Spatiotemporal clustering of flash floods in a changing climate (China, 1950-2015)

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Abstract

1

The persistence over space and time of flash flood disasters – flash floods that have caused 2 either economical or life losses, or both – is a diagnostic measure of areas subjected to hydro-3 logical risk. The concept of persistence can be assessed via clustering analyses, performed 4 here to analyze the national inventory of flash flood disasters in China that occurred in the 5 period 1950-2015. Specifically, we investigated the spatiotemporal pattern distribution of the 6 flash flood disasters and their clustering behavior by using both global and local methods: 7 the first, based on the Ripley's K-function, and the second on Scan Statistics. As a result, 8 we could visualize patterns of aggregated events, estimate the cluster duration, and make 9 assumptions about their evolution over time, also with respect to the precipitation trend. 10 Due to the large spatial (the whole Chinese territory) and temporal (66 years) scale of the 11 dataset, we were able to capture whether certain clusters gather in specific locations and 12 times, but also whether their magnitude tends to increase or decrease. Overall, the eastern 13 regions in China are much more subjected to flash flood disasters compared to the rest of 14 the country. Detected clusters revealed that these phenomena predominantly occur between 15 July and October, a period coinciding with the wet season in China. The number of de-16 tected clusters increases with time, but the associated duration drastically decreases in the 17 recent period. This may indicate a change towards triggering mechanisms which are typical 18 of short-duration extreme rainfall events. Finally, being flash flood disasters directly linked 19 to precipitation and their extreme realization, we indirectly assessed whether the magnitude 20 of the trigger itself has also varied through space and time, enabling considerations in the 21 context of climatic changes. 22

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²⁵ 1 Introduction

Flash floods are among the most destructive surface processes around the world, especially 26 in mountainous areas (Au, 1998; Borga et al., 2011; Gomez and Kavzoglu, 2005; Jonkman, 27 2005). They are mainly initiated by rapid and intense rainfall, often discharged in few hours 28 (e.g., Borga et al., 2007; Bout et al., 2018; He et al., 2018; Lóczy et al., 2012), and by complex 29 interactions of the climatic conditions with topography and hydrology (e.g., Hatheway et al., 30 2005). Because of the very rapid raise in water levels caused by flash floods, it is challenging 31 to take timely and effective actions to contain the associated damage. Flash flood disasters 32 are essentially flash floods that have caused losses either in terms of human lives or economy. 33 or both (Gaume et al., 2009; Jonkman and Kelman, 2005; Kelman and Spence, 2004). In 34 China, approximately 70% of the total area is covered by mountains and hills, which exposes 35 a substantial surface of the national territory to flash flood disasters' risk (Liu et al., 2018). 36 Additionally, the more frequent extreme precipitation associated with climate change has 37 increased the number of flash flood disasters in recent decades (Sampson et al., 2015). 38

The susceptibility to hydro-geomorphological processes is commonly assessed by consid-39 ering only the spatial distribution of observed events (Cama et al., 2015, 2017; Santangelo 40 et al., 2012; Zaharia et al., 2017). However, this is purely a convenient assumption from the 41 modeling perspective. Recently, a growing amount of evidence indicates that these events 42 tend to aggregate in space conditioned by the temporal variability, attesting for an inter-43 action between space and time on event frequency and distribution (Gariano and Guzzetti, 44 2016; Kouli et al., 2010; Zhang and Cong, 2014; Fuchs et al., 2015; Merz et al., 2016; Tonini 45 and Cama, 2019). In other words, when an event occurs at a specific location, a tempo-46 rary increase in the probability that other events will cluster at nearby locations should 47 be accounted for. This increase in probability can be captured through clustering analy-48 ses and various examples already exist in literature where this has been done at different 49 spatial and temporal scales and via different analytical approaches. Notably, this type of 50 application spans in many areas of natural hazards and have become mainstream in case 51 of seismicity (e.g., Fischer and Horálek, 2003; Georgoulas et al., 2013; Varga et al., 2012; 52 Woodward et al., 2018; Yang et al., 2019), joint sets and their orientation in rock outcrops 53 (e.g., Tokhmechi et al., 2011; Zhan et al., 2017), groundwater monitoring (Chambers et al., 54 2015), wildfires (e.g., Orozco et al., 2012; Costafreda-Aumedes et al., 2016; Fuentes-Santos 55 et al., 2013; Tonini et al., 2017), and landslides (e.g., Lombardo et al., 2018, 2019; Tonini 56 and Cama, 2019). In the specific case of flooding, Zhao et al. (2014) used the projection 57 pursuit theory to cluster spatial data and to build a dynamic risk assessment model for flood 58 disasters. Moreover, Renard (2017) detected flood vulnerability accounting for clustering 59 effects in key areas with high flood risk. Pappadà et al. (2018) also investigated the flood 60

