



## Spatiotemporal clustering of flash floods in a changing climate (China, 1950-2015)

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### Abstract

1

2 The persistence over space and time of flash flood disasters – flash floods that have  
3 caused either economical or life losses, or both – is a diagnostic measure of areas subjected  
4 to hydrological risk. The concept of persistence can be assessed via clustering analyses,  
5 performed here to analyse the national inventory of flash flood disasters in China occurred in  
6 the period 1950-2015. Specifically, we investigated the spatiotemporal pattern distribution  
7 of the flash flood disasters and their clustering behavior by using both global and local  
8 methods: the first, based on the Ripley's K-function, and the second on Scan Statistics. As  
9 a result, we could visualize patterns of aggregated events, estimate the cluster duration and  
10 make assumptions about their evolution over time, also with respect precipitation trend.  
11 Due to the large spatial (the whole Chinese territory) and temporal (66 years) scale of the  
12 dataset, we were able to capture whether certain clusters gather in specific locations and  
13 times, but also whether their magnitude tends to increase or decrease. Overall, the eastern  
14 regions in China are much more subjected to flash flood disasters compared to the rest of  
15 the country. Detected clusters revealed that these phenomena predominantly occur between  
16 July and October, a period coinciding with the wet season in China. The number of detected  
17 clusters increases with time, but the associated duration drastically decreases in the recent  
18 period. This may indicate a change towards triggering mechanisms which are typical of  
19 short-duration extreme rainfall events. Finally, being flash flood disasters directly linked to  
20 precipitation and their extreme realization, we indirectly assessed whether the magnitude  
21 of the trigger itself has also varied through space and time, enabling considerations in the  
22 context of climatic changes.

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24

## 25 1 Introduction

26 Flash floods are among the most destructive surface processes around the world, especially  
27 in mountainous areas (Au, 1998; Borga *et al.*, 2011; Gomez and Kavzoglu, 2005; Jonkman,  
28 2005). They are mainly initiated by rapid and intense rainfall, often discharged in few hours  
29 (e.g., Borga *et al.*, 2007; Bout *et al.*, 2018; He *et al.*, 2018; Lóczy *et al.*, 2012), and by complex  
30 interactions of the climatic conditions with topography and hydrology (e.g., Hatheway *et al.*,  
31 2005). Because of the very rapid raise in water levels caused by flash floods, it is challenging  
32 to take timely and effective actions to contain the associated damage. Flash flood disasters  
33 are essentially flash floods that have caused losses either in terms of human lives or economy,  
34 or both (Gaume *et al.*, 2009; Jonkman and Kelman, 2005; Kelman and Spence, 2004). In  
35 China, approximately 70% of the total area is covered by mountains and hills, which exposes  
36 a substantial surface of the national territory to flash flood disasters' risk (Liu *et al.*, 2018).  
37 Additionally, the more frequent extreme precipitation associated with climate change has  
38 increased the number of flash flood disasters in recent decades (Sampson *et al.*, 2015).

39 Historical inventories of flash flood disasters are a precious source of information allow-  
40 ing to investigate their spatiotemporal pattern distribution and evolution. Furthermore,  
41 this information can be related with the geomorphological setting of the area and the cli-  
42 matic/meteorological conditions to detect triggering factors, highlight the more vulnerable  
43 areas, and to prevent and forecast their effects in the future.

44 The susceptibility to hydro-geomorphological processes is commonly assessed by consid-  
45 ering only the spatial distribution of observed events (Cama *et al.*, 2015, 2017; Santangelo  
46 *et al.*, 2012; Zaharia *et al.*, 2017). However, this is purely a convenient assumption from the  
47 modeling perspective. Recently, a growing amount of evidence indicates that these events  
48 tend to aggregate in space conditioned by the temporal variability, attesting for an inter-  
49 action between space and time on event frequency and distribution (Gariano and Guzzetti,  
50 2016; Kouli *et al.*, 2010; Zhang and Cong, 2014; Fuchs *et al.*, 2015; Merz *et al.*, 2016; Tonini  
51 and Cama, 2019). In other words, when an event occurs at a specific location, a tempo-  
52 rary increase in the probability that other events will cluster at nearby locations should  
53 be accounted for. This increase in probability can be captured through clustering analy-  
54 ses and various examples already exist in literature where this has been done at different  
55 spatial and temporal scales and via different analytical approaches. Notably, this type of  
56 application spans in many areas of natural hazards and have become mainstream in case  
57 of seismicity (e.g., Fischer and Horálek, 2003; Georgoulas *et al.*, 2013; Varga *et al.*, 2012;  
58 Woodward *et al.*, 2018; Yang *et al.*, 2019), joint sets and their orientation in rock outcrops  
59 (e.g., Tokhmechi *et al.*, 2011; Zhan *et al.*, 2017), groundwater monitoring (Chambers *et al.*,  
60 2015), wildfires (e.g., Orozco *et al.*, 2012; Costafreda-Aumedes *et al.*, 2016; Fuentes-Santos



61 *et al.*, 2013; Tonini *et al.*, 2017), and landslides (e.g., Lombardo *et al.*, 2018, 2019a; Tonini  
62 and Cama, 2019). In the specific case of flooding, Zhao *et al.* (2014) used the projection  
63 pursuit theory to cluster spatial data and to build a dynamic risk assessment model for flood  
64 disasters. Moreover, Renard (2017) detected flood vulnerability accounting for clustering  
65 effects in key areas with high flood risk. Pappadà *et al.* (2018) also investigated the flood  
66 risks in a given region and identified clusters where the floods show a similar behavior with  
67 respect to multivariate criteria. Gu *et al.* (2016a,b) indicated the floods in Tarim River basin  
68 showed evident inter-annual clustering pattern. Another example can be found in Merz *et al.*  
69 (2016) where the authors analyzed the inter-annual and intra-annual flood clustering in Ger-  
70 many. All these examples confirm a substantial scientific interest in recent years dedicated  
71 to investigate the clustering behaviors of flash floods and the associated risk; and, more  
72 generally, to concurrently analyze their spatial and temporal persistence. However, despite  
73 the scientific efforts, detecting flash flood patterns at long temporal scale is still scarce in  
74 literature, mainly because of technical limitations. In fact, limited information and records  
75 are available in digital form reporting locations and dates of flash floods (and flash flood  
76 disasters), especially over long periods. Nevertheless, very recent advances in data collection  
77 and sharing techniques are gradually filling this gap, and an increasing number of databases  
78 are being published and made available to the scientific community with the records of his-  
79 torical and hydro-geomorphological disasters at the global, continental, or regional scale over  
80 long periods (Archer *et al.*, 2019; de Bruijn *et al.*, 2019; Gourley *et al.*, 2013; Haigh *et al.*,  
81 2017; Nowicki Jessee *et al.*, 2020; Vennari *et al.*, 2016; Wood *et al.*, 2020).

82 Typically, flash flood disasters (as many other hydro-geomorphological disasters) can be  
83 considered as a stochastic point processes (Stoyan, 2006) acting in both spatial and tempo-  
84 ral dimensions (e.g., Lombardo *et al.*, 2019b). Point patterns can be analyzed in terms of  
85 their random distribution, dispersion and clustering behaviour (Merz *et al.*, 2016; Tonini and  
86 Cama, 2019). Several methods can be implemented to deal with stochastic properties. Some  
87 classic models, such as Moran's I (Moran, 1950), Ripley's K-function (Ripley, 1977), fractal  
88 dimension (Lovejoy *et al.*, 1986), and Allan factor (Allan, 1966), have been used to detect  
89 clustering behaviour in space and in time. Representative models for local clustering analysis  
90 (i.e. allowing to detect clusters and their specific location) include Geographical Analysis  
91 Machine (GAM, Openshaw *et al.*, 1987), Turnbull's Cluster Evaluation Permutation Proce-  
92 dure (CEPP, Turnbull *et al.*, 1990), Scan Statistics (Kulldorff, 1997), and DBSCAN (Ester  
93 *et al.*, 1996). For flash floods, which are triggered by storms, the temporal dependency among  
94 persistent events is mainly driven by climatic and meteorological conditions. However, global  
95 cluster indicators only take into consideration one dimension, disregarding the interaction  
96 between space and time. In this sense, spatiotemporal Scan Statistics is a good tool to detect  
97 clusters since it allows to identify statistically significant excess of observations thanks to a  
98 moving cylindrical window that scans all locations both in space and time (Kulldorff *et al.*,  
99 1998). Therefore, it is especially useful to investigate hydro-geomorphological processes such  
100 as flash floods. For such phenomena, the detection of events aggregated over a given region



101 and in a specific period, generally yields more informative results than the purely spatial or  
102 temporal analysis. Furthermore, understanding the magnitude of the persistence for flash  
103 flood disasters is an important requirement to predict where, when and how their highest  
104 probability to occur distributes in the future.

105 In this study, we explored the spatiotemporal pattern distribution of flash flood disasters  
106 which have caused either or both life and economic losses in China over the period 1950-  
107 2015. Firstly, the deviation of flash flood disasters from a spatiotemporal random process  
108 is explored by applying the spatiotemporal Ripley's K-function. Then, the Scan Statistics  
109 was applied to detect statistically significant spatiotemporal clusters. Finally, the possible  
110 relationship between the detected clusters and local climatic proxy factors is discussed. To  
111 the best of our knowledge, it is the first time that such a long-term inventory is analysed to  
112 explore the spatiotemporal patterns of flash flood disasters, especially in China. This study  
113 provide useful insights on flood dynamics over a large spatiotemporal domain. Moreover,  
114 because of the long time-span, results can be useful to indicate how flash flood disasters have  
115 evolved in response to climate changes.

## 116 2 Material and methods

### 117 2.1 Data description

#### 118 2.1.1 Study area

119 China lies between latitudes 18° and 54° N, and longitudes 73° and 135° E. With an area of  
120 about 9.6 million square kilometers, it is the world's third-largest country. The landscape  
121 varies significantly across this vast area, ranging from the Gobi and Taklamakan deserts in the  
122 north to the subtropical forests in the wetter south. The eastern plains and southern coasts  
123 are the location of most of China's agricultural land and settlements. The southern areas  
124 consist of hilly and mountainous terrain. The west and north of the country are dominated  
125 by sunken basins (such as the Gobi and the Taklamakan desert), towering massifs and rolling  
126 plateaus, including part of the highest tableland on earth, the Tibetan Plateau. Based on  
127 its topography, China can be divided into six homogeneous geomorphological macro-regions  
128 (Wang *et al.*, 2020): eastern plain, southeastern hills, southwestern mountains, north-central  
129 plains, northwestern basins and Tibetan Plateau. Mountains (33% of the territory), plateaus  
130 (26%) and hills (10%) account together for nearly 70% of the entire surface.

131 In recent years, the precipitation intensity shows an increasing trends over China (Zhang  
132 and Cong, 2014). Influenced by the East Asian summer monsoon and the geomorphologic  
133 settings, the climatic condition across the whole country varies considerably (Wu *et al.*, 2019).  
134 In general, the wet season in China lasts from May to September (Song *et al.*, 2011b). In  
135 the Eastern area, the annual rainfall decreases from south to north with an average annual  
136 precipitation that ranges from 250 to 750 *mm* (Zhang *et al.*, 2007). In the west and central  
137 part of North China, due to its far distance away from ocean, the climate tends to be more

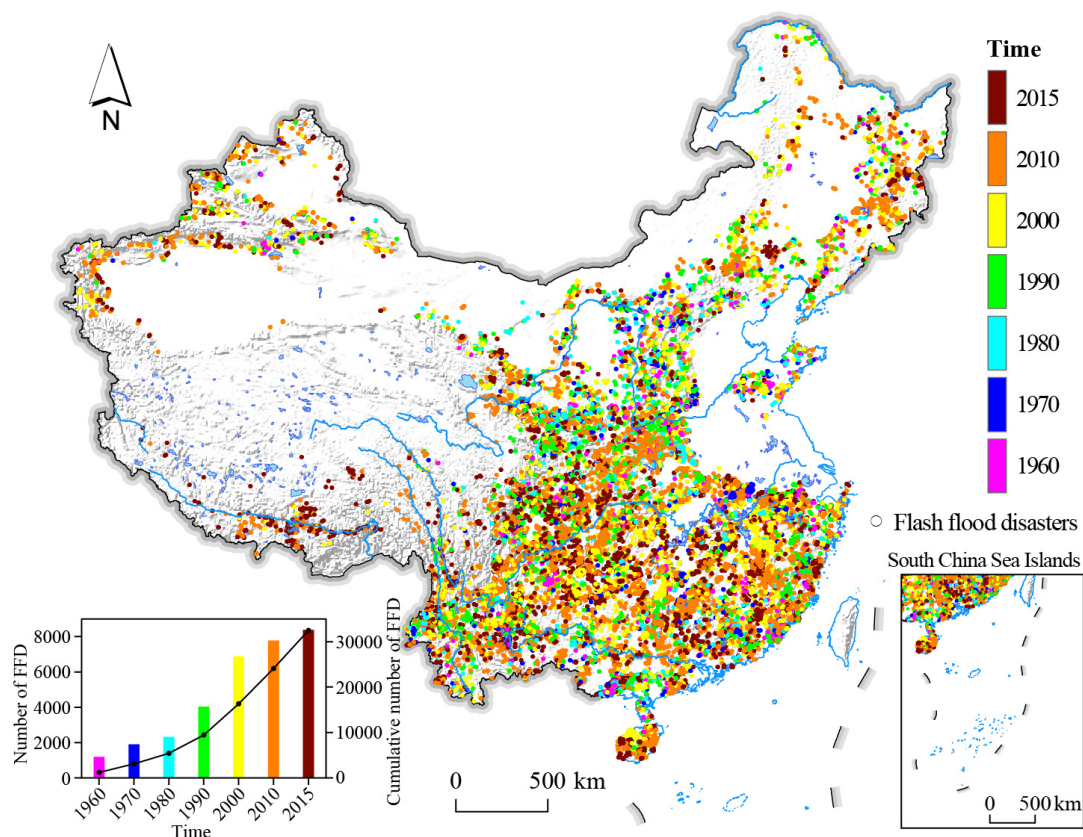


Figure 1: Distribution of flash flood disasters and background setting of China.

138 arid and the landscape transitions to large deserts. The Tibetan plateau is characterized by  
139 wet and humid summers with cool and dry winters. More than 60–90% of the annual total  
140 precipitation falls between June and September (Xu *et al.*, 2008).

### 141 2.1.2 Flash flood disasters inventory

142 The dataset used in this study has been collated and made accessible for the present research  
143 as part of a national effort carried out by the Chinese Institute of Water Resources and  
144 Hydropower Research (Liu *et al.*, 2018). It reports flash flood occurrences in China since 1950  
145 until 2015 together with available information, namely longitude and latitude, date, fatalities  
146 and economic losses. Due to the lack of specific terminology and/or detailed descriptions of  
147 the disaster process in the database, the data does not differentiate the initial mechanism, be  
148 it water floods or debris floods/flows (e.g., Fernández and Lutz, 2010; Gartner *et al.*, 2014).  
149 The only common information is that for each specific case, a large amount of overland flows,  
150 mixed with an unspecified solid fraction, rapidly flooded a given area with disastrous effects



151 (e.g., Chang *et al.*, 2011; Pierson *et al.*, 1987).

152 To better understand the spatiotemporal dynamics of flash floods and associated disas-  
153 ters, as well as the relationship with the triggering factors, the date of occurrence is of vital  
154 importance. Therefore, for consistency reasons, we considered only the records whose meta-  
155 data contained a full temporal description (year-month-day) resulting in a subset of 32,473  
156 flash flood disasters (accounting for 68% of the entire dataset) precisely located in space and  
157 time (Figure 1). We further defined the impact of flash flood disasters as the combination  
158 of fatalities and economic losses (see Table 1), and we refer to this classification throughout  
159 the manuscript.

Table 1: Impact of flash flood disasters (RMB = renminbi, the official currency of China).

Economic Loss (10 <sup>4</sup> RMB)	Number of Fatalities					
	0	0-5	5-10	10-50	50-100	≥100
0	--	F1	F2	F3	F4	F5
0-100	F1	F1	F2	F3	F4	F5
100-1000	F2	F2	F2	F3	F4	F5
1000-10000	F3	F3	F3	F3	F4	F5
10000-100000	F4	F4	F4	F4	F4	F5
≥100000	F5	F5	F5	F5	F5	F5

## 160 2.2 Methodological overview

### 161 2.2.1 Spatiotemporal K-function

162 The Ripley's K-function ( $K_{(s)}$ ) is largely applied in environmental studies to analyse the  
163 pattern distribution of spatial point processes and to detect deviation from spatial random-  
164 ness.  $K_{(s)}$  allows to determine if a set of mapped punctual events show a random, dispersed  
165 or cluster distribution pattern over increasing distance values (Ripley, 1977). It is computed  
166 as the ratio between the expected number of events falling at a distance  $r$  from an arbitrary  
167 event and the average number of points per unit area, corresponding to the intensity of the  
168 spatial point process ( $\lambda$ ). In the same way, it is possible to define the temporal K-function  
169 ( $K_{(t)}$ ) allowing to asses for the randomness of events in time. The spatiotemporal K-function  
170 ( $K_{(s,t)}$ ) is a generalization of the univariate Repley's K-function which allows to test for the  
171 independence between two variables, space ( $s$ ) and time ( $t$ ). Therefore, the  $K_{(s,t)}$  is a suitable  
172 tool to investigate the clustering behaviour of a set of events occurred in a given area at a  
173 given time. For a point process  $X$  with intensity  $\lambda$ , according to equation 1, it is defined as  
174 the number of expected further events ( $E$ ) occurring within a distance  $r$  and time  $t$  from an  
175 arbitrary event  $u$ , where  $a$  define the contouring circle.



$$K_{(s,t)} = 1/\lambda \times E[n(X \cap a(u, r, t)u) | u \in X] \quad (1)$$

176 To illustrate the interaction between space and time, it can be useful to evaluate the  
177 value  $D_{(s,t)}$ , defining the difference between the spatiotemporal K-function and the product  
178 of the purely spatial and the purely temporal K-function (see equation 2).

$$D_{(s,t)} = K_{(s,t)} - K_{(s)} \times K_{(t)} \quad (2)$$

179 If space and time are independent variables, this value equals to zero. Otherwise, positive  
180 values of  $D_{(s,t)}$  indicates the interaction among events in space and in time. In other words,  
181 events closer in space are more likely to occur in a closer time. On the contrary, the negative  
182 values means a dispersed pattern.

183 In this study, spatiotemporal K-function analyses were performed with the package “Spa-  
184 tial and Space-Time Point Pattern Analysis” (splancs, Rowlingson and Diggle, 2017) in R  
185 (R Team *et al.*, 2019).

## 186 2.2.2 Spatiotemporal scan statistics

187 Scan statistic was originally developed by Naus (1965a,b) to detect cluster in a one-  
188 dimensional point process. Subsequently Kulldorff (1997) extended this approach to multi-  
189 dimensional point process, introducing the use of scanning windows. The procedure was  
190 implemented into a free software, SaTScan<sup>TM</sup> (satscan.org) which can handle a purely spa-  
191 tial, purely temporal or spatiotemporal datasets and includes different probability models  
192 depending on the nature of the data and the scope of the research (e.g. for prospective or  
193 retrospective cluster detection). In the purely spatial case, the aim of scan statistics is the  
194 early detection of clusters, allowing one to map them and to assess their statistical signif-  
195 icance. Moving windows scan the region increasing their radius up to a fixed limit ( $R_{max}$ )  
196 and count the number of events falling inside and outside the area. The probability that  
197 a window contains more observations than expected is assessed via the likelihood ratio, by  
198 comparing with the background population. Then, the null hypothesis of randomness is  
199 tested by Monte Carlo experiments, based on repeated random sampling. The spatiotempo-  
200 ral scan statistic use cylinders instead of circular windows, where the height of the cylinder  
201 account for the temporal dimension. In order to deal with flash foods, the retrospective  
202 spatiotemporal permutation scan statistics (STPSS, Kulldorff *et al.*, 2005) seems to be the  
203 most adequate model. Indeed, for environmental processes, the definition of the background  
204 population at risk needed for the statistical significance assessment of the detected clusters  
205 is quite problematic. STPSS assesses the expected number of cases using only the observed  
206 cases by permutation, supposing that each event has the same probability for all the times.  
207 Computationally, if  $C$  is the total number of observer cases and  $c_{zd}$  the number of cases  
208 observed in a zone  $z$  and a day  $d$ , the expected number of cases per zone and day ( $\mu_{zd}$ ) is:



$$\mu_{zd} = \frac{1}{C} \left( \sum_z c_{zd} \right) \left( \sum_d c_{zd} \right) \quad (3)$$

209 It follows that, for a spatiotemporal cylinder  $A$ , the expected number of cases  $\mu_A$  can be  
210 estimated as the sum of each  $\mu_{zd}$  inside the cylinder  $A$ :

$$\mu_A = \sum_{z,d \in A} \mu_{zd} \quad (4)$$

211 If  $C_A$  is the number of observed cases in  $A$ , considered as Poisson-distributed with mean  
212  $\mu_A$ , the Poisson generalized likelihood ratio ( $GLR$ ) can be computed as:

$$GLR = \left( \frac{c_A}{\mu_A} \right)^{c_A} \left( \frac{C - c_A}{C - \mu_A} \right)^{C - c_A} \quad (5)$$

213 This ratio is calculated and maximized for every possible scanning cylinder. Then, the  
214 Monte Carlo simulations are performed and the statistical significance of the detected clusters  
215 is assigned by ranking the clusters according to their  $GLR$ -value.

## 216 3 Results

### 217 3.1 Deviation from a random process

218 In the present study the spatiotemporal K-function is used to assess the interaction between  
219 the two variables, space and time, in generating clusters at increasing distances. Figure 2  
220 (panel a) shows the 3D-plot of  $D_{(s,t)}$  with a zoom up to 2000  $km$  (panel b). Positive values  
221 indicate that space and time interact in generating clusters: in other words, events closer  
222 in space are also closer in time. This is the case at any increasing distance, from hundred  
223 meters to thousands meters and from few years to decades.

