1	Assimilation of Himawari-8 Imager Radiance Data with the WRF-3DVAR
2	system for the prediction of Typhoon Soudelor
3	Feifei Shen 1,2,3* , $\ Aiqing\ Shu^1$, $\ Hong\ Li\ ^4$, $\ Dongmei\ Xu^{1,2,3}$, $\ Jinzhong\ Min^1$
4	
5	1. The Key Laboratory of Meteorological Disaster, Ministry of Education
6	(KLME)/Joint International Research Laboratory of Climate and Environment
7	Change (ILCEC)/Collaborative Innovation Center on Forecast and Evaluation of
8	Meteorological Disasters (CIC-FEMD), Nanjing University of Information Science &
9	Technology, Nanjing 210044, China
10	2. Heavy Rain and Drought-Flood Disasters in Plateau and Basin Key Laboratory
11	of Sichuan Province, Chengdu, China
12	3. The Institute of Atmospheric Environment, China Meteorological Administration,
13	Shenyang 110000, China
14	4. Shanghai Typhoon Institute, China Meteorological Administration, Shanghai
15	200030, China
16	
17	
18	

Abstract

19

20

21

22

23

24

25

26

27

28

29

30

31

32

33

34

35

Himawari-8 is a new generation geostationary meteorological satellite launched by Japan Meteorological Agency. It carries the Advanced Himawari Imager (AHI) onboard, which can continuously monitor high-impact weather events with high frequency space and time. The assimilation of AHI radiance data was implemented with the three-dimensional variational data assimilation system of Weather Research and Forecasting model for the analysis and prediction of Typhoon Soudelor (2015) in the Pacific Typhoon season. The effective assimilation of AHI radiance data in improving the forecast of the tropical cyclone during its rapid intensification has been realized. The results show that after assimilating the AHI radiance data under clear sky conditions, the typhoon position in the background field of the model was effectively corrected compared with the control experiment without AHI radiance data assimilation. It is found that the assimilation of AHI radiance data is able to improve the analyses of the water vapor and wind in typhoon inner-core region. The analyses and forecasts of the minimum sea level pressure, the maximum surface wind, and the track of the typhoon are further improved.

- Key words: Weather Research and Forecasting model; Three-Dimensional
- 36 Variational Data Assimilation; AHI Radiance Data; Typhoon

1. Introduction

38

39

40

41

42

43

44

45

46

47

48

49

50

51

52

53

54

55

56

57

58

In recent years, although researchers have made great progress in the field of numerical weather prediction (NWP), the huge challenges are encountered in the accurate forecasts of tropical cyclones (TCs) with rapid intensifications (DeMaria et al., 2014). The predictability of these TCs is limited because it entails complex multi-scale dynamic interactions (Minamide and Zhang 2018). These interactions airflows. TC include environmental vortex interactions, atmosphere-ocean interactions, and the effects of mesoscale and micro-convective scale, together with the microphysics and atmospheric radiation. In order to attain a better initial condition and improve the accuracy of the forecast, data assimilation seeks to fully utilize the observations. The life span of most TCs is over the ocean where conventional observations are relatively insufficient compared to the land. Therefore, by analyzing observed data from satellites and planes over the ocean, it is crucial to adopt effective data assimilation (DA) methods to improve the analysis and forecast of TCs.

With the rapid development of atmospheric radiative transfer model, many numerical weather prediction centers have adopted variational DA method to assimilate a variety of radiance data from different satellite observation instruments (Bauer et al., 2011; Buehner et al., 2016; Derber et al., 1998; Hilton et al., 2009; Kazumori et al., 2014; McNally et al., 2006; Prunet et al., 2000). These data can take up 90% of all data used in global DA system and can improve the accuracy of the numerical model results strikingly (Bauer et al., 2010). Some researches demonstrated

that in global model, satellite radiance DA makes more contribution to improving the accuracy of the numerical model results than conventional observation DA does (Zapotocny et al., 2007, Yan et al., 2010; Geer et al., 2017).

Generally speaking, radiance data are derived from microwave and infrared detecting instruments, which are from polar-orbit satellites and geostationary satellites, respectively. Polar-orbit satellites cover the sphere of all the earth, thereby suitable for global NWP models (Jung et al., 2008). Besides, they have finer resolutions compared to geostationary satellites (Li et al., 2017; Shen et al., 2015; Xu et al., 2013). However, it is highlighted that they are not able to generate continuous observations for a fixed regional area and so may miss rapidly intensified TCs or storms. On the contrary, because geostationary satellites rotate with the earth, although their resolutions are lower than that of polar-orbit satellites, they can capture the formation and development of mesoscale convective systems by continuous monitoring (Montmerle et al., 2007; Stengel et al., 2009; Zou et al., 2011).

Geostationary satellites are able to continuously detect a region at a higher frequency, thus observing TCs over the vast ocean effectively. As the first new generational geostationary satellite, Himawari-8 plays a pioneering role for the geosynchronous imagers to be launched in US, China, Korea and Europe. It has an advanced imager called Advanced Himawari Imager (AHI) with 16 visible and infrared bands, including 3 moisture channels, which can conduct a full-disk scan every 10 minutes. Meanwhile, it can also acquire regional scanning images and that is

to say it can scan the Japan and the target areas every 2.5 minutes. Compared to the early geosynchronous imagers, AHI has more spectrum bands and this can monitor the state of atmosphere with a higher frequency.

80

81

82

83

84

85

86

87

88

89

90

91

92

93

94

95

96

97

98

99

100

In recent years, some experts and scholars have carried out some studies on the data assimilation of geostationary satellite observations. Firstly utilizing Gridpoint Statistical Interpolation (GSI) from National Centers for Environmental Prediction (NCEP), Zou, et al (2011) conducted direct assimilation on imagers' data from GOES-11 and GOES-12 to estimate their potential influences on quantitative precipitation forecasts (QPF) of coastal regions in the eastern part of American. They found that assimilating radiance data from GOES's imager has a remarkable improvement on 6 to 12 hour's QPF near northern Mexico Gulf coast. Their work was continued by Qin, et al (2013), which put thinned radiance data into GSI system to make a comprehensive investigation on the issue on combined assimilation of GOES Imager data together with Advance Microwave Sounding Unit-A (AMSU-A), Advance Microwave Sounding Unit-B (AMSU-B), Atmospheric Infrared Sounder (AIRS), Microwave Humidity Sounder (MHS), High Resolution Infrared Radiation Sounder (HIRS), GOES Sounder (GSN). The results showed the effect of single assimilation of AHI radiance data are better than combined assimilation in term of precipitation forecast. Zou, et al (2015) adopted the GSI system to assimilate radiance data from four infrared channels on GOES-13/15 and set up two experiments for comparison. A symmetric vortex was used for initialization in the first experiment and

an asymmetric counterpart for the other experiment. Results showed that direct assimilation of GOES-13/15's radiance data could yield positive effects on the track and intensity forecasts of hurricane "Debbie". As the new instrument of himawari-8, there are few studies on the DA of himawari-8 data. Ma, et al (2017) used four-dimensional ensemble variational (4DEnVar) DA in NCEP's GSI system to assimilate radiance of three moisture channels of AHI radiance data under clear-sky condition and then NCEP Global Forecast System (GFS) was utilized to estimate the impacts of AHI radiance data assimilation on weather forecast. They found it had a positive impact on the forecast of global vapor at high level of troposphere. Wang, et al (2018) investigated the impact of assimilating three water vapor channels under clear sky on the analysis and forecast of a rainstorm in Northern China with the 3DVAR method. It pointed out that the assimilation of AHI radiance data could improve the wind and vapor fields and the accuracy of rainfall forecast in the first 6 hours lead time.

Although previous researches have made several achievements in satellite data assimilation and application, it is still a challenge to make more effective use of the new generational geostationary satellite imager data with high spatial and temporal resolution. In most of the previous studies, researches usually use a 6 hour's or even longer time interval with a coarse spatial resolution. Therefore, the rapid updating assimilation techniques of the geostationary satellite radiance data have not been well carried out at convective scale. This study intends to build a data assimilation system

aiming at AHI radiance data based on the new generational mesoscale Weather Research and Forecasting (WRF) model. A case of Typhoon Soudelor is studied by performing numerical simulation to address the impacts of convective DA on the improvement of the initial conditions of TC and the enhancement of track and intensity forecasts. Our study focuses mainly on assimilating the three water vapor channels (6.2, 6.9, and 7.3μm) since they are very sensitive to the humidity in the middle and upper troposphere and have a certain effect on the lower troposphere. Thus, a large amount of effective atmospheric information can be provided for AHI radiance data assimilation in the troposphere. The weighting functions for the three channels are provided in Fig. 1.

Section 2 describes the observations and the data assimilation system. Introductions to the typhoon case and the experimental setup are provided in section 3. The detailed results in terms of the analyses and the forecasts are illustrated in section 4 before conclusions are summarized in section 5.

2. Observational data and DA system

2.1 An introduction to Himawari-8 AHI radiance data

Himawari-8 satellite was launched by JMA to a geosynchronous orbit on 17 October 2014 and has begun its operational use since 7 July 2015 (Bessho et al., 2016). It is located between the equator and 140.7°E, thus the earth is observed between 60°N and 60°S meridionally and between 80°E and 160°W zonally. Compared to its previous generation Himawari-7, its detective ability can get

significantly improved since the instrument AHI on Himawari-8. Besides, its device is comparable to imagers on American GOES-R satellite (Goodman et al., 2012; Schmit et al., 2005; Schmit et al., 2008; Schmit et al., 2017). AHI is able to provide a full-disk image every 10 minutes and complete a scan over Japan every 2.5 minutes. AHI conducts continuous scan and detection on a moving targeted typhoon. It has 16 channels covering visible, near-infrared, and infrared spectral bands with a resolution of 0.5 km or 1 km, and 2 km respectively. Channel 8 to 10 (6.2, 6.9, and 7.3 μm) are water vapor bands that are sensitive to the humidity in the middle and upper troposphere (Di et al., 2016). Other channels (channel 11, 12, 16: 8.6 μm, 9.6 μm, and 13.3μm) are either monitoring other fields such as the thin ice clouds, volcanic SO₂ gas, the ozone or CO₂, or the atmospheric window channels (13-15: 10.4, 11.2, and 12.4 μm) function as monitors for ice crystal/water, low water vapor, volcanic ash, sea surface temperature and other phenomena (Bessho et al., 2016).

2.2 WRFDA system and AHI radiance data

WRFDA system is designed by National Center for Atmospheric Research (NCAR) and it contains 3DVAR, 4DVAR, Hybrid parts. This research is based on the 3DVAR method. An interface that is suitable for AHI DA is built in WRFDA system. Currently, WRFDA is able to assimilate many conventional and unconventional observations. In terms of satellite radiance data, this system is compatible with the Radiative Transfer model of the Television and Infrared Observational Satellite Operational Vertical sounder (RTTOV) and Community Radiative Transfer Model

(CRTM, Liu and Weng, 2006) as observation operators. In this study, CRTM is utilized as the observation operator to simulate and compute AHI radiance data. Estimating the systematic bias and random error of the observations caused by the errors of numerical models and instruments are the key factors to directly assimilate the satellite radiance data. Apart from eliminating cloud pixels, other procedures are implemented inside the data assimilation framework for the quality control are as follows. (1) when reading the data, remove the observed outliers with values below 50 K or above 550 K; (2) only the marine observations are applied by removing the observations on the land and the observations over complex surfaces; (3) remove observations when the observation minus the background is larger than 3 times of the observation error; (4) the pixels are removed when the cloud liquid water path calculated by the background field of the numerical model is greater than or equal to 0.2 kg/m2; (5) eliminate the data when the observation minus background is greater than 5 K. These two parameters are used for these radiances on different sensors of various satellites such as AMSU-A, MHS, and the Advanced Microwave Scanning Radiometer 2 (AMSR2) (Wang et al., 2018, Yang et al., 2016).

164

165

166

167

168

169

170

171

172

173

174

175

176

177

178

179

180

181

182

183

By using 3DVAR algorithm, the assumption is that there is no bias between observation and background (Dee et al., 2009; Liu et al., 2012; Zhu et al., 2014). A bias correction scheme for observation is essential before DA. Usually, radiance bias can be obtained by a linear combination of a set of forward operators.

184
$$\tilde{H}(x,\beta) = H(x) + \beta_0 + \sum_{i=1}^{N_p} \beta_i p_i$$
 (1)

Here, H(x) represents the initial observation operator (before the bias correction) and x represents the mode state vector. N_p is the number of the predictiors. β_0 represents a constant component of the total bias (constant part), while P_i and β_i represent the i-th predictor and its coefficient respectively. In this study, four potentially state-dependent predictors (1000–300 hPa and 200–50 hPa layer thicknesses, surface skin temperature, and total column water vapor) are applied. The variational bias correction (VarBC) scheme is utilized to update the bias correction coefficient variationally with the new observation operator considered in the cost function of 3DVAR.

3. Introduction to the typhoon and experimental design

3.1 Typhoon Soudelor

From the record of the China Meteorological Administration (CMA), Typhoon Soudelor was the 13th typhoon in 2015 as the second strongest tropical cyclone in that year. At 1200 UTC 30 July 2015, it formed at northwest Pacific Ocean as a tropical storm at 13.6° N, 159.2° E, then moved north-westwards. It upgraded to a strong tropical storm at 2100 UTC 1 August 2015. Afterwards, it went through a process of rapid intensification. It became a typhoon at 0900 UTC 2 August 2015, a strong typhoon at 2100 UTC 2 August 2015, a super typhoon at 0900 UTC 3 August 2015. Then it weakened to a strong typhoon in the morning on 5 August 2015. However, it

intensified to a super typhoon again at 1200 UTC 7 August 2015 with a maximum surface wind of 52 m s⁻¹, moving west by north, and its intensity raised to its second peak. It was reduced to a strong typhoon again at 1800 UTC 7 August 2015. It decreased to a typhoon, entering to Taiwan Strait. It landed again as a typhoon at 1410 UTC on the coast of Fujian Province, China. Owing to continuous orographic friction, it decreased to a tropical depression. Fig. 2 shows the track of Soudelor and different color lines represent typhoon's maximum surface wind. It is displayed that after the formation of typhoon, its track is relatively stable. After July 30, the tropical depression moved west by north at a speed of about 20 km/h. Its moving tendency changed slightly within 10 days of its generation. However, its intensity went through a rapid intensification, a weakening, a second intensification, following by a continuous weakening after landing on the China. Fig. 3 demonstrates the variation of typhoon's intensity from 31 July 2015 to 5 August 2015. It is shown that typhoon's maximum surface wind increased fast, while its minimum sea level pressure decreased sharply. This was the stage of typhoon's rapid intensification. The periodf rom 1 August 2015 to 3 August 2015 during its rapid intensification is selected.

3.2 Experimental design

204

205

206

207

208

209

210

211

212

213

214

215

216

217

218

219

220

221

222

223

224

Two experiments are designed to investigate the effects of AHI radiance data direct assimilation on the analysis and forecast of Typhoon Soudelor starting from 1800 UTC 1 August 2015 to 0000 UTC 3 August 2015. WRF 3.9.1 is employed as the forecast model in this experiment. Arakawa C grid is used in the horizon with a 5 km

grid distance. As is known, Arakawa A grid is "unstaggered" by evaluating all quantities at the same point on each grid cell. The "staggered" Arakawa B-grid separates the evaluation of the velocities at the grid center and masses at grid corners. Arakawa C grid further separates evaluation of vector quantities compared to the Arakawa B-grid. Vertically, it has 41 eta levels using 10 hPa as its top with coarser vertical spacing for the higher levels. The center of the model domain is located at (17.5 °N, 140 °E) (Fig. 4). The initial condition and lateral boundary are provided by 0.5°×0.5° Global Forecasting System (GFS) reanalysis data. The following parameterization schemes are used: The following parameterization schemes are used: WDM6 microphysics scheme (Lim et al., 2010), Grell Devenyi cumulus parameterization scheme (Grell et al., 2002), Rapid Radiative Transfer Model (RRTM) longwave radiation scheme (Mlawer et al., 1997), shortwave radiation scheme (Dudhia et al., 1989), and YSU boundary layer scheme (Hong et al., 2006).

The experimental procedures are illustrated by Fig. 5. Firstly, a 6-hour's spin-up conducted initialized from 1800 UTC 1 August 2015 to prepare the background field for the data assimilation at 0000 UTC 2 August 2015. The 6-hour spin-up period is commonly applied to initialize the typhoon or hurricane system the data assimilation experiments, although longer spin-up period is also acceptable to introduce more model errors in the background such as 12-hour or 24-hour. The first experiment is assimilating GTS (Global Telecommunications System) conventional data (including aircraft report, ship report, sounding report, satellite cloud wind data, ground station

data) only, which is called control experiment (CTNL). Another experiment is configured with AHI radiance data assimilation (AHI_DA). AHI radiance data is assimilated hourly further from 0000 UTC to 0600 UTC on 2 August 2015. Afterwards, a 48 hours forecast is launched as the deterministic forecast. The climatological background error (BE) statistics are estimated using the National Meteorological Center (NMC) method. There are 5 control variables applied in this study including U component, V component, full temperature, full surface pressure, and pseudo-relative humidity. The observation error for each channel is estimated based on the observed brightness temperature minus background brightness temperature (OMB) from 0000 UTC on 1 August 2015 to 0000 UTC on 3 August 2015 every 6 hours.

Fig. 4 also shows the distribution of GTS observation data at the simulated domain at 0000 UTC 2 August 2015. It is proved that raw radiance observations thinned to a grid with 2–6 times of the model grid resolution are able to remove the potential error correlations between adjacent observations (Schwartz et al., 2012; Xu et al., 2015; Choi et al., 2017). Hence, 20 km is chosen to make thinning of AHI radiance data. Also, sensitivity experiments with 25 km, and 30 km thinning mesh are also conducted with similar results (Wang et al., 2018). The length scale and the variance scale are set to be 0.5 and 1 respectively after several sensitivity experiments conducted on tuning the background error. Similar conclusions are also found in Shen and Min (2015) with the scale factors related to

the static background error covariance.

4. Results

267

268

269

270

271

272

273

274

275

276

277

278

279

280

281

282

283

284

285

286

287

4.1 Minimization iterations

In this study, minimization stops when the norm of the gradient for the cost function is reduced by a factor of 0.01, which is commonly used in data assimilation procedures. Inner minimization stops either when the criterion of the cost function gradient is met or when inner iterations reach 200. Fig. 6 shows the cost function and gradient with the iteration times. It is found that, for this case, the criterion of the cost function gradient decrease is met. There is an obvious exponential decrease curve in Fig. 6a, while Fig. 6b shows gradient decreases with the increase of iteration times. It is seen from Fig. 6a that cost function decreases remarkably in the first 10 iterations. However, after 30 times of iteration, the cost function curve becomes smooth gradually. The differences between background field and observation were largest. With continuous iterations, background field goes through continued adjustments. Finally, the cost function tended to reach a stable minimum that represents the point when cost function has its optimal solution. Besides, the gradient in Fig. 6b decreases generally with increasing iterations. The exponential decrease of the cost function and the change trend of its gradient indicate that the effectiveness of AHI radiance DA. The final iterated analytical field was close to the observation. The wall clock times used by CTNL and AHI DA for the data assimilation procedures are rather comparable with roughly 30 minutes and 40 minutes on a Linux workstation with 36

processors. It should be pointed out that computational cost of the deterministic forecast and the pre-process for gribbed GFS data are same in these two experiments.

4.2 Analytical results of the brightness temperature

288

289

290

291

292

293

294

295

296

297

298

299

300

301

302

303

304

305

306

307

308

Figs. 7a, c, and, e show the distribution of OMB, while the observed brightness temperature minus the simulated brightness temperature from the analyses (OMA) after the bias correction of AHI radiance data are presented in Figs. 7b, d, and f from channels 8, 9, and 10 at 0000 UTC 2 August 2015. It should be pointed that even only parts of the AHI radiance data (roughly 20000 clear sky pixels of total 50000 pixels for each DA cycle) are applied after quality control in the data assimilation, the radiative transfer model is able to simulate the brightness temperature for all the model grid point with the background and the analysis respectively for the verification purpose. The similar verification method is also applied in Yang et al., (2016). In the Fig. 7a, part of typhoon's spiral cloud belt was clearly visible. The brightness temperature in typhoon's inner-core area was low, while the brightness temperature in other areas was high. The mean of observed OMB was -4.65 K, indicating that the background brightness temperature was higher than the observation. It is found in Fig. 7b that the OMA values of most pixels were below 0.02 K, indicating that the analytical field fitting the observation after analyzing. It can be inferred from Figs. 7a, c, and e that the magnitude in OMB of channel 10 was generally larger than that of channel 9, while that of the OMB in channel 8 was the smallest. This is because the detection height of channel 10 was lower than that of channel 8 and 9 seen from the

weighting function (Fig. 1), indicating channel 10 is largely affected by the clouds. Conversely, the weighting peak of the channel 8 was the highest, being least affected by the clouds. In general, the simulated brightness temperature from the analyses matched well with the observed brightness temperature of all the three water vapor channels after the assimilation of AHI radiance data.

309

310

311

312

313

314

315

316

317

318

319

320

321

322

323

324

325

326

327

328

329

330

331

332

333

334

To validate the effect of the bias correction for AHI radiance data at 0000 UTC 2 August 2015, the scatter plots of the observed brightness temperature and the brightness temperature from the background before the bias correction are shown in Figs. 8a, d, and g. Similarly, the results after the bias correction are provided in Figs. 8b, e, and h. From Fig. 8a, before the bias correction, the values from the observation and the background were comparable, but most of the scatter points were below the diagonal line. This suggests that the observed brightness temperature was higher than the background simulated brightness temperature. From Fig. 8b, after the bias correction, the observed warm bias was corrected to some extent with the root mean square error (RMSE) of OMB decreasing from 1.864 K to 1.627 K and the average decreasing from 0.956 K to 0.358 K. The scatter plots of the observed brightness temperature and the brightness temperature from the analyses after the bias correction are shown in Figs. 8 c, f, and j. Compared to the result of Fig. 8b, the scatters in Fig. 8c were more symmetrical, fitting closely to the diagonal line. The mean and RMSE were also significantly reduced, suggesting that the analytical fields match better with the observation than background field. Among them the RMSEs of channel 10 are smallest compared to those from channels 8 and 9 for the OMB and OMA samples, which is likely related to strict cloud detection scheme for channel 10 with rather lower detecting peak (Wang et al., 2018).

Fig. 9 shows the observation numbers, the mean, and the standard deviation of OMB and OMA of channels 8, 9, and 10 before and after the bias correction. It can be

seen that after the quality control, 24057, 24181, 21785 observations are adopted in the DA system for channels 8, 9, and 10, respectively. From the mean value of OMB before the bias correction, the value of the three channels was relatively small, indicating that the simulated brightness temperature of the three channels was close to the observed brightness temperature. The lowest mean of 0.3 K was found in channel 10, indicating that the simulated brightness temperature of channel 10 was closest to the observed brightness temperature. Bias correction effectively corrected the systematic bias and reduces the mean value of observation residuals. After the bias correction, the OMB mean value of the three channels significantly decreases to nearly 0 K. With the bias correction, the simulated brightness temperature was almost the same as the observed brightness temperature. The standard deviations (stdv) of OMB were comparable before and after the bias correction, since they are calculated by subtracting the mean of the bias. It is found that the bias was corrected effectively with an overall same magnitude of bias for each pixel, leading the stdy almost same before and after the bias correction. The standard deviation of OMA decreased by about 80% compared to OMB, indicating that the analyses fit better with the observations after the data assimilation. Differences between the standard deviations of the OMB and OMA were statistically significant at the 95% level using zero difference for the null hypothesis.

335

336

337

338

339

340

341

342

343

344

345

346

347

348

349

350

351

352

353

354

355

The RMSEs of the simulated brightness temperature by the NWP model before and after the assimilation are also calculated against the AHI radiance observations.

Fig. 10 shows the RMSEs during the DA cycles for channels 8, 9, and 10. As can be seen from Fig. 10, RMSE decreased after each analysis in AHI_DA. The most significant improvement was from the first analysis cycle of channel 8, where RMSE of the brightness temperature after assimilation significantly decreases from 1.64 K to 0.46 K, possibly due to the largest adjustment on the background for the first analysis time. The background before the assimilation was the short-term forecast from the previous analysis. The increase of the RMSE in the fluctuation arised from the model error in the 1 hour short-term forecast. Overall, the effect of the analysis from the channel 10 was most significant.

4.3 Analysis of the typhoon structure

Fig. 11 shows the wind field at sea level and the distribution of water vapor at 850 hPa at 0000 UTC 2 August 2015. The obvious cyclonic eddy circulation structures in the core area of the typhoon are found in both fields, while the anti-cyclonic circulation existed in the northwest quadrant of the typhoon. The mixing ratio of water vapor in the region where the typhoon located was very high and the wind field is cyclonic, indicating that the typhoon has a continuous water vapor advection. This contributed to the enhancement of typhoon (Kamineni, et al., 2003). From the flow field of the control experiment in Fig. 11a, the water vapor convergence in the center of the typhoon region was weak with the low intensity and smaller coverage. As can be seen from Fig. 11b, after the assimilation of AHI radiance data, the streamlines in the typhoon region become denser, indicating that the cyclonic

circulation was strengthened. Conversely, the intensity and distribution of the water vapor after the assimilation of AHI radiance data tend to contribute to the developing typhoon. This suggests that the field outside of the typhoon center was also adjusted as the assimilation of AHI radiance data was able to improve the large-scale environmental field in the simulation region of Typhoon Soudelor. It should be pointed out that the model status in the cloudy area was modified due to the spatial correlation in the background error covariance. The similar findings for small-scale information in the cloudy area can also be referred in Wang et al., (2018).

4.4 Track forecast

In order to further evaluate the effect of AHI radiance data assimilation, a 48-hour deterministic forecast was launched with the analyses initialized from 0000 UTC 2 August 2015 and 0600 UTC 2 August 2015 respectively. The best track data are provided by the CMA (Yu et al., 2007; Song et al., 2010). The improvement is most obvious at the start and end point. As can be seen in Fig. 12a, at the beginning of the forecast, the initial location of the typhoon from the CTNL experiment has large south bias and east bias at 0000 UTC and 0600 UTC respectively. Conversely, the location of the typhoon in AHI_DA is relatively closer to the observation at the beginning.. During the following few hours of forecasts, the typhoon track predicted by the CTNL continues to show a south-west bias with the environmental wind, while the track predicted by AHI_DA match better with the best track. Fig. 12c shows the averaged typhoon track error over the two forecasts predicted by the two experiments. At the

initial time of the forecast, the track errors of CTNL and AHI_DA were significantly different, with the magnitude of 55.6 km and 13.4 km, respectively. During the subsequent 48-hour forecast, the track error of the CTNL gradually increases with the forecast time reaching 167.1 km at the end of the forecast. In contrast, the track error of AHI_DA is consistently less than 122.5 km during the 48-hour forecast period. In general, the average track error of the CTNL is 168.57 km, and the average track error of AHI_DA experiment is only 67.0 km, indicating a significant improvement in the track prediction.

Fig. 13 provides the time series of the typhoon intensity from the two experiments in terms of the averaged maximum surface wind and minimum sea level pressure error over the two forecasts initialized from 0000 UTC 2 August 2015 and 0600 UTC 2 August 2015 respectively. It can be seen that the maximum surface wind error predicted by the AHI_DA was much lower than that by the CTNL for the first 30 hours, due to the overall under estimation for the intensity of Typhoon Soudelor simulated in the background field. The maximum surface wind errors of AHI_DA are generally smaller than those of CTNL. It should be pointed out that the difference between the maximum surface wind errors of the two experiments reaches up to 7.5 m s-1 after 24-hour forecast. In Fig. 13b, the results of the minimum sea level pressure are consistent with Fig. 13a, while the improvement for the minimum sea level pressure lasts for 40 hours.

5. Conclusion

419	An interface for AHI radiance data assimilation on the WRFDA system based on
420	the 3DVAR assimilation method was built. Based on the Typhoon Soudelor in 2015,
421	two experiments for comparison was designed to examine the impact of AHI water
422	vapor channel radiance data assimilation on the analysis and prediction of the rapid
423	development stage of Typhoon Soudelor under clear sky condition. Following
424	conclusions are obtained:
425	(1) The AHI radiance data on the new generation of geostationary meteorological
426	satellite is able to reflect the structure of Typhoon Soudelor very clearly. After a series
427	of pre-procedures such as the quality control, the bias correction, cloudy pixels are
428	able to effectively be eliminated, ensuring the validity and rationality of the Ahi
429	radiance data. The biases are also eliminated from the VarBC statistical method,
430	which is able to provide a positive impact on the data assimilation procedure for the
431	typhoon numerical simulation.
432	(2) Compared with the control experiment with only GTS data, the 3DVAR
433	assimilation including AHI radiance data is able to improve the structure of typhoon's
434	core and outer rain band. Also, the position and intensity of typhoon in the
435	background field are able to be corrected.
436	(3) Generally, the track and minimum sea level pressure from the AHI radiance data
437	assimilation experiment match better with the best track than the control experiment
438	does for the subsequent 48-hour forecast. The maximum surface wind forecast error is

reduced only for the first 30-hour.

439

440

441

442

443

444

445

446

447

448

449

450

451

452

453

454

455

456

457

458

459

In this study, the AHI water radiance data assimilation is conducted under the clear sky condition. The results of the experiments indicate that AHI radiance data assimilation has a positive effect on the analysis and prediction of rapidly intensifying TC. Although, the whole developing stages of Typhoon Soudelor include a rapid intensification, a weakening, a second intensification, only the first intensification during 1 August to 4 August considered as the numerical period. It is worth investigating the impact of AHI data assimilation on the whole period including the first intensification, a weakening, and the second intensification of Typhoon Soudelor to fully prove the advantages of AHI radiance data assimilation. Considering the complex influence of underlying surface, only the rapid development stage of typhoon at sea were studied, while the whole generation, development and disappearance stage of typhoon can also be studied in the future. In addition, based on the AHI radiance data of the water vapor channels under the condition of clear sky, only 3DVAR method was adopted. Further improvements under the condition of all sky and hybrid DA can be obtained in the future.

Acknowledgments

This research was primarily supported by the Chinese National Natural Science Foundation of China (G41805016), the Natural Science Foundation of Jiangsu Province (BK20170940), the Chinese National Natural Science Foundation of China (G41805070), the Chinese National Key R&D Program of China (2018YFC1506404,

2018YFC1506603), the research project of Heavy Rain and Drought-Flood Disasters in Plateau and Basin Key Laboratory of Sichuan Province in China (SZKT201901, SZKT201904), the research project of the Institute of Atmospheric Environment, China Meteorological Administration, Shenyang in China (2020SYIAE07, 2020SYIAE02).

465

466 References

Bauer, P., Geer, A.J., Lopez, P., and Salmond, D.: Direct 4D-Var assimilation of all-sky radiance. Part I: Implementation, Quarterly Journal of the Royal Meteorological Society, 136, 1868-1885, 2010.

Bauer, P., Auligné, T., Bell, W., Geer, A., Guidard, V., Heilliette, S., et al: Satellite cloud and precipitation assimilation at operational NWP centres, Quarterly Journal of the Royal Meteorological Society, 137, 1934-1951, 2011.

Bessho, K., Date, K., Hayashi, M., Ikeda, A., Imai, T., Inoue, H., et al.: An introduction to Himawari-8/9—Japan's new-generation geostationary meteorological satellites, Journal of the Meteorological Society of Japan, 94, 151-183, 2016.

Buehner, M., Caya, A., Carrieres, T., and Pogson, L.: Assimilation of SSMIS and ASCAT data and the replacement of highly uncertain estimates in the Environment Canada Regional Ice Prediction System, Quarterly Journal of the Royal Meteorological Society, 142, 562-573, 2016.Choi, Y., Cha, D.-H., Lee, M.-I., Kim,

- 480 J., Jin, C.-S., Park, S.-H., and Joh, M.-S.: Satellite radiance data assimilation for
- binary tropical cyclone cases over the western North Pacific, J. Adv. Model. Earth
- 482 Syst., 9, 832-853, 2017.
- Dee, D.P., and Uppala, S.: Variational bias correction of satellite radiance data in the
- 484 ERA-Interim reanalysis, Quarterly Journal of the Royal Meteorological Society, 135,
- 485 1830-1841, 2009.
- DeMaria, M., Sampson C.R., Knaff J.A., and Musgrave K.D.: Is tropical cyclone
- intensity guidance improving? Bulletin of the American Meteorological Society, 95,
- 488 387-398, 2014.
- Derber, J.C., and Wu, W.S.: The use of TOVS cloud-cleared radiance in the NCEP
- 490 SSI analysis system, Mon. Wea. Rev, 126, 2287-2299, 1998.
- 491 Di, D., Ai, Y., Li, J., Shi, W., and Lu, N.: Geostationary satellite-based 6.7 μm band
- 492 best water vapor information layer analysis over the Tibetan Plateau, Journal of
- 493 Geophysical Research: Atmospheres, 121, 4600-4613, 2016.
- 494 Dudhia, J.: Numerical Study of Convection Observed during the Winter Monsoon
- Experiment Using a Mesoscale Two-Dimensional Model, Journal of the Atmospheric
- 496 Sciences, 46, 3077-3107, 1989.
- 497 Geer, A. J., Baordo, F., Bormann, N., English, S., Kazumori, M., Lawrence, H., Lean,
- 498 P., Lonitz, K., and Lupu, C.: The growing impact of satellite observations sensitive to
- 499 humidity, cloud and precipitation, Quart. J. Roy. Meteorol. Soc., 143, 3189-3206.
- Goodman, S.J., Gurka, J., DeMaria, M., Schmit, T.J., Mostek, A., Jedlovec, G., et al.:

- 501 The GOES-R proving ground: Accelerating user readiness for the next-generation
- 502 geostationary environmental satellite system, Bulletin of the American Meteorological
- 503 Society, 93, 1029-1040, 2012.
- 504 Grell G.A., Dévényi D.: A generalized approach to parameterizing convection
- 505 combining ensemble and data assimilation techniques, Geophys. Res. Let., 29,
- 506 587-590, 2002.
- Hilton, F., Atkinson, N. C., English, S. J., and Eyre, J. R.: Assimilation of IASI at the
- 508 Met Office and assessment of its impact through observing system experiments,
- Quarterly Journal of the Royal Meteorological Society, 135, 495-505, 2009.
- 510 Hong S.Y., Noh Y., Dudhia J.: A New Vertical Diffusion Package with an Explicit
- Treatment of Entrainment Processes. Mon. Wea. Rev, 134, 2318-2341, 2006.
- Jung, J.A., Zapotocny, T.H., Le Marshall, J.F., and Treadon, R.E.: A two-season
- 513 impact study on NOAA polar-orbiting satellites in the NCEP Global Data
- Assimilation System, Weather Forecasting, 23, 854-877, 2008.
- Kamineni, R., Krishnamurti, T., Ferrare, R., Ismail, S., and Browell, E.: Impact of
- 516 high resolution water vapor cross-sectional data on hurricane forecasting, Geophysical
- Research Letters, 30, 38-1, 2003. Kazumori, M.: Satellite radiance assimilation in the
- JMA operational mesoscale 4DVAR system, Mon. Wea. Rev, 142, 1361-1381, 2014.
- Li, X., and Zou, X.: Bias characterization of CrIS radiances at 399 selected channels
- with respect to NWP model simulations, Atmospheric Research, 196, 164-181, 2017.

- Lim, K.-S. S., and Hong, S.-Y.: Development of an effective double-moment cloud
- 522 microphysics scheme with prognostic cloud condensation nuclei (CCN) for weather
- and climate models. Mon. Wea. Rev, 138, 1587-1612, 2010.
- 524 Liu, Z., Schwartz, C.S., Snyder, C., and Ha, S.Y.: Impact of assimilating AMSU-A
- radiance on forecasts of 2008 Atlantic tropical cyclones initialized with a limited-area
- ensemble Kalman filter, Mon. Wea. Rev., 140, 4017-4034, 2012.
- 527 Liu, Q., and Weng, F.: Advanced doubling-adding method for radiative transfer in
- 528 planetary atmosphere. J. Atmos. Sci., 63, 3459–3465, 2006.
- Ma, Z., Maddy E.S., Zhang B., Zhu T., and Boukabara S.A.: Impact Assessment of
- 530 Himawari-8 AHI Data Assimilation in NCEP GDAS/GFS with GSI, J. Atmos.
- 531 Oceanic Technol., 34, 797-815, 2017.
- McNally, A.P., Watts, P.D., Smith, J.A., Engelen, R., Kelly, G.A., Thépaut, J.N.,
- and Matricardi, M.: The assimilation of AIRS radiance data at ECMWF, Quarterly
- Journal of the Royal Meteorological Society, 132, 935-957, 2006.
- 535 Minamide, M., and Zhang F.: Assimilation of all-sky infrared radiances from
- 536 himawari-8 and impacts of moisture and hydrometer initialization on
- 537 convection-permitting tropical cyclone prediction. Mon. Wea. Rev, 146, 3241-3258,
- 538 2018.
- Mlawer E.J., Taubman S.J., Brown P.D., et al.: Radiative transfer for inhomogeneous
- 540 atmospheres: RRTM, a validated correlated-k model for the longwave, Journal of

- Geophysical Research Atmospheres, 102, 16663-16682, 1997.
- Montmerle, T., Rabier, F., and Fischer, C.: Relative impact of polar-orbiting and
- 543 geostationary satellite radiance in the Aladin/France numerical weather prediction
- 544 system, Quarterly Journal of the Royal Meteorological Society, 133, 655-671, 2007.
- Noh Y., Cheon W.G., Hong S.Y., et al.: Improvement of the K-profile Model for the
- Planetary Boundary Layer based on Large Eddy Simulation Data, Boundary-Layer
- 547 Meteorology, 107, 401-427, 2003.
- Prunet, P., Thépaut, J.N., Cassé, V., Pailleux, J., Baverez, A., and Cardinali,
- 549 C.: Strategies for the assimilation of new satellite measurements at Météo-
- 550 France, Advances in Space Research, 25, 1073-1076, 2000.
- Oin, Z., Zou, X., Weng, F.: Evaluating Added Benefits of Assimilating GOES Imager
- Radiance Data in GSI for Coastal QPFs, Mon. Wea. Rev, 141, 75-92, 2013.
- Rennie, M.P.: The impact of GPS radio occultation assimilation at the Met
- Office, Quarterly Journal of the Royal Meteorological Society, 136, 116-131, 2010.
- 555 Schmit, T.J., Gunshor, M.M., Paul Menzel, W., Gurka, J., Li, J., and Bachmeier,
- 556 S.: Introducing the next-generation advanced baseline imager (ABI) on
- GOES-R, Bulletin of the American Meteorological Society, 86, 1079-1096, 2005.
- 558 Schmit, T.J., Li, J., Feltz, W.F., Gurka, J.J., Goldberg, M.D., and Schrab,
- 559 K.J.: The GOES-R Advanced Baseline Imager and the continuation of current sounder
- products, Journal of Applied Meteorology and Climatology, 47, 2696-2711, 2008.

- 561 Schmit, T.J., Griffith, P., Gunshor, M.M., Daniels, J.M., Goodman, S.J., and Lebair,
- W.J.: A closer look at the ABI on the GOES-R series, Bulletin of the American
- 563 Meteorological Society, 98, 681-698, 2017.
- 564 Schwartz, C. S., Liu, Z., Chen, Y. and Huang X.-Y.: Impact of assimilating
- 565 microwave radiances with a limited area ensemble data assimilation system on
- forecasts of Typhoon Morakot, Weather Forecasting, 27, 424-437, 2012.
- 567 Shen, F., and Min, J.: Assimilating AMSU-A radiance data with the WRF hybrid
- 568 En3DVAR system for track predictions of Typhoon Megi (2010), Advances in
- 569 Atmospheric Sciences, 32, 1231-1243, 2015.
- 570 Song J-J, Wang Y, Wu L.: Trend discrepancies among three best track data sets of
- western North Pacific tropical cyclones. J. Geophys. Res. 115: D12128, DOI:
- 572 10.1029/2009JD013058, 2010.
- 573 Stengel, M., Undén, P., Lindskog, M., Dahlgren, P., Gustafsson, N., and Bennartz, R.:
- Assimilation of SEVIRI infrared radiance with HIRLAM 4D-Var, Quarterly Journal
- of the Royal Meteorological Society, 135, 2100-2109, 2009.
- Wang, Y., Liu, Z., Yang, S., Min, J., Chen, L., Chen, Y., and Zhang, T.: Added value of
- assimilating Himawari-8 AHI water vapor radiances on analyses and forecasts for
- 578 "7.19" severe storm over north China, Journal of Geophysical Research: Atmospheres,
- 579 123, 3374-3394, 2018.
- 580 Xu, D., Liu, Z., Huang, X.-Y., Min, J., and Wang, H.: Impact of assimilation IASI

- radiances on forecasts of two tropical cyclones, Meteorology and Atmospheric
- 582 Physics, 122, 1-18, 2013.
- 583 Xu, D., Huang, X.-Y., Wang H., Mizzi, A. P. and Min J.: Impact of assimilating
- radiances with the WRFDA ETKF/3DVAR hybrid system on prediction of two
- 585 typhoons in 2012, J. Meteorol. Res, 29, 28-40, 2015.
- Yan, B., Weng, F., and Derber, J. Assimilation of satellite microwave water vapor
- sounding channel data in NCEP Global Forecast System (GFS), paper presented at
- 17th International TOVS Study Conference, Int. ATOVS Working Group, Monterrey,
- 589 Calif, 2010.
- 590 Yang, C., Liu, Z., Bresch, J., Rizvi, S. R. H, Huang, X.-Y., and Min, J.: AMSR2
- all-sky radiance assimilation and its impact on the analysis and forecast of Hurricane
- 592 Sandy with a limited-area data assimilation system, Tellus A: Dynamic Meteorology
- and Oceanography, 68, 2016.
- 594 Yu H, Hu C, Jiang L.: Comparison of three tropical cyclone intensity datasets. Acta
- 595 Meteorol. Sin. 21, 121-128, 2007.
- Zapotocny, T.H., Jung, J.A., Le Marshall, J.F., and Treadon, R.E.: A two-season
- 597 impact study of satellite and in situ data in the NCEP Global Data Assimilation
- 598 System, Weather Forecasting, 22, 887-909, 2007.
- 599 Zhu, Y., Derber, J., Collard, A., Dee, D., Treadon, R., Gayno, G., and Jung,
- 600 J.A.: Enhanced radiance bias correction in the National Centers for Environmental
- 601 Prediction's Gridpoint Statistical Interpolation data assimilation system, Quarterly

602	Journal of the Royal Meteorological Societ, 140, 1479-1492, 2014.
603	Zou, X., Qin, Z., and Weng, F.: Improved coastal precipitation forecasts with direct
604	assimilation of GOES-11/12 imager radiance, Mon. Wea. Rev, 139, 3711-3729, 2011.
605	Zou, X., Qin Z., and Zheng Y.: Improved tropical storm forecasts
606	with GOES-13/15 imager radiance assimilation and asymmetric vortex initialization
607	in HWRF, Mon. Wea. Rev, 143, 2485-2505, 2015.
608	
609	
610	List of Figures
611	

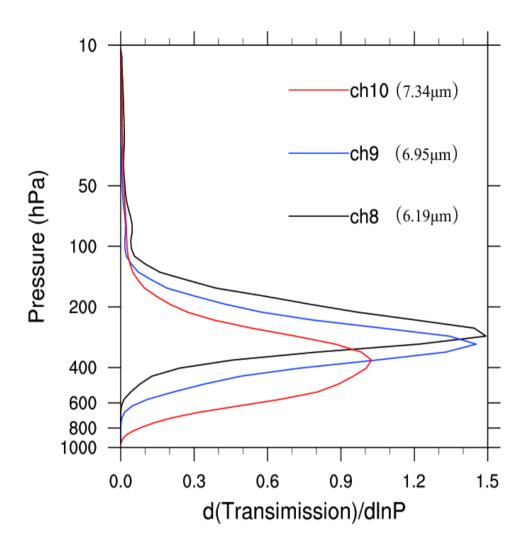


Fig.1 Weighting functions of Himawari-8 Advanced Himawari Imager three water vapor channels for Channel 8, 9, and 10.

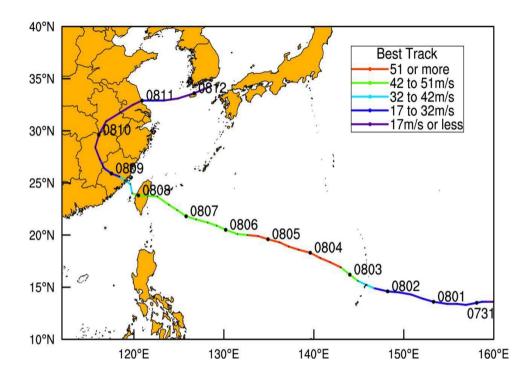


Fig.2 The best track of Soudelor from the China Meteorological Administration (CMA) from 0000 UTC 30 July to 0600 UTC 12 August 2015. Different colors represent intensity changes.

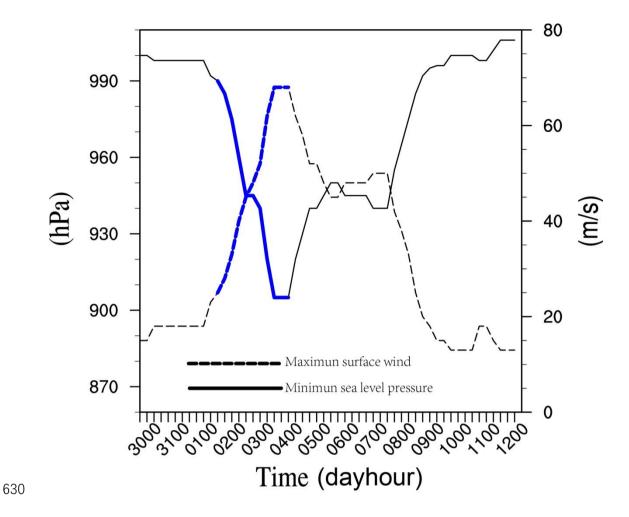


Fig. 3 The time series of the minimum sea level pressure (solid line, unit: hPa) and the maximum surface wind (dash line, unit: m s⁻¹) of Typhoon Soudelor from the CMA best-track data from 0000 UTC 30 July 2015 to 0600 UTC 12 August 2015. The specific period for the numerical results from 1800 UTC 1 August 2015 to 0600 UTC 4 August 2015 is highlighted in blue.

642

643

644

645

646

647

648

649

650

651

120°E

640

30°N profl 34 8 gpsrf 25°N 34 satob_avhrr 5466 satob 177 satem 20°N pilot 30 airep 1439 15°N sound 195 36 buoy 10°N 48 ship 220 1277 synop 5°N

Fig. 4 Distribution of GTS observations in the simulated area at 0000 UTC 2 August 2015. On the right side of the map is the name of observation data and the number of observations. Each observation type is marked with different color along with a unique symbol.

150°E

160°E

140°E

130°E

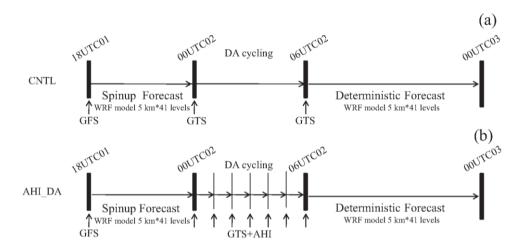


Fig. 5 The flow chart of the data assimilation experiments. (a) CTNL, (b) AHI_DA



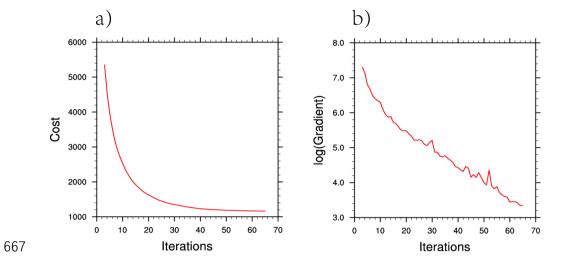


Fig. 6 (a) Cost function as functions of iterations, (b) gradient as functions of iterations.



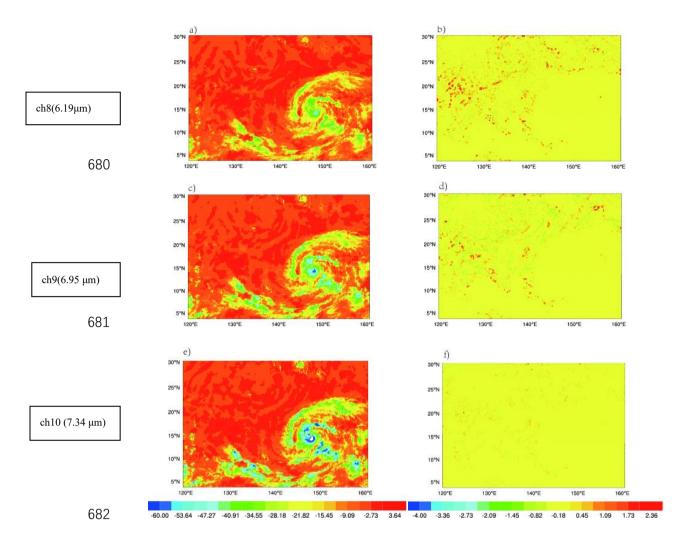


Fig. 7 (a, c, and e) OMB (unit: K) after bias correction for channel 8, 9, and 10, respectively; (b, d, and f) OMA (unit: K) after bias correction for channel 8, 9, and 10, respectively at 0000 UTC 2 August 2015.

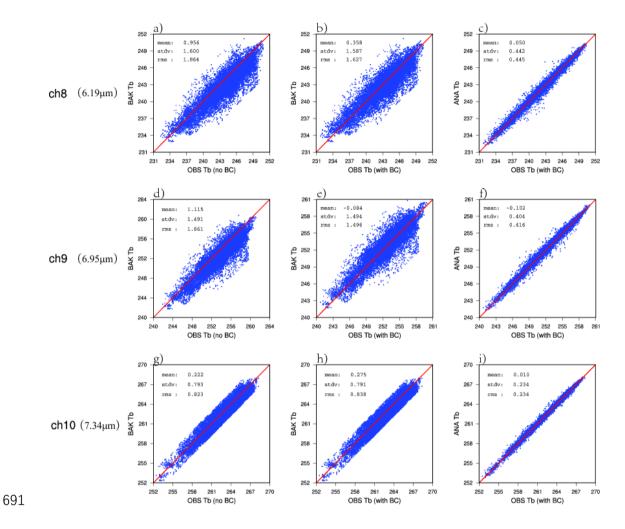


Fig. 8 Scatter plots of (a, d and g) the observed and background brightness temperature before the bias correction of channel 8, 9 and 10. Scatter plots of (b, e and h) the observed and background brightness temperature after the bias correction of channel 8, 9 and 10. Scatter plots of (c, f and i) the observed and analyzed brightness temperature after the bias correction of channel 8, 9 and 10.

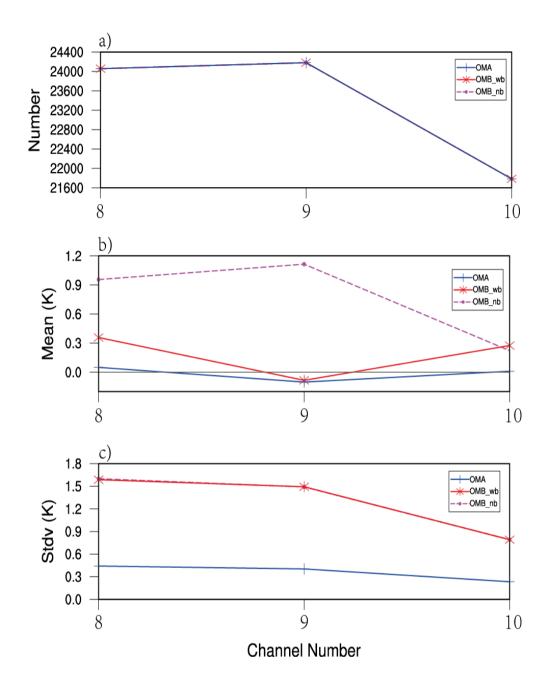


Fig. 9 Number of (a) observations, (b) mean (unit: K), and (c) standard deviations (unit: K) of OMB and OMA before and after the bias correction for water vapor channels 8-10 (OMB_nb: OMB without bias correction; OMB_wb: OMB with bias correction).

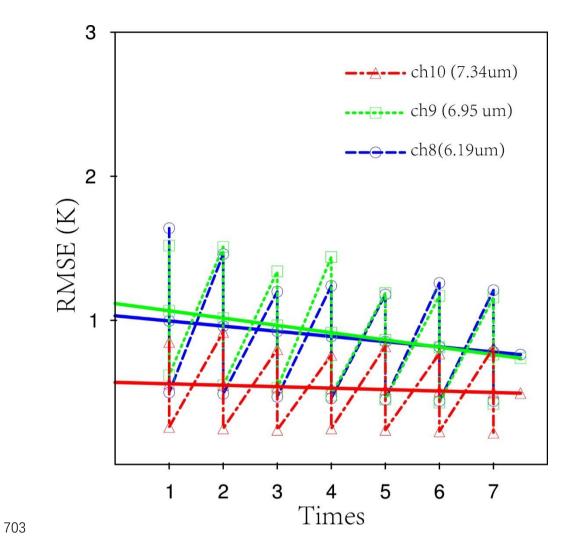


Fig.10 Time series of the RMSE for the brightness temperature (unit: K) with assimilation times before and after the data assimilation along with the trend lines.

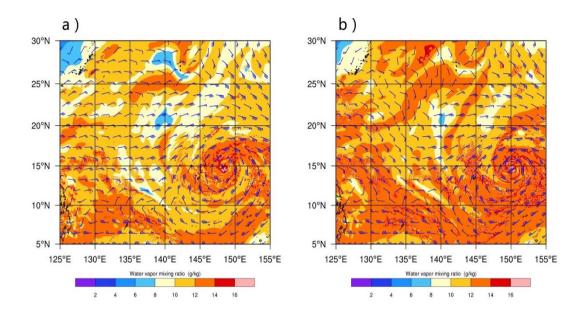


Fig. 11 The surface wind speed (vectors, unit: m s⁻¹) and water vapor (colored, unit: g/kg) for (a) CTNL; (b) AHI_DA at 850 hPa at 0000 UTC 2 August 2015.

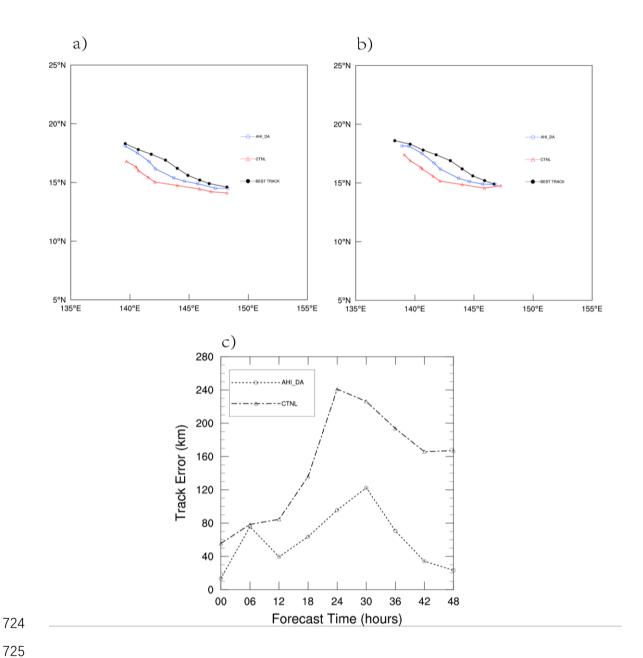


Fig. