

Dear Editors and Reviewers

Thank you for your letter and for the Reviewers' comments concerning our manuscript entitled "Exploring the potential relationship between the occurrence of debris flow and landslide" (ID: NHESS-294). Those comments are all valuable and very helpful for revising and improving our paper, as well as the important guiding significance to our researches. We have studied comments carefully and have made correction which we hope meet with approval. Revised portion are marked in red in the paper. The main corrections in the paper and the responds to the Reviewer's comments are as flowing:

Response to the first Reviewer:

General Comments In this paper, landslide susceptibility analysis and debris flow susceptibility analysis is carried out using Random Forests over the same area and the two resultant hazard maps are compared. This is something that is not usually done in ground failure hazard assessments and I find the conclusions from this paper are interesting. The study was designed well and the figures are good, but in some places the work is not explained clearly enough or more information would help the reader to understand.

Response: Thank you for your approval of our work. It is true that there is few manuscripts related in ground failure hazard assessments and we will try our best to make the idea more clear.

One thing I would also like to know is this: how have you chosen the training and test data for the study? Are they randomly selected in time and space? Or are historical landslides and debris flows being used to predict the locations of recent landslides and debris flows?

Response: In second 3.1, we choose the 5-fold cross validation procedure. The data consists of negative and positive samples. Conditioning that the number of samples are limited and we selected the all the samples in time during 1970~2010 for modeling. Related information have been added on line 96, 98-100.

I am also confused by the decision made by the authors to convert all their continuous input factors (e.g. aspect) into categorical variables, as Random Forests work well with continuous variables. This is not something I have seen done in other studies using RF for landslide susceptibility mapping. If there is a specific reason that the authors have chosen to do this, it should be explained in Section 2.4. If this is the case, how did the authors choose the number of categories for each input factor?

Response: It is true that random Forests work well with continuous variables. We did not convert the continuous input factors into categorical variables. We aim to reclassify variables as the thematic map will become more concise. As for the number of categories, we referred to related references which have been published.

S.Chen, Z. Miao, L. Wu and Y. He, "Application of an Incomplete Landslide Inventory and One Class Classifier to Earthquake Induced Landslide Susceptibility Mapping," in a IEEE Journal of Selected Topics in Applied Earth Observations and Remote Sensing, vol. 13, pp. 1649-1660, 2020, doi: 10.1109/JSTARS.2020.2985088.

Zhu Liang, Wang Changming and Kaleem-Ullah-Jan Khan. Application and comparison of different ensemble learning machines combining with a novel sampling strategy for shallow

landslide susceptibility mapping. Stoch Environ Res Risk Assess (2020c).

<https://doi.org/10.1007/s00477-020-01893-y>

Specific Comments Line 88: I would also like to know if the landslides are mapped as points or polygons in your dataset (I assume points since they are shown as points in Figure 1) and the mapping resolution, or at least the resolution of the google earth images used in the landslide mapping. It would be useful to know what proportion of landslides were mapped using the different methods (i.e. historical records versus google earth image interpretation) and how far back in time your historical records go.

Response: We are agreed with the comment. The landslide locations are recorded as a point which are showed in Fig.1. We have provided detail information like time about records (line 96, 99-100).

Line 101: What is meant by “There is no physical relationship between a grid-cell and slope” – do you mean that slope will vary within a grid cell?

Response: Landslides are the result of slope processes acting at different spatial and temporal scales that result in geomorphological forms of very different shapes and sizes that are difficult to capture by grid-cells accurately. The geometry of a landslide is better represented by a polygon or a set of polygons in vector format; unless the size of the grid-cell is very small compared to the size of the landslide. We have referred to the original manuscript and make it more clear (line 106-107, 109-110).

Section 2.4: In this section, I think more justification is needed for the choice of controlling factors. I would also divide the section into “factors used in landslide susceptibility assessment”, “factors used in debris flow susceptibility assessments” and “factors used in both”. I think you have done this, but I would make it clear at the beginning of each paragraph which input factors you are describing.

