

1 *Anonymous Referee #1*

2

3 Thanks for the comments that you have inserted in our manuscript. They have been very  
4 useful for us to improve the paper. Please, find below the answers to your questions and  
5 the amendments that will be introduced in the revised version of our manuscript one by  
6 one. All changes we have made to the original text appear in italics.

7

8 **Line 42. It is pointless to use two digits since the standard measurement precision is**  
9 **about 0.1°C**

10

11 We have changed 0.12 by 0.1 and 0.45 by 0.5 and clarified the sentence. The new sentence  
12 is:

13

14 “places the average annual temperature 42 increase ratio per decade between 0.1 and  
15 0.5°C in the period from 1961 to 2010 *in the cities analyzed in it.*”

16

17

18 **Line 43-44. Are these (2050, 2080) one year mean temperature. Using climate models**  
19 **in this way is not correct, since the mean values are represent the real conditions in**  
20 **30 year long periods. Please check and clarify.**

21

22 We agree with you. Projections are obtained for periods of 30 years. It is usual to  
23 represent it for a year that is approximately in the middle of the period, in such a way that  
24 2050 represents the conditions towards the middle of the century and 2080 represents  
25 them towards the end of the century. In this case 2050 refers to the period 2040-2070 and  
26 2080 refers to the period 2070-2100, as it is shown in the ARC3.2 report at page XXI.  
27 The new sentence is:

28

29 “And it is estimated that the temperature will rise between 1.3 and 3°C towards *the middle*  
30 *of the 21<sup>st</sup> century (2040-2070) and 1.7 to 4.9°C towards the end (2070-2100)*”.

31

32

33 **Line 70. Citation is more than enough. I recommend to exclude S1.**

34

35 We have excluded Figure S1 the citation of Stewart and Oke 2012 was included.

36

37 **Line 84. LCZ is a categorization based on the thermal characteristics of the**  
38 **landscape. Radiative characteristics is also true since the radiation will role the**  
39 **thermal reactions. The point is that based on this sentence it is a new approach to**  
40 **use LCZ for estimation "the level of heat exposure to adverse climate conditions".**  
41 **By the fact it is one of the main goal of LCZ so is recommend to rehearse this**  
42 **sentence.**

43

44 You are right. We are modified the sentence as follow:

45

46 “ *Due to LCZ classification was originally designed to mainly describe the thermal*  
47 *characteristics of the different land covers and land uses, it is useful to be applied to*

48 estimate the level of heat exposure to adverse climate conditions *that is one of the main*  
49 *goals of this paper.*”

50

51

52 **Paragraph 108. Unnecessary.**

53

54 We have delated the paragraph.

55

56

57 **Lines 122 and 123. Replace dot by comma.**

58

59 We have changed 3,2 and 1,6 to 3.2 and 1.6

60

61

62 **Line 185. It is local climate zones? Urban Climate Zones are also an urban**  
63 **classification presented by Tim Oke. I do not know how does it comes here. Please**  
64 **describe, define and cite. In case that is is LCZ than correct it. Oke, T.R., 2004.**  
65 **Urban observations, World Meteorological Organization, IOM Report N° 81,**  
66 **WMO/TD n°1250.**

67

68 Thank you for your comment. We have added this reference to the Introduction, when we  
69 present Local Climate Zones. The paragraph comprised between lines 65 and lines 67 has  
70 been modified as follows:

71

72 “Moving forward from this premise, a new methodology *based on the Urban Climate*  
73 *Zones defined by Oke (2004) and called Local Climate Zone (LCZ) classification has*  
74 *emerged (Stewart and Oke, 2012).*

75

76 We have also modified the sentence of line 185 as follows:

77

78 “The Building Heights is another layer of the map, and was made with a LIDAR sensor,  
79 which was also used to discern between the different *building types of each LCZ.*”

80

81

82 **Line 389. Please specify and cite.**

83

84 We have not found any paper that correlated LCZ and climate outputs. Consequently, we  
85 have only added some references to studies that characterize the LCZ in different cities.

86

87 Currently, there are multiple studies characterizing LCZs using urban model outputs  
88 (Aminipouri et al., 2019; Beck et al., 2018; Geletič et al., 2018; Kwok et al., 2019; Unger  
89 et al., 2018), but there are *not* with climatic outings that span so many years.

90

91 *Anonymous Referee #2*

92

93 Thanks for your detailed review and your comments. They have been very useful for us to  
94 improve the paper. Please, find below the answers to your questions and the amendments that will  
95 be introduced in the revised version of our manuscript one by one:

96 **This paper presents a methodology to evaluate the exposure to extreme temperatures using**  
97 **the Local Climate Zones framework, which allows a direct comparison between different**  
98 **cities of the world. The proposed methodology is applied and demonstrated for the case of**  
99 **Barcelona. Overall, the manuscript is well written and of interest for the NHESS audience,**  
100 **and the methodology seems to be scientifically sound. However, some portions of the**  
101 **methods and discussion are not very clear and should be improved.**

102

103 Thank you very much for your positive comments and suggestions. We will try to improve our  
104 paper following them.

105

106

107 **1- Line 18: “proposal” could be replaced by methodology or framework?**

108

109 We have replaced proposal by methodology. We have also replaced the term framework by  
110 classification. All changes we have made to the original text appear in *italics*.

111

112 “This paper presents a *methodology* to evaluate the urban and peri-urban effect on extreme  
113 temperatures exposure in Barcelona (Spain), using the Local Climate Zone (LCZ) *classification*  
114 as a base statement, that allows...”

115

116 **2- Line 23, 255 and Figure 7: It is not clear what is the purpose of including the maximum**  
117 **temperature for this manuscript. It is barely discussed.**

118

119 The maximum temperature gives us an idea of the potential worst conditions that are important  
120 to know for risk management, as well as the HUMIDEX index, that it is mainly used by mass  
121 media to explain to the population the different warm sensation that they perceive in function of  
122 the humidity. However, following your comment, we have added a paragraph in section 3.1.

123

124 “*The maximum temperature provides an estimate of the worst conditions that can be expected. It*  
125 *is important for risk management and avoiding heat stroke, which usually occurs during the hours*  
126 *of the day when the temperature reaches its highest value. The dew point temperature (Tdew)*  
127 *was used as a starting point ...”*

128

129

130 **3- Lines 31 and 421 “about 3-4°C compared” should be “about +3-4°C compared”?**

131

132 Thank you, it is not clear. We have modified the sentence as follows:

133

134 “temperatures for the 90th percentile (about 3-4°C *above the average conditions*) leads.”

135

136

137 **4- Line 186-198 and 423-429: It is claimed that the WUDAPT map suffers from a lack of**  
138 **characterization of different types of urban areas compared to the LCLU method. This**  
139 **might be true, but the results and discussion presented here are not very clear on why the**  
140 **additional types of urban areas in LCLU are an improvement. Potentially, one may add**  
141 **more but unrealistic types.**

142

143 Thank you for your observation that has been useful for us to detect some misunderstandings. We  
144 have modified a sentence and added a new one in Line 190 and modified the paragraph 423-425.  
145

146 Line 190. “the same type of coverage occupies just 37.3%. *It is a consequence of the difference*  
147 *in the LCZ characterization processes that both methods follow. Although 17 LCZs are*  
148 *distinguished in the two methods, WUDAPT uses the spectral radiance provided by satellite*  
149 *images and applies a supervised classification based on a random forest generalization method*  
150 *based on training zones (Bechtel et al. 2015). On the contrary, the method LCLU proposed here*  
151 *analyses the intrinsic variables that characterizes each category of LCZ and consequently it has*  
152 *major integrity and quality. It is to say, it has a better resolution. In both methods....”*

153  
154  
155 Lines 423-425. “This paper also provides comparison of two *methodologies to cartography the*  
156 *Local Climate Zones (LCZ): WUDAPT and LCLU. The international standard method WUDAPT*  
157 *(is exclusively based on satellite earth observation data (Ching et al., 2018). The LCLU (Land*  
158 *Cover Land Use) departs from land use maps, urban atlas, LIDAR measurements and*  
159 *orthophotos.”*

160  
161  
162 **5- Line 273: What is CI?**

163  
164 CI is Confidence Interval. We have replaced the acronym by confidence interval.  
165

166 “... significant when the lower bound of the *confidence interval* is greater than 1”.  
167

168  
169 **6- Lines 273-280: It is not evident to me what is the advantage of using a new index HEI**  
170 **instead of using RR at 0.2 steps? Why introduce HEI? The explanation of HEI should be**  
171 **improved.**

172  
173 There are three reasons:

- 174 • The first one tries to avoid any confusion with the use of the term “risk” (RR, relative  
175 risk). The word risk usually means the convolution of hazard and vulnerability, although,  
176 depending on the disciplines, vulnerability may appear separate from exposure and  
177 even from response capacity (see latest UNDRR classification, 2020). The curve RR  
178 published by Achebak et al. (2018) refers to the impact of the temperature to a people  
179 sample and would be part of the risk equation. For this reason, we prefer to use the  
180 term “heat exposure” as it is applied in other papers referred to health (Vicedo-Cabrera  
181 et al., 2014; Lowe et al., 2015; Achebak et al., 2019).
- 182 • Secondly, we are working with an approximation, since the objective is to transfer to  
183 each LCZ a range of temperatures under certain conditions, being impossible to  
184 associate them with a specific temperature.
- 185 • The third one is that the HEI categories can be applied to any city independently of its  
186 range of temperature

187  
188 In order to clarify it we have added the following sentence:  
189

190 Line 280. “...risk of mortality associated with high temperatures. *The use of seven HEI categories*  
191 *has the advantage that it can be applied to any city by adjusting them to the temperature values*  
192 *of that city and to the RR curve considered.”*

193  
194 In the introduction (line 94) of the manuscript with corrections we have added some references  
195 of heat exposure referred to health:

196  
197 “...be applied to estimate the level of heat exposure (Vicedo-Cabrera et al., 2014; Lowe et al.,  
198 2015; Achebak et al., 2019) to ...”  
199

.....

200  
201  
202 **7- Lines 316-322: This description of the LCZ-T model is rather obscure. Given the**  
203 **relevance of this model in the present manuscript, I would suggest improving the clarity of**  
204 **this description. What are “anisotropy levels” in this context? Built what curves for the**  
205 **LCZs? How did you define the scenario for the percentiles (what percentiles)? Etc.**  
206

207 Thank you for your observation. The use of the term “anisotropy” was not correct. We have  
208 modified the paragraph as follows:  
209

210 *“First, for each climatic percentile (P50, P75, P90, P95 and P99) of daily mean temperature*  
211 *(although it could be also done for maximum temperature and HUMIDEX) we analysed the*  
212 *thermal response of the LCZ (LCZ-T) (Fig. 7). To do it we compared, pixel by pixel, the*  
213 *temperature maps with the LCZ maps and we built a boxplot for each LCZ (Fig. 9).*  
214

215 *In order to characterise each LCZ we tested its normality and test the differentiate behaviour of*  
216 *each probability density curves adjusted to each LCZ. The results of the normality tests (based*  
217 *on central limit theorem) and comparable variations on the relation between LCZ-T indicated*  
218 *that ANOVA may be used for testing whether the differences in LCZ mean temperatures outlined*  
219 *above are significant or not (Geletic et al., 2016). LCZ C, F and 6 do not follow a normal*  
220 *distribution (at 95%) although they tend to it. This is due to the high thermal variability in these*  
221 *categories. There were statistically significant differences in mean LSTs between most LCZs, but*  
222 *LCZs 4 and 5 were recognized as zones less distinguishable from other LCZs. Once we had the*  
223 *temperature distribution it was possible to map HEI.*  
224

225 *Transposing the model on LCZ maps allowed us to map heat exposure distributions for*  
226 *Barcelona. This methodology has the advantage that they can be transferred to other cities*  
227 *because it relates each LCZ with a HEI value. It is only need having the LCZ map and knowing*  
228 *some temperature values in the city to calibrate the model. In the case that there would not be a*  
229 *RR-T curve available, it could be applied the same HEI of this paper”.*  
230

231  
232 **8- Line 374 Please re-phrase**  
233

234 Done. The following paragraph:

235  
236 Lines 374-377: “Along this paper a methodology to characterize the distribution of daily mean  
237 temperature for the different LCZs in different scenarios has been proposed. This characterization  
238 has been done for the summer months and climate percentiles have been obtained for the period  
239 1987-2016 and applied at 100 m resolution to the city of Barcelona.”  
240

241 Has been replaced by:

242  
243 *“This paper presents a methodology to characterize the distribution of daily mean temperature in*  
244 *basis to the LCZs mapping in different temperature scenarios on summer (JJA). The climate*  
245 *percentiles have been obtained for the period 1987-2016 and applied at 100 m resolution to the*  
246 *city of Barcelona.”*  
247

248

249 **9- Line 387 Replace “quite a few” (for example by “multiple”) to avoid repetition and**  
250 **confusion.**

251  
252 Done. New sentence is:

253  
254 “Currently, there are *multiple* studies characterizing...”

255  
256  
257 **10- Line 393 “LCZ A and C that belong to the most prevalent categories” maybe specify the**  
258 **meaning of the LCZ A and C to avoid that the reader has to go and check the Supplementary**  
259 **Table. This applies to the remainder of the discussion**

260  
261 We have removed the supplementary table because it is the same displayed in the paper of Stewart  
262 and Oke (2012), and it is part of the general knowledge of LCZ. So, we have added the meaning  
263 of the main LCZ next to each relevant category, not only LCZ A and C. The new text is:

264  
265 *Figure 9 shows that LCZ 8 (large low-rise buildings), 1 (compact high-rise), E (asphalt) and 2*  
266 *(compact mid-rise) (from highest to lowest), have usually the highest temperatures. These LCZ in*  
267 *general terms correspond to the categories with high admittance and high impervious (Stewart*  
268 *and Oke, 2012). In contrast, the lowest temperatures correspond to LCZ 9 (sparsely built), A*  
269 *(dense trees), C (bushes) and G (water), which are wooded areas and parks on the outskirts of*  
270 *the city.*

271  
272  
273 **11- Line 424 LCZ has been already introduced**

274  
275 The sentence “This paper also provides comparison of two methodologies to cartography the  
276 Local Climate Zones (LCZ)” has been deleted and it has been substituted by the following:

277  
278 Line 424. “*This paper also provides comparison of two methodologies to cartography the LCZ.*  
279 *The WUDAPT and the LCLU based on land use maps.*”

280  
281  
282 **12- Line 432 Why was “However” used here?**

283  
284 It was a mistake. We have replaced however by “In addition to this” and we have modified a  
285 little the sentence

286  
287 Line 432. “*In addition to this, future work includes mapping the sensitivity taking into*  
288 *account....*”

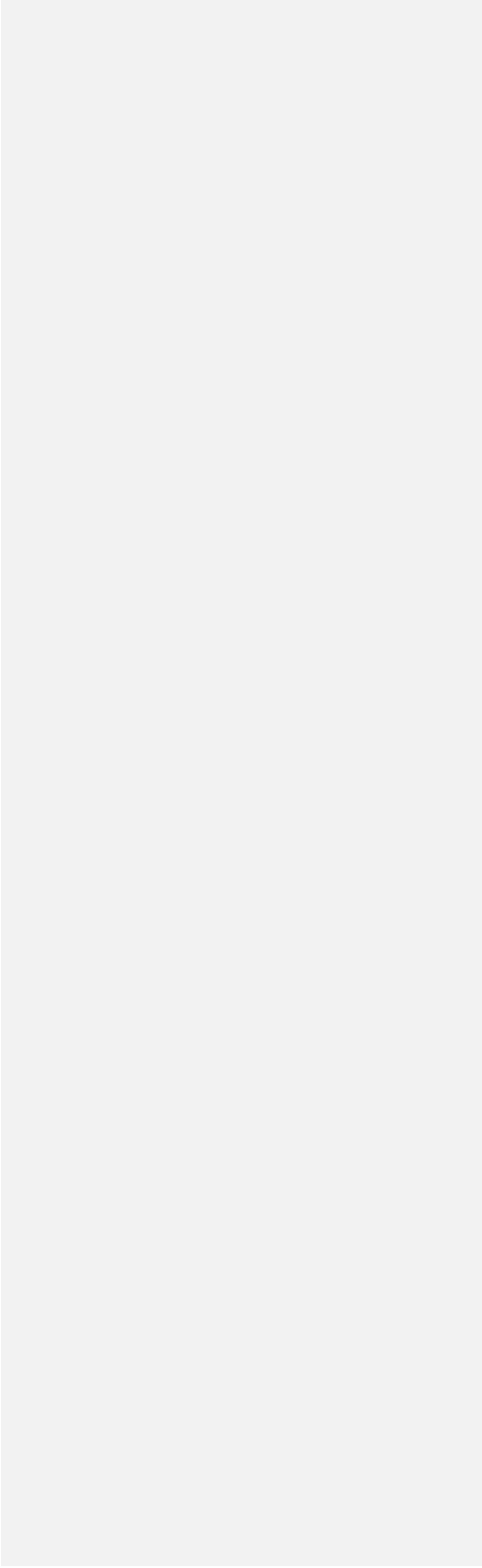
289  
290  
291 **13- Lines 438-441: As pointed above, the description of LCZ-T is rather obscure but it seems**  
292 **that it was derived from a relatively long high-resolution model simulation (UrbClim). Can**  
293 **the required temperature distribution be obtained from other sources? It will still likely**  
294 **require relative long and high-resolution datasets which might not be easily available. So,**  
295 **this advantage of LCZ-T might be limited to data availability. This should be made clearer.**

296  
297 Following your proposal, we have modified the description of LCZ-T. As you say, in some  
298 occasions it is not possible to have the outputs of high-resolution model simulations and this is  
299 the main reason of our model to transform LCZ in HEI maps. Figures 9 and 10 shows how the  
300 methodology developed here could be applied in the hypothesis that the results obtained for  
301 Barcelona Metropolitan Area could be extrapolated to this other city. The information provided  
302 by the HEI maps could be useful to improve risk management in front high temperatures showing  
303 in which part of the city the same event could have the worst impacts.

304  
305  
306  
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310  
311  
312

**14- The manuscript has a very large number of acronyms, and it is very difficult for the reader to keep track of all of them. I suggest a reduction where possible.**

You are right, we have tried to reduce some acronyms along the manuscript, especially in the conclusions and the acronyms that appear few times.



313

## 314 **Assessing heat exposure to extreme temperatures in urban** 315 **areas using the Local Climate Zones classification**

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317 Carmen Llasat<sup>1</sup>

318

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324

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326

327 **Abstract.** Trends of extreme temperature episodes in cities are increasing (in frequency,  
328 magnitude and duration) due to regional climate change in interaction with the urban  
329 effects. Urban morphologies and thermal properties of the materials used to build them  
330 are factors that influence the spatial and temporal climate variability and becomes one of  
331 the main reasons for the climatic singularity of cities. This paper presents a **methodology**  
332 to evaluate the urban and peri-urban effect on extreme temperatures exposure in  
333 Barcelona (Spain), using the Local Climate Zone (LCZ) **classification** as a base statement,  
334 that allows the comparison with other cities of the world characterized using this criterion.  
335 LCZs were introduced as input of the high resolution UrbClim model (100 m spatial  
336 resolution) to create the daily temperatures (median and maximum) series for summer  
337 (JJA) during the period 1987 to 2016, pixel by pixel, in order to create a cartography of  
338 extremes. Using the relationship between mortality due to high temperatures and the  
339 temperature distribution, the heat exposure of each LCZ was obtained. Methodological  
340 results of the paper show the improvement obtained when LCZs were mapped through a  
341 combination of two techniques (from Land Cover/Land Use maps and from WUDAPT  
342 method), as well as proposes a methodology to obtain the exposure to high temperatures  
343 of different LCZs on urban and peri-urban areas. In the case of Barcelona, the distribution  
344 of temperatures for the 90th percentile (about 3-4°C **above the average conditions**) leads  
345 to an increase in the relative risk of mortality of 80%.

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350 **1. Introduction**

351 Alterations to the natural environment associated with urban activity mean that climate  
352 variability in urban landscapes is more complex than in peri-urban and rural areas. Urban  
353 landscapes are home to more than half the world's population and projections show that  
354 two-thirds of the world's population will live in cities by 2050 (UN, 2015). Urban areas  
355 are certainly more exposed and vulnerable to the negative effects of climate change due  
356 to their non-sustainable relationship with surrounding areas and environments. The Urban  
357 Climate Change Research Network's Second Assessment Report on Climate Change in  
358 Cities (ARC 3.2) (Rosenzweig et al., 2018), places the average annual temperature  
359 increase ratio per decade between 0.1 and 0.5°C in the period from 1961 to 2010 in the  
360 cities analysed it. And it is estimated that the temperature will rise between 1.3 and 3°C  
361 towards the middle of the 21<sup>st</sup> century (2040-2070) and 1.7 to 4.9°C towards the end  
362 (2070-2100).

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363 Urban landscapes are particularly sensitive to rising temperatures at all timescales  
364 (Pachauri RK et al., 2014). Heat waves (HW) are one of the deadliest weather events  
365 and their frequency, intensity and duration are expected to increase in the future due to  
366 climate change (Li and Bou-Zeid, 2013; De Jarnett and Pittman, 2017; Sheridan and  
367 Dixon, 2016) and the urban heat island (UHI) effect. Consequently, the related health  
368 impacts are of emerging environmental health concern (Wolf and McGregor, 2013). In  
369 Europe, the growing urbanisation along with the impacts of the increasing of extreme  
370 temperature causes increased heat-related mortality (Smid et al., 2019; Ingole et al.,  
371 2020).

372 There are many factors that influence the spatial and temporal climate variability in urban  
373 areas, such as different urban morphologies and the thermal properties of the materials  
374 used to build them (Geletič et al., 2016; Li et al., 2016). One of the main topics usually  
375 studied to characterise the urban climate are the extreme temperatures in cities due to UHI  
376 effect, which was first discussed back in the 1940s (Balchin and Pye, 1947). Historically,  
377 a considerable body of research has been published on the phenomenon (i.e, Oke, 1982;  
378 Lo et al., 1997; Arnfield, 2003; Voogt and Oke, 2003; Chen et al., 2006; Mirzaei and  
379 Haghighat, 2010; Giannaros et al., 2014; Lehoczky et al., 2017; Sobrino and Irakulis,  
380 2020). However, certain methodological inconsistencies have been revealed when  
381 comparing different urban climate studies. One of the main reasons is the lack of

386 standardisation to compare the properties that affect specific urban thermal behaviour  
387 (Stewart, 2011). Moving forward from this premise, a new methodology based on the  
388 Urban Climate Zones defined by Oke (2004) and called Local Climate Zone (LCZ)  
389 classification has emerged (Stewart and Oke, 2012). LCZ establishes a system of  
390 standardisation for urban and rural areas and their thermal responses. LCZ proposes a  
391 classification with a total of 17 measurable categories based on a combination of  
392 geometric, thermal, radiative and metabolic parameters that characterise urban and peri-  
393 urban areas. By using this classification, it is possible to study the effects of urban climate  
394 in more spatial and temporal detail (Bechtel et al., 2015). The combination of built  
395 environment (Benzie et al., 2011; Inostroza et al., 2016) is well encompassed by the LCZ  
396 approach, and, along with socio-demographic factors (Nayak et al., 2018), this allows us  
397 to develop a geospatial distribution of heat exposure (Dickson et al., 2012; Drobinski et  
398 al., 2014). Along the same line of research, the international project called World Urban  
399 Database and Access Portal Tools (WUDAPT) has created a portal with guidelines based  
400 on earth observation data, with the aim of building a worldwide database of cities, using  
401 the LCZ classification. This standardisation will allow comparisons between cities, while  
402 providing better data for meteorological and climate models (Brousse et al., 2016; Ching  
403 et al., 2018). Currently, the available, validated layer for Barcelona on the WUDAPT  
404 portal is the one made in our studio to fill in the Metropolitan Area of Barcelona (AMB),  
405 as explained in more detail in section 3.1.

