



# 1 Exploring the potential relationship between the occurrence 2 of landslides and debris flows: A new approach

3 Zhu Liang<sup>1</sup>, Changming Wang<sup>1</sup> and Kaleem Ullah Jan Khan<sup>1</sup>

4 (College of Construction Engineering, Jilin University, 130000 Changchun, People's Republic of  
5 China)

6 E-mail:wangcm@jlu.edu.cn

7

8 **Abstract:** The aim of the present study is to explore the potential relationship between landslides  
9 and debris flows by establishing susceptibility zoning maps separately with the use of random  
10 forest. Longzi township, Longzi County, located in Southeastern Tibet, where historical landslide  
11 and debris flow are commonly occurred, was selected as the study area. The work has been carried  
12 out with the following steps: (1) A complete landslide and debris flow inventory map was  
13 prepared; (2) Slope units and 11 controlling factors were prepared for the susceptibility modelling  
14 of landslide while watershed units and 12 factors for debris flow; (3) Establishing susceptibility  
15 zoning maps for landslide and debris flow, respectively, with the use of random forest; (4) The  
16 performance of two models are verified using ROC curve, the values of AUC and contingency  
17 tables; (5) Putting the high or very-high-class watershed units in the debris flow susceptibility  
18 zone map as the base map to observe its coverage by slope units of different classes; (6) The  
19 landslide zoning map was put at the bottom floor and analyzed the distribution of high or  
20 very-high-class slope units in watershed units; (7) transforming the slope units into points and  
21 distributed them on the watershed units. Two models based on random forest have demonstrated



22 great predictive capabilities, of which accuracy was close to 90% and the AUC value was close to  
23 1. The loose sources carried out by the debris flows are not necessarily brought by the landslides  
24 although most landslides can be converted into debris flows. The area prone to debris flow does  
25 not promote the occurrence of landslides. A susceptibility zoning map composed of two or more  
26 natural disasters is comprehensive and significant in this regard.

27 **Key words:** Landslide; Debris flow; Susceptibility; Random forest; Potential relationship

28

## 29 1. Introduction

30 Landslides and debris flows are natural phenomenon mainly occurring in mountainous areas,  
31 which pose considerable threats to people, industries, and the environment directly or indirectly.  
32 Generally, damages can be decreased to a certain extent by predicting the likely location of future  
33 disasters (Pradhan, 2010). Thus, extensive research has been conducted for the prediction and  
34 susceptibility assessment of landslides and debris flows.

35 In geomorphology, a “landslide” is the movement of a mass of rock, debris or earth down a  
36 slope, under the influence of gravity (Cruden and Varnes, 1996). Debris flow is a specific type of  
37 landslide, which can be defined as (Hung et al. 2013): “Very rapid to extremely rapid surging  
38 flow of saturated debris in a steep channel”. Generally, a landslide that occurs on a steep slope and  
39 becomes disaggregated as it tumbles down can transform into a debris flow if it contains sufficient  
40 water for saturation. Therefore, landslide provides sufficient material source for the occurrence of  
41 debris flow and most of the landslides were accompanied by debris flow. In the past, few scholars  
42 have not been specifically distinguished the landslide and debris flow in terms of susceptibility



43 evaluation (Alessandro et al., 2015; Guzzetti et al., 2005). In addition, some scholars made  
44 separate evaluations of landslide and debris flow (Park et al., 2011; Haydar et al., 2016). Some  
45 scholars have proposed a coupled model of landslide-debris flow (Chiang et al., 2012; Gomes et  
46 al., 2013). However, not every landslide has evolved into a debris flow and the material source of  
47 the debris flow is may not a landslide. The causes and manifestations of landslides and debris  
48 flows are different. In a debris flow, it is possible to distinguish initiation (source area), transport  
49 and deposition zone. In other words, there is no necessary connection between debris flow and  
50 landslides. Besides, the conditioning factors and mapping units involved in the susceptibility  
51 assessment of debris flow and landslide are not identical. Therefore, it is more reasonable to  
52 evaluate the susceptibility of landslide and debris flow separately. As an example, a landslide  
53 inventory map includes only landslides, as does debris flow.

54 The methods of susceptibility assessment can be broadly classified as qualitative or  
55 quantitative(Aleotti et al., 1999). Several methods and approaches have been proposed and tested  
56 to ascertain susceptibility, such as physical-based approaches (Carrara et al., 2008), heuristic  
57 methods (Blais et al., 2016) and statistically-based approaches (Reichenbach et al., 2018). In  
58 addition, new machine learning models, such as neural networks (Park et al.,2013), support vector  
59 machines (Colkesen et al.,2016) and random forest (RF) ( Liu et al., 2018), have also been  
60 applied.

61 The Longzi County in Southeastern Tibet is always exposed to landslide and debris flow  
62 hazard because of climatic and topographic conditions, which is chosen as the study area. The  
63 purpose of the present study is to explore the potential relationship between the occurrence of  
64 landslides and debris flows by establishing susceptibility zoning maps separately with the use of



65 random forest.

## 66 **2. Materials**

### 67 **2.1 Study area**

68 The study area located in Longzi Township, Longzi County, Southeastern Tibet is bounded by  
69 longitudes of 92°15'E and 92°45'E, latitudes of 28°10'N and 28°30'N (Fig.1). It covers an area of  
70 about 535 km<sup>2</sup> with a population of more than 6000. The study area belongs to a semi-arid  
71 temperate monsoon climate with the annual rainfall of 279 mm, mainly concentrated in May to  
72 September. The seismic intensity within the area has a degree of VIII on the modified Mercalli  
73 index.

74 The study area belongs to the zone of stratigraphic division of the Northern Himalayan block.  
75 The strata is mainly composed of Mesozoic Cretaceous, Jurassic, Triassic, and Cenozoic units.  
76 There were three common lithology observed during our field investigation: Siltstone from the  
77 Laka Formation (K<sub>1</sub>l); Conglomerates from the Weimei Formation (J<sub>3</sub>w) and Quaternary slope  
78 wash (Q<sub>4</sub><sup>cl+dl</sup>) from the Cenozoic strata.

79 The disasters in the study area mainly consist of rain-fed high frequency debris flows and  
80 landslides, which destroyed and flooded roads, bridges, farmlands, villages, etc., causing great  
81 economic losses.

### 82 **2.2 Landslide and debris flow inventory**

83 The statistically-based susceptibility models are based on an important assumption: future  
84 landslides will be more likely to occur under the conditions which led to the landslides past and



85 present (Varnes, 1984; Furlani and Ninfo, 2015). Therefore, a complete and accurate inventory  
86 map is the key for model training and validation. In this study, data comes from historical records,  
87 field surveys (**Fig.2 and Fig.3**) and interpretation of Google Earth images carried out in Google  
88 Earth pro 7.1(**Fig.4**). Finally, a total of 396 landslide points and 49 debris flow points were  
89 recorded and mapped (**Fig.1**).

