

We would like to thank Dr. Athanassios Ganas Athens and the anonymous referee for the thoughtful review of our manuscript and for giving these constructive comments and suggestions, which substantially helped us improve the quality of the paper. We are very grateful to the editors for their serious and responsible attitude towards the manuscript, which is very helpful to the timely revision of the manuscript.

Almost all the points that were raised have been adopted in the revised manuscript. We believe the new version of the manuscript has been significantly improved. We have revised the paper considering all the comments, which are shown below point-by-point. In addition, some minor errors have also been corrected in the text.

A marked-up manuscript version has been attached, and the contents revised has been marked in red.

**Major comments (RC2) :**

**1.** On earthquake data presentation: for each case study how many aftershocks are contained in the ISC database vs. hysteresis model predictions. Make a table corresponding to the time-intervals considered in this study, i.e., with 1-d/no, 1-week/no, 30-days/no etc. Also, show a depth distribution of events per time-interval according to the ISC catalogue.

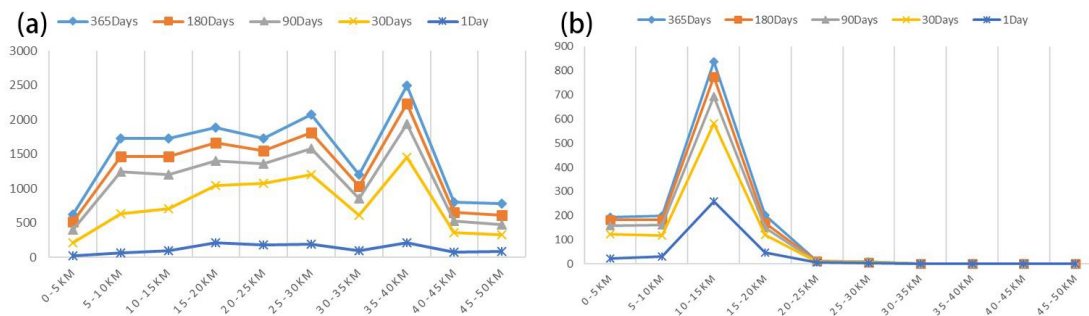
**Reply:** In the new version of the manuscript, we have added section 3.2 to present the data of two earthquake cases (line278-290). The added content is as follows:

“In order to verify the method and model in this article, we selected two typical historical earthquake cases, i.e., the Wenchuan earthquake and the Tohoku earthquake. These two earthquake cases are not included in the data used for model construction. They are characterized by large magnitude and a large number of aftershocks.

In the Tohoku earthquake case, there were 15,062 aftershocks in the study area within one year after the mainshock (Table 1). In the finite fault model used in this article, the focal depth is 20-25 km, and according to the depth distribution of aftershocks at multiple time scales, the number of aftershocks is the largest at the depth of 35-40 km (Figure 4a). In the Wenchuan earthquake case, there were 1,455 aftershocks in the study area within one year after the main shock (Table 1). In the finite fault model used in this paper, the focal depth is 10-15 km. According to the depth distribution of aftershocks at multiple time scales, the number of aftershocks is the largest at 10-15 km depth. Aftershocks are not necessarily distributed the most on the focal depth surface (Figure 4b).”

**Table 1.** The number of aftershocks of typical historical earthquakes on multiple time scales

	1Day	30Days	90Days	180Days	365Days
Tohoku earthquake	1241	7642	10984	13002	15062
Wenchuan earthquake	369	957	1180	1327	1455



**Figure 4.** Multi-time scale aftershock depth distribution curves of (a) the Tohoku earthquake and (a) the Wenchuan earthquake.

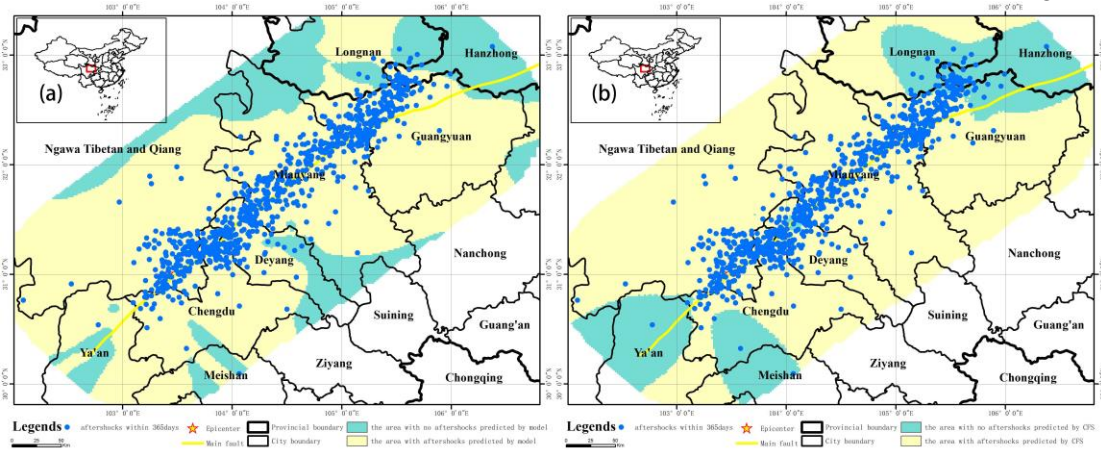
**2.** To obtain a more accurate comparison of aftershock locations with hysteresis model predictions, I recommend to the authors to use relocated earthquake catalogues. It is obvious that the ISC catalog contains artifacts regarding the location of events (see cross-alignments in Fig. 6) but the purpose of the ISC catalog is not to compare aftershock prediction models with physics-based (Coulomb) predictions.

**Reply:** The following content has been added to lines 117-119 in the text:

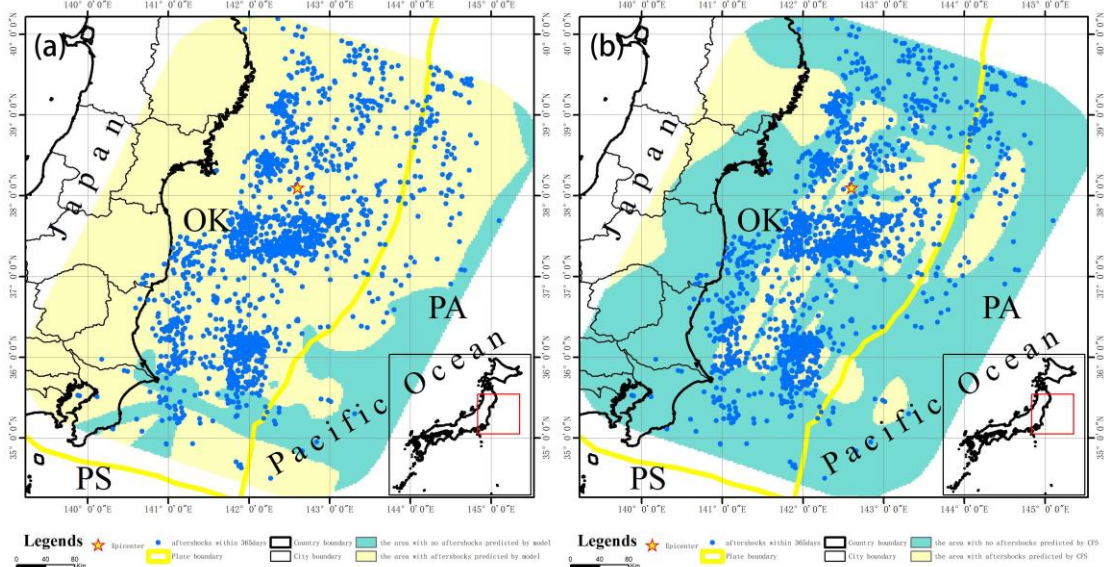
“More precisely, all aftershock data is from Reviewed ISC Bulletin, which is a subset of the ISC Bulletin that has been manually reviewed by ISC analysts. This includes all events that have been relocated by the ISC.”

The following content has been added to the section 4.2 in the text:

“In the previous comparison of the two methods in this article, the sub-cell location where the aftershock was located was used for evaluation, and the sub-cells with aftershocks were marked. To further prove the validity of the model, the actual location of the aftershock event is further used instead of the sub-cell location, and the threshold is set to 0.5. The prediction results were verified on the focal depth of the two earthquake cases to compare the effects of the aftershock hysteresis model and the Coulomb failure stress change method. The evaluation results of the aftershock hysteresis model are as follows: 97.6% of the Wenchuan earthquake aftershocks fall in the area with the predicted value greater than 0.5, and 96% of the Tohoku earthquake aftershocks fall within the area with the predicted value greater than 0.5. The evaluation results based on the Coulomb failure stress change method are as follows: 87.3% of the Wenchuan earthquake aftershocks fall in the area with a predicted value greater than 0.5, and 45.3% of the Tohoku earthquake aftershocks fall within the area with a predicted value greater than 0.5 (Figure 17 and Figure 18). Therefore, if the evaluation is made from the specific location of the aftershock event, the prediction result of the constructed model is still better than the result based on the Coulomb failure stress change method.”



**Figure 17.** The hysteresis model prediction result and the  $\Delta CFS$  prediction result of the Wenchuan earthquake. Figure (a) shows the hysteresis model prediction result and figure (b) shows the  $\Delta CFS$  prediction result.



**Figure 18.** The hysteresis model prediction result and the  $\Delta CFS$  prediction result of the Tohoku earthquake. Figure (a) shows the hysteresis model prediction result and figure (b) shows the  $\Delta CFS$  prediction result.

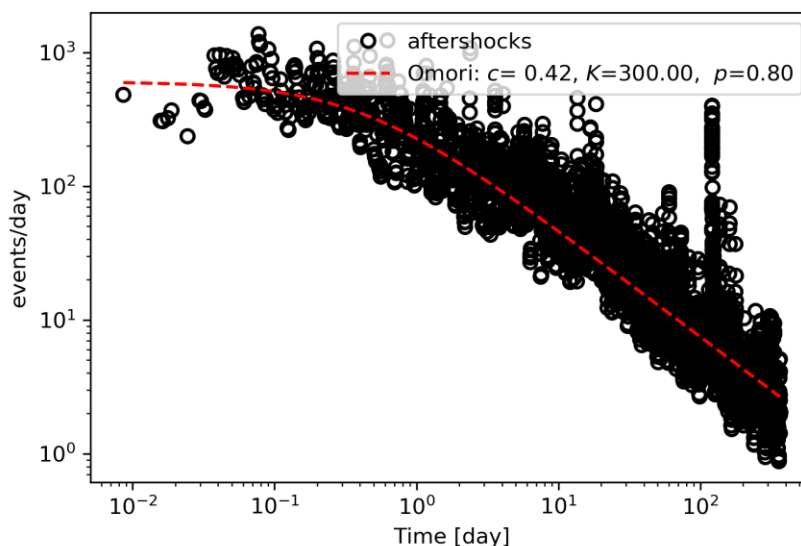
3. The authors compare aftershock decay rates results (hysteresis model) with Omori (Utsu) empirical laws but do not present the actual results of their application in this manuscript. So I would like to see an aftershock decay diagram (Utsu vs hysteresis) for both case studies (Wenchuan and Tohoku).

**Reply:** The following content has been added to lines 379-389 in the text:

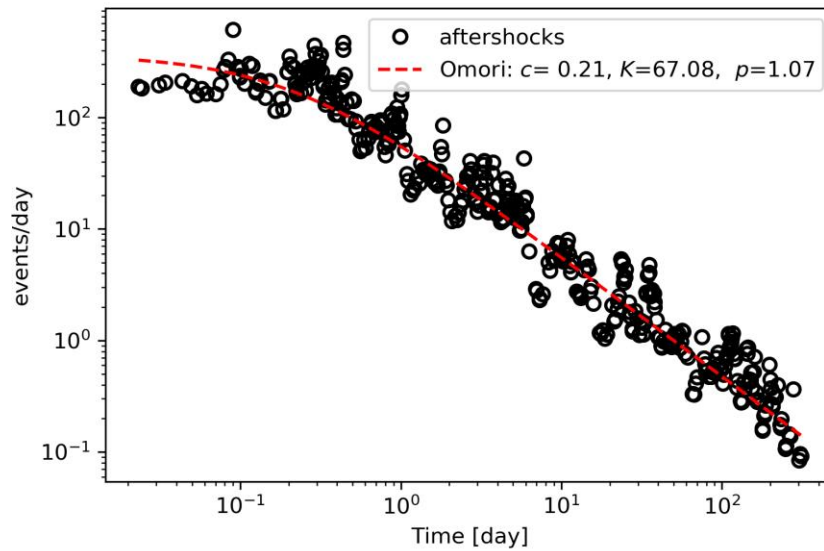
“In order to analyze the model results better, we use the modified Omori formula to analyze the above two earthquake cases. According to the modified Omori formula, the aftershock attenuation of the two earthquake cases of Tohoku earthquake and Wenchuan earthquake are analyzed, and the three coefficients in the attenuation formula of the two earthquake cases are determined, namely  $c$ ,  $K$  and  $p$ . Based on the modified Omori formula, aftershock attenuation maps of two earthquake cases can be obtained (Figure 13 and Figure 14). The modified Omori formula reflects the attenuation trend of the occurrence rate of aftershocks over time. The attenuation equations and derivative functions of the two earthquake cases are shown in Table 2. The revised Omori formula can reflect the attenuation of the aftershock event rate over time. In addition, only the quantitative attenuation formula cannot give a good visualization of the attenuation process in space. From the derivative functions of the attenuation formulas of the two earthquake cases, as time increases, the absolute values of the slope of the derivative functions become smaller and smaller.”

**Table 2.** Modified Omori formula and derived function of typical earthquake cases

Earthquake	Modified Omori formula	Derived function
Tohoku earthquake	$N(t)=300(t+0.42)^{-0.8}$	$N'(t)=-240(t+0.42)^{-1.8}$
Wenchuan earthquake	$N(t)=67.08(t+0.21)^{-1.07}$	$N'(t)=-71.78(t+0.21)^{-2.07}$



**Figure 13.** The modified Omori formula aftershock attenuation curve of Tohoku earthquake

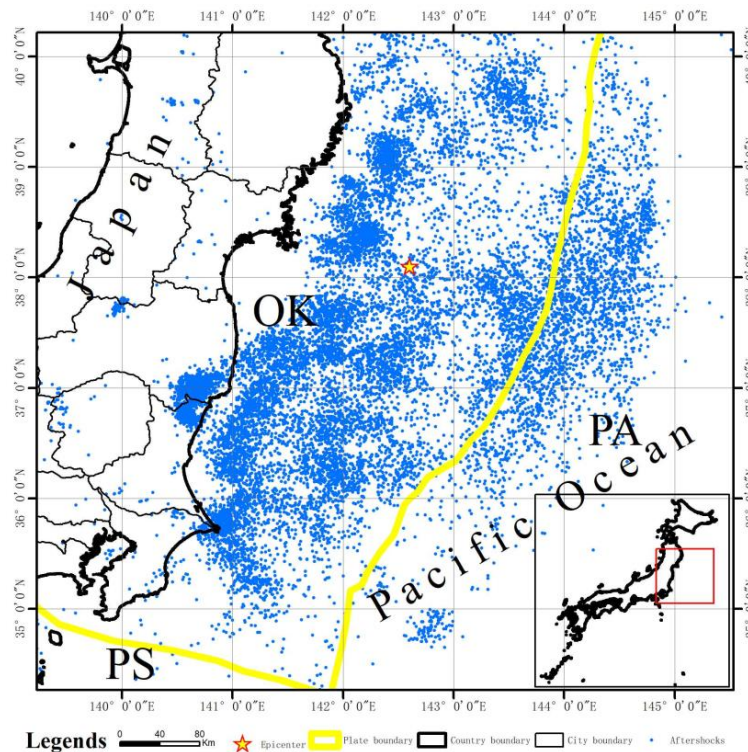


**Figure 14.** The modified Omori formula aftershock attenuation curve of Wenchuan earthquake

4. The 2011 (Tohoku) hysteresis modeling did not take into account the change in the properties of the elastic medium, i.e the 2011 earthquake ruptured the subduction interface between continental and oceanic crust. Given the configuration of the plate geometry offshore Japan, it is easy to count (within the ISC location uncertainties) how many ISC aftershocks occurred on the subduction interface, inside the upper (Eurasia plate) or inside the slab.

**Reply:** The following content has been added to lines 347-351 in the text:

“The Tohoku earthquake occurred due to the subduction of the Pacific plate to the Eurasian plate. The aftershocks of the Tohoku earthquake mainly occurred near the junction of the Eurasian plate and the Pacific plate (Figure 9). They all belong to the earthquake between the plates. The Japanese offshore plate is mainly the Okhotsk plate, which is part of the Eurasian plate. A total of 12,462 (about 82.7%) aftershocks occurred in the Okhotsk plate, and 2,576 (about 17.1%) aftershocks occurred in the Pacific plate.”



**Figure 9.** Aftershocks distribution of the Tohoku earthquake. The blue points in the figure are the projection positions of the aftershocks within a depth of 50 km.

**5.** The hysteresis model fails to predict no aftershock zones close to the mainshock as it occurred in the Tohoku case, i.e in Fig. 8 the area surrounding the mainshock (a few km at all directions; in fact the main asperity and towards the east; see Hayes 2011, <https://link.springer.com/article/10.5047/eps.2011.05.012>) is coloured the same as the areas where aftershocks are predicted.

**Reply:** The following content has been added to lines 238-241 in the text:

“The prediction result given by the model is the approximate range of aftershocks, that is, the position of  $5 \text{ km}^3$  sub-cells where aftershocks may occur. Each cell will have a relative probability of aftershocks, which is between 0-1. Since this probability value is less than 1 and greater than 0, it does not necessarily mean that aftershocks will occur or that aftershocks will definitely not occur.”

The following content has been added to lines 244-245 in the text:

“At locations close to the mainshock, the probability value predicted by the model is more likely to be greater than the threshold 0.5 set in the article.”

The following content has been added to lines 246-249 in the text:

“In this paper, the evaluation method based ROC curve is used, and all possible thresholds are taken into account to evaluate the model and physical model in the text. According to the ROC curves of the two methods, the effect of the hysteresis model in the article may be poor under some thresholds, but its AUC value is much greater than that of the physical model.”

**6.** The hysteresis model overpredicts aftershocks, see 1-day etc. spatial distribution for the Wenchuan case (Fig. 5) where over 50% of the predicted area to be covered by aftershocks is in fact void of aftershocks. Indeed, aftershocks are distributed parallel to the main rupture and most of them occur on the hangingwall of the thrust and below the cos-seismic slip surface (Tong et al. 2010; <https://agupubs.onlinelibrary.wiley.com/doi/10.1029/2009JB006625>) which is in good agreement with physics-based models (see for example the Athens 1999 earthquake case <https://agupubs.onlinelibrary.wiley.com/doi/full/10.1029/2007JB005504> or the L’Aquila 2009 earthquake case <https://onlinelibrary.wiley.com/doi/full/10.1111/j.1365-246X.2011.05279.x>)

**Reply:** The following content has been added to section 4.1 (lines 413-425) in the text:

“Finally, a supplementary explanation is given to the phenomenon that the area predicted by the model is larger than the actual aftershock location. The prediction results of the hysteresis model are the likely locations of aftershocks at different time scales after the mainshock. At each location, the predicted value is a number between 0-1, which represents the probability of aftershocks that may occur at that location. We take the prediction threshold as 0.5, and think that when the prediction value is greater than 0.5, an earthquake is more likely to occur in the sub-cell with a volume of 5 km<sup>3</sup>. In fact, when the predicted value is less than 0.5, there is also the possibility of aftershocks, but this possibility is relatively small. For the prediction model, if the threshold increases, the predicted coverage area of aftershocks is gradually reduced, but as the increase of threshold, the local area prediction will also produce more errors and deviations. In addition, if we focus on some aftershocks far away from the fault, we will find that aftershocks are also likely to occur at locations far away from the fault on multiple time scales, but the density of aftershocks is relatively small at these locations. Therefore, if these sparsely distributed aftershocks are taken into account, the predicted aftershock coverage area is wider than the area where the aftershocks are concentrated along the fault.”

**7.** A complication regarding the Tohoku case is the Mw=7.9 aftershock [https://web.archive.org/web/20110412003136/http://neic.usgs.gov/neis/bulletin/neic\\_iiav.html](https://web.archive.org/web/20110412003136/http://neic.usgs.gov/neis/bulletin/neic_iiav.html) and its own aftershocks. That dataset should be added in Fig. 9 and compared with hysteresis model predictions.

**Reply:** The Mw7.9 earthquake is considered as an aftershock of the Tohoku earthquake, and subsequent earthquakes are also considered as aftershocks of the Tohoku earthquake. In addition to the aftershock of magnitude 7.9, there are also several earthquakes with magnitude 7 or higher. (Toda S , Lin J , Stein R S . Using the 2011 M w 9.0 off the Pacific coast of Tohoku Earthquake to test the Coulomb stress triggering hypothesis and to calculate faults brought closer to failure[J]. Earth Planets & Space, 2011, 63(7):39.) These earthquakes are also considered as aftershocks of the earthquake.

**8.** It seems that the DNN shows a marked decay in the expansion rate at about 30-days following the mainshock. I suggest to investigate this pattern further and provide a more quantitative approach, i.e. is it 29, 30 or 31 days etc? is it a function of the magnitude of the mainshock?

**Reply:** According to the modified Omori formula  $n(t) = K(t + c)^{-p}$  and the K, c, and p values of the two earthquake cases. The specific attenuation formulas for two earthquake cases can be obtained. Take the Tohoku earthquake as an example, its K=300, c=0.42, p=0.8. The attenuation curve is respectively derived at 1 d, 30 d, 90 d, 180 d, and 365 d, and the N(t) is obtained as the Table A, and then the attenuation rate is calculated. The conclusion obtained is similar to the hysteresis model, and the decay rate is the fastest at the time scale of 30 d. When the time is 29 d or 31 d, the decay rate is not much different from 30 d. Since the derivative function of the attenuation curve is a decreasing function, its attenuation speed decreases with time. So far, it has not been found to be related to the strength of the main shock.

This comment and the third comment are combined together to be revised in Section 4.1. The following content has been added to section 4.1 (lines 389-391) in the text to explain the above:

“If the aftershock attenuation rate of each earthquake case is calculated on all time scales, it can be found that it gradually decreases as the time scale increases.”

Table A The attenuation of aftershocks of the Tohoku earthquake on multiple time scales

	1Day	30Days	90Days	180Days	365Days
N (t)	127.67	75.80	50.61	40.83	33.58
Change Rate	/	0.406	0.332	0.193	0.178

**Minor comments (RC2) :**

**1.** Line 43: Stein et al., 1983 should be corrected to Stein and Lisowski,1983.

**Reply:** Stein et al. at line 43 of the original text have been corrected to Stein and Lisowski, 1983.

2. Line 50: the reference to Phoebe et al. should be corrected according to <https://www.nature.com/articles/s41586-018-0438-y>. The same comment applies to line 421 of the manuscript.

**Reply:** The references at line 50 and line 421 of original manuscript have been corrected. The revised content is at line 52 and line 497 of the new version of the manuscript.

The revised references are as follows:

DeVries, P.M.R., Viégas, F., Wattenberg, M. and Meade, B.J.: Deep learning of aftershock patterns following large earthquakes, *Nature*, 560(7720), 632-634, <https://doi.org/10.1038/s41586-018-0438-y>, 2018.

3. Line 65-67: These views treat the crust as a continuous body while it is widely known that the crust is cross-cut by discontinuities/dislocations where tectonic strain is accumulating.

**Reply:** The whole crust is indeed a discontinuity, but it can be approximated as a continuum in some local areas. The content of lines 65-67 in the original text has been revised as follows. "When the stress intensity is greater than the bearing stress intensity of the crust, the crust will lose its stability. Discontinuous crust will produce displacement at the location of its fracture, forming an earthquake. Sometimes fracture surfaces are produced in some locally continuous areas. At the same time, the elastic strain energy stored in the earth's crust will be released in this process". The revised content is at lines 66-70 of the new version of the manuscript.

4. Line 169: The paper by Yi et al. (2011) deals with the period before the Wenchuan mainshock.

**Reply:** This reference is prone to ambiguity, and the citation of the Chinese reference has been deleted at lines 168-169 of the original manuscript.

5. Line 282: ...Chengdu, Mianyang, Deyang, Guangyuan and Ngawa... Are these provinces? regions? towns?

**Reply:** The "Chengdu", "Mianyang", "Deyang", "Guangyuan" and "Ngawa" mentioned at line 282 of the text are all Chinese city names, which are located around the epicenter of the Wenchuan earthquake. The revised content is at line 314 of the new version of the manuscript.

#### **Minor comments (RC1) :**

1. Add the references Kapetanidis et al. (2015) and Papadimitriou et al. (2018) regarding the spatial and temporal evolution of earthquake sequences.

**Reply:** Corresponding references have been added at line 57 in the text.

Kapetanidis, V., Deschamps, A., Papadimitriou, P., Matrullo, E., Karakonstantis, A., Bozionelos, G., Kaviris, G., Serpetsidaki, A., Lyon-Caen, H., Voulgaris, N., Bernard, P., Sokos, E. and Makropoulos, K.: The 2013 earthquake swarm in Helike, Greece: Seismic activity at the root of old normal faults, *Geophys. Journ. Int.*, 202, 2044–2073, <https://doi.org/10.1093/gji/ggv249>, 2015.

Papadimitriou, P., Kassaras, I., Kaviris, G., Tselentis, G.A., Voulgaris, N., Lekkas, E., Chouliaras, G., Evangelidis, C., Pavlou, K., Kapetanidis, V., Karakonstantis, A., Kazantzidou-Firtinidou, D., Fountoulakis, I., Millas, C., Spingos, I., Aspiotis, T., Moumoulidou, A., Skourtsos, E., Antoniou, V., Andreadakis, E., Mavroulis, S., and Kleanthi, M.: The 12th June 2017 Mw=6.3 Lesbos earthquake from detailed seismological observations, *Journal of Geodynamics*, 115, 23–42, 2018.

2. Page 2, Line 64: Add the references Kaviris et al. (2017) and Kaviris et al. (2018) regarding stress accumulation

**Reply:** Two articles on stress accumulation have been added to line 65 of the text.

Kaviris, G., Spingos, I., Kapetanidis, V., Papadimitriou, P., Voulgaris, N. and Makropoulos, K.: Upper crust seismic anisotropy study and temporal variations of shear-wave splitting parameters in the Western Gulf of Corinth (Greece) during 2013, *Physics of the Earth and Planetary Interiors*, 269, 148-164, <https://doi.org/10.1016/j.pepi.2017.06.006>, 2017.

Kaviris, G., Millas, C., Spingos, I., Kapetanidis, V., Fountoulakis, I., Papadimitriou, P., Voulgaris, N. and Makropoulos, K.: Observations of shear-wave splitting parameters in the Western Gulf of Corinth focusing on the 2014 Mw=5.0 earthquake, *Physics of the Earth and Planetary Interiors*, 282, 60-76, <https://doi.org/10.1016/j.pepi.2017.06.006>, 2018.

3. Page 3, Line 89: Add the reference Mai and Thingbaijam (2014) regarding SRCMOD.

**Reply:** A reference to SRCMOD has been added at line 89 in the text.

Mai, P.M., and Thingbaijam, K.K.S.: SRCMOD: An online database of finite-fault rupture models, *Seismological Research Letters*, 85(6), 1348-1357, <https://doi.org/10.1785/0220140077>, 2014.

4. Page 3, Line 104: Add the reference Bondár and Storchak (2011) regarding the ISC catalogue.

**Reply:** A reference to the ISC earthquake catalog has been added to line 106 of the text.

Bondár, I. and Storchak, D.A.: Improved location procedures at the International Seismological Centre, *Geophys. J. Int.*, 186, 1220-1244, <https://doi.org/10.1111/j.1365-246X.2011.05107.x>, 2011.

5. What is FCNN? The authors must explain in detail what this acronym is.

**Reply:** The full name of FCNN is “fully convolutional neural network”. This is an error in expression, and I am grateful to the reviewer for his comment here. The convolution operation is not used in the model structure in the article, and all "FCNN" have been replaced by "DNN".

The explanation of the abbreviation "DNN" has been mentioned in the 8th reply.

6. Page 4, Line 167: Add the reference Gutenberg and Richter (1944) regarding the G-R law.

**Reply:** A reference to the G-R law has been added at line 167 in the text.

Gutenberg, B. and Richter, C.F.: Frequency of earthquakes in California, *Bull. Seism. Soc. Am.*, 4, 185–188, <https://doi.org/10.1038/156371a0>, 1944.

7. Page 5, Lines 168-169: “A low b value is related to a high stress background, i.e., 168 the b value is relatively low during strong aftershock activity (Yi et al., 2011).” The b value of aftershocks is widely known to have high values. The work you cite is in Chinese and obviously I am not able to read it. I suggest that the authors delete this sentence.

