A regional spatio-temporal analysis of large magnitude

2 snow avalanches using tree rings

avalanche event chronology from tree-ring records.

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10 Abstract. Snow avalanches affect transportation corridors and settlements worldwide. In many mountainous 11 regions, robust records of avalanche frequency and magnitude are sparse or non-existent. However, 12 dendrochronological methods can be used to fill this gap and infer historical avalanche patterns. In this study, we developed a tree-ring based avalanche chronology for large magnitude avalanche events (size ≥ 13 14 ~ D3) using dendrochronological techniques for a portion of the northern United States Rocky Mountains. 15 We used a strategic sampling design to examine avalanche activity through time and across nested spatial scales (i.e. from individual paths, four distinct sub-regions, and the region). We analysed 673 total samples 16 17 from 647 suitable trees collected from 12 avalanche paths, from which 2,134 growth disturbances were identified over years 1636 to 2017 Common Era (C.E.). Using existing indexing approaches, we developed 18 19 a regional avalanche activity index to discriminate avalanche events from noise in the tree-ring record. Large 20 magnitude avalanches common across the region occurred in 30 individual years and exhibited a median return interval of approximately three years (mean = 5.21 years). The median large magnitude avalanche 21 return interval (3-8 years) and the total number of avalanche years (12-18) vary throughout the four sub-22 23 regions, suggesting the important influence of local terrain and weather factors. We tested subsampling 24 routines for regional representation, finding that sampling eight random paths out of a total of 12 avalanche

paths in the region captures up to 83% of the regional chronology, whereas four paths capture only 43% to 73%. The greatest value probability of detection for any given path in our dataset is 40%, suggesting that

sampling a single path would capture no more than 40% of the regional avalanche activity. Results emphasize

the importance of sample size, scale, and spatial extent when attempting to derive a regional large magnitude

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1 Introduction

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1.1 Background

- 33 Snow avalanches are hazardous to human safety and infrastructure (Mock et al., 2016; Schweizer, 2003) as
- well as an important landscape disturbance affecting mountain ecosystems (Bebi et al., 2009). In the United
- 35 States an average of 27 people die in avalanche accidents each winter (CAIC, 2020). Avalanches, especially
- 36 large magnitude events, also affect transportation corridors and settlements throughout the world. For
- 37 example, avalanches impact numerous roadways and railroad corridors in the western United States
- 38 (Armstrong, 1981; Hendrikx et al., 2014; Reardon et al., 2008). Consequently, understanding general
- 39 avalanche processes and associated large magnitude avalanche return intervals is critical for local and
- 40 regional avalanche forecasters, transportation agencies, and land use planners.
- 41 Long-term, reliable, and consistent avalanche observation records are necessary for calculating avalanche
- 42 return intervals, which can be used in infrastructure planning and avalanche forecasting operations. However,
- 43 such records are often sparse or non-existent in many mountainous regions, including areas with existing
- 44 transportation corridors. Thus, inferring avalanche frequency requires the use of dendrochronological
- 45 methods to document damaging events or geomorphic response within individual trees at individual path to
- 46 regional scales. Even in regions with historical records, tree-ring dating methods can be used to extend or
- 47 validate uncertain historical avalanche records, which has led to the broad implementation of these methods
- 48 in mountainous regions throughout the world (e.g. Corona et al., 2012; Favillier et al., 2018; Schläppy et al.,
- 49 2014).
- 50 Numerous studies reconstructed avalanche chronologies in the United States using tree-ring methods
- 51 (Burrows and Burrows, 1976; Butler et al., 1987; Carrara, 1979; Hebertson and Jenkins, 2003; Potter, 1969;
- 52 Rayback, 1998). Butler and Sawyer (2008) provided a review of current methodologies and types of tree-
- 53 ring responses used in avalanche dendrochronological studies. Favillier et al. (2018) provided a more recent
- 54 comprehensive graphical summary of dendrochronological avalanche studies throughout the world.
- 55 Numerous studies used dendrochronological techniques to develop avalanche chronologies for remote
- 56 regions without historical avalanche records or areas with inconsistent avalanche observations (Butler and
- 57 Malanson, 1985a; Germain et al., 2009; Reardon et al., 2008; Šilhán and Tichavský, 2017; Voiculescu et al.,
- 58 2016), and many studies used these techniques to examine avalanches across space and time (Table A1).

59 1.2 Framework and objectives

- 60 Tree-ring avalanche research is resource and time intensive. Like other scientific fields, it is not feasible to
- 61 completely sample the variable of interest with infinite detail due to logistical and financial constraints
- 62 (Skøien and Blöschl, 2006). Thus, a strategic spatial sampling method is necessary. Here, we strategically
- 63 sampled 12 avalanche paths in four distinct sub-regions of the U.S. northern Rocky Mountains of northwest
- 64 Montana to examine spatial differences at a regional scale. The sampling strategy is based on the concept of
- 65 scale triplet, which defines the spacing, extent, and support of our sampling scheme (Blöschl and Sivapalan,

- 1995). Incorporating the scale triplet concept helps us understand the nature of the problem, the scale at which 66 measurements should be made, and how we can estimate the measurements across space. Often, the scale at 67 68 which samples are collected differs from the scale necessary for predictive purposes (Blöschl, 1999). For 69 example, if we are interested in avalanche frequency relationships with regional climate patterns but tree-70 ring samples are collected at an avalanche path scale, then a network of sampled paths need to be spaced and 71 aggregated across the core of the climatically similar region. In our study, the extent is the entire region and 72 sub-regions, the spacing is the distance between avalanche paths and sub-regions, and the support is the size 73 of the area being sampled. In addition, the process scale is the natural variability of avalanche frequency, the 74 measurement scale is the tree-ring proxies used to represent avalanche occurrence on an annual temporal 75 scale, and the model scale relates to aggregating all of the sample areas to derive a regional avalanche 76 chronology.
- 77 We adopt Martin and Germain's (2016) definition that large magnitude avalanches are events characterized
- 78 by low and variable frequency with a high capacity for destruction. This generally translates to a size 3 or
- 79 greater on the destructive classification scale i.e. ability to bury or destroy a car, damage a truck, destroy a
- 80 wood frame house, or break a few trees (Greene et al., 2016).
- 81 Understanding the spatiotemporal behavior of large magnitude avalanches on the regional scale will improve
- 82 avalanche forecasting efforts, especially for operations involving avalanche terrain that impacts
- 83 transportation corridors. Here, we aim to answer three specific questions:
- 84 1) What is the regional, sub-regional, and path specific frequency of large magnitude avalanches in the U.S.
- 85 northern Rocky Mountains of northwest Montana?
- 86 2) How does the spatial extent of the study region affect the resultant avalanche chronology?
- 87 3) What is the probability of detecting regional avalanche activity by sampling different avalanche paths?
- 88 To our knowledge, this is the first study to look at how various spatial scales compare when reconstructing a
- 89 regional avalanche chronology from dendrochronological data on a large dataset (N > 600 samples). Further,
- 90 we believe this is the first study that utilizes a regional dendrochronological record to derive return periods
- 91 over a large (> 3500 km²) spatial extent. Our hypothesis is that aggregating the paths into sub-region and
- 92 then again into a full region allows us to minimize the limitation of tree-ring avalanche chronologies
- 93 underestimating avalanche years at these scales.

94 2. Methodology

95 **2.1 Study Site**

- 96 Our study site consists of 12 avalanche paths in the Rocky Mountains of northwest Montana, USA (Figure 1
- 97 and Table 1). We sampled sets of three avalanche paths in four distinct sub-regions within three mountain
- 98 ranges: the Whitefish Range (WF, Red Meadow Creek) and Swan Range (Swan, Lost Johnny Creek) on the
- 99 Flathead National Forest, and two sub-regions within the Lewis Range in Glacier National Park (GNP),
- 100 Montana. The sites in GNP are along two major transportation corridors through the park: the Going-to-the-

Sun Road (GTSR) and U.S. Highway 2 in John F. Stevens (JFS) Canyon. These two areas were utilized for previous dendrochronological avalanche research (Butler and Malanson, 1985a; Butler and Malanson, 1985b; Butler and Sawyer, 2008; Reardon et al., 2008). A robust regional avalanche chronology reconstruction will help place the previous work in context of the wider region. The other two sites, WF and Swan, are popular backcountry recreation areas with access via snowmachine in the winter along a U.S. Forest Service road. The avalanche paths in each sub-region encompass a range of spatial extents from adjacent (i.e. < 30 m apart) to ~10 km apart. Overall, this study region provides an ideal natural setting for studying avalanches due to its geography, inclusion of transportation and recreation corridors potentially impacted by avalanches, relative accessibility, and no artificial avalanche hazard mitigation.

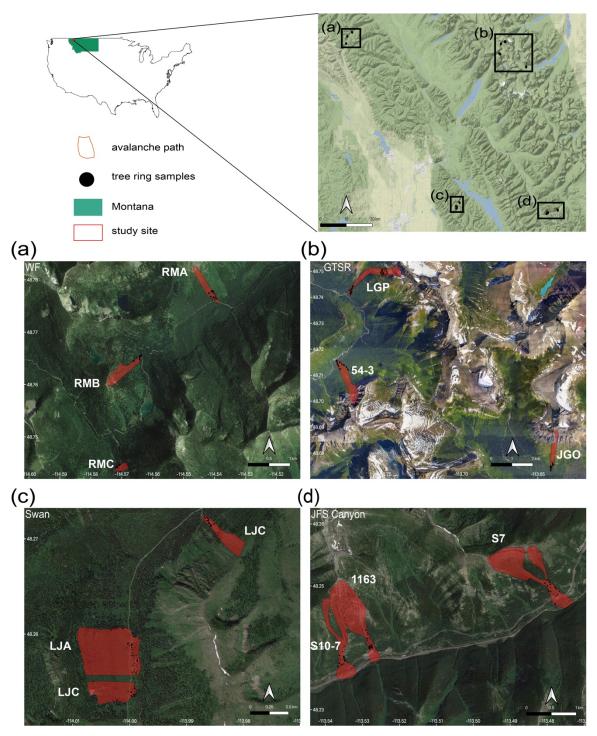


Figure 1: Study site. The red rectangle in the state of Montana designates the general area of the four sampling sites. The sites are (a) Red Meadow, Whitefish Range (WF), (b) Going-to-the-Sun Road (GTSR), central GNP, (c) Lost Johnny Creek, northern Swan Range (Swan), and (d) John F. Stevens Canyon (JFS), southern GNP. Black dots represent sample locations. Abbreviated names of each path are in white text adjacent to red polygons (paths). Satellite and map imagery: © Google (n.d.). Maps produced using ggmap in R (Korpela et al., 2019).

Table 1: Topographic characteristics of all avalanche paths. * denotes two major starting zones for one runout in Shed 10-7 and Shed 7 paths.

Path	Trees (n)	Full Path Elev. (mean) (m)	Full Path Elev. (range) (m)	Starting Zone Elev. (mean) (m)	Full Path Slope (mean) (°)	Starting Zone Slope (mean) (°)	Median Aspect (°)	Area (km²)	Length (m)	Vertical (m)	Years of previous fire or logging
WF-Red Meadow A (RMA)	41	1651	1462 - 1957	1774	26	32	155	0.32	1004.97	495.20	1952
WF-Red Meadow B (RMB)	40	1870	1643 - 2164	1965	31	37	53	0.13	1041.98	521.27	1967
WF-Red Meadow C (RMC)	42	1650	1582 - 1742	1692	28	33	257	0.08	326.14	160.46	1962
GTSR - 54-3	56	1501	1080 - 2149	1708	31	40	327	0.44	2063.61	1068.49	NA
GTSR- Little Granite (LGP)	109	1770	1109 - 2314	2170	24	34	250	0.78	2940.29	1205.07	NA
GTSR- Jackson Glacier Overlook (JGO)	41	1863	1500 - 2660	2090	32	42	180	0.70	1793.13	1159.84	NA
Swan-Lost Johnny A (LJA)	53	1619	1441 - 1896	1731	29	38	77	0.41	811.50	455.27	1971-72
Swan-Lost Johnny B (LJB)	26	1633	1478 - 1879	1721	32	39	76	0.57	617.52	401.80	1971-72
Swan-Lost Johnny C (LJC)	42	1550	1344 - 1750	1670	34	36	326	0.39	667.88	405.66	1957, 2003
JFS-Shed 10-7 (S10.7)*	109	1644	1233 - 2193	1910 1964	31	35 39	176	0.13	1745.66	959.74	1910
JFS-Shed 7 (S7)*	46	1712	1310 - 2078	1935 1837	29	34 36	152	0.57	1686.96	768.01	1910
JFS-1163	50	1718	1250 - 2217	1861	38	42	158	0.17	1636.52	966.82	1910
All Paths	655	1690	1080 - 2660	1869	-0.17	0.14	31	37	Spatial fo	ootprint =	3500 km ²

- 120 Northwest Montana's avalanche climate is classified as both a coastal transition and intermountain avalanche
- 121 climate (Mock and Birkeland, 2000), but it can exhibit characteristics of both continental or coastal climates.
- 122 The elevation of avalanche paths within the study sites range from approximately 1100 m to 2700 m and the
- starting zones of these paths are distributed among all aspects (Table 1).
- 124 We eliminated or minimized influence from exogenous disturbance factors such as logging and wildfire by
- referencing wildfire maps extending back to the mid-20th century. We selected sites undisturbed by wildfire
- since this time except for Lost Johnny Creek, which was purposeful as this area burned most recently in 2003.
- We also minimized the influence of logging by selecting sites not previously logged. Using historical logging
- 128 parcel spatial data, we determined logging in some sites was limited to very small parcels adjacent to the
- 129 farthest extent of the runout zones.
- 130 The historical observational record in this area is limited. In this study region, the Flathead Avalanche Center
- 131 (FAC), a regional U.S. Forest Service backcountry avalanche center, records all avalanches observed and
- 132 reported to the center. However, not all avalanches are observed or reported given the approximately 3500
- 133 km² advisory area. The Burlington-Northern Santa Fe Railway (BNSF) Avalanche Safety Program records
- most avalanches observed in John F. Stevens Canyon in southern Glacier National Park, where there is 16
- km of rail line with over 40 avalanche paths. However, systematic operational observations only began in
- 136 2005. Observations prior to this time are inconsistent, though large magnitude avalanches were mostly
- 137 recorded. Reardon et al. (2008) developed as complete a record as possible from the Department of
- 138 Transportation and railroad company records, National Park Service ranger logs, and popular media archives.
- 139 In this sub-region, avalanche mitigation is conducted on an infrequent and inconsistent basis in emergency
- 140 situations, which is typically only once a year, if at all. Thus, the record approximates a natural avalanche
- 141 record. We compared the reconstructed avalanche chronology of the JFS sub-region to the historical record
- of large magnitude years for qualitative purposes. A quantitative comparison would not be reflective of the
- true reliability of tree-ring methods because of the incomplete historical record.

144 2.2 Sample Collection and Processing

- 145 Our sampling strategy targeted an even number of samples collected from both lateral trimlines at varying
- elevations and trees located in the main lower track and runout zone of the selected avalanche paths. This
- 147 adequately captured trees that were destroyed and transported, as well as those that remained in place. The
- 148 definition of large magnitude avalanche in this study refers to avalanches of approximately size D3 or greater
- 149 (Greene et al., 2016) and may not run the full length of the avalanche path. We sampled spatial extents within
- 150 each avalanche path representative of runout extents ≥ size D3 avalanches. We also used recent (within the
- previous 10 years) observed large magnitude avalanche activity in these paths to constrain our sampling.
- 152 Sample size for avalanche reconstruction using tree-ring data requires careful consideration. Butler and
- 153 Sawyer (2008) suggested that a few damaged trees may be sufficient for avalanche chronologies, but larger
- 154 target sample sizes increase the probability of detecting avalanche events (Corona et al., 2012). Germain et
- 155 al. (2010) examined cumulative distribution functions of avalanche chronologies and reported only slight

increases in the probability of extending chronologies with sample size greater than 40. This also depends on 156 157 the available length of record within a given avalanche path. Thus, given the large spatial footprint (~3500 158 km²) of this study and feasibility of such a large sample size, we sampled between 26-109 samples per 159 avalanche path resulting in 655 trees (Table 2). Eight trees were unsuitable for analysis leaving us with 673 160 total samples from 647 trees. Of the 673 total samples, we collected 614 cross sections and 59 cores. Shed 161 10.7 (S10.7) path was the focus of previous work (Reardon et al., 2008), and the dendrochronological record 162 extends up to 2005 (n=109 trees). Little Granite Path (LGP) was collected in the summer of 2009 (n=109 163 trees). We sampled the remaining 10 paths (437 of the 655 total trees) in the summer of 2017. 164 We collected three types of samples: (1) cross sections from dead trees, (2) cross sections from the dead 165 leaders of avalanche-damaged but still living trees, and (3) cores from living trees. We used predominantly 166 cross-sections in this study for a more robust analysis as events can potentially be missed or incorrectly 167 identified in cores. We emphasized the selection of trees with obvious external scars and considered location, 168 size, and potential age of tree samples. A limitation of all avalanche dendrochronology studies is that large 169 magnitude events cause extensive damage and high tree mortality, thereby reducing subsequent potential 170 tree-ring records. 171 We sampled stem cross-sections at the location of an external scar or just above the root buttress from downed 172 or standing and dead trees, and from stems of trees topped by avalanche damage. We extracted tree-ring core 173 samples from live trees with obvious scarring or flagging along the avalanche path margins and runout zone 174 using a 5 mm diameter increment borer. We collected a minimum of two and up to four core samples per tree 175 (two in the uphill-downhill direction and two perpendicular to the slope). We photographed each sample at 176 each location and recorded species, Global Positioning System (GPS) coordinates (accuracy 1-3 m), amount 177 of scarring on the cambium of the tree, relative location of the tree in the path, and upslope direction (Peitzsch 178 et al., 2019). We also recorded location characteristics that identified the tree to be in-place vs. transported 179 from its original growth position (i.e. presence or absence of roots attached to the ground or the distance from 180 an obvious excavated area where the tree was uprooted). 181 To prevent radial cracking and further rot, we dried and stabilized the cross sections with a canvas backing. 182 We sanded samples using a progressively finer grit of sandpaper to expose the anatomy of each growth ring, 183 and used the visual skeleton plot method to account for missing and false-rings and for accurate calendar 184 year dating (Stokes and Smiley, 1996). We assessed cross-dating calendar-year accuracy of each sample and 185 statistically verified dating against measured samples taken from trees within the gallery forest outside the 186 avalanche path, and from preexisting regional chronologies (Table A2) (ITRDB, 2018) using the dating 187 quality control software COFECHA (Grissino-Mayer, 2001; Holmes, 1983). For further details on cross-188 dating methods and accuracy calculation for this dataset see Peitzsch et al. (2019).

