



# Controls on the formation of potential landslide dams and dammed lakes in the Austrian Alps

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**Abstract.** Controls on landsliding have long been studied, but the potential for landslide-induced dam and lake formation has received less attention. Here, we model possible landslides and the formation of landslide dams and lakes in the Austrian Alps. We combine a slope criterion with a probabilistic approach to determine landslide release areas and volumes. We then simulate the progression and deposition of the landslides with a fluid dynamic model. We characterize the resulting landslide deposits with commonly used metrics, investigate their relation to glacial land-forming and tectonic units, and discuss the roles of the drainage system and valley shape. Modeled landslide dams and lakes cover a wide volume range and lake volume increases linearly with landslide volume in case of efficient damming, i.e. small landslides damming large lakes, which is in line with real-world inventories. The distribution and size of potential landslide dams and lakes depends strongly on local topographic relief. For a given landslide volume, lake size depends on drainage area and valley geometry. Largest lakes form in glacial troughs, while most efficient damming occurs where landslides block a gorge downstream of a wide valley, a situation preferentially encountered at the transition between two different tectonic units. Our results also contain inefficient damming events, a damming type that exhibits different scaling of landslide and lake metrics than efficient damming, and is hardly reported in inventories. We hypothesize that such events also occur in the real world and need documentation to better understand the effects of landsliding on the drainage system.

## 1 Introduction

Landslides are a major threat to human lives and infrastructure in mountain ranges worldwide. Beyond the direct hazard due to the moving mass, landslides can initiate natural hazard cascades by damming rivers and initiating catastrophic flash floods and debris flows (e.g. Costa, 1985; Costa and Schuster, 1988; Cui et al., 2009). Through such long-range effects, even unwitnessed landslides occurring in remote areas matter.

Many landslide dams tend to fail shortly after formation (Tacconi Stefanelli et al., 2015), while resistant dams get filled by sediments, complicating their documentation and the assessment of their impoundment potential. Thus, most landslide dam and lake inventories only contain relatively large dams. Several geomorphometric indices have been developed to quantify the



probability of landslides obstructing the valley and the stability of the resulting dams (Swanson et al., 1986; Canuti et al., 1998; Ermini and Casagli, 2002; Korup, 2004; Tacconi Stefanelli et al., 2016). However, studies on the formation potential landslide dams and lakes and its dependence on factors such as drainage area and geological and topographical preconditioning are scarce.

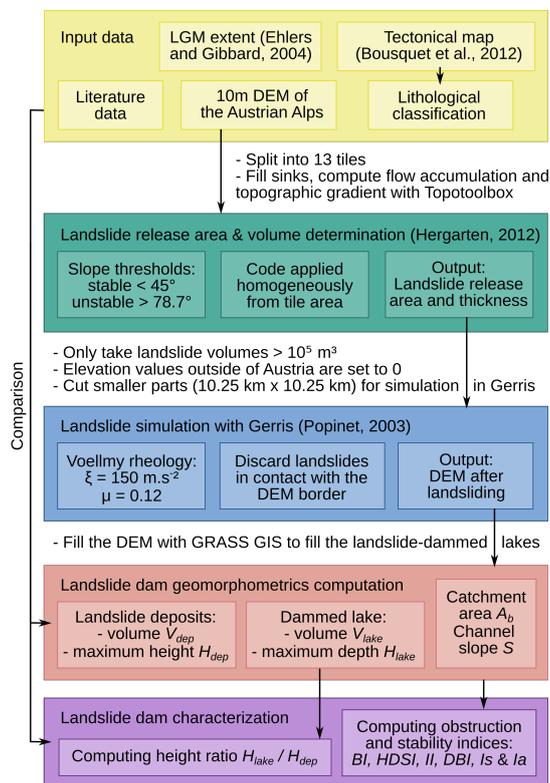
Drainage area linked to the flow length of a river (Hack, 1957), with the relation among the two variables depending on the pattern of the drainage network (e.g. Ribolini and Spagnolo, 2008). It is often used as a proxy for discharge (e.g. Whipple and Tucker, 1999) and has also been considered as a control in obstruction and stability indices Ermini and Casagli (e.g. 2002); Korup (e.g. 2004); Tacconi Stefanelli et al. (e.g. 2016); Swanson et al. (e.g. 1986).

Mountain topography is conditioned by surface processes and the resistance of rocks against erosion. Both variables influence landslide occurrence (Hermanns and Strecker, 1999; Korup, 2008; Peruccacci et al., 2012), and likely exert control on dam and lake formation. Fluvial and glacial processes shape valleys and their flanks in typical ways. While fluvial valleys typically have a V-shaped cross-section with a narrow floor and straight flanks, glaciers scour U-shaped valleys with flanks steepening uphill and wide and flat valley floors (e.g. Davis, 1906; Harbor and Wheeler, 1992; Prasicek et al., 2015). Sediment filling, however, may cause widening of both glacial and fluvial valley floors (Schrott et al., 2003), and hanging sections of glacial valleys may exhibit so-called inner gorges — very narrow fluvially incised canyons (Montgomery and Korup, 2011).

Rock strength constrains the steepness of hillslopes (Selby, 1982; Montgomery, 2001). Thus, lithology has an impact on the valley's morphology, influencing both the valley floor and the valley flanks (Robl et al., 2015; Goudie, 2016; Baumann et al., 2018). Landslides can effectively dam rivers in narrow valleys, since landslide volumes required to impound the river flow are small. However, only small lakes can form in narrow and steep valleys. Further, the steepness and relief of the valley flanks control the spreading of the landslide mass as well as its runout. Thus, both surface processes and lithology may influence the formation of landslide dams and lakes.

From these considerations, the question arises how potential landslide-dammed lakes are distributed across a mountain range, and how dam and lake characteristics are related and vary regionally as a function of drainage area, topography and rock type. While landslides and their occurrence have been extensively studied, supported by monitoring techniques ranging from remote sensing to geophysics (e.g. Nichol and Wong, 2005; Hölbling et al., 2012; Stähli et al., 2015), and modeling of landslide distribution (Hergarten, 2012) and susceptibility (Reichenbach et al., 2018), potential damming of rivers by landslides and resulting lakes have received less attention Korup (2005).

In this study, we use a modeling approach to investigate the influence of topography and glacial imprint on the potential occurrence of landslide dams and landslide-dammed lakes in Austria, and on landslide and lake characteristics. We further calculate common landslide dam obstruction and stability indices, develop a simple approach to estimate the volume of potential landslide-dammed lakes and compare our results to real-world inventories.



**Figure 1.** Workflow of modeled landslide dam creation across the Austrian Alps, and their geomorphometric analysis.

## 2 Materials and Methods

55 We use a novel combination of different numerical algorithms to model the formation of landslide dams and lakes. Our modeling workflow consists of three main steps: determination of landslide release areas and volumes, simulation of landslides, computation of geomorphometric parameters of landslide dams. Finally, we use the retrieved information to characterize and discuss dam and lake formation (Fig. 1).

### 2.1 Topographical, glacial and geological datasets

60 We use a freely available LiDAR-based digital elevation model (DEM) of the Austrian Alps (Open Data Österreich, starting 2015) with a spatial resolution of 10 m. The geophysical relief is based on the ASTER GDEM V3 (NASA/METI/AIST/Japan Spacesystems, and U.S./Japan ASTER Science Team, 2019). We consider the glacially overprinted terrains to be found within the mapped extent of the last glacial maximum (LGM) originating from Ehlers and Gibbard (2004). We display the mapped tectonic units of the Alps (Bousquet et al., 2012, Fig. 4) over the study area. However, as the geological and structural variability  
 65 remains high within the tectonic units, we do not venture to classify them according to resistance to erosion.



## 2.2 Geophysical relief

We computed the geophysical relief of the study region with a circular sliding window with a radius of 2.5 km. The topographic envelope is obtained by taking the maximum elevation within the sliding window. A Gaussian filter is applied to smooth the resulting dataset. Geophysical relief is then computed by subtracting the actual topography from the topographic envelope.

## 70 2.3 Determination of landslide release areas and volumes

Determining locations prone to landsliding and the respective potential volumes is challenging, in particular for landslides in solid rock. The approach proposed by Hergarten (2012) still seems to be the only model in this context which is able to predict the observed power-law distribution of rockfall and rockslide volumes. The model is a combination of a geomorphometric analysis and a probabilistic approach. First, the algorithm stochastically chooses a seed pixel, then classifies the pixel slope to determine the stability of the local rock mass. Slope classification is based on lower and upper slope thresholds defining absolutely stable and absolutely unstable conditions, respectively. A linear increase in the probability of failure is assumed between these two limits. In case of failure, material is removed from the destabilized pixel until its slope reaches the minimum slope threshold. This affects the slope of the adjacent pixels which are subsequently evaluated. In this way, the landslide area spreads until stable slope conditions at the seeding pixel and its neighborhood are achieved. So the initiation of landslides depends on the local slope, while the final size also depends on the size of sufficiently steep contiguous areas, which is related to the local relief.

For each seed, the code finally outputs the area of the contiguous unstable pixels and the thickness of the substrate layer needed to be removed from each pixel to stabilize the area. In the next step, this data is used as release area and volume to model the landslides.

85 Hergarten (2012) found that the the exponent of the landslide size distribution shows only a weak dependence on the threshold slopes  $s_{\min}$  and  $s_{\max}$ , while the total number of events triggered and the maximum event size are strongly affected by these parameters. It can be expected that  $s_{\min}$  and  $s_{\max}$  depend on lithology. However, the dependency has not been investigated systematically so far. Hence, we use the same uniform slope threshold values,  $s_{\min} = 1$  ( $45^\circ$ ) and  $s_{\max} = 5$  ( $79^\circ$ ), applied by Hergarten (2012) to reproduce the distribution of landslide volumes in the Alps. Implications on landslide metrics and their spatial distribution are explained in detail in the Discussion section.

To avoid memory issues in the simulations, we split the DEM into 14 smaller tiles for computational reasons and introduce buffer frames to account for the run-out of the landslides. We fill the sinks of the DEM and compute the flow accumulation and topographic gradient using Topotoolbox (Schwanghart and Kuhn, 2010; Schwanghart and Scherler, 2014).

## 2.4 Landslide simulation

95 Once the landslide release volumes have been determined, we simulate the runout of the landslides. As the model for the volume involves no time scale, it is assumed that the entire volume is released instantaneously.



We use a depth-averaged granular flow similar to shallow-water equations as introduced by Savage and Hutter (1989) in combination with the Voellmy rheology. In comparison with frictional and Bingham rheologies, the Voellmy rheology most accurately reproduces the debris deposition when simulating landslides with depth-averaged flow solvers (Hungr and Evans, 1996). It only makes use of two parameters (Voellmy, 1955): a velocity squared drag coefficient  $\xi$  (consisting of density and drag coefficient) and a dry friction coefficient  $\mu$  (the ratio between the needed sliding force and the force perpendicular to the rupture surface). Drag increases with velocity. Hungr and Evans (1996) found values of  $\xi$  ranging from 100 to 1000  $\text{ms}^{-2}$ , and values of  $\mu$  from 0.03 to 0.24 by back-analyzing 23 rock-avalanches. An analysis using Gerris with the Voellmy rheology on the 1987 Val Pola rock avalanche in Italy found that  $\xi = 150 \text{ ms}^{-2}$  and  $\mu = 0.12$  are the most appropriate coefficients (Sanne, 2015).

Testing the influence of the two parameters we found that they show no consistent influence on the modeled lake volume results (Supplementary Fig. A1). While the velocity squared drag coefficient  $\xi$  has no impact on landslide deposit height, an increase in dry friction  $\mu$  results - as expected - in notably higher values (Supplementary Fig. A1b). However,  $\mu$  does not systematically change lake depths and volumes (Supplementary Fig. A1a). This shows that, while maximum deposit heights increase, depths and volumes of dammed lakes and hence average geometries of landslides damming valleys are not affected. Thus, we chose to keep the Voellmy coefficients determined by Sanne (2015). We do not take into account the entrainment of sediments and the loosening of bedrocks, that could increase the volume of the detached mass.

Several methods and various software tools are currently available to implement depth-averaged flows and model flow slides, debris flows and avalanches and reconstruct landslide dams (Hussin et al., 2012; Schraml et al., 2015; Delaney and Evans, 2015; Schraml et al., 2015; Lin and Lin, 2015). We use Gerris because of its computational performance, flexibility, widespread use in fluid-flow mechanics, and its open-source policy (Popinet, 2003). Gerris can be employed to simulate avalanches and debris flows even in steep terrain due to a series of correction terms, which allow to bypass the almost-horizontal fluid table requirement by solving the shallow water equations in Cartesian coordinates (Hergarten and Robl, 2015). Correction terms for the acceleration of the fluid layer and the applied flow resistance law (Voellmy rheology) were tested and validated against Rapid Mass Movement Simulation (RAMMS), the leading software and industry standard for rapid mass movement simulation (e.g. Christen et al., 2010).

To reduce computation time, we discard landslides with volumes  $< 10^5 \text{ m}^3$ . Individual landslides are modeled on  $10.25 \text{ km} \times 10.25 \text{ km}$  DEMs. We assume sea level altitude (i.e. 0 m elevation) outside of Austria. This affects the flow simulation and we thus discard manually the 77 landslides and lakes in contact with the DEM border. As such, there is an underestimated landslide dam probability within 8 km of the DEM border. We model each landslide for a run-out time of six minutes. Due to high flow velocities, this time span is sufficiently long for the rock mass to deposit (i.e. for the landslide momentum to decrease to a small fraction of its maximum values).

After completing the simulation, the landslide mass is added to the DEM. The DEM is then filled using GRASS GIS and the maximum landslide-dammed lake volume is computed by subtracting the original DEM from the filled DEM including the landslide mass.



**Table 1.** Geomorphometric parameters mentioned in the article and their notation.

<sup>o</sup> The extent of the sediments involved in the dam is hardly definable, thus the dam volume is not computed.

$V_{landslide}$	Landslide volume
$V_{dam}^o$	Dam volume
$V_{dep}$	Volume of landslide deposit
$V_{lake}$	Volume of landslide-dammed lake
$H_{dam}$	Dam height (cf. Fig. 2)
$H_{dep}$	Maximum landslide deposit height, "dam height proxy" (cf. Fig. 2)
$H_{lake}$	Maximum dammed lake depth, "dam height proxy" (cf. Fig. 2)
$A_b$	Catchment area upstream of dam blockage
$S$	Channel slope at the dam pixel of highest flow accumulation
$L_{lake}$	Lake length (along the river)
$W_{lake}$	Lake width (cross-sectional)
$V_{p\ lake}$	Predicted volume of landslide-dammed lake using easily calculable geomorphic parameters.

## 2.5 Geomorphometric parameters, damming percentage and indices of landslide dams

We compare the geomorphometric parameters (Table 1) of our modeled landslide dams to those of landslide dams from existing inventories (Table 2). Except for Fan et al. (2012) and Tacconi Stefanelli et al. (2015), these studies focus on river-damming landslides only. Various indices have been developed to predict the ability of a landslide to dam a valley and the longevity  
 135 of the dam. Those indices rely on simple parameters of the landslide, dam, lake and valley: the landslide dam volume  $V_{dam}$  ( $m^3$ ) and height  $H_{dam}$  (m), the landslide volume  $V_{landslide}$  ( $m^3$ ), the lake volume  $V_{lake}$  ( $m^3$ ), the upstream catchment area  $A_b$  ( $km^2$ ) and the local slope of the fluvial channel at the point of damming  $S$  (m/m). They allow to estimate the potential landslide damming risk.

To characterize our modeled dams, we use the landslide deposit volume  $V_{dep}$  and the upstream catchment area of the dam-  
 140 covered pixel with the highest flow accumulation ( $A_b$ ). The slope  $S$  is taken as the D8 slope (steepest outwards slope for a grid cell to one of its eight neighbors) at the same pixel location. Two metrics can be considered as proxies for  $H_{dam}$ : the maximum height of the landslide deposit  $H_{dep}$  (m) and the maximum depth of the dammed lake  $H_{lake}$  (m) (Fig. 2). Taking  $H_{lake}$  as proxy for  $H_{dam}$  is possible because we use a filled, and hence depression-free DEM, as a basis for landslide modeling. The maximum depth of the lake must thus be located close to the dam and represents the vertical distance from the lowest point in  
 145 the dam cross-section (Fig. 2b) to the lowest point in the valley longitudinal view (Fig. 2c). In contrast,  $H_{dep}$  is located in the deposit but not necessarily close to the dam (Fig. 2b). We assume the height metrics to follow the relation

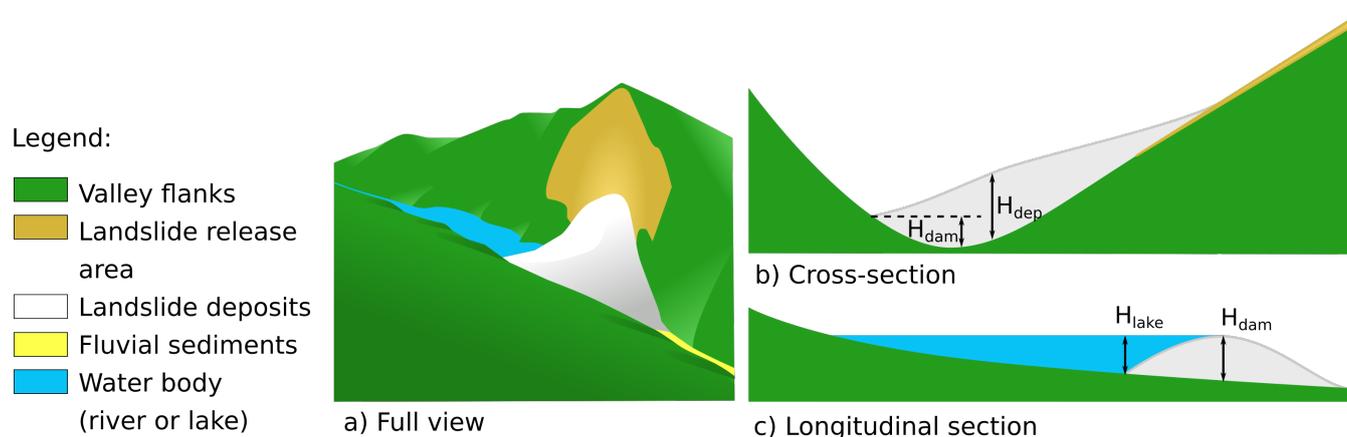
$$H_{lake} \leq H_{dam} \leq H_{dep} \quad (1)$$



**Table 2.** Landslide dam and lake volume ranges from around the world compared to our generated landslide-dammed lakes. The Chinese landslide dams all originate from the Wenchuan earthquake. Numbers are approximates.

<sup>[a]</sup> Modeled landslide dams and lakes. <sup>[b]</sup> The modeled landslides with volume below  $10^5 \text{ m}^3$  were not computed. <sup>[c]</sup> The  $H_{dam}$  proxies are written  $H_{lake} | H_{dep}$ . <sup>[d]</sup> Except the Tangjiashan landslide dam outlier which impounded  $3 \times 10^8 \text{ m}^3$  of water.

Area & Reference	Min $V_{landslide}$ or $V_{dam}$ ( $\text{m}^3$ )	Max $V_{landslide}$ or $V_{dam}$ ( $\text{m}^3$ )	Min $V_{lake}$ ( $\text{m}^3$ )	Max $V_{lake}$ ( $\text{m}^3$ )	Min $H_{dam}$ (m)	Max $H_{dam}$ (m)	Damming landslide number
<b>Alps, Austria <sup>[a]</sup> (This paper)</b>	<b><math>7.7 \times 10^4</math> <sup>[b]</sup></b>	<b><math>9.9 \times 10^7</math></b>	<b>0.0</b>	<b><math>7.9 \times 10^7</math></b>	<b>0   3 <sup>[c]</sup></b>	<b>75   155 <sup>[c]</sup></b>	1057
Alps, Austria (Dufresne et al., 2018)	$1.5 \times 10^7$	$2.1 \times 10^9$	0.0	$1.1 \times 10^9$	40	450	5
Apennines, Italy (Tacconi Stefanelli et al., 2016)	$3.0 \times 10^4$	$1.1 \times 10^8$	-	-	-	> 100	300
Taiwan (Chen et al., 2014)	$6.0 \times 10^2$	$5.0 \times 10^8$	-	-	3	300	64
Wenchuan, China (Fan et al., 2012)	-	$7.5 \times 10^8$	$4.2 \times 10^3$	$2.1 \times 10^7$ <sup>[d]</sup>	1	160	828
New Zealand (Korup, 2004)	$4.0 \times 10^4$	$2.7 \times 10^{10}$	$1.0 \times 10^4$	$5.0 \times 10^9$	5	800	232
Japan (Korup, 2004)	$3.0 \times 10^3$	$1.2 \times 10^9$	$2.0 \times 10^3$	$6.0 \times 10^8$	-	-	
USA (Korup, 2004)	$1.9 \times 10^3$	$1.5 \times 10^9$	$1.0 \times 10^3$	$5.5 \times 10^8$	-	-	
World-wide (Korup, 2004)	$4.3 \times 10^3$	$1.3 \times 10^9$	$2.0 \times 10^3$	$4.0 \times 10^9$	-	-	184
World-wide (Costa and Schuster, 1988)	$7.0 \times 10^4$	$2.8 \times 10^9$	$1.1 \times 10^5$	$6.8 \times 10^8$	3	550	225



**Figure 2.** Definition of the heights  $H_{lake}$ ,  $H_{dam}$  and  $H_{dep}$  in cross and longitudinal sections of a landslide dam.  $H_{lake}$  and  $H_{dep}$  can be easily computed while  $H_{dam}$  cannot.  $H_{lake}$ : maximum lake depth,  $H_{dam}$ : landslide dam height,  $H_{dep}$ : maximum landslide deposit height.



Landslide dams are commonly classified in a binary and simple fashion between complete and partial blockages based on their planform geometry (Hermanns, 2013). Complete dam blockages are landslide deposits that fully obstructed the river flow and formed a lake. Partial dam blockages are landslide deposits that encountered with the river bed and may have triggered an avulsion, but did not completely impound the river. Complete blockages are much more dangerous than partial blockages and tend to trap sediments while partial dams increase the river sediment load. Following Croissant et al. (2019), we assume that all of our modeled landslides, given their high volume, the initiating slope threshold and the self-similar structure of river networks, reach a river bed, and thus qualify as either complete or partial blockages (Lucas et al., 2014). However, to avoid differentiating binarily between complete and partial dams through a visual inspection of thousands of modeled landslide dams, we compare  $H_{dep}$  to  $H_{lake}$  by using the  $\frac{H_{lake}}{H_{dep}}$  ratio to create a continuous damming scale. If  $\frac{H_{lake}}{H_{dep}}$  is small, then  $H_{dep} \gg H_{lake}$ , the landslide likely did not fully obstruct the valley, while if  $\frac{H_{lake}}{H_{dep}}$  is closer to 1,  $H_{dep} \approx H_{lake}$ , the landslide probably obstructed the valley.

In our study, we compare 6 obstruction and stability indices. Obstruction criteria have been developed to differentiate landslides leading to complete blockages from those leading to partial ones, while stability criteria aim to assess dam stability from simple geomorphometric parameters. Some indices can serve as both obstruction and stability criteria. The two indices that aim to classify the landslides according to their potential obstruction power and stability are the Blockage Index  $BI$  and the Hydromorphological Dam Stability Index  $HDSI$ . The  $BI$

$$BI = \log\left(\frac{V_{dam}}{A_b}\right) \quad (2)$$

was developed by Swanson et al. (1986), then modified by Canuti et al. (1998) who replaced the landslide volume by landslide dam volume. Tacconi Stefanelli et al. (2016) introduced more recently the  $HDSI$

$$HDSI = \log\left(\frac{V_{landslide}}{A_b S}\right) \quad (3)$$

which differs from the  $BI$  by taking into account the channel slope. Both indices can be computed prior to landsliding (using the original version of the  $BI$ ).

Conversely, all other indices use geomorphometric parameters linked to the dam or/and the lake, and thus can only be used after landsliding to assert the dam stability. Casagli and Ermini (1999) proposed the Impoundment Index  $II$

$$II = \log\left(\frac{V_{dam}}{V_{lake}}\right) \quad (4)$$

which accounts for lake volume when estimating the landslide dam stability. The Dimensionless Blockage Index  $DBI$

$$DBI = \log\left(\frac{A_b \cdot H_{dam}}{V_{dam}}\right) \quad (5)$$

coined by Ermini and Casagli (2002), considers the dam height, allowing to indirectly take into account the steepness of the dam flanks. Korup (2004) introduced two new indices also based on landslide dam height, the Backstow Index  $Is$  and the Basin Index  $Ia$

$$Is = \log\left(\frac{H_{dam}^3}{V_{lake}}\right), \quad Ia = \log\left(\frac{H_{dam}^2}{A_b}\right) \quad (6)$$



In contrast to the *BI* and *HDST*, the stability indices (*II*, *DBI*, *I<sub>s</sub>* and *I<sub>a</sub>*) use a non-dimensional combination of properties  
180 (volume per volume, or area per area), which should give more consistent results across different scales.

While the indices *BI*, *II*, and *DBI* use the volume of the dam instead of the total volume of the deposits, determining  $V_{dam}$   
automatically for large data sets is nontrivial. We therefore use  $V_{dep}$  instead of  $V_{dam}$  when computing the indices. This may  
lead to an overestimation of the volume if significant parts of the deposits do not reach the valley floor.

In turn,  $V_{dep}$  is in general underestimated by our approach, mainly because the increase in volume by bulking via fragmen-  
185 tation and entrainment of further material are not taken into account. The Gerris solver even loses a small part of the volume  
at the tail of the landslide since layers below a given threshold thickness are disregarded. Thus, we have the following rela-  
tionship:  $V_{dam} \leq V_{dep} < V_{landslide}$ . However, the underestimation of  $V_{dep}$  is only relevant if we consider the landslide dam in  
relation to the detached volume which is not subject of this study.

### 3 Results

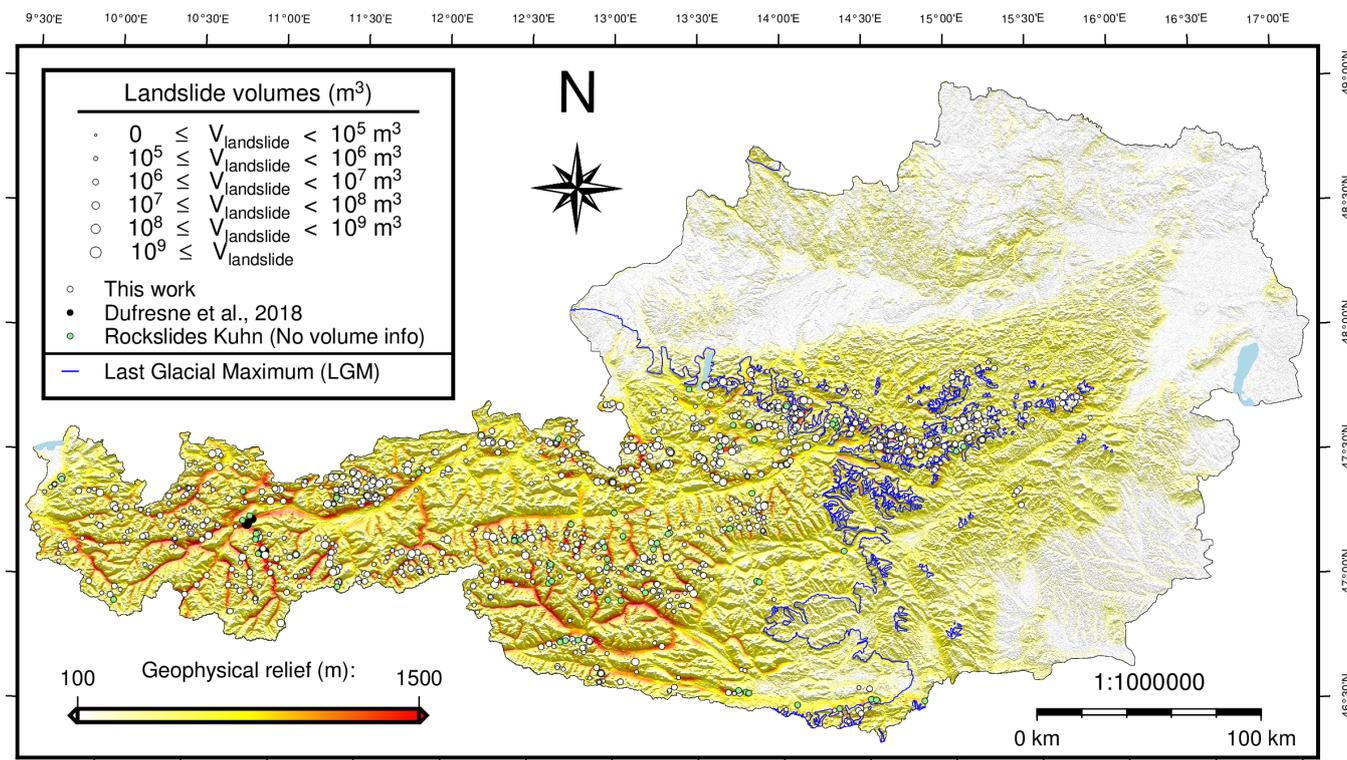
190 We calculated landslide release areas with 100 landslide seeds per  $\text{km}^2$  and obtained 1057 landslides with volumes larger than  
 $10^5 \text{ m}^3$  in the Austrian Alps.

#### 3.1 Distribution of landslides and landslide dams across the Austrian Alps

The distribution of reported landslides in the Austrian Alps (Kuhn, visited 2020.07.27; Dufresne et al., 2018) is linked to  
topographic characteristics and geomorphological process domains (Fig. 3, green circles). Most of the landslides are located  
195 in the western part of the study region, within high topography with significant relief occupied by glaciers during the last  
glacial maximum (LGM). Modeled landslides show a similar spatial pattern (Fig. 3, white circles). This indicates that spatial  
heterogeneity in landslide occurrence arises from differences in landscape characteristics. For our modeled landslides, local  
slope has a strong influence on landslide density, while landslide volume is rather controlled by relief.

Spatial coincidence suggests lithology as an important control on geophysical relief and hence landslide occurrence in the  
200 study region (Fig. 4). Areas with high and low geophysical relief values coincide with contrasting tectonic units (compare  
Figs. 3 and 4)). For example, major historical landslides are reported for the Northern Calcareous Alps (NCA) but not for  
the adjacent Greywacke zone (the structural base of the NCA). This is mimicked by our model due to contrasting relief and  
slope characteristics of the two lithological units in the DEM. Similarly, the prediction of many large landslides in the Ötztal-  
Bundshu nappe system and the Pre-alpine basement (gneisses of the Tauern Window) is consistent with landslide occurrence  
205 in the landslide inventory, while a significantly lower tendency to landsliding is both modeled and reported in nearby tectonic  
units (e.g. Silvretta-Seckau or Koralpe-Wölz nappe system).

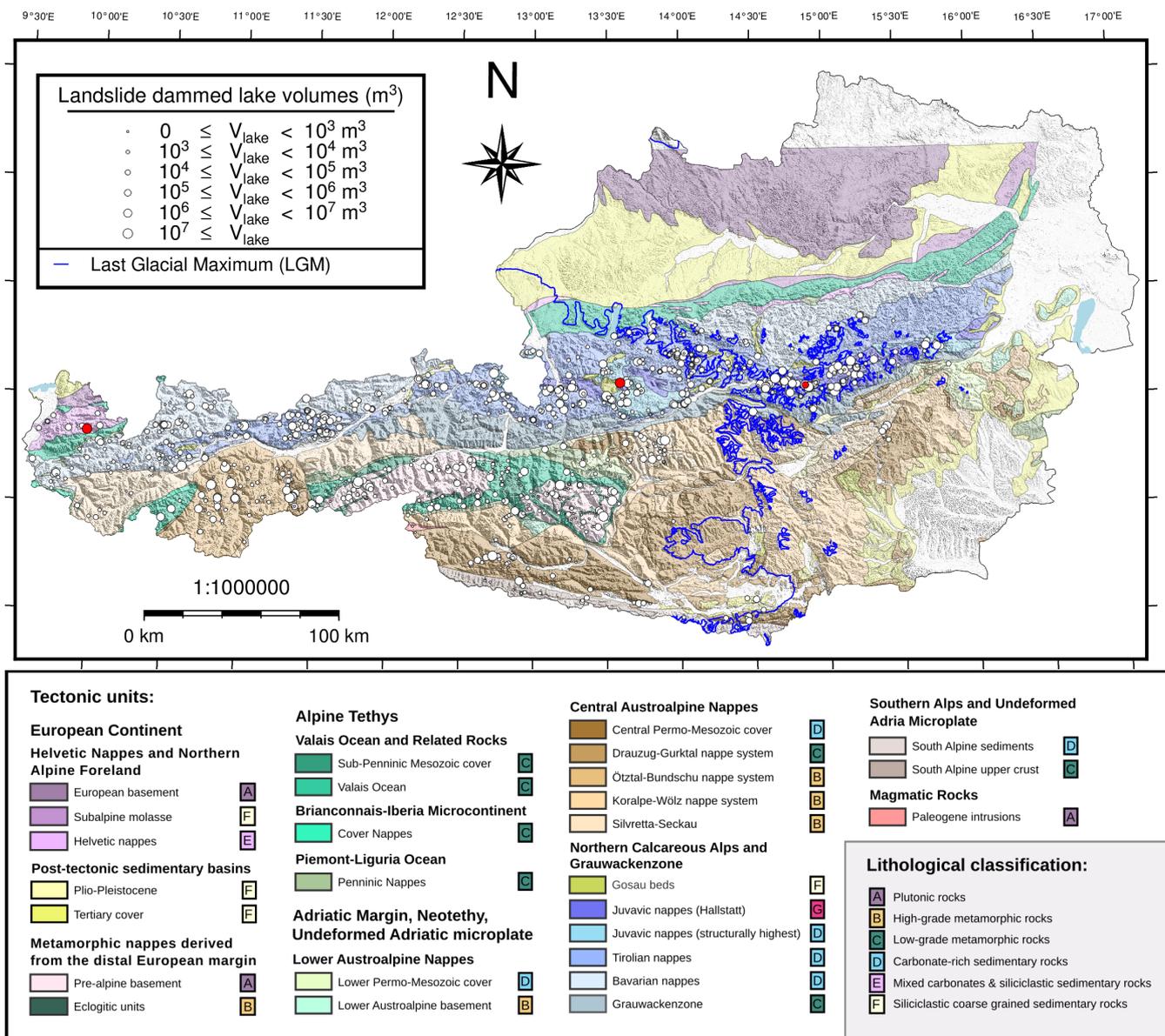
Glacial erosion is known to increase valley relief and to steepen valley flanks (Shuster et al., 2005; Valla et al., 2011). To  
further investigate the role of glacial imprint in preconditioning the occurrence of modeled landslides, we computed landsliding  
densities and spatially distinguished  $\frac{H_{lake}}{H_{dep}}$  ratios (Table 3). 94.5% of the predicted landslide release areas are situated in  
210 glacially overprinted terrain. The glacial and fluvial landslide densities are  $3.0 \times 10^{-2}$  and  $1.3 \times 10^{-3}$  landslides per  $\text{km}^2$ ,



**Figure 3.** Spatial distribution of modeled and real-world landslides in the Austrian Alps plotted on geophysical relief. Landslide volume is reflected by the circle size. LGM extent is depicted by a blue line (Ehlers and Gibbard, 2004). The landslides marked by the green circles were compiled by Kuhn (visited 2020.07.27). Hillshades were computed from freely available LiDAR-based digital elevation model (DEM) of the Austrian Alps (Open Data Österreich, starting 2015).

**Table 3.** Landslide dams statistics for glacial and fluvial terrain.

Imprint	Glacial	Fluvial
Area (km <sup>2</sup> )	33751	45643
Number of landslides	999	58
Landslide density (km <sup>-2</sup> )	$3.0 \times 10^{-2}$	$1.3 \times 10^{-3}$
Mean deposit volume (m <sup>3</sup> )	$8.6 \times 10^6$	$3.1 \times 10^6$
Mean lake volume (m <sup>3</sup> )	$1.5 \times 10^6$	$5.9 \times 10^5$
Mean of the $H_{lake} / H_{dep}$	0.26	0.39
Mean of the $V_{lake} / V_{dep}$	0.15	0.25



**Figure 4.** Spatial distribution of modeled landslide-dammed lakes in the Austrian Alps plotted on a map of tectonic units modified after Bousquet et al. (2012). The landslide-dammed lake volume is indicated by circle size. LGM extent is depicted by a blue line (Ehlers and Gibbard, 2004). Hillshades were computed from freely available LiDAR-based digital elevation model (DEM) of the Austrian Alps (Open Data Österreich, starting 2015). The three landslide-dammed lakes highlighted in red are mentioned in the text.



respectively. As expected, the disparities in landslide occurrence in glacial and fluvial terrain are even stronger for very large landslides. This is reflected in the mean volume that is about 2.8 times higher in the glacially overprinted domain than in the fluvial area. The large landslide volumes also result in larger lake volumes. On average, these are about 2.5 times higher in the glacially overprinted areas. In relation to the deposit volume, the lake volume is, however, slightly smaller in the glacially overprinted areas, indicating that smaller lakes are dammed by a landslide deposit of a given volume. The same applies to lake depths and deposit depths. Both effects are probably a consequence of differences in glacial and fluvial valley geometry.

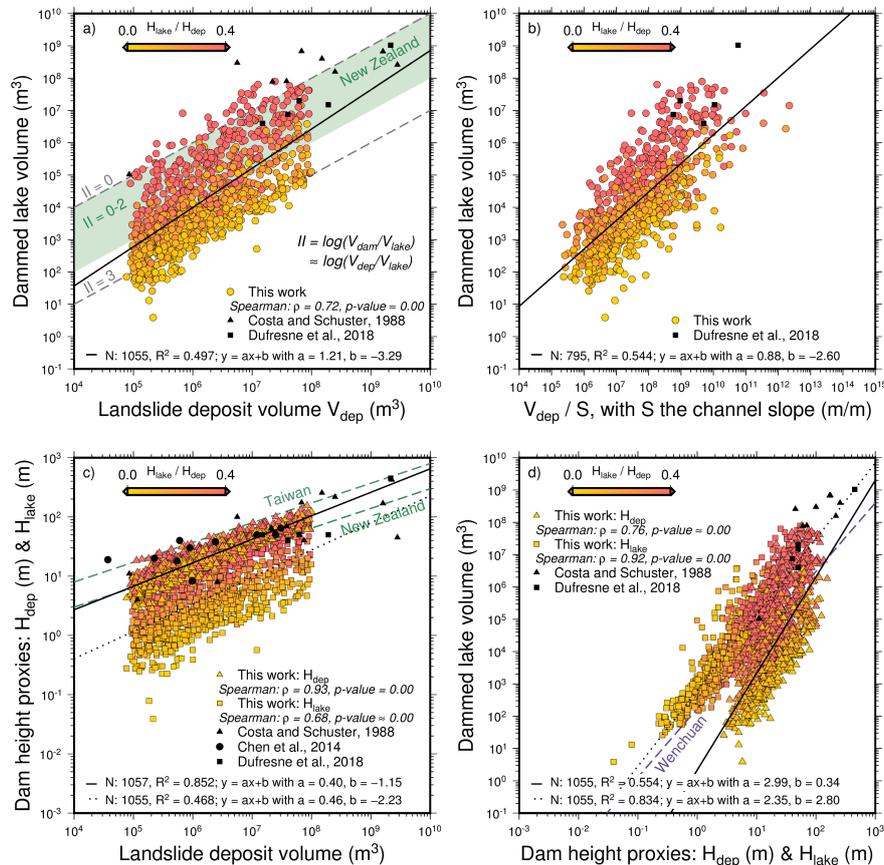
### 3.2 Comparison of geomorphometric parameters

We first compared deposit volumes  $V_{dep}$ , volumes of the dammed lakes  $V_{lake}$  and dam heights  $H_{lake}$  and  $H_{dep}$  of our modeled landslide dams to landslide inventories (Table 2). The modeled deposit volumes  $V_{dep}$  range from the defined minimum of  $10^5 \text{ m}^3$  to a maximum of almost  $10^8 \text{ m}^3$ , while the lake volumes  $V_{lake}$  range from 0 to  $7.9 \times 10^7 \text{ m}^3$ . Both the  $V_{dep}$  and the  $V_{lake}$  maximums are 10 times smaller than the biggest dam and lake volume reported in Austria, and between 10 and 100 times lower than the largest volumes found in Japan, the USA and New Zealand. This is not particularly surprising as the potential of very large landslides decreases through time after deglaciation (Hergarten, 2012). The volume ranges are further in accordance with landslide dams and lakes found in the Apennines by Tacconi Stefanelli et al. (2016). The maximum of our  $H_{lake}$  proxy for landslide dam heights is 6 times lower than reported for Austria, 10 times lower than in New Zealand, and 2 times lower than those from Wenchuan and Italy. However, the maximum of our  $H_{dep}$  proxy is similar to those from Wenchuan and Italy.