risks in a given region and identified clusters where the floods show a similar behavior with 61 respect to multivariate criteria. Gu et al. (2016a,b) indicated the floods in Tarim River basin 62 showed evident inter-annual clustering pattern. Another example can be found in Merz et al. 63 (2016) where the authors analyzed the inter-annual and intra-annual flood clustering in Ger-64 many. All these examples confirm a substantial scientific interest in recent years dedicated 65 to investigate the clustering behaviors of flash floods and the associated risk; and, more 66 generally, to concurrently analyze their spatial and temporal persistence. However, despite 67 the scientific efforts, detecting flash flood patterns at long temporal scale is still scarce in 68 literature, mainly because of technical limitations. In fact, limited information and records 69 are available in digital form reporting locations and dates of flash floods (and flash flood 70 disasters), especially over long periods. Nevertheless, very recent advances in data collection 71 and sharing techniques are gradually filling this gap, and an increasing number of databases 72 are being published and made available to the scientific community with the records of his-73 torical and hydro-geomorphological disasters at the global, continental, or regional scale over 74 long periods (Gourley et al., 2013; Haigh et al., 2017; Vennari et al., 2016; Liu et al., 2018; 75 Archer et al., 2019; de Bruijn et al., 2019; Nowicki Jessee et al., 2020; Wood et al., 2020). 76 Among these, Chinese historical inventories of flash flood disasters are a precious source of 77 information allowing to investigate their spatiotemporal pattern distribution and evolution. 78 Furthermore, this information can be related with the geomorphological settings of the area 79 and the climatic/meteorological conditions to detect triggering factors, highlight the more 80 vulnerable areas, and to prevent and forecast their effects in the future. 81

Typically, flash flood disasters (as many other hydro-geomorphological disasters) can be 82 considered as a stochastic point processes (Stoyan, 2006) acting in both spatial and tem-83 poral dimensions (e.g., Lombardo et al., 2020). Point patterns can be analyzed in terms of 84 their random distribution, dispersion and clustering behaviour (Merz et al., 2016; Tonini and 85 Cama, 2019). Several methods can be implemented to deal with stochastic properties. Some 86 classic models, such as Moran's I (Moran, 1950), Ripley's K-function (Ripley, 1977), fractal 87 dimension (Lovejoy et al., 1986), and Allan factor (Allan, 1966), have been used to detect 88 clustering behaviour in space and in time. Representative models for local clustering analysis 89 (i.e. allowing to detect clusters and their specific location) include Geographical Analysis 90 Machine (GAM, Openshaw et al., 1987), Turnbull's Cluster Evaluation Permutation Proce-91 dure (CEPP, Turnbull et al., 1990), Scan Statistics (Kulldorff, 1997), and DBSCAN (Ester 92 et al., 1996). For flash floods, which are triggered by storms, the temporal dependency among 93 persistent events is mainly driven by climatic and meteorological conditions. However, global 94 cluster indicators only take into consideration one dimension, disregarding the interaction 95 between space and time. In this sense, spatiotemporal Scan Statistics is a good tool to detect 96 clusters since it allows to identify statistically significant excess of observations thanks to a 97 moving cylindrical window that scans all locations both in space and time (Kulldorff et al., 98 1998). 99

¹⁰⁰ Therefore, it is especially useful to investigate large spatiotemporal inventories of hydro-

and geo-morphological processes, such as flash floods. Indeed, the detection of clusters originated by events closer both in space and in time can be more informative that the simply investigation of their purely temporal and purely spatial pattern distribution. For example, understanding the duration of the spatiotemporal clusters of flash floods is key tool to investigate their dynamic and to highlight more vulnerable area and frame period.

In light of this, the main objective of the present research is to explore the pattern 106 distribution of flash flood disasters which have caused life and/or economic losses in China 107 over a 66-years period (daily data from 1950 to 2015). Firstly, the Ripley's K-function was 108 applied to explore the deviation of flash flood disasters from a random process. Results 109 allow to assess at which spatial and temporal scales events are clustered. Then, a local 110 cluster indicator, namely Scan Statistics, was implemented to map statistically significant 111 spatiotemporal clusters. To the best of our knowledge, this study represents the first attempt 112 of investigating the spatiotemporal cluster behaviour of flash flood disasters affecting a huge 113 area, such as the entire Chinese territory. Moreover, the volume of the data that we analyzed 114 represents an additional challenge allowing to provide useful insights on flood dynamics over 115 a large spatiotemporal domain and enabling considerations in the context of climatic changes. 116 To this end, we finally compared the dynamic of the clusters, detected from the early to the 117 recent period, with the extreme rainfall evolution, computed each 10-years, which is assumed 118 as a local climatic proxy factors. 119

¹²⁰ 2 Material and methods

¹²¹ 2.1 Data description

122 2.1.1 Study area

China lies between latitudes 18° and 54° N, and longitudes 73° and 135° E. With an area of 123 about 9.6 million square kilometers, it is the world's third-largest country. The landscape 124 varies significantly across this vast area, ranging from the Gobi and Taklamakan deserts in 125 the north to the subtropical forests in the wetter south. The eastern plains and southern 126 coasts are the location of most of China's agricultural land and settlements. The southern 127 areas consist of hilly and mountainous terrain. The west and north of the country are 128 dominated by sunken basins (such as the Gobi and the Taklamakan desert), towering massifs 129 and rolling plateaus, including part of the highest tableland on earth, the Tibetan Plateau. 130 Based on its topography, China can be divided into six homogeneous geomorphological 131 macro-regions (Wang et al., 2020): eastern plain, southeastern hills, southwestern mountains, 132 north-central plateaus, northwestern basins and Tibetan Plateau. Mountains (33% of the 133 territory), plateaus (26%) and hills (10%) account together for nearly 70% of the entire 134 surface. 135

In recent years, the precipitation intensity shows an increasing trends over China (Zhang and Cong, 2014). Influenced by the East Asian summer monsoon and the geomorphologic

settings, the climatic condition across the whole country varies considerably (Wu et al., 2019). 138 In general, the wet season in China lasts from May to September (Song et al., 2011b). In 139 the Eastern area, the annual rainfall decreases from south to north with an average annual 140 precipitation that ranges from 250 to 750 mm (Zhang et al., 2007). In the west and central 141 part of North China, due to its far distance away from ocean, the climate tends to be more 142 arid and the landscape transitions to large deserts. The Tibetan plateau is characterized by 143 wet and humid summers with cool and dry winters. More than 60-90% of the annual total 144 precipitation falls between June and September (Xu et al., 2008). 145

¹⁴⁶ 2.1.2 Flash flood disaster inventory

The dataset used in this study has been collated and made accessible for the present research 147 as part of a national effort carried out by the Chinese Institute of Water Resources and 148 Hydropower Research (Liu et al., 2018). It reports flash flood occurrences in China since 1950 149 until 2015 together with available information, namely longitude and latitude, date, fatalities 150 and economic losses. Due to the lack of specific terminology and/or detailed descriptions of 151 the disaster process in the database, the data does not differentiate the initial mechanism, be 152 it water floods or debris floods/flows (e.g., Fernández and Lutz, 2010; Gartner et al., 2014). 153 The only common information is that for each specific case, a large amount of overland flows. 154 mixed with an unspecified solid fraction, rapidly flooded a given area with disastrous effects 155 (e.g., Pierson et al., 1987; Chang et al., 2011). 156

To better understand the spatiotemporal dynamics of flash floods and associated disasters, as well as the relationship with the triggering factors, the date of occurrence is of vital importance. Therefore, for consistency reasons, we considered only the records whose metadata contained a full temporal description (year-month-day) resulting in a subset of 32,473 flash flood disasters (accounting for 68% of the entire dataset) precisely located in space and time (Figure 1).

¹⁶³ 2.2 Methodological overview

¹⁶⁴ 2.2.1 Spatiotemporal K-function

The Ripley's K-function $(K_{(s)})$ is largely applied in environmental studies to analyse the 165 pattern distribution of spatial point processes and to detect deviation from spatial random-166 ness. $K_{(s)}$ allows to determine if a set of mapped punctual events show a random, dispersed 167 or cluster distribution pattern over increasing distance values (Ripley, 1977). It is computed 168 as the ratio between the expected number of events falling at a distance r from an arbitrary 169 event and the average number of points per unit area, corresponding to the intensity of the 170 spatial point process (λ). In the same way, it is possible to define the temporal K-function 171 $(K_{(t)})$ allowing to asses for the randomness of events in time. The spatiotemporal K-function 172 $(K_{(s,t)})$ is a generalization of the univariate Ripley's K-function which allows to test for the 173 independence between two variables, space (s) and time (t). Therefore, the $K_{(s,t)}$ is a suitable 174



Figure 1: Distribution of flash flood disasters and background setting of China. Dashed lines correspond to the geo-political boundary of China.

tool to investigate the clustering behaviour of a set of events occurred in a given area at a given time. For a point process X with intensity λ , according to equation 1, it is defined as the number of expected further events (E) occurring within a distance r and time t from an arbitrary event u, where a define the contouring circle.

$$K_{(s,t)} = 1/\lambda \times E[n(X \cap a(u,r,t)u)|u \in X]$$
(1)

To illustrate the interaction between space and time, it can be useful to evaluate the value $D_{(s,t)}$, defining the difference between the spatiotemporal K-function and the product of the purely spatial and the purely temporal K-function (see equation 2).

$$D_{(s,t)} = K_{(s,t)} - K_{(s)} \times K_{(t)}$$
(2)

If space and time are independent variables, this value equals to zero. Otherwise, positive values of $D_{(s,t)}$ indicates the interaction among events in space and in time. In other words, events closer in space are more likely to occur in a closer time. On the contrary, the negative values means a dispersed pattern.

In this study, spatiotemporal K-function analyses were performed with the package "Spatial
and Space-Time Point Pattern Analysis" (splanes, Rowlingson and Diggle, 2017) in R (R
Core Team, 2019).

¹⁸⁹ 2.2.2 Spatiotemporal scan statistics

Scan statistic was originally developed by Naus (1965a,b) to detect cluster in a one-190 dimensional point process. Subsequently Kulldorff (1997) extended this approach to multi-191 dimensional point process, introducing the use of scanning windows. The procedure was 192 implemented into a free software, SaTScanTM (satscan.org) which can handle a purely spa-193 tial, purely temporal or spatiotemporal datasets and includes different probability models 194 depending on the nature of the data and the scope of the research (e.g. for prospective or 195 retrospective cluster detection). In the purely spatial case, the aim of scan statistics is the 196 early detection of clusters, allowing to map them and to assess their statistical significance. 197 Moving windows scan the region increasing their radius up to a fixed limit (R_{max}) and count 198 the number of events falling inside and outside the area. The probability that a window con-199 tains more observations than expected is assessed via the likelihood ratio, by comparing with 200 the background population. Then, the null hypothesis of randomness is tested via Monte 201 Carlo simulations, based on repeated random sampling. The spatiotemporal scan statistic 202 use cylinders instead of circular windows, where the height of the cylinder account for the 203 temporal dimension. 204

In order to deal with flash floods, the retrospective spatiotemporal permutation scan statistics (STPSS, Kulldorff <u>et al.</u>, 2005) seems to be the most adequate model. Indeed, for environmental processes, the definition of the background population at risk needed for the statistical significance assessment of the detected clusters is quite problematic. STPSS assesses the expected number of cases using only the observed cases by permutation, supposing that each event has the same probability for all the times. Computationally, if C is the total number of observed cases and c_{zd} the number of cases observed in a specific zone z and a day d, the expected number of cases per zone and day (μ_{zd}) is equal to:

$$\mu_{zd} = \frac{1}{C} \left(\sum_{z} c_{zd} \right) \left(\sum_{d} c_{zd} \right) \tag{3}$$

It follows that, for a spatiotemporal cylinder A, the expected number of cases μ_A can be estimated as the sum of each μ_{zd} inside the cylinder A:

$$\mu_A = \sum_{z,d \in A} \mu_{zd} \tag{4}$$

If C_A is the number of observed cases in A, considered as Poisson-distributed with mean μ_A , the Poisson generalized likelihood ratio (*GLR*) can be computed as:

$$GLR = \left(\frac{c_A}{\mu_A}\right)^{c_A} \left(\frac{C - c_A}{C - \mu_A}\right)^{C - c_A} \tag{5}$$

This ratio is calculated and maximized for every possible scanning cylinder. The cylinder with the highest GLR-value is the most likely cluster, that is, the cluster least likely to be due to chance, while the following are secondary clusters. Then, Monte Carlo simulations are performed and the statistical significance (p-value) of the detected clusters can be assigned by comparing the rank (R) of GLR from the real data set with the GLR from the simulated one. Thus, the p-value can be estimated by dividing R by the number, plus one, of performed simulations.

224 **3** Results

225 **3.1** Deviation from a random process

In the present study, the spatiotemporal K-function was used to assess the global cluster 226 behavior of flash flood disasters generated by the interaction between these two variables. 227 To this end, the perspective 3D-plot of D(s,t) represents a useful visual tool allowing to 228 estimate the distribution pattern of events along the spatial and the temporal dimensions. 229 In more details, positive values attest for a cluster distribution, while values close to zero 230 indicate a random pattern, with no interaction between space and time. In our case, the 231 3D-plot (Figure 2) shows that at any distance, from hundred to thousands meters, and 232 from few years to decades, flash flood disasters display a cluster behaviour, which is more 233 pronounced at increasing distance-values. In addition, the spatiotemporal K-function was 234 computed considering individually the southeastern and the northwestern area in China, 235 given that the first corresponds to the rainiest zone, highly affected by flash floods, while the 236

second is predominantly desert. It results that (Figure 3) in the southeastern China (panel
a) clusters arise at a shorter spatial distance and closer in time than in the northwestern
China (panel b). As regards the temporal dimension, the two areas show a similar cluster
behaviour, with a strong attraction among events up to 10-years, and than lasting in time
with a more relaxed clustering behaviour.

To summarize, the spatiotemporal K-function reveals a deviation of flash flood disasters and associated spatiotemporal pattern distribution from a random process at specific scales, measured and quantified both in space, as distances-values, and in time, as yearly periods. These values can provide a useful indication to set up the parameters for further clustering algorithms, acting at local scale such as, for example, the spatiotemporal scan statistics.



Figure 2: Perspective 3D-plot of flash flood disasters in China during 1950-2015 (panel a) with a zoom up to 2000 km (panel b).



Figure 3: Perspective 3D-plot of flash flood disasters in the southeast (panel a) and northwest China (panel b).

²⁴⁷ 3.2 Spatiotemporal cluster detection

²⁴⁸ 3.2.1 Cluster parametrization and their spatial distribution

Scan statistics was performed to detect spatiotemporal clusters of flash flood disasters. The 249 size and the duration of the detected clusters are influenced by the input parameters of the 250 scanning windows, namely the maximum radius (R_{max}) , the maximum temporal duration 251 (T_{max}) , and the time aggregation (T_{agg}) . Indeed, values of R_{max} exceeding the 50% of the 252 total area or, for T_{max} , the 50% of the entire study period, can result in an exceptionally low 253 rate outside the scanning window rather than detecting an exceptionally high rate inside. 254 T_{aqq} is used to adjust the aggregation of the data over time and allows adjusting for cyclic 255 temporal trends: for example, a time aggregation of one year automatically adjusts for the 256 seasonal variability, while the contrary happen with monthly aggregations. Moreover, both 257 spatial and temporal aggregations can highly reduce the computer processing time. Different 258 values for R_{max} were tested for the southeast and northwest areas in China, as suggested by 259 looking at the respective perspective 3D-plot. Nevertheless, the performed analyses indicated 260 that the effect onto the detected clusters were negligible and that finally the distribution of 261 spatiotemporal clusters of flash flood disasters in the country can be analysed as a whole. A 262 set of possible combinations of R_{max} and T_{max} was tested, while T_{agg} was initially fixed to 263 one year. 264

More specifically, since results of the K-function revealed that flash floods disasters in the study area and investigated period are globally clustered even at short distances, we chose R_{max} of 100, 200 and 300km, and T_{max} equals to 1, 3 and 5 years. The choice for R_{max} is corroborated by Zhang et al. (2010) who report measurements constantly less than 500 km for the radius of typical convective storms in the Chinese mainland, which can trigger flash floods. Results of STPSS for each of the nine combinations of these parameters are shown in Figure 4.

	T _{max} (year)			
R _{max} (km)	1	3	5	
100	131	128	130	
200	85	77	75	
300	58	54	53	

Table 1: Number of detected spatiotemporal clusters of flash flood disasters in China during 1950-2015 using different parameters, as indicated.

As shown in Table 1, the largest variation in the number of detected clusters is mainly associated with R_{max} rather than with T_{max} ; as R_{max} increases, the number of detected clusters decrease. Indeed, large R_{max} values affect the detection of clusters acting at a fine



Figure 4: Significant (p<0.005) spatiotemporal clusters of flash flood disasters in China during 1950-2015.

scale, by merging small cluster close each others into big ones or eventually by neglecting very small flash floods aggregations. Conversely, very large clusters, acting at a coarse spatial scale, are detected for any value of R_{max} , as can be geographically visualised in the south-easternmost sector of China (Figure 4). Changes of T_{max} have almost no effect on the number of detected clusters since, even allowing for a maximum duration of 5 years, almost all the clusters do not exceed the duration of one year.

To confirm this finding, we computed the temporal duration of the first ten clusters of 281 flash flood disasters detected by applying a T_{max} equals to 3 years and for the three models, 282 defined by using values of R_{max} equal to 100, 200 and 300 km (Table 2). Results confirm 283 that clusters duration, expresses as start and end date, never exceed one year. The most 284 significant cluster (ranked as ID=1) is the same for any model and dated to 1975. Secondary 285 clusters (just from the second to the tenth) are almost the same using R_{max} of 200 or 300 km, 286 while, reducing the radius at 100 km, their size and ranking can change, due to the merging 287 of small clusters into bigger ones. Finally, it is worth noting that the top-ten clusters are 288 well distributed over the entire study period, with the oldest one detected between 1963 and 289 1969 and the latest in 2010. 290

Table 2: Temporal duration of the first 10 clusters of flash flood disasters detected via three different models (left: $R_{max} = 100 km$; center: $R_{max} = 200 km$; right: $R_{max} = 300 km$).

ID	Radius	Start date	End date	ID	Radius	Start date	End date	ID	Radius	Start date	End date
1	81.04	1975/1/1	1975/12/31	1	81.04	1975/1/1	1975/12/31	1	81.04	1975/1/1	1975/12/31
2	64.51	2010/1/1	2010/12/31	2	146.06	1998/1/1	1998/12/31	2	146.06	1998/1/1	1998/12/31
3	60.73	2006/1/1	2006/12/31	3	64.51	2010/1/1	2010/12/31	3	64.51	2010/1/1	2010/12/31
4	72.76	2010/1/1	2010/12/31	4	60.73	2006/1/1	2006/12/31	4	60.73	2006/1/1	2006/12/31
5	94.42	1998/1/1	1998/12/31	5	72.76	2010/1/1	2010/12/31	5	72.76	2010/1/1	2010/12/31
6	73.13	1969/1/1	1969/12/31	6	73.13	1969/1/1	1969/12/31	6	73.13	1969/1/1	1969/12/31
7	56.67	1963/1/1	1963/12/31	7	176.96	1982/1/1	1982/12/31	7	176.96	1982/1/1	1982/12/31
8	49.51	1996/1/1	1996/12/31	8	70.57	1984/1/1	1984/12/31	8	70.57	1984/1/1	1984/12/31
9	70.57	1984/1/1	1984/12/31	9	129.06	1996/1/1	1996/12/31	9	157.14	2010/1/1	2010/12/31
10	35.27	1987/1/1	1987/12/31	10	157.14	2010/1/1	2010/12/31	10	56.18	1960/1/1	1960/12/31

We opted to carry out additional analyses using a T_{agg} of three months (hereafter referred as monthly model). Results are shown in Figure 5 where information on the spatial distribution of the detected clusters is shown. Overall, the clusters chiefly appear along the main river systems in China, namely the Yangtze, the Yellow, the Pearl and the Yarlung Zangbo Rivers. In addition, some clusters stand out on high mountains, such as the Qinling-Daba and the Changbai Mountains.



Figure 5: Significant (p<0.005) spatiotemporal clusters of flash flood disasters occurring in China during 1950-2015 ($R_{max} = 200 km$, $T_{max} = 3 years$, $T_{agg} = 3 months$).

²⁹⁷ 3.2.2 Temporal characterization of detected clusters

It emerges that clusters detected by using the different parameters for the scanning cylinders 298 overlap both in space and in time. Therefore, in the present analysis, seeking at investigating 299 in more details the years of occurrence and the temporal duration of detected clusters of flash 300 floods, only results from the model with $R_{max} = 200 km$, $T_{aqq} = 1 year$ and $T_{max} = 3 year$ are 301 presented. Considering all the statistically significant clusters, they emerged during almost 302 the each year of the investigated period, but are more frequent starting from 1980. The 303 relative small number of clusters detected between 1950 and 1980 may imply that the data 304 acquisition and report in the Chinese database of hydro-morphological disasters was not 305 fully operational at the time. Conversely, from 1980 to present days the Chinese database 306 has evolved into a mature and detailed geographic information system. Another factors 307 explaining this distribution can be the more frequent extreme precipitations observed in 308 the recent decades, which can have increased the frequency of flash flood disasters in this 309 last period. The precipitation regime can also explain the variation in the duration of the 310 detected clusters. Indeed some clusters have a temporal extent up to three years, which 311 could results from a persistent precipitation pattern over a delimited prone area. 312



Figure 6: Temporal duration of flash flood disaster clusters detected via the yearly model $(R_{max} = 200 km, T_{agg} = 1 year, T_{max} = 3 years).$

To highlight the influence of the seasonal variability in cluster detection, we carried out additional analyses using a T_{agg} of three months (hereafter referred as *monthly model*). As shown on 5, the detected clusters are spread along the main river systems in China, namely the Yangtze, the Yellow, the Pearl and the Yarlung Zangbo Rivers. In addition, some clusters stand out on high mountains such as the Qinling-Daba and the Changbai Mountains.

ID R	ladius	Start date	End date
1 :	54.88	2010/10/1	2010/12/31
2 8	81.04	1975/4/1	1975/9/30
3	72.76	2010/7/1	2010/9/30
4 1	46.06	1998/4/1	1998/9/30
5 (50.73	2006/7/1	2006/9/30
6	73.13	1969/4/1	1969/9/30
7 1	78.05	1982/7/1	1982/9/30
8 1	99.88	1996/4/1	1996/6/30
9 1	57.14	2010/7/1	2010/9/30
10 0	67.05	1984/4/1	1984/9/30

Table 3: Temporal duration of the first 10 clusters of flash flood disasters detected via the monthly model ($R_{max} = 200 km$, $T_{max} = 1 year$, $T_{agg} = 3 months$)).

Forcing the model parameterization to aggregate over three months allows to investigate potential seasonal effects. Indeed, even if the maximum temporal duration is still of one year, looking at the ten most significant clusters detected under the *monthly model* (Table 3), it results that all of them have a duration of three (six clusters) or six (four clusters) months. Notably, almost every cluster (nine clusters) encompass the period from July to September, with an earlier start date (in April) for the ones which have a longer duration.

To visualize the seasonality trend, we summarized these result using a cyclic represen-324 tation (Figure 7). The majority of the cluster have a 3-months duration, concentrated in 325 the period between July and October. Furthermore, clusters of 6-months temporal duration 326 are most likely to occur from January to July or from April to October. As for clusters 327 with 9-months temporal duration, these mostly cover the period of July-August-September, 328 irrespective of the starting month. Ultimately, as noticed for the yearly model, also in the 329 monthly model much more clusters were detected in the recent period. Overall, the vast 330 majority of flash flood disasters clusters happened between July and October, a period co-331 inciding with the wet season in China. 332

333 3.2.3 Clusters pattern evolution at decade-scale

The previous analyses allowed to detect yearly and seasonal clusters. However, environmental changes usually act on a longer time-span. To better investigate this factor, we considered a temporal subdivision of the dataset into six subsets, each one lasting ten years (starting from 1956). Each subset was analysed separately, using the following parameter for the scanning widows: $R_{max} = 200 km$, $T_{max} = 1 year$, while no temporal aggregation was applied. This allowed to precisely evaluate the temporal duration of each cluster, given as number of days



Figure 7: Seasonal effect of flash flood disaster clusters detected via the monthly model $(R_{max} = 200 km, T_{max} = 1 y ear, T_{agg} = 3 months).$

between the earliest and the latest flash flood single event within a cluster. As shown in 340 Figure 8, the number of detected clusters increases from the early to recent periods. These 341 are compared with the rainfall distribution, derived from the daily rainfall data provided 342 by the China Meteorological Administration (http://data.cma.cn/). In the present study, 343 only the weather stations (a total of 699 rain gauges) with complete data for the period 344 1955-2015 were considered. From these, we computed the extreme precipitation as follows. 345 Out of the rainfall records available per weather station, we initially extracted 5% of the 346 time series corresponding to the rainfall values greater than the 95^{th} percentile (Karl and 347 Easterling, 1999; Klein Tank and Können, 2003). Then we cumulated these values per 348 station over decadal time periods corresponding to 1956-1965, 1966-1975, 1976-1985, 1986-349 1995, 1996-2005 and 2006-2015. From these cumulated extreme rainfall values per station 350 and per decade, we computed the mean over the ten year time span and then interpolated 351 over the whole spatial domain under consideration via a Ordinary Kriging. The data was 352 regionalized on a $2km \times 2km$ lattice. The procedure returned six maps of the mean extreme 353 events per decade over the Chinese territory. It results that flash floods detected clusters 354 are mainly located in the southeastern most humid regions in every period. However, in 355 the last two decades, clusters appear also in the northwestern arid regions. Even if the 356 rainfall distribution, averaged over each decades, does not allow to discover clear changes 357

