequate (Galloway et al., 2006;
88	Ntelekos et al., 2010; Tan, 2013; Blessing et al., 2017; HCFCD, 2018), and climate
89	
	change can likely exacerbate these issues (Olsen, 2006; Ntelekos et al., 2010; Kollat et

91	instance, the streamflow AEP thresholds and synthetic hydrographs used to simulate the
92	flood zones were derived purely based on historic observations that may underestimate
93	the intensified hydrologic extremes in the projected future climatic conditions. Although
94	the possible change of future streamflow AEP thresholds may be evaluated by an
95	ensemble of hydrologic model outputs driven by multiple downscaled and bias-corrected
96	climate models (e.g., Wobus et al., 2017), the extension from maximum streamflow to
97	maximum flood zone is not trivial, and cannot be explicitly addressed through the
98	conventional deterministic inundation modeling approach.
99	The increases in the magnitude and frequency of flooding, in addition to the
100	inadequacy of floodplain measures and the high costs of hardening (Wilbanks et al.,
101	2008; Farber-DeAnda et al., 2010; Gilstrap et al., 2015), have put electricity
102	infrastructures at risk (Zamuda et al., 2015; Zamuda and Lippert, 2016; Cronin et al.,
103	2018; Forzieri et al., 2018; Mikellidou et al., 2018; Allen-Dumas et al., 2019). In
104	particular, electricity infrastructures which lie in areas vulnerable to flooding can
105	experience floodwater damages that may lead to changes in their energy production and
106	consumption (Chandramowli and Felder, 2014; Ciscar and Dowling, 2014; Bollinger and
107	Dijkema, 2016; Gangrade et al., 2019). For instance, flooding can rust metals, destroy
108	insulation, and damage interruption capacity (Farber-DeAnda et al., 2010; Vale, 2014;
109	NERC, 2018; Bragatto et al., 2019). It is estimated that nearly 300 energy facilities are
110	located on low-lying lands vulnerable to sea-level rise and flooding in the lower 48 US
111	states, (Strauss and Ziemlinski, 2012).
112	Several studies have assessed the vulnerability of electricity infrastructures to
113	flooding (Reed et al., 2009; Winkler et al., 2010; Bollinger and Dijkema, 2016; Fu et al.,

114 2017; Pant et al., 2017; Bragatto et al., 2019; Gangrade et al., 2019). For highly sensitive 115 water infrastructures such as dams (McCuen, 2005), Gangrade et al. (2019) showed that 116 the surface inundation associated with probable maximum flood (PMF) is generally 117 projected to increase in future climate conditions. However, given the extremely large magnitude of PMF (AEP $< 10^{-4}$ %), the findings cannot be directly associated with more 118 119 frequent and moderate flood events (i.e., AEP around 1–0.2%) that are the main focus of 120 many engineering applications. Although some of these studies focused on evaluating the 121 resilience of electricity infrastructures against flood hazard and/or climate change, only a 122 few of them evaluated site-specific inundation risk and quantified impacts of climate 123 change-induced flooding on electricity infrastructures under different future climate 124 scenarios. Again, one main challenge is associated with the high computational costs to 125 effectively transform ensemble streamflow projections into ensemble surface inundation 126 projections through hydrodynamic models. With the enhanced inundation models and 127 high-performance computing (HPC) capabilities (Morales-Hernández et al., 2020), this 128 challenge can be gradually overcome for more spatially explicit flood vulnerability 129 assessment.

The objective of this study is to demonstrate the applicability of a computationally intensive ensemble inundation modeling approach to better understand how climate change may affect flood regimes, floodplain regulation standards, and the vulnerability of existing infrastructures. Extending from the framework developed by Gangrade et al. (2019) for PMF-scale events (AEP < 10^{-4} %) based on one selected climate model (CCSM4), we focus on more frequent extreme streamflow events (i.e., AEP around 1– 0.2%) which requires different modeling strategies based on multiple downscaled climate

models. The unique aspects of this study are the application of an integrated climate-hydrologic-hydraulic modeling framework for:

(1) Evaluating the changes in flood regime using high-resolution ensemble flood
inundation maps. The ensemble-based approach is able to incorporate the large
hydrologic interannual variability and model uncertainty that cannot be captured
through the conventional deterministic flood map.

143 (2) Enabling direct frequency analysis of ensemble flood inundation maps that

144 correspond to historic and projected future climate conditions. This approach

145 provides an alternative floodplain delineation technique to the conventional

approach, in which a single deterministic design flood value is used to develop aflood map with a given exceedance probability.

148 (3) Evaluating the vulnerability of electricity infrastructures to climate change-

149 induced flooding and assessing the adequacy of existing flood protection

150 measures using ensemble flood inundation. This information will help floodplain

151 managers to identify the most vulnerable infrastructures and recommend suitable152 adaptation measures.

The following technique was adopted in this study. First, we generated streamflow projection by utilizing an ensemble of simulated streamflow hydrographs driven by both historical observations and downscaled climate projections (Gangrade et al., 2020) as inputs for hydrodynamic inundation modeling as presented in section 2.2. Then, we set up and calibrated a 2D hydrodynamic inundation model, Two-dimensional Runoff Inundation Toolkit for Operational Needs (TRITON; Morales-Hernández et al., 2021), in

159 our study area which is presented in section 2.3. For inundation modeling, sensitivity

analyses were conducted on three selected parameters to quantify and compare their
respective influences on modeled flood depths and extents. The performance of TRITON
was then evaluated by comparing a simulated 1% AEP flood map with the reference 1%
AEP flood map from the Federal Emergency Management Agency (FEMA). Finally, as
presented in sections 2.4 and 2.5, ensemble inundation modeling was performed to
develop flood inundation frequency curves and maps, and to assess the vulnerability of
electricity infrastructures under a changing climate, respectively.

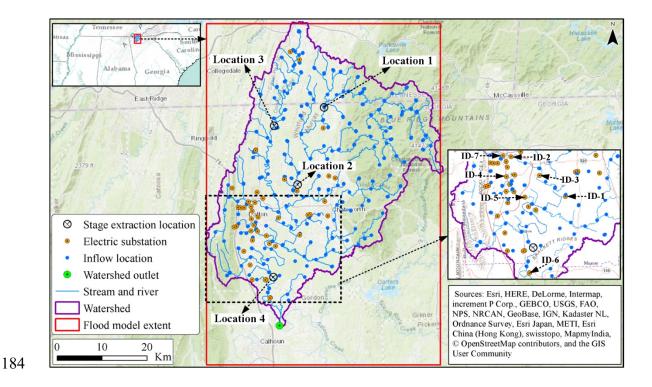
167 The article is organized as follows: the data and methods are discussed in Section 2;

168 Section 3 presents the result and discussion; and the summary is presented in Section 4.

- 169 **2. Data and Methods**
- 170 **2.1.** Study Area

171 Our study area is the Conasauga River Watershed (CRW) located in southeastern Tennessee and northwestern Georgia (Figure 1). The CRW is an eight-digit Hydrologic 172 173 Unit Code (HUC08) subbasin (03150101) with a total drainage area of ~1880 km². The 174 northeastern portions of the watershed are rugged, mountainous areas largely covered 175 with forests (Ivey and Evans, 2000; Elliott and Vose, 2005). The CRW, which is one 176 headwater basin of the Alabama-Coosa-Tallapoosa (ACT) River Basin, rises high on the 177 Blue Ridge Mountains of Georgia and Tennessee and flows for 145 km before joining the 178 Coosawattee River to form the Oostanaula River (Ivey and Evans, 2000; USACE, 2013). 179 The CRW climate is characterized by warm, humid summers, and mild winters with 180 mean annual temperature of 15 to 20 °C and average annual precipitation of 1300 to 1400 181 mm (FIS, 2007; FIS, 2010; Baechler et al., 2015). The watershed encompasses four