Response: We are agreed with the comment. We have added related information and divide the sections clearly (line 122-124, 131-141).

Line 113: It's true that different parameters are used in soil slide and debris flow susceptibility assessment. However, there is also quite a lot of difference between the factors used by different landslide susceptibility assessments.

Response: We are agreed with the new expression which is more accurate and clear (line 118-119).

Line 120: When you say NDVI, are you using pre-event NDVI, as a proxy for land cover type, or post-event NDVI as a direct measurement of vegetation removal caused by the debris flow?

Response: NDVI reflects the vegetation conditions in the area and we use the preevent NDVI as a proxy for land cover type.

Lines 148-153: What are the sources of your datasets? (the geological map, the DEM, the roads, the faults, the rainfall)

Response: We are agreed with the comment. We have provided related information on line 162-168.

Line 149: What is the source of your DEM data?

Response: We provide related link where we download the DEM data on line 162-168.

Line 187: There are several options for optimisation in sci-kit learn. Which one did you use?

Response: Cross-Validation were applied in our work.

Line 193: I think here you mean you are analysing the relative importances of the conditioning factors.

Response: Yes, what you think is correct and we have explain it correctly on line 206.

Line 201: Specify here that AUC of 0.5 = No Skill for ROC curve, otherwise people might think the scale is from 0-1.

Response: We are agreed with the comment. We have explain the AUC value more clearly on line 212-213.

Line 232: When you say “disaster points”, you are referring to debris flows, so I would just say observed debris flows.

Response: Disaster points in our work referred to all landslide locations not just to debris flows.

Line 257 I don’t understand what is meant here by “factor analysis”. What exactly has been done?

Response: Factor analysis is a method which is usually used for dimensionality reduction and exploring the major factors. We have added related information on line 272-278.

Line 260: Please explain how KMO testing works and how to interpret the values.

Response: We have added related information on line 275-278.

Line 263-265: When you say model 1 and model 2, are these the landslide SZM and debris flow SZM models respectively?

Response: 36 watershed units with distribution of high or very high-grade slope units were taken as model 1 and the left 8 watershed units as model 2, which has been explained on line 262-264. The models established is to explore the major conditioning factors for analyzing the reason why some high or very high-grade susceptibility watershed units are covered with low susceptibility slope units.

Line 312: You should give examples of studies that use logistic regression and discriminant analysis here to back up your statement

Response: We are agreed with the comment. We have added related references here (line 329 and 333).

Line 314: Random Forests have been applied to landslide susceptibility in several previously published works, which should be referenced here. Some examples: Chen, W., Xie, X., Wang, J., Pradhan, B., Hong, H., Bui, D.T., Duan, Z. and Ma, J., 2017. A comparative study of logistic model tree, random forest, and classification and regression tree models for spatial prediction of landslide susceptibility. *Catena*, 151,pp.147-160.

Catani, F., Lagomarsino, D., Segoni, S. and Tofani, V., 2013. Landslide susceptibility estimation by random forests technique: sensitivity and scaling issues. *Natural Hazards and Earth System Sciences*, 13(11), p.2815. Zhang, K., Wu, X., Niu, R., Yang, K. and Zhao, L., 2017. The assessment of landslide susceptibility mapping using random forest and decision tree methods in the Three Gorges Reservoir area, China. *Environmental Earth Sciences*, 76(11), pp.1-20.

Response: We are agreed with the comment. We have added related references here (line332).

Section 5.2: If I understand correctly, what you are saying here is that landslide susceptibility maps should not be used in debris flow hazard assessment and viceversa. This seems to me to be an important conclusion from this paper and should be stated more clearly.

Response: Yes, it is correct that different kinds of landslides should be evaluated respectively conditioning that conditioning factors and scale varies. We add related information on line 355-356.