along the subsequent periods, these newly detected clusters can be due to the intensification 358 of the extreme rainfall events occurring in the area in recent periods. This assumption is 359 confirmed by the statistics on clusters duration (Figure 9). From the boxplot summarizing 360 the descriptive statistics it is evident that the median values of clusters duration tends to 361 slightly decrease from 46 days (1956-1965), to 17 days (1986-1995), to stabilise at a value 362 around 20 days in the two last decades. At the same time, the overall duration, measured as 363 difference between the maximum and the minimum value, is higher in the late periods (140 364 days in 1956-1965, and 93 and 74 days respectively in the two following decades) than in the 365 early periods (about 65 days for the last two decades). This is even more evident looking 366 at the inter quantile ranges, which decrease with time. To resume, from these analyses, it 367 results that the number of detected clusters globally increase in time, but their duration 368 drastically decreases in the recent period. 369



Figure 8: Significant (p < 0.005) spatiotemporal clusters of flash flood disasters in China every ten years. The size of the circles indicates the spatial coverage of the flash flood clusters we detected.

Spatiotemporal clusters of flash flood disasters detected in China by decades were further 370 assembled in a unique image. To this end, the centroid of each cluster (with reference to 371 Figure 8) was extracted and intersected with the catchment boundaries. Then, we computed 372 the total number of clusters per catchment (Figure 10, panel (a)) as well as the average 373 interval of time at which two consecutive clusters have been detected in the same catchment 374 (Figure 10, panel (b)). It results that the catchments mainly affected by clusters of flash 375 floods along several decades are mainly located in the southeast sector and essentially in the 376 coastal mountains and that, on average, most of the cluster occur within an interval of 10-20 377



Figure 9: Boxplots summarizing the descriptive statistics of the duration of clusters reported on Figure 8.

378 years.



Figure 10: Number of time a cluster has been detected by catchment and by decade (a). Average time-interval between two clusters detected over the same catchment by decade (b)

379 4 Discussions

The present study aims at exploring the spatiotemporal clustering characteristics, in terms of spatial location and temporal duration, of flash flood disasters in China. For this purpose, we analyzed the official historical inventory, which covers a several decades from 1950 to 2015. Results are interpreted with a particular regard to the extreme rainfalls distribution, being these two processes highly related (Wei et al., 2018). Actually the spatiotemporal

pattern distribution of flash floods can also been induced by the geomorphological setting 385 of the area and by anthropogenic pressures, such as land use and land cover changes (Yang 386 and Tian, 2009). However, in the present study we are considering both the spatial and 387 the temporal dimension with the aim of detecting clusters occurring as a consequence of 388 the interaction between these two variables. Therefore these clusters are likely to be related 380 with dynamic factors such as rainfalls, which is the only triggering factors that covers and 390 varies across the same spatiotemporal domain as the clusters themselves. Thus, our results 391 are interpreted and discussed on the basis of this hypothesis. 392

The spatiotemporal K-function firstly computed reveals a deviation of flash flood dis-393 asters from a random process at specific scales, measured and quantified both in space, as 394 distances-values, and in time, as yearly periods. Nevertheless this indicator can not provide 395 the location at which clusters appears, or their duration. To this end, the spatiotemporal 396 permutation Scan Statistics was then performed. Results allowed to identify statistically 397 significant clusters together with the start and end date of their occurrence, and to detect 398 areas and periods more susceptible to flash flood disasters. We opted for a set of possible 399 combinations for the maximum spatial and temporal extension of the scanning windows, 400 while dates were aggregated both at yearly and at seasonal scale (i.e. over three months). 401 Among the dozens or even hundreds of clusters detected by the different models, the top 402 ten most significant clusters resulting from the yearly model were analysed in detail. These 403 appears to be almost the same for any increasing value of R_{max} , even if their size and ranking 404 can change. This is a consequence of the fact that small clusters detected when using an 405 R_{max} of 100km can merge into bigger cluster when R_{max} increases at 200 and 300 km. As for 406 the occurrence time, these top-ten clusters are well distributed over the entire study period, 407 with the earliest one dated to 1963 and the latest to 2010. Results of the monthly model 408 show that the top ten most significant clusters have a duration of three (six clusters) or six 409 (four clusters) months. Notably, almost every cluster encompasses the period from July to 410 September, coinciding with the wet season in China, with an earlier start date (in April) 411 for the clusters that have a longer duration. The same behaviour can be observed for the 412 subsequent secondary clusters detected under the monthly model which, in addition, reveals 413 an increasing number on cluster detected in the recent period. 414

Overall, clusters are chiefly located along the main river systems in China (the Yangtze, the Yellow, the Pearl and the Yarlung Zangbo Rivers). In addition, some clusters stand out on high mountains such as the Qinling-Daba and the Changbai Mountains.