## 90 **2.3 Mapping units**

91 The selection of the mapping unit is an important pre-requisite for susceptibility modelling  
92 (Guzzetti, 2006). The main mapping units commonly used for landslide and debris flow  
93 susceptibility assessment are grid cells (Reichenbach et al., 2018). Despite its popularity and  
94 operational advantages, grid-cells have clear drawbacks for susceptibility modelling (Guzzetti et  
95 al., 1999). There is no physical relationship between a grid-cell, while slope units can make up for  
96 this deficiency. Depending on the landslide type, a slope unit may correspond to an individual  
97 slope, an ensemble of adjacent slopes or a small catchment (Reichenbach et al., 2018). The  
98 geometry of debris flow is better represented by apolygon or a set of polygons in vector format. In  
99 the present study, adjacent slope units were applied to the susceptibility assessment of landslides.  
100 First-order sub-catchments, which is also called watershed unit, was applied to the susceptibility  
101 of debris flow (Francesco et al., 2015; Qin et al.,2018). Therefore, ArcGIS is used in this paper to  
102 divide the study area into 174 catchments or 1003 slope units and make artificial corrections  
103 according to remote sensing image.

## 104 **2.4 Controlling factors and mapping**

105 The selection of evaluation parameters is another key prerequisite to ensure that the model is



106 accurate and reasonable. With reference to previous studies (Ahmed et al., 2016; Xu et al., 2013;  
107 Braun et al., 2018), there are differences in the controlling parameters used in landslide and debris  
108 flow susceptibility assessment. The occurrence of debris flow emphasizes the indispensability of  
109 provenance, topography and triggering factors. Availability, reliability, and practicality of the  
110 factor data were also considered (van Westen et al., 2008). In this paper, 11 landslide controlling  
111 factors are selected, including distance to fault, distance to road, distance to river, annual rainfall,  
112 slope angle, aspect, plan curvature, profile curvature, topographic wetness index, elevation and  
113 maximum elevation difference. Besides, a total of 12 controlling factors, including basin area,  
114 main channel length normalized difference vegetation index (NDVI), drainage density, roundness,  
115 melton, average gradient of main channel, slope angle, maximum elevation difference, annual  
116 rainfall, distance to fault and elevation were selected to fully reflect the characteristics of the  
117 watershed for the susceptibility assessment of debris flow.

118 The controlling factors in the present study can be categorized into four types: **(1)** The  
119 morphological factors (slope, aspect, plan curvature, profile curvature, roundness, melton); **(2)**  
120 Geological factors (distance to fault, basin area, main channel length, drainage density); **(3)**  
121 Topographical factors (elevation, maximum elevation difference, average gradient of main  
122 channel ); **(4)** Environmental factors (annual rainfall, topographic wetness index, NDVI, distance  
123 to road, distance to river). Totally 18 factors are obtained by processing the row data in the ArcGIS  
124 10.2 platform. Morphological and topographic related factors were derived from the DEM with a  
125 resolution of  $30 \times 30$  m. Geological related factors were extracted from 1:50000 geological maps.  
126 Rainfall is one of the most important external factors inducing landslides and debris flow, which  
127 was determined by ordinary kriging interpolation in ArcGIS by collecting data of 6 precipitation



stations near the area under study as a reference.

## 2.5 Mapping

In the current study, the maps of controlling factors were reclassified into 4 to 7 classes based on the equal spacing principle and the mean value in the unit was counted as the representative value of the unit. Aspect, which is frequently used as landslide controlling factor (Dai and Lee, 2002), was reclassified into 8 classes (**Fig.2**). Plan curvature and profile curvature were both considered and were both reclassified into six classes. Generally, faults, rivers and roads play a key role in the occurrence of landslides and were reclassified into seven classes using an interval of 1500m (**Fig.2**). Topographic wetness index was reclassified into five classes (**Fig.2**).

NDVI reflects the vegetation conditions in the area and was reclassified into 5 classes(**Fig.3**).

Drainage density is the ratio of the total drainage length to the watershed area and was reclassified into six classes (**Fig.3**). Roundness refers to the ratio of the area of a basin to the area of a circle with the same circumference and was reclassified into six classes (**Fig.3**). Melton ratio refers to the ratio of the degree of undulation in the watershed to the square root of the arithmetic area of the watershed (Melton, 1965), which is reclassified into seven classes (**Fig.3**). Considering the correlation between the two controlling factors, basin area and main channel length are represented by the same graph, which was reclassified into four classes (**Fig.3**). Average gradient of main channel, which is the ratio of the maximum elevation difference of main channel to its linear length, was reclassified into six classes (**Fig.3**).

Rainfall is the only triggering factor to be considered for both landslide and debris flow in this paper, which was reclassified into six classes (**Fig.2 and Fig.3**). Slope angle is frequently employed in both



149 landslide and debris flow susceptibility mapping and was reclassified into six classes (**Fig.2 and Fig.3**).  
150 Maximum elevation difference reflects the kinetic energy condition and is reclassified into 6 classes  
151 using an interval of 200m (**Fig.2 and Fig.3**). Elevation was reclassified into five classes (**Fig.2 and**  
152 **Fig.3**), which has also been used by many authors (Ayalew and Yamagishi, 2005; Pourghasemi et al.  
153 2013a, b ).

### 154 **3. Methods**

#### 155 **3.1 Sampling strategies and validation**

156 Statistical models for landslide susceptibility zonation reconstruct the relationships between  
157 dependent and independent variables using training sets, and verify these relationships using  
158 validation sets (Guzzetti et al., 2006a,b), which usually implies the partitioning of the inventory in  
159 subsets. The sampling strategy affects the results of the susceptibility map (Yilmaz, 2010). Based  
160 on temporal, spatial or random criteria, the partition of landslide inventories can be made (Chung  
161 and Fabbri, 2003) and the most applied one is a one-time random selection (Reichenbach et al., 2018).  
162 In the current study, the random partition was used due to existing constraints with the temporal and the  
163 spatial partition. Therefore, sample data was divided into two parts: 70% of the data was selected as  
164 training data to create a prediction model, and the remaining 30% of the data was used for validation.

165 The computation of the area under the curve (AUC) is the most popular metrics to estimate  
166 the quality of model, which has been applied for ROC curves (Green and Swets, 1966). It is one  
167 of the most commonly used indicators. A typical two-entry confusion matrix, including true  
168 positives (TP), true negatives (TN), false positives (FP), and false negatives (FN), is another  
169 common index. In current study, both ROC curve and the contingency tables were used to





170 evaluate the susceptibility models established for landslides and debris flow.

## 171 **3.2 Random Forests**

172 Random forest (RF) is a powerful ensemble-learning method and was first introduced by Breiman  
173 (2001). RF uses the bagging technique (bootstrap aggregation) to select, at each node of the tree,  
174 random samples of variables and observations as the training data set for model calibration.  
175 Unselected cases (out of bag) are used to calculate the error of the model (OOB Error). The  
176 increase in OOB error is proportional to the importance of the predictive variable (Breiman and  
177 Cutler 2004). There are no restrictions on the types of variables, either numerical or categorical.  
178 RF has the ability to reduce errors caused by unbalanced data, which is suitable for susceptibility  
179 assessment.

180 In this study, the scikit-learn package (Pedregosa et al.,2011) in the programming software  
181 python version 3.7 was used for the modeling. The number of trees (k) and the number of  
182 predictive variables used to split the nodes (m) are two user-defined parameters required to grow a  
183 random forest (Ahmed et al.,2016). In order to ensure the algorithm convergence and good  
184 prediction results, the number of trees (k) has been fixed to 500 and the number of predictive  
185 variables (m) has been selected as 5 (Breiman et al.,2001).

## 186 **4. Results and verification**

### 187 **4.1 Landslides susceptibility mapping results**

188 In this study, the predictive accuracy, ROC curves and AUC values of the RF model using training  
189 data are showed in **Table 1** and **Fig. 4**. The RF model ensured very high TN and TP values of



190 92.86% and 93.57%, respectively. An AUC equals to 1 indicates perfect prediction accuracy  
 191 (Vorpahl et al., 2012). The RF model has great performance in terms of AUC, with value of 0.978.  
 192 Standard error (St.), confidence interval (CI) at 95% and significance (Sig.) are applied as three  
 193 evaluation statistics. All these results indicate a reasonable goodness-of-fit for models with the  
 194 training dataset, for which the values are reasonably small.

195 The task of validating the predicted results is the critical strategy in prediction models as  
 196 shown in **Table 3** and (**Fig. 4**). Consequently, the values of TN and TP were 92.90% and 90.0%,  
 197 respectively. It can be seen that the model has also a great performance in terms of AUC with  
 198 value of 0.977. In comparison with the training model, the accuracy and AUC values have slightly  
 199 decreased, but still perform well.

200 The landslide susceptibility map was also reclassified into five classes: very low (0~0.2), low  
 201 (0.2~0.4), moderate (0.4~0.6), high (0.6~0.8), very high (0.8~1) by using the equal spacing  
 202 method (**Fig.5**). The maps should satisfy two spatial effective rules: (1) The existing disaster  
 203 points should belong to the high-susceptibility class and (2) The high-susceptibility class should  
 204 cover only small areas (Bui et al. 2012). The number of units belonging to very high class reached  
 205 179, accounting for 17% (**Fig.6**). Disaster points were mostly in the dark (red or orange) areas.  
 206 The units belonging to moderate class accounted for the smallest proportion, at 13% (**Fig.7**).

207 The controlling factors with significant effects were selected and normalized as shown in  
 208 **Table 2**. The weight values of slope angle, distance to fault, plan curvature and topographic wetness  
 209 index was 0.21, 0.19, 0.17, 0.13 respectively, which was closely related to the occurrence of  
 210 landslide. The weight values of distance to road, maximum elevation difference, profile curvature  
 211 and elevation are less than 0.1 as 0.08, 0.08, 0.06, and 0.05, respectively (**Fig.7**).



## 212 4.2 Debris flow susceptibility mapping result

213 The debris flow susceptibility model perform well with a very high TN and TP values as 90.90%  
 214 and 91.18%, respectively. In terms of AUC, the model has also a great prediction performance  
 215 with the value of 0.979 (Fig.4). Three evaluation statistics also indicate a reasonable  
 216 goodness-of-fit for the model.

217 Table 1 shows that in the 30% sample data used for verification, the values of TN and TP  
 218 were 89.13% and 86.67%, which were slightly decreased compared to the training model. It can  
 219 be seen that the model has also a great performance in terms of AUC, with value of 0.968.

220 The number of units belonging to very high-class reached to 26, which is accounting for 15%  
 221 while the units belonging to high-class accounted for the smallest proportion at 13%. More than  
 222 half of the units (58%) belong to on a low or very low-class (Fig.6). Disaster points were mostly  
 223 in the dark (Bright or deep red) areas (Fig.5).

224 The weight values of main channel length, roundness and slope angel were 0.25, 0.16, 0.14  
 225 respectively, which has significant influence on the occurrence of debris flow. The weight values  
 226 of elevation, maximum elevation difference, melton and basin area are close to 0.1, which are 0.13,  
 227 0.12, 0.1, and 0.1 respectively(Fig.7).

## 228 4.3 Analysis and comparison of landslide and debris flow 229 susceptibility

230 It is worth comparing the two susceptibility zonation. In terms of prediction accuracy, the values  
 231 of TP, TN and AUC of landslide model are slightly higher than that of debris flow. However, both  
 232 models achieved high predictive performance. Therefore, the landslide and debris flow



233 susceptibility assessment models based on random forest are reliable. The purpose of the present  
234 study is to explore the potential relationship between landslides and debris flows by establishing  
235 susceptibility zoning maps. Figure 8 shows the overlapping distance between debris flow and  
236 landslide in high or very high-class of susceptibility areas. It can be seen from the figure that most  
237 of the areas with high or very high-class in the map of debris flow are covered with landslides.  
238 However, there are also non-overlapping areas between the two zoning maps. There are 23 units  
239 belonging to high-class in the debris flow susceptibility zoning map (**Fig.8**), of which 17 units are  
240 covered with high or very high-class units in the landslide zoning map (**Table 4**). In addition, there  
241 are 4 watershed units covered with low or very low class slope units. In the same way, 19  
242 watershed units belonging to very high-class are covered with high or very high-class slope units  
243 and 4 watershed units with low or very low-class slope units. In other words, more than 70% of the  
244 high or very high-class watershed units are covered with high or very high-class slope units.  
245 However, there are still 30% of watershed units with high or very high-class without the  
246 distribution of slope units in corresponding grades. It validated the previous view that most of  
247 landslides can be transformed into debris flows. Factor analysis was applied to further analyze the  
248 reasons for the difference. 36 watershed units with distribution of high-grade slope units were  
249 taken as model 1 and the left 8 watershed units as model 2. The KMO (Kaiser-Meyer-Olkin)  
250 statistic test values were 0.766 and 0.643 respectively, which indicated that the correlation  
251 between variables is obvious and suitable for factor analysis (**Table 5**). In model 1, the cumulative  
252 contribution rate of the three factors (C1, C2 ,C3 ) reached to 83.6%, while the cumulative  
253 contribution rate of the first four factors (F1, F2 ,F3 and F4 ) reached to 80.5% for model 2 (**Table**  
254 **6**). According to the correlation coefficient of each common factor (**Table 6**), the first common



255 factor mainly highlighted the information of basin area, main channel length and maximum  
256 elevation difference. Similarly, the second and the third common factor highlighted the  
257 information of slope angle and elevation and roundness, respectively. The difference between the  
258 two models is that the second model has the fourth common factor (**Table 7**), which emphasized  
259 the effects of rainfall and distance to the fault. The transformation from a landslide to a debris  
260 flow most often occurs during heavy rainfall (Takahashi, 1978), and the landslides are the source  
261 area. But landslides are not the only source of debris flows. The loose material distributed in the  
262 basin is not necessarily caused by landslide.

263 In turn, we analyze the distribution of high or very high-class slope units in watershed units.  
264 The landslide zoning map was put at the bottom floor and the debris flow zoning map on the top  
265 floor (**Fig8**). There are 167 slope units belonging to high-class in the landslide susceptibility  
266 zoning map (**Fig.6**), of which 68 units (accounting for about 40%) are distributed in the area of  
267 high or very high-class watershed units in the debris flow zoning map (**Table 8**). Besides, 69 slope  
268 units (accounting for about 41%) are distributed in the area of low or very low-class watershed  
269 units. Similarly, 53 slope units (accounting for about 30%) belonging to very high-class are  
270 distributed in the area of high or very high-class watershed units and 88 slope units (accounting  
271 for about 50%) in low or very low-class slope units (**Table 8**). Comparing with the extent of the  
272 landslide affecting the debris flow, the impact of the debris flow on the landslide is not obvious.  
273 This indicates that the area prone to debris flow does not promote the occurrence of landslides.

274 Finally, we took the center of gravity of 1,003 slope units as the potential hazard points and  
275 spread them over 174 watershed units. Thus, a combining susceptibility prediction map for  
276 landslide and debris flow was obtained (**Fig.8**). The darker the color, the higher the class of



277 susceptibility will be. It can be seen from the figure that the level of disaster susceptibility in the  
 278 south is generally higher than that in the north, and the area in the southwest is disaster-prone. The  
 279 northeast and central locations in the area are less likely to be affected by disasters and belong to  
 280 low-susceptibility areas. Green or yellow dots, which refer to slope units with very low or low-  
 281 class in the landslide zoning map, mainly distributed in light-colored areas but there are also quite  
 282 a few green or yellow dots distributed in dark areas, which means that the occurrence of debris  
 283 flow not necessarily depend on landslides. Blue or black spots are mainly distributed in dark areas  
 284 but there are also quite a few blue or black spots distributed in dark light areas, which means that  
 285 landslide is not the only condition for debris flow to occur. Most of the watershed units are  
 286 distributed with two or more colored dots, which means that there would be multiple slope units  
 287 with different susceptibility class in the same watershed. According to the susceptibility zoning  
 288 maps of landslide and debris flow, the study area can be divided into 4 categories: **(1)** Low or very  
 289 low-class watershed units coupled with low or very low-class slope units; **(2)** Low or very  
 290 low-class watershed units coupled with high or very high-class slope units; **(3)** High or very  
 291 high-class watershed units coupled with low or very low-class slope units; **(4)** High or very  
 292 high-class watershed units coupled with high or very high-class slope units. We assume that the  
 293 occurrence of landslides can bring rich sources of debris flow, thereby promoting or aggravating  
 294 the outbreak of debris flow, that is, forming a landslide-debris flow disaster chain. Therefore, the  
 295 susceptibility assessment of the landslide-debris flow chain in the study area can be roughly  
 296 divided into three classes, which are low, moderate and high (**Table 8**).



## 297 **5. Discussion**

### 298 **5.1 Method used for modeling**

299 Many researchers have used different statistically-based methods to evaluate the susceptibility of  
300 landslides or debris flows. Logistic regression and discriminant analysis are the most popular  
301 methods to use in traditional multivariate statistical analysis. The performance of new learning  
302 machines, such as support vector machines and neural networks, has also been verified. Random  
303 forest, as a newly integrated learning machine, has less application in landslide and debris flow  
304 analysis. Actually, random forests have powerful data processing capabilities and can  
305 simultaneously solve problems such as high-dimensional, unbalanced and data loss, which are  
306 common in geological disaster assessment. Most importantly, random forests can compare the  
307 important differences between features and have strong ability to resist overfitting and  
308 generalization, which is difficult to achieve by other statistical methods.

### 309 **5.2 Potential relationship between landslide and debris flow**

310 There is a certain similarity in the evaluation of the susceptibility of landslides and debris flows  
311 from the concept, the selection of controlling factors and the application of modeling strategies.  
312 Therefore, some researchers have neglected the difference between landslide and debris flow i.e to  
313 express two different disasters with the same susceptibility zoning map(Ciurleo et al., 2016;  
314 Ciurleo et al., 2017; Persichillo et al., 2017;). However, similarity does not always mean  
315 consistency. Many researchers have previously conducted studies into the debris flow mobilization  
316 from shallow landslide using a coupled methodology. They are interested in the dynamic  
317 simulation of debris flow based on the prediction of landslide susceptibility(Wang et al., 2013; Fan



et al., 2017). However, not every landslide evolves into a debris flow, which means that the analysis process is highly selective or uncertain. In the same way, the source of the debris flow is not limited to landslides. Therefore, the potential relationship between landslides and debris flows needs to be discussed more reasonably and effectively. There, the potential relationship between landslides and debris flows needs to be discussed more reasonably and effectively. In this paper, the corresponding influencing factors and mapping units are selected to establish landslide and debris flow susceptibility zoning maps, respectively. The potential relationship between landslide and debris flow is explored in two ways: 1) Superimposing the high or very high-class susceptibility areas in the two maps; 2) Transforming the slope units into points and distributed them on the watershed units. The relationship between landslide and debris flow is illustrated by the distribution of slope units of different grades on the watershed units with different prone grades.

### **5.3 Necessity and feasibility of combining multiple natural disaster susceptibility zoning maps**

Previous studies on susceptibility zoning mapping of disaster have agreed that one disaster corresponds to one map. Multiple disasters may be bred simultaneously in a watershed unit and it will cause some confusion in practical. For example, the probability of a disaster occurring in a watershed is negligible, while the probability of another disaster occurring is high. If so, we need to combine multiple zoning maps at the same time to give a comprehensive evaluation, which is arduous to achieve. On the one hand, the prediction accuracy and error of different zoning maps should be similar or even consistent. On the other hand, the dimensions of the mapping unit





339 should be consistent or complementary. The fact that the appropriate prediction method and  
340 mapping units applied to the two disasters makes it possible to merge the two zoning maps .In  
341 addition, two natural disasters with potential relationship are simultaneously reflected in the same  
342 susceptibility zoning map, which can better guide the implementation of engineering, such as  
343 landslide-debris flow disaster chain.

## 344 **6. Conclusion**

345 In this paper, susceptibility prediction models for landslides and debris flows are established  
346 through random forest, respectively and the performance of the models are excellent in terms of  
347 accuracy and goodness of fit. The potential relationship between landslide and debris flow is  
348 discussed by the superimposition of two zoning maps and the following conclusions can be drawn:

349 (1) The landslide and debris flow susceptibility prediction models based on random forest have  
350 great performance of accuracy and goodness-of-fit and have the ability to analyze the relative  
351 importance of different impact factors, which is suitable for the evaluation of natural disasters;

352 (2) Although most landslides will be converted into debris flows, the landslides are not  
353 necessarily the source of debris flows, and the loose sources carried by the debris flow are not  
354 necessarily brought by the landslides;

355 (3) By comparing the extent of the landslide affecting the debris flow, the impact of the debris  
356 flow on the landslide is not obvious, which indicates that the area prone to debris flow does not  
357 promote the occurrence of landslides;

358 (4) A susceptibility zoning map composed of two or more natural disasters is more  
359 comprehensive and significant, which provides valuable reference for researchers and engineering



360 applications.

## 361 **Data availability**

362 The data used to support the findings of this study are included within the article.

## 363 **Author contribution:**

364 Zhu Liang was responsible for the writing and graphic production of the manuscript. Changming Wang  
365 was responsible for the revision of the manuscript. Kaleem Ullah Jan Khan was responsible for the  
366 translation.

## 367 **Competing interests:**

368 The authors declare that they have no conflict of interest.

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488 **Table 1** The prediction accuracy of RF

	70%			30%			100%		
Test group	Total	TN	TP	Total	TN	TP	Total	TN	TP
Landslide (%)	93.14	92.86	93.57	91.75	92.90	90.00	92.72	92.87	92.50
Debris flow (%)	90.98	90.91	91.18	88.46	89.19	86.67	89.08	88.80	89.80

489 **Table 2** Controlling factors assigned by the RF

Test group	Slope angle	Distance to fault	Plan curvature	Topographic wetness index	Distance to road	Maximum elevation difference	Profile curvature	Elevation
Landslide	0.21	0.19	0.17	0.13	0.08	0.07	0.06	0.05

490 **Table 3** Controlling factors assigned by the RF

Test group	Main channel length	Roundness	Slope angle	Elevation	Maximum elevation difference	Basin Melton area
Debris flow	0.25	0.16	0.14	0.13	0.12	0.1

491 **Table 4** The overlap number of debris flow and landslide height and very high-class mapping units

Debris flow	Landslide			
	High	Very high	Low	Very low
High	3/23	1/23	5/23	12/23
Very high	2/26	2/26	8/26	11/26

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495 **Table 5** Statistical variables of the two models

	Model	Model 1	Mode 2
Statistical variables			
KMO		0.766	0.643
Sig.		0.001	0.003

496 **Table 6** The correlation coefficients between common factors and primitive variables

Factor	F1	F2	F3
NDVI	0.386	-0.336	-0.621
Basin area	0.897	-0.007	0.041
Main channel length	0.984	0.046	-0.023
Slop angle	-0.223	0.829	0.455
Maximum elevation difference	0.744	0.66	0.011
Rainfall	-0.768	0.33	0.201
Average gradient of main channel	-0.753	0.544	0.106
Drainage density	-0.844	0.06	0.015
Roundness	0.331	0.14	0.818
Elevation	0.133	0.846	0.382
Distance to fault	-0.16	0.211	0.421
Melton	-0.625	0.737	0.149
Contribution rate (%)	41.2	24.7	16.7



Accumulative contribution (%) 41.2 65.9 83.6

497

498 **Table 7** The correlation coefficients between common factors and primitive variables

Factor	C1	C2	C3	C4
NDVI	0.042	-0.079	-0.279	-0.813
Basin area	0.802	-0.344	0.057	0.009
Main channel length	0.885	0.126	-0.196	0.227
Slop angle	0.009	0.748	0.58	-0.057
Maximum elevation difference	0.801	0.434	-0.128	0.144
Rainfall	0.197	-0.076	-0.487	0.637
Average gradient of main channel	-0.744	0.205	0.15	-0.23
Drainage density	-0.776	-0.176	-0.267	0.117
Roundness	-0.014	0.022	0.896	-0.002
Elevation	0.34	0.746	0.25	0.326
Distance to fault	0.31	0.289	-0.344	0.757
Melton	-0.182	0.932	-0.192	0.061
Contribution rate (%)	29.2	20.3	15.2	15.8
Accumulative contribution (%)	29.2	49.5	64.7	80.5

499 **Table 8** The overlap number of landslide and debris flow height and very-high class mapping units

Landslide \ Debris flow				
	Very low	Low	High	Very high



High	36/167	33/167	25/167	43/167
Very high	48/179	40/179	25/179	28/179

500

501 **Table 9** Comprehensive evaluation of landslide-debris flow susceptibility

Landslide	Debris flow	
	Low or Very low	High or Very high
Low or Very low	Low	Moderate
High or Very high	Moderate	High

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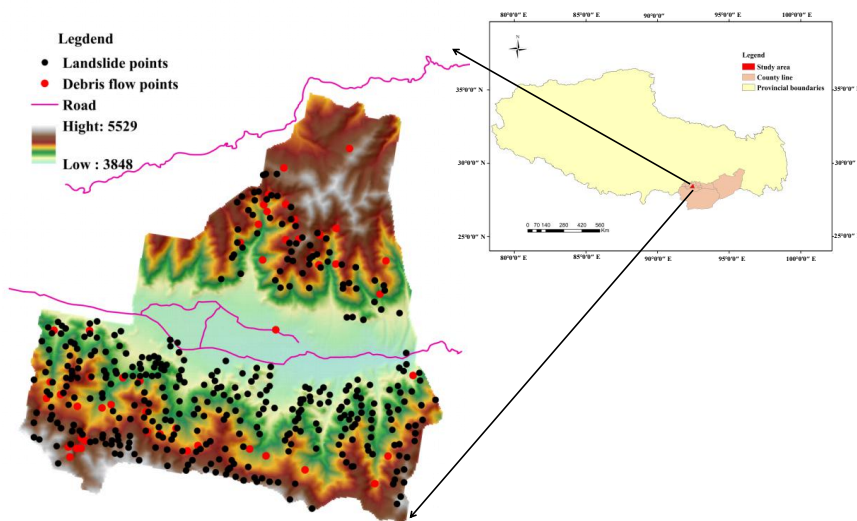
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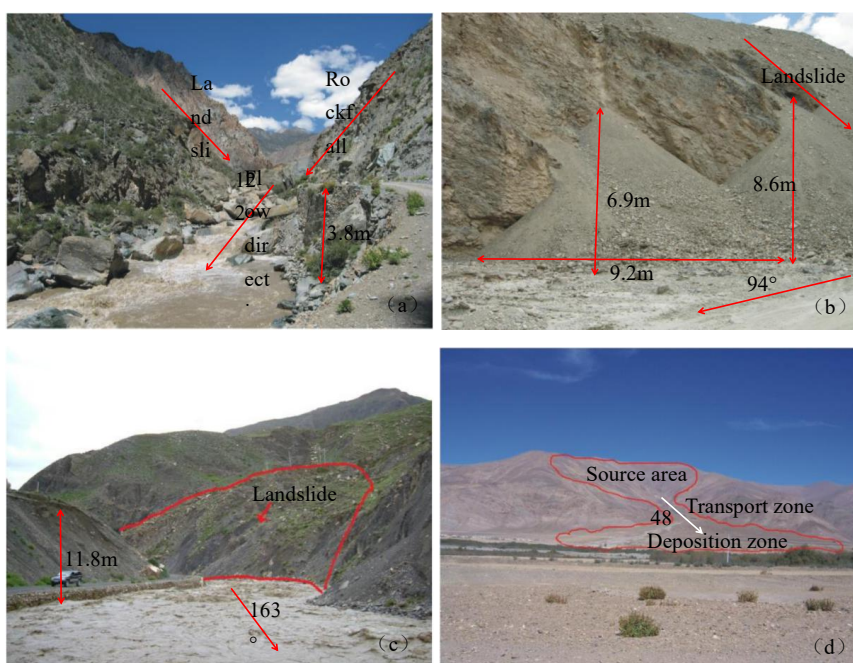
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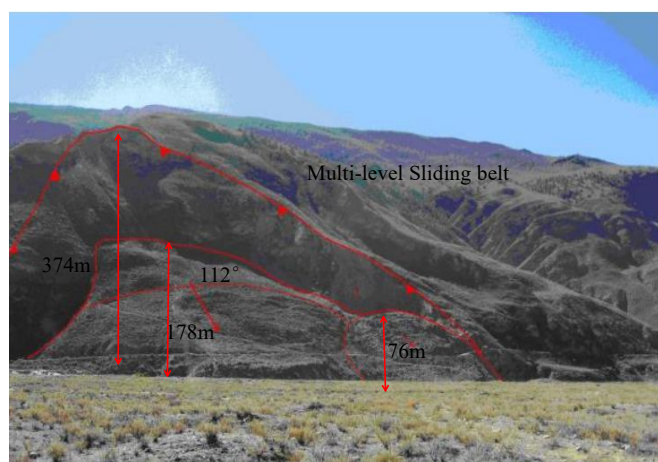
509 **Fig.1.** Location map of the study area showing landslide and debris flow inventory.



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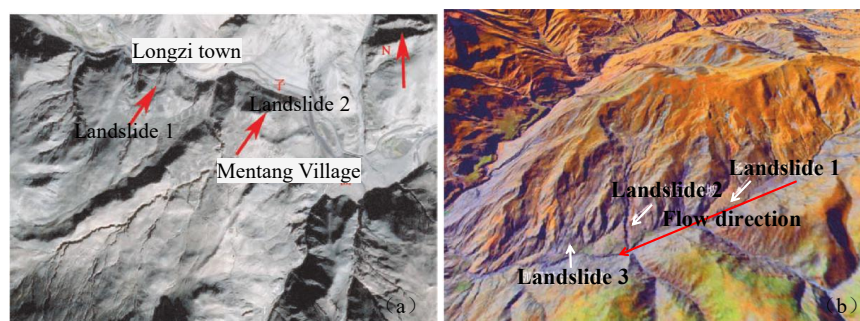
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512 **Fig.2.** Photos of landslide or debris flow: (a) Lunba landslide in a tributary; (b) Zhenqiong landslide in  
 513 Jiayu village; (c) Debris flow in Misha Township; (d) Debris flow in Lelong Village.



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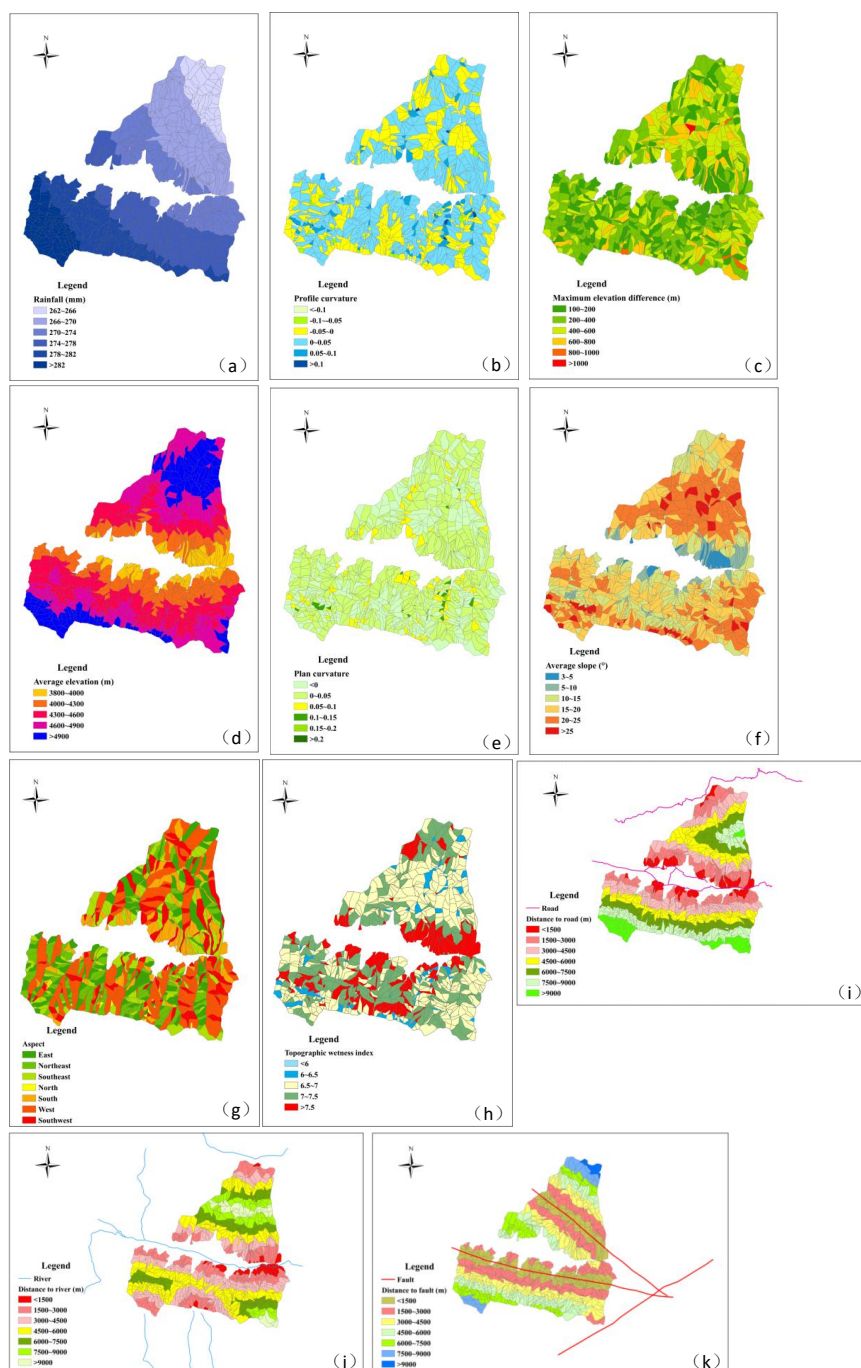
515 **Fig.3.** Multistage landslide in Xiongqu village



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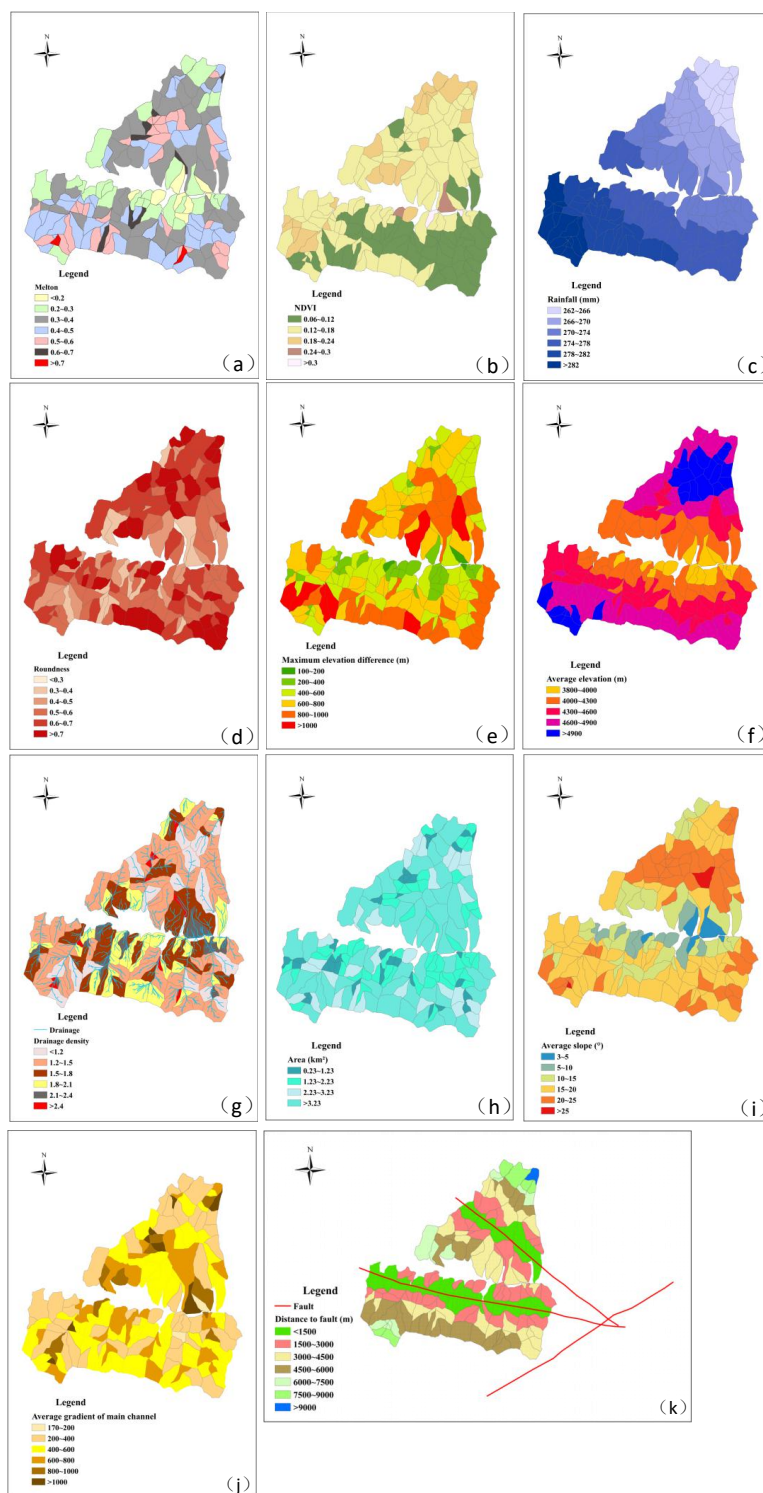
517 **Fig.4.** Stereo remote sensing map of landslides in Longzi Township (Tong et al., 2019)

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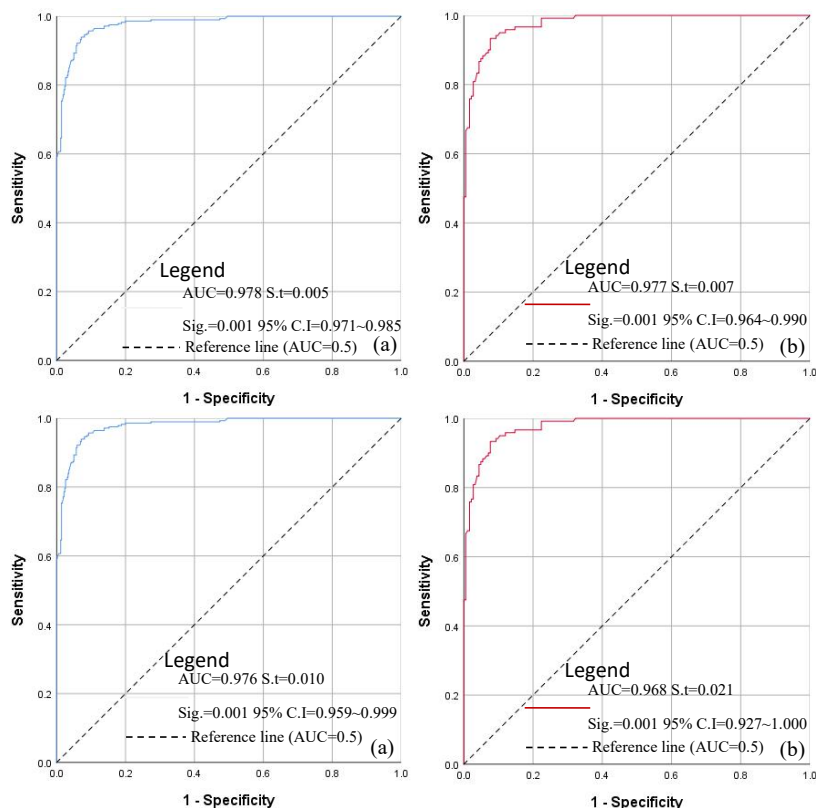
**Fig.5.** Study area thematic maps for landslide: (a) Rainfall; (b) Profile curvature; (c) Maximum elevation difference; (d) Average elevation; (e) Plan curvature; (f) Average slope; (g) Aspect; (h) Wetness; (i) Distance to road; (j) Distance to river; (k) Distance to fault.





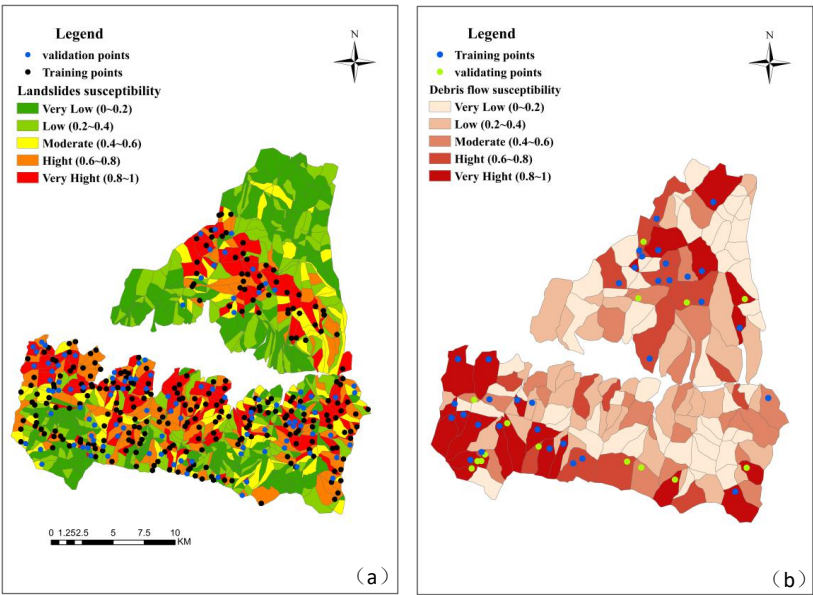


**Fig.6.** Study area thematic maps for debris flow: (a) Melton; (b) NDVI; (c) Rainfall; (d) Roundness; (e) Maximum elevation difference; (f) Average elevation; (g) Drainage density; (h) Area; (i) Average slope; (j) Average gradient of main channel; (k) Distance to fault.