182 counties: Bradley, Polk, Fannin, Murray, and Whitfield. It also includes the cities of



183 Dalton and Chatsworth, Georgia. There is no major reservoir located in the CRW.

Figure 1. Conasauga River Watershed study area location, model extent, electric
substations, and inflow locations. Background layer source: © OpenStreetMap
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189 2.2. Streamflow Projections

190 The ensemble streamflow projections were generated by a hierarchical modeling

- 191 framework, which started with regional climate downscaling followed by hydrologic
- 192 modeling (Gangrade et al., 2020). The climate projections were generated by dynamically
- 193 downscaling of 11 GCMs from the Coupled Model Intercomparison Project Phase-5
- 194 (CMIP5) data archive. Each GCM was used as lateral and lower boundary forcing in a
- regional climate model RegCM4 (Giorgi et al., 2012) at a horizontal grid spacing of 18

196 km over a domain that covered continental US and parts of Canada and Mexico (Ashfaq

197 et al., 2016) (Table 1). Each RegCM4 integration covered 40 years in the historic period

198 (1966–2005; hereafter baseline) and another 40 years in the future period (2011–2050)

- 199 under Representative Concentration Pathway 8.5 (RCP 8.5) emission scenario, with a
- 200 combined 880 years of data across all RegCM4 simulations. To capture the multi-decadal
- 201 climate variability, a minimum of 30-year period has been used in many studies (e.g.,
- Alfieri et al., 2015a, 2015b). Given the additional data available from Gangrade et al.
- 203 (2020), we have adopted a longer 40-year period that may further enlarge the sample
- space to better support the statistical analyses in this study.
- 205

Table 1. Summary of the 11 dynamically downscaled climate models (adopted fromAshfaq et al., 2016).

S. No.	Climate model name	Number of flood events per climate model	Time	period
1	ACCESS1-0			
2	BCC-CSM1-1			
3	CCSM4			
4	CMCC-CM			
5	FGOALS-g2		1966–2005	2011-2050
6	GFDL-ESM2M	40	(Baseline)	(Future/RCP
7	MIROC5			8.5)
8	MPI-ESM-MR			
9	MRI-CGCM3			
10	NorESM1-M			
11	IPSL-CM5A-LR			

209 The RegCM4 simulated daily precipitation and temperature were further statistically 210 bias-corrected to a spatial resolution of 4 km following a quantile mapping technique, 211 described in Ashfaq et al. (2010, 2013). The 4 km Parameter-elevation Regressions on 212 Independent Slopes Model (PRISM; Daly et al., 2008) data was used as the historic 213 observations to support bias-correction. In the baseline period, the simulated quantiles of 214 precipitation and temperature were corrected by mapping them onto the observed 215 quantiles. In the future period, the monthly quantile shifts were calculated based on the 216 simulated baseline and future quantiles which were subsequently added to the bias 217 corrected baseline quantiles to generate bias-corrected monthly future data. Finally, the 218 monthly bias-corrections were distributed to the daily values while preserving in each 219 time period. This approach substantially improves the biases in the modeled daily 220 precipitation and temperature while preserving the simulated climate change signal. 221 Further details of the bias-correction are provided in Ashfaq et al. (2010, 2013) while the 222 information regarding the RegCM4 configuration, evaluation and future climate 223 projections are detailed in Ashfaq et al. (2016). 224 The hydrologic simulations were then conducted using the Distributed Hydrology 225 Soil Vegetation Model (DHSVM; Wigmosta et al., 1994), which is a process-based high-226 resolution hydrologic model that can capture heterogeneous watershed processes and 227 meteorology at a fine resolution. DHSVM uses spatially distributed parameters, including topography, soil types, soil depths, and vegetation types. The input meteorological data 228 229 includes precipitation, incoming shortwave and longwave radiation, relative humidity, air 230 temperature and wind speed (Wigmosta et al., 1994; Storck et al., 1998; Wigmosta et al., 231 2002). The DHSVM performance and applicability has been reported in various earlier

232 climate and flood related studies (Elsner et al., 2010; Hou et al., 2019; Gangrade et al., 233 2018, 2019, 2020). A calibrated DHSVM implementation from Gangrade et al. (2018) at 90 m grid spacing was used to produce 3-hourly streamflow projections using the 234 235 RegCM4 meteorological forcings described in the previous section (Table 1). In addition, 236 a control simulation driven by 1981–2012 Daymet meteorologic forcings (Thornton et 237 al., 1997) was conducted for model evaluation and validation. The hydrologic simulations 238 used in this study are a part of a larger hydroclimate assessment effort for the ACT River 239 Basin, as detailed in Gangrade et al. (2020). Since there is no major reservoir in the 240 CRW, the additional reservoir operation module (Zhao et al., 2016) was not needed in 241 this study. 242 Note that while the ensemble streamflow projections based on dynamical 243 downscaling and high-resolution hydrologic modeling from Gangrade et al. (2020) are 244 suitable to explore extreme hydrologic events in this study, they do not represent the full 245 range of possible future scenarios. Additional factors such as other GCMs, RCP 246 scenarios, downscaling approaches, and hydrologic models and parameterization may 247 also affect future streamflow projections. In other words, although these ensemble 248 streamflow projections can tell us how likely the future streamflow magnitude may 249 change from the baseline level, they are not the absolute prediction into the future. In 250 practice, these modeling choices will likely be study-specific based on the agreement 251 among key stakeholders. It is also noted that the new Coupled Model Intercomparison 252 Project Phase-6 (CMIP6) data have also become available to update the ensemble 253 streamflow projections, but is not pursued in this study.

254 **2.3. Inundation Modeling**

255 The ensemble inundation modeling was performed using TRITON, which is a 256 computationally enhanced version of Flood2D-GPU (Kalyanapu et al., 2011). TRITON 257 allows parallel computing using multiple graphics processing units (GPUs) through a 258 hybrid Message Passing Interface (MPI) and Compute Unified Device Architecture 259 (CUDA) (Morales-Hernández et al., 2021). TRITON solves the nonlinear hyperbolic 260 shallow water equations using an explicit upwind finite-volume scheme, based on Roe's 261 linearization. The shallow water equations are a simplified version of the Navier-Stokes 262 equations in which the horizontal momentum and continuity equations are integrated in 263 the vertical direction (see Morales-Hernández et al., (2021), for further model details). An 264 evaluation of TRITON performance for the CRW is presented and discussed in Section 265 3.3.