Line 343: this is not very clear can you give a more specific example?

Response: We adjusted the language order and enhanced the before-and-after logic (360-363).

Table 1: The layout of this table is a bit strange, having a single row with so much information in it. I also think the parameters may not make any sense to someone who has not used the sci-kit learn package for example “max_features, sqrt”.

Response: We are agreed with the comment. The application of machine learning usually involves several hyper-parameter needed to be tuned before modeling. Different machine learning methods need different optimum parameters or different optimisation technique will generate different values of parameters which are not easy to implement and even means nothing to someone who are not skilled at modeling. The main aim of our work is to explore the relationship between soil slides and debris flow by mapping respectively not for the comparison of different methods. Therefore, it will be easier to be understood if Table 1 is removed. And we decided to removed Table 1 and related information.

Table 1: You have two models here: one for landslides and one for debris fflows. Did the optimisation technique you used yield the same optimum parameters for both models?

Response: The optimum parameters are not the same because the number of samples of landslide and debris flow are different. On the other hand, the conditioning factors for landslide and debris flow are also different.

Technical Corrections

Line 52: There is no space between flow”(Varnes, Response:We have make it correct.

Response:We have make it correct.

Line 94: There is no space between 7.1(Fig.4) Response:We have make it correct.

Response:We have make it correct.

Line 148: Do you mean the “raw data” rather than the “row data”? Response: It should be raw data. Line 166: “curves(Green” the space should be before the bracket

Response: We have make it correct.

Lines 170-172: Do these need to be separately numbered equations? Also in “Sensitivity” and “Accuracy” some of the word is in italics and some is not.

Response: Accuracy, Sensitivity and specificity are three similar indexes for evaluating the performance of model and we have referred to some other papers that the equations are listed in together. TP, TN, FN and FP should be in italics and we have made them correct.

Line 189: Are there two spaces between “trees” and “and”?

Response: We have make it correct.

Line 260: significance (Sig) was defined earlier in the manuscript

Response: We have make it correct.

Line 337: No space between “respectively(Fig.10)”

Response: We have make it correct.

Line 286: Zonation not Zoination

Response: We have make the word correct.

Response to Reviewer 2#:

1) Introduction should partially enlarged for a better and specific presentation of debris flow phenomena (see below).

Response: We have modified it according to the comments. Line 41, 44-45, 48-49.

2) Section3 is not clear:authors should explain the method or model they used. There is no connection between 3.1 and 3.2. Moreover, the presentation of the RF model is not clear.

Response: We have re-write related information of the modeling of RF(line194-195, 200-202, 205-206). Section3.1 and 3.2 belong to the method used in this study. Section 3.1 explain the sampling strategy and elevation indexes for RF models. Section 3.2 introduce RF model.

3) Section4.The procedure should be introduced at the beginning:at first evaluation of the training dataset and after that of the remaining dataset. Moreover,there is some confusion on the presentation of data analysis (e.g.see the comment to lines252-253).

Response: Yes, we have introduced the performance of RF model in terms of training data set first and then compared the results with validation data set. Section 4.3 is confusing because we have to compare the results of debris flow and landslide. The maps were both reclassified into five

levels and we tried to present them on the same map. We have checked the results and make it easier to be understood.

4) Section 5. All the assumptions claimed by the authors should be supported by results shown at the previous section. Otherwise, all this section is thin air. In other words, each assumption should be justified by the findings of the previous section.

Response: Yes, we could not agree more. The results we obtained indicate that RF was suitable for landslide susceptibility mapping, there is no determined relationship between debris flow and landslide, it is feasible to map two kinds of disaster in the same susceptibility map (line 381-385).

5) English form is not always acceptable

Response: We have checked the whole manuscript again and avoid some awkward expressions.