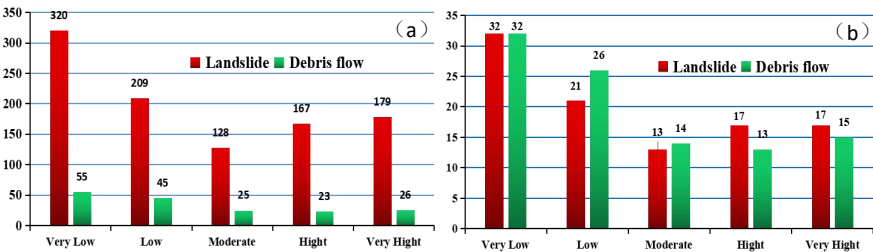


**Fig.7.** Analysis of ROC curve for the two susceptibility maps: (a) Success rate curve of landslide using the training dataset; (b) Prediction rate curve of landslide using the validation dataset; (c) Success rate curve of debris flow using the training dataset; (d) Prediction rate curve of debris flow using the validation dataset.

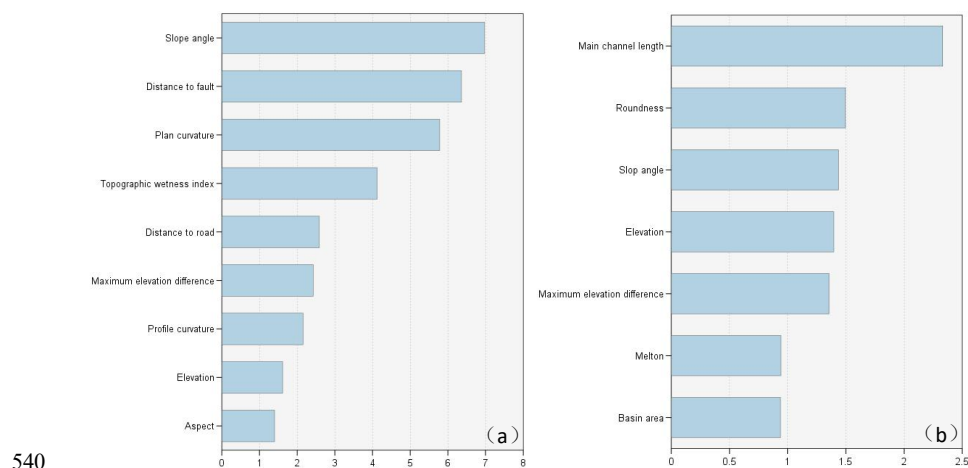




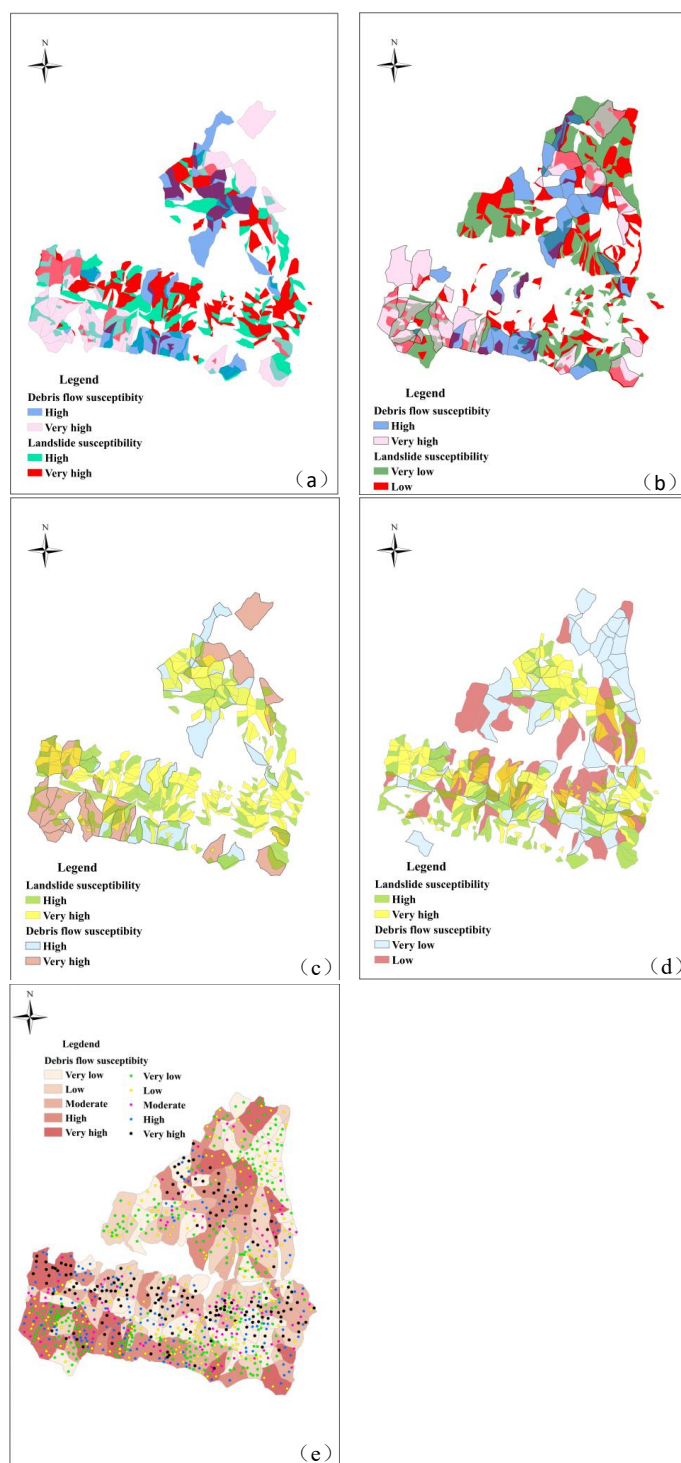
**Fig.8.** Susceptibility maps:(a)Landslide susceptibility zoning map;(b)Debris flow susceptibility zoning map.



**Fig.9.** Numbers and percentage of units in different susceptibility classes for landslide and debris flow:  
(a) Numbers of units in different susceptibility classes for landslide and debris flow; (b) Percentages of different susceptibility classes for landslide and debris flow.



**Fig.10.** Parametric importance graphics obtained from RF model: **(a)** Parametric importance graphics of landslide; **(b)** Parametric importance graphics of debris flow.





544     **Fig.11.** Landslide-debris flow susceptibility maps: **(a)** Height and very high-class watershed units with  
545     high or very high slope units; **(b)** High or very high-class watershed units with low or very low slope  
546     units; **(c)** High or very high-class slope units with high or very high-class watershed units; **(d)** Mapping  
547     units.  
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550