224 In addition, we computed the spatiotemporal K-function separately for the eastern and  
225 western side of China (Figure 3). We did this because the southeastern area, which is  
226 the rainiest part of the country, is highly affected by flash floods, while the northwestern  
227 area is predominantly desert and flash floods are less frequent. It results that, although  
228 events are clustered in both the areas, in the southeastern area (panel a) clusters arise at a  
229 shorter spatial distance and closer in time than in the northwestern area (panel b). More  
230 specifically, in the southeast China the spatiotemporal interaction generates clusters starting  
231 from 200  $km$  and a plateau is reached at about 1800  $km$ . In Northwest China the global  
232 cluster behaviour is more evident from about 1000  $km$  to higher distances. As regards the  
233 temporal dimension, the two part of the country show a similar cluster behaviour, with a  
234 strong attraction among events during the first 20 years lasting in time with a more relaxed  
235 clustering behaviour.

236 To summarize, the spatiotemporal K-function reveals a deviation of flash flood disasters  
237 and associated spatiotemporal pattern distribution from a random process at specific scales,



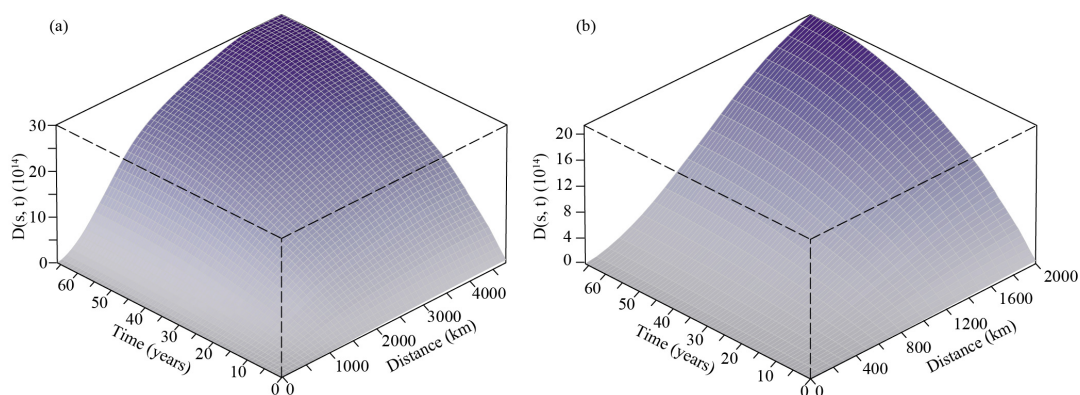


Figure 2: Three dimensional summary of flash flood disasters in China during 1950–2015.

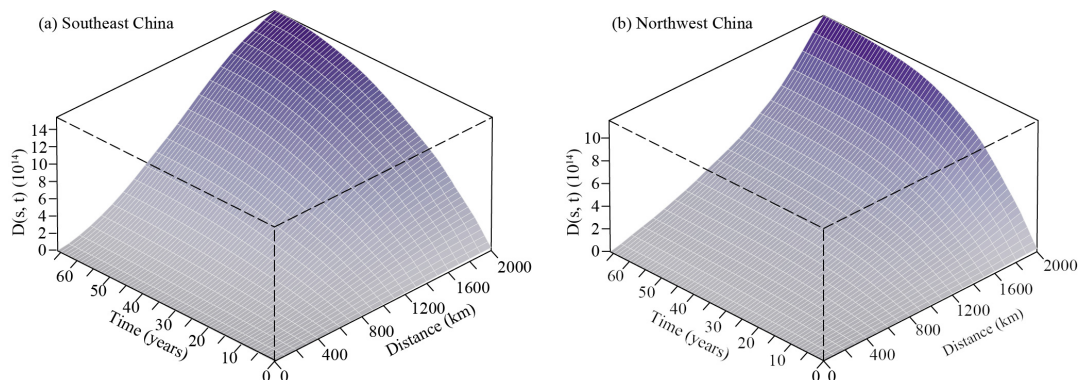


Figure 3: Three dimensional summary of flash flood disasters in China, separated between two eastern and western sectors and with a maximum spatial bandwidth of 2000 km.

238 measured and quantified both in space, as distances-values, and in time, as yearly periods.  
239 These values can provide a useful indication to set up the parameters for further clustering  
240 algorithms, acting at local scale such as, for example, the spatiotemporal scan statistics.

## 241 3.2 Spatiotemporal clusters

### 242 3.2.1 Cluster detection and spatial distribution

243 Scan statistics was performed to detect spatiotemporal clusters of flash flood disasters. The  
244 size and the duration of the detected clusters are influenced by the input parameters of the  
245 scanning windows, namely the maximum radius ( $R_{max}$ ), the maximum temporal duration  
246 ( $T_{max}$ ), and the time aggregation ( $T_{agg}$ ). Indeed, values of  $R_{max}$  exceeding the 50% of the  
247 total area or, for  $T_{max}$ , the 50% of the entire study period, can result in an exceptionally low  
248 rate outside the scanning window rather than detecting an exceptionally high rate inside.

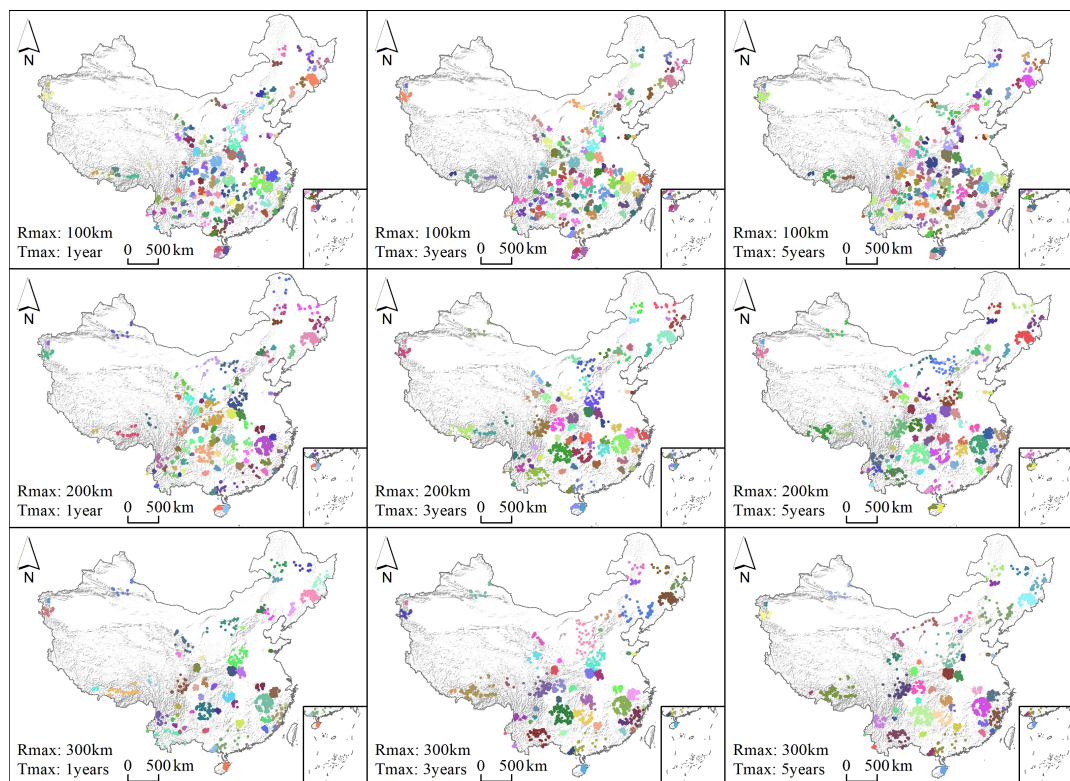


Figure 4: Significant ( $p < 0.005$ ) spatiotemporal clusters of flash flood disasters in China during 1950-2015.