266 TRITON's input data includes digital elevation model (DEM), surface roughness, 267 initial depths, flow hydrographs, and inflow source locations (Kalyanapu et al., 2011; 268 Marshall et al., 2018; Morales-Hernández et al., 2020; Morales-Hernández et al., 2021). 269 In this study, the hydraulic and geometric parameters from the flood model evaluation 270 section (Section 3.3) were used in the flood simulation. The topography was represented 271 using the one-third arc-second (~ 10 m) spatial resolution DEM (Archuleta et al., 2017) 272 from the US Geological Survey (USGS). To improve the quality of the base DEM, as 273 discussed in the flood model evaluation section, the main channel elevation was reduced 274 by 0.15 m. Elevated roads and bridges that obstruct the flow of water were also removed. For surface roughness, we used a single channel Manning's n value of 0.05 and a single 275 276 floodplain Manning's n value of 0.35. The selection of channel and floodplain Manning's 277 n value was based on the Whitfield County Flood Insurance Study (FIS, 2007), which

278 reported a range of Manning's n values estimated from field observations and

engineering judgment for about 15 streams inside the CRW (section 3.2). Furthermore, a
water depth value of 0.35 m was defined for the main river channel as an initial boundary
condition. The zero velocity gradients were used as the downstream boundary condition.
Further discussion of model parameter sensitivity and model evaluation are provided in
sections 3.2 and 3.3.

284 The simulated DHSVM streamflow was used to prepare inflow hydrographs for 285 ensemble inundation modeling. To provide a large sample size for frequency analysis, we 286 selected all annual maximum peak streamflow events (the maximum corresponded to the 287 outlet of CRW [Figure 1]) from the 1981–2012 control simulation (32 years), the 1966– 288 2005 baseline simulation (440 years; 40 years \times 11 models), and the 2011–2050 future 289 simulation (440 years; 40 years \times 11 models), with a total of 912 events. For each annual 290 maximum event, the 3-hour timestep, 10-day hydrographs (which capture the peak CRW 291 outlet discharge) across all DHSVM river segments were summarized. Following a 292 procedure similar to Gangrade et al. (2019), these streamflow hydrographs were 293 converted to TRITON inputs at 300 inflow locations selected along the NHD+ river 294 network in the CRW (Figure 1). The TRITON model extent, shown in Figure 1, has an 295 approximate area of 3945 km² and includes \sim 44 million model grid cells (7976 rows \times 296 5474 columns in a uniform structured mesh). The ensemble flood simulations resulted in 297 gridded flood depth and velocity output at 30-minute intervals. The simulations generated 298 an approximately 400 Terabyte data and utilized ~2000 node hours on the Summit 299 supercomputer, managed by the Oak Ridge Leadership Computing Facility at Oak Ridge 300 National Laboratory.

301 2.4. Flood Inundation Frequency Analysis

302 Given the nature of GCM experiments, each set of climate projections can be 303 considered as a physics-based realization of historic and future climate under specified 304 emission scenarios. Therefore, an ensemble of multimodel simulations can effectively 305 increase the data lengths and sample sizes that are keys to support frequency analysis, 306 especially for low-AEP events. In this study, we conducted flood frequency analyses 307 separately for the 1966–2005 baseline and 2011–2050 future periods so that the 308 difference between the two periods represent the changes in flood risk due to climate 309 change.

310 To prepare the flood frequency analysis, we first calculated the maximum flood depth 311 at every grid in each simulation. A minimum threshold of 10 cm flood depth was used to 312 judge whether a cell was wet or dry (Gangrade et al., 2019). Further, for a given grid cell, 313 if the total number of non-zero flood depth values (i.e., of the 440 depth values) was less 314 than 30, the grid cell was also considered dry. This threshold was selected based on the 315 minimum sample size requirement for flood depth frequency analysis suggested by Li et 316 al. (2018). Next, we calculated the maximum flooded area (hereafter used alternatively 317 with "floodplain area") for each simulation. A log-Pearson Type III (LP3) distribution 318 was then used for frequency analysis following the guidelines outlined in Bulletins 17B 319 (USGS, 1982; Burkey, 2009) and 17C (England Jr. et al., 2019). Two types of LP3 fitting 320 were performed. The first type of fitting is event-based that fitted LP3 on the maximum inundation area across all ensemble members. The second type of fitting is grid-based 321 322 (more computationally intensive) that fitted LP3 on the maximum flood depth at each 323 grid cell across all ensemble members. For both types of fittings, the frequency estimates

at 4%, 2%, 1%, and 0.5% AEP (corresponding to 25-, 50-, 100-, and 200-year return
levels) were derived for further analysis.

326 It is also noted that in addition to the annual maximum event approach used in this 327 study, one may also use the peak-over-threshold (POT) approach which can select 328 multiple streamflow events in a very wet year. While such an approach can lead to higher 329 extreme streamflow and inundation estimates, the timing of POT samples is fully 330 governed by the occurrences of wet years. In other words, if the trend of extreme 331 streamflow is significant in the future period, the POT samples will likely occur more in 332 the far future period. We hence select the annual maximum event approach that can 333 sample maximum streamflow events more evenly in time, which can better capture the 334 evolution of extreme events with time under the influence of climate change.

335 **2.5.** Vulnerability of Electricity Infrastructure

336 The vulnerability of electricity infrastructures to climate change-induced flooding 337 was evaluated using the ensemble flood inundation results. The 44 electric substations 338 (Figure 1) collected from the publicly available Homeland Infrastructure Foundation-339 Level Data (HIFLD, 2019) were considered to be the electrical components susceptible to 340 flooding. To evaluate the vulnerability of these substations, we overlapped the maximum 341 flood extent from each ensemble member with all substations to identify the substations 342 that might be inundated under the baseline and future climate conditions. Further, as an 343 additional flood hazard indicator, the duration of inundation was estimated at each of the 344 affected substations using the ensemble flood simulation results.

345 The vulnerability analysis was performed for two different flood mitigation scenarios.346 In the first scenario, we assumed that no flood protection measures were provided at all

347	substations. Hence, the substations that intersected with the flood footprint were
348	considered to be failed. In the second scenario, it was assumed that flood protection
349	measures were adopted for all substations following the FEMA P-1019 recommendation
350	(FEMA, 2014). According to FEMA P-1019 (FEMA, 2014), for emergency power
351	systems within critical facilities, the highest elevation among (1) the base flood elevation
352	(BFE: 1% FEMA AEP flood elevation) plus 3 feet (~0.91 m), (2) the locally adopted
353	design flood elevation, and (3) the 500-year flood elevation can be used to design flood
354	protection measures. Since the three recommended elevations were not available at all
355	substation locations, we focused only on the BFE plus \sim 0.91 m option. In addition, since
356	in the CRW the majority of existing flood insurance maps were classified as Zone A-
357	meaning that the special flood hazard areas were determined by approximate methods
358	without BFE values (FEMA, 2002)-we used the maximum flood depth values across all
359	control simulation years as the BFE values in this second mitigation scenario.
360	During the vulnerability analysis, we also assumed that (1) the one-third arc-second
361	spatial resolution DEM might reasonably represent the elevation of substations, (2)
362	existing substations would remain functional and would not be relocated, and (3) no
363	additional hardening measures (i.e., protections such as levees, berms, anchors, and
364	housings) will be adopted in the future period. Also, the cascading failure of a substation
365	due to grid interconnection was not considered in this study.