Finally, to monitor the cluster pattern evolution, data were grouped and analysed by decades. As for the previous analyses, detected clusters are mainly located in the southeastern most humid regions in every period. However, in the last two decades, clusters appear also in the northwestern arid regions. These newly detected clusters can be due to the intensification the extreme rainfall events occurring in the area in recent periods, as a consequence of climate changes (Song <u>et al.</u>, 2011a). This important fact is confirmed by checking the descriptive statistics of the duration of clusters: globally, the number of de-

tected clusters increases in time, but the duration drastically decreases in recent periods, 425 indicating a possible activation induced by short-duration extreme rainfall events. Another 426 factor that can induce flash floods in China are the tropical cyclones (Hu et al., 2018). In-427 deed, it is well known tropical cyclones induce torrential rains which are a major trigger of 428 catastrophic flood hazards in many coastal regions around the world (Rappaport, 2000; Dare 429 et al., 2012; Zhang et al., 2019). A recent study by Lai et al. (2020) show that slow-moving 430 tropical cyclones, characterized by lower translation speed, occurred more frequently after 431 1990 in the Pearl River Delta in southern China. In addition, their findings suggest that 432 these cyclones tends to elevate local rainfall totals and thus impose greater flood risks at 433 the regional scale. Essentially clusters results to be outnumbered in the last three decades, 434 but their duration drastically decreases in the recent period, indicating a possible activation 435 induced by short-duration extreme rainfall events. 436

As concern the spatial distribution of detected clusters, our analyses revealed that the 437 more affected catchments with frequent clusters are mainly located in the southeast sector 438 and essentially in the coastal mountains. China is indicated as one of the hotspot with global 439 flood-exposed coastal population (Van Coppendie and Temmerman, 2020). Therefore, we 440 can assume these catchments to be exposed at the highest potential risk across the whole 441 Chinese territory also in the short to long term future. In addition, catchments with clusters 442 occurring within a short interval (5 to 10 years) may also pose a relevant threat, especially 443 in the near future. 444

In the present study spatiotemporal clusters of flash floods were detected chiefly on the 445 basis of two parameters $(R_{max} \text{ and } T_{max})$, without featuring terrain attributes, precipitation 446 regimes and anthropogenic pressure. However, these factors may have played and still play a 447 significant role to explain the distribution of flash flood disasters. For instance, the approach 448 we adopted may over-rely on spatial distances to detect clusters. In fact, the natural land-449 scape has mountain belts that can act as orographic barriers to the incoming cloudbursts, 450 effectively limiting the rainfall distribution – hence flash flood occurrences – on one or the 451 other side of a catchment divide (at various scales). As for the temporal scale, due to the 452 large time-span, the detected temporal patterns may reflect more information due to long-453 term climatic variations rather than specific conditions. For this reason, we are planning to 454 extend our spatiotemporal cluster analyses to more complex models, which can concurrently 455 capture multivariate contributions featuring environmental effects, even at the latent level 456 (Lombardo et al., 2018, 2019). 457

458 5 Conclusion

In this work, we explore the national archive of flash flood disasters in China from 1950 to
2015. The term disaster is meant to describe the destructiveness of the flash floods, since
each record in this archive has produced economic, life losses, or both.

⁴⁶² The clustering procedure highlighted distinct spatial and temporal patterns at different

scales. For instance, the statistically significant clusters of flash flood disasters detected in 463 the present study occur in specific area and have a characteristic duration which closely follow 464 the extreme rainfall patterns. The performed analyses allowed us to distinguish seasonal, 465 yearly and even long-term flash flood persisting behaviors. The persistence of disasters is a 466 crucial information because it indicates the risk that a community may undergo in response 467 to a flash flood. Moreover, we studied the cycle of such disasters with particular emphasis 468 on their repeated occurrence per catchment and by decade. As a result, we highlighted that 469 the south-easternmost sector of China is subjected to a much larger number of flash flood 470 clusters compared to the rest of the country. However, in terms of how these clusters are 471 manifested through time with regards to their average re-occurrence time, the catchments 472 in the south-eastern sector suffer from flash floods as frequently as the rest of the central 473 and eastern sectors of the country. This complementary information can be further used 474 in relation to engineering and structural design. In fact, infrastructure is usually built to 475 sustain the damage of an event of certain return time. In our analyses we show that at 476 catchment level, the very same area can be affected by clusters at least two up to six times 477 in the last 60 years, considering a time-unit of ten years. This may suggest locally-tailored 478 structural improvements which may lengthen the life expectancy of specific infrastructure as 479 well as reduce the number of victims. 480

We would like to stress that, as advanced as it may be, our clustering framework is essen-481 tially a descriptive tool. And yet, the amount of information one can draw from a descriptive 482 tool can be extremely valuable. Nowadays, the hazard community's effort is mainly dedi-483 cated to predictive modeling of various natures and purposes, thus leaving under-explored 484 or even unexplored some basic concepts and interpretative conclusions that data description 485 and visualization can provide. Long time series of national hazard phenomena are one of 486 these examples where studying variations over space and time can highlight very important 487 environmental dynamics, even in the direction of climate change and its implications. 488

489 Author contribution

⁴⁹⁰ Nan Wang and Weiming Cheng conceived and designed the experiments; Nan Wang per⁴⁹¹ formed the experiments; Luigi Lombardo and Marj Tonini analyzed the results; Nan Wang,
⁴⁹² Luigi Lombardo, and Marj Tonini wrote the paper; Liang Guo and Junnan Xiong revised
⁴⁹³ the paper.

494 Competing interests

⁴⁹⁵ The authors declare that they have no conflict of interest.

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