2.3 Avalanche Event Identification

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We analyzed samples for signs of traumatic impact events (hereafter "responses") likely caused by snow avalanches. We adapted a classification system from previous dendrogeomorphological studies to

qualitatively rank the trauma severity and tree growth response from avalanche impacts using numerical scores ranked 1 through 5 (Reardon et al., 2008). This classification scheme identified more prominent avalanche damage responses with higher quality scores, and allowed us to remain consistent with previous work (Corona et al., 2012; Favillier et al., 2018) (Table 2). To compare our ability to capture avalanche/trauma events using cores versus those captured using cross-sections, we sampled a subset (n=40) of the cross-sections by analyzing four 5 mm wide rectangles to mimic a core sample from an increment borer. The four subsamples on each cross section were made perpendicular to one another (i.e. 90 degrees) based on the first sample taken from the uphill direction of each stem to replicate common field sampling methods. We then summarized results from the four subsamples for each tree by taking the highest response score for each growth year. Finally, we compared the number, quality response category, and calendar year of the avalanche/trauma events derived from the core subsamples to those identified from the full cross sections.

Table 2: Avalanche impact trauma classification ratings, where C1 represents the strongest and easily detectable trauma and C5 represents subtle and difficult-to-detect trauma.

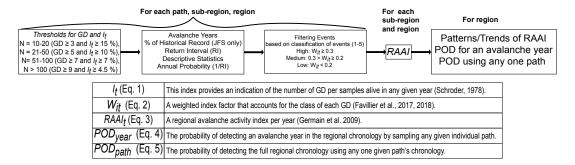
Classification	Description
C ₁	 Clear impact scar associated with well-defined reaction wood, growth suppression or major traumatic resin duct development. Or, the strong presence of some combination of these major anatomical markers of trauma and growth response recorded in multiple years of growth and occurring at a year that multiple samples from other trees at the site record similar trauma and scaring. C₁ events are also assigned to the death date of trees killed by observed avalanche mortality at the collection site; the presence of earlywood indicates an early spring, or late avalanche season, event killed the tree.
C ₂	 Scar or small scar recorded in the first ten years of tree growth without associated reaction wood, growth suppression or traumatic resin ducts. Or, obvious reaction wood, growth suppression or significant presence of traumatic resin ducts that occur abruptly after normal growth that lasts for 3 or more years.
C ₃	• The presence of reaction wood, growth suppression, or traumatic resin ducts recorded in less than 3 successive growth years.
C ₄	 Poorly defined reaction wood, growth suppression or minimal presence of traumatic resin ducts lasting 1-2 years. Or, a C₃ class event occurring in the first 10 years of tree growth where the cause of damage could result from various biological and environmental conditions.
C ₅	 Very poorly defined reaction wood, growth suppression, or minimal presence of traumatic resin ducts isolated in one growth year. Or, a C₄ class event occurring in the first 10 years of tree growth where the cause of damage could result from various biological and environmental conditions.

2.4 Chronology and Return Period Calculation

To generate avalanche event chronologies and estimate return periods for each path and for the entire study 209 210 site, we utilized R statistical software and the package slideRun, an extension of the burnR library for forest 211 fire history data (Malevich et al., 2018). We calculated the age of each tree sampled and the number of 212 responses per year in each avalanche path and computed descriptive statistics for the entire dataset. Estimates 213 of avalanche path return intervals should be viewed as maximum return interval values due to the successive 214 loss of samples and decreasing sample number back through time. 215 We used a multi-step process to reconstruct avalanche chronologies on three different spatial scales: individual paths, four sub-regions, and the entire region. We also calculated a regional avalanche activity 216 217 index (RAAI) (Figure 2). The process involved first calculating the ratio of trees exhibiting growth disturbance (GD) over the number of samples alive at year t to provide the index I_t (Shroder, 1978): 218

$$I_t = \left(\frac{\sum_{i=1}^n (R_t)}{\sum_{i=1}^n (A_t)}\right) \times 100 \tag{1}$$

where R is the number of trees recording a GD at year t with A_t representing the number of trees alive in our samples at year t.



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Figure 2: General workflow of analytical methods to reconstruct regional avalanche chronology, regional avalanche activity index, and the probability of detection. N=sample size. GD=growth disturbances. I $_{\rm H}$ Index of ratio of responses to trees alive. RI=return interval. W_{it} =weighted index as per Favillier (2017, 2018). RAAI=regional avalanche activity index as per Germain et al. (2009). POD = probability of detection. See Eqs. 1-5 for details.

We then used double thresholds to estimate the minimum absolute number of GD and a minimum percentage of samples exhibiting GD per year (*I_t*) based on sample size (N) following thresholds established by Corona

229 et al. (2012) and Favillier et al. (2018): N = 10-20 (GD ≥ 3 and $I_t \geq 15$ %), N = 21-50 (GD ≥ 5 and $I_t \geq 10$

230 %), N= 51-100 (GD \geq 7 and $I_t \geq$ 7 %), and N > 100 (GD \geq 9 and $I_t \geq$ 4.5 %).

We then used the chronologies derived from this process to calculate a weighted index factor (W_{ii}). We used this established threshold approach since it has been broadly employed in the literature and allows comparability of our avalanche chronology to results reported in other studies. We adapted previous equations of a weighted response index (Kogelnig-Mayer et al., 2011) to our 5-scale ranking quality classification to derive the W_{ii} :

$$W_{it} = \frac{\left(\left(\sum_{i=1}^{n} T_{C_1} * 7\right) + \left(\sum_{i=1}^{n} T_{C_2} * 5\right) + \left(\sum_{i=1}^{n} T_{C_3} * 3\right) + \left(\sum_{i=1}^{n} T_{C_4, C_5}\right)\right)}{\sum_{i=1}^{n} A_t}$$
(2)

236 where the sum of trees with scars or injuries $(C_1 - C_3)$ were multiplied by a factor of 7, 5, 3, 1 and 1 237 respectively (Kogelnig-Mayer et al., 2011). 238 Next, we classified W_{tt} into high, medium, and low confidence events using the thresholds detailed in Favillier et al. (2018), where High: $W_{it} \ge 0.3$, Medium: $0.3 > W_{it} \ge 0.2$, Low: $W_{it} < 0.2$. This provided another step 239 240 discriminating the avalanche response from noise. We included all events with medium to high confidence 241 in the next analysis. We then estimated the number of avalanche years, descriptive statistics for return 242 intervals (RI), and the annual probability (1/RI) for each path, sub-region, and region. We use these RI values 243 determined after filtering events throughout the study. We then compared return intervals for all individual 244 paths and sub-regions using analysis of variance (ANOVA) and Tukey's Honest Significant Difference 245 (HSD) (Ott and Longnecker, 2016). In the final step of RI analysis, we subset the period of record for each 246 path from 1967-2017 to compare RI from this condensed time series to the full period of record for each path. 247 Next, we compared the number of avalanche years and return periods identified in the full regional 248 chronology to subsets of the region to determine the number of paths required to replicate a full 12-path 249 regional chronology. We assessed the full chronology against a subsampling of 11 total paths by sequentially 250 removing the three paths with the greatest sample size. We then randomly sampled two paths from each sub-251 region for a total subsample of eight paths, followed by generating a subsample of four paths by choosing 252 the path in each sub-region with the greatest sample size. Finally, we selected a random sample of one path 253 from each sub-region to compare against a total of four single path subsamples.

254 2.5 Regional Avalanche Activity Index and Probability of Detection

Next, we used the I_t statistic from each path to calculate a regional avalanche activity index (RAAI) for the sub-regions and overall region (Germain et al., 2009). The RAAI for each year across the sub-regions and region provides a more comprehensive assessment of avalanche activity within the spatial extent. For each year t, we calculated RAAI:

$$RAAI_{t} = \left(\sum_{i=1}^{n} I_{t}\right) / \left(\sum_{i=1}^{n} P_{t}\right)$$

$$(3)$$

259 where I is the index factor as per Eq. (1) for a given avalanche path for year t and P is the number of paths 260 that could potentially record an avalanche for year t. For the calculation of the overall RAAI, we required 261 each path to retain a minimum sample size of ≥ 10 trees with a minimum number of three paths for year t, 262 and a minimum of one path from each sub-region. We performed a sensitivity test to establish the minimum 263 number of paths necessary to calculate an RAAI value for any given year. 264 We also calculated the probability of detecting an avalanche year identified in the regional chronology as if

We also calculated the probability of detecting an avalanche year identified in the regional chronology as if any given individual path was sampled. The probability of detection for a given year (POD_{year}) is defined as:

$$POD_{year} = \frac{a}{a+b} \tag{4}$$

where a is the number of individual avalanche paths that identify any given avalanche year in the regional chronology and b is the total number of avalanche paths (n=12). We calculated POD_{year} for every year in the

268 regional avalanche chronology. We then compared the PODyear of individual paths to the number of active

avalanche paths as defined in Eq. (3).

270 We also calculated the probability of detection for each path for the period of record (POD_{path}):

$$POD_{path} = \frac{c}{c+d} \tag{5}$$

where c is the number of years identified in any given path that is included in the regional chronology and d

272 is the number of years in the regional chronology that are not identified in the chronology for the given path.

273 2.6 Geomorphological characteristics

274 Using a 10 m digital elevation model (DEM), we calculated a number of geomorphological characteristics 275 for each path, including mean elevation (m, full path and starting zone), elevation range (m), eastness 276 (sin(aspect)) and northness (cos(aspect)) (radians), slope (degrees, full path and starting zone), curvature 277 (index (0-1), profile and planform), roughness (index, full path and starting zone), perimeter (km²), area 278 (km²), length (m), and vertical distance from starting zone to runout zone (m). We also calculated the mean 279 of these characteristics for all paths in the region. The geomorphological characteristics allowed for a 280 determination of the representativeness of the region as a whole (i.e. are the paths similar across the region?) 281 as well as a comparison of the return interval for each path relative to these characteristics. Finally, we 282 estimated the potential relationship between path length, starting zone slope angle, the number of avalanche

years, and median return interval for each individual path using the Pearson correlation coefficient.

284 **3. Results**

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285 We collected a total of 673 samples from 647 suitable avalanche impacted or killed trees (trees: n = 531 dead; 286 n = 116 living) in the full 12-path regional avalanche collection. Of those 673 samples, 614 were cross 287 sections (91%) and 59 were cores (9%). Within these samples we identified 2134 GD, of which 1279 were 288 classified as C₁ and C₂ (60%) (Figure 3(a)). Scars were the dominant input type in GDs classified as C₁, and 289 reaction wood comprised the majority of GDs classified as C2, C3, and C4 (Table A3) The oldest individual 290 tree sampled was 367 years, and the mean age of all samples was 73 years (Figure 3(c)). The period of record 291 of sampled trees extended from 1636 to 2017 C.E. The most common species in our dataset was Abies 292 lasciocarpa (ABLA, sub-alpine fir) (46%) followed by Pseudotsuga menziesii (PSME, Douglas-fir) (37%) 293 and Picea engelmannii (PIEN, Engelmann spruce) (14%) (Figure 3(d)). The oldest GD response dates to year 294 1655. In the entire dataset, the five years with the greatest number of raw GD responses were 2002 (165 295 responses), 2014 (151 responses), 1990 (93 responses), 1993 (90 responses), and 1982 (75 responses).

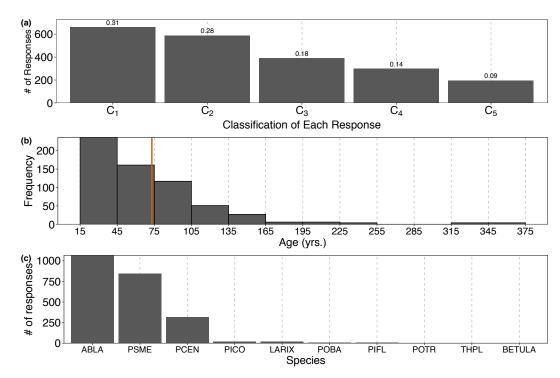


Figure 3: Histograms of (a) number of classification of responses (number above bar represents proportion), (b) sample age (red line represents mean age), and (c) collected species. For species: ABLA=Abies Lasciocarpa, PCEN = Picea engelmannii, PSME = Pseudotsuga menziesii, THPL = Thuja plicata, PICO = Pinus contorta, POTR = Populus tremuloides, LARIX = Larix Mill., BETULA = Betula L., POBA = Populus balsamifera.

3.1 Avalanche Event Detection: Cores versus Cross-Sections

The avalanche response subset analysis that compared results as if samples were from cores versus full cross sections showed that core samples alone would have missed numerous avalanche events and generated a greater proportion of low-quality growth disturbance classifications (Figure 4). For the subset of 40 samples analyzed as cores we identified only 124 of 191 (65%) total GD. Of the 67 GDs that we would have missed just by using cores, 24 were classified as C₁ quality events, 24 were C₂, 14 were C₃, 3 were C₄, and 2 were C₅ (Table A4).

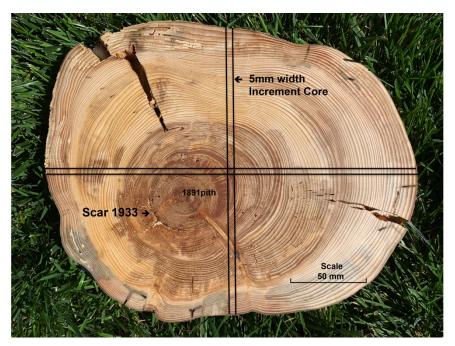


Figure 4: Example of cross section sample where 4 cores taken on uphill, downhill, and perpendicular (2) would have missed at least one scar (1933) and potentially the pith of the tree. The black lines indicate the potential cores using a 5 mm width increment borer. Note scale on lower right of sample.

3.2 Individual Path Chronologies

There were 49 avalanche events identified from GD responses across all 12 individual paths in the study region. The avalanche years most common throughout all of the individual path chronologies were: 2014 (7 paths); 1982 and 1990 (5 paths); and 1933, 1950, 1972, and 1974 (4 paths) (Figure 5 and Table 3). We identified the year with the greatest number of individual GD responses (2002) in 3 paths - two from JFS sub-region and one in the WF sub-region. There was no clear pattern of similarly identified years from paths physically closer in proximity to each other. However, paths within the WF sub-region produced the most similar number of large magnitude avalanche years. When we applied the W_{tt} process step, the number of identified avalanche years did not change for any individual avalanche path compared to application of the double threshold method alone. This highlights the number of responses classified as C_1 and C_2 (high quality) in our dataset.

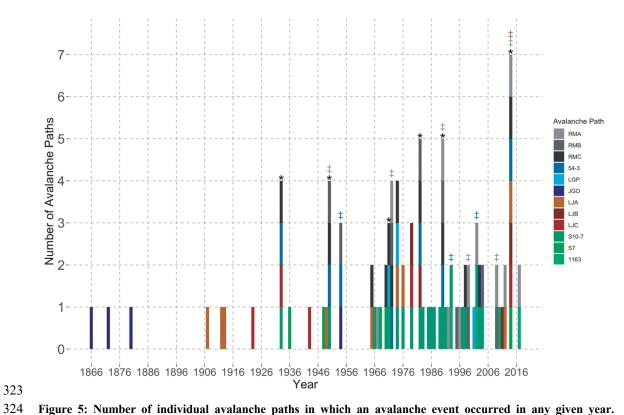


Figure 5: Number of individual avalanche paths in which an avalanche event occurred in any given year. Avalanche years with ‡ (gray=WF, dark blue = GTSR, orange = Swan, green= JFS) indicate years identified in at least two avalanche paths in the sub-region. * represents avalanche years in common in at least 1 path from at least three of the four sub-regions.

Sub-region		WF			GTSR		Swan			JFS		
Path	RMA	RMB	RMC	54-3	LGP	JGO	LJA	LJB	LJC	Shed 10-7	Shed 7	1163
Aval Years	1967 1972 1990 1992 1996 1999 2002 2009 2012 2014 2017	1950 1954 1972 1982 1990 1995 1999 2004 2009	1933 1950 1965 1970 1971 1972 1974 1982 1990 1998 2014	1933 1950 1954 1972 1982 1990 2014	1971 1974 2001 2009	1866 1872 1880 1954 2003	1907 1912 1913 1949 1965 <u>1974</u> 1976 2012 2014	1979 <u>1982</u> 2011 2014	1923 1933 1943 1979 2014	1933 1950 1966 1970 1974 1976 1979 1982 1983 1985 1986 1987 1989 1990 1991 1993 1997 1998 2003 2004	1936 1948 1968 <u>1971</u> 2002 <u>2014</u>	1993 2002 2010 2017
# of aval. years	11	9	11	7	4	5	9	4	5	20	6	4
POR (raw samples)	1922- 2017	1845- 2017	1783- 2016	1777- 2017	1836- 2009	1784- 2017	1636- 2017	1808- 2017	1657- 2017	1910- 2004	1864- 2017	1929- 2017
RI - median	3	5	8	14	8	28.5	7	3	22.5	2	12	8
RI - mean	5	7.38	8.1	13.5	12.67	34.25	13.38	11.67	22.75	3.74	15.6	8
RI – min.	2	4	1	4	3	6	1	3	10	1	3	7
RI – max.	18	18	17	24	27	74	36	29	36	17	31	9
1/RI	0.33	0.20	0.13	0.07	0.13	0.13	0.14	0.33	0.04	0.50	0.08	0.13
σ	4.81	4.78	6.12	7.42	12.66	33.09	14.79	15.01	14.73	4.68	10.50	1.00