3. Results and Discussion

3.1. Streamflow Projections

369	This section presents a comparison of the annual maximum peak streamflow (at the
370	outlet of CRW) used in the control, baseline, and future simulations. The sample size
371	included 32 events from the control (1981–2012) simulation, 440 events from the
372	baseline (1966–2005) simulations, and another 440 events from the future (2011–2050)
373	simulations. These samples are illustrated in box and whisker plots in Figure 2, where
374	central mark indicate the median, while bottom and top edges indicate the 25^{th} and 75^{th}
375	percentiles respectively. The whiskers extend to the furthest data points not considered
376	outliers, which correspond to approximately \pm 2.7 standard deviations and 99.3%
377	coverage if the data are normally distributed. As is evident from Figure 2, the
378	distributions of annual maximum peak streamflow values in the control and baseline
379	simulations are comparable. The upper and lower whiskers in the control simulation are
380	727.6 m ³ /s and 84.2 m ³ /s, which compare well to the 722.5 m ³ /s and 65.2 m ³ /s values in
381	the baseline simulation. In addition, we also conducted a two-tailed two-sample t-test (α
382	= 0.05) to compare if the means of control and baseline annual maximum streamflow are
383	statistically different. The results yielded a p-value of 0.09 which suggested that there is
384	no significant difference between the means of both control and baseline simulations. A
385	larger number of outliers are present in the baseline simulation, which is due to the larger
386	sample size (440 versus 32).
387	Under the future projection, an increase in the maximum peak streamflow is shown,

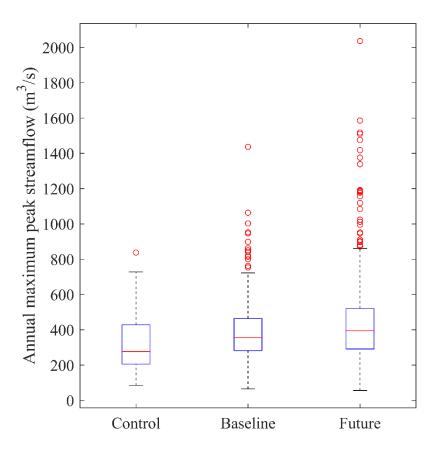
388 where the upper whisker in the future projection is $\sim 21\%$ higher than the baseline.

389 Moreover, the maximum of distribution in the future climate $(2036.7 \text{ m}^3/\text{s})$ is also much

390 higher than that in the baseline climate (1436.7 m^3/s), suggesting a higher future flood

391 risk in the CRW. The increasing trend of streamflow extremes in the CRW is consistent





393

Figure 2. A comparison of annual maximum peak streamflow at the outlet of Conasauga
River Watershed. The sample size includes 32 events from the control (1981–2012), 440
from the baseline (1966–2005), and another 440 from the future (2011–2050) periods.

397 **3.2.** Sensitivity Analysis for Flood Model

398 For a better understanding and selection of suitable TRITON parameters, a series of

- 399 sensitivity analyses were conducted using different combinations of Manning's
- 400 roughness, initial water depths, and river bathymetry correction factors (Table 2).

Sensitivity parameter	Scenario	Initial water depth values (m)	Surface roughness (Manning's n values)	Bathymetry correction factor (m)	
-1	1 2	0.00 0.15			
Initial water	3	0.35			
depth	4	0.45	$n_{ch} = 0.050 / n_{fldpl} = 0.350$	-0.15	
-	5	0.55			
	6	0.65			
	1		$N_1: n_{ch} = 0.035 / n_{fldpl} = 0.06$		
	2	N_2: $n_{ch} = 0.040 / n_{fldpl} = 0.25$			
	3	0.35	$N_3: n_{ch} = 0.045 / n_{fldpl} = 0.30$	-0.15	
Surface	4		N_4: $n_{ch} = 0.050 / n_{fldpl} = 0.35$		
roughness	5		$N_{5: n_{ch}} = 0.055 / n_{fldpl} = 0.45$		
	6		N_6: $n_{ch} = 0.060 / n_{fldpl} = 0.50$ N_7: Manning's n map prepared based on the NLCD 2011		
	1			0.00	
Bathymetry	2			-0.15	
correction	3	0.35	$n_{ch} = 0.050 \ / \ n_{fldpl} = 0.350$	-0.45	
factor	4	0.55		-0.75	
140101	5			-1.00	
	6			-1.25	

404 Table 2. Summary of hydraulic and geometric parameters used in the sensitivity analysis.

405 Note: n_{ch} represents the Manning's n value in the main channel and n_{fldpl} represents the 406 Manning's n value in the floodplain areas.

407

408 In calibrating a hydraulic model, it is a common practice to adjust the estimated

409 Manning's n value, as it is the most uncertain and variable input hydraulic parameter

413 about 15 streams inside the CRW. It is noted that the depth variation of Manning's

402

^{410 (}Brunner et al., 2016). In this study, we tested six different scenarios (Table 2) based on

⁴¹¹ the Whitfield County Flood Insurance Study (FIS, 2007), which reported a range of

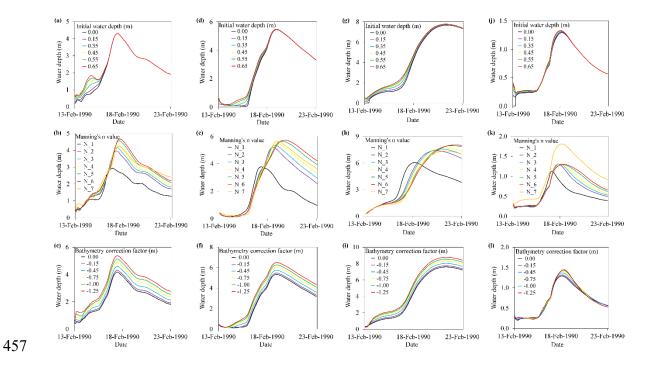
⁴¹² Manning's n values estimated from field observations and engineering judgment for

roughness is not considered in the current study. Readers are referred to studies such as
Saksena et al. (2020) for additional information on the dynamic Manning's roughness for
potential hydrology and hydraulics applications.

417 To establish an initial condition for TRITON, a sensitivity analysis was performed on 418 selected initial water depth values (ranging from 0 m to 0.65 m, Table 2) to understand 419 their relative effects. To select ranges for the initial water depth, we summarized the 420 observed water depth values that corresponds to low flow values at five USGS gauge 421 stations inside the CRW. The distribution of observed water depth values from the five 422 gauges showed average values ranging from 0.25 to 0.65m. Existing DEM products, even 423 those with high spatial resolution (i.e., 10 m or finer), do not represent the elevation of 424 river bathymetry accurately (Bhuyian et al., 2014). For the CRW, Bhuyian et al. (2019) 425 found that the one-third arc-second spatial resolution base DEM over-predicted the 426 inundation extent because of the bathymetric error, which reduced the channel 427 conveyance. In this study, we tested various bathymetry correction factors (ranging from 428 -1.25 m to 0 m, Table 2) by reducing the DEM elevation along the main channel to 429 understand the sensitivity of TRITON. 430 The sensitivity analysis was performed using the February 13–22, 1990 flood event 431 that has the maximum discharge among all 32 control simulation events. To evaluate 432 relative sensitivity of TRITON, we extracted simulated flood depths at four arbitrary 433 selected locations (Figure 1) and estimated the relative inundation area differences. The 434 impacts of initial water depths were significant only at the beginning where low flow

- 435 values dominated the hydrographs (Figure 3a, 3d, 3g, and 3j). Larger initial water depth
- 436 values generated higher flood inundation depths for both sample locations. Although the