6) Introduction The writer suggests a brief characterization of debris flows based on the triggering mechanisms and conditions to explain the phenomenon and avoid confusion, as that below: Most of debris flows are runoff generated (Imaizumi et al., 2006; Coe et al., 2008, Gregoretti & Dalla Fontana, Ma et al., 2018). In many cases they occur on a channel bed for the entrainment into abundant runoff of debris supplied by deep or shallow slides of slopes incised by the channel. (Theule et al., 2012), Hurlimann et al. (2014), Imaizumi et al. 2019, Zhou et al., 2019; Simoni et al., 2020).

Conversely, landslide or natural dam failure that evolve into a debris flow (Iverson et al.,; Kean et al., 2013) are not frequent. Moreover, it is not clear the way the potential relationship between debris flow and landslide is approached through the separated susceptibility analysis: some concise

information could help the reader.

Response: The comments are detailed and important and we have add related information in the Introduction to further express the potential relationships between debris flow and landslide (line 41,44-46, 48-49).

Other spotted errors and comments are as follows: Line75 perhaps “surface”instead of “area”

Response:We modified it accordingly. Line78

Line 82 “Three types of lithology were mainly observed”rather than“There were three common lithology observed”

Response:We modified it accordingly. Line85

Line85 “Main common disasters in the study area mainly consist”instead of“The disasters in the study area mainly consist”

Response:We have already modified it. Line88

Lines104-105““The geometry of debris flow is better represented by a polygon or a set of polygons in vector format”Which is the sense of such a sentence?Authors should explain,as in the case of landslide which typology of unit is preferable for debris flow.

Response:We have added related information. The watershed unit is preferable for debris flow. line 109-110.

Line115:Moreover, before availability

Response:We have already modified it (line 123).

Lines114-116“The occurrence of debris flow emphasizes the indispensability of provenience, topography and triggering factors. Availability, reliability, and practicality of thefactor data were also considered (van Westenetal.,2008).” Such period should be postponed to that at lines116-119.

Response:We have already modified it (line 122-124).

Line122:elevation of what?

Response: Maximum elevation difference is another conditioning factor.

line124:Figure 5 concerns landslide. The figure 6 concerning debris flow should be also added.The writer suggests to distinguish the reference to these two figures by means of the phenomenon.

Response:We have already modified it.

line126 please separate the controlling factors concerning landslide from those concerning debris flows.

Response:We have already modified it (Section 2.4.1-2.4.3).

Line127 Why do the authors use reclassify and not classify?

Response:Reclassify is an tool in ArcGIS platform.

Line128: the proximity of roads, rivers rather than roads, river....Therefore the distance from roads, river was classified

Response: We have already modified it (line 135-138).

Lines137-138“Considering the correlation between the two controlling factors,basin area and main channel length are represented by the same graph,which was reclassified into four classes(Fig.6h).”unclear sentence.

Response: We have already modified it. Line 148-149

Line148“Totally 18 factors are obtained by processing the row data in the ArcGIS10.2 platform.”

Perhaps the values of 18 controlling factors were classified by processing.....

Response: We have already modified it. Line 161-162

Line149 The DEM size,30 m seems too large.The author should justify it.Please consider that Boreggioetal.(2018) suggested the use of 1m grid size.

Response:The DEM size is accessible for 30m and 90m. Some studies use 5m or 1m by resampling tool of ArcGIS. However, 30m is the most common.

Line153“under study as a reference.”Unclear expression.

Response: We have already modified it (line166-168).

Lines157-158“data set”rather than“set”

Response:We have already modified it.

Lines159-161The partition of landslide inventory is approached.....among them that of one time random selection() is the most used.

Response:We have already modified it (174-177).

Lines179-180“RF uses the bagging technique (bootstrap aggregation)to select, at each node of the tree,random samples of variables and observations as the training data set for model calibration.”Unclear sentence

Response:We have already modified it (Line194-195).

Lines186-194.Unclear period.

Response:We have already modified it. Line 200-202.