Across all individual paths, the median estimated return interval was 8 years with a range of 2 to 28.5 (Figure 6). Hereafter return intervals indicate median return intervals unless specified. JGO, located in the GTSR sub-region, exhibited the greatest spread in estimated return intervals followed by LJB. The avalanche paths within the WF sub-region had the most similar return intervals of any of the sub-regions. The return interval

for JGO differed significantly from several other paths: RMA, RMB, RMC, and Shed 10-7 (p ≤ 0.01). However, when we relax a strict cutoff of p = 0.05, the return interval from JGO also differed from 1163 (p =0.07) and LJA (p =0.08). Similarly, the return interval for Shed 10-7 differs from LJC (p = 0.07). In assessing the potential geomorphic controls on return interval, path length was the only significantly correlated characteristic (r = 0.65, p = 0.02, Figure A1). We subset the period of record for each path from 1967-2017 and compared RI values to the full record. In this subset, nine paths exhibit no change in RI values when compared to the full record. In one path, 54-3, RI values decreased from 14 to 10 years. We observed larger changes in the other two paths; JGO path where only one avalanche year was recorded (down from 5 years) and the median RI in LJC changed from 22.5 years to 35 years. If we removed 54-3, JGO and LJC for this comparison, the records from the subset period

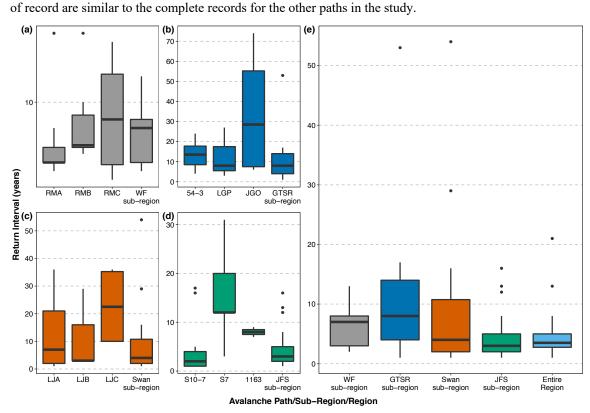


Figure 6: Boxplot of return intervals for individual avalanche paths in each sub-region: (a) WF, (b) GTSR, (c) Swan, and (d) JFS. (e) shows the boxplots of return intervals for the sub-regions and the overall region.

3.2 Sub-region Chronologies

When the paths were aggregated into sub-regions (three paths per sub-region) the median return periods for each sub-region were similar and all less than 10 years (Figure 6(e) and Table 4). The number of avalanche years for all of the sub-regions ranges from 12-18 with the greatest number of identified years in the JFS sub-region and the fewest in the WF sub-region. The JFS sub-region has the shortest median return interval followed by the Swan, WF, and GTSR sub-regions. The number of avalanche years for each aggregated sub-region is greater than the number of avalanche years for any individual path within each sub-region except

for the JFS sub-region where 18 avalanche years were identified but Shed 10-7 totaled 20 avalanche years (Table 5).

Table 4: Avalanche chronologies and return interval (RI) statistics of all four sub-regions. 1/RI refers to the probability of an avalanche occurring in that avalanche path in any given year. σ refers to the standard deviation of the RI.

	WF	GTSR	Swan	JFS	Region
# of aval. years	12	14	13	18	30
RI – median	7	8	4	3	3
RI – mean	6.27	11.35	11.25	4.94	5.21
RI – min.	2	1	1	1	1
RI – max.	13	53	54	16	21
1/RI	0.14	0.13	0.25	0.33	0.33
σ	3.69	13.48	15.70	4.60	9.53

Table 5: Number of avalanche events for each subregion, the mean of three individual paths in each region, and the overall aggregated region.

# of avalanche events								
Sub-region	3 individual paths	Aggregated sub-region						
WF	11,9,11 12							
GTSR	7,4,5	14						
Swan	9,4,5	13						
JFS	20,6,4 18							
Region	3	0						

In terms of commonality of years between the sub-regions, 1982 is the only year identified in all of the four sub-regions (Figure 7). Avalanche years commonly identified in three sub-regions are 1933, 1950, 1954, 1974 and 2014. We identified the JFS sub-region as having the greatest number of years exclusive to that sub-region (10 years). The WF sub-region shared the greatest number of years with other regions (11 years) followed by JFS (9 years), GTSR (8 years), and the Swan (7 years). In the only available comparison against an incomplete and limited historical record, the individual reconstructed avalanche chronologies of paths in the JFS sub-region captured 10-50% of the recorded large magnitude events over years 1908 to 2017.

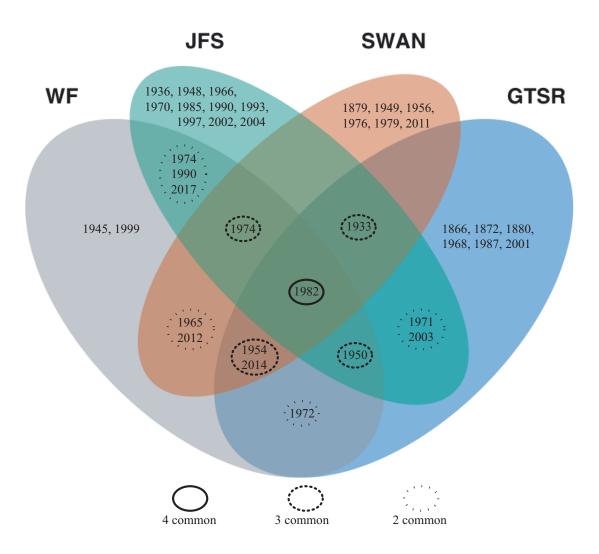


Figure 7: Venn diagram of avalanche years common between sub-regions. Overlapping areas of each ellipse indicate years in common with each sub-region.

3.3 Regional Chronology and RAAI

We identified 30 avalanche years in the overall region and a median return interval of 3 years (Table 5). The number of samples increases through time to a peak during 2005 and as expected the number of GD also increases through time (Figure 8(a)). The W_{it} index also increases, particularly from year 2000 onward with the largest spikes in 2014 and 2017 (Figure 8(b)). The regional assessment of avalanche years identified fewer years (n=30) than the simple aggregation of all unique avalanche years identified in the individual paths (n=49) (Table A2).

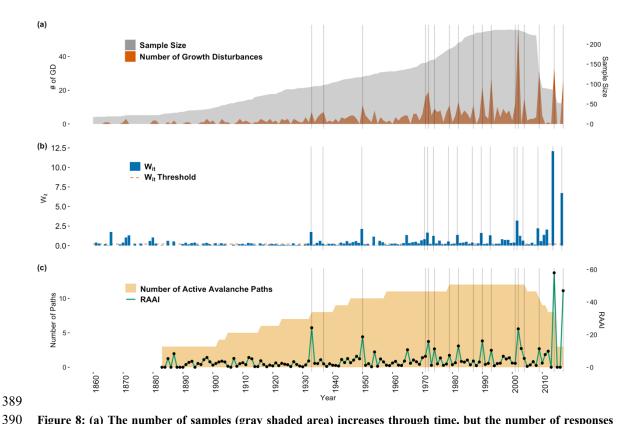


Figure 8: (a) The number of samples (gray shaded area) increases through time, but the number of responses (dark orange shaded area) varies. Note that sample size is on a secondary (right) y-axis. (b) The W_{it} , a weighted index factor that accounts for the class of each growth disturbance, threshold (0.2, red dashed line) provides a means of discriminating between high and low confidence signals in the tree ring record. (c) The Regional Avalanche Activity Index (RAAI) (green line, black points) is a measure of regional avalanche activity based on the I_t , the ratio of trees exhibiting growth disturbance over the number of samples alive at year t, of each path and the number of active avalanche paths (yellow shaded area). Note RAAI is on a secondary (right) y-axis.

When we included all paths but S10.7 (one of two paths with the greatest sample size), we captured 80% of all avalanche years and added one new year to the chronology (Table 7). When we removed LGP (the other path with the greatest size of sampled trees), we still captured all of the years in the regional chronology but introduced four new years into the chronology for a total of 34 years. A random sample of eight (two from each sub-region) of the 12 avalanche paths captured 83% of the years in the chronology and identified two new avalanche years. Finally, when using only one path from each sub-region with the largest samples size (Shed 10-7, 54-3, LJA, and RMA), we captured 73% of the avalanche years identified in the full regional chronology. When using a random sample of one path from each sub-region (1163, LGP, LJC, RMB), we captured only 43% of the years included in the regional chronology of all 12 paths. The RAAI is insensitive (no significant difference, p > 0.05) to the number of paths when tested using a minimum number of paths recording an avalanche in year t. The years with the largest RAAI are 2014 and 2017 followed by 2002, 1950 and 1933 (Figure 8(c)).

Paths	Region (All Paths)	All but S10.7	All but LGP	All but 54-3	S7, 1163, LGP, JGO, RMB, RMC, LJB, LJC	S10.7, 54-3, LJA, RMA	1163, LGP, LJC, RMB
# Paths	12	11	11	11	8	4	4
Sample Size (n)	635	528	526	581	382	253	239
# of Aval Years	30	27	34	31	27	34	17
# matches with regional	NA	24	30	29	25	22	13
# not in regional	NA	1	4	2	2	11	4
% captured in regional	NA	80	100	97	83	73	43
Median RI	3	3	3	3	3	2	3.5
# years removed using only W_{it} = HLC instead of W_{it} = MLC and HLC	10	3	9	7	1	1	1

The probability of detection for the avalanche years (POD_{year}) identified in the regional chronology ranged from 8 to 58% when we examined individual paths (Table 7). The year with the highest POD was 2014. The mean POD for all years was 21%. When we examined avalanche paths that exhibited at least one GD during avalanche years identified in the regional chronologies (i.e. no thresholds used), the POD is generally greater.

Table 7: Probability of Detection (POD_{year}). Avalanche years identified in the regional chronology and associated *POD* by analyzing individual paths with and without growth disturbances (GD), sample size, and W_{tt} thresholds.

Avalanche Year	POD (%)	POD (%)
in Regional	with	without
Chronology	thresholds	thresholds
1866	8	8
1872	8	8
1880	8	17
1933	33	58
1936	8	25
1945	NA	58
1948	8	33
1950	33	58
1954	25	67
1956	NA	58
1965	17	67
1970	17	50
1971	25	50
1972	33	83
1974	33	75
1976	17	50
1982	42	92
1990	42	83
1993	17	50
1997	8	92
1998	17	50
1999	17	58
2002	25	75
2003	17	33
2004	17	75
2009	17	33
2011	8	33
2012	17	42
2014	58	58
2017	17	25
Mean	21	52

Finally, the probability of capturing all of the avalanche years identified in the regional chronology by each individual path ranges from 7% to 40% (Table 8). The greatest POD_{path} value from any given path is S10.7 (POD = 40%) in the JFS sub-region followed by RMC in the Whitefish sub-region (POD = 37%). In general, the paths within the Whitefish sub-region capture the regional chronology most consistently.

429 Table 8: Probability of Detection of each individual path (POD_{path}) to the regional avalanche chronology.

Path	POD (%)
RMA	27
RMB	27
RMC	37
54-3	23
LGP	7
JGO	17
LJA	17
LJB	10
LJC	7
Shed 10-7	40
Shed 7	17
1163	10

430 4. Discussion

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The processing and analysis of 673 samples spanning a large spatial extent allowed us to create a robust regional large magnitude avalanche chronology reconstructed using dendrochronological methods. Crosssections provided a more robust and complete GD and avalanche chronology compared to a subsample generated from cores alone. Due to the reduced information value of working only with cores, Favillier et al. (2017) included a discriminatory step in their methods to distinguish avalanche signals in the tree-ring record from exogenous factors, such as abnormal climate signals or response to insect disturbance. By using cross sections to develop our avalanche chronologies, we were able to view the entire ring growth and potential disturbance around the circumference of the tree as opposed to the limited view provided by cores. This allowed us to place GD signals in context to both climate and insect disturbance without the need for this processing step. We do not discount any studies that use cores for reconstructing avalanche chronologies and understand there are sampling limitations from environmental and policy perspectives in different regions as well as financial and processing constraints. However, we are suggesting that if the ability to collect cross sections exists, then it is advantageous to collect them. We targeted sample collection in the runout zones and along the trim line where large magnitude avalanches occurred in recent years. At several sites we collected samples at the upper extent of the runout zones (S10.7, Shed 7, and 1163). Thus, some additional noise in the final chronology for those specific paths could be due to more frequent small magnitude avalanches. Though the oldest individual trees extended as far back as the mid-17th century, the application of the double thresholds processing steps restricted individual path avalanche chronology lengths since the minimum GD threshold requirements were not met. It is difficult to place confidence in these older recorded events due to the decreasing evidence back in time inherent in avalanche path tree-ring studies. Therefore, we chose to examine more recent time periods dictated by the avalanche years identified through the double threshold methods. All of the paths in the study are capable of producing large magnitude avalanches with path lengths greater 455 of close to or greater than 1000 m (for avalanche destructive size 3, D3) (Greene et al., 2016). As Corona et 456 al. (2012) noted, the avalanche event must be large enough to create an impact on the tree, and size D2 or 457 greater will be evident from the tree-ring record (Reardon et al., 2008). However, the successive damage and 458 removal of trees from events size D2 or greater also impacts the future potential to record subsequent events 459 of similar magnitude. In other words, if a large magnitude avalanche removes a large swath of trees in one 460 year, then there are fewer trees available to record a slightly smaller magnitude avalanche in subsequent 461 years. Therefore, dendrochronology methods inherently underestimate avalanche events by up to 60% 462 (Corona et al., 2012).

4.1 Regional Sampling Strategy

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464 By examining three different spatial scales (individual path, sub-region, and region) we produced a large 465 magnitude avalanche chronology for the region captured in a small subset of the total number of paths across 466 the large region. Accordingly, this sampling strategy may also alleviate the issue of recording large magnitude 467 avalanches within a region in the successive years following a major destructive avalanche event that 468 removed large number of trees within specific paths but not others. Overall, a regional sampling strategy 469 enables us to capture large magnitude avalanche events over a broad spatial extent that is useful for regional 470 avalanche forecasting operations and future climate association analysis. This strategy also allows us to 471 understand large magnitude avalanche activity at scales smaller than the regional scale.

472 4.2 Chronologies for Individual Paths and Sub-Regions

- 473 We applied the W_{it} threshold specifically to weight higher quality responses. The number of identified 474 avalanche years does not change for any individual avalanche path when we applied the W_{it} process. This 475 lack of change suggests that many of the responses in our samples were ranked as high-quality (i.e. C₁-C₂). 476 The high quality of responses can be attributed to the use of cross sections which allowed for a more complete 477 depiction and assessment of the tree-ring signal (Carrara, 1979). Scarring comprised the majority of C1 478 samples and evidence of scarring in lower quality classification was due to other types of small cambial tissue 479 scars that could not be confidently classified as avalanche damage. The reaction wood in C1 GDs were 480 associated with obvious avalanche scars in the same year.
- We developed avalanche chronologies for 12 individual avalanche paths. The path with the greatest number of identified avalanche years, S10.7, contains two major starting zones that are both steeper (35 and 39 degrees) than Shed 7, which also contains two separate starting zones. Reardon et al. (2008) collected a substantial number of samples at higher elevations in the S10.7 avalanche path. However, the location data for these samples were not available. Many of those samples were the living stumps that captured smaller annual events. This is likely the root of the difference for S10.7 and the other paths in this study and the reason this path contains the largest numbers of avalanche years in this analysis.
- The range of return intervals across all paths (2 28.5 years) is similar to those reported for 12 avalanche paths across a smaller spatial extent in the Chic Choc Mountains of Quebec, Canada (2 22.8) (Germain et

490 al., 2009). Although the authors in that study used a different avalanche response index, their study still 491 suggests considerable variation in avalanche frequency across avalanche paths within a region. 492 The results from examining return intervals during a truncated period from 1967-2017 across all paths 493 illustrate that several of the individual path return interval results should be treated with caution (e.g. JGO, 494 LJC, and 54-3). The difference in minimum and maximum return interval values is a function of a decreasing 495 sample size back in time. The minimum return interval values in many of the paths are concentrated during 496 recent periods. This is a limitation of using dendrochronology to estimate return intervals. Comparing 497 avalanche return intervals across individual paths should also be treated with caution given the variable nature 498 of sample availability across paths. This variability across individual paths further provides reason to evaluate 499 the number of paths necessary to create a regional avalanche chronology from tree rings. Most of the paths 500 have a reasonable record over this truncated period and also highlight the importance of strategic sampling 501 in numerous avalanche paths. While dendrochronology underestimates avalanche activity, we show that 502 sampling enough paths across a region provides a reasonable estimate of avalanche activity at this scale. 503 JGO contains the maximum return interval for any path in the study, and the return intervals are significantly 504 different than numerous other paths. A lack of recording data after one large avalanche event could easily 505 skew this value. To understand if this value is accurate, we would have to sample adjacent tracks to determine 506 if the return intervals are similar or not. An appropriate sample base without large temporal gaps is necessary 507 to fully provide an accurate estimate of return intervals within a single avalanche path. While the sample size 508 is sufficient for this individual path, the results should be treated with caution due to the temporal gaps. In 509 other words, the large return interval values may reflect the irregular preservation of evidence for large 510 avalanches as opposed to an accurate estimate of return intervals. Therefore, we cannot fully explain the large 511 maximum return interval for this path. 512 The return intervals for LJC in the Swan sub-region were the greatest in this sub-region and this is likely due 513 to wildfire activity in this path in 2003. LJC was heavily burned, and this created a steep slope with few trees 514 that was once moderately to heavily forested. Substantial anchoring and snowfall interception likely created 515 an avalanche path without many large magnitude avalanches for decades since slope forestation plays a substantial role in runout distance and avalanche frequency in forested areas (Teich et al., 2012). In addition, 516 wildfires in 1910 burned a majority of the JFS sub-region as well, and the higher frequency of avalanche 517 518 years recorded between 1910 and 1940 in S10.7 suggests wildfire impacts may also be a contributor to the 519 high frequency of avalanche events in that location (Reardon et al., 2008). In addition, the fire in LJC may also have removed evidence of previous avalanche activity. 520 521 Our results also suggest that return interval increases as path length increases, though the sample size for this 522 correlation analysis on individual paths is small (n=12). This result is likely because only very large 523 magnitude avalanches affect the far extent of the runout of the paths. This finding differs from a group of 524 avalanche paths in Rogers Pass, British Columbia, Canada, where path length was not significantly correlated 525 with avalanche frequency (Smith and McClung, 1997). However, that study used all observed avalanches, 526 including artillery-initiated avalanches, as opposed to a tree-ring reconstructed dataset.

527 The greatest number of identified avalanche years is in the JFS sub-region. The avalanche paths in this sub-528 region are all south or southeasterly facing whereas the other sub-regions span a greater range of aspects. 529 This narrow range of aspect may cause a bias toward overrepresentation of those aspects compared to the 530 inclusion of other aspects in other sub-regions. 531 The differences between individual avalanche paths as well as sub-regions are likely due to localized terrain 532 and weather/climate factors and the interaction of the two (Chesley-Preston, 2010) as well as local avalanche, 533 forest stand, and fire history. For example, Birkeland (2001) demonstrated significant variability of slope 534 stability across a small mountain range dependent upon terrain and weather. Slope stability and subsequent 535 large magnitude avalanching are likely to be highly heterogeneous across not only the sub-region, but across 536 a large region. This is also consistent with findings by Schweizer et al. (2003) that suggest substantial differences in stability between sub-regions despite the presence of widespread weak layers. Finally, climate 537 538 drives weather, but is not a first order effect on avalanche occurrence in any one given avalanche path. In 539 this study, we derived a regional avalanche chronology to provide a spatial scale that aligns more with the 540 spatial scale of climate drivers than any one individual path. These are relationships that should be examined 541 in future work.

542 4.3 Regional Chronologies and RAAI

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543 The regional chronology we developed through the use of tree-ring analysis on collections made across 12 544 avalanche paths suggests, unsurprisingly, that the inclusion of more avalanche paths across a large spatial 545 extent produces a more robust identification of major avalanche winters. When we aggregate all 12 paths 546 together and apply thresholds to discriminate the signal from the noise, we identified 30 avalanche years 547 throughout the region. This type of analysis allows us to place each avalanche year in context of the region, 548 or full extent of the scale triplet, rather than simply collating all major avalanche winters identified in each 549 individual path or sub-region. However, we also account for the support and spacing by including adjacent 550 avalanche paths within a sub-region and multiple sub-regions throughout the region. This sampling strategy 551 combined with the large sample size collected throughout the region allowed for a robust assessment of 552 regional avalanche chronology derived from tree-ring records. 553 We tested the sensitivity of the term regional by removing specific and random paths. Our results suggest 554 that removing paths from this structure, and subsequently compromising the sampling strategy, introduces 555 noise. By reducing the sample size, we reduce the ability of the thresholds to filter out noise, thereby 556 increasing the actual number of avalanche years in the region. However, the sample size reduction also 557 reduces the number of identified avalanche winters common to the full 12 path regional record (Table 6). 558 Our results emphasize the importance of sampling more paths spread throughout the region of interest as well 559 as a large dataset across the spatial extent. 560 Avalanche path selection is clearly important when trying to assess avalanche frequency (de Bouchard 561 d'Aubeterre et al., 2019). The importance of path selection is supported by our results suggesting that S10.7 563 avalanche years detected in S10.7 due to increased sampling in the track. However, by selecting multiple 564 paths representative of the range of geomorphic and potentially influential local weather controls throughout 565 the region we are able to provide a reasonable assessment of regional avalanche activity in areas without 566 historical records. By quantifying the sensitivity of the number of avalanche paths within a given region, we 567 illustrate that sampling a greater number of avalanche paths dramatically increases the probability of 568 identifying more avalanche years as well as increases the ability to reconstruct major widespread avalanche 569 events. However, as previously noted, dendrochronological techniques tend to underestimate avalanche 570 frequency, which implies that caution should be used when interpreting a regional avalanche signal using 571 this technique, particularly as sample numbers and qualities (e.g. cores vs. cross sections) decline. 572 Interestingly, the difference in median return interval throughout the "region" using 12 paths compared to 573 using four or eight paths changes only slightly suggesting that fewer paths are still able to represent the major 574 avalanche return intervals across a region. However, choosing fewer paths appears to introduce more noise 575 and therefore fewer years identified than a regional chronology with more avalanche paths. 576 The RAAI provides a measure of avalanche activity scaled to the number of active avalanche paths across 577 the region through time, but RAAI is limited by the decreasing sample size back in time. The years with the 578 greatest RAAI value coincide with substantial activity provided in the historical record as well as previous 579 dendrochronological studies from the JFS sub-region (Butler and Malanson, 1985a, b; Reardon et al., 2008). 580 The winter of 1932-33 was characterized by heavy snowfall and persistent cold temperatures leading to 581 extensive avalanche activity that destroyed roadway infrastructure in the JFS sub-region, 1950 saw a nearly 582 month-long closure of U.S. Highway 2 due to avalanche activity, and in 2002, an avalanche caused a train 583 derailment. While these are all confined to the JFS sub-region, with the exception of 2002, they are also years 584 shared by at least two other sub-regions. 585 We examined the probability of detecting an avalanche year throughout the region by sampling any one given 586 path. In seven of 30 years, the POD_{year} is only 8% and in all but three years the POD_{year} is less than 40%. The 587 low POD values are distributed throughout the time series, suggesting decreasing sample size back in time 588 or the number of active avalanche paths is not an influential factor. The POD is likely reflective of the spatial 589 variability of large magnitude avalanche occurrence across a region. It also aligns with the observational 590 findings of Mears (1992). During a major storm in 1986 throughout much of the western United States that 591 deposited 30-60 cm of snow water equivalent, Mears (1992) reported that in the area around Gothic, 592 Colorado, less than 40% of avalanche paths produced avalanches and less than 10% produced avalanches 593 approaching the 100-year return interval. This finding also supports the wide variability of avalanche years 594 between sub-regions recorded in our tree-ring record. Additionally, some of the avalanches in a given year may not be large enough to be reflected in the tree ring record. Therefore, low values of POD_{path} when 595 596 considering only one avalanche path and identifying only one common year of large magnitude avalanche 597 activity (1982) amongst the sub-regions through dendrochronology is not surprising. The WF sub-region 598 captured the regional chronology most consistently because of the similar and consistent records within the 599 sub-region. Paths with at least one GD (i.e. without applying thresholds) during avalanche years identified in

- 600 the regional chronology exhibit a greater POD_{path}, but this greater POD_{path} comes at the expense of
- 601 introducing more noise if we were to simply use one scar per path to define an avalanche event.
- 602 Our results also suggest that our sampling design using scale triplet increases the probability of detecting
- avalanche activity across an entire region. We note that we are only able to scale our probability calculations
- 604 to our dataset with a limited historical observational record. However, our results illustrate the importance of
- sampling more paths if the goal is to reconstruct a regional chronology. In our dataset, the greatest value of
- 606 POD_{path} is 40%, suggesting that if by chance, we sampled this path, we would have captured the regional
- avalanche activity 40% of the time.

4.4 Limitations

608

- 609 Overall, our results suggest that sampling one path, or multiple paths in one sub-region, is insufficient to
- 610 extrapolate avalanche activity beyond those paths. Multiple paths nested within sub-regions are necessary to
- 611 glean information regarding avalanche activity throughout those sub-regions as well as the overall region.
- 612 Our study is still limited by the underrepresentation inherent in dendrochronological techniques for
- 613 identifying all avalanche events. While we analyzed 673 samples over the extent of the region, some of the
- paths in our study had relatively small sample sizes per individual path as compared to recent suggestions
- 615 (Corona et al., 2012). This may have influenced the number of avalanche years identified and subsequent
- 616 return intervals per individual path. However, we attempted to limit the influence of sample size by using
- 617 full cross-sections from trees, robust and critical identification of responses in the tree-rings, and appropriate
- 618 established threshold techniques.
- 619 We also recognize that sampling more avalanche paths in our region would certainly provide a more robust
- 620 regional avalanche chronology, but time, cost, and resource constraints required an optimized strategy.
- 621 Finally, our study would undoubtedly have benefited from a longer and more accurate historical record for
- 622 comparisons and verification of the tree-ring record in all of the sub-regions. Overall, our study illustrates
- 623 the importance of considering spatial scale and extent when designing, and making inferences from, regional
- 624 avalanche studies using tree-ring records.

625 **5. Conclusions**

- 626 We developed a large magnitude avalanche chronology using dendrochronological techniques for a region
- 627 in the northern U.S. Rocky Mountains. Implementing a strategic sampling design allowed us to examine
- 628 avalanche activity through time in single avalanche paths, four sub-regions, and throughout the region. By
- 629 analyzing 673 samples from 12 avalanche paths, we identified 30 years with large magnitude events across
- 630 the region and a median return interval of ~3 years (from 1866-2017). Large magnitude avalanche return
- 631 interval and number of avalanche years vary throughout the sub-regions, suggesting the importance of local
- 632 terrain and weather factors. Our work emphasizes the importance of sample size, scale, and spatial extent
- 633 when attempting to derive a regional large magnitude avalanche chronology from tree-ring records. In our

dataset, the greatest value of POD_{path} is 40%, suggesting that if we sampled only this path, we would have captured the regional avalanche activity 40% of the time. This clearly demonstrates that a single path cannot provide a reliable regional avalanche chronology. Specifically, our results emphasize the importance of 1) sampling more paths spread throughout the region of interest; 2) collecting a large number of cross-sections relative to cores; and, 3) generating a large dataset that scales to the appropriate spatial extent. Future work should include conducting a similar study with a number of paths in the same sub-regions for verification, or in an area with a more robust regional historical record for verification.