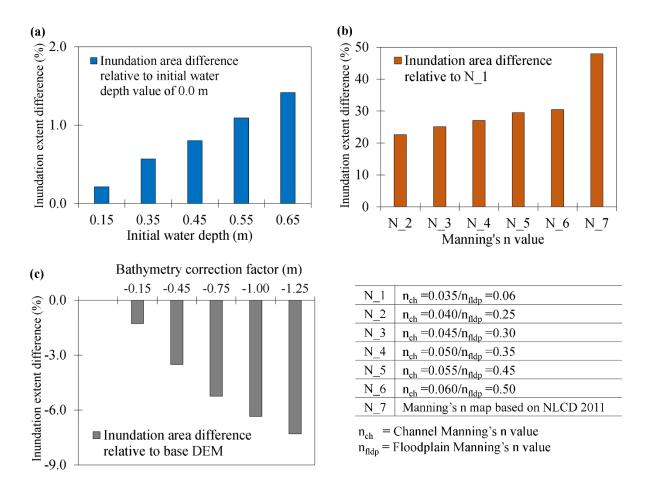
437	differences in flood inundation extents relative to the dry bed show an increasing trend,
438	the relative differences are less than 1.4% (Figure 4a). Similarly, the differences in
439	average peak water depths and time to peak relative to the 0.35 m initial water depth were
440	less than 1.0% (Table 3). Increase in the channel and floodplain Manning's n values
441	resulted in higher flood depths for both sample locations (Figure 3b, 3e, 3h, and 3k). The
442	relative flood inundation area differences increase from about 23% to 31% (Figure 4b)
443	when the channel and floodplain Manning's n values are increased from 0.035 to 0.06
444	and from 0.06 to 0. 50, respectively. In terms of simulated maximum flood extent, the
445	relative difference between scenario 3 (N_3) and scenario 7 (i.e., Manning's n map based
446	on different land use types [N_7]) showed ~16% (22 km ²) change in inundation area
447	(Figure 4b). Similarly, the last scenario (N_7) resulted in ~9% increase in the average
448	peak water depth (Table 3), when compared to scenario 3 (N_3). Reduction in the
449	elevation of river bathymetry (to improve the quality of the base DEM) results in a direct
450	increase in maximum flood depth due to change in the river conveyance (Figure 3c, 3f,
451	3i, and 3l; Table 3). It also results in a decrease in the maximum flood extent (Figure 4c),
452	as more water is allowed to transport through the main channel instead of the floodplain.
453	Overall, the results showed that TRITON was more sensitive to the Manning's n values
454	than the initial water depths and bathymetric correction factors.



458 Figure 3. Simulated flood inundation depths extracted at location 1 (a, b, c) and at

459 location 2 (d, e, f). Note: Location 1 and 2 are shown in Figure 1. A description of the

460 Manning's n values (N_1 to N_6) can be found in Table 2.



463 Figure 4. Change in simulated maximum flood inundation extents for (a) initial water

464 depth, (b) Manning's n value, and (c) bathymetry correction factor.

465

466 Table 3. Change in peak water depth and time to peak.

Sensitivity parameter		% change in peak water depth	% change in time to peak	Scenarios used to calculate the % change values
	0.00	-0.77	0.59	
	0.15	-0.41	0.25	
Initial water	0.35	0.00	0.00	0.35 m water
depth (m)	0.45	0.16	-0.17	depth
	0.55	0.29	-0.33	
	0.65	0.42	-0.43	
	N_1: nch =0.035 / nfldpl =0.06	-24.80	-24.53	N_4

	N 2: nch =0.040 / nfldpl =0.25	-4.79	-7.44	
	N_3 : nch =0.045 / nfldpl =0.30	-2.11	-3.03	
Manninalan	$N_4: nch = 0.050 / nfldpl = 0.35$	0.00	0.00	
Manning's n value	N_5: nch =0.055 / nfldpl =0.45	2.54	5.74	
value	N_6: nch =0.060 / nfldpl =0.50	3.83	8.88	
	N_7: Manning's n map prepared			
	based on the NLCD 2011	8.50	1.31	
	0.00	-2.44	-0.10	
Dathymatury	-0.15	0.00	0.00	Bathymetry
Bathymetry correction	-0.45	4.78	0.19	correction
	-0.75	9.41	0.50	factor of -
factor (m)	-1.00	13.11	0.86	0.15 m
	-1.25	16.58	1.17	

468

469 **3.3. Flood Model Evaluation**

470 Because of a lack of observed streamflow data in the CRW, the performance of

471 TRITON was evaluated by comparing the simulated 1% AEP flood map with the

472 published 1% AEP flood map from FEMA (FEMA, 2019). The purpose of this

473 assessment is to understand whether TRITON can provide comparable results to the

474 widely accepted FEMA flood estimates. While the FEMA AEP flood maps do not

475 necessarily represent complete ground truth, such a comparison is the best option given

the data challenge. Similar approach has been utilized by several previous studies in the

477 evaluation of large-scale flood inundation evaluation (Alfieri et al., 2014; Wing et al.,

478 2017; Zheng et al., 2018; Gangrade et al., 2019).

479 To derive the 1% AEP flood map using TRITON, the ensemble-based approach used

- 480 by Gangrade et al. (2019) was followed. The assessment started by preparing the
- 481 streamflow hydrographs used to construct the 1% AEP flood map. The 1981–2012
- 482 annual maximum peak events and their corresponding 10-day streamflow hydrographs
- 483 were extracted from the control simulation. These streamflow hydrographs were then

484 proportionally rescaled to match the 1% AEP peak discharge estimated at the watershed

485 outlet (Figure 1), following the frequency analysis procedures outlined in Bulletin 17C

486 (England Jr. et al., 2019). The streamflow hydrographs from control simulations were

487 used for the peak discharge frequency analysis.

488 The results reported in the sensitivity analysis were also used to help identify suitable

489 TRITON parameters. In addition to streamflow hydrographs, TRITON requires DEM,

490 initial water depth, and Manning's n value. To minimize the effect of bathymetric error in

491 the base DEM (Bhuyian et al., 2014; Bhuyian et al., 2019), we reduced the elevation

492 along the main channel by 0.15 m (i.e., a bathymetry correction factor). Although this

simple approach is unlikely to adjust the channel bathymetry to its true values, it can

improve the channel conveyance volume that is lost in the base DEM. To further improve

the quality of the base DEM, we removed elevated roads and bridges that could obstruct

496 the flow of water in some of the streams and rivers. An initial water depth of 0.35 m was

497 also selected in this study. For the surface roughness, a couple of flood simulations were

498 performed by adjusting the Manning's n values for the main channel and floodplain to

499 achieve satisfactory agreement between the simulated and the reference FEMA flood

500 map. We eventually selected a single channel Manning's n value of 0.05 and a single

```
501
       floodplain Manning's n value of 0.35.
```

493

494

495

502 Three evaluation metrics, including fit, omission, and commission (Kalyanapu et al., 503 2011) were used to quantify the differences between the modeled and reference flood 504 map. The measure of fit determines the degree of relationship, while the omission and

505 commission statistically compare the simulated and reference FEMA flood maps

506 (Kalyanapu et al., 2011). The comparison between the simulated maximum inundation

507	and the corresponding 1% AEP FEMA flood map showed 80.65% fit, 5.52%
508	commission, and 15.36% omission (Figure 5), demonstrating that the TRITON could
509	reasonably estimate flood inundation extent, and depths in the CRW. The computational
510	efficiency of TRITON can further support ensemble inundation modeling to provide
511	additional variability information that cannot be provided by the conventional
512	deterministic flood map.
513	Although we have obtained satisfactory model performance for the purpose of our
514	study, the flood model implementation has some limitations that may be enhanced in
515	future studies. They include:
516	• Spatially varying Manning's n values may be derived based on high-resolution
517	land use land cover (LULC) conditions to better represent the spatial
518	heterogeneity in the modeling domain.
519	• Apart from changes in future runoff and streamflow, projections of future LULC
520	and its corresponding surface roughness can be considered to understand the
521	broader impacts due to environment change.
522	• In this study, we corrected DEM bias along the river channel cells by simplified
523	bathymetry correction factors. More sophisticated bathymetric configuration (i.e.,
524	channel shape and sinuosity) can be considered to better represent channel
525	conveyance.
526	• The current TRITON model does not provide capability to route local runoff and
527	external inflows through stormwater drainage systems. Coupling with additional
528	stormwater drainage models can be a potential future direction.