Line197“training data set”rather than“training set”

Response:We have already modified it.

Line198“of for”????

Response: We have already modified it.

Line199“with a sensitivity value”

Response: We have already modified it.

Line203“for models”?????

Response: We have already modified it.

Line206 Values of 88.69 and 86.05% are claimed for sensitivity and specificity respectively. Why at the previous lines the values are 91.62 and 89.96%? Please explain

Response: The data set were divided into two groups, one for training, the other for validation. Therefore, the sensitivity and specificity values were different.

Line207“with a value”

Response: We have already modified it (line 214).

Line208“training model”???? perhaps it is training dataset

Response: We have already modified it (line 221).

Line214 delete“reached 179”

Response: We have already modified it .

Lines215,216,231 what does relate the percentage? The total number of units? Please Specify.

Response: We have already modified it (line 220, 230, 244).

Line219“were” in stead of“was”

Response:We have already modified it (line 233).

Line230 delete“reached to 26”

Response:We have already modified it.

Line235 which is the sense of the following sentence?‘which has significant influence on the occurrence of debris flow.

Response:We have already modified it (line 249).

Line236 substitute “which are”with“.”

Response:We have already modified it.

Figure8.The writer suggests the use of the same colours for both the susceptibility Maps.

Response: We have compared the results before and found it better when the maps were made from different colour to highlight the difference between debris flow and landslide.

Lines249-251“There are 23 watershed units belonging to high-class in the debris flow susceptibility zoning map (Fig.8),of which17 units are covered with high or very high-class slope units in the landslide zoning map (Table5).” it is better substitute“are covered” with “correspond to high or very high-class” Moreover, add the following sentence: “Therefore,there are 6 units that does not overlap (about26%).”

Response: We have already modified it (line 264-266).

Line251 about the 4 watershed units:do the belong to the 6 watershed units with no high or very-high slope units?

Response: The susceptibility maps were reclassified into five levels as very low, low, moderate, high and very high. And 17 watershed units were correspond to with high or very high-class slope units in the landslide zoning map, 4 watershed units are covered with low or very low class slope units. The last 2 watershed units are covered with moderate level.

Lines252-253 which susceptibility maps do belong the 19 high and very-high class watershed units (19)

Response: Watershed units were for debris flow and slope units were for landslide.

Line269 which two models?

Response: We have added detail information (line 274-275, 285).

Lines 313-314 “has been little used until now for susceptibility analysis of landslide and debris flows”instead of “has less application in landslide and debris flow analysis”

Response: We have already modified it (line 331-332).

Line322“ from the concept”unclear expression

Response: We have already modified it (343-347).

Lines 326-329 this period should be summarized in a more concise and clear form

Response: We have already modified it (345-349).

Line 338 Where the relationship between landslide and debris flow is illustrated?

Response: We have added related information (line 355-356).

Lines 348-349 “The fact that the appropriate prediction method and mapping units applied to the two disasters makes it possible to merge the two zoning maps” Which appropriate prediction method? Which is sense of this sentence?

Response: Random forest has proved its superiority in this study. Mapping two kinds of disaster in the same map has not been explored before and we try to explain why and how does it works.

Line 359 “models based on random forest” if the authors mean “based on RF models” the expression is unclear (see lines 197; 241). This ambiguity is elsewhere present in the submitted manuscript.

Response: We have already modified it (line 378).

Points 2 and 3 of the conclusion could be merged in a unique one. This point should begin after explaining that there is no potential relationship between the occurrence of the two considered phenomena. After that, the authors could explain the reasons in 2.1 and 2.2 corresponding to the points 2 and 3 of the submitted work.

Response: We have modified it (line 381-385).

Finally, we have added related reference based on the comments.

Response: We have added related reference based on your list.

We appreciate for Editors and Reviews' warm work earnestly, and hope that the correction will meet with approval.

Thank you and best regards.

Yours,

Zhu