The introduced geomorphometric parameters show distinct relationships (Fig. 5), which have also been identified in inventories. We carried out Spearman correlations and fitted power-law relations between the considered properties. Although the modeled deposit and lake volumes are strongly correlated, with a Spearman- $\rho$  of 0.72 (Fig. 5a), the deposit volume can only explain a part of the variability in the lake volume dataset, with a coefficient of determination ( $R^2$ ) of 0.497. The  $II$ , the logarithm of  $\frac{V_{dep}}{V_{lake}}$ , of the modeled landslide dams stretches from 0 to 3, while values from literature are mostly found between 0 and 2 in Austria (Dufresne et al., 2018) and New-Zealand (Korup, 2004), and around 0 for largest dams world-wide (Costa and Schuster, 1988) (Fig. 5a). The height ratio  $\frac{H_{lake}}{H_{dep}}$  of our modeled landslides is strongly correlated to the  $II$  (color coding in Fig. 5), and field observations of landslide dams are found among the simulated results with high height ratios. In this way,  $\frac{H_{lake}}{H_{dep}}$  is linked to  $\frac{V_{dep}}{V_{lake}}$ , and both ratios are indicators for efficient damming, i.e. relatively small landslides damming relatively large lakes. Power-law fitting shows that lake volume increases non-linearly with deposit volume for all events and that the mean  $II$  decreases from 2.2 to 1.6 over the considered volume range. For damming events with highest lakes volumes relative to deposit volumes, i.e. efficient damming, however, lake volume increases linearly with deposit volume.

Lake volume exhibits an inverse relationship with channel slope. Combining the channel slope (Fig. 5b) with deposit volume explains more of the lake volume variability ( $R^2 = 0.544 > R^2 = 0.497$ ).

The dam height proxies  $H_{dep}$  and  $H_{lake}$  scale non linearly with the deposit volume (Fig. 5c), reproducing reported relationships (Costa and Schuster, 1988; Chen et al., 2014; Dufresne et al., 2018). The deposit height correlates strongly ( $\rho = 0.93$ ) and presents less dispersion than the lake depth ( $\rho = 0.68$ ). Similar to the deposit to lake volume relation, the lake depth fits the literature data best for high  $\frac{H_{lake}}{H_{dep}}$  ratios. The power law exponents ( $a = 0.40, a = 0.46$ ) are close to each other. Landslides



**Figure 5.** Bi-logarithmic diagrams of the landslide dam and lake metrics. (a) dammed lake volume in relation to landslide deposit volume (a.k.a. Impoundment Index)  $II$ , (b) dammed lake volume vs. channel slope, (c) landslide dam height proxies vs. landslide deposit volume, (d) landslide dam height proxies vs. dammed lake volume.  $\frac{H_{lake}}{H_{dep}}$  is color-coded.  $a$  and  $b$  represent slope and intercept of the fitted power-laws, respectively.  $N$  varies as 2 landslides did not dam a lake and channel slopes equal to zero where not considered. New Zealand data from Korup (2004), Taiwan data from Chen et al. (2014) and Wenchuan data from Fan et al. (2012).



245 of volumes smaller than  $10^6 \text{ m}^3$  show a power-law of exponent  $a0.448$  when fitted separately, while landslides with volumes larger than  $10^7 \text{ m}^3$  give a power-law of exponent  $a0.325$ .

The lake volume scales non-linearly with the dam height proxies  $H_{dep}$  and  $H_{lake}$  (Fig. 5d). The situation is reversed to Fig. 5c, such that the lake depth correlates strongly with the lake volume ( $\rho = 0.92$ ), which conforms to the trends in inventories. The deposit height shows a weaker correlation with lake volume ( $\rho = 0.76$ ). In both cases, dams and lakes with similar  $H_{lake}$  and  $H_{dep}$ , thus high  $\frac{H_{lake}}{H_{dep}}$  ratios, match the field observations better.

The lake depth scales non linearly with the deposit height (Supplementary Fig. B1), with similar coefficients and behavior than found with the lake and deposit volumes.

### 3.3 Obstruction and stability indices

We apply six obstruction and stability indices to our modeling results (Fig. 6). Korup (2004) and Tacconi Stefanelli et al. (2015) determined index thresholds, which separate their landslide dams into different obstruction and stability classes:

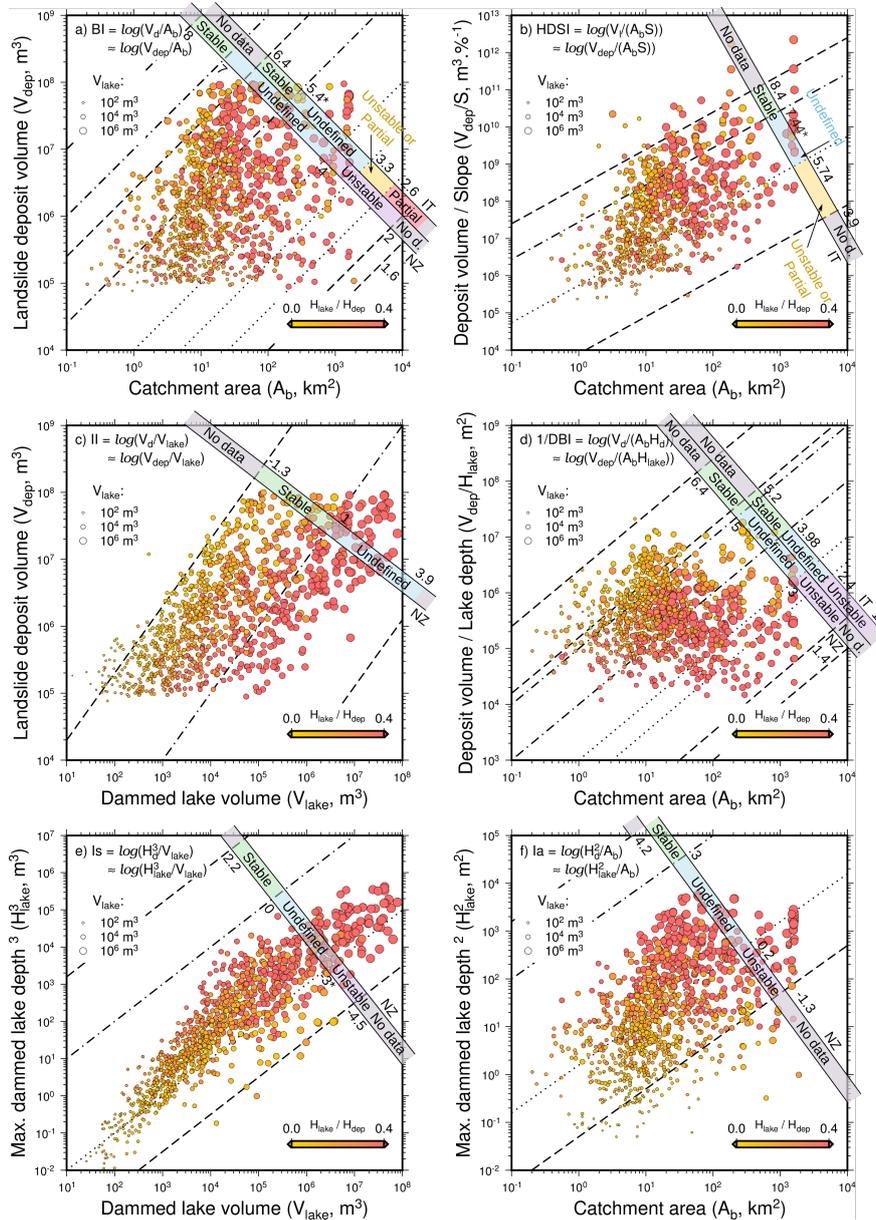
- No data: no partial or complete landslide dams were observed.
- Partial: the landslides obstructed only partly the river bed to form a partial dam.
- (Complete-) Unstable: the landslides obstructed fully the river bed, but the formed dams breached catastrophically.
- (Complete-) Stable: the landslides obstructed fully the river bed, and the formed dams did not experience any catastrophic failure. However, they may have disappeared by sediment infilling or gradual incision.
- Undefined: A mix of other categories.

We compared our modeled dams and related lakes to their obstruction and stability classes (Fig. 6). Our dams fall into different fields, depending on the applied indices.

For the  $BI$ , Korup (2004) and Tacconi Stefanelli et al. (2015) studied the Southern Alps, New Zealand and Apennines, Italy, respectively, and found different limits for the stability classes. This affects the stability classification of our dams (Fig. 6a). Many modeled dams are considered stable in the Apennines classification scheme, while none are stable according to the New Zealand scheme. The relation between  $BI$  and  $\frac{H_{lake}}{H_{dep}}$  is ambiguous, but we observe that  $\frac{H_{lake}}{H_{dep}}$  and  $V_{lake}$  is positively correlated with catchment area  $A_b$ .

The  $HDSI$ , originally defined for the Apennines Tacconi Stefanelli et al. (2015), presents no obvious relation to the  $\frac{H_{lake}}{H_{dep}}$  ratio. Our data range is more extended than determined for the Apennines (Fig. 6b). Again, a minority of dams is considered stable in the  $HDSI$ , while the majority falls into the undefined class and a considerable fraction is classified unstable or partially stable.

For the  $II$  (Fig. 6c), the majority of landslides, in particular those with small lake volumes, fall in the stable class as determined for the Southern Alps with the tendency of stability to decrease with lake volume. Further, the  $II$  displays a strong positive correlation with the  $\frac{H_{lake}}{H_{dep}}$  ratio and lake volumes.



**Figure 6.** Bi-logarithmic diagrams of landslide dam classification according to two obstruction and stability indices, (a) the Blockage Index  $BI$  and (b) the Hydromorphological Dam Stability Index  $HDSI$ , and four stability indices, (c) the Impoundment Index  $II$ , (d) the Dimensionless Blockage Index  $DBI$ , (e) the Backstow Index  $Is$  and (f) the Basin Index  $Ia$ . Circle color represents  $\frac{H_{lake}}{H_{dep}}$  and circle size depicts lake volume. The obstruction and stability ranges from literature are indicated by scales, with the threshold values annotated. Threshold lines are dashed for "No Data", dot-dashed for "Stable", dotted for "Unstable". New Zealand data (Korup, 2004) indicated by NZ and Apennines data from Italy (Tacconi Stefanelli et al., 2016) with IT. The threshold values marked with an asterisk represent a few outliers in the reported literature data. The cluster of values with a catchment area of  $10^3$  km<sup>2</sup> are located in the same area in the Gesäuse mountain range, in the Enns catchment.



For the *DBI*, the situation is similar to the *BI*, with mountain range-dependent class definitions and no overlap between the stable classes (Fig. 6d). Accordingly, our modeled dams can either be classified stable or undefined or even undefined or unstable. The *DBI* shows a strong positive correlation with the  $\frac{H_{lake}}{H_{dep}}$  ratios. High lake volumes tend to gather around medium *DBI* values.

280 According to the *I<sub>s</sub>* classification from the Southern Alps, our modeled lakes are either classified undefined or unstable, with no lakes in the stable class. Further, The *I<sub>s</sub>* presents no correlation with the  $\frac{H_{lake}}{H_{dep}}$  ratio (Fig. 6e).

The *I<sub>a</sub>* classes determined in the Southern Alps (Fig. 6f) lead to our modeled lakes being classified either undefined or unstable and far from stable. The relations between *I<sub>a</sub>* and  $\frac{H_{lake}}{H_{dep}}$  ratio and lake volumes are ambiguous.

285 Summing up, the predictions on the stability of our modeled landslide dams vary strongly depending on the indices and thresholds chosen (e.g. *II*, *I<sub>a</sub>*). Further, the indices display changing correlations with the  $\frac{H_{lake}}{H_{dep}}$  ratio, a proxy for efficient damming. While the *II* and *DBI* both link low  $\frac{H_{lake}}{H_{dep}}$  ratios with high stability results, the other four indices show no obvious relationship. The  $\frac{H_{lake}}{H_{dep}}$  ratio is correlated positively with the catchment area  $A_b$ , the lake volume  $V_{lake}$  and height  $H_{lake}$ , with higher values for bigger catchments, but do not display any obvious correlation with the deposit volumes  $V_{dep}$  and their slope  $V_{dep}/S$ .

290 There are no big trends linked to tectonic units in the indices plots (Supplementary Fig. C1). Tectonic units are homogeneously distributed in the *BI* plot, except for the Juvavic nappes (Hallstatt), which present slightly higher *BI* values, showing on average bigger lake volumes than the other units for the same landslide volumes. There is also no obvious glacial control on the stability of landslide dams (Supplementary Fig. D1). There seem to be a higher concentration of unstable landslide dams in the fluvial domain (*BI*, *DBI*, *I<sub>s</sub>* and *HD<sub>SI</sub>*).

## 295 4 Discussion

We simulated the formation of 1057 landslide dams and lakes in Austria. In the following, we discuss possible controls on the distribution of modeled dams and lakes and evaluate similarities with and differences to field observations. Finally, we provide information on model limitations.

### 4.1 Correlations of dam and lake metrics

300 Modeled dam and lake volumes show similar, but stronger relationships than those derived from inventories, and exhibit an extended value range not observed in the field (Fig. 5). We find a clear correlation between landslide deposit volumes and dammed lake volumes in our dataset, with a Spearman- $\rho$  of 0.72. Landslide dam height proxies and landslide dam and lake volumes show similarly high correlations. In contrast, Korup (2004) reports a weaker correlation between landslide dam volumes and dammed lake volumes in New Zealand, indicated by a Spearman- $\rho$  of 0.558, and in the landslide dam datasets  
305 of Costa and Schuster (1991), Perrin and Hancox (1992) and Hancox et al. (1997). In any case, the range of our model results almost exactly parallels uniform *II* values (Fig. 5a), which indicates that a universal dependence of lake volumes on deposit volumes exists both in our model and in the real world.



For a given landslide volume, our lake volumes exhibit a bigger variability than reported in the literature (Fig. 5a). In our model, large landslides often impound relatively small lakes, leading to volume ratios ( $V_{dep}/V_{lake}$ ) up to one order of magnitude larger than in inventories in conjunction with low  $\frac{H_{lake}}{H_{dep}}$  ratios. We suggest, that this can be attributed to the influence of valley geometry, such that efficient damming in well-developed valleys (i.e. valleys with distinct valley flanks) is predominantly reported in inventories, while small lakes dammed by large landslides outside of clear valley structures are missed. We further impute this variability in our results to the disposition of the deposited mass in the valley. Landslides that do not reach the main stream or deposit on the valley flank may only produce small lakes and hence present a low  $\frac{H_{lake}}{H_{dep}}$ . On the other hand, landslides depositing homogeneously across the river bed should dam larger lakes and have a higher  $\frac{H_{lake}}{H_{dep}}$  ratio, in particular in narrow valleys.

The negative correlation of lake volume with channel slope (Fig. 5b) can be expected as larger lakes form in higher-order sections of the drainage network where channel slopes are lower.

Differences in valley geometry also seem to impact the scaling found in our data. We observe that  $H_{dep} \sim V_{dep}^{0.40}$  and  $H_{lake} \sim V_{dep}^{0.46}$  (Fig. 5c, black lines). As the exponent is greater than  $\frac{1}{3}$  in both relations, the deposits become relatively thicker and the lakes become relatively deeper with increasing landslide volume. In the real world, landslide deposits reportedly show the opposite behavior. Larsen et al. (2010) obtained  $V_{landslide} \sim A^{1.40}$  for both the scar area and the deposit area, which implies  $H_{landslide} \sim A^{0.4}$  for the mean thickness. Thus  $H_{landslide} \sim V_{landslide}^{(0.4/1.4)} = V_{landslide}^{0.29}$ . So the exponent in depth-volume scaling is lower than  $\frac{1}{3}$ , corresponding to large deposits being relatively thinner than small deposits. However, thickening of deposits and deepening of lakes with increasing landslide volumes is obtained when a power-law is fitted to all model data. For the largest lake depths and dam heights relative to the deposit volumes, i.e. efficient damming, our model results mirror the inventories (Fig. 5c). In contrast, thickening and deepening in our model is even more pronounced for the deposits and lakes with the smallest heights and depths. Consequently, the power-law relationship between  $V_{dep}$  and  $H_{dep}$  depends on  $V_{dep}$ . Landslides of volumes  $> 10^6 \text{ m}^3$  show a power-law exponent of 0.448, while landslides with volumes  $> 10^7 \text{ m}^3$  give a power-law exponent of 0.325 (Fig. 5c). A similar relation can be observed between the lake depths and volumes (Supplementary Fig. B1). This again indicates a change in deposit geometry with  $V_{dep}$  controlling the link between  $V_{dep}$  and  $H_{dep}$ , which, upon constant model rheology, can only be attributed to valley shape.

#### 4.2 Impact of glacial imprint on landsliding and dam formation

Glacial landscapes are characterized by overdeepened, U-shaped troughs with steep flanks, cirques, and steep arêtes and ridges that have often higher slopes than fluvial headwaters and hillslopes (Agassiz and Bettannier, 1840; Penck, 1905; Anderson et al., 2006). The formerly glaciated areas of the Austrian Alps present highest mean elevations, relief, slopes and uplift rates, and almost all modeled landslides. Further, adjustment of glacial landscapes to deglaciation has been suggested to lead to an increase in hillslope processes (Church and Ryder, 1972; Crest et al., 2017; Jiao et al., 2018). This fits our distribution of landslides and release volumes. The landslides in glacial terrain were 2.8 times more voluminous, dammed 2.5 times bigger lakes, but led to 1.5 times lower  $\frac{H_{lake}}{H_{dep}}$  ratios. We again attribute these differences to valley shape. The wide valley floors in glaciated areas demand for higher landslide volumes to dam the entire valley. Thus partial damming is more common, which



leads to lower height ratios. On average, the much higher release volumes in glacial landscapes almost compensate the wide valley floors, which results in only slightly lower height ratios. This in conjunction with flat and wide valley floors leads to the formation of bigger but shallower lakes.

### 345 4.3 Most efficient lake damming in Austria

In our model, most efficient damming, i.e. dammed lakes with exceptionally large volumes relative to the deposit volumes, occurs in several regions across Austria, all characterized by exceptional valley relief. We highlight three examples found in different structural units: Gosau group, Helvetic nappes, and Tirolian nappes (Fig 4, red dots). In our simulations, large lakes are formed by landslides damming relatively narrow valleys downstream of wider and flatter valley sections. In the Gosau group, a landslide of  $6.6 \times 10^6 \text{ m}^3$  dams the Gosaubach downstream of the flat and wide Gosau valley, where a lake of  $3.4 \times 10^7 \text{ m}^3$  forms (height ratio = 0.73). In the Helvetic nappes, a landslide of  $4.3 \times 10^7 \text{ m}^3$  dams the Bregenzer Ache, leading to a lake of  $5.7 \times 10^7 \text{ m}^3$  (height ratio = 0.65). A region prone to several big landslide-induced lakes in our simulations is the Gesäuse range, which is located in the Northern Calcareous Alps. This area combines very steep valley flanks with a narrow valley floor. Consequently, the region generally presents relatively high height ratios mostly ranging from 0.38 to 0.84. The largest lake reaches a volume of  $3.9 \times 10^7 \text{ m}^3$  (height ratio = 0.56) due to valley widening upstream of the dammed gorge section of the Enns river (landslide dam volume =  $5.9 \times 10^7 \text{ m}^3$ ). In the same area, another landslide of  $2.4 \times 10^7 \text{ m}^3$  creates two lakes totaling  $7.9 \times 10^7 \text{ m}^3$  on the Erzbach (height ratio 0.94). These examples highlight the role of valley geometry in controlling the efficiency of damming. Further, our examples suggest that a change of tectonic units along a river, with a narrow section at the damming location and a wider section upstream, favors efficient damming and the formation of very large lakes. In the Austrian Alps such settings occur in the Northern Calcareous Alps (e.g. Enns river, Salzach river).

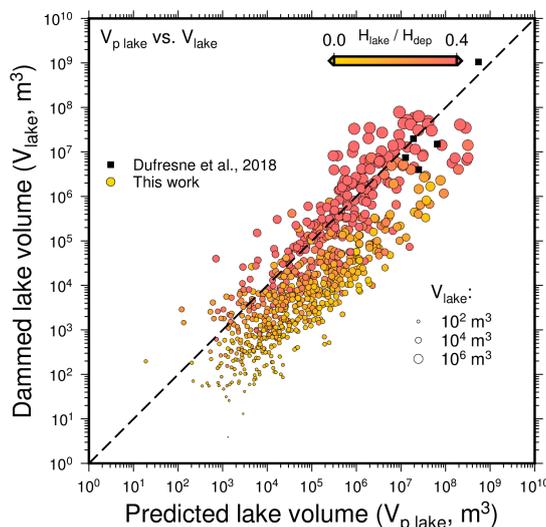
### 360 4.4 Predicting the volume of landslide-dammed lakes

In our model results, we find a relationship between  $V_{dep}$  ( $= V_{landslide}$ ) and  $V_{lake}$  (Fig. 5a), but also between  $V_{lake}$  and upstream drainage area  $A_b$  at the location of damming, such that

$$V_{lake} \sim \alpha \cdot V_{landslide}^{0.98} \cdot A_b^{0.92} \times 10^{-6} \quad (7)$$

365 with  $\alpha = 0.003$  and  $A_b$  in  $\text{m}^2$ .

The existence of such a relationship can be theoretically explained by the influence of the drainage system on valley morphology. The volume of the lake depends on the volume of the landslide and the valley shape. The width, depth (and hence height of the valley flanks) and the longitudinal slope of the valley depend on the upstream drainage area (Flint, 1974; Whitbread et al., 2015), as does the height of the dam for a given landslide volume. The relationship also applies to real world data and allows the prediction of potential  $V_{lake}$  only from  $V_{landslide}$  and  $A_b$  (Fig. 7), two metrics that can be easily obtained from DEMs and landslide inventories. Further, the relationship facilitates the development of damming scenarios with little effort by computing potential lake volumes from different potential landslide volumes. The model explains a larger part of the variation



**Figure 7.** Bi-logarithmic diagram showing predicted ( $V_{plake}$ ) vs. modeled ( $V_{lake}$ ) landslide-dammed lake volume. Circle size represents dammed lake volume, circle color indicates height ratio. 1:1 relation depicted by dashed line.

in  $V_{lake}$  ( $R^2 = 0.687$ ) than  $V_{dep}$  or  $A_b$  alone (respectively  $R^2 = 0.497$  and  $R^2 = 0.394$ ). Further, the model can be approximated reasonably well by assuming a linear influence of  $V_{landslide}$  and  $A_b$ . The additional variation of  $V_{lake}$  present in the data again depends on valley and hence deposit geometry, as indicated by  $\frac{H_{lake}}{H_{dep}}$  color-coded in (Fig. 7). The prediction works best for efficient damming indicated by high  $\frac{H_{lake}}{H_{dep}}$  ratios.

#### 4.5 Obstruction and stability indices

Our model cannot directly predict the stability of the modeled landslide dams, but we calculated several common stability and obstruction indices for our results. The obtained obstruction and stability patterns differ tremendously. A correspondence with the metrics of our modeled landslides, represented by  $\frac{H_{lake}}{H_{dep}}$  in Fig. 6, is only obvious for the *II* and the *DBI*. For these indices, stability decreases with increasing size and depth of lakes and increasing lake depth relative to deposit height. All other investigated indices seem to depend on regionally constrained stability classes and are thus not easily transferable to other regions. This finding is backed by the results of Dufresne et al. (2018), who found the *BI*, *II*, *DBI*, *Is*, *Ia* and *HDSI* inconclusive in the Eastern Alps.

#### 4.6 Limits and amelioration of the method

Topographic and other differences between mountain ranges likely explain part of the differences between modeled and real-world metrics and correlations, but they may also be a consequence of uncertainties in field measurements and oversimplifications in the models.



The accuracy of field data is, among other effects, limited by measurement uncertainties and systematic under-representation of small landslide dams. In many cases, remnants of landslide dams and lakes need to be interpreted, hampering the assessment of their size and extent. If dams and lakes are preserved, the topography prior to landsliding is often unknown. Korup suggests that uncertainties in the estimation of landslide dam heights are responsible for the difference between field and model results. Furthermore, large, high deposits may often create only small, shallow lakes, for example when they only partially block the valley floor or impound a small creek in relatively steep terrain. Small landslide dams and lakes often remain undiscovered in the field. Small dams may either only exist for a short time or shallow ponds of water may fill with sediments very quickly. Thus, they can hardly be accounted for in field surveys, while they can be simulated, leading to a wider range of modeled landslide dams. These small dams are not considered in the inventories of Dufresne et al. (2018), Korup (2004) and Costa and Schuster (1988). Depending on the massif, the typical range where the dam receives interest beyond the landslide is different. In the case of the Alps, this range is  $II < 2$  (Fig. 5a).

Simulations, however, tend to oversimplify reality and are based on various assumptions. We introduce simplifications in determining landslide release volumes and modeling fluid flow. These assumptions influence the shape and size of the deposits and their location relative to the river bed, which further controls the amount of impounded water. However, we use approaches and spatially uniform parameters validated in other studies (Hergarten, 2012; Sanne, 2015; Hergarten and Robl, 2015). Further, we assume that lakes are filled to the brim, which might not always happen in reality, due to loss of water via groundwater flow through the landslide deposits or river bed substrate (Snyder and Brownell, 1996).

In our model to determine landslide release areas, we applied uniform stability thresholds, which are generally not well constrained and may also differ for different rock types. Thus, our model may not be able to reproduce the spatial distribution of landsliding. However, landslide inventories indicate that this is not the case for large, rapid mass movements on which we focus in this study, as large rock avalanches predominantly occur in steep landscapes with excessive relief made of strong rocks (Fig. 3). We thus conclude that our approach is suitable to qualitatively reproduce the distribution of potential large landslides and impounded lakes in a steep mountain range and to derive relationships between dam and lake size, the drainage system and valley morphology.

## 5 Conclusions

We modeled landslides, landslide dams and dammed lakes in Austria with a new approach that combines a probabilistic approach to determine landslide release areas and a fluid dynamic model to compute landslide runouts. Based on our results, we explored relationships between properties of landslides, landslide dams and lakes, and the drainage system and valley shape.

- The resulting landslides predominantly occur in steep alpine terrain and spatially coincide with historical events reported in inventories.
- Valley geometry and the drainage system control the efficiency of damming, i.e. small landslide dams impounding large lakes. Consequently, dam and lake metrics differ for glacial and fluvial terrain.



- The modeled range in damming efficiency is much larger than in inventories, where mostly events of efficient damming are reported. In our study, scaling of landslide, dam and lake metrics differs for low and high damming efficiency.
- We provide a new relationship to estimate lake volume only from upstream drainage area and landslide volume. These two parameters explain more than 60% of lake volume variability.
- 425 – Common stability and obstruction indices do not provide concise information on dam persistence. While the *II* and the *DBI* seem to work relatively well, the other tested indices give inconsistent results, with stability classes strongly varying between regions.

Our modeling results suggest that events with a low damming efficiency are much more frequent than represented in inventories and that they may exhibit a different scaling of landslide and lake metrics. We suspect that such events are also  
430 common in the real world and high-efficiency events are over-represented in inventories. We thus suggest that a focus is put on low-efficiency damming in the compilation of future landslide databases.

*Code and data availability.* The code is available online, and has been encapsulated in a Docker container for easy setup: DOI: 10.5281/zenodo.4171597 .

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*Competing interests.* The authors declare that they have no conflict of interest.

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440 discussions on the geology of the Alps. All maps are created using the Generic Mapping Tools (Wessel et al., 2019).



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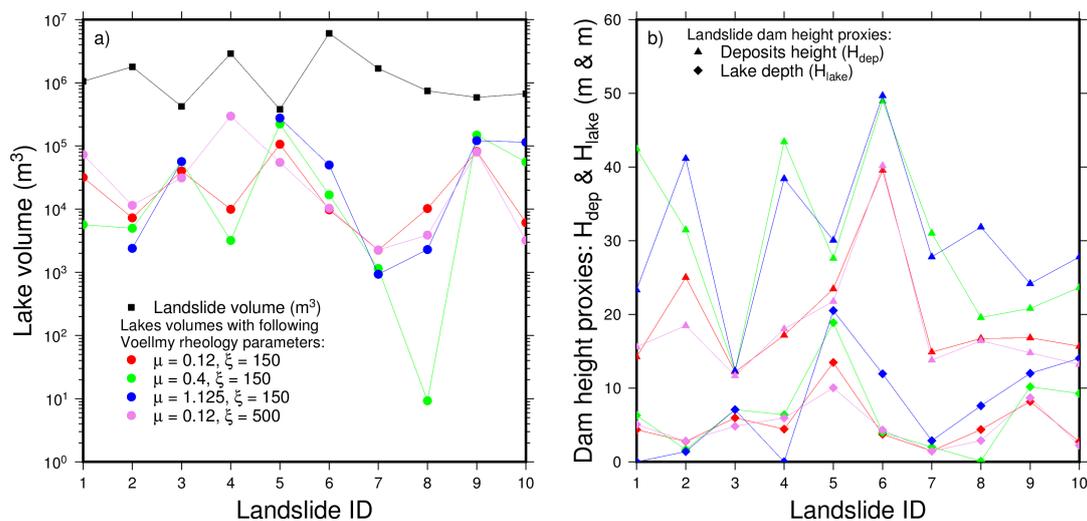
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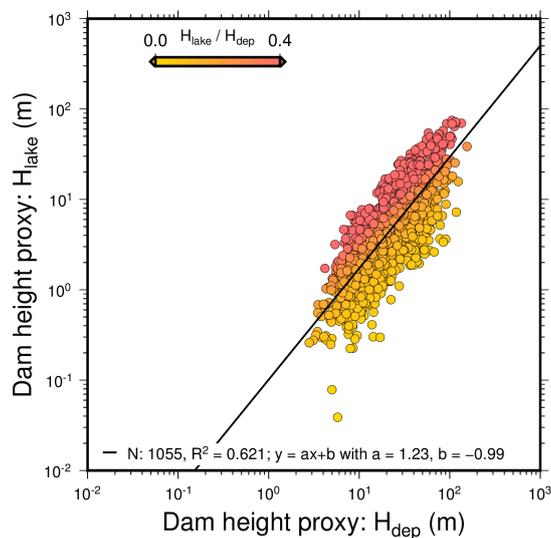
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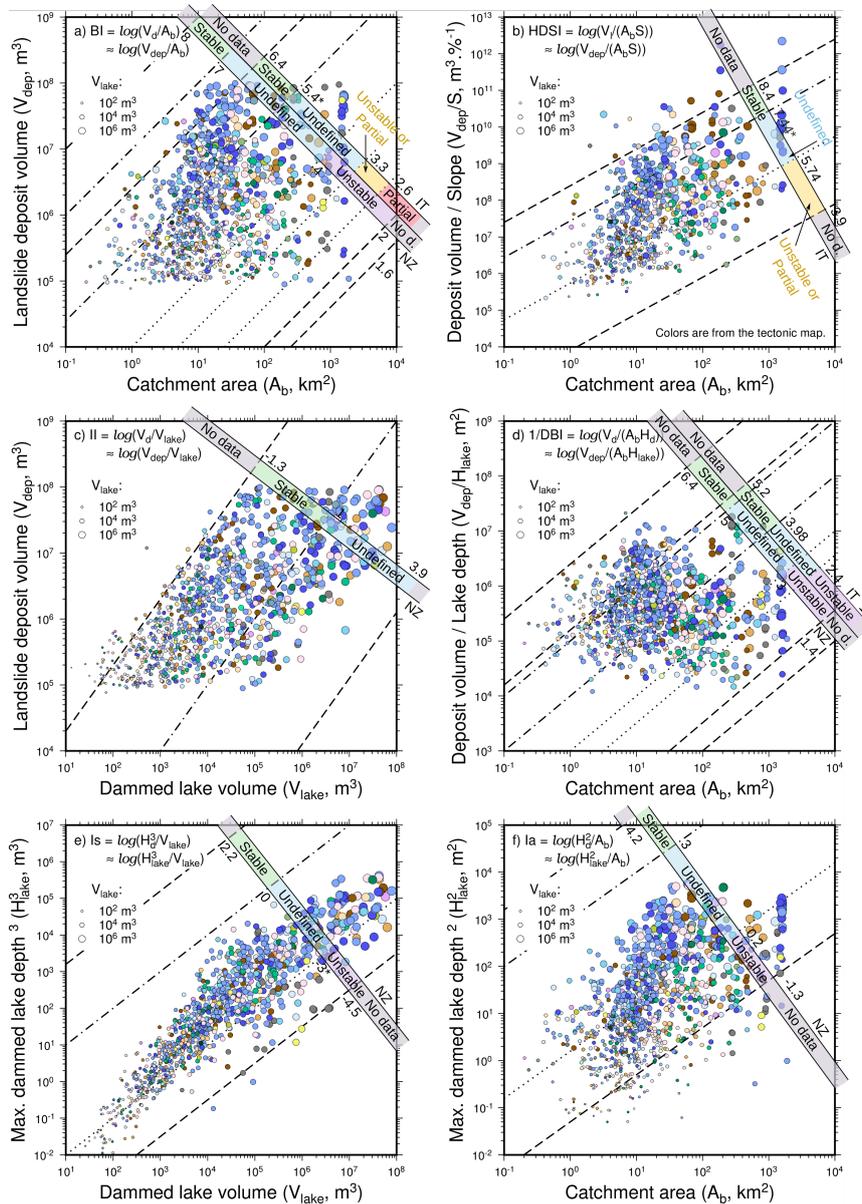
## Appendix A



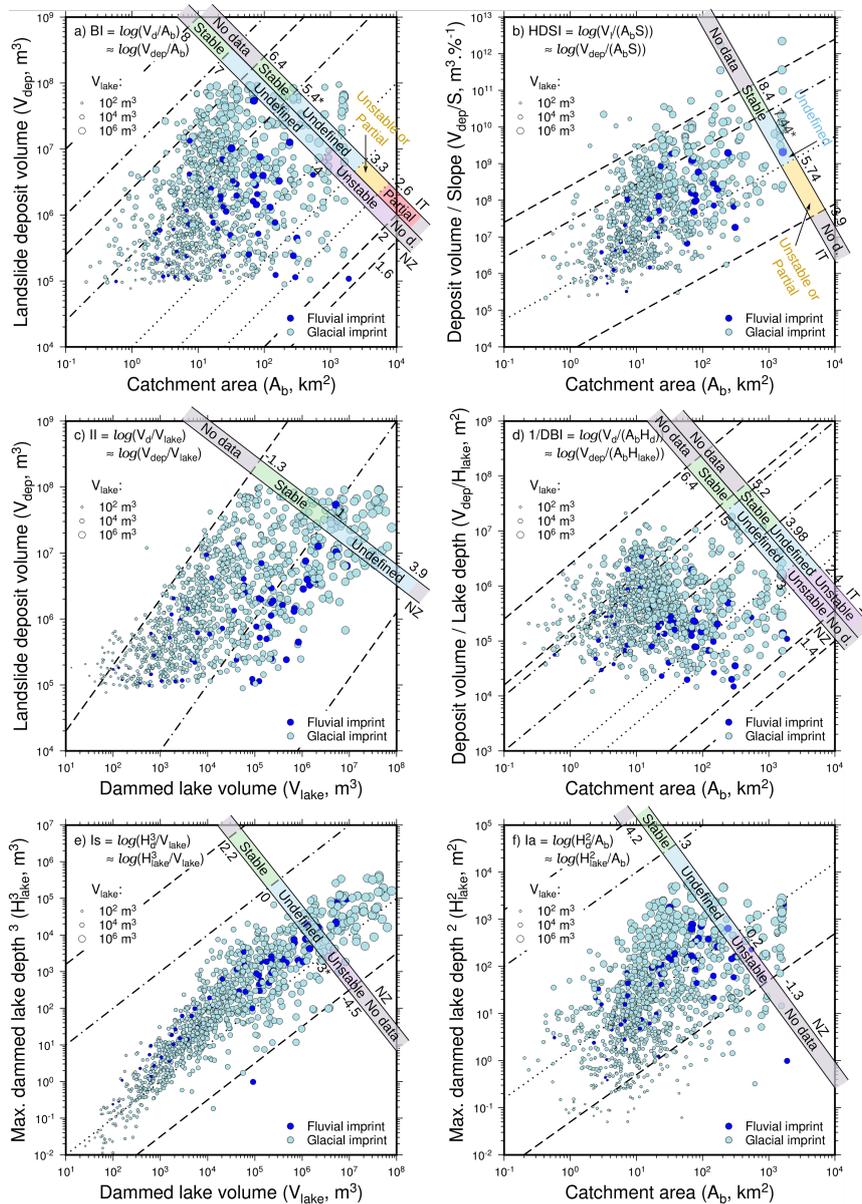
**Figure A1.** Impact of the Voellmy rheological parameters on lake volumes and landslide damming height proxies for 10 example landslides. The indices chosen in the simulation ( $\mu = 0.12$  and  $\xi = 150$ ) are plotted in red. Some landslides present no lake volumes values for the Voellmy rheology parameters  $\mu = 1.125$  and  $\xi = 150$ , since the dam did not block the river and no lake was formed.



**Figure B1.** Bi-logarithmic diagrams of the landslide dam height proxies to analyze: maximum lake depth  $H_{lake}$  in relation to maximum landslide deposit height  $H_{dep}$ . We used a color gradient to highlight the change in  $\frac{H_{lake}}{H_{dep}}$  ratio. We fitted power laws using least squares with vertical misfit, and indicated their sample number  $N$ , coefficient of determination ( $R^2$ ) and characteristics (slope  $a$  and intercept  $b$ ).



**Figure C1.** Bi-logarithmic diagrams of landslide dam geomorphometric parameters derived from the landslides simulated in Austria, for each of the aforementioned indices; the two obstruction and stability indices, (a) the Blockage Index  $BI$  and (b) the Hydromorphological Dam Stability Index  $HDSI$ , and the four stability indices, (c) the Impoundment Index  $II$ , (d) the Dimensionless Blockage Index  $DBI$ , (e) the Backstow Index  $Is$  and (f) the Basin Index  $Ia$ . The circle color represents the tectonic unit and the circle size the logarithm of dammed lake volume. The obstruction and stability ranges from literature are indicated by scales, with the threshold values annotated on the side. Threshold lines are dashed for "No Data", dot-dashed for "Stable", dotted for "Unstable". We abbreviate NZ for New Zealand (Korup, 2004) and IT for Apennines, Italy (Tacconi Stefanelli et al., 2016). The threshold values with \* present a few outliers. The cluster of values with a catchment area of  $10^3$   $km^2$  are located in the same area in the Gesäuse mountain range, in the Enns catchment.



**Figure D1.** Bi-logarithmic diagrams of landslide dam geomorphometric parameters derived from the landslides simulated in Austria, for each of the aforementioned indices; the two obstruction and stability indices, (a) the Blockage Index  $BI$  and (b) the Hydromorphological Dam Stability Index  $HDSI$ , and the four stability indices, (c) the Impoundment Index  $II$ , (d) the Dimensionless Blockage Index  $DBI$ , (e) the Backstow Index  $Is$  and (f) the Basin Index  $Ia$ . The circle color represents the glacial imprint and the circle size the logarithm of dammed lake volume. The obstruction and stability ranges from literature are indicated by scales, with the threshold values annotated on the side. Threshold lines are dashed for "No Data", dot-dashed for "Stable", dotted for "Unstable". We abbreviate NZ for New Zealand (Korup, 2004) and IT for Apennines, Italy (Tacconi Stefanelli et al., 2016). The threshold values with \* present a few outliers. The cluster of values with a catchment area of  $10^3$  km<sup>2</sup> are located in the same area in the Gesäuse mountain range, in the Enns catchment.