**Reply:** This reference is prone to ambiguity, and the citation of the Chinese reference has been deleted at lines 168-169 in the article.

8. Figure 1: What is DNN? The authors must explain in detail what this acronym is.

**Reply:** The following content has been added to lines 161-164 in the text:

The abbreviation DNN mentioned in Figure 1 has been explained in the text. The full name of DNN is “deep neural network”. Neural network is based on the extension of the perceptron, and DNN can be understood as a neural network with many hidden layers. Multi-layer neural network and deep neural network actually refer to one thing. DNN is sometimes called Multi-Layer perceptron (MLP).

9. Page 5, Line 211: What is ROC? The authors must explain in detail what this acronym is.

**Reply:** The abbreviation ROC mentioned in line 211 has been explained in the text. The following content has been added to lines 217-219 in the text:

The full name of ROC is “receiver operating characteristic curve”, which considers the results obtained under a variety of different criteria. In this article, the ROC curve can reflect the prediction results of the model under multiple thresholds.



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2 **Deep Learning of Aftershock Hysteresis Effect Based on Elastic Dislocation Theory**  
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13 **Abstract:** This paper selects fault source models of typical earthquakes across the globe and uses a volume  
14 extending 100 km horizontally from each mainshock rupture plane and 50 km vertically as the primary area  
15 of earthquake influence for calculation and analysis. A deep neural network is constructed to model the  
16 relationship between elastic stress tensor components and aftershock state at multiple time scales, and the  
17 model is evaluated. Finally, based on the aftershock hysteresis model, the aftershock hysteresis effect of the  
18 Wenchuan earthquake in 2008 and Tohoku earthquake in 2011 is analyzed, and the aftershock hysteresis  
19 effect at different depths is compared and analyzed. The correlation between the aftershock hysteresis effect  
20 and the Omori formula is also discussed and analyzed. The constructed aftershock hysteresis model has a  
21 good fit to the data and can predict the aftershock pattern at multiple time scales after a large earthquake.  
22 Compared with the traditional aftershock spatial analysis method, the model is more effective and fully  
23 considers the distribution of actual faults, instead of treating the earthquake as a point source. The expansion  
24 rate of the aftershock pattern is negatively correlated with time, and the aftershock patterns at all time scales  
25 are roughly similar and anisotropic.  
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27 **Key Words:** Okada dislocation theory; **DNN**; aftershock hysteresis effect; aftershock pattern; the Omori formula.  
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39 After the occurrence of strong earthquakes, there is often a large number of aftershocks, which constitute  
40 the aftershock sequence. The aftershocks can lead to new damage to the area affected by the main earthquake.  
41 Therefore, it is necessary to study aftershocks and stimulate further discussion. Stein et al. systematically  
42 discussed the influence of the static stress of the main earthquake on the spatial distribution of aftershocks  
43 (Stein and Lisowski, 1983). A large number of earthquake examples show that the change in Coulomb stress  
44 produced by the main earthquake is greater than 0.01 Mpa, which readily triggers aftershocks (Harris, 1998;  
45 Toda, 2003; Ma et al., 2005). In addition to the Coulomb failure stress change method, the deep learning  
46 method is a new emerging method that can address some questions of physical mechanism. The prediction  
47 of the aftershock sequence based on the stress state of the crustal medium is also problematic and is a focus  
48 of source physics. The neural network has the characteristics of a black box, which can avoid the complicated  
49 physical mechanisms when predicting the aftershock pattern (Brodi, 2001; Moustira et al., 2011). In 2018,  
50 Phoebe et al. proposed a deep neural network to study the spatial distribution of aftershocks following the  
51 main earthquake. A neural network classifier based on stress variation was designed by the authors to  
52 determine the possibility of a spatial distribution of aftershocks (DeVries et al., 2018). This idea combines  
53 traditional physical analysis mechanisms with data-driven machine learning mechanisms, which can improve  
54 our understanding of the complex physical mechanism of earthquakes. Kong et al. also analyzed its necessity  
55 (Kong et al., 2019).

56 The distribution of aftershocks is not only related to spatial changes but also to temporal changes  
57 (Kapetanidis et al. 2015; Papadimitriou et al. 2018), which may be related to the actual properties of the  
58 medium, i.e., the viscoelastic medium and the porous two-phase medium are closer to the actual geological  
59 medium than the elastic medium. The hysteresis effect of the viscoelastic medium on stress change, the effect  
60 of readjustment of pore fluid on stress change and other time-dependent medium properties are equally  
61 important to post-earthquake stress change, which is an issue that is receiving increasing attention in post-  
62 earthquake effects research. In the study of the propagation of a seismic wave and its focal mechanism, the  
63 earth medium is assumed to be a completely elastic body. Prior to the main earthquake, the crustal medium  
64 will be continuously deformed due to the long-term and slow action of tectonic stress. In the process of stress  
65 accumulation (Kaviris et al. 2017; Kaviris et al. 2018), the strain energy of the crustal medium will be  
66 accumulated continuously and be stored in the crust in the form of elastic strain energy. **When the stress  
67 intensity is greater than the bearing stress intensity of the crust, the crust will lose its stability. Discontinuous  
68 crust will produce displacement at the location of its fracture, forming an earthquake. Sometimes fracture  
69 surfaces are produced in some locally continuous areas. Simultaneously, the elastic strain energy stored in  
70 the earth's crust will be released in this process.** After the occurrence of the main earthquake, the source body  
71 and its surrounding medium will return to the steady state. However, because the main earthquake causes a  
72 sudden change in the stress state of the medium, the accumulated elastic strain energy in the entire stress field  
73 cannot be released completely at once, but it will continue to be accumulated in other areas, and it will  
74 ultimately be released in the form of an aftershock sequence. Therefore, there is a hysteresis effect between  
75 the aftershock and the main earthquake (Gu et al., 1979). Omori and Utsu proposed the time distribution  
76 formulas of aftershocks. However, the formulas are based on statistical significance, which cannot reflect the  
77 underlying reason for the change in aftershock distribution over time, and cannot spatialize the temporal  
78 change in aftershocks (Omori, 1894; Utsu, 1961). Many scholars also analyzed the spatial-temporal  
79 distribution characteristics of aftershocks by building a model. For example, the ETAS model proposed by  
80 Ogata (Ogata, 1988), the KJ model proposed by Kagan et al. (Kagan et al., 1994), and the model improved  
81 by Ogata based on ETAS (Ogata, 1998). In 2009, Wong et al. proposed a joint distribution model that  
82 parameterized the aftershock location based on the distance and relative angle between aftershocks and  
83 mainshocks (Wong et al., 2009). All the above spatial-temporal models of aftershocks are all based on point-  
84 source earthquakes, while the actual earthquake sources are faults. So the distribution of the main fault zone  
85 should be considered when predicting the aftershock pattern. Some spatial models also ignore the relative

86 angle or distance between mainshock and aftershocks. These deficiencies are taken into account when  
87 building the new prediction model.

88 In this paper, a method based on deep neural networks is proposed to analyze the probability distribution  
89 of aftershocks following the main earthquake on multiple time scales, which indirectly reflects the hysteresis  
90 effect of aftershocks at different positions under the stress field of the main earthquake. The SRCMOD fault  
91 source model database and earthquake events are used as raw data (Mai and Thingbaijam, 2014). First, the  
92 analysis area of each main earthquake is gridded, and then the aftershocks of each main earthquake are  
93 entered into the grids. The DC3D displacement model is used to calculate the components of stress change  
94 tensor for each cell. Based on this grid, the results of the calculation are used as the input to train the neural  
95 network, and the aftershock hysteresis model is then obtained. As the application analysis cases for the model,  
96 the Wenchuan and the Tohoku earthquakes are not included in the training set or the validation set. Finally,  
97 the spatial distribution and expansion characteristics of the aftershock hysteresis model are obtained for both  
98 the horizontal and vertical directions. In addition, we focus on two important concepts, namely the “hysteresis  
99 effect” and the “aftershock pattern”. The “hysteresis effect” refers to the change in spatial distribution of  
100 aftershocks with the change of time scale. The “aftershock pattern” refers to the spatial distribution of  
101 aftershocks at a certain time.

## 102 **2 Data and Methods**

### 103 2.1 Data

#### 104 2.1.1 Raw data

105 Two types of data are used in this paper, SRCMOD finite fault data and the ISC (International  
106 Seismological Centre) earthquake catalogue (Bondár and Storchak, 2011).

107 The inversion of finite fault source data facilitates a better understanding of the complexity of the  
108 earthquake rupture process. Although the spatial resolution of the model is low, it can provide information  
109 on deep seismic slip and fault evolution over time. Therefore, the finite fault model is an important means to  
110 further study the mechanics and kinematics of the process of earthquake fracture. The online SRCMOD  
111 database provides the inversion results for many typical earthquakes from 1906 to present. These results are  
112 uploaded by seismologists globally after the main earthquake through inversion. Because the earth's crust is  
113 used as an elastic medium in the calculation of coseismic displacement stress, we don't consider the impact  
114 of the background of each earthquake. There are 19 finite fault source models used in this analysis: 15 are  
115 used as training data and 4 are used as validation data.

116 The aftershocks following each main earthquake are obtained from the International Earthquake Center  
117 (ISC). **More precisely, all aftershock data is from Reviewed ISC Bulletin, which is a subset of the ISC  
118 Bulletin that has been manually reviewed by ISC analysts. This includes all events that have been relocated  
119 by the ISC.** For the mainshock cases in this paper, the aftershocks within 1 d, 30 d, 90 d, 180 d, and 365 d  
120 and within a volume extending 100 km horizontally from each mainshock rupture plane and 50 km vertically  
121 are used for analysis of the aftershock sequences.

#### 122 2.1.2 Data processing

123 After acquiring the limited fault source data and aftershock sequence data, it is necessary to process  
124 them to create the final data for analysis. First, the volume extending 100 km horizontally from each  
125 mainshock rupture plane and 50 km vertically is divided into a grid of 5 km<sup>3</sup> cubes. Five time scales of  
126 aftershock sequence data are then entered into each cube. The aftershock state of a cell with an aftershock is  
127 defined as 1 and that of a cell without an aftershock is defined as 0. The final training data has 15 aftershock  
128 sequences containing 318,210 subcells, and the validation data has 4 aftershock sequences containing 89,900  
129 subcells.

## 131 2.2.1 Okada elastic dislocation theory

132 The inversion analysis of seismogenic faults after earthquakes is a popular topic in seismology, while  
 133 in the process of inversion, the application of dislocation theory and models is essential. The dislocation  
 134 model was first used to analyze fault movement in 1958 (Steketee, 1958). Steketee introduced the dislocation  
 135 theory into the study of seismic deformation fields, and described the relationship between discontinuous  
 136 displacement on the dislocation plane and the displacement field in an isotropic medium. Okada summarized  
 137 the existing research in 1985 and proposed a formula for the calculation of displacement in an isotropic,  
 138 uniform elastic half space. This formula can be used to calculate the coseismic deformation caused by any  
 139 fault in the elastic half space (Okada, 1985, 1992). Okada dislocation theory systematically summarizes the  
 140 relationship between point source dislocation and surface deformation caused by rectangular dislocation. The  
 141 crustal movement is typically slow, and the crustal medium generally shows viscosity and plasticity over a  
 142 long time scale. At present, the Okada dislocation theory is the most widely used dislocation theory and is  
 143 often used in combination with InSAR technology. InSAR is used to monitor the surface coseismic  
 144 deformation field, and the Okada theory is then used to conduct fault slip inversion (Shan et al., 2017; Wang  
 145 et al., 2018; Cheng et al., 2019; Zhao, 2019).

146 Therefore, the Okada elastic dislocation theory is used to calculate the coseismic strain stress field of  
 147 the main earthquake in the paper. The Okada elastic dislocation model, which ignores the influence of  
 148 stratification in the earth's medium, is widely used in the study of coseismic deformation of the seismic signal  
 149 source. Okada gives the analytical expression of the partial derivative  $\frac{\partial u_i}{\partial x_j}$  ( $i, j = 1, 2, 3$ ) of the displacement  $\mathbf{u}$   
 150 of the finite fault plane in the elastic half space (Okada, 1992). This expression is used to obtain the strain  
 151 tensor  $\boldsymbol{\varepsilon}$  as

$$152 \quad \varepsilon_{ij} = \frac{1}{2} \left( \frac{\partial u_i}{\partial x_j} + \frac{\partial u_j}{\partial x_i} \right)$$

153 and the lamé constant in the linear solid medium is used to obtain the surrounding stress change tensor  $\boldsymbol{\sigma}$  as

$$154 \quad \sigma = \begin{bmatrix} \lambda tr[\boldsymbol{\varepsilon}] + 2\mu\varepsilon_{xx} & 2\mu\varepsilon_{xy} & 2\mu\varepsilon_{xz} \\ 2\mu\varepsilon_{yx} & \lambda tr[\boldsymbol{\varepsilon}] + 2\mu\varepsilon_{yy} & 2\mu\varepsilon_{yz} \\ 2\mu\varepsilon_{zx} & 2\mu\varepsilon_{zy} & \lambda tr[\boldsymbol{\varepsilon}] + 2\mu\varepsilon_{zz} \end{bmatrix}$$

155 where  $\lambda$  and  $\mu$  are lamé constants. In this paper, the crustal medium is regarded as a Poisson body, and the  
 156 two lamé coefficients are both  $3.0 \times 10^{10}$  Pa. The parameter  $tr[\boldsymbol{\varepsilon}]$  is the trace of strain tensor  $\boldsymbol{\varepsilon}$ .

## 157 2.2.2 DNN

158 To analyze the hysteresis effect of aftershocks, it is necessary to establish a model that can predict the  
 159 damage modes of aftershocks at multiple time scales. We constructed a fully connected DNN to simulate the  
 160 relationship between the change value of the elastic stress tensor and aftershock and to explain the hysteresis  
 161 effect of aftershocks. **The full name of DNN mentioned above is “deep neural network”. Neural network is  
 162 based on the extension of the perceptron, and DNN can be understood as a neural network with many hidden  
 163 layers. Multi-layer neural network and deep neural network actually refer to one thing. DNN is sometimes  
 164 called Multi-Layer perceptron (MLP).** The network established here is a network with six hidden layers.  
 165 Except for the second hidden layer, which has 100 neurons, the other five hidden layers have 50 neurons.  
 166 The input layer dimension of the entire network is 12. Its input eigenvalue is the combination of the absolute  
 167 value of six independent components of the elastic stress at the center of each subunit and the negative number  
 168 of the absolute value, for a total of 12 inputs.

169 Then we analyze the correlation between aftershocks and stress change, which is closely related to the  
 170 inputs of **DNN**. At present, the research on aftershocks is primarily based on statistical methods, and the  
 171 research content primarily focuses on the distribution of aftershock strength and time attenuation. The

172 intensity distribution of aftershocks follows the G-R relationship  $\log N = a - bM$ , where  $M$  is the magnitude,  
173  $N$  is the number of aftershocks with magnitude greater than or equal to  $M$ , and  $a$  and  $b$  are the scale  
174 coefficients (Gutenberg and Richter, 1944). The value of  $b$  generally varies from 0.6 to 1.1 (Utsu, 2002), and  
175 its value is related to the regional stress state (Mogi, 1962; Scholz, 1968).

176 The study of time attenuation of aftershocks begins with the statistical description of frequency  
177 attenuation characteristics of the aftershock sequence using the Omori formula (Omori, 1894). In 1961, Utsu  
178 et al. proposed that the frequency attenuation rate of the actual aftershock sequence is faster than that  
179 calculated by the Omori formula (Utsu, 1961) and proposed the modified Omori formula  $n(t) = K(t + c)^{-p}$ ,  
180 where  $n(t)$  is the aftershock frequency per unit time,  $c$  is a constant, and  $p$  is the attenuation coefficient of the  
181 aftershock sequence. For a large number of aftershock sequences, the modified Omori formula accurately  
182 describes the time attenuation of aftershocks. In the modified Omori formula, the  $c$  value is related to the  
183 incomplete recording time after the main earthquake (Kagan et al., 2005), which can provide a physical  
184 explanation for the aftershock attenuation after the main earthquake (Lindman et al., 2005). This value is also  
185 related to the rupture mode of the main earthquake (Narteau et al., 2009), i.e., the aftershock attenuation is  
186 affected by the stress state and related to the stress change.

187 The stress change caused by the main earthquake can be calculated by the Coulomb fracture stress  
188 change, which is also the most widely used analytical method at present. The change in Coulomb stress  
189 produced by the main earthquake will trigger the stress of the following aftershocks (Harris, 1998). Some  
190 seismologists believe that if the change in Coulomb fracture stress is positive around the main earthquake, it  
191 will promote fault movement and trigger aftershocks; if the change in Coulomb fracture stress is negative, it  
192 will inhibit fault movement, and the probability of triggering an aftershock is reduced (Lin, 2004; Harris,  
193 1998; Han, 2003). According to the research of DeVries et al., the Coulomb fracture stress change is an  
194 inadequate explanation for aftershocks, and the relationship between the positive and negative values of stress  
195 change and the triggering of aftershocks requires further exploration. DeVries et al. modeled the relationship  
196 between stress change and aftershock triggering by training a neural network (DeVries et al., 2018). The  
197 variation in Coulomb fracture stress depends on the geometric properties and coseismic dislocations of the  
198 source fault (King et al., 1994; Zhu et al., 2009). Therefore, the change value of the stress tensor, which is  
199 closely related to the dislocation of the same earthquake, can be used as the aftershock variable to build the  
200 model.

201 In addition, Meade et al. tested many stress-related indicators in 2017 to explain the influence of the  
202 coseismic stress field of the main earthquake on the location of aftershocks. Their results show that the sum  
203 of the absolute values of the six independent components of the stress tensor, the von Mises yield criterion  
204 and the maximum shear stress produce the best interpretation. These variables can be obtained by the  
205 combination of the absolute values of the six independent components of the stress tensor and the negative  
206 values of the absolute values. Therefore, these variables are also used as the network input (Meade et al.,  
207 2017; Mignan et al., 2019). The input components are expressed as  $|\sigma_{xx}|$ ,  $|\sigma_{xy}|$ ,  $|\sigma_{xz}|$ ,  $|\sigma_{yy}|$ ,  $|\sigma_{yz}|$ ,  $|\sigma_{zz}|$ ,  
208  $-|\sigma_{xx}|$ ,  $-|\sigma_{xy}|$ ,  $-|\sigma_{xz}|$ ,  $-|\sigma_{yy}|$ ,  $-|\sigma_{yz}|$  and  $-|\sigma_{zz}|$ . The dimension of the network output layer is 1 and the  
209 output value is the relative probability of aftershocks in each cell, which is between 0 and 1. The dropout  
210 layer is also set after each hidden layer. The dropout layer is set to alleviate the occurrence of over-fitting in  
211 the model training process, which can have a regularization effect. In addition, the activation function of each  
212 hidden layer in the network is a Relu function, and the optimizer is Adadelta. The activation function of the  
213 output layer is a sigmoid function, which maps variables between 0 and 1 (Figure 1). Five scales are analyzed  
214 in this paper. Five neural networks are constructed to train five submodels. Each submodel is independent  
215 from the others and does not affect the others.

### 216 2.2.3 Model evaluation metric

217 The ROC curve and the AUC are used to evaluate the model. The full name of ROC is “receiver  
218 operating characteristic curve”, which considers the results obtained under a variety of different criteria. In  
219 this article, the ROC curve can reflect the prediction results of the model under multiple thresholds. The AUC

220 (area under curve) is defined as the area enclosed by the coordinate axis under the ROC curve, and the value  
221 of the area cannot be greater than 1. Because the ROC curve is generally located above the straight line  $y =$   
222  $x$ , the AUC value range is between 0.5 and 1. Based on the AUC value, we can interpret the accuracy of the  
223 classifier. When  $AUC = 1$ , the classifier is essentially a perfect classifier, whereas when  $AUC = 0.5$ , the  
224 classifier is making a random assessment and the obtained model is nonsensical. For the training samples in  
225 this paper, there is a class imbalance between positive and negative samples. A characteristic of the ROC  
226 curve is that when the distribution of positive and negative samples in the test set changes, the ROC curve  
227 can remain unchanged. The closer the ROC curve is to the Y-axis and  $y = 1$ , i.e., the higher the AUC value  
228 of the classifier, the greater the classification accuracy. Generally, when the AUC is less than 0.6, the  
229 accuracy of the classifier is poor; when the AUC is less than 0.75, the accuracy of the classifier is moderate;  
230 and when the AUC is greater than 0.75, the accuracy of the classifier is good. The output of the model in this  
231 paper is a probability value between 0 and 1. When the ROC curve is used to evaluate the model, it is  
232 conducted at five time scales, and the model under each time scale is evaluated as a two-classification  
233 problem.

### 234 3 Results

#### 235 3.1 Evaluation of the aftershock hysteresis model

236 The aftershock hysteresis model under multiple time scales is obtained by using the neural network to  
237 train the constructed training dataset. In this paper, five submodels are trained, and the final hysteresis model  
238 is composed of five submodels. **The prediction result given by the model is the approximate range of**  
239 **aftershocks, that is, the position of  $5 \text{ km}^3$  sub-cells where aftershocks may occur. Each cell will have a relative**  
240 **probability of aftershocks, which is between 0-1. Since this probability value is less than 1 and greater than**  
241 **0, it does not necessarily mean that aftershocks will occur or that aftershocks will definitely not occur.** The  
242 output value of the neural network in each cell is binarized with a threshold value of 0.5. A cell with a  
243 predicted value greater than 0.5 is assigned as 1, and a cell with a predicted value less than 0.5 is assigned as  
244 0. **At locations close to the mainshock, the probability value predicted by the model is more likely to be**  
245 **greater than the threshold 0.5 set in the article.**

246 **In this paper, the evaluation method based ROC curve is used, and all possible thresholds are taken into**  
247 **account to evaluate the model and physical model in the text. According to the ROC curves of the two**  
248 **methods, the effect of the hysteresis model in the article may be poor under some thresholds, but its AUC**  
249 **value is much greater than that of the physical model.** Based on the trained aftershock hysteresis model, the  
250 aftershock patterns are predicted for the Wenchuan earthquake at multiple time scales, and the ROC curves  
251 are obtained for the different time scales. The AUC values of the five time scales are all above 0.8, in both  
252 the training and validation sets, and some are close to 0.9. The AUC values of the training set are all higher  
253 than those of the validation set for the different time scales. The neural network designed by DeVries et al  
254 (2018) is used for aftershock prediction. The AUC value of the training model on the validation set is 0.849  
255 (Figure 2). In this paper, the AUC value of each submodel on the validation set is similar to the research  
256 results of DeVries et al (2018). Therefore, the model achieves good prediction results at different time scales.

257 For comparison, we forecast the aftershock location based on the static Coulomb failure stress change.  
258 Considering the influence of shear stress, normal stress and friction coefficient on the active fault plane,  
259 Coulomb failure stress change ( $\Delta\text{CFS}$ ) can be expressed as

$$260 \Delta\text{CFS} = \Delta\tau + \mu\Delta\sigma$$

261 where  $\mu$  is the apparent friction coefficient,  $\Delta\sigma$  is the normal stress on the fault plane and  $\Delta\tau$  is the shear  
262 stress in the direction of fault slip. Based on previous studies, the friction coefficient in this paper is 0.4 (King  
263 et al., 1994; Wan et al., 2004). Numerous studies have shown that aftershocks will occur when  $\Delta\text{CFS}$  is  
264 greater than  $+0.01\text{Mpa}$ . In order to compare and analyze the output of DNN, we need to transform the  $\Delta\text{CFS}$   
265 to 0-1. Similar to the last layer of DNN, the variation function adopts a variant of the sigmoid function as  
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$$\Delta CFS' = \frac{1}{(1 + e^{-10(\Delta CFS - 0.01)})}$$

where  $\Delta CFS'$  represents the Coulomb failure stress change after sigmoid transformation. We know that the traditional sigmoid function is similar to the jump function. In the analysis process of this paper, 0.01Mpa is the threshold value to determine whether aftershocks are generated, so the parameter 0.01 in the formula is the translation coefficient, that is, the traditional sigmoid function shifts 0.01Mpa to the right. Parameter 10 is the zoom coefficient, which compresses the sigmoid function horizontally to make its shape approach the jump function as much as possible. When  $\Delta CFS$  is greater than 0.01Mpa,  $\Delta CFS'$  approaches 1 as much as possible, and when  $\Delta CFS$  is less than 0.01Mpa,  $\Delta CFS'$  approaches 0 as much as possible. Then we evaluated the results and calculated the AUC value on each time scale by the ROC curve. Compared with the results of the previous model, the AUC results obtained by the method based on static Coulomb failure stress change are generally poor, which are no more than 0.6 (Figure 3).

### 3.2 Case selection and data presentation

In order to verify the method and model in this article, we selected two typical historical earthquake cases, i.e., the Wenchuan earthquake and the Tohoku earthquake. These two earthquake cases are not included in the data used for model construction. They are characterized by large magnitude and a large number of aftershocks.

In the Tohoku earthquake case, there were 15,062 aftershocks in the study area within one year after the mainshock (Table 1). In the finite fault model used in this article, the focal depth is 20-25 km, and according to the depth distribution of aftershocks at multiple time scales, the number of aftershocks is the largest at the depth of 35-40 km (Figure 4a). In the Wenchuan earthquake case, there were 1,455 aftershocks in the study area within one year after the main shock (Table 1). In the finite fault model used in this paper, the focal depth is 10-15 km. According to the depth distribution of aftershocks at multiple time scales, the number of aftershocks is the largest at 10-15 km depth. Aftershocks are not necessarily distributed the most on the focal depth surface (Figure 4b).

### 3.3 Application of the model to the Wenchuan earthquake

#### 3.3.1 Aftershock hysteresis failure mode

According to the tectonic stress figure of the Wenchuan earthquake, the Wenchuan earthquake was located in the Longmenshan area in the border mountains east of the Qinghai Tibetan Plateau. The geological structure in this area is complex. The main Longmenshan fault zone is composed of a series of roughly parallel thrust faults. It is divided into a front mountain zone and a back mountain zone with the Yingxiu-Beichuan central fault as the boundary. From Northwest to Southeast, the main fault zone consists of the back mountain fault, the central fault and the front mountain fault. The main fault forming the Wenchuan earthquake is the Yingxiu-Beichuan central fault. According to the beachball plot of the focal mechanism solution in Figure 5, the strong aftershocks following the Wenchuan earthquake are mainly related to reverse or thrust faults under the action of compressive stress.

Based on the aftershock hysteresis model, the failure patterns of aftershocks are predicted at different time scales, and the section observation is conducted at a depth of 12.5 km (essentially at the same depth as the source). Combined with the focal mechanism solution analysis of strong aftershocks around the main fault zone, the aftershocks in this area are mainly caused by the NW-trending and SE-trending crustal compressive stress (Figure 6). The expansion of the aftershock hysteresis pattern is observed, which is generally distributed along the fault strike and extends along the trend line of the main fault. Within one day after the main earthquake at Wenchuan, there were aftershocks over a wide area. The location of the aftershocks is distributed along the fault zone, and the location of the aftershocks is basically distributed in the geographical space predicted by the model.

311 Finally, the spatial results of the hysteresis effect of the Wenchuan earthquake are obtained by  
312 synthesizing the damage modes of the aftershocks at multiple time scales (Figure 7). The location of the  
313 aftershocks is basically along the main fault, i.e., the Yingxiu-Beichuan central fault. The model predicts that  
314 aftershocks are mainly distributed in Chengdu, Mianyang, Deyang, Guangyuan and Ngawa **cities**, which is  
315 consistent with the actual location of the aftershocks. Over time, the area of aftershocks expands outwards,  
316 and the rate decreases gradually. Using the main aftershock sequence from the Wenchuan earthquake as an  
317 example, the aftershock hysteresis patterns at different time scales are similar, and the direction of outward  
318 expansion is basically perpendicular to the distribution direction of the previous time scale. Compared with  
319 the attenuation map of earthquake intensity, the spatial distribution map of aftershock attenuation can provide  
320 some reference for follow-up disaster prevention and mitigation work after a large earthquake. We can further  
321 understand the attenuation law of aftershocks, and attempt to extend its time attenuation from a statistical  
322 perspective to a spatial perspective.

### 323 3.3.2 Aftershock hysteresis patterns at different depths

324 At different focal depths, the aftershock hysteresis patterns will also change. The focal depth range of  
325 the aftershocks analyzed in this paper is 0-50 km. The aftershock hysteresis effect is analyzed by selecting  
326 sections with depths of **2.5 km, 7.5 km, 12.5 km, 17.5 km, 22.5 km, 27.5 km, 32.5 km, 37.5 km and 42.5 km**.  
327 Many previous studies have shown that the seismogenic layers in Central and western China are located in  
328 the middle and upper layers of the crust at a depth of no more than 20 km (Zhao et al., 1995; Yang et al.,  
329 2003). The aftershocks with a focal depth within 20 km are widely distributed (Figure 8). When the focal  
330 depth exceeds 20 km, the area where the aftershocks are generated suddenly decreases with increasing depth  
331 until no aftershocks are observed. The focal depth of the largest aftershock distribution range is 12.5 km,  
332 which is in the same range as the focal depth of the main earthquake. In the middle and upper layers of the  
333 earth's crust, the shapes of the aftershock hysteresis patterns are generally similar at different time scales.  
334 Over time, the shape of the aftershock hysteresis pattern generally expands outward in a similar pattern as  
335 the previous timescale. However, when the focal depth exceeds a certain value, the hysteresis pattern of the  
336 aftershocks substantially changes. In this case, when the focal depth is greater than 20 km, the area predicted  
337 for aftershocks significantly decreases, and the evolution of the hysteresis pattern is also changed. Although  
338 the overall expansion direction is consistent with the main fault, the pattern is less regular and more random.

## 339 3.4 Application of the model to the Tohoku earthquake in 2011

### 340 3.4.1 Aftershock hysteresis failure mode

341 Japan is located in the Circum-Pacific seismic belt at the intersection of the Eurasian plate and the Pacific  
342 plate, which is an area with a frequent occurrence of global earthquakes. Due to the collision between the  
343 Pacific plate and the Eurasian plate, the Pacific plate is subducted under the Eurasian plate, thus forming the  
344 Japan Trench and the Japanese island arc. “OK” represents the Okhotsk plate, which is part of the Eurasian  
345 plate, “PA” refers to the Pacific plate, and “PS” refers to the Philippine Sea plate, which is also part of the  
346 Eurasian plate (Bird, 2003) (Figure 9). The epicenter of the earthquake was located in the subduction zone  
347 of the Japanese trench. **The Tohoku earthquake occurred due to the subduction of the Pacific plate to the  
348 Eurasian plate. The aftershocks of the Tohoku earthquake mainly occurred near the junction of the Eurasian  
349 plate and the Pacific plate. They all belong to the earthquake between the plates. The Japanese offshore plate  
350 is mainly the Okhotsk plate, which is part of the Eurasian plate. A total of 12,462 (about 82.7%) aftershocks  
351 occurred in the Okhotsk plate, and 2,576 (about 17.1%) aftershocks occurred in the Pacific plate.** Based on  
352 the aftershock hysteresis model, the aftershock patterns within 1 d, 30 d, 90 d, 180 d and 365 d after the main  
353 earthquake are predicted, and the section (22.5 km) at the focal depth of the main earthquake is selected for  
354 analysis (Figure 10). Using the Tohoku earthquake in Japan as an example, the greatest expansion of the  
355 aftershock distribution area is observed within 30 d. The shape of the aftershock patterns are similar at all



356 time scales. The aftershock and the predicted aftershock patterns are distributed in an approximately North-  
357 South direction along the Japan Trench and plate boundary.

358 The aftershock hysteresis model of the Tohoku earthquake is obtained by synthesizing the aftershock  
359 patterns at different time scales (Figure 11). Over time, the expansion rate of the aftershock pattern gradually  
360 decreases, and the expansion direction is basically perpendicular to the aftershock pattern at the previous  
361 scale. Most of the aftershocks of this earthquake occurred in the eastern Sea of Japan and the area of  
362 concentrated terrestrial aftershocks was located in Fukushima.

### 363 3.4.2 Aftershock hysteresis patterns at different depths

364 Similar to the Wenchuan earthquake, the aftershock hysteresis pattern of the Tohoku earthquake changes  
365 with the change in depth. The magnitude of the earthquake was very large, reaching over  $M_w 9$ . The main  
366 earthquake has a great impact on the surrounding area and the crust, which stores considerable energy, then  
367 releases it in the form of aftershocks. The predicted expansion direction of the aftershock model is generally  
368 consistent with that of the plate boundary and the Japan Trench. In this study, the maximum analysis depth  
369 is 50 km. Using the depth section of the main shock source as the center, the actual aftershock pattern does  
370 not change significantly when the depth change is small. This may be due to the large magnitude of the  
371 earthquake. The area of the actual aftershock pattern is reduced at a depth of 42.5 km. However, the location  
372 of the aftershocks is still widely distributed. The expansion of the aftershock pattern also changes beginning  
373 at a depth of 27.5 km. The general direction of distribution is along the trench, and some areas begin to  
374 expand vertically along the trench (Figure 12).

## 375 4 Discussion

### 376 4.1 Aftershock hysteresis effect

377 The modified Omori formula is  $n(t) = K(t + c)^{-p}$ , where  $n(t)$  is the aftershock frequency per unit time,  
378 and as  $t$  increases,  $n(t)$  will decrease correspondingly to describe the time attenuation characteristics of  
379 aftershocks. **In order to analyze the model results better, we use the modified Omori formula to analyze the  
380 above two earthquake cases. According to the modified Omori formula, the aftershock attenuation of the two  
381 earthquake cases of Tohoku earthquake and Wenchuan earthquake are analyzed, and the three coefficients  
382 in the attenuation formula of the two earthquake cases are determined, namely  $c$ ,  $K$  and  $p$ . Based on the  
383 modified Omori formula, aftershock attenuation maps of two earthquake cases can be obtained (Figure 13  
384 and Figure 14). The modified Omori formula reflects the attenuation trend of the occurrence rate of  
385 aftershocks over time. The attenuation equations and derivative functions of the two earthquake cases are  
386 shown in Table 2. The revised Omori formula can reflect the attenuation of the aftershock event rate over  
387 time. In addition, only the quantitative attenuation formula cannot give a good visualization of the attenuation  
388 process in space. From the derivative functions of the attenuation formulas of the two earthquake cases, as  
389 time increases, the absolute values of the slope of the derivative functions become smaller and smaller. If the  
390 aftershock attenuation rate of each earthquake case is calculated on all time scales, it can be found that it  
391 gradually decreases as the time scale increases.**

392 Compared with the Omori formula, the aftershock hysteresis effect analyzed in this paper can be  
393 reflected by the correlation between the change of time scale and the region of aftershocks. Based on the  
394 discussion of focal depth sections of the main earthquake, within one day after the Wenchuan earthquake,  
395 the number of subunits with aftershocks is 213; within 30 d, it is 386, representing an increase of 81.6%;  
396 within 90 d, it is 432, representing an increase of 11.9%; within 180 d, it is 466, representing an increase of  
397 7.9%; and within 365 d, it is 488, representing an increase of 4.7%. Within one day after the Tohoku  
398 earthquake, the number of subunits with aftershocks was 137; within 30 d, it was 595, representing an  
399 increase of 334%; within 90 d, it was 724, representing an increase of 21.7%; within 180 d, it was 799,  
400 representing an increase of 10.4%; and within 365 d, it was 856, representing an increase of 7.1%. The  
401 aftershock pattern predicted by the model expands over time, but the expansion speed of the aftershock

402 pattern also gradually decreases. The rate of expansion is most rapid 30 d after the earthquake. After 30 d,  
403 the speed decreases significantly from 30 to 90 d. The aftershock pattern of the Wenchuan earthquake  
404 expanded at a speed of 28.7 units/day within 30 d after the earthquake and then rapidly dropped to 7.8  
405 units/day. The aftershock pattern of the Tohoku earthquake in Japan expanded at a rate of 38.6 units/day  
406 within 30 d after the earthquake and then dropped rapidly to 7.3 units/day. According to the correlation curve  
407 in Figure 15 and Figure 16, the aftershock hysteresis effect is reflected by the expansion pattern of the  
408 aftershocks. Combined with the comprehensive analysis of the previous two earthquake cases, the expansion  
409 rate of the aftershock hysteresis effect is  $v \propto n(t) \propto \frac{1}{t}$ . Unlike previous research on the attenuation law of  
410 aftershocks based on statistics (Narteau et al., 2005; Nanjo et al., 2007), this paper starts from another  
411 perspective, namely, spatial distribution, and returns to the discussion of the attenuation law of aftershock  
412 spatial distribution.

413 Finally, a supplementary explanation is given to the phenomenon that the area predicted by the model  
414 is larger than the actual aftershock location. The prediction results of the hysteresis model are the likely  
415 locations of aftershocks at different time scales after the mainshock. At each location, the predicted value is  
416 a number between 0-1, which represents the probability of aftershocks that may occur at that location. We  
417 take the prediction threshold as 0.5, and think that when the prediction value is greater than 0.5, an earthquake  
418 is more likely to occur in the sub-cell with a volume of 5 km<sup>3</sup>. In fact, when the predicted value is less than  
419 0.5, there is also the possibility of aftershocks, but this possibility is relatively small. For the prediction model,  
420 if the threshold increases, the predicted coverage area of aftershocks is gradually reduced, but as the increase  
421 of threshold, the local area prediction will also produce more errors and deviations. In addition, if we focus  
422 on some aftershocks far away from the fault, we will find that aftershocks are also likely to occur at locations  
423 far away from the fault on multiple time scales, but the density of aftershocks is relatively small at these  
424 locations. Therefore, if these sparsely distributed aftershocks are taken into account, the predicted aftershock  
425 coverage area is wider than the area where the aftershocks are concentrated along the fault.

#### 426 4.2 Comparative analysis of prediction models

427 The widely used temporal-magnitude earthquake generation model (ETAS) was proposed by Ogata  
428 (Ogata, 1988). Later on, he observed that the distribution of aftershock sequences tended to be elliptic rather  
429 than circular. He established the anisotropic aftershock attenuation function and took the normal distribution  
430 as the spatial distribution model of aftershock (Ogata, 1998). It is a widely observed fact that aftershocks  
431 usually occur on or near the fault of mainshock. However, the normal distribution model does not include  
432 the source mechanism information of the mainshock when predicting the aftershock mode. Kagan et al.  
433 introduced the anisotropy function of the spatial smooth core into the long-term earthquake prediction, and  
434 established the spatial smooth core model, including the source mechanism information of the mainshock  
435 (Kagan et al., 1994). However, the above models ignore the internal relationship of the relative distance or  
436 direction between the mainshock and the aftershocks. Based on this, Wong et al. proposed a joint distribution  
437 model to parameterize the aftershock location according to the distance and relative angle between the  
438 mainshock and aftershocks (Wong et al., 2009). In the prediction process of the above models, the epicentre  
439 of the mainshock is used as a point source for analysis. Actually, the distribution of the fault plane of the  
440 mainshock should be fully considered. Based on the finite fault model, the distribution information of the  
441 main fault is considered in the paper. At the same time, the relative position and direction between the  
442 mainshock and aftershocks have been considered in the process of calculating the variation of stress tensor  
443 by using the Okada dislocation theory. Therefore, in the process of model training and learning, the relative  
444 position relation is also identified. Compared with the static Coulomb failure stress change method, the  
445 aftershock hysteresis model has a better prediction effect.

446 In the previous comparison of the two methods in this article, the sub-cell location where the aftershock  
447 was located was used for evaluation, and the sub-cells with aftershocks were marked. To further prove the  
448 validity of the model, the actual location of the aftershock event is further used instead of the sub-cell location,  
449 and the threshold is set to 0.5. The prediction results were verified on the focal depth of the two earthquake

450 cases to compare the effects of the aftershock hysteresis model and the Coulomb failure stress change method.  
451 The evaluation results of the aftershock hysteresis model are as follows: 97.6% of the Wenchuan earthquake  
452 aftershocks fall in the area with the predicted value greater than 0.5, and 96% of the Tohoku earthquake  
453 aftershocks fall within the area with the predicted value greater than 0.5. The evaluation results based on the  
454 Coulomb failure stress change method are as follows: 87.3% of the Wenchuan earthquake aftershocks fall in  
455 the area with a predicted value greater than 0.5, and 45.3% of the Tohoku earthquake aftershocks fall within  
456 the area with a predicted value greater than 0.5 (Figure 17 and Figure 18). Therefore, if the evaluation is  
457 made from the specific location of the aftershock event, the prediction result of the constructed model is still  
458 better than the result based on the Coulomb failure stress change method.

459 In addition, the model is a six layer neural network, which is a black box model. Compared with the  
460 traditional statistical model or physical model, is the deep learning model more complex? We think this  
461 complexity is relative. In fact, the starting point of the traditional model and of the model in this paper are  
462 similar. They are all based on data, trying to find a relationship between some basic physical quantities and  
463 aftershocks. The complexity of traditional models lies in the process of finding such a connection. The  
464 complexity of deep learning model lies in its seemingly complex structure. The complex structure will lead  
465 to the increase of the number of internal variables to be learned, and the rapid computing ability of today's  
466 computers can solve this problem, so as to reduce manpower and time-consuming. In addition, the deep  
467 learning model is a data-driven method. It will be more convenient than the traditional model when the data  
468 set or the amount of data changes greatly or the model needs to be adjusted.

## 469 5 Conclusions

470 In this paper, based on the criterion of correlation between aftershocks and stress changes caused by the  
471 main earthquakes, a deep neural network is trained using the SRCMOD finite fault data and the ISC  
472 earthquake catalogue and is used to construct an aftershock hysteresis model. Using the main aftershock  
473 sequences of the Wenchuan and the Tohoku earthquakes as examples, the characteristics of the aftershock  
474 hysteresis effect in plane space and at different depths are then analyzed. The main contributions are as  
475 follows:

476 (1) The trained model of aftershock hysteresis is accurate. It can predict the aftershock patterns at  
477 multiple time scales after a large earthquake and produce a spatial distribution map of the aftershock  
478 hysteresis effect. Compared with static Coulomb failure stress change, this model is more effective.

479 (2) Compared with the traditional aftershock spatial analysis method, the model fully considers the  
480 distribution of actual faults in the prediction of aftershock pattern, instead of treating the earthquake as a  
481 point source. In the analysis of the model, the relative position information between the mainshock and  
482 aftershocks has been included.

483 (3) The expansion rate of the aftershock patterns changes over time, i.e.,  $v \propto n(t) \propto \frac{1}{t}$ . In the middle  
484 and upper layers of the crust, the shape of the aftershock pattern is generally consistent, and the expansion  
485 direction is typically perpendicular to the direction of distribution of the previous time scale.

486 (4) According to the prediction results of the model, the aftershock patterns at all time scales are roughly  
487 similar and anisotropic. The distribution law of aftershock hysteresis effect will change with the increase of  
488 the depth.

489 In the analysis of each aftershock sequence, we only consider the influence of the main earthquake fault  
490 zone. If we comprehensively consider the stress field superposition of multiple or all faults in the analysis  
491 area of each earthquake case, the prediction of the aftershock pattern will be more accurate. In addition, we  
492 focus on the location of the aftershocks and will further explore and study aftershocks from the perspectives  
493 of magnitude and energy in the future.

## 494 **Acknowledgments**

495 The SRCMOD finite fault model data can be obtained from <http://equake-rc.info/srcmod/>. The ISC  
496 earthquake events can be obtained from <http://www.isc.ac.uk/iscgem/>. The results of the aftershock hysteresis  
497 effect are obtained by programming in Python, and some codes refer to previous research by **DeVries et al.**  
498 **(2018)**. In this study, the figures and the subsequent processing of the results are all performed using the  
499 ArcGIS software. This work was supported by the National Natural Science Foundation of China (No.  
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## 501 **Author Contributions**

502 Conceptualization, H.T.; Funding acquisition, H.T.; Investigation, J.C.; Methodology, J.C. and H.T.;  
503 Software and Code, J.C.; Manuscript editing, J.C.; Manuscript revision, W K. C. and H.T. Supervision, H.T.

## 504 **Competing Interests**

505 The authors declare no conflict of interest.

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636 **Tables**

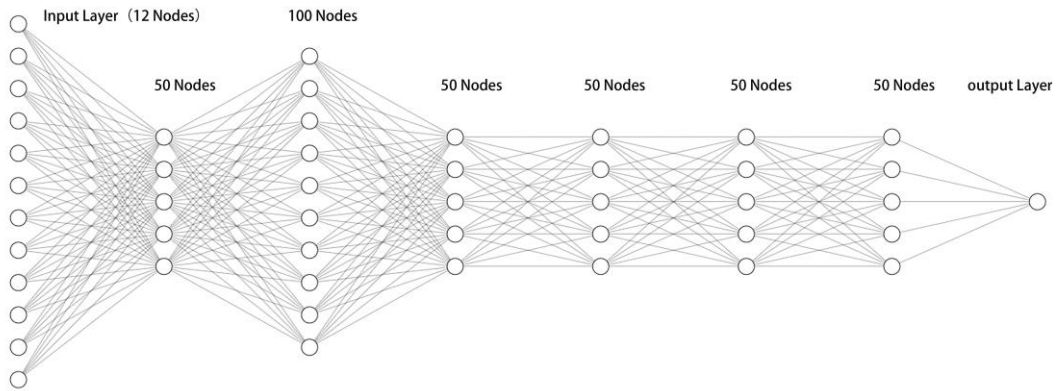
637 **Table 1.** The number of aftershocks of typical historical earthquakes on multiple time scales

	1Day	30Days	90Days	180Days	365Days
Tohoku earthquake	1241	7642	10984	13002	15062
Wenchuan earthquake	369	957	1180	1327	1455

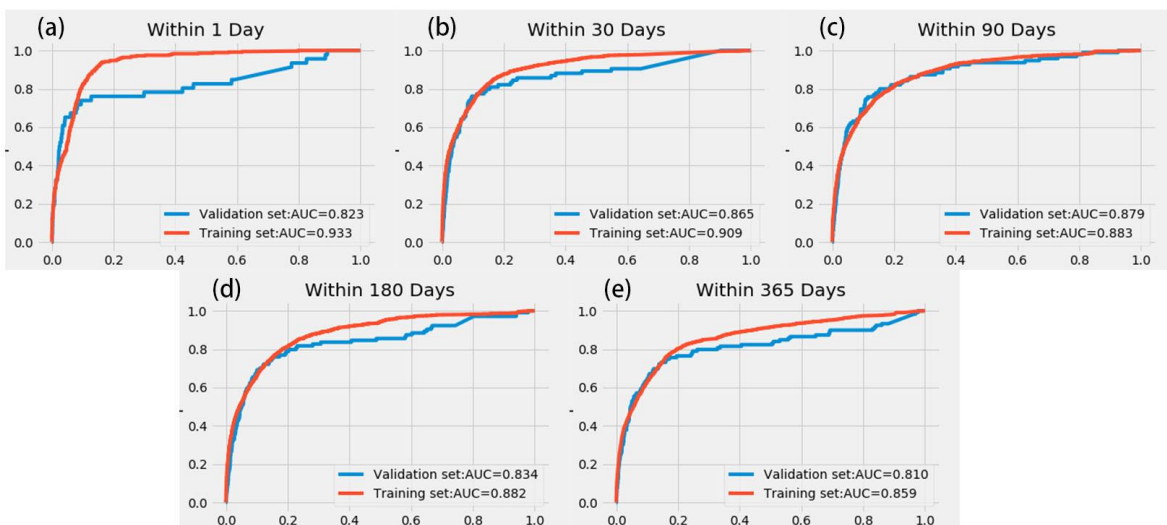
638  
639 **Table 2.** Modified Omori formula and derived function of typical earthquake cases

Earthquake	Modified Omori formula	Derived function
Tohoku earthquake	$N(t)=300(t+0.42)^{-0.8}$	$N'(t)=-240(t+0.42)^{-1.8}$
Wenchuan earthquake	$N(t)=67.08(t+0.21)^{-1.07}$	$N'(t)=-71.78(t+0.21)^{-2.07}$

640  
641 **Figures**



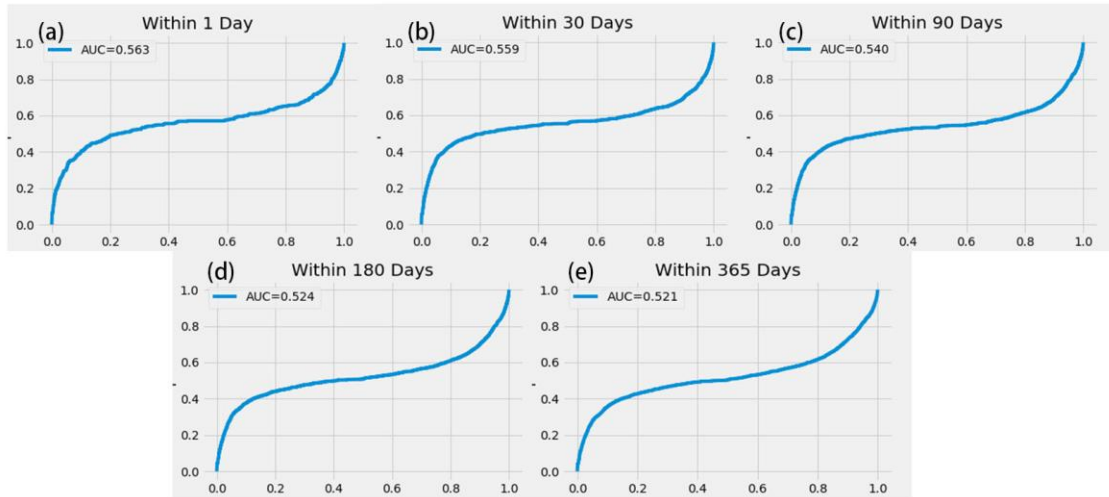
642  
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644 **Figure 1.** The structure of DNN. The neural network is composed of an input layer, hidden layers, output  
645 layers and the connections between each layer. The function of each hidden layer is to transform the  
646 features of the network input.



647  
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649 **Figure 2.** ROC curve for multiple time scales. Figures (a) through (e) show the ROC curve of the model  
 650 within 1 d, 30 d, 90 d, 180 d and 365 d, respectively. The horizontal axis represents the FPR (false positive  
 651 rate) and the vertical axis represents the TPR (true positive rate).

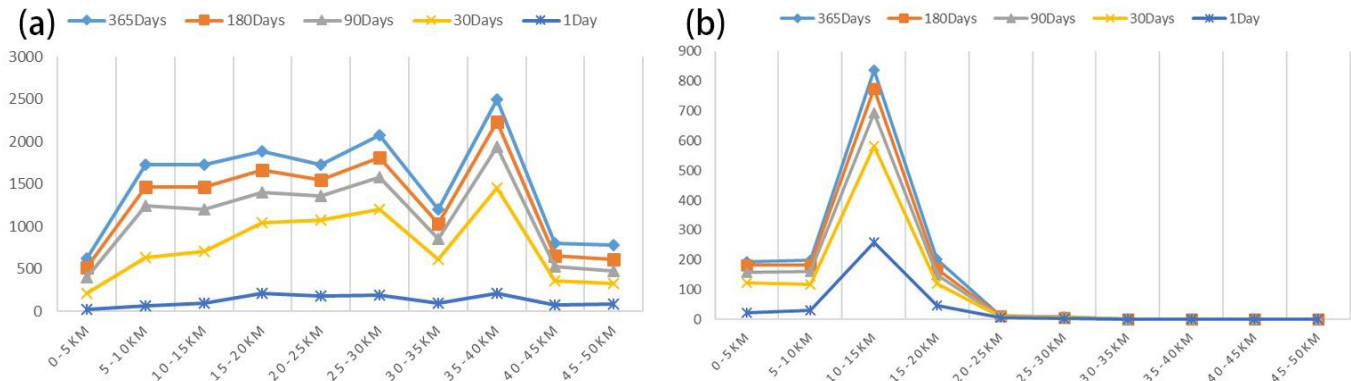
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655 **Figure 3.** ROC curve of  $\Delta$ CFS for multiple time scales. Figures (a) through (e) show the ROC curve of  
 656 the model within 1 d, 30 d, 90 d, 180 d and 365 d, respectively. The horizontal axis represents the FPR  
 657 (false positive rate) and the vertical axis represents the TPR (true positive rate).



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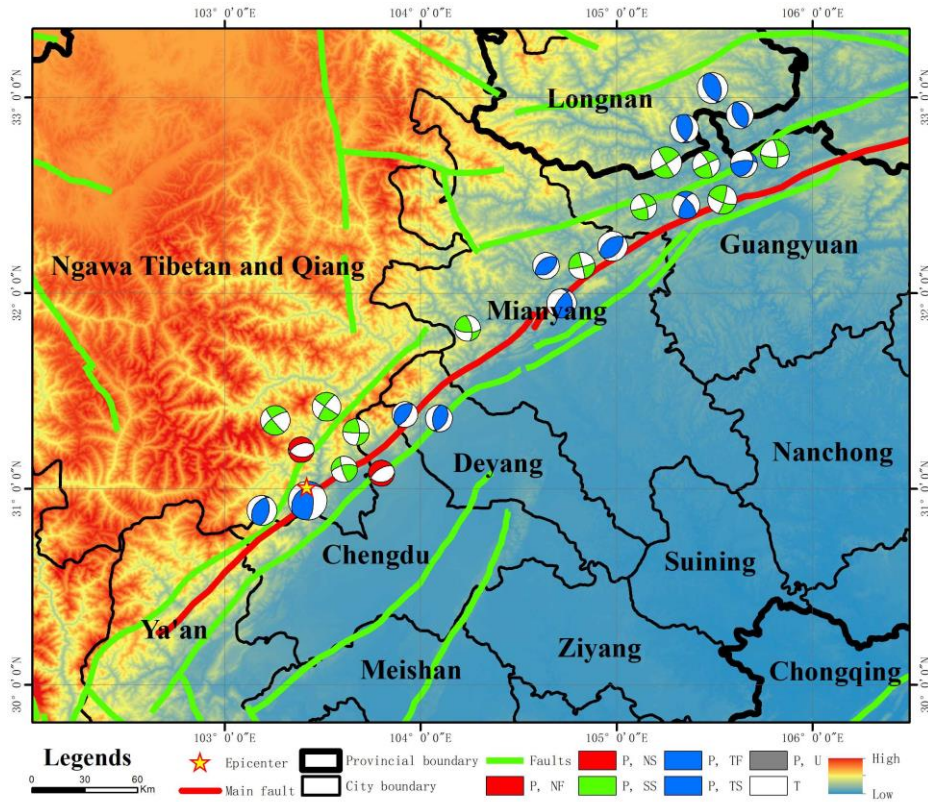
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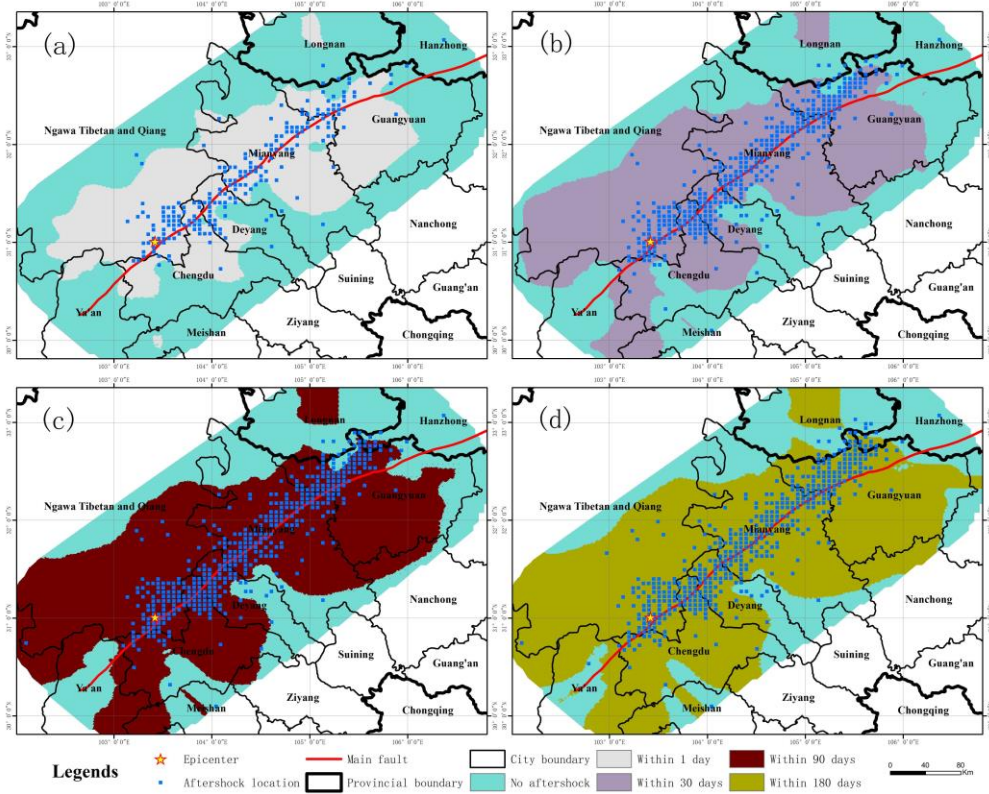
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**Figure 4.** Multi-time scale aftershock depth distribution curves of (a) the Tohoku earthquake and (a) the Wenchuan earthquake.



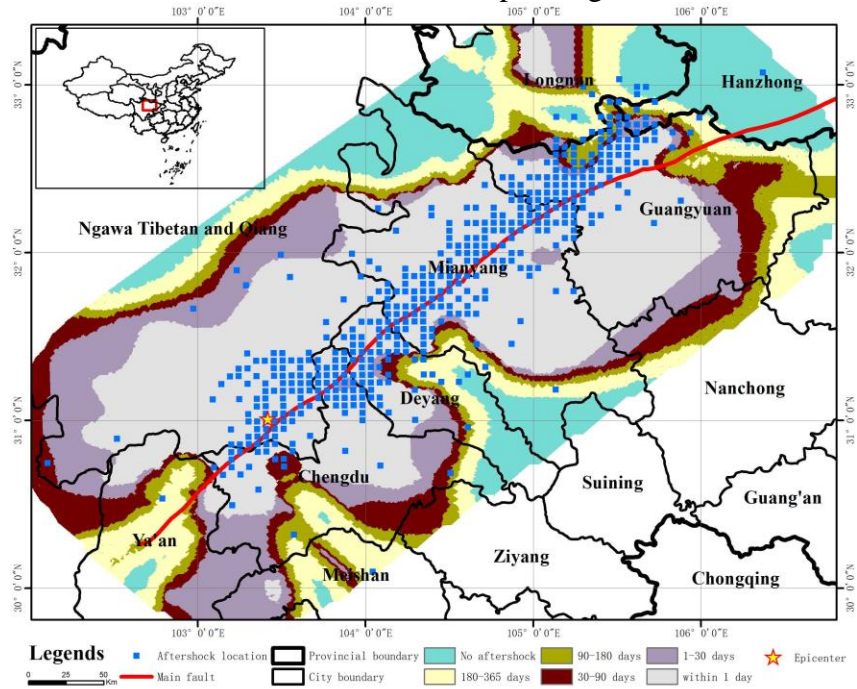


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 663 **Figure 5.** Structural background map of the Wenchuan earthquake. The red and green lines represent the  
 664 fault structures in this area. The red line is the main fault zone of the Wenchuan earthquake, and the green  
 665 lines represent other fault zones. **The focal mechanism of the main aftershocks are also shown.**  
 666

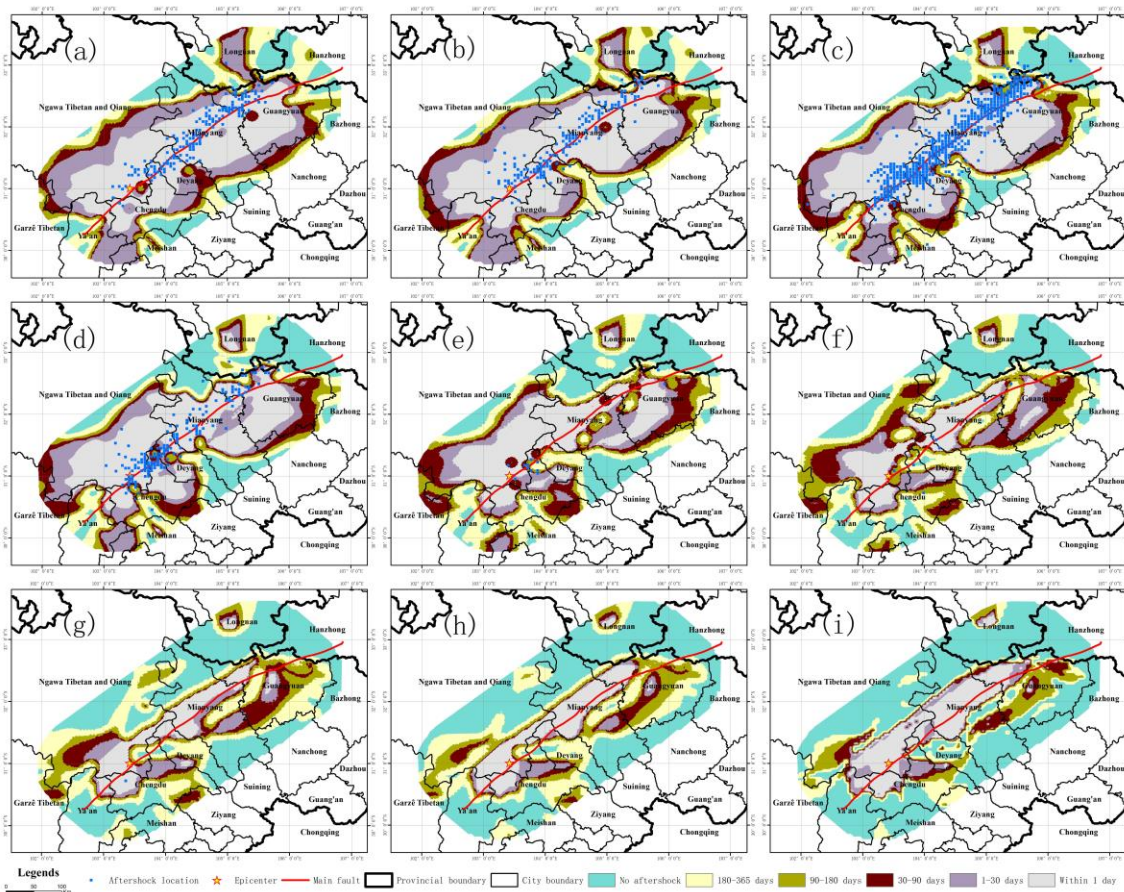


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669 **Figure 6.** Aftershock damage patterns of the Wenchuan earthquake at multiple time scales. Figures (a)  
 670 through (d) show the aftershock damage pattern within 1 d, 30 d, 90 d and 180 d, respectively. The blue dots  
 671 indicate the actual location of aftershocks at the corresponding time scale.

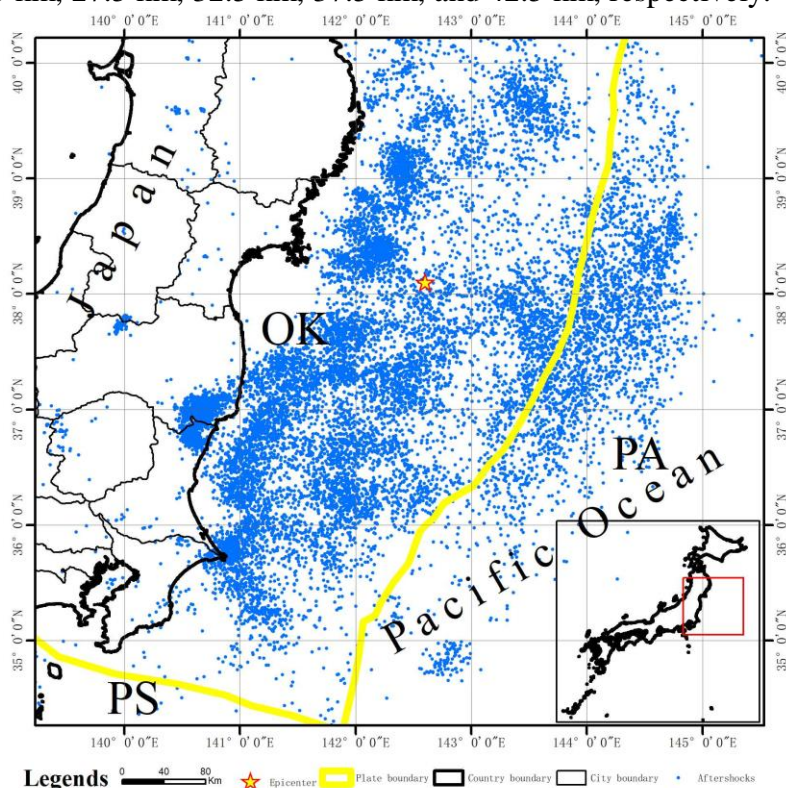


672  
 673  
 674 **Figure 7.** Aftershock hysteresis effect of the Wenchuan earthquake. The aftershock hysteresis effect can be  
 675 observed by combining the aftershock patterns of the Wenchuan earthquake at different time scales. The  
 676 blue dots indicate the locations of the actual aftershocks over one year.



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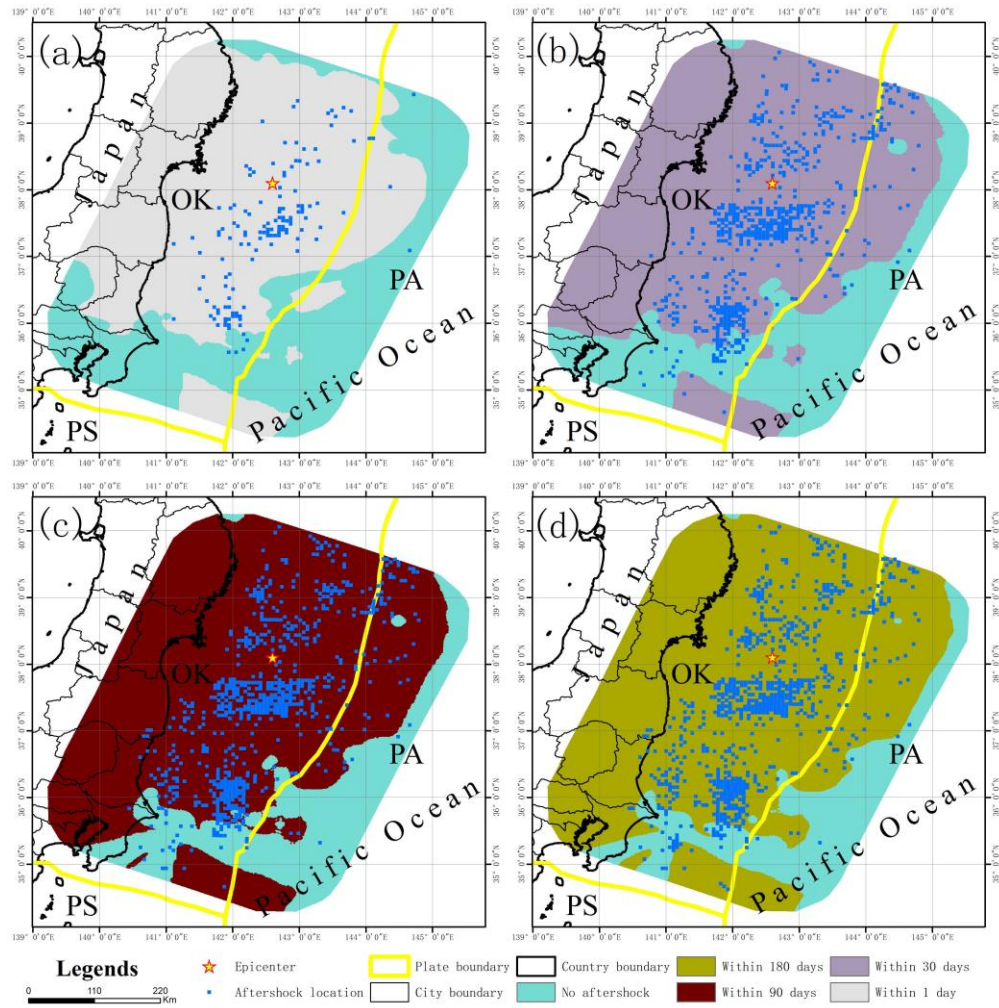
**Figure 8.** Aftershock hysteresis effect of the Wenchuan earthquake in different depths. Figures (a) through (i) show the aftershock hysteresis effect of the Wenchuan earthquake for depth sections of 2.5 km, 7.5 km, 12.5 km, 17.5 km, 22.5 km, 27.5 km, 32.5 km, 37.5 km, and 42.5 km, respectively.



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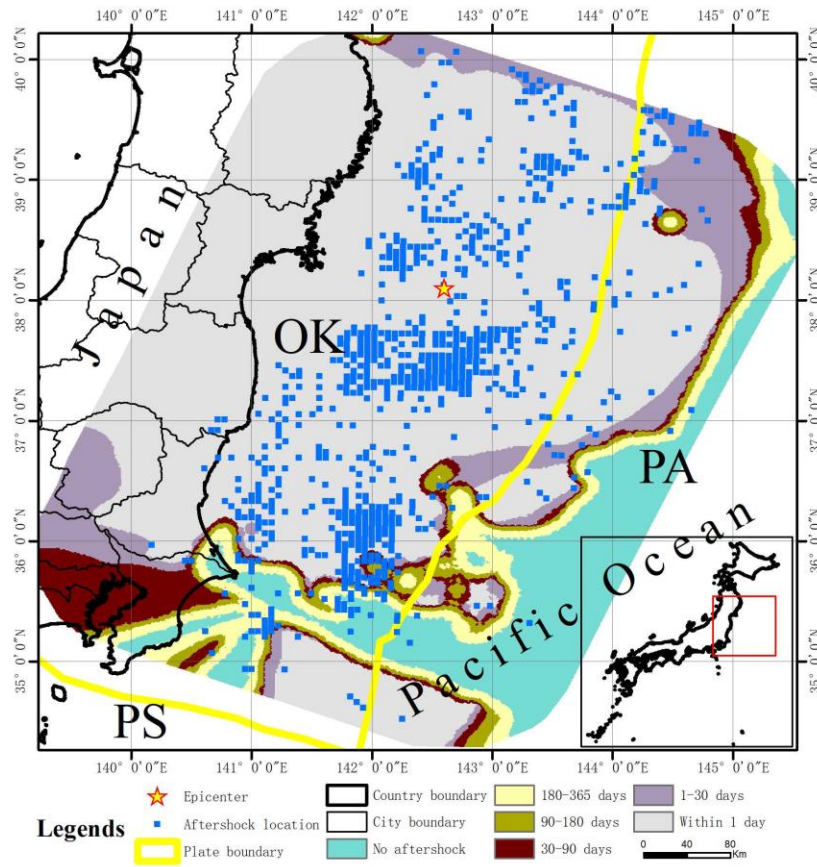
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**Figure 9.** Aftershocks distribution of the Tohoku earthquake. The blue points in the figure are the projection positions of the aftershocks within a depth of 50 km.



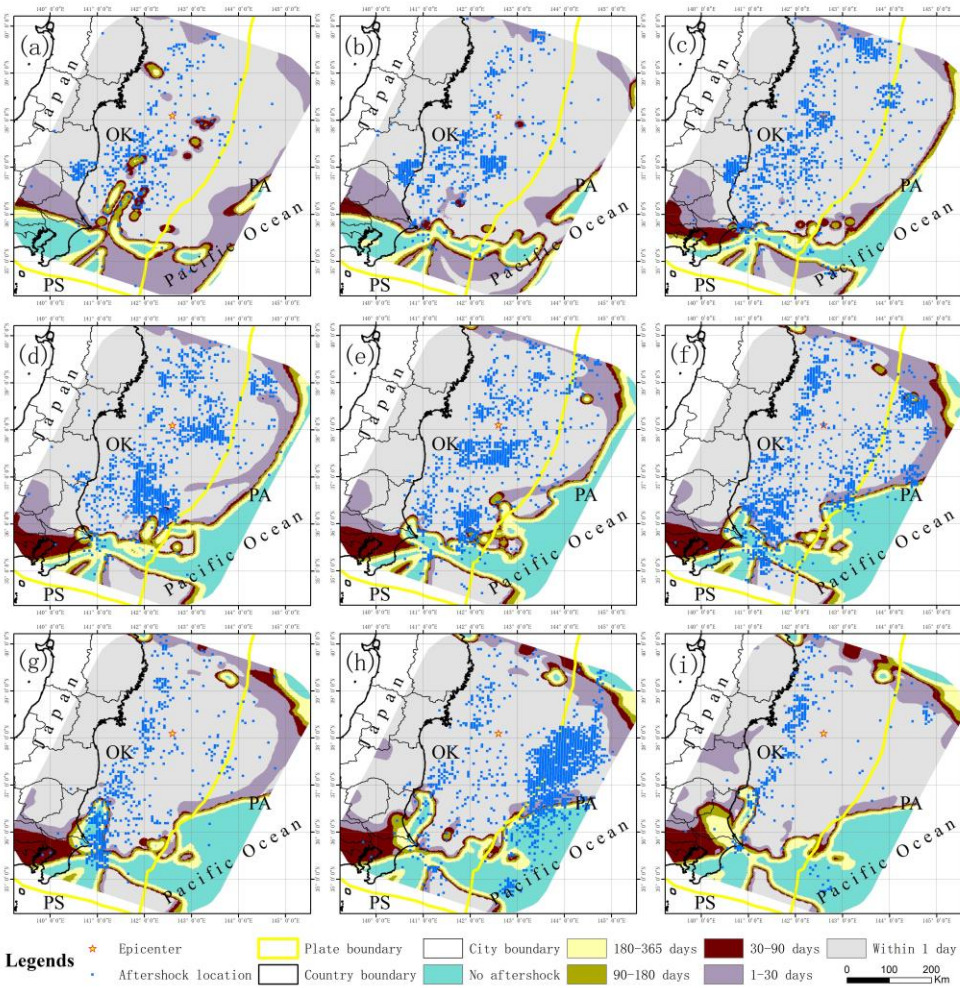
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**Figure 10.** Aftershock damage patterns of the Tohoku earthquake at multiple time scales. Figures (a) through (d) show the aftershock damage pattern of the Tohoku earthquake within 1 d, 30 d, 90 d and 180 d, respectively. The blue dots indicate the actual location of aftershocks at the corresponding time scale.



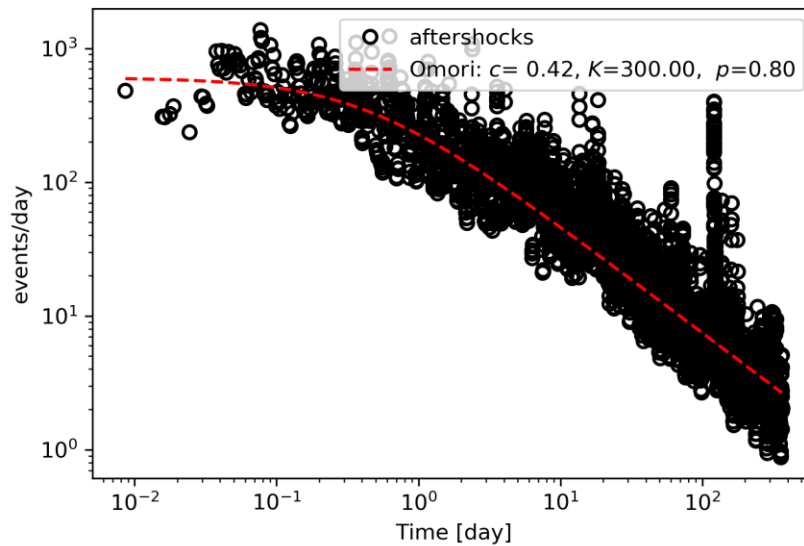
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**Figure 11.** Aftershock hysteresis effect of the Tohoku earthquake in Japan. The aftershock hysteresis effect can be observed by combining the aftershock patterns of the Tohoku earthquake at different time scales. The blue dots indicate the locations of the actual aftershocks over one year.



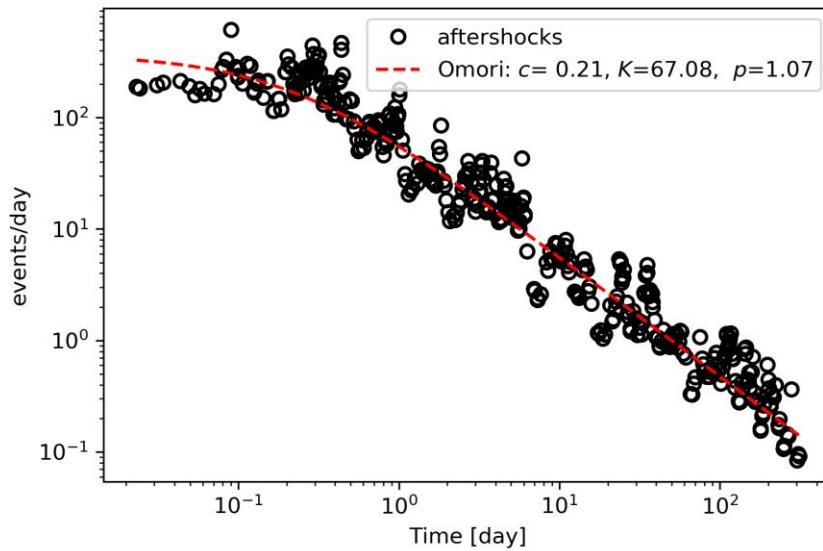
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**Figure 12.** Aftershock hysteresis effect of the Tohoku earthquake at different depths. Figures (a) through (i) show the aftershock hysteresis effect of the Tohoku earthquake for depth sections of 2.5 km, 7.5 km, 12.5 km, 17.5 km, 22.5 km, 27.5 km, 32.5 km, 37.5 km, and 42.5 km, respectively.

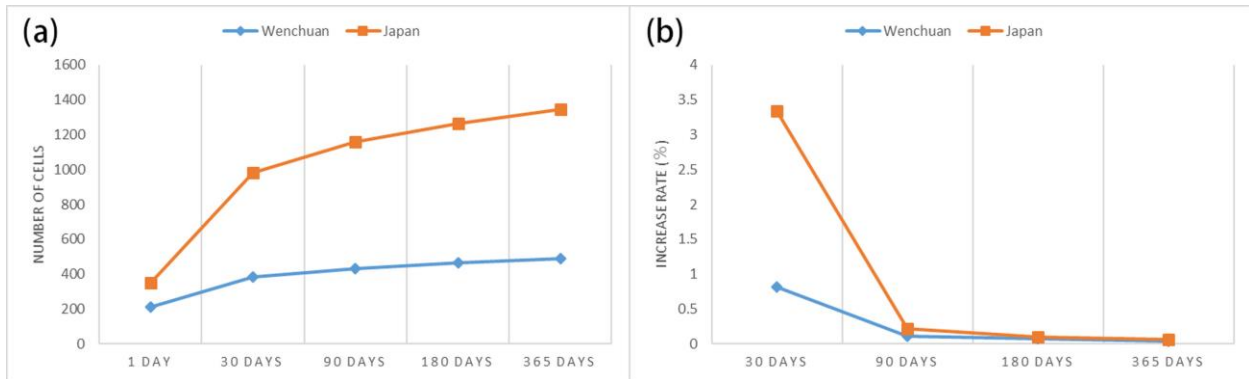


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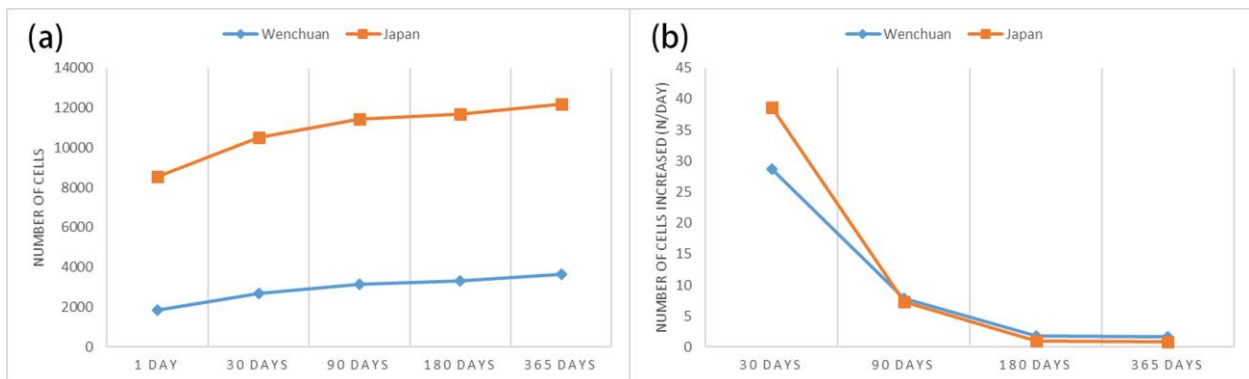
**Figure 13.** The modified Omori formula aftershock attenuation curve of Tohoku earthquake.



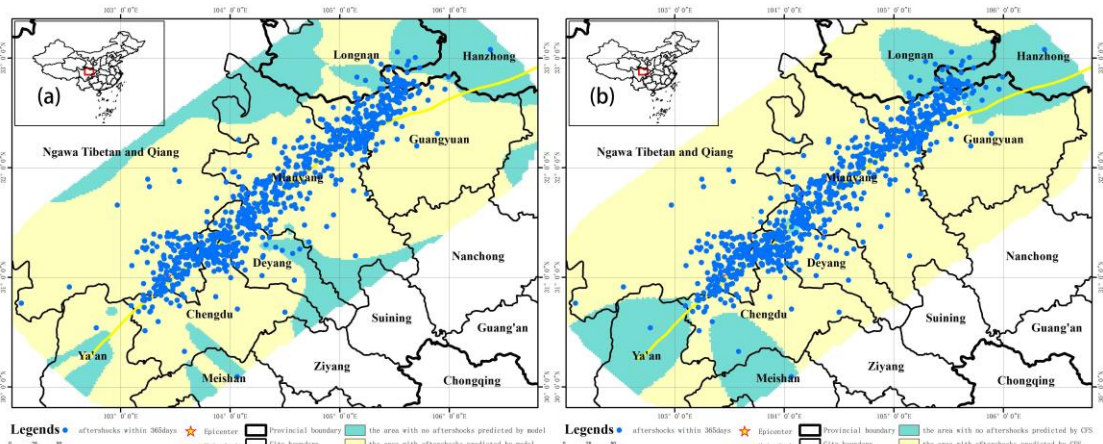
703  
704 **Figure 14.** The modified Omori formula aftershock attenuation curve of Wenchuan earthquake.  
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708 **Figure 15.** The curve of aftershock hysteresis effect (actual aftershocks). Figure (a) shows the change in  
709 the number of cells with aftershocks at different time scales, and figure (b) shows the change in the growth  
710 rate of the number of cells with aftershocks at different time scales.  
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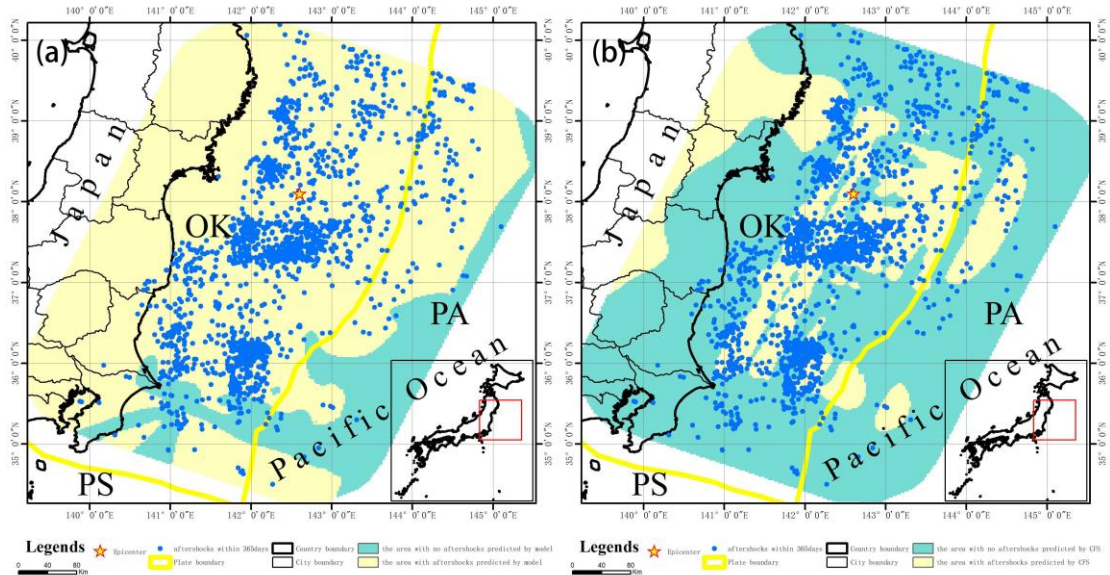


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714 **Figure 16.** The curve of aftershock hysteresis effect (predicted aftershock pattern). Figure (a) shows the  
715 number of cells with aftershocks predicted at different time scales, and figure (b) shows the increment of  
716 cells with aftershocks at each time scale.



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**Figure 17.** The hysteresis model prediction result and the  $\Delta CFS$  prediction result of the Wenchuan earthquake. Figure (a) shows the hysteresis model prediction result and figure (b) shows the  $\Delta CFS$  prediction result.



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**Figure 18.** The hysteresis model prediction result and the  $\Delta CFS$  prediction result of the Tohoku earthquake. Figure (a) shows the hysteresis model prediction result and figure (b) shows the  $\Delta CFS$  prediction result.