642 6. Appendix A

Table A1: List of previous avalanche-dendrochronological work with more than one avalanche path in study – to place our regional work in context with other regional/multiple path studies. Number of samples, paths, growth disturbances (GD), and spatial extent (linear distance between most distant avalanche paths in study area) are included. For spatial extent, NA is reported in studies where spatial extent is not reported or could not be inferred from maps in the published work. Where spatial extent is not reported directly in previous work, it is estimated by using maps from the published work and satellite imagery. We included only the initial studies using a dataset with more than one avalanche path. For example, if a study used the same dataset again in subsequent work, we did not include it.

Authors	Location	# Trees	# Samples	# Paths	Spatial Extent	# GD
Gratton et al. (2019)	Northern Gaspé Peninsula, Québec, Canada	82	177 cores 65 x- sec	5	~20 km	Not provided
Meseşan et al. (2018)	Parâng Mountains, Carpathians, Romania	232	430 cores 39 x-sec 4 wedges	3	~16 km	Not provided
Favillier et al. (2018)	Zermatt valley, Switzerland	307	620 cores 60 x-sec	3	~1 km	2570
Ballesteros- Canovas (2018)	Kullu district, Himachal Pradesh, India	114	Not Provided	1 slope (multiple paths)	~ 1 km	521
Pop et al.(2018)	Piatra Craiului Mountains, Romania	235	402 cores 34 x-sec	2	~ 2 km	789
Martin and Germain (2016)	White Mountains, New Hampshire	450	350 cores 456 x-sec	7	~10 km	2251
Voiculescu et al. (2016)	Făgăras massif, Carpathians, Romania	293	586 cores	4	NA	853
Schläppy et al. (2015)	French Alps, France	967	1643 cores 333 x-sec	5	~100 km	3111
Schläppy et al. (2014)	French Alps, France	297	375 cores 63 x-sec	2	~100 km	713
Schläppy et al. (2013)	French Alps, France	587	1169 cores 122 x-sec	3	~100 km	1742
Casteller et al. (2011)	Santa Cruz, Argentina	95	~95 x-sec	9	~2 km	Not provided

Köse et al. (2010)	Katsomonu, Turkey	61	Not provided	2	~ 500 m	Not provided
Muntán et al. (2009)	Pyrenees, Catalonia	NA	448	6	~150 km	Not provided
Germain et al. (2009)	Northern Gaspé Peninsula, Québec, Canada	689	1214 x-sec	12	~30 km	2540
Butler and Sawyer (2008)	Lewis Range, Glacier National Park, Montana,	22	22 x-sec	2	~5 km	Not provided
Casteller et al. (2007)	USA Grisons, Switzerland	145	122 x-sec 52 cores 10 wedges	2	~ 20 km	Not provided
Germain et al. (2005)	Northern Gaspé Peninsula, Québec, Canada	142	142 x-sec	5	NA	420
Dube et al.(2004)	Northern Gaspé Peninsula, Québec, Canada	110	170 x-sec	3	~9 km	Not provided
Hebertson and Jenkins (2003)	Wasatch Plateau, Utah, USA	261	Not provided	16	NA	Not provided
Rayback (1998)	Front Range, Colorado, USA	98	58 trees cored (2-5 cores /tree) 31 x-sec 9 wedges	2	~7 km	Not provided
Bryant et al. (1989)	Huerfano Valley, Colorado, USA	180	Not provided	3	~2 km	Not provided
Butler and Malanson (1985a)	Lewis Range, Glacier National Park, Montana, USA	78	Not provided	2	~6 km	Not provided
Butler (1979)~	Glacier National Park, Montana, USA	NA	36 x-sec 17 cores	12	~15 km	Not provided
Smith (1973)	North Cascades, Washington, USA	NA	Not provided	11	~ 35 km	Not provided
Potter (1969)	Absaroka Mountains, Wyoming, USA	50	Not provided	5	~ 2 km	50

652 Table A2: Regional chronologies from the International Tree-Ring Database used for cross-dating.

MT Avalanche Project Site	ITRDB Tree-Ring Chron.	Originator	Date Range	Species	Coordinates	Elevation	NOAA data set ID
Going-to- the-Sun Road sites	Going to the Sun Road (GTS)	Gregory T. Pederson Jeremy S. Littell	1337 - 2002	PSME	48.42 -113.5167	1860M	noaa-tree- 27540_MT15 9
John F. Stevens Canyon sites	Doody Mountain (DOO)	Gregory T. Pederson Blase Reardon	1660 - 2001	PSME	48.3833 -113.6167	1890M	noaa-tree- 27536_MT15 5
Lost Johnny Creek sites	Preston Park (PP)	Bekker, M.F.; Tikalsky, B.P.; Fagre, D.B.; Bills, S.D.	1766 - 2006	ABLA	48.43 -113.39	2150M	noaa-tree- 5993_MT117
Red Meadow sites	Numa Ridge Falls (NRF)	Gregory T. Pederson Brian Peters	1645 - 2001	PSME	48.51 -114.12	1695M	noaa-tree- 27550_MT16 8

Table A3: Proportion of input types (tree-ring signals) to each growth disturbance (GD) class. Note that there could be multiple input types for each class. Termination of growth indicates that the tree was killed in that year and it coincides with the historical avalanche record. Some of the termination of growth samples have earlywood if the avalanche occurred in the late winter or early spring. Refer to Table 3 for definitions of classes.

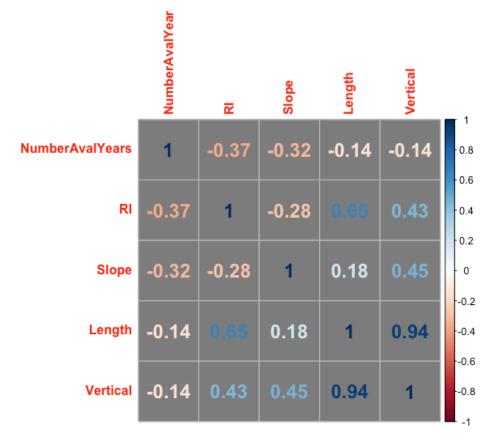
Туре	C_1	\mathbb{C}_2	C ₃	C ₄	C ₅
Scar	0.37	0.10	0.04	0.01	0.00
Reaction Wood	0.16	0.59	0.66	0.67	0.64
Growth Suppression	0.05	0.15	0.17	0.23	0.27
Traumatic Resin Ducts	0.17	0.16	0.12	0.09	0.08
Termination of Growth	0.25	0.00	0.00	0.00	0.00

Table A4: Summary data of subset of samples (n=40) used to estimate number of GDs potentially missed if core were analyzed instead of the entire cross-section.

# trees	40
# original GDs	191
Missed C1	24
Missed C2	24
Missed C3	14
Missed C4	3
Missed C5	2
Total # years missed by cores	67
% of GDs missed	35
Total # years ID'd by cores that were in x-sec	124
% captured by cores	65

Region	Paths Unique Years
1866	1866
1872	1872
1880	1880
	1907
	1912
	1913
	1923
1933	1933
1936	1936
	1943
1945	
1948	1948
	1949
1950	1950
1954	1954
1956	
1965	1965
	1966
	1967
	1968
1970	1970
1971	1971
1972	1972
1974	1974
1976	1976
	1979
1982	1982
	1983
	1985
	1986
	1987
	1989
1990	1990
	1991
100-	1992
1993	1993
	1995
100-	1996
1997	1997
1998	1998
	1999

	2001
2002	2002
2003	2003
2004	2004
2009	2009
	2010
2011	2011
2012	2012
2014	2014
2017	2017



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Figure A1: Correlation matrix (Pearson correlations coefficients) of the number of avalanche years, return interval (RI), starting zone slope angle (Slope), and path length (Length). Statistical significance is p < 0.05. See source data in Table 1.

670 **7. Data availability**

- 671 Data for this work can be found in ScienceBase repository: Peitzsch, E. H., Stahle, D. K., Fagre, D. B., Clark,
- 672 A. M., Pederson, G. T., Hendrikx, J., and Birkeland, K. W.: Tree ring dataset for a regional avalanche
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- 674 https://doi.org/10.5066/P9TLHZAI, 2019.

8. Author contribution

- 676 EP responsible for study conception and design, data collection, analysis, writing. JH contributed to
- development of study design, methods, editing, and writing. DS responsible for data collection, tree-ring
- 678 processing and analysis and writing. GP, KB, and DF contributed to study design, editing and writing.

679 **9. Disclaimer**

- Any use of trade, firm, or product names is for descriptive purposes only and does not imply endorsement by
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