Assessing Climate Change-Induced Flood Risk in the

Conasauga River Watershed: An Application of Ensemble

Hydrodynamic Inundation Modeling

Abstract

 This study evaluates the impact of potential future climate change on flood regimes, floodplain protection, and electricity infrastructures across the Conasauga River Watershed in the southeastern United States through ensemble hydrodynamic inundation modeling. The ensemble streamflow scenarios were simulated by the Distributed Hydrology Soil Vegetation Model (DHSVM) driven by (1) 1981–2012 Daymet meteorological observations, and (2) eleven sets of downscaled global climate models (GCMs) during the 1966–2005 historical and 2011–2050 future periods. Surface inundation was simulated using a GPU-accelerated Two-dimensional Runoff Inundation Toolkit for Operational Needs (TRITON) hydrodynamic model. Nine out of the eleven GCMs exhibit an increase in the mean ensemble flood inundation areas. Moreover, at the 1% annual exceedance probability level, the flood inundation frequency curves indicate a $58 \sim 16$ km² increase in floodplain area. The assessment also shows that even after flood- proofing, four of the substations could still be affected in the projected future period. The increase in floodplain area and substation vulnerability highlights the need to account for climate change in floodplain management. Overall, this study provides a proof-of- concept demonstration of how the computationally intensive hydrodynamic inundation modeling can be used to enhance flood frequency maps and vulnerability assessment under the changing climatic conditions.

Keywords: Flood simulation; Climate change; Critical electricity infrastructure;

Floodplain protection standards.

1. Introduction

 2017; Pant et al., 2017; Bragatto et al., 2019; Gangrade et al., 2019). For highly sensitive water infrastructures such as dams (McCuen, 2005), Gangrade et al. (2019) showed that the surface inundation associated with probable maximum flood (PMF) is generally projected to increase in future climate conditions. However, given the extremely large 118 magnitude of PMF (AEP $\leq 10^{-4}$ %), the findings cannot be directly associated with more frequent and moderate flood events (i.e., AEP around 1–0.2%) that are the main focus of many engineering applications. Although some of these studies focused on evaluating the resilience of electricity infrastructures against flood hazard and/or climate change, only a few of them evaluated site-specific inundation risk and quantified impacts of climate change-induced flooding on electricity infrastructures under different future climate scenarios. Again, one main challenge is associated with the high computational costs to effectively transform ensemble streamflow projections into ensemble surface inundation projections through hydrodynamic models. With the enhanced inundation models and high-performance computing (HPC) capabilities (Morales-Hernández et al., 2020), this challenge can be gradually overcome for more spatially explicit flood vulnerability assessment.

 The objective of this study is to demonstrate the applicability of a computationally intensive ensemble inundation modeling approach to better understand how climate change may affect flood regimes, floodplain regulation standards, and the vulnerability of existing infrastructures. Extending from the framework developed by Gangrade et al. 134 (2019) for PMF-scale events (AEP < 10^{-4} %) based on one selected climate model (CCSM4), we focus on more frequent extreme streamflow events (i.e., AEP around 1– 0.2%) which requires different modeling strategies based on multiple downscaled climate

 models. The unique aspects of this study are the application of an integrated climate-hydrologic-hydraulic modeling framework for:

 (1) Evaluating the changes in flood regime using high-resolution ensemble flood inundation maps. The ensemble-based approach is able to incorporate the large hydrologic interannual variability and model uncertainty that cannot be captured 142 through the conventional deterministic flood map.

(2) Enabling direct frequency analysis of ensemble flood inundation maps that

correspond to historic and projected future climate conditions. This approach

provides an alternative floodplain delineation technique to the conventional

 approach, in which a single deterministic design flood value is used to develop a flood map with a given exceedance probability.

(3) Evaluating the vulnerability of electricity infrastructures to climate change-

induced flooding and assessing the adequacy of existing flood protection

measures using ensemble flood inundation. This information will help floodplain

 managers to identify the most vulnerable infrastructures and recommend suitable adaptation measures.

 The following technique was adopted in this study. First, we generated streamflow projection by utilizing an ensemble of simulated streamflow hydrographs driven by both historical observations and downscaled climate projections (Gangrade et al., 2020) as inputs for hydrodynamic inundation modeling as presented in section 2.2. Then, we set up and calibrated a 2D hydrodynamic inundation model, Two-dimensional Runoff Inundation Toolkit for Operational Needs (TRITON; Morales-Hernández et al., 2021), in

our study area which is presented in section 2.3. For inundation modeling, sensitivity

 analyses were conducted on three selected parameters to quantify and compare their respective influences on modeled flood depths and extents. The performance of TRITON was then evaluated by comparing a simulated 1% AEP flood map with the reference 1% AEP flood map from the Federal Emergency Management Agency (FEMA). Finally, as presented in sections 2.4 and 2.5, ensemble inundation modeling was performed to develop flood inundation frequency curves and maps, and to assess the vulnerability of electricity infrastructures under a changing climate, respectively.

 The article is organized as follows: the data and methods are discussed in Section 2; Section 3 presents the result and discussion; and the summary is presented in Section 4.

- **2. Data and Methods**
- **2.1. Study Area**

 Our study area is the Conasauga River Watershed (CRW) located in southeastern Tennessee and northwestern Georgia (Figure 1). The CRW is an eight-digit Hydrologic 173 Unit Code (HUC08) subbasin (03150101) with a total drainage area of \sim 1880 km². The northeastern portions of the watershed are rugged, mountainous areas largely covered with forests (Ivey and Evans, 2000; Elliott and Vose, 2005). The CRW, which is one headwater basin of the Alabama-Coosa-Tallapoosa (ACT) River Basin, rises high on the Blue Ridge Mountains of Georgia and Tennessee and flows for 145 km before joining the Coosawattee River to form the Oostanaula River (Ivey and Evans, 2000; USACE, 2013). The CRW climate is characterized by warm, humid summers, and mild winters with mean annual temperature of 15 to 20 °C and average annual precipitation of 1300 to 1400 mm (FIS, 2007; FIS, 2010; Baechler et al., 2015). The watershed encompasses four

182 counties: Bradley, Polk, Fannin, Murray, and Whitfield. It also includes the cities of

183 Dalton and Chatsworth, Georgia. There is no major reservoir located in the CRW.

185 Figure 1. Conasauga River Watershed study area location, model extent, electric 186 substations, and inflow locations. Background layer source: © OpenStreetMap 187 contributors 2020. Distributed under a Creative Commons BY-SA License.

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189 **2.2. Streamflow Projections**

190 The ensemble streamflow projections were generated by a hierarchical modeling

- 191 framework, which started with regional climate downscaling followed by hydrologic
- 192 modeling (Gangrade et al., 2020). The climate projections were generated by dynamically
- 193 downscaling of 11 GCMs from the Coupled Model Intercomparison Project Phase-5
- 194 (CMIP5) data archive. Each GCM was used as lateral and lower boundary forcing in a
- 195 regional climate model RegCM4 (Giorgi et al., 2012) at a horizontal grid spacing of 18

km over a domain that covered continental US and parts of Canada and Mexico (Ashfaq

et al., 2016) (Table 1). Each RegCM4 integration covered 40 years in the historic period

(1966–2005; hereafter baseline) and another 40 years in the future period (2011–2050)

- under Representative Concentration Pathway 8.5 (RCP 8.5) emission scenario, with a
- combined 880 years of data across all RegCM4 simulations. To capture the multi-decadal
- climate variability, a minimum of 30-year period has been used in many studies (e.g.,
- Alfieri et al., 2015a, 2015b). Given the additional data available from Gangrade et al.
- (2020), we have adopted a longer 40-year period that may further enlarge the sample
- space to better support the statistical analyses in this study.
-

 Table 1. Summary of the 11 dynamically downscaled climate models (adopted from Ashfaq et al., 2016).

S. No.	Climate model name	Number of flood events per climate model		Time period
1	ACCESS1-0			
$\overline{2}$	BCC-CSM1-1			
3	CCSM4			
$\overline{4}$	CMCC-CM			
5	FGOALS-g2		1966-2005	2011-2050
6	GFDL-ESM2M	40	(Baseline)	(Future/RCP
τ	MIROC5			8.5)
8	MPI-ESM-MR			
9	MRI-CGCM3			
10	NorESM1-M			
11	IPSL-CM5A-LR			

 The RegCM4 simulated daily precipitation and temperature were further statistically bias-corrected to a spatial resolution of 4 km following a quantile mapping technique, described in Ashfaq et al. (2010, 2013). The 4 km Parameter-elevation Regressions on Independent Slopes Model (PRISM; Daly et al., 2008) data was used as the historic observations to support bias-correction. In the baseline period, the simulated quantiles of precipitation and temperature were corrected by mapping them onto the observed quantiles. In the future period, the monthly quantile shifts were calculated based on the simulated baseline and future quantiles which were subsequently added to the bias corrected baseline quantiles to generate bias-corrected monthly future data. Finally, the monthly bias-corrections were distributed to the daily values while preserving in each time period. This approach substantially improves the biases in the modeled daily precipitation and temperature while preserving the simulated climate change signal. Further details of the bias-correction are provided in Ashfaq et al. (2010, 2013) while the information regarding the RegCM4 configuration, evaluation and future climate projections are detailed in Ashfaq et al. (2016). The hydrologic simulations were then conducted using the Distributed Hydrology Soil Vegetation Model (DHSVM; Wigmosta et al., 1994), which is a process-based high- resolution hydrologic model that can capture heterogeneous watershed processes and meteorology at a fine resolution. DHSVM uses spatially distributed parameters, including topography, soil types, soil depths, and vegetation types. The input meteorological data includes precipitation, incoming shortwave and longwave radiation, relative humidity, air temperature and wind speed (Wigmosta et al., 1994; Storck et al., 1998; Wigmosta et al., 231 2002). The DHSVM performance and applicability has been reported in various earlier

 climate and flood related studies (Elsner et al., 2010; Hou et al., 2019; Gangrade et al., 2018, 2019, 2020). A calibrated DHSVM implementation from Gangrade et al. (2018) at 90 m grid spacing was used to produce 3-hourly streamflow projections using the RegCM4 meteorological forcings described in the previous section (Table 1). In addition, a control simulation driven by 1981–2012 Daymet meteorologic forcings (Thornton et al., 1997) was conducted for model evaluation and validation. The hydrologic simulations used in this study are a part of a larger hydroclimate assessment effort for the ACT River Basin, as detailed in Gangrade et al. (2020). Since there is no major reservoir in the CRW, the additional reservoir operation module (Zhao et al., 2016) was not needed in 241 this study. Note that while the ensemble streamflow projections based on dynamical downscaling and high-resolution hydrologic modeling from Gangrade et al. (2020) are suitable to explore extreme hydrologic events in this study, they do not represent the full range of possible future scenarios. Additional factors such as other GCMs, RCP scenarios, downscaling approaches, and hydrologic models and parameterization may also affect future streamflow projections. In other words, although these ensemble streamflow projections can tell us how likely the future streamflow magnitude may change from the baseline level, they are not the absolute prediction into the future. In practice, these modeling choices will likely be study-specific based on the agreement among key stakeholders. It is also noted that the new Coupled Model Intercomparison Project Phase-6 (CMIP6) data have also become available to update the ensemble streamflow projections, but is not pursued in this study.

2.3. Inundation Modeling

 The ensemble inundation modeling was performed using TRITON, which is a computationally enhanced version of Flood2D-GPU (Kalyanapu et al., 2011). TRITON allows parallel computing using multiple graphics processing units (GPUs) through a hybrid Message Passing Interface (MPI) and Compute Unified Device Architecture (CUDA) (Morales-Hernández et al., 2021). TRITON solves the nonlinear hyperbolic shallow water equations using an explicit upwind finite-volume scheme, based on Roe's linearization. The shallow water equations are a simplified version of the Navier-Stokes equations in which the horizontal momentum and continuity equations are integrated in 263 the vertical direction (see Morales-Hernández et al., (2021), for further model details). An evaluation of TRITON performance for the CRW is presented and discussed in Section 3.3.

 TRITON's input data includes digital elevation model (DEM), surface roughness, initial depths, flow hydrographs, and inflow source locations (Kalyanapu et al., 2011; Marshall et al., 2018; Morales-Hernández et al., 2020; Morales-Hernández et al., 2021). In this study, the hydraulic and geometric parameters from the flood model evaluation section (Section 3.3) were used in the flood simulation. The topography was represented 271 using the one-third arc-second $(\sim 10 \text{ m})$ spatial resolution DEM (Archuleta et al., 2017) from the US Geological Survey (USGS). To improve the quality of the base DEM, as discussed in the flood model evaluation section, the main channel elevation was reduced by 0.15 m. Elevated roads and bridges that obstruct the flow of water were also removed. For surface roughness, we used a single channel Manning's n value of 0.05 and a single floodplain Manning's n value of 0.35. The selection of channel and floodplain Manning's n value was based on the Whitfield County Flood Insurance Study (FIS, 2007), which

reported a range of Manning's n values estimated from field observations and

engineering judgment for about 15 streams inside the CRW (section 3.2). Furthermore, a

water depth value of 0.35 m was defined for the main river channel as an initial boundary

condition. The zero velocity gradients were used as the downstream boundary condition.

Further discussion of model parameter sensitivity and model evaluation are provided in

sections 3.2 and 3.3.

 The simulated DHSVM streamflow was used to prepare inflow hydrographs for ensemble inundation modeling. To provide a large sample size for frequency analysis, we selected all annual maximum peak streamflow events (the maximum corresponded to the outlet of CRW [Figure 1]) from the 1981–2012 control simulation (32 years), the 1966– 288 2005 baseline simulation (440 years; 40 years \times 11 models), and the 2011–2050 future 289 simulation (440 years; 40 years \times 11 models), with a total of 912 events. For each annual maximum event, the 3-hour timestep, 10-day hydrographs (which capture the peak CRW outlet discharge) across all DHSVM river segments were summarized. Following a procedure similar to Gangrade et al. (2019), these streamflow hydrographs were converted to TRITON inputs at 300 inflow locations selected along the NHD+ river network in the CRW (Figure 1). The TRITON model extent, shown in Figure 1, has an 295 approximate area of 3945 km² and includes \sim 44 million model grid cells (7976 rows \times 5474 columns in a uniform structured mesh). The ensemble flood simulations resulted in gridded flood depth and velocity output at 30-minute intervals. The simulations generated 298 an approximately 400 Terabyte data and utilized \sim 2000 node hours on the Summit supercomputer, managed by the Oak Ridge Leadership Computing Facility at Oak Ridge National Laboratory.

2.4. Flood Inundation Frequency Analysis

 Given the nature of GCM experiments, each set of climate projections can be considered as a physics-based realization of historic and future climate under specified emission scenarios. Therefore, an ensemble of multimodel simulations can effectively increase the data lengths and sample sizes that are keys to support frequency analysis, especially for low-AEP events. In this study, we conducted flood frequency analyses separately for the 1966–2005 baseline and 2011–2050 future periods so that the difference between the two periods represent the changes in flood risk due to climate change.

 To prepare the flood frequency analysis, we first calculated the maximum flood depth at every grid in each simulation. A minimum threshold of 10 cm flood depth was used to judge whether a cell was wet or dry (Gangrade et al., 2019). Further, for a given grid cell, if the total number of non-zero flood depth values (i.e., of the 440 depth values) was less than 30, the grid cell was also considered dry. This threshold was selected based on the minimum sample size requirement for flood depth frequency analysis suggested by Li et al. (2018). Next, we calculated the maximum flooded area (hereafter used alternatively with "floodplain area") for each simulation. A log-Pearson Type III (LP3) distribution was then used for frequency analysis following the guidelines outlined in Bulletins 17B (USGS, 1982; Burkey, 2009) and 17C (England Jr. et al., 2019). Two types of LP3 fitting were performed. The first type of fitting is event-based that fitted LP3 on the maximum inundation area across all ensemble members. The second type of fitting is grid-based (more computationally intensive) that fitted LP3 on the maximum flood depth at each grid cell across all ensemble members. For both types of fittings, the frequency estimates

 at 4%, 2%, 1%, and 0.5% AEP (corresponding to 25-, 50-, 100-, and 200-year return levels) were derived for further analysis.

 It is also noted that in addition to the annual maximum event approach used in this study, one may also use the peak-over-threshold (POT) approach which can select multiple streamflow events in a very wet year. While such an approach can lead to higher extreme streamflow and inundation estimates, the timing of POT samples is fully governed by the occurrences of wet years. In other words, if the trend of extreme streamflow is significant in the future period, the POT samples will likely occur more in the far future period. We hence select the annual maximum event approach that can sample maximum streamflow events more evenly in time, which can better capture the evolution of extreme events with time under the influence of climate change.

2.5. Vulnerability of Electricity Infrastructure

 The vulnerability of electricity infrastructures to climate change-induced flooding was evaluated using the ensemble flood inundation results. The 44 electric substations (Figure 1) collected from the publicly available Homeland Infrastructure Foundation- Level Data (HIFLD, 2019) were considered to be the electrical components susceptible to flooding. To evaluate the vulnerability of these substations, we overlapped the maximum flood extent from each ensemble member with all substations to identify the substations that might be inundated under the baseline and future climate conditions. Further, as an additional flood hazard indicator, the duration of inundation was estimated at each of the affected substations using the ensemble flood simulation results.

 The vulnerability analysis was performed for two different flood mitigation scenarios. In the first scenario, we assumed that no flood protection measures were provided at all

3. Results and Discussion

3.1. Streamflow Projections

388 where the upper whisker in the future projection is \sim 21% higher than the baseline.

Moreover, the maximum of distribution in the future climate (2036.7 m³/s) is also much

390 higher than that in the baseline climate (1436.7 m³/s), suggesting a higher future flood

391 risk in the CRW. The increasing trend of streamflow extremes in the CRW is consistent

393

394 Figure 2. A comparison of annual maximum peak streamflow at the outlet of Conasauga 395 River Watershed. The sample size includes 32 events from the control (1981–2012), 440 396 from the baseline (1966–2005), and another 440 from the future (2011–2050) periods.

397 **3.2. Sensitivity Analysis for Flood Model**

398 For a better understanding and selection of suitable TRITON parameters, a series of

- 399 sensitivity analyses were conducted using different combinations of Manning's
- 400 roughness, initial water depths, and river bathymetry correction factors (Table 2).

		Initial water		Bathymetry	
Sensitivity		depth values	Surface roughness	correction	
parameter	Scenario	(m)	(Manning's n values)	factor(m)	
	1	0.00			
	$\overline{2}$	0.15			
Initial water	3	0.35	$n_{ch} = 0.050 / n_{fldpl} = 0.350$	-0.15	
depth	$\overline{4}$	0.45			
	5	0.55			
	6	0.65			
	1	0.35	N 1: $n_{ch} = 0.035 / n_{fldpl} = 0.06$		
	$\overline{2}$		N 2: $n_{ch} = 0.040 / n_{fldpl} = 0.25$		
	3		N 3: $n_{ch} = 0.045 / n_{fldpl} = 0.30$		
Surface	4		N 4: $n_{ch} = 0.050 / n_{fldpl} = 0.35$	-0.15	
roughness	5		N 5: $n_{ch} = 0.055 / n_{fldpl} = 0.45$		
			N 6: $n_{ch} = 0.060 / n_{fldpl} = 0.50$		
			N 7: Manning's n map prepared based on the NLCD		
	6		2011		
	$\mathbf{1}$			0.00	
	$\overline{2}$		$n_{ch} = 0.050 / n_{fldpl} = 0.350$	-0.15	
Bathymetry	3			-0.45	
correction	$\overline{4}$	0.35		-0.75	
factor	5			-1.00	
	6			-1.25	

404 Table 2. Summary of hydraulic and geometric parameters used in the sensitivity analysis.

405 Note: n_{ch} represents the Manning's n value in the main channel and n_{fidpl} represents the 406 Manning's n value in the floodplain areas.

407

408 In calibrating a hydraulic model, it is a common practice to adjust the estimated

409 Manning's n value, as it is the most uncertain and variable input hydraulic parameter

413 about 15 streams inside the CRW. It is noted that the depth variation of Manning's

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⁴¹⁰ (Brunner et al., 2016). In this study, we tested six different scenarios (Table 2) based on

⁴¹¹ the Whitfield County Flood Insurance Study (FIS, 2007), which reported a range of

⁴¹² Manning's n values estimated from field observations and engineering judgment for

 roughness is not considered in the current study. Readers are referred to studies such as Saksena et al. (2020) for additional information on the dynamic Manning's roughness for potential hydrology and hydraulics applications.

 To establish an initial condition for TRITON, a sensitivity analysis was performed on selected initial water depth values (ranging from 0 m to 0.65 m, Table 2) to understand their relative effects. To select ranges for the initial water depth, we summarized the observed water depth values that corresponds to low flow values at five USGS gauge stations inside the CRW. The distribution of observed water depth values from the five gauges showed average values ranging from 0.25 to 0.65m. Existing DEM products, even those with high spatial resolution (i.e., 10 m or finer), do not represent the elevation of river bathymetry accurately (Bhuyian et al., 2014). For the CRW, Bhuyian et al. (2019) found that the one-third arc-second spatial resolution base DEM over-predicted the inundation extent because of the bathymetric error, which reduced the channel conveyance. In this study, we tested various bathymetry correction factors (ranging from −1.25 m to 0 m, Table 2) by reducing the DEM elevation along the main channel to understand the sensitivity of TRITON. The sensitivity analysis was performed using the February 13–22, 1990 flood event that has the maximum discharge among all 32 control simulation events. To evaluate relative sensitivity of TRITON, we extracted simulated flood depths at four arbitrary

selected locations (Figure 1) and estimated the relative inundation area differences. The

- impacts of initial water depths were significant only at the beginning where low flow
- values dominated the hydrographs (Figure 3a, 3d, 3g, and 3j). Larger initial water depth
- values generated higher flood inundation depths for both sample locations. Although the

458 Figure 3. Simulated flood inundation depths extracted at location 1 (a, b, c) and at

- 459 location 2 (d, e, f). Note: Location 1 and 2 are shown in Figure 1. A description of the
- 460 Manning's n values (N_1 to N_6) can be found in Table 2.
- 461

463 Figure 4. Change in simulated maximum flood inundation extents for (a) initial water

464 depth, (b) Manning's n value, and (c) bathymetry correction factor.

466 Table 3. Change in peak water depth and time to peak.

	Sensitivity parameter	$%$ change in peak water depth	$\frac{0}{0}$ change in time to peak	Scenarios used to calculate the $%$ change values	
Initial water depth(m)	0.00	-0.77	0.59		
	0.15	-0.41	0.25		
	0.35	0.00	0.00	0.35 m water	
	0.45	0.16	-0.17	depth	
	0.55	0.29	-0.33		
	0.65	0.42	-0.43		
	N 1: nch = $0.035 / n$ fldpl = 0.06	-24.80	-24.53	N ₄	

468

469 **3.3. Flood Model Evaluation**

470 Because of a lack of observed streamflow data in the CRW, the performance of

471 TRITON was evaluated by comparing the simulated 1% AEP flood map with the

472 published 1% AEP flood map from FEMA (FEMA, 2019). The purpose of this

473 assessment is to understand whether TRITON can provide comparable results to the

474 widely accepted FEMA flood estimates. While the FEMA AEP flood maps do not

475 necessarily represent complete ground truth, such a comparison is the best option given

476 the data challenge. Similar approach has been utilized by several previous studies in the

477 evaluation of large-scale flood inundation evaluation (Alfieri et al., 2014; Wing et al.,

478 2017; Zheng et al., 2018; Gangrade et al., 2019).

479 To derive the 1% AEP flood map using TRITON, the ensemble-based approach used

- 480 by Gangrade et al. (2019) was followed. The assessment started by preparing the
- 481 streamflow hydrographs used to construct the 1% AEP flood map. The 1981–2012
- 482 annual maximum peak events and their corresponding 10-day streamflow hydrographs
- 483 were extracted from the control simulation. These streamflow hydrographs were then

 proportionally rescaled to match the 1% AEP peak discharge estimated at the watershed outlet (Figure 1), following the frequency analysis procedures outlined in Bulletin 17C (England Jr. et al., 2019). The streamflow hydrographs from control simulations were used for the peak discharge frequency analysis.

 The results reported in the sensitivity analysis were also used to help identify suitable TRITON parameters. In addition to streamflow hydrographs, TRITON requires DEM, initial water depth, and Manning's n value. To minimize the effect of bathymetric error in the base DEM (Bhuyian et al., 2014; Bhuyian et al., 2019), we reduced the elevation along the main channel by 0.15 m (i.e., a bathymetry correction factor). Although this simple approach is unlikely to adjust the channel bathymetry to its true values, it can improve the channel conveyance volume that is lost in the base DEM. To further improve the quality of the base DEM, we removed elevated roads and bridges that could obstruct 496 the flow of water in some of the streams and rivers. An initial water depth of 0.35 m was also selected in this study. For the surface roughness, a couple of flood simulations were performed by adjusting the Manning's n values for the main channel and floodplain to achieve satisfactory agreement between the simulated and the reference FEMA flood map. We eventually selected a single channel Manning's n value of 0.05 and a single floodplain Manning's n value of 0.35.

 Three evaluation metrics, including fit, omission, and commission (Kalyanapu et al., 2011) were used to quantify the differences between the modeled and reference flood map. The measure of fit determines the degree of relationship, while the omission and

commission statistically compare the simulated and reference FEMA flood maps

(Kalyanapu et al., 2011). The comparison between the simulated maximum inundation

 • Hydraulic and civil structures such as bridges, culverts, and weirs have not been included since TRITON does not provide for the modeling of such components. This can affect the accuracy of the flood depths, velocities, and flood extents around these structures.

535 Figure 5. Comparison of simulated maximum flood extent with the corresponding FEMA 536 1% AEP flood map for the Conasauga River Watershed. Background layer source: © 537 OpenStreetMap contributors 2020. Distributed under a Creative Commons BY-SA 538 License.

3.4. Change in Flood Regime

 In this section, the projected changes in flood regime were calculated using the flooded area from the baseline and future simulations for each ensemble member. Figure 6 illustrates the box and whisker plots for each of the 11 dynamically downscaled GCMs. Given the small sample size in each distribution (40 compared to 440 in Figure 2), the whiskers extend the largest/smallest data points with no outlier detection. For 9 out of the 11 downscaled climate models, the mean of 40 flood inundation showed an increase in 547 the floodplain area in the future period. In terms of the $75th$ percentile and maximum, 10 out of 11 models showed increase in the floodplain area. The distribution of maximum future inundation of 4 models are found to be statistically different than their baseline distributions at a 5% significance level. Note that the spread in the future period is generally larger than the spread in the baseline period, suggesting an increase in the hydrologic variability in the future period. Also, while the results from different models were generally consistent, some inter-model differences were noted, which highlight the need of a multi-model framework to capture the uncertainty in the future climate projections. The multi-model approach provides a range of possible flood inundation extents, which is critical for floodplain management decision making. The potential increase in the floodplain area also demonstrates the importance of incorporating climate change projections in the floodplain management regulations.