Hydraulic and civil structures such as bridges, culverts, and weirs have not been
 included since TRITON does not provide for the modeling of such components.
 This can affect the accuracy of the flood depths, velocities, and flood extents
 around these structures.

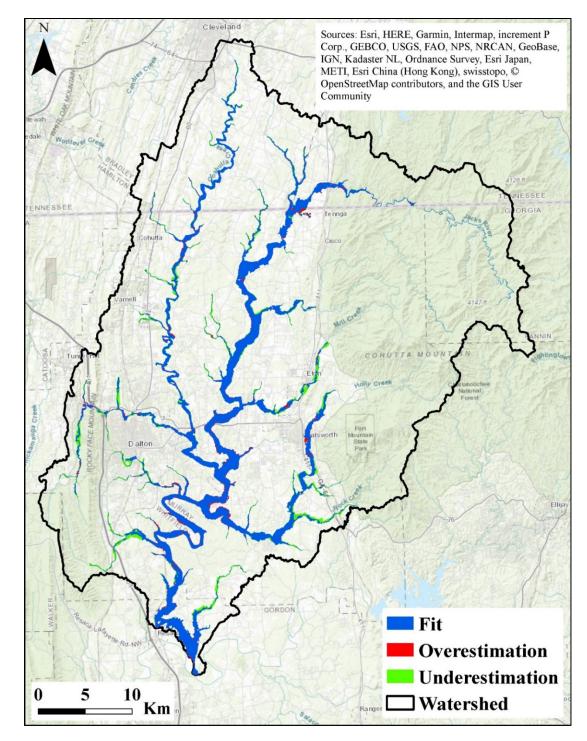




Figure 5. Comparison of simulated maximum flood extent with the corresponding FEMA
1% AEP flood map for the Conasauga River Watershed. Background layer source: ©
OpenStreetMap contributors 2020. Distributed under a Creative Commons BY-SA
License.

540 **3.4.** Change in Flood Regime

541 In this section, the projected changes in flood regime were calculated using the 542 flooded area from the baseline and future simulations for each ensemble member. Figure 543 6 illustrates the box and whisker plots for each of the 11 dynamically downscaled GCMs. 544 Given the small sample size in each distribution (40 compared to 440 in Figure 2), the 545 whiskers extend the largest/smallest data points with no outlier detection. For 9 out of the 546 11 downscaled climate models, the mean of 40 flood inundation showed an increase in the floodplain area in the future period. In terms of the 75th percentile and maximum, 10 547 548 out of 11 models showed increase in the floodplain area. The distribution of maximum 549 future inundation of 4 models are found to be statistically different than their baseline 550 distributions at a 5% significance level. Note that the spread in the future period is 551 generally larger than the spread in the baseline period, suggesting an increase in the 552 hydrologic variability in the future period. Also, while the results from different models 553 were generally consistent, some inter-model differences were noted, which highlight the 554 need of a multi-model framework to capture the uncertainty in the future climate 555 projections. The multi-model approach provides a range of possible flood inundation 556 extents, which is critical for floodplain management decision making. The potential 557 increase in the floodplain area also demonstrates the importance of incorporating climate 558 change projections in the floodplain management regulations.

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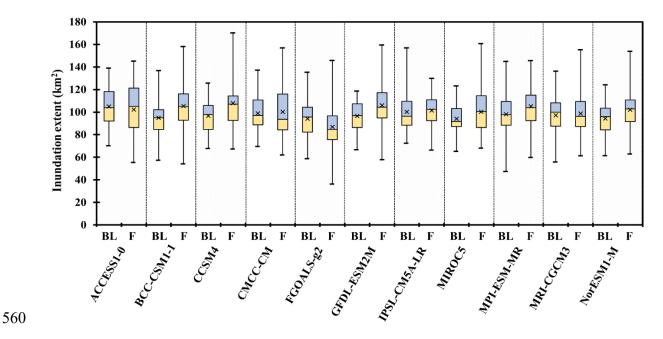


Figure 6. A summary of simulated maximum flood inundation extents obtained from the
baseline and future scenarios. The mean flooded area values are shown by × symbols.
Note: The suffix "_BL" represents baseline scenarios and the suffix "_F" represents
future scenarios.

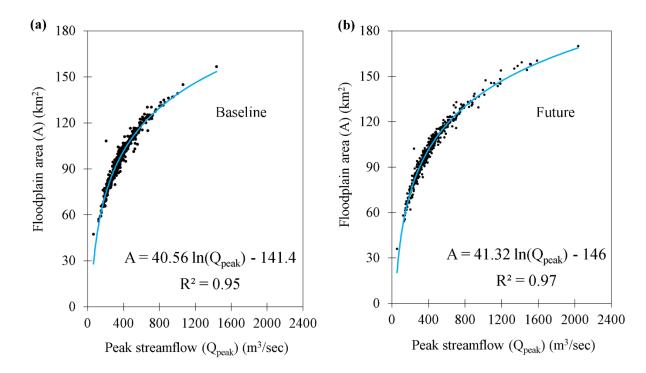
565 **3.5.** Flood Inundation Frequency Curve and Map

566 Figure 7 shows the relationship between the 440 flooded area values (across 11 567 downscaled GCMs) and their corresponding peak streamflow at the watershed outlet, for 568 both the baseline and future periods. Overall, both results (Figure 7a and 7b) exhibit strong nonlinear relationships with high R^2 values. The results suggest that peak 569 570 streamflow is a significant variable controlling the total flooded area, but the variability 571 of flooded area could not be explained by peak streamflow alone. For instance, in the 572 baseline period, the peak streamflow values of 423.63 m³/sec and 424.25 m³/sec correspond to 106.85 km² and 94.89 km² floodplain areas, respectively (Figure 7a). 573

574 Similarly, in the future period, the peak streamflow values of 433.27 m³/sec and 434.21

575 m^3 /sec correspond to 110.76 km² and 99.26 km² floodplain areas (Figure 7b).

576



577

Figure 7. Relationship between floodplain areas and peak streamflow values at the
watershed outlet for (a) baseline and (b) future scenarios. The blue lines indicate the
logarithmic best-fit.