249  $T_{agg}$  is used to adjust the aggregation of the data over time and allows adjusting for cyclic  
250 temporal trends: for example, a time aggregation of one year automatically adjusts for the  
251 seasonal variability, while the contrary happen with monthly aggregations. Moreover, both  
252 spatial and temporal aggregations can highly reduce the computer processing time. Following  
253 the results obtained by the spatiotemporal K-function and discussed above, few radii for each  
254 area (southeast and northwest China) were tested. Performed analyses indicated that the  
255 effect onto the detected clusters were negligible and finally we considered the spatiotemporal  
256 distribution of flash flood disasters as a whole rather than splitting the Chinese territory  
257 in two areas. We opted for a set of possible combinations of  $R_{max}$  and  $T_{max}$ , keeping  $T_{agg}$   
258 fixed to one year. More specifically, to compare the combination of these parameters, and to  
259 obtain reasonable clusters, we tested three  $R_{max}$  values equal to 100, 200 and 300 km, and  
260 three  $T_{max}$  values equal to 1, 3 and 5 years. The choice for  $R_{max}$  is corroborated by Zhang  
261 *et al.* (2010) who report measurements constantly less than 500 km for the radius of typical  
262 convective storms in the Chinese mainland, which can trigger flash floods. Results of STPSS  
263 for each of the nine combinations of these parameters are shown in Figure 4.



Table 2: Number of detected spatiotemporal clusters of flash flood disasters in China during 1950-2015 using different parameters.

$R_{\max}$ (km)	$T_{\max}$ (years)		
	1	3	5
100	131	128	130
200	85	77	75
300	58	54	53

264 The largest variation in the number of detected clusters is mainly associated with  $R_{\max}$  –  
265 as  $R_{\max}$  increases, the detected flash flood disaster clusters exhibit a clear decrease – rather  
266 than with  $T_{\max}$ . This result is summarized in Table 2. More specifically, large  $R_{\max}$  values  
267 affect the detection of clusters acting at a fine scale, which tend to be missed or merged into  
268 larger ones; conversely, very large clusters, acting at a coarse spatial scale, are still detected.  
269 This is geographically visible in the south-easternmost sector of China (Figure 4). Changes  
270 on  $T_{\max}$  have almost no effect on the number of clusters since, even allowing for a maximum  
271 duration of 5 years, almost all the clusters do not exceed one year. As complementary  
272 information, Table 3 presents the temporal duration, expressed as start and end date, for the  
273 first ten clusters of flash flood disasters using  $T_{\max}$  equals to 3 years and for increasing values  
274 of  $R_{\max}$ , equal to 100, 200 and 300 km. Results confirm that the cluster duration does not  
275 exceed one year. The most significant cluster was detected in 1975, while the rating for the  
276 following clusters can change in the three cases. Nevertheless, it is important to notice that  
277 the top-ten clusters are well distributed over the entire study period, with the oldest one  
278 detected between 1963 and 1969.

### 279 3.2.2 Clusters characterization

280 Detected clusters were further analyzed by considering the impact of flash flood disasters.  
281 To this end, we examined only clusters detected by using  $R_{\max} = 200\text{km}$  and  $T_{\max} = 3\text{years}$ .  
282 The choice of a  $T_{agg} = 1\text{year}$  was originally meant to focus our analyses on effects that may  
283 exhibit a yearly cycle. However, this would have smoothed nested effects acting at the  
284 seasonal scale. For this reason, we opted to carry out additional analyses using a  $T_{agg}$  of  
285 three months (hereafter referred as *monthly model*). Results are shown in Figure 5 where  
286 information on the spatial distribution of the detected clusters is combined with the impact  
287 related to the single flash flood events (see Table 1). Overall, the clusters chiefly appear  
288 along the main river systems in China, namely the Yangtze, the Yellow, the Pearl and the  
289 Yarlung Zangbo Rivers. In addition, some clusters stand out on high mountains such as the  
290 Qinling-Daba and the Changbai Mountains.

291 Forcing the model parameterization to aggregate the time over a fraction of the year (three  
292 months) allows us to investigate potential seasonal effects. Indeed, even if the maximum  
293 temporal duration is still of one year, looking at the ten most significant clusters detected



Table 3: Temporal duration of the first 10 clusters of flash flood disasters detected via three different models (left:  $R_{max} = 100km$ ; center:  $R_{max} = 200km$ ; right:  $R_{max} = 300km$ )

ID	Radius	Start date	End date	ID	Radius	Start date	End date	ID	Radius	Start date	End date
1	81.04	1975/1	1975/12	1	81.04	1975/1	1975/12	1	81.04	1975/1	1975/12
2	64.51	2010/1	2010/12	2	146.06	1998/1	1998/12	2	146.06	1998/1	1998/12
3	60.73	2006/1	2006/12	3	64.51	2010/1	2010/12	3	64.51	2010/1	2010/12
4	72.76	2010/1	2010/12	4	60.73	2006/1	2006/12	4	60.73	2006/1	2006/12
5	94.42	1998/1	1998/12	5	72.76	2010/1	2010/12	5	72.76	2010/1	2010/12
6	73.13	1969/1	1969/12	6	73.13	1969/1	1969/12	6	73.13	1969/1	1969/12
7	56.67	1963/1	1963/12	7	176.96	1982/1	1982/12	7	176.96	1982/1	1982/12
8	49.51	1996/1	1996/12	8	70.57	1984/1	1984/12	8	70.57	1984/1	1984/12
9	70.57	1984/1	1984/12	9	129.06	1996/1	1996/12	9	129.06	1996/1	1996/12
10	35.27	1987/1	1987/12	10	157.14	2010/1	2010/12	10	157.14	2010/1	2010/12

Table 4: Temporal duration of the first 10 clusters of flash flood disasters during 1950-2015 ( $R_{max} = 200km$ ,  $T_{max} = 1year$ ,  $T_{agg} = 3months$ ).

ID	Radius	Start date	End date
1	54.88	2010/10/1	2010/12/31
2	81.04	1975/4/1	1975/9/30
3	72.76	2010/7/1	2010/9/30
4	146.06	1998/4/1	1998/9/30
5	60.73	2006/7/1	2006/9/30
6	73.13	1969/4/1	1969/9/30
7	178.05	1982/7/1	1982/9/30
8	199.88	1996/4/1	1996/6/30
9	157.14	2010/7/1	2010/9/30
10	67.05	1984/4/1	1984/9/30

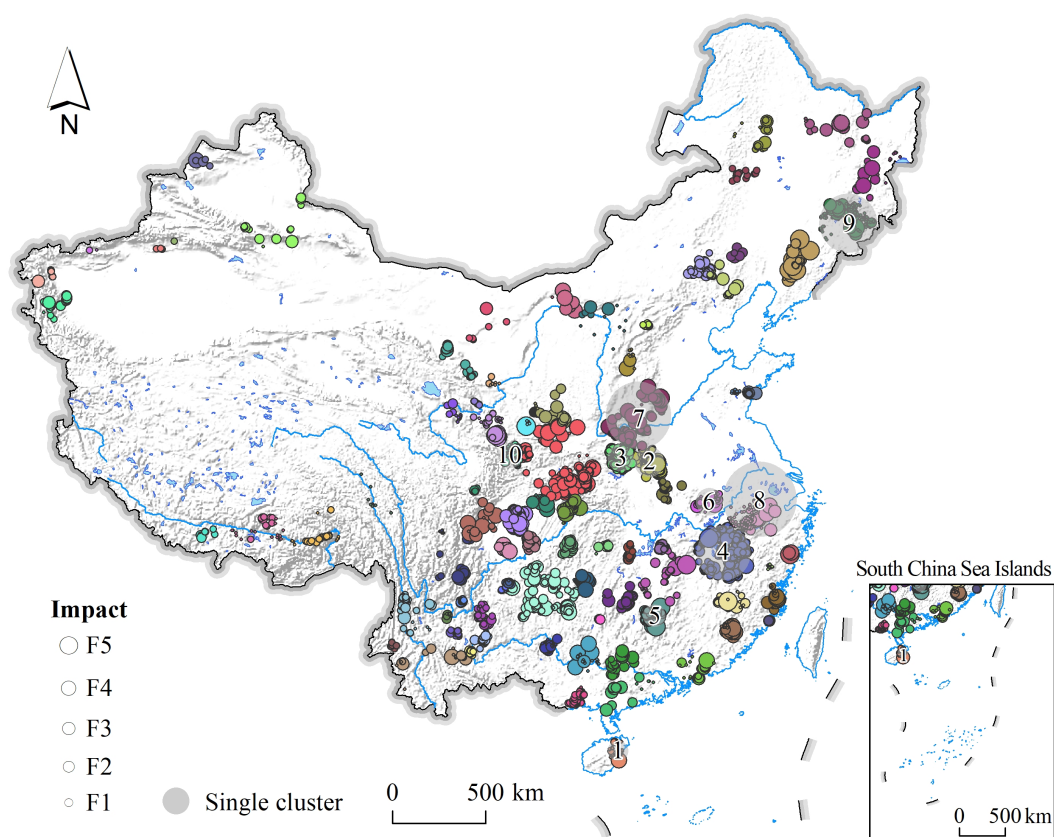


Figure 5: Significant ( $p < 0.005$ ) spatiotemporal clusters of flash flood disasters in China during 1950-2015 ( $R_{max} = 200\text{km}$ ,  $T_{max} = 3\text{years}$ ,  $T_{agg} = 3\text{months}$ ). Each event belonging to a single cluster is further resized as a function of its impact, in accordance to Table 1.



294 under the *monthly model* (Table 4), it results that all of them have a duration of three  
295 (six clusters) or six (four clusters) months. Notably, almost every cluster (nine clusters)  
296 encompass the period from July to September, with an earlier start date (in April) for the  
297 ones which have a longer duration.

### 298 3.2.3 Temporal duration of detected clusters

299 The temporal variation in the duration of the detected clusters could have been driven by  
300 the precipitation regime. In addition, spatiotemporal dependency may have been induced  
301 by the geomorphological setting of the area and by anthropogenic pressures, but these last  
302 factors should have a minor effect compared to the rainfall pattern, which acts as the primary  
303 triggering factor of flash floods. Therefore, in the present study we assume the precipitation  
304 as the main driver for flash floods detected clusters, and results are interpreted and discussed  
305 on the basis of this hypothesis. Allowing for  $T_{max} = 3years$  in the parameterization of the  
306 *yearly models*, the temporal duration of the detected clusters ranges from one to three years  
307 (see Figure 6). The cluster detection pattern appears quite clear and well defined. However,  
308 since 1980 some clusters partially overlap. This can lead to two separate interpretations.  
309 Firstly, the relative small number of clusters detected between 1950 and 1980 may imply that  
310 the data acquisition and report in the Chinese database of hydro-morphological disasters was  
311 not fully operational at the time. Conversely, from 1980 to present days the Chinese database  
312 has evolved into a mature and detailed geographic information system. Secondly, the same  
313 pattern can be justified as a result of climatic changes. In fact, overlapping clusters of one,  
314 two and three years duration essentially appear only after 1980. These concurrent clusters  
315 may reflect similar synchronous variations of the climate settings and rainfall regimes across  
316 China in the recent period.

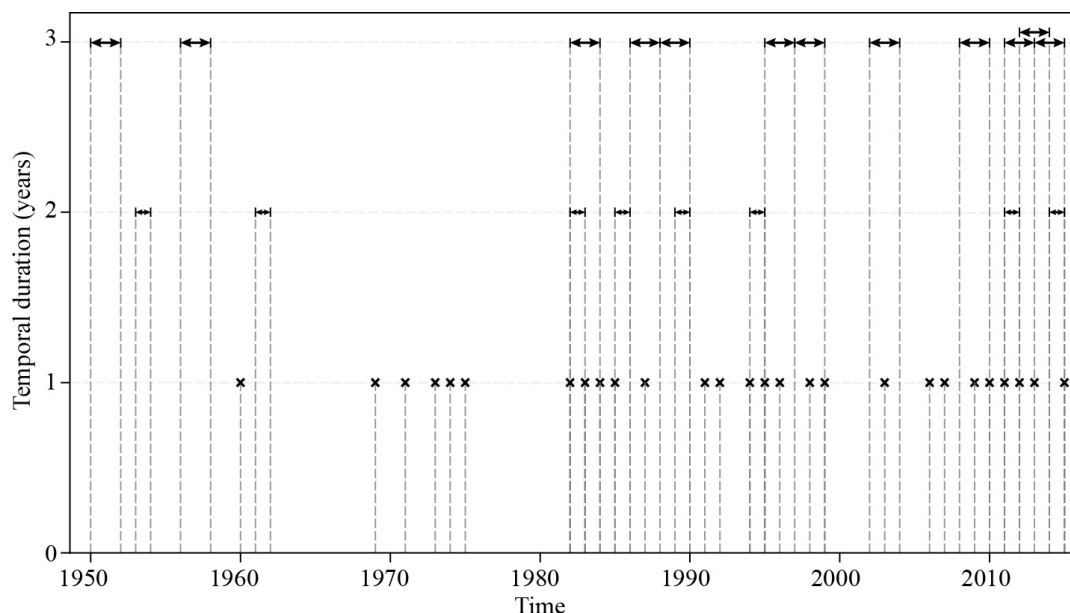


Figure 6: Temporal duration of flash flood disasters clusters in China during 1950-2015 ( $R_{max} = 200km$ ,  $T_{agg} = 1year$ ,  $T_{max} = 3years$ ).

317 We summarized the same results for the *monthly model* in Figure 7. To better visualize  
318 the seasonality trend, we opted for a cyclic representation of the detected clusters, plotting  
319 their pattern in four temporal duration classes of 3, 6 and 9 months as well as one year. Most  
320 clusters show a 3-months duration, concentrated in the period between July and October,  
321 and an increasing density after 1980. Furthermore, clusters of 6-months temporal duration  
322 are most likely to occur from January to July or from April to October. As for clusters  
323 with 9-months temporal duration, these mostly cover the period of July-August-September,  
324 irrespective of the starting month. Ultimately, as noticed for the *yearly model*, also in the  
325 *monthly model* much more clusters were detected in the late period, mainly from 2000.  
326 Moreover, the vast majority of flash flood disasters clusters happened between July and  
327 October, a period coinciding with the wet season in China.

### 328 3.2.4 Recurrence of clusters at decades-scale

329 The analyses run in the previous sections were all voted to search for clusters in a relatively  
330 small temporal window. However, environmental changes, and especially those related to  
331 climate change, usually act on a longer time-span. To better investigate this effect, we consid-  
332 ered a temporal subdivision of the dataset into six subsets, each one lasting ten years (start-  
333 ing from 1956). For each decade (1956-1965, 1966-1975, 1976-1985, 1986-1995, 1996-2005,  
334 2006-2015) the following parameter for the scanning widows were imposed:  $R_{max} = 200km$ ,  
335  $T_{max} = 2years$  and  $T_{agg} = 1year$ . As shown in Figure 8, the number of detected clusters

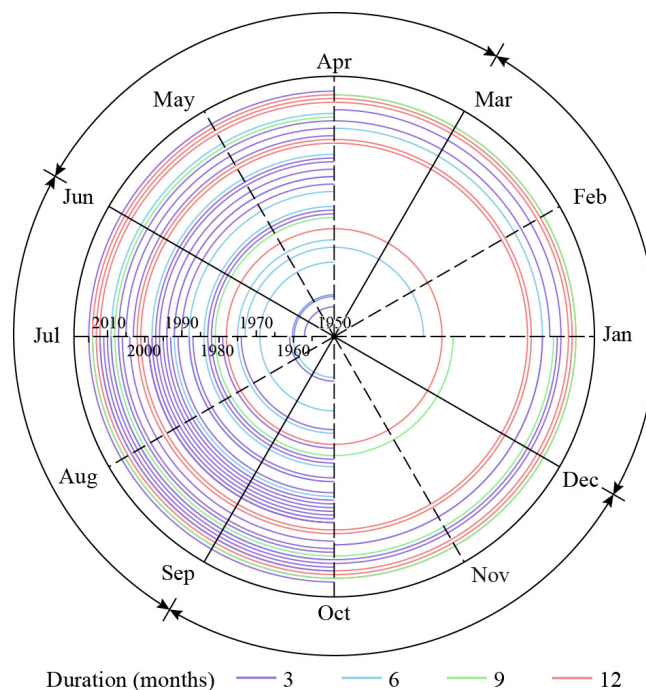


Figure 7: Seasonal effect of flash flood disasters clusters in China during 1950-2015 ( $R_{max} = 200km$ ,  $T_{max} = 1year$ ,  $T_{agg} = 3months$ ).

336 increases from the early to recent periods. These are compared with the rainfall distribution,  
 337 derived from the daily rainfall data provided by the China Meteorological Administration  
 338 (<http://data.cma.cn/>). In the present study, only the weather stations (a total of 699 rain  
 339 gauges) with complete data for the period 1955-2015 were considered. The mean monthly  
 340 and annual rainfall were computed for each station and this data were then regionalized on a  
 341  $2km \times 2km$  lattice, via Ordinary Kriging interpolation. It results that flash floods detected  
 342 clusters are mainly located in the southeastern most humid regions in every period. However,  
 343 in the last two decades, clusters appear also in the northwestern arid regions. Even if the  
 344 rainfall distribution, averaged over each decades, does not allow to discover clear changes  
 345 along the subsequent periods, these newly detected clusters can be due to the intensification  
 346 of the extreme rainfall events occurring in the area in recent periods. This assumption is  
 347 confirmed by the statistics on clusters duration (Figure 9). From the boxplot summarizing  
 348 the descriptive statistics it is evident that the median values of clusters duration tends to  
 349 slightly decrease from 46 days (1956-1965), to 17 days (1986-1995), to stabilise at a value  
 350 around 20 days in the two last decades. At the same time, the overall duration, measured  
 351 as difference between the maximum and the minimum value, is higher in the late periods  
 352 (140 days in 1956-1965, and 93 and 74 days respectively in the two following decades) than  
 353 in the early periods (about 65 days for the last two decades). This is even more evident



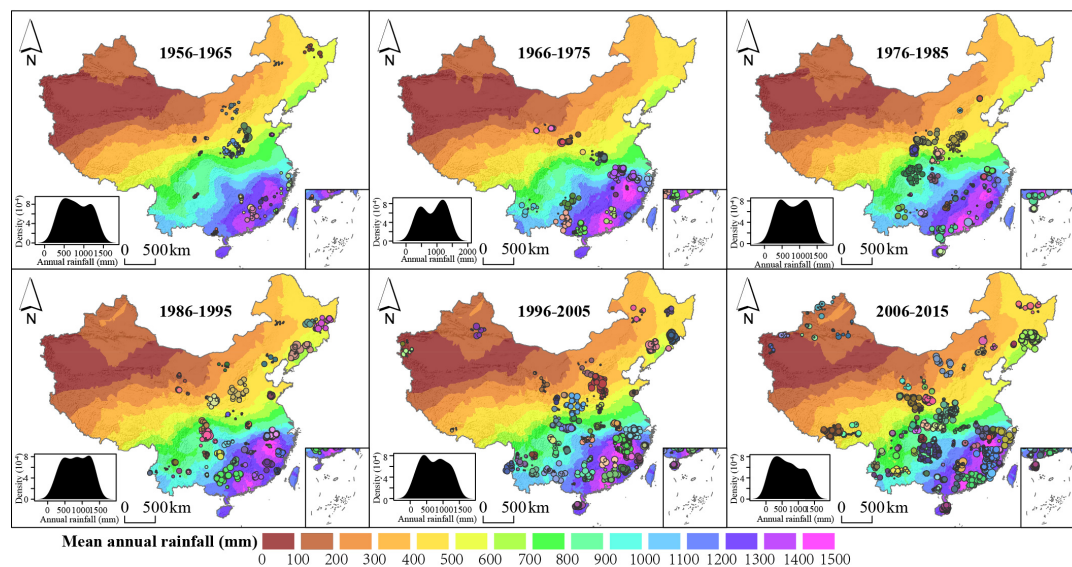


Figure 8: Significant ( $p < 0.005$ ) spatiotemporal clusters of flash flood disasters in China every ten years. The size of the circles indicates the impact of flash flood disasters according to the classification proposed in Table 1.

354 looking at the inter quantile ranges, which decrease with time. To resume, from these analy-  
 355 ses, the number of detected clusters globally increase in time, but their duration drastically  
 356 decreases in the recent period, indicating a possible activation induced by short-duration  
 357 extreme rainfall events.

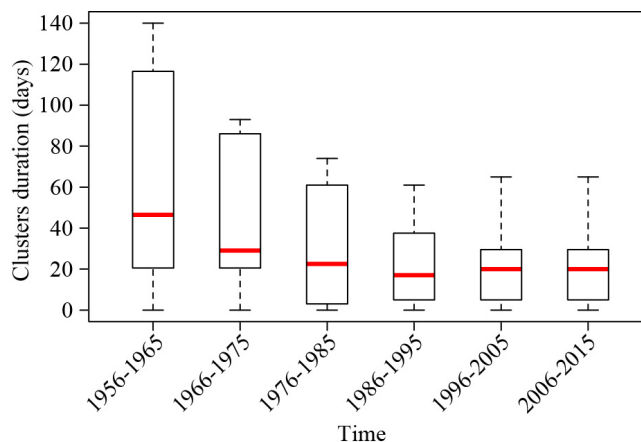


Figure 9: Boxplots summarizing the descriptive statistics of the duration of clusters reported on Figure 8.

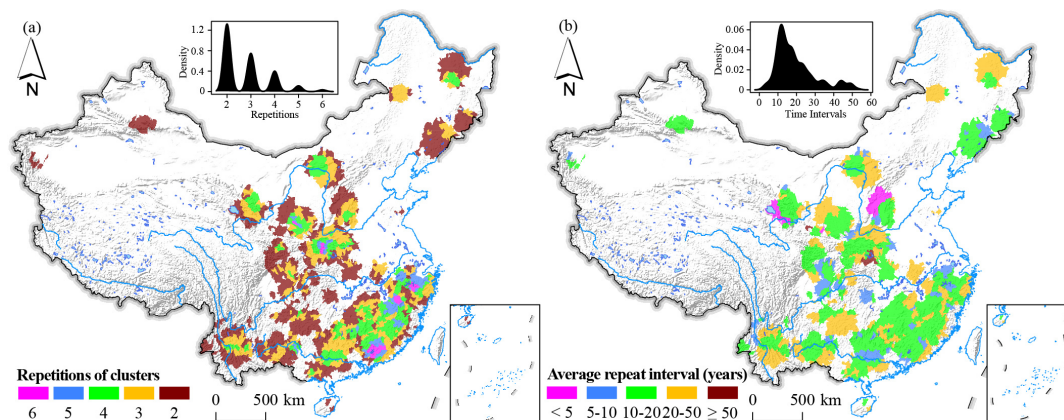


Figure 10: Catchments with clusters detected more than once (a) and return period for the clusters (b).

358 We further explored how many times the clusters detected from the previous investiga-  
359 tion overlap, considering the catchment level. Results provide an useful information on the  
360 recurrence of clusters of flash flood disasters every ten years. To perform this analysis, the  
361 centroid of each cluster (with reference to Figure 8) was extracted and intersected with the  
362 catchment boundaries. In a second step, the number of repeated clusters per catchment  
363 was computed and their distribution investigated through the time. Results are shown in  
364 Figure 10, where panel (a) reports the number of repeated clusters and panel (b) reports the  
365 information on their relative occurrence across time (similarly to the concept of return time  
366 but in the context of spatiotemporal clustering).

367 Figure 10a shows that, as for the spatial trends of the detected clusters, the catchments  
368 with recurrent clusters are mainly located in the southeast sector and essentially in the  
369 coastal mountains. From Figure 10b it emerges that, on average, most of the repeated  
370 cluster occur with an interval between 10-20 and 20-50 years.

## 371 4 Discussions

372 The present study aims at exploring the spatiotemporal clustering characteristics of flash  
373 flood disasters in China. For this purpose, we analyzed the official historical inventory, which  
374 covers a very long period (from 1950 to 2015). Results are interpreted with a particular regard  
375 to the rainfall distribution, being these two processes highly related (Wei *et al.*, 2018). The  
376 spatiotemporal K-function was first computed to assess the deviation of flash flood pattern  
377 distribution from a random process. This revealed a clustering behavior at specific spatial  
378 distances and yearly periods. Scan Statistics, the spatiotemporal permutation model we  
379 adopted, was then performed to identify statistically significant clusters together with their  
380 duration (start and end date). This allowed us to detect areas and periods more susceptible



381 to flash flood disasters. We opted for a set of possible combinations for the maximum spatial  
382 and temporal extension of the scanning windows, while the data were aggregated both at  
383 yearly and at seasonal scale. More specifically, we tested three  $R_{max}$  values equal to 100, 200  
384 and 300  $km$ , and three  $T_{max}$  values equal to 1, 3 and 5 years for the *yearly model*, with an  
385 aggregation of three months for the *monthly model*. The most significant cluster resulting  
386 from the yearly model was detected in 1975, while the rating for the following clusters can  
387 change by varying  $R_{max}$ ; nevertheless, it is important to note that the top-ten clusters are  
388 well distributed over the entire study period, with the oldest one detected in 1963-1969.  
389 Results of the monthly model show that the top-ten detected clusters have a duration of  
390 three (six clusters) or six (four clusters) months. Notably, almost every cluster encompasses  
391 the period from July to September, a period coinciding with the wet season in China, with  
392 an earlier start date (in April) for the ones which have a longer duration. Globally, much  
393 more clusters were detected in the late period, mainly from 2000. Overall, clusters are chiefly  
394 located along the main river systems in China (the Yangtze, the Yellow, the Pearl and the  
395 Yarlung Zangbo Rivers). In addition, some clusters stand out on high mountains such as  
396 the Qinling-Daba and the Changbai Mountains.

397 Finally, to detect changes acting at a larger temporal scale, dates were grouped each ten  
398 years over the last six decades (from 1956 to 2015). As for the previous analyses, detected  
399 clusters are mainly located in the southeastern most humid regions in every period. However,  
400 in the last two decades, clusters appear also in the northwestern arid regions. These newly  
401 detected clusters can be due to the intensification the extreme rainfall events occurring in  
402 the area in recent periods, as a consequence of climate changes (Song *et al.*, 2011a). This  
403 important fact is confirmed by checking the descriptive statistics of the duration of clusters:  
404 globally, the number of detected clusters increases in time, but the duration drastically de-  
405 creases in recent periods, indicating a possible activation induced by short-duration extreme  
406 rainfall events. Our analyses revealed that the catchments with recurrent clusters are mainly  
407 located in the southeast sector and essentially in the coastal mountains. China is indicated  
408 as one of the hotspot with global flood-exposed coastal population (Van Coppenolle and  
409 Temmerman, 2020). Therefore, we can assume these catchments to be exposed at the high-  
410 est potential risk across the whole Chinese territory also in the short to long term future.  
411 Nevertheless, catchments with repeated clusters in a shorter time-span (5 to 10 years) may  
412 also pose a relevant threat, especially in the near future.

413 In the present study spatiotemporal clusters of flash floods were detected chiefly on the  
414 basis of two parameters ( $R_{max}$  and  $T_{max}$ ), without featuring terrain attributes, precipitation  
415 regimes and anthropogenic pressure. However, these factors may have played and still play a  
416 significant role to explain the distribution of flash flood disasters. For instance, the approach  
417 we adopted may over-rely on spatial distances to detect clusters. In fact, the natural land-  
418 scape has mountain belts that can act as orographic barriers to the incoming cloudbursts,  
419 effectively limiting the rainfall distribution – hence flash flood occurrences – on one or the  
420 other side of a catchment divide (at various scales). As for the temporal scale, due to the



421 large time-span, the detected temporal patterns may reflect more information due to long-  
422 term climatic variations rather than specific conditions. For this reason, we are planning to  
423 extend our spatiotemporal cluster analyses to more complex models, which can concurrently  
424 capture multivariate contributions featuring environmental effects, even at the latent level  
425 (Lombardo *et al.*, 2018, 2019a).

## 426 5 Conclusion

427 In this work, we explore the national archive of flash flood disasters in China from 1950 to  
428 2015. The term disaster is meant to describe the destructiveness of the flash floods, since  
429 each record in this archive has produced economic, life losses, or both.

430 The clustering procedure highlighted distinct spatial and temporal patterns at different  
431 scales. For instance, flash flood disasters cluster in specific regions and closely follow the  
432 mean rainfall distribution. Additionally, we were also able to distinguish seasonal, yearly  
433 and even long-term flash flood persisting behaviors. The persistence of disasters is a crucial  
434 information because it indicates the risk that a community may undergo in response to a  
435 flash flood. Moreover, we studied the cycle of such disasters with particular emphasis on  
436 their repeated occurrence per catchment. This complementary information can be further  
437 used in relation to engineering and structural design. In fact, infrastructure is usually built  
438 to sustain the damage of an event of certain return time. In our analyses, we show that the  
439 very same area has been hit and incurred losses up to six times in the last 66 years. This may  
440 suggest locally-tailored structural improvements which may lengthen the life expectancy of  
441 specific infrastructure as well as reduce the number of victims.

442 We would like to stress that, as advanced as it may be, our clustering framework is essen-  
443 tially a descriptive tool. And yet, the amount of information one can draw from a descriptive  
444 tool can be extremely valuable. Nowadays, the hazard community's effort is mainly dedi-  
445 cated to predictive modeling of various natures and purposes, thus leaving under-explored  
446 or even unexplored some basic concepts and interpretative conclusions that data description  
447 and visualization can provide. Long time series of national hazard phenomena are one of  
448 these examples where studying variations over space and time can highlight very important  
449 environmental dynamics, even in the direction of climate change and its implications.

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