561 Figure 6. A summary of simulated maximum flood inundation extents obtained from the 562 baseline and future scenarios. The mean flooded area values are shown by \times symbols. 563 Note: The suffix " BL" represents baseline scenarios and the suffix " F" represents 564 future scenarios.

565 **3.5. Flood Inundation Frequency Curve and Map**

566 Figure 7 shows the relationship between the 440 flooded area values (across 11 567 downscaled GCMs) and their corresponding peak streamflow at the watershed outlet, for 568 both the baseline and future periods. Overall, both results (Figure 7a and 7b) exhibit 569 strong nonlinear relationships with high R^2 values. The results suggest that peak 570 streamflow is a significant variable controlling the total flooded area, but the variability 571 of flooded area could not be explained by peak streamflow alone. For instance, in the 572 baseline period, the peak streamflow values of $423.63 \text{ m}^3/\text{sec}$ and $424.25 \text{ m}^3/\text{sec}$ 573 correspond to 106.85 km² and 94.89 km² floodplain areas, respectively (Figure 7a).

Similarly, in the future period, the peak streamflow values of $433.27 \text{ m}^3/\text{sec}$ and $434.21 \text{ m}^3/\text{sec}$

575 m^3/sec correspond to 110.76 km² and 99.26 km² floodplain areas (Figure 7b).

576

577

578 Figure 7. Relationship between floodplain areas and peak streamflow values at the 579 watershed outlet for (a) baseline and (b) future scenarios. The blue lines indicate the 580 logarithmic best-fit.

581

582 Figure 8 shows the event-based flood inundation frequency curves and their

583 corresponding 95% confidence intervals in both the baseline and future periods, for

584 which each frequency curve was derived using an ensemble of 440 years of data. The use

- 585 of long-term data helped reduce the uncertainty and add more confidence in the
- 586 evaluation of the lower AEP estimates. This type of assessment cannot be achieved using
- 587 only historic streamflow observations, for which the limited records present a major

588 challenge for lower AEP estimates. For most of the exceedance probabilities, the flooded 589 areas projected an increase in the inundation areas in the future period when compared to 590 the baseline period. The 1% AEP flood shows an \sim 16 km² increase in the inundation area 591 (137.75 km² in the baseline period versus 153.43 km² in the future period) (Figure 8). 592 Similar results can be observed in inundation frequency curves developed for other AEPs 593 (not shown).

596 Figure 8. A summary of flood inundation frequency curves for the baseline and future

597 periods.

598

599 The grid-based flood depth frequency results at 0.5%, 1%, 2%, and 4% AEP levels

600 are illustrated in Figure 9. In each panel, the projected change (i.e., future minus baseline)

601 at each grid is shown. The corresponding histogram across the entire study area is

624

Figure 9. Projected change (future minus baseline period) in flood depth frequency maps 626 for (a) 0.5% , (b) 1% , (c) 2% , and (d) 4% AEPs. ArcGIS background layer sources: ESRI, 627 HERE, Garmin, Intermap, GEBCO, USGS, Food and Agriculture Organization, National 628 Park Service, Natural Resources Canada, GeoBase, IGN, Kadaster NL, Ordnance Survey,

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632 Figure 10. Histograms for the future changes (2011–2050) in the flood depth relative to 633 the baseline period (1966–2005) for (a) 0.5%, (b) 1%, (c) 2%, and (d) 4% AEP flood 634 depth frequency maps.

631

636 **3.6. Vulnerability of Electricity Infrastructure**

637 Figure 11a shows the box and whisker plot for the distributions of maximum flood 638 depth values extracted at the substation location across all the baseline and future 639 simulations, assuming that no flood protection measures were adopted (mitigation 640 scenario 1). Of the 44 substations, 5 substations could have been affected during the

 baseline period, while 7 substations are projected to be affected during the future period (Figure 11a). Increases are indicated not only for the number of affected substations but also for flood inundation depth values in the projected future climate. Overall, the mean 644 of the ensemble flood depth values shows an ~ 0.6 m increase in the future period (Figure 11a). Such an increase in the flood depth magnitude has the potential to exacerbate flood related damage to electrical components, which can inflate the cost of hardening measures such as elevating substations and constructing flood-protective barriers. As 648 expected, when the substations were flood-proofed up to BFE plus \sim 0.91 m (mitigation scenario 2), the number of affected substations is reduced to three and four during the baseline and future periods, respectively (Figure 11b). The locations of substations that were impacted in the baseline period, in both mitigation scenarios, are consistent with the Whitfield County Emergency Management Agency report map (EMA, 2016) that shows the locations of critical facilities vulnerable to the historical flooding. The maximum inundation durations at the affected substations are summarized in Figure 12a (mitigation scenario 1) and Figure 12b (mitigation scenario 2). For both mitigation scenarios and all affected substations, ensemble mean inundation durations exhibited an increase under future climate condition. This increase in inundation duration probably would render substations out of service for longer periods of time by making it difficult to repair damaged substation equipment and restore grid services to customers. The potential hazards and consequences may also extend to critical facilities that are supplied by the affected substations. Similar to results presented in the previous sections, these results demonstrate the need for improving existing flood mitigation measures by incorporating the trends and uncertainties that originate from climate change. The

664 vulnerability analysis approach presented in this study will better equip floodplain 665 managers to identify the most vulnerable substations and to recommend suitable 666 adaptation measures, while allocating resources efficiently.