581

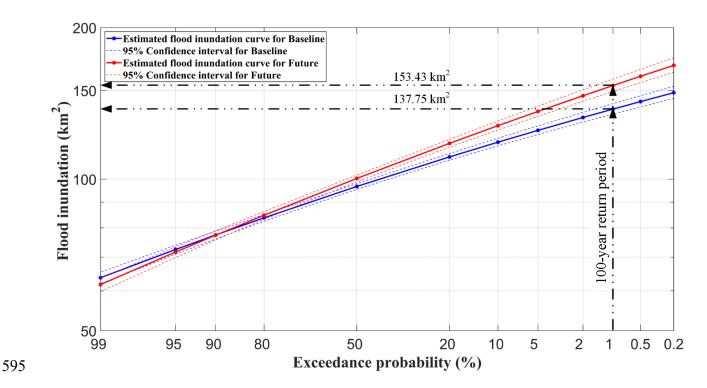
582 Figure 8 shows the event-based flood inundation frequency curves and their

583 corresponding 95% confidence intervals in both the baseline and future periods, for

584 which each frequency curve was derived using an ensemble of 440 years of data. The use

- 585 of long-term data helped reduce the uncertainty and add more confidence in the
- 586 evaluation of the lower AEP estimates. This type of assessment cannot be achieved using
- 587 only historic streamflow observations, for which the limited records present a major

challenge for lower AEP estimates. For most of the exceedance probabilities, the flooded
areas projected an increase in the inundation areas in the future period when compared to
the baseline period. The 1% AEP flood shows an ~16 km² increase in the inundation area
(137.75 km² in the baseline period versus 153.43 km² in the future period) (Figure 8).
Similar results can be observed in inundation frequency curves developed for other AEPs
(not shown).



596 Figure 8. A summary of flood inundation frequency curves for the baseline and future

597 periods.

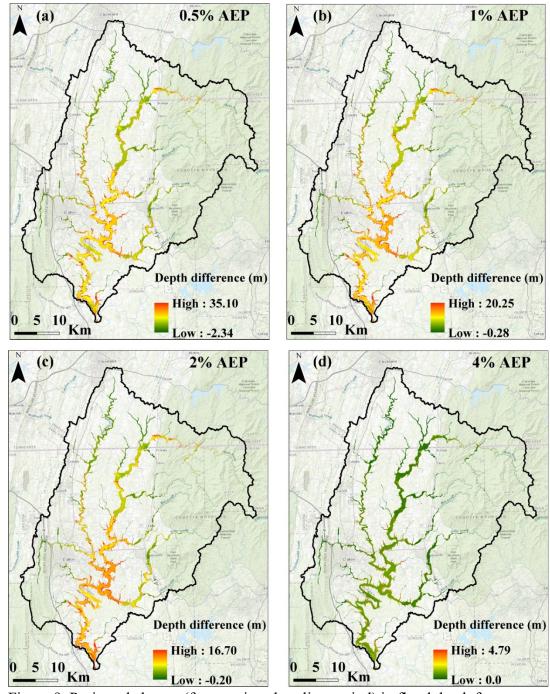
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599 The grid-based flood depth frequency results at 0.5%, 1%, 2%, and 4% AEP levels

are illustrated in Figure 9. In each panel, the projected change (i.e., future minus baseline)

at each grid is shown. The corresponding histogram across the entire study area is

602	presented in Figure 10. As mentioned in section 2.4, the LP3 distribution was used for
603	frequency analysis. In order to understand the suitability of LP3, we also conducted a
604	comparative analysis to test an alternative log-normal (LN) distribution. By using the
605	Anderson-Darling (Anderson and Darling, 1954) goodness-of-fit test ($\alpha = 0.05$) along
606	with the Akaike Information Criteria (Akaike, 1974), we found no substantial difference
607	between these two distributions (not showed), for the purpose of our application. It is
608	noted, however, that our goal in this study is not to identify the most suitable choice of
609	flood depth distribution. Therefore, other more suitable distributions may exist but this is
610	beyond the scope of this study.
611	Based on the comparisons in Figure 10, it is estimated that the flood depth values at
612	\sim 80% of grid cells would increase by 0.2 to 1.5 m due to projected changes in climate
613	(Figure 10). For 0.5% and 1% AEP flood depth frequency maps (Figure 9a and 9b), the
614	changes in flood depth were more pronounced in the lower part of the CRW, near the
615	City of Dalton (where there are large population settlements), thereby increasing the
616	likelihood of population exposure to flood risk in the future period. Furthermore, for the
617	1% flood depth frequency map (Figure 9b), the projected increase in flood depths and
618	spatial extent has the potential to extend the flood damage far beyond the FEMA's
619	current base floodplain area. Therefore, these results highlight the need for climate
620	change consideration in the floodplain mapping. The approach presented in this study can
621	provide an alternative floodplain delineation technique, as it can be applied to develop
622	flood depth frequency maps that are reflective of the future climate.
(22	



624

Figure 9. Projected change (future minus baseline period) in flood depth frequency maps
for (a) 0.5%, (b) 1%, (c) 2%, and (d) 4% AEPs. ArcGIS background layer sources: ESRI,
HERE, Garmin, Intermap, GEBCO, USGS, Food and Agriculture Organization, National
Park Service, Natural Resources Canada, GeoBase, IGN, Kadaster NL, Ordnance Survey,

- 629 METI, Esri Japan, Esri China, the GIS User Community, and © OpenStreetMap
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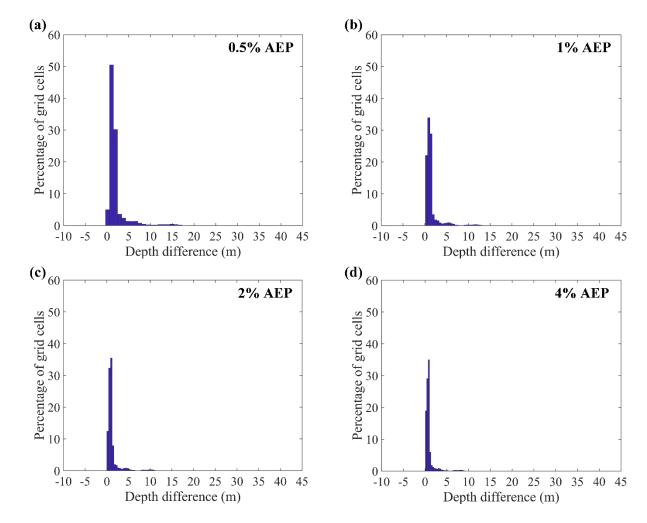


Figure 10. Histograms for the future changes (2011–2050) in the flood depth relative to
the baseline period (1966–2005) for (a) 0.5%, (b) 1%, (c) 2%, and (d) 4% AEP flood
depth frequency maps.

3.6. Vulnerability of Electricity Infrastructure

Figure 11a shows the box and whisker plot for the distributions of maximum flood
depth values extracted at the substation location across all the baseline and future
simulations, assuming that no flood protection measures were adopted (mitigation
scenario 1). Of the 44 substations, 5 substations could have been affected during the

641 baseline period, while 7 substations are projected to be affected during the future period 642 (Figure 11a). Increases are indicated not only for the number of affected substations but 643 also for flood inundation depth values in the projected future climate. Overall, the mean 644 of the ensemble flood depth values shows an ~ 0.6 m increase in the future period (Figure 645 11a). Such an increase in the flood depth magnitude has the potential to exacerbate flood 646 related damage to electrical components, which can inflate the cost of hardening 647 measures such as elevating substations and constructing flood-protective barriers. As 648 expected, when the substations were flood-proofed up to BFE plus ~ 0.91 m (mitigation scenario 2), the number of affected substations is reduced to three and four during the 649 650 baseline and future periods, respectively (Figure 11b). The locations of substations that 651 were impacted in the baseline period, in both mitigation scenarios, are consistent with the 652 Whitfield County Emergency Management Agency report map (EMA, 2016) that shows 653 the locations of critical facilities vulnerable to the historical flooding. 654 The maximum inundation durations at the affected substations are summarized in 655 Figure 12a (mitigation scenario 1) and Figure 12b (mitigation scenario 2). For both 656 mitigation scenarios and all affected substations, ensemble mean inundation durations 657 exhibited an increase under future climate condition. This increase in inundation duration 658 probably would render substations out of service for longer periods of time by making it 659 difficult to repair damaged substation equipment and restore grid services to customers. 660 The potential hazards and consequences may also extend to critical facilities that are 661 supplied by the affected substations. Similar to results presented in the previous sections, 662 these results demonstrate the need for improving existing flood mitigation measures by 663 incorporating the trends and uncertainties that originate from climate change. The

vulnerability analysis approach presented in this study will better equip floodplain
managers to identify the most vulnerable substations and to recommend suitable
adaptation measures, while allocating resources efficiently.

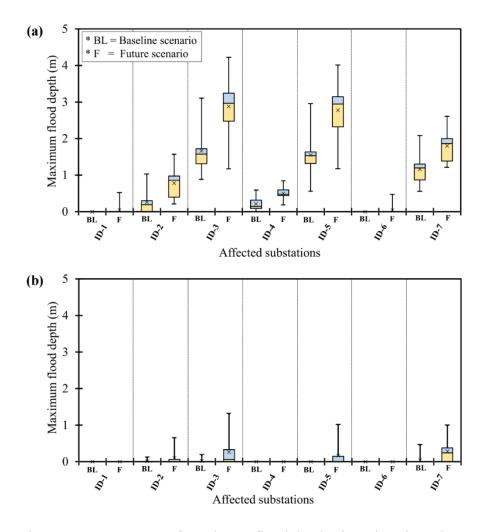


Figure 11. A summary of maximum flood depths for substations that were affected in the baseline and/or future periods (a) without flood protection measures and (b) with flood protection measures. Note: Affected substations with their corresponding IDs are shown in Figure 1. There are no negative values in the vertical axis, as the minimum flood depth value is zero.

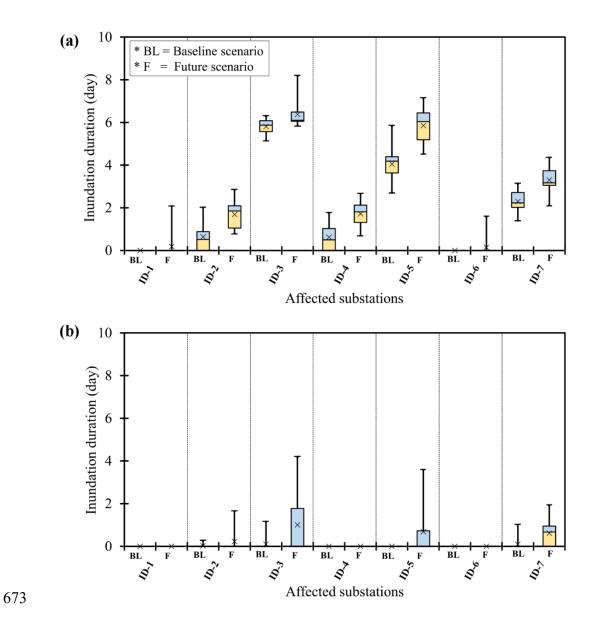


Figure 12. A summary of maximum inundation durations for substations that were
affected in the baseline and/or future periods (a) without flood protection measures and
(b) with flood protection measures. Note: Affected substations with their corresponding
IDs are shown in Figure 1. There are no negative values in the vertical axis, as the
minimum inundation duration is zero.

680 4. Summary and Conclusion

681 This paper applies an integrated modeling framework to evaluate climate change 682 impacts on flood regime, floodplain protection standards, and electricity infrastructures 683 across the Conasauga River Watershed in the southeastern United States. Building on the 684 ensemble concept used by Gangrade et al. (2019) for PMF-scale inundation modeling (AEP $< 10^{-4}$ %), we focused on more frequent extreme streamflow events (i.e., AEP 685 686 around 1–0.2%) based on 11 downscaled CMIP5 GCMs in this study. Our evaluation is 687 based on a climate-hydrologic-hydraulic modeling framework, which makes use of an 688 eleven member ensemble of downscaled climate simulations. Nine out of eleven 689 ensemble members project an increase in the flood inundation area in the future period. 690 Similarly, at the 1% AEP level, the flood inundation frequency curves indicate ~16 km² 691 increase in floodplain area under the future climate. The comparison between the flood 692 depth frequency maps from the baseline and future simulations indicated that, on average, 693 $\sim 80\%$ of grid cells exhibit a 0.2 to 1.5 m increase in the flood depth values. Without the 694 flood protection measures, of the 44 electric substations inside the watershed, 5 and 7 695 substations could be affected during the baseline and future periods, respectively. Even 696 after flood-proofing, three and four substations could still be affected in the baseline and 697 future periods. The increases in flood depth magnitude and inundation duration at the 698 affected substations in the future period will most likely damage more electrical 699 components, inflate the cost of hardening measures and render substations out of service 700 for a longer period of time.

Although future climate conditions are uncertain, our results demonstrate the needs
for (1) consideration of climate change in the floodplain management regulations; (2)

703 improvements in the conventional deterministic flood delineation approach through the 704 inclusion of probabilistic or ensemble-based methods, and (3) improvements in the 705 existing flood protection measures for critical electricity infrastructures through enhanced 706 hydro-meteorologic modeling capacities. In particular, rapidly advanced high-707 performance computing capabilities have enabled the incorporation of computationally 708 intensive 2D hydraulics modeling in the ensemble-based hydroclimate impact 709 assessment. While the computational cost demonstrated in this study may still seem 710 steep, in the current speed of technology advancement, we will soon be able to implement 711 such a computationally intensive assessment for wide applications. The approach 712 presented in this study can be used by floodplain managers to develop flood depth 713 frequency maps and to identify the most vulnerable electric substations.

714 Author Contribution

Dullo, Kalyanapu, Kao, Gangrade and Morales-Hernández developed the concept for the
paper, designed the methodology and Dullo performed all the simulations required for the
study with feedback from all the co-authors. Sharif, Ghafoor and Morales-Hernández
focused on programming, software development and testing of existing code components.
Ashfaq and Morales-Hernández provided access to supercomputing machine hours on
ORNL's SUMMIT and RHEA computers. The manuscript was edited by Dullo with inputs
from the co-authors.

722 Competing Interests

The authors declare that they have no conflict of interest.

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731	Battelle LLC under contract DE-AC05-00OR22725 with the US Department of Energy.
732	Accordingly, the US government retains and the publisher, by accepting the article for
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735	manuscript, or allow others to do so, for US government purposes. The input data sets are
736	cited throughout the paper, as appropriate.

737 Data Availability

- The data that support the findings of this study are openly available in figshare
- repository at the following URL:
- 740 <u>https://figshare.com/projects/Conasauga_Flood_Modeling_Project/80840</u>.
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