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406 Due to LCZ classification was originally designed to mainly describe the thermal  
407 characteristics of the different land covers and land uses, it is useful to be applied to  
408 estimate the level of heat exposure (Vicedo-Cabrera et al., 2014; Lowe et al., 2015;  
409 Achebak et al., 2019) to adverse climate conditions that is one of the main goals of this  
410 paper. There are a wide range of definitions for the term ‘vulnerability’ (UNISDR, 2009;  
411 Cutter, 1996; Llasat et al., 2009), which depend on different physical and social factors  
412 (Cutter et al., 2000; Tromeur et al., 2012; Nakamura and Llasat, 2016). In this framework  
413 heat vulnerability is understood as a combination of heat exposure (based on high  
414 temperatures) and sensitivity (Wolf and McGregor, 2013; Bao et al., 2015; Inostroza et  
415 al. 2016), where the last is related with the population characteristics and coping  
416 capacities. Although there are some publications that study risk on an urban scale for  
417 extreme heat events (Xu et al., 2012; Weber et al., 2015; Krstic et al., 2017; Eum et al.,  
418 2018), few have been studied from an LCZ perspective. This paper therefore aims to

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424 assess heat exposure using the LCZ classification in a coastal Mediterranean metropolitan  
425 region. Barcelona constitutes a good example of a Mediterranean coastal megacity (port  
426 cities with a population greater than 1 million in 2005) (Hanson et al., 2011) that can be  
427 severely affected by climate change impacts. In effect, annual mean temperature increase  
428 in the Mediterranean Basin is higher than the world average (1.5°C above 1880-1899 in  
429 2018) and could be above 2.2°C in 2040 without additional mitigation (Lionello et al.,  
430 2014; Cramer et al., 2018; MedECC, 2019). Direct impacts on health produced by the  
431 frequency and intensity increase of heat waves and tropical nights will be amplified by  
432 the urban heat island effect, particularly important in Barcelona (Baccini et al, 2011;  
433 Martin-Vide and Moreno, 2020). Associated to this temperature increase, by 2050, for  
434 the lower sea-level rise scenarios and current adaptation measures, cities in the  
435 Mediterranean will account for half of the 20 global cities with the highest increase in  
436 average annual damages (Hallegate et al., 2013).

437 This study is a starting point for new research lines with three objectives in mind: a)  
438 making changes to urban land cover and observing the changes in heat exposure to high  
439 temperatures without having to resort to climate modelling; b) downscaling the  
440 temperature outputs of urban models to resolutions under 100m using the LCZ maps; c)  
441 applying this methodology to climate change scenarios.

## 442 2. Data and Methods

### 443 2.1. Study area

444 The Metropolitan Area of Barcelona (AMB) and its surroundings have been selected to  
445 apply the LCZ classification. AMB involves the city of Barcelona and 35 adjoining  
446 municipal areas (Fig. 1). The AMB is situated in the northwest of the Mediterranean basin  
447 and covers an area of 636 km<sup>2</sup> with a population of around 3.2 million. The city of  
448 Barcelona (~1.6 million) is in its centre, between the Llobregat River (South), the Besòs  
449 River (North), the Catalan Coastal Range (West) and the Mediterranean Sea (East) (Fig.  
450 1b).

451 The Barcelona municipality has been selected to analyse the effect of high temperatures  
452 and apply the proposed methodology approach on a neighbourhood scale. Barcelona is  
453 divided into 10 districts, which are subdivided into 73 neighbourhoods. It covers an area

**Deleted:** The paper is divided into two main chapters. The first deals with the study area, data and proposed methodology. The second, is focused on applying the methodology to the city of Barcelona and showing the respective results. The paper ends with a section on discussion and conclusions. ¶

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462 of 101 km<sup>2</sup> and has a population density of over 15,000 inh./km<sup>2</sup>, which is higher than  
463 New York City, Tokyo or New Delhi. In terms of climate, Barcelona and its surroundings  
464 are characterised by hot summers (25°C-27°C average temperature), and the thermal  
465 stress of high temperatures is accentuated by the proximity of the sea, which results in a  
466 humid atmosphere. Total precipitation in Barcelona is around 600 mm per year. Autumn  
467 is the wettest season and has a highly irregular distribution of precipitation, in many cases  
468 causing episodes of urban flooding (Gilabert and Llasat, 2017; Cortès et al., 2018).

469

## 470 **2.2 Methodology design**

471 In order to carry out this study, we followed the workflow shown below:

- 472 1. LCZ Mapping: A GIS methodology based on Land Cover and Land Use (LCLU)  
473 maps has been applied to the entire AMB to improve the precision of the  
474 international WUDAPT method. The WUDAPT method has been also applied to  
475 all the area showed in Figure 1b, both inside and outside AMB, that will be used  
476 as input of the climate model.
- 477 2. Climate characterisation of the median and extreme temperature distribution in  
478 Barcelona from the outputs of UrbClim model.
- 479 3. Defining the heat exposure thresholds based on the epidemiological temperature-  
480 mortality model proposed by Achebak et al. (2018).
- 481 4. Developing a methodology for the thermal characterisation of the LCZs and its  
482 assessment.

483 Each one of these steps will be explained in detail in the following sections in order to  
484 simplify the understanding of this methodology in which each part is based in the results  
485 of the previous one. The own methodology followed constitutes a result of this work.

## 486 **2.3. LCZ mapping**

### 487 **2.3.1 Data from official thematic cartography, satellite images and weather stations**

488 In order to create the LCZ cartography data showed in Table 1 have been used. The LCZs  
489 were represented following two methods, as explained in section 3. The Land Cover Land

490 Use method was based on using all the layers presented in Table 1, except for the Landsat  
491 8 image, which was only used with the WUDAPT methodology and the orthophoto to  
492 make the training areas.

### 493 **2.3.2 Land Cover and Land Use method and WUDAPT method**

494 There are several proposals for mapping LCZs, whether from a bottom up or top down  
495 approach (Brousse et al., 2016; Lelovics et al., 2014; Wang et al., 2017; Mitraka et al.,  
496 2015). Each LCZ is defined by 10 variables (geometric, radiative and metabolic), which  
497 were tested and standardised by Stewart and Oke (2012) and are applied in this study.

498 Our study features a LCZ map that combines two different mapping techniques (Fig. 2).  
499 For the administrative region of the AMB (with a more extensive and detailed source of  
500 data), a methodology based on [land cover and land use \(LCLU\)](#) data was used that departs  
501 from the reclassification of the land use key for the existing high-resolution maps. The  
502 LCLU data were combined with LIDAR data, which allowed us to define the height of  
503 the buildings. There are other techniques that use similar methodologies to show LCZs,  
504 like those by Geletič and Lehnert (2016) or Skarbit et al. (2017). For the area outside the  
505 AMB, the international WUDAPT methodology was used, based on satellite earth  
506 observation data (Bechtel et al, 2015). This study improved accuracy through a population  
507 map and high resolution orthophotos provided by the Cartographic and Geological  
508 Institute of Catalonia (ICGC). Both methodologies are summarized below.

509 The LCLU method is based on different Land Cover and Land Use maps (see Table 1),  
510 such as the Land Cover Map of Catalonia (LCLU-Cat), which uses an extensive  
511 classification of up to 241 categories (CREAF, 2010), and the Urban Atlas (UA) (EEA,  
512 2010). The first thematic map was used to define the Land Cover Types and density of  
513 vegetation. The UA distinguishes 20 categories of urban areas and discerns between urban  
514 fabric type and density, which is why it is very useful for the first 10 categories of LCZ.  
515 Each LCLU category corresponds to one of the descriptions of the different  
516 morphological parameters that define the LCZ. The Building Heights is another layer of  
517 the map, and was made with a LIDAR sensor, which was also used to discern between  
518 the different [building types of each LCZ](#).

Deleted: Urban Climate Zones

520 Figure 3 shows the difference between the total coverage of each LCZ when obtained  
521 from the LCLU and from WUDAPT maps in AMB (Fig. 2). In the WUDAPT approach,  
522 52.8% of the surface area of the AMB consists of urban areas (LCZ 1-10 and E), while  
523 in the high-resolution map (LCLU approach), the same type of coverage occupies just  
524 37.3%. It is a consequence of the difference in the LCZ characterization processes that  
525 both methods follow. Although 17 LCZs are distinguished in the two methods, WUDAPT  
526 uses the spectral radiance provided by satellite images and applies a supervised  
527 classification based on a random forest generalization method based on training zones  
528 (Bechtel et al. 2015). On the contrary, the method LCLU proposed here analyses the  
529 intrinsic variables that characterizes each category of LCZ and consequently it has major  
530 integrity and quality. It is to say, it has a better resolution. In both methods, we can see  
531 that the natural forest category (LCZ-A) is the most common, accounting for 24.1% and  
532 18.4% of the land respectively. This is due to the fact that the Metropolitan Area of  
533 Barcelona includes Collserola Natural Park in the Coastal Mountain Range. The next  
534 most common class is LCZ-C, which corresponds to scrubland and bush. Dealing with  
535 land classified as urban, the most common types include industrial estates (LCZ-8), areas  
536 with dense buildings less than 25 m tall (LCZ-2) and category LCZ-6, which consists of  
537 open arrangements of mid-rise buildings. The WUDAPT map suffers from a lack of  
538 characterisation of urban areas, which is not the case for the LCLU map.

539 The resulting LCZ map is a high resolution thematic/vector map/base map (Figure 2b),  
540 in which each polygon that makes up the urban fabric is attributed to an LCZ category  
541 (Gilabert et al., 2016). Finally, it was rasterised at a resolution of 100 m, applying an all  
542 shape filter, so that it could be used as an input for the UrbClim model. The method we  
543 followed is shown in the workflow diagram (Figure 4). There are similar examples in the  
544 literature, such as the LCZ map for the Île-de-France ([www.institutparisregion.fr](http://www.institutparisregion.fr)), or the  
545 LCZ-LCLU Map of Vienna (Hammerberg et al., 2018).

546 The WUDAPT method (Bechtel et al., 2015) allows us to create a 100 m x 100 m raster  
547 map based on earth observation data from remote sensing. The representative regions of  
548 interest are chosen for proposed LCZ categories from earth observation satellite data, with  
549 the use of very high resolution aerial orthophotos as a ground truth. The LCZ map, made  
550 by the first author of this paper, using the WUDAPT proposal, is officially presented on

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554 the project portal and is available for download ([www.wudapt.org](http://www.wudapt.org)). This method has been  
555 applied to an extended area as is showed at Figure 5.

556 A multi-resolution grid shape file (62.5 m, 125 m and 250 m) containing information on  
557 the population as registered in 2016 (IDESCAT, 2018) was used to correct the peri-urban  
558 areas of AMB where rural activities cannot see well identified. The orthophoto was used  
559 to check and correct any categories and the limits between them.

560 Figure 5 shows the resulting map combining the LCLU method (in raster format) for the  
561 administrative region of the AMB, and WUDAPT method for the rest of the study area  
562 with a final resolution of 100m.

#### 563 **2.4 Weather stations**

564 Table 2 shows the weather stations within the municipality of Barcelona that have been  
565 used to evaluate and compare the characterization of the LCZ with the daily average  
566 temperature outputs of the UrbClim model. LCZ and height information are also attached.

#### 567 **2.5 UrbClim model simulation**

568 UrbClim is an Urban Boundary-Layer Climate Model specifically designed to simulate  
569 temperature at a very high spatial resolution (here at 100 m; De Ridder et al., 2015). The  
570 model consists of a land surface scheme with simplified urban physics coupled to a 3D  
571 atmospheric boundary layer. UrbClim is faster than high-resolution mesoscale climate  
572 models by at least two orders of magnitude (García-Díez et al., 2016), making the very  
573 long runs that are necessary for climate change related studies possible. UrbClim has been  
574 recently validated in several European cities, including Barcelona (García-Díez et al.,  
575 2016). Currently, within the framework of the Pan-European Urban Climate Service  
576 (PUCS) project (H2020, 2017-2010), the urban climate of Barcelona has been modelled  
577 until 2100, keeping in mind different Representative Concentration Pathways (RCPs) to  
578 observe the consequences of climate change on an urban scale. Barcelona was chosen,  
579 among other European cities, and VITO and ISGlobal were the organisations responsible  
580 for modelling this city.

581 UrbClim model uses a land-surface and a soil-vegetation-atmosphere transfer scheme that  
582 is designed to deal with urban surfaces. Each surface grid cell in the model is made up of

583 portions of vegetation, bare soil and urban surface cover, which are all represented using  
584 LCZ mapping. A set of transfer equations, together with appropriate parameter values for  
585 albedo, emissivity, aerodynamic and thermal roughness length are used to simulate the  
586 heat transfer in each surface grid cell. The large-scale atmospheric conditions are used as  
587 lateral and upper boundary conditions. The 3D boundary layer model represents a  
588 simplified atmosphere by using the continuity equations for horizontal momentum,  
589 potential temperature, specific humidity and mass.

590 The simulations for the 1987-2016 period were used for this period. The UrbClim  
591 simulations cover a large domain containing 401x401 horizontal grid points at 100 m  
592 resolution (40x40 km approximately), and 19 vertical levels within the lower 3 km of the  
593 troposphere. It covers the entire geographical area of the Metropolitan Area of Barcelona,  
594 including the neighbouring highly populated cities. The driving model data are updated  
595 every 3 hours using ERA-Interim reanalysis (Dee et al., 2011), which runs at a spatial  
596 resolution of T255 (approximately 70-80 km). The UrbClim model directly downscales  
597 the ERA-Interim reanalysis data to 100 m resolution. The climate distribution of the daily  
598 mean temperature ( $T_{mean}$ ), maximum temperature and dew point temperatures were  
599 calculated for all the summer months (JJA). The maximum temperature provides an  
600 estimate of the worst conditions that can be expected. It is important for risk management  
601 and avoiding heat stroke, which usually occurs during the hours of the day when the  
602 temperature reaches its highest value. The dew point temperature ( $T_{dew}$ ) was used as a  
603 starting point to calculate the HUMIDEX Eq. (1) that describes the perceived thermal  
604 feeling of a person, by combining the effect of heat and humidity (Masterton and  
605 Richardson, 1979). Barcelona has quite a high relative humidity during the summer  
606 months, which means that the HUMIDEX increases considerably.

607 
$$HUMIDEX = T_{mean} + 0.5555 \left[ 6.11 e^{5417.7530 \left( \frac{1}{273.16} + \frac{1}{273.15 + T_{dew}} \right)} - 10 \right] \text{ Eq. (1)}$$

## 608 2.6 Quantifying heat exposure by temperature

609 The next step consists of reclassifying the maps of the proposed distributions for the daily  
610 mean temperature, keeping in mind the impact that they can have on the health. This was  
611 carried out using the results provided in the study by Achebak et al. (2018), in which a  
612 distributed lag nonlinear model was used to model the short-term delayed relation



613 between daily summer temperature and mortality data from cardio-respiratory diseases in  
614 Barcelona (and 46 other cities), over a similar period of time modelled (Fig. 6). This  
615 makes it possible to objectively establish the thresholds for health relative risks (RR),  
616 based on temperature. For instance, a RR value of 1.20 means that the relative risk of  
617 mortality is 20% higher at a given level of temperature exposure compared to a baseline  
618 optimum temperature (e.g. temperature of minimum mortality, when RR=1). Relative  
619 risks are statistically significant when the lower bound of the confidence interval is greater  
620 than 1.

Deleted: CI

621 We are assuming that the curve is applicable to all districts of the city (Achebak et al.,  
622 2018). Table 3 has been built for RR intervals of 0.2 (20%) following the Figure 6. Each  
623 RR interval has been associated to a Heat Exposure Index (HEI) that includes temperature  
624 interval based on the curve of Achebak et al. (2018). Barcelona deals with HEI value of  
625 1 for temperatures between 18 and 20°C up to a HEI value of 7, for temperatures above  
626 31.1°C that would mean a very high relative risk of mortality associated with high  
627 temperatures. The use of seven HEI categories has the advantage that it can be applied to  
628 any city by adjusting them to the temperature values of that city and to the RR curve  
629 considered.

### 630 3. Results

#### 631 3.1 UrbClim temperature outputs and HEI maps

632 In order to analyse the impact of the different LCZ in the distribution of high temperatures  
633 in summer the maps of maximum and daily mean temperature corresponding to  
634 percentiles P50, P75, P90, P95 and P99 have been built (Fig. 7). Barcelona has a high  
635 relative humidity due to proximity to the sea that increases the warm perception, and, for  
636 this reason, the cartography of the average daily HUMIDEX value has also been  
637 represented.

638 As we can see in figure 7, there is a very similar spatial distribution pattern. The lowest  
639 temperatures are in the most remote area of the coast and they are mainly associated with  
640 categories LCZ A and LCZ 9 (mainly covering areas of woodland or very low-density  
641 buildings). A cooling effect can also be noted in the most important parks in the city, as  
642 well as on the seafront, because of the sea breeze (the UrbClim model underestimates the

644 sea breeze effect in Barcelona, García-Diez et al., 2016). The highest temperatures can be  
645 found in the centre of the city, with a tendency to increase in a north-easterly direction.

646 We saw that P99 of HUMIDEX reached 39°C. In Barcelona, without taking humidity into  
647 account, the average temperature in the city can reach above 30°C. Even so, normal  
648 temperatures during the summer are around 27°C. In Mediterranean cities, relative  
649 humidity is important since it is usually high, a fact that affects temperature (Diffenbaugh  
650 et al., 2007). In this sense, we observe that the HUMIDEX can register temperatures of  
651 the order of 5°C higher than the sensible temperature. Anyway, this study has focused on  
652 sensible temperature because the curve that defines the Heat Exposure Index has been  
653 made for sensible temperature. In any case, we must bear in mind that the temperature or  
654 heat stress may be higher due to the greater HUMIDEX.

Deleted: HEI

655 Figure 8 shows maps of HEI distribution reclassified the UrbClim output of daily mean  
656 temperature according to the proposed thresholds showed in section 2.6. This  
657 reclassification turns the extreme temperature maps or hazard maps into heat exposure  
658 maps. It can be seen that the HEI is lower in areas with higher altitude and in inter-urban  
659 parks (as the Montjuïc Park located in SE of the map), although when P90 is surpassed,  
660 the HEI value goes over level 5 for most of the urban fabric. Note that the P50 shows an  
661 increase in the relative risk of mortality of 40%.

### 662 3.2 Thermal characterisation of the LCZs

663 In this section we aim to match up each LCZ with a determined thermal behaviour to  
664 create a methodology that will allow us to estimate the heat exposure to high temperatures  
665 from this data.

666 First, for each climatic percentile (P50, P75, P90, P95 and P99) of daily mean temperature  
667 (although it could be also done for maximum temperature and HUMIDEX) we analysed  
668 the thermal response of the LCZ (LCZ-T) (Fig. 7). To do it we compared, pixel by pixel,  
669 the temperature maps with the LCZ maps and we built a boxplot for each LCZ (Fig. 9).

670 In order to characterise each LCZ we tested its normality and test the differentiate  
671 behaviour of each probability density curves adjusted to each LCZ. The results of the  
672 normality tests (based on central limit theorem) and comparable variations on the relation  
673 between LCZ-T indicated that ANOVA may be used for testing whether the differences

675 in LCZ mean temperatures outlined above are significant or not (Geletic et al., 2016).  
676 LCZ C, F and 6 do not follow a normal distribution (at 95%) although they tend to it. This  
677 is due to the high thermal variability in these categories. There were statistically  
678 significant differences in mean LSTs between most LCZs, but LCZs 4 and 5 were  
679 recognized as zones less distinguishable from other LCZs. Once we had the temperature  
680 distribution it was possible to map HEI.

681 Transposing the model on LCZ maps allowed us to map heat exposure distributions for  
682 Barcelona. This methodology has the advantage that they can be transferred to other cities  
683 because it relates each LCZ with a HEI value. It is only need having the LCZ map and  
684 knowing some temperature values in the city to calibrate the model. In the case that there  
685 would not be a RR-T curve available, it could be applied the same HEI of this paper.

686 Figure 9 shows that LCZ 8 (large low-rise buildings), 1 (compact high-rise), E (asphalt)  
687 and 2 (compact mid-rise) (from highest to lowest), have usually the highest temperatures.  
688 These LCZ in general terms correspond to the categories with high admittance and high  
689 permeability (Stewart and Oke, 2012). In contrast, the lowest temperatures correspond to  
690 LCZ 9 (sparsely built), A (dense trees), C (bushes) and G (water), which are wooded areas  
691 and parks on the outskirts of the city. On the other hand, crops and bare land (LCZ C and  
692 F) show very variable behaviour, as during the day they tend to be surfaces that store and  
693 retain heat, while during the night their behaviour registers temperatures under the  
694 average of the sample. These surfaces are characterised by a large temperature range  
695 given the marked contrast between day and night.

696 Table 4 shows that the more extreme the percentile the larger the standard deviation, as  
697 expected. Besides this, the more marked deviations correspond to LCZ C and F, which  
698 correspond to wooded or bare areas and which show less thermal inertia. On the other  
699 hand, category C is very highly influenced (in the case of Barcelona) by orientation, as  
700 there are zones located in shaded parts of valleys while other zones are in the sunny ones,  
701 which has a direct impact on the deviation. In the case of category C, we observed that it  
702 corresponds to a land use that is not very representative in spatial terms.

### 703 3.3 Mapping the heat exposure with LCZs

**Deleted:** First, we analysed the thermal response of the LCZ (LCZ-T) to the high temperature situations obtained in the climate analysis (Fig. 7). Second, we analysed the probability density curves for each LCZ, so that we could calculate the anisotropy levels of the LCZs. Using this foundation, we built curves for the LCZs and a defined scenario for the percentiles considering the HEI, which allowed us to create a model that was applicable not only to Barcelona but also to other regions with similar behaviour. Transposing the model on LCZ maps allows us to map heat exposure distributions for Barcelona. ¶

714 Figure 10 shows the average behaviour of the LCZs for different temperature percentiles  
715 (P50, P75, P90, P95, P99). The values corresponding to range between the 25th and 75th  
716 percentiles of each LCZ for each probability scenario have been adjusted to a logarithmic  
717 curve that can be very useful to build heat exposure maps for high temperatures based on  
718 the thermal properties of the LCZ. Knowing the temperature distribution for each  
719 category and scenario allows doing the simulation of the impact on temperature  
720 distribution of potential modifications to the urban morphology.

721 As explained in the methodology, seven ranges of temperature have been defined  
722 according to different relative risk thresholds (Table 3) established by the curve proposed  
723 in the study by Achebak et al. (2018) (Fig. 6). By characterising the LCZ from the model  
724 represented in Figure 11, the maps of the Heat Exposure Index, associated to high  
725 temperatures for different probabilistic scenarios have been built. The scenario  
726 corresponding to the P75 of the temperature would imply a ratio of relative risk of  
727 mortality increase of 60%, and, 80% in a scenario according to the P90.

### 728 3.4 Assessment and comparison of the LCZ-T relationship

729 The results of the LCZ-T relationship as well as the results of the Urban Climate model  
730 (UC) have been compared with the distribution of temperature obtained from series of  
731 over 10 years for five weather stations (Table 2) located in different LCZ in the  
732 municipality of Barcelona. Root mean square error (RMSE) and the differences between  
733 the output of both (UrbClim model and LCZ-T relationship) and observations have been  
734 obtained in order to compare the results (Tables 5 and 6). We want to highlight that the  
735 UrbClim has been already validated in Barcelona by García-Díez et al. (2016) as outlined  
736 in section 2.6. Table 5 shows that differences in absolute value are lower than 1.2°C. In  
737 all the cases they are equal or below 0.5 °C for the percentile of 50, and also for the  
738 percentile of 75 with the exception of the Raval station, that is placed in the oldest part of  
739 the city. It should also be kept in mind that a standalone observation is not the same as an  
740 aerial 100 x 100 m observation, and this fact is particularly important when the weather  
741 station is surrounded by buildings.

742 The HEI maps drawn up using the LCZs were compared with the map based on  
743 temperature distributed created by UrbClim (Table 6). Coincidences between pixels for

Deleted: heat

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Deleted: (HEI)

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749 both models are above 80% for percentiles P50, P75 and P90, and more than 60% in all  
750 cases.

751

#### 752 4. Discussion and conclusions

753 ~~This paper presents a methodology to characterize the distribution of daily mean~~  
754 ~~temperature in basis to the Local Climate Zones (LCZs) mapping in different temperature~~  
755 ~~scenarios on summer (June-July-August). The climate percentiles have been obtained for~~  
756 ~~the period 1987-2016 and applied at 100 m resolution to the city of Barcelona.~~ Although  
757 other authors have already worked with the relationship between thermal behaviour and  
758 LCZ category (Stewart et al., 2014; Skarbit and Gal., 2015; Geletič et al., 2016; Verdonck  
759 et al., 2018) they have usually applied Land Surface Temperature satellite images, for the  
760 summer months and a short time period. Other characterizations of LCZ using weather  
761 stations can also be found in Alexander and Mills (2014) and Kotharjar and Bagade  
762 (2018). In this case, these authors have worked with climate series from observational  
763 data. The advantage of the methodology proposed here, in which the LCZ distribution  
764 has been compared with the outputs of a high-resolution climate model (UrbClim) is that  
765 the relationship has been established from long climate series and for the entire selected  
766 region. Currently, there are ~~multiple~~ studies characterizing LCZs using urban model  
767 outputs (Aminipouri et al., 2019; Beck et al., 2018; Geletič et al., 2018; Kwok et al., 2019;  
768 Unger et al., 2018), but there are ~~not~~ with climatic outings that span so many years.

769 The results of this methodology applied to the Metropolitan Area of Barcelona have  
770 showed a major difference between the thermal response in summer for the different LCZ  
771 that this obtained from some satellite images. In terms of land use, LCZ A and C, that  
772 belong to the most prevalent categories, show the lowest temperatures, consistent with  
773 the majority of studies carried out (e.g. Geletič et al., 2016). In our case, category C  
774 shows a wider interquartile range than the other types. This is because this category is  
775 found in different altitudes along the Catalan Coastal Range and in areas with different  
776 orientations. Regarding category B, attributed to the majority of interurban parks, it  
777 maintains temperatures below those of the most typical urban zones.

**Deleted:** Along this paper a methodology to characterize the distribution of daily mean temperature for the different LCZs in different scenarios has been proposed. This characterization has been done for the summer months and climate percentiles have been obtained for the period 1987-2016 and applied at 100 m resolution to the city of Barcelona

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786 The highest daily mean summer temperatures in Barcelona are concentrated in LCZ 2, E,  
787 1, 8 F and 10, with LCZs 2, 1 and E being the most representative of the urban planning  
788 in the city centre. With regard to LCZ 8 and 10, these are zones that tend to record high  
789 temperatures due to the nature of the activities and materials on the land cover (in the  
790 most cases, metal structures). The urban LCZ with the lowest temperatures is 9, which is  
791 almost non-existent in Barcelona and is located mainly in zones in the Catalan Coastal  
792 Range with a significant altitudinal slope. Another urban LCZ with low relative  
793 temperatures commonly found in the city is 6, which is mainly located in the  
794 neighbourhoods furthest away from the coast and closer to the mountain. These  
795 neighbourhoods have a higher percentage of urban green cover, less dense buildings and  
796 one of the highest per capita gross domestic product in the city.

Deleted: GDPs

797 The paper has also introduced the Heat Exposure Index (HEI), that evaluates the increase  
798 of the risk of mortality ratio as a consequence of heat exposure in basis to the model  
799 proposed by Achebak et al. (2018) which connects relative risk of mortality caused by  
800 cardio-respiratory failure with the effects of high temperatures. This index, associated to  
801 each LCZ once the temperature has been associated to it, allows mapping the HEI. The  
802 comparison between the Heat Exposure Index maps elaborated directly from the  
803 temperature outputs produced by the UrbClim model and those produced from LCZ  
804 cartography is well-suited to simulate them for scenarios corresponding to percentiles of  
805 temperature between 50% and 90%, and, in the case in which there is no coincidence  
806 between the HEI value in the pixel, it is more usual underestimation than overestimation.  
807 In the case of Barcelona, the distribution of temperatures for the P90 (about 3-4°C  
808 compared to average conditions) leads to an increase in the relative risk of mortality of  
809 80%, and 40% in the case of P50.

Deleted: HEI

810 This paper also provides comparison of two methodologies to cartography the LCZ. The  
811 WUDAPT and the Land Cover Land Use (LCLU) method based on land use maps. The  
812 international standard method WUDAPT (is exclusively based on satellite earth  
813 observation data (Ching et al., 2018). The LCLU departs from land use maps, Urban  
814 Atlas, LIDAR measurements and orthophotos. The study area has been mapped using two  
815 techniques, the LCLU based on land use maps and the WUDAPT. The LCLU has been  
816 applied to the Metropolitan Area of Barcelona and the WUDAPT to the entire region  
817 (inside and outside) the AMB. The WUDAPT map suffers from a lack of characterisation

Deleted: This paper also provides comparison of two methodologies to cartography the Local Climate Zones (LCZ

822 of different types of urban areas, which is not the case for the Land Cover Land Use.  
823 Then, when the required data is available it is better to apply the LCLU methodology than  
824 the WUDAPT one. In this study, the curve of Achebak et al. (2018) was taken into  
825 account, as representative of the whole of Barcelona city. In the future, it would be good  
826 to have a similar curve for different districts of the city. In addition to this, future work  
827 includes mapping the sensitivity, taking into account coping capacities based on gross  
828 domestic product (GDP), social structure of the neighbourhood, etcetera. This would  
829 include vulnerability.

Deleted: LCLU

Deleted: However, in future work it would be interesting to represent a sensitivity map

830 In conclusion, the LCZ-T relation based on the characterisation of the average  
831 temperature for each LCZ corresponding to different percentile distribution, allows us to  
832 consider adaptive methods, proposing changes to more sustainable urban planning, for  
833 example the use of green or white cover. The advantage of the proposed methodology is  
834 that it allows to obtain a heat exposure distribution for summer temperatures without  
835 having to resort climate models, by applying the model of temperature distribution  
836 associated to each LCZ. It can be also useful to do different experiments modifying land  
837 uses and land coverages over the cartography, and, consequently, the LCZ distribution  
838 and their associated Heat Exposure Index. Another possibility is being able to separate  
839 the heat exposure levels on an LCZ map with higher spatial resolutions to those used in  
840 weather models and climate models.

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#### 862 **Author contributions**

863 JG conceived the study, designed and carried out the data analysis and wrote the paper.  
864 MCL, JC and JB have participated in defining the analysis and methodology, contributed  
865 to interpreting the results, and to writing the paper. DL and AdL have run the UrbClim  
866 model and prepare the output data.

#### 867 **Competing interests**

868 The authors declare that they have no conflict of interest.

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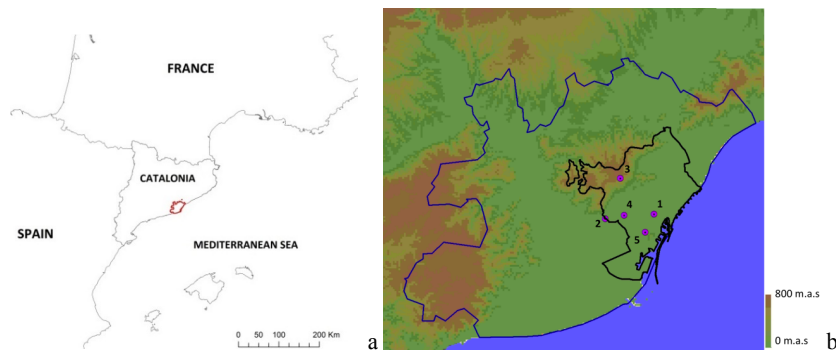
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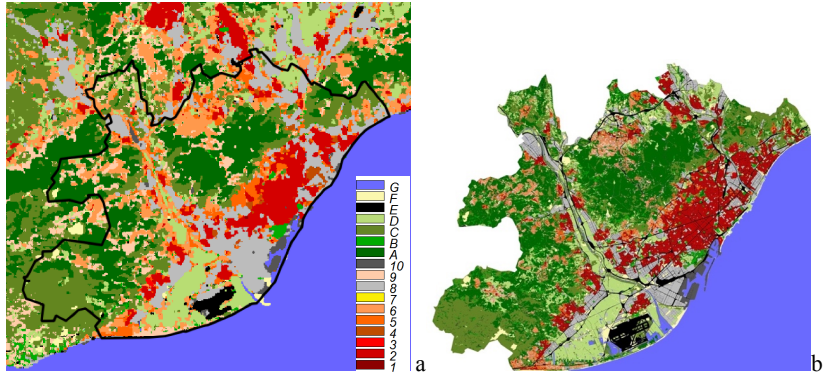
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1120 **Figure 1. a) Location of the Metropolitan Area of Barcelona (AMB), b) Domain used to run the**  
1121 **UrbClim model. The blue line marks the border of the AMB, while the black line shows the**  
1122 **municipality of Barcelona. The numbers indicate the weather stations used to assess the LCZ-T**  
1123 **relationship.**

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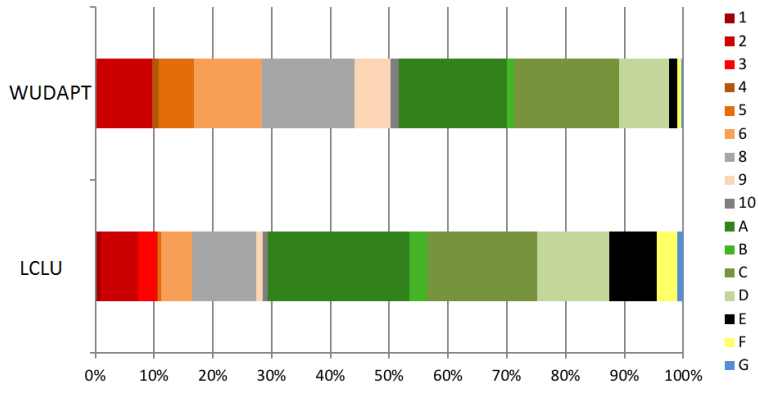


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**Figure 2. LCZ maps: a) WUDAPT method, b) LCLU method.**



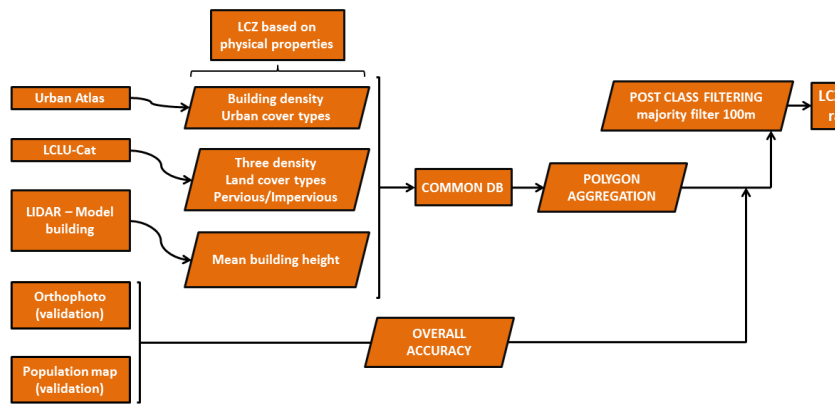
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1129 **Figure 3. Percentage of the area covered by each LCZ using WUDAPT and LCLU inside AMB.**

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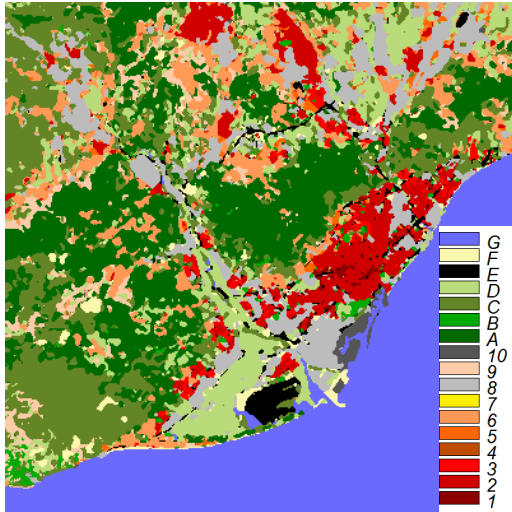


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**Figure 4. Workflow used to obtain the LCZ LCLU model.**

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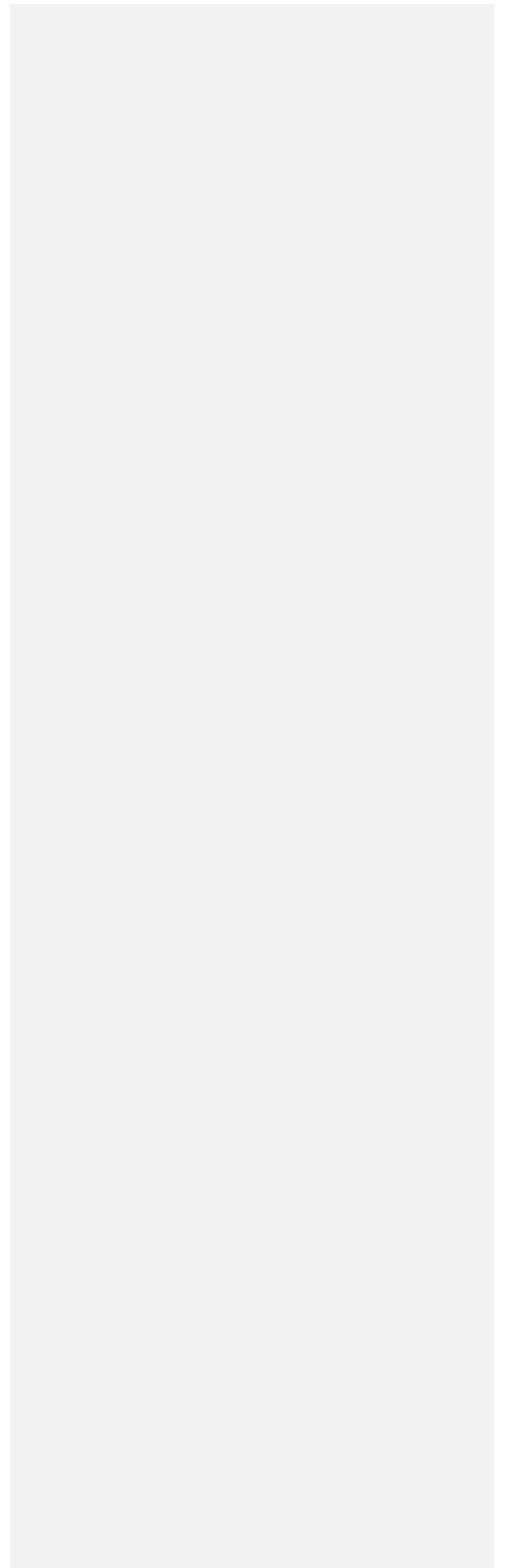


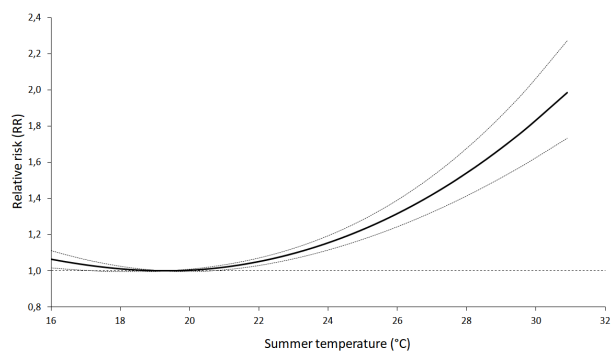
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Figure 5. LCZ used in the UrbClim model based on workflow showed in Fig. 4.

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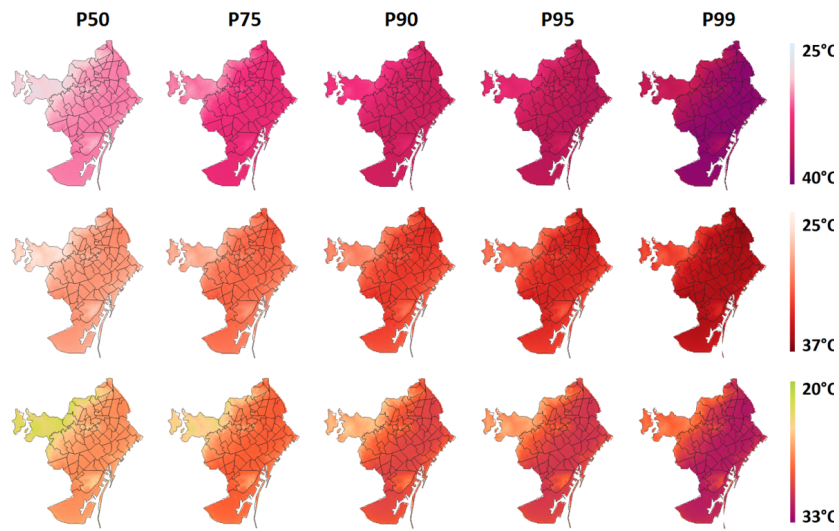


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1140 **Figure 6. Relative risk (RR) curve based on mortality due to summer daily temperature (JJA) in**  
1141 **Barcelona for the 1980 - 2015 period (Achebak et al., 2018).**

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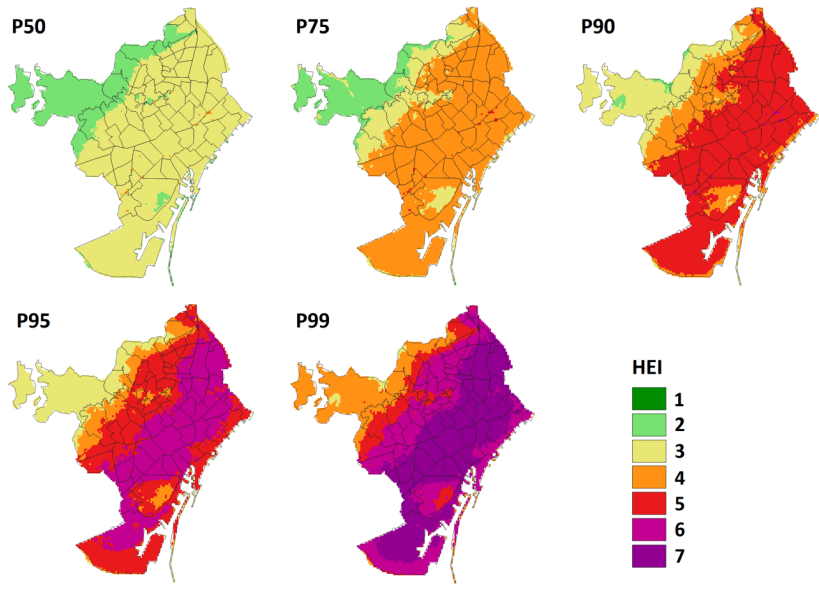
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1145 **Figure 7. Climatological conditions in summer modelled by UrbClim (1987 - 2016): A) HUMIDEX,**  
 1146 **B) Daily maximum temperature, C) Daily mean temperature, for the different distributions (P 50, P**  
 1147 **75, P 90, P 95 and P 99).**

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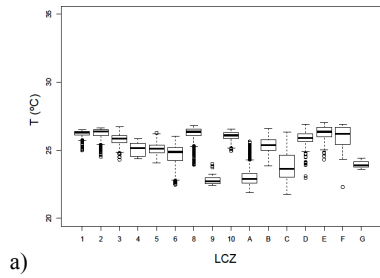
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1150 **Figure 8. Maps of HEI for the different probability distributions proposed (P 50, P 75, P 90, P 95**  
 1151 **and P 99).**

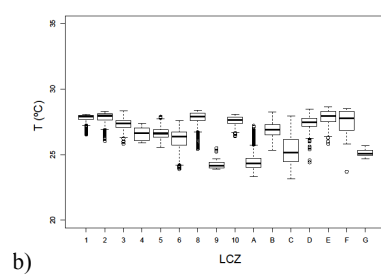
1152



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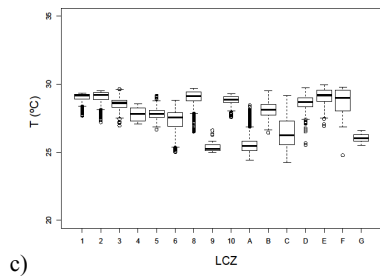


a)

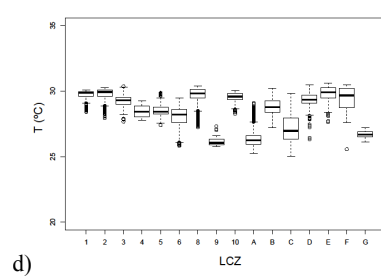


b)

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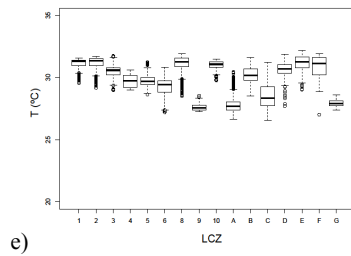


c)



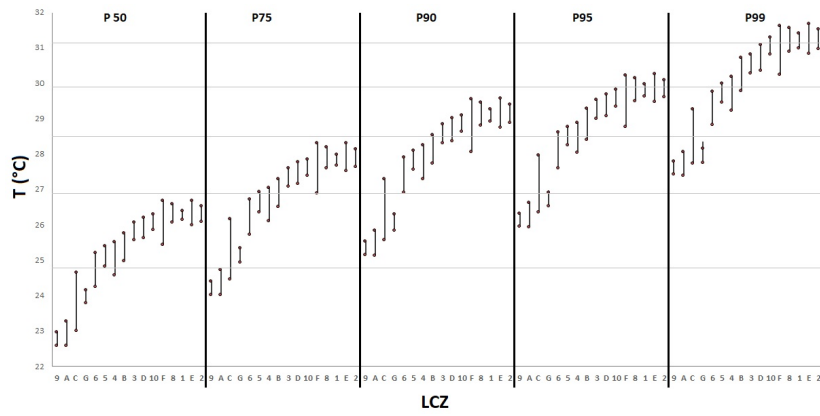
d)

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e)

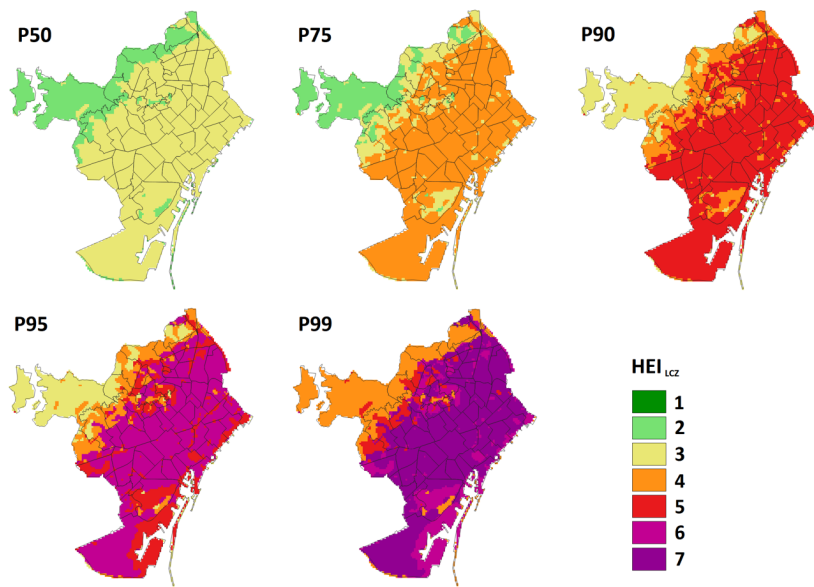
1156 **Figure 9. Box plots for the thermal characterisation of the LCZ for different distributions: a) P 50,**  
1157 **b) P 75, c) P 90, d) P 95 and e) P 99. (See S1 for LCZ features)**



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1159 **Figure 10. Characterisation of every LCZ with the daily mean temperature (1987 - 2016) for each**  
 1160 **probability scenario. Each bar shows P 25 and P 75, around the median for each LCZ (ordered**  
 1161 **from lowest to highest temperature). The grey horizontal lines are the different HEI scenarios (2 to**  
 1162 **7, lower to high).**

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1165 **Figure 11. Cartography of the heat exposure (HEI) in basis to thermal characterization of**  
 1166 **Barcelona by LCZs for different percentiles (LCZ - T) as shown in Table 3.**

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<b>Layer</b>	<b>Information</b>	<b>Spatial Resolution</b>	<b>Year</b>	<b>Format</b>
Urban Atlas	20 categories of urban fabric	5 m	2010	Vector cartography
LCLU-Cat	241 categories	0.25 m	2010	Vector cartography
Building Heights	Height (m) (LIDAR)	0.5 m	2014	Vector cartography
Orthophoto	Mosaic of aerial photos	0.25 m	2016	Raster cartography
Population	Population by ages	62.5, 125, 250 m	2016	Vector cartography
LANDSAT 8	05/03/2015	30m	2015	Raster satellite

1169 **Table 1. Vector and raster cartographic data and satellite images used to map the LCZ - LCLU and**  
1170 **LCZ - WUDAPT methods.**

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<b>ID</b>	<b>Weather Stations</b>	<b>Series</b>	<b>Years</b>	<b>LCZ</b>	<b>Z (m.a.s)</b>	<b>Variable</b>
1	Raval	1997-2016	19	2	33	T daily
2	Zona Universitària	1997-2016	19	C	79	T daily
3	Fabra	1987-2016	29	A	411	T daily
4	Can Bruixa	1987-2015	28	2	61	T daily
5	Montjuïc	2004-2015	11	B	90	T daily

1174 **Table 2. Weather stations in Barcelona used to assess the LCZ - T relationship based on daily mean**  
1175 **temperatures.**

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RR	HEI	°C
1.0	1	18 -20
1.2	2	20 -24.7
1.4	3	24.7 -26.9
1.6	4	26.9 -28.5
1.8	5	28.5 -29.8
2.0	6	29.8 -31.1
>2.0	7	>31.1

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**Table 3. Temperature thresholds associated to heat exposure caused by high temperatures in basis to figure 5. Heat Exposure Index (HEI) is assigned to each temperature range.**

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<b>LCZ</b>	<b>P 50</b>	<b>P 75</b>	<b>P 90</b>	<b>P 95</b>	<b>P 99</b>
<b>1</b>	0.301	0.325	0.349	0.363	0.419
<b>2</b>	0.356	0.379	0.396	0.401	0.475
<b>3</b>	0.450	0.468	0.486	0.489	0.552
<b>4</b>	0.528	0.530	0.535	0.522	0.569
<b>5</b>	0.467	0.488	0.504	0.500	0.541
<b>6</b>	0.821	0.841	0.872	0.843	0.804
<b>8</b>	0.465	0.499	0.527	0.531	0.580
<b>9</b>	0.456	0.474	0.461	0.441	0.379
<b>10</b>	0.319	0.338	0.339	0.338	0.322
<b>A</b>	0.686	0.712	0.725	0.705	0.649
<b>B</b>	0.554	0.580	0.603	0.616	0.641
<b>C</b>	1.090	1.128	1.168	1.128	1.088
<b>D</b>	0.550	0.572	0.596	0.586	0.612
<b>E</b>	0.530	0.561	0.599	0.595	0.678
<b>F</b>	0.848	0.918	0.960	0.955	0.978
<b>G</b>	0.224	0.265	0.297	0.294	0.289

**Table 4. Standard deviations for the LCZs for the different percentiles of temperature.**

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	DIST	OB	UC	LCZ-T	$\Delta$ OB-UC	$\Delta$ OB-LCZ-T
1-Raval (LCZ 2)	P50	25.6	26.1	26.1	0.5	0.5
	P75	26.8	27.6	27.6	0.8	0.8
	P90	27.8	28.9	28.9	1.1	1.1
	P95	28.5	29.6	29.6	1.1	1.1
	P99	30.2	31.1	30.9	0.9	0.7
2-ZU (LCZ C)	P50	24.5	24.7	24.6	0.2	0.1
	P75	25.8	26.2	26.2	0.4	0.4
	P90	26.6	27.3	27.3	0.7	0.7
	P95	27.2	28.1	27.9	0.9	0.7
	P99	28.5	29.5	29.2	1	0.7
3-Fabra (LCZ A)	P50	23.1	23.1	22.9	0	-0.2
	P75	24.6	24.7	24.3	0.1	-0.3
	P90	25.9	25.8	25.5	-0.1	-0.4
	P95	26.5	26.6	26.2	0.1	-0.3
	P99	27.3	27.5	27.7	0.2	0.4
4-C. Bruixa (LCZ B)	P50	25.2	26.1	25.4	0.9	0.2
	P75	26.8	27.6	26.9	0.8	0.1
	P90	27.9	28.9	28.1	1	0.2
	P95	28.6	29.6	28.8	1	0.2
	P99	30	30.9	30.2	0.9	0.2
5-Montjuic (LCZ B)	P50	24.8	25.2	25.0	0.4	0.2
	P75	26.3	26.8	26.5	0.5	0.2
	P90	27.3	28	27.7	0.7	0.4
	P95	27.8	28.6	28.4	0.8	0.6
	P99	29.1	30.1	29.8	1	0.7

1189 **Table 5. Temperature for each distribution/scenario (DIST) and weather station observed (OB),**  
1190 **modelled by UrbClim (UC) and estimated from the distribution of temperature (the mean value is**  
1191 **taken) for each LCZ (LCZ-T). The difference ( $\Delta$ ) between them is also showed. All the values are**  
1192 **expressed in ° C.**



<b>MODEL</b>	<b>P50</b>	<b>P75</b>	<b>P90</b>	<b>P95</b>	<b>P99</b>
<b>Underestimate</b>	284	671	1711	2789	2289
<b>Good</b>	9687	8762	8175	6316	6823
<b>Overestimate</b>	247	785	332	1103	1106
<b>% correct</b>	95	86	80	62	67
<b>RMSE</b>	0.23	0.38	0.45	0.62	0.58

**Table 6. Number of pixels where the HEI obtained through the LCZ-T model (Figure 11) underestimate, overestimate or coincide with the HEI provided by the Urban Climate Model (Figure 6) for the different scenarios. Percentage of coincidences and RMSE are also showed.**

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*Anonymous Referee #1*

Thanks for the comments that you have inserted in our manuscript. They have been very useful for us to improve the paper. Please, find below the answers to your questions and the amendments that will be introduced in the revised version of our manuscript one by one. All changes we have made to the original text appear in italics.

**Line 42. It is pointless to use two digits since the standard measurement precision is about 0.1°C**

We have changed 0.12 by 0.1 and 0.45 by 0.5 and clarified the sentence. The new sentence is:

“places the average annual temperature 42 increase ratio per decade between 0.1 and 0.5°C in the period from 1961 to 2010 *in the cities analyzed in it.*”

**Line 43-44. Are these (2050, 2080) one year mean temperature. Using climate models in this way is not correct, since the mean values are represent the real conditions in 30 year long periods. Please check and clarify.**

We agree with you. Projections are obtained for periods of 30 years. It is usual to represent it for a year that is approximately in the middle of the period, in such a way that 2050 represents the conditions towards the middle of the century and 2080 represents them towards the end of the century. In this case 2050 refers to the period 2040-2070 and 2080 refers to the period 2070-2100, as it is shown in the ARC3.2 report at page XXI. The new sentence is:

“And it is estimated that the temperature will rise between 1.3 and 3°C towards *the middle of the 21<sup>st</sup> century (2040-2070) and 1.7 to 4.9°C towards the end (2070-2100)*”.

**Line 70. Citation is more than enough. I recommend to exclude S1.**

We have excluded Figure S1 the citation of Stewart and Oke 2012 was included.

**Line 84. LCZ is a categorization based on the thermal characteristics of the landscape. Radiative characteristics is also true since the radiation will role the thermal reactions. The point is that based on this sentence it is a new approach to use LCZ for estimation "the level of heat exposure to adverse climate conditions". By the fact it is one of the main goal of LCZ so is recommend to rehearse this sentence.**

You are right. We are modified the sentence as follow:

“ *Due to LCZ classification was originally designed to mainly describe the thermal characteristics of the different land covers and land uses, it is useful to be applied to*

estimate the level of heat exposure to adverse climate conditions *that is one of the main goals of this paper.*”

**Paragraph 108. Unnecessary.**

We have delated the paragraph.

**Lines 122 and 123. Replace dot by comma.**

We have changed 3,2 and 1,6 to 3.2 and 1.6

**Line 185. It is local climate zones? Urban Climate Zones are also an urban classification presented by Tim Oke. I do not know how does it comes here. Please describe, define and cite. In case that is is LCZ than correct it. Oke, T.R., 2004. Urban observations, World Meteorological Organization, IOM Report N° 81, WMO/TD n°1250.**

Thank you for your comment. We have added this reference to the Introduction, when we present Local Climate Zones. The paragraph comprised between lines 65 and lines 67 has been modified as follows:

“Moving forward from this premise, a new methodology *based on the Urban Climate Zones defined by Oke (2004) and called Local Climate Zone (LCZ)* classification has emerged (Stewart and Oke, 2012).

We have also modified the sentence of line 185 as follows:

“The Building Heights is another layer of the map, and was made with a LIDAR sensor, which was also used to discern between the different *building types of each LCZ.*”

**Line 389. Please specify and cite.**

We have not found any paper that correlated LCZ and climate outputs. Consequently, we have only added some references to studies that characterize the LCZ in different cities.

Currently, there are multiple studies characterizing LCZs using urban model outputs (Aminipouri et al., 2019; Beck et al., 2018; Geletič et al., 2018; Kwok et al., 2019; Unger et al., 2018), but there are *not* with climatic outings that span so many years.

## *Anonymous Referee #2*

Thanks for your detailed review and your comments. They have been very useful for us to improve the paper. Please, find below the answers to your questions and the amendments that will be introduced in the revised version of our manuscript one by one:

**This paper presents a methodology to evaluate the exposure to extreme temperatures using the Local Climate Zones framework, which allows a direct comparison between different cities of the world. The proposed methodology is applied and demonstrated for the case of Barcelona. Overall, the manuscript is well written and of interest for the NHESS audience, and the methodology seems to be scientifically sound. However, some portions of the methods and discussion are not very clear and should be improved.**

Thank you very much for your positive comments and suggestions. We will try to improve our paper following them.

### **1- Line 18: “proposal” could be replaced by methodology or framework?**

We have replaced proposal by methodology. We have also replaced the term framework by classification. All changes we have made to the original text appear in *italics*.

“This paper presents a *methodology* to evaluate the urban and peri-urban effect on extreme temperatures exposure in Barcelona (Spain), using the Local Climate Zone (LCZ) *classification* as a base statement, that allows...”

### **2- Line 23, 255 and Figure 7: It is not clear what is the purpose of including the maximum temperature for this manuscript. It is barely discussed.**

The maximum temperature gives us an idea of the potential worst conditions that are important to know for risk management, as well as the HUMIDEX index, that it is mainly used by mass media to explain to the population the different warm sensation that they perceive in function of the humidity. However, following your comment, we have added a paragraph in section 3.1.

*“The maximum temperature provides an estimate of the worst conditions that can be expected. It is important for risk management and avoiding heat stroke, which usually occurs during the hours of the day when the temperature reaches its highest value. The dew point temperature (Tdew) was used as a starting point ...”*

### **3- Lines 31 and 421 “about 3-4°C compared” should be “about +3-4°C compared”?**

Thank you, it is not clear. We have modified the sentence as follows:

“temperatures for the 90th percentile (about 3-4°C *above the average conditions*) leads.”

**4- Line 186-198 and 423-429: It is claimed that the WUDAPT map suffers from a lack of characterization of different types of urban areas compared to the LCLU method. This might be true, but the results and discussion presented here are not very clear on why the additional types of urban areas in LCLU are an improvement. Potentially, one may add more but unrealistic types.**

Thank you for your observation that has been useful for us to detect some misunderstandings. We have modified a sentence and added a new one in Line 190 and modified the paragraph 423-425.

Line 190. “the same type of coverage occupies just 37.3%. *It is a consequence of the difference in the LCZ characterization processes that both methods follow. Although 17 LCZs are distinguished in the two methods, WUDAPT uses the spectral radiance provided by satellite images and applies a supervised classification based on a random forest generalization method based on training zones (Bechtel et al. 2015). On the contrary, the method LCLU proposed here analyses the intrinsic variables that characterizes each category of LCZ and consequently it has major integrity and quality. It is to say, it has a better resolution. In both methods...*”

Lines 423-425. “This paper also provides comparison of two *methodologies to cartography the Local Climate Zones (LCZ): WUDAPT and LCLU. The international standard method WUDAPT (is exclusively based on satellite earth observation data (Ching et al., 2018). The LCLU (Land Cover Land Use) departs from land use maps, urban atlas, LIDAR measurements and orthophotos.*”

#### **5- Line 273: What is CI?**

CI is Confidence Interval. We have replaced the acronym by confidence interval.

“... significant when the lower bound of the *confidence interval* is greater than 1”.

#### **6- Lines 273-280: It is not evident to me what is the advantage of using a new index HEI instead of using RR at 0.2 steps? Why introduce HEI? The explanation of HEI should be improved.**

There are three reasons:

- The first one tries to avoid any confusion with the use of the term “risk” (RR, relative risk). The word risk usually means the convolution of hazard and vulnerability, although, depending on the disciplines, vulnerability may appear separate from exposure and even from response capacity (see latest UNDRR classification, 2020). The curve RR published by Achebak et al. (2018) refers to the impact of the temperature to a people sample and would be part of the risk equation. For this reason, we prefer to use the term “heat exposure” as it is applied in other papers referred to health (Vicedo-Cabrera et al., 2014; Lowe et al., 2015; Achebak et al., 2019).

- Secondly, we are working with an approximation, since the objective is to transfer to each LCZ a range of temperatures under certain conditions, being impossible to associate them with a specific temperature.
- The third one is that the HEI categories can be applied to any city independently of its range of temperature

In order to clarify it we have added the following sentence:

Line 280. “...risk of mortality associated with high temperatures. *The use of seven HEI categories has the advantage that it can be applied to any city by adjusting them to the temperature values of that city and to the RR curve considered.*”

In the introduction (line 94) of the manuscript with corrections we have added some references of heat exposure referred to health:

“...be applied to estimate the level of heat exposure (*Vicedo-Cabrera et al., 2014; Lowe et al., 2015; Achebak et al., 2019*) to ...”

.....

**7- Lines 316-322: This description of the LCZ-T model is rather obscure. Given the relevance of this model in the present manuscript, I would suggest improving the clarity of this description. What are “anisotropy levels” in this context? Built what curves for the LCZs? How did you define the scenario for the percentiles (what percentiles)? Etc.**

Thank you for your observation. The use of the term “anisotropy” was not correct. We have modified the paragraph as follows:

*“First, for each climatic percentile (P50, P75, P90, P95 and P99) of daily mean temperature (although it could be also done for maximum temperature and HUMIDEX) we analysed the thermal response of the LCZ (LCZ-T) (Fig. 7). To do it we compared, pixel by pixel, the temperature maps with the LCZ maps and we built a boxplot for each LCZ (Fig. 9).*

*In order to characterise each LCZ we tested its normality and test the differentiate behaviour of each probability density curves adjusted to each LCZ. The results of the normality tests (based on central limit theorem) and comparable variations on the relation between LCZ-T indicated that ANOVA may be used for testing whether the differences in LCZ mean temperatures outlined above are significant or not (Geletic et al., 2016). LCZ C, F and 6 do not follow a normal distribution (at 95%) although they tend to it. This is due to the high thermal variability in these categories. There were statistically significant differences in mean LSTs between most LCZs, but LCZs 4 and 5 were recognized as zones less distinguishable from other LCZs. Once we had the temperature distribution it was possible to map HEI.*

*Transposing the model on LCZ maps allowed us to map heat exposure distributions for Barcelona. This methodology has the advantage that they can be transferred to other cities because it relates each LCZ with a HEI value. It is only need having the LCZ map and knowing some temperature values in the city to calibrate the model. In the case that*

*there would not be a RR-T curve available, it could be applied the same HEI of this paper”.*

#### **8- Line 374 Please re-phrase**

Done. The following paragraph:

Lines 374-377: “Along this paper a methodology to characterize the distribution of daily mean temperature for the different LCZs in different scenarios has been proposed. This characterization has been done for the summer months and climate percentiles have been obtained for the period 1987-2016 and applied at 100 m resolution to the city of Barcelona.”

Has been replaced by:

*“This paper presents a methodology to characterize the distribution of daily mean temperature in basis to the LCZs mapping in different temperature scenarios on summer (JJA). The climate percentiles have been obtained for the period 1987-2016 and applied at 100 m resolution to the city of Barcelona.”*

#### **9- Line 387 Replace “quite a few” (for example by “multiple”) to avoid repetition and confusion.**

Done. New sentence is:

*“Currently, there are *multiple* studies characterizing....”*

#### **10- Line 393 “LCZ A and C that belong to the most prevalent categories” maybe specify the meaning of the LCZ A and C to avoid that the reader has to go and check the Supplementary Table. This applies to the remainder of the discussion**

We have removed the supplementary table because it is the same displayed in the paper of Stewart and Oke (2012), and it is part of the general knowledge of LCZ. So, we have added the meaning of the main LCZ next to each relevant category, not only LCZ A and C. The new text is:

*Figure 9 shows that LCZ 8 (large low-rise buildings), 1 (compact high-rise), E (asphalt) and 2 (compact mid-rise) (from highest to lowest), have usually the highest temperatures. These LCZ in general terms correspond to the categories with high admittance and high impervious (Stewart and Oke, 2012). In contrast, the lowest temperatures correspond to LCZ 9 (sparsely built), A (dense trees), C (bushes) and G (water), which are wooded areas and parks on the outskirts of the city.*

#### **11- Line 424 LCZ has been already introduced**

The sentence “This paper also provides comparison of two methodologies to cartography the Local Climate Zones (LCZ)” has been deleted and it has been substituted by the following:

Line 424. *“This paper also provides comparison of two methodologies to cartography the LCZ. The WUDAPT and the LCLU based on land use maps.”*

**12- Line 432 Why was “However” used here?**

It was a mistake. We have replaced however by “In addition to this” and we have modified a little the sentence

Line 432. *“In addition to this, future work includes mapping the sensitivity taking into account....”*

**13- Lines 438-441: As pointed above, the description of LCZ-T is rather obscure but it seems that it was derived from a relatively long high-resolution model simulation (UrbClim). Can the required temperature distribution be obtained from other sources? It will still likely require relative long and high-resolution datasets which might not be easily available. So, this advantage of LCZ-T might be limited to data availability. This should be made clearer.**

Following your proposal, we have modified the description of LCZ-T. As you say, in some occasions it is not possible to have the outputs of high-resolution model simulations and this is the main reason of our model to transform LCZ in HEI maps. Figures 9 and 10 shows how the methodology developed here could be applied in the hypothesis that the results obtained for Barcelona Metropolitan Area could be extrapolated to this other city. The information provided by the HEI maps could be useful to improve risk management in front high temperatures showing in which part of the city the same event could have the worst impacts.

**14- The manuscript has a very large number of acronyms, and it is very difficult for the reader to keep track of all of them. I suggest a reduction where possible.**

You are right, we have tried to reduce some acronyms along the manuscript, especially in the conclusions and the acronyms that appear few times.