667

668 Figure 11. A summary of maximum flood depths for substations that were affected in the 669 baseline and/or future periods (a) without flood protection measures and (b) with flood 670 protection measures. Note: Affected substations with their corresponding IDs are shown 671 in Figure 1. There are no negative values in the vertical axis, as the minimum flood depth 672 value is zero.

674 Figure 12. A summary of maximum inundation durations for substations that were 675 affected in the baseline and/or future periods (a) without flood protection measures and 676 (b) with flood protection measures. Note: Affected substations with their corresponding 677 IDs are shown in Figure 1. There are no negative values in the vertical axis, as the 678 minimum inundation duration is zero.

4. Summary and Conclusion

 This paper applies an integrated modeling framework to evaluate climate change impacts on flood regime, floodplain protection standards, and electricity infrastructures across the Conasauga River Watershed in the southeastern United States. Building on the ensemble concept used by Gangrade et al. (2019) for PMF-scale inundation modeling 685 (AEP $\leq 10^{-4}$ %), we focused on more frequent extreme streamflow events (i.e., AEP around 1–0.2%) based on 11 downscaled CMIP5 GCMs in this study. Our evaluation is based on a climate-hydrologic-hydraulic modeling framework, which makes use of an eleven member ensemble of downscaled climate simulations. Nine out of eleven ensemble members project an increase in the flood inundation area in the future period. 690 Similarly, at the 1% AEP level, the flood inundation frequency curves indicate \sim 16 km² increase in floodplain area under the future climate. The comparison between the flood depth frequency maps from the baseline and future simulations indicated that, on average, \sim 80% of grid cells exhibit a 0.2 to 1.5 m increase in the flood depth values. Without the flood protection measures, of the 44 electric substations inside the watershed, 5 and 7 substations could be affected during the baseline and future periods, respectively. Even after flood-proofing, three and four substations could still be affected in the baseline and future periods. The increases in flood depth magnitude and inundation duration at the affected substations in the future period will most likely damage more electrical components, inflate the cost of hardening measures and render substations out of service for a longer period of time.

 Although future climate conditions are uncertain, our results demonstrate the needs for (1) consideration of climate change in the floodplain management regulations; (2)

 improvements in the conventional deterministic flood delineation approach through the inclusion of probabilistic or ensemble-based methods, and (3) improvements in the existing flood protection measures for critical electricity infrastructures through enhanced hydro-meteorologic modeling capacities. In particular, rapidly advanced high- performance computing capabilities have enabled the incorporation of computationally intensive 2D hydraulics modeling in the ensemble-based hydroclimate impact assessment. While the computational cost demonstrated in this study may still seem steep, in the current speed of technology advancement, we will soon be able to implement such a computationally intensive assessment for wide applications. The approach presented in this study can be used by floodplain managers to develop flood depth frequency maps and to identify the most vulnerable electric substations.

Author Contribution

 Dullo, *Kalyanapu*, *Kao*, *Gangrade* and *Morales-Hernández* developed the concept for the paper, designed the methodology and *Dullo* performed all the simulations required for the study with feedback from all the co-authors. *Sharif*, *Ghafoor* and *Morales-Hernández* focused on programming, software development and testing of existing code components. *Ashfaq* and *Morales-Hernández* provided access to supercomputing machine hours on ORNL's SUMMIT and RHEA computers. The manuscript was edited by *Dullo* with inputs 721 from the co-authors.

Competing Interests

The authors declare that they have no conflict of interest.

Acknowledgments

Data Availability

- The data that support the findings of this study are openly available in figshare
- repository at the following URL:
- [https://figshare.com/projects/Conasauga_Flood_Modeling_Project/80840.](https://figshare.com/projects/Conasauga_Flood_Modeling_Project/80840)
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- [Oak%20Ridge%20National%20Laboratory%20EIS%20Response.pdf](https://www.energy.gov/sites/prod/files/2019/09/f67/Oak%20Ridge%20National%20Laboratory%20EIS%20Response.pdf) (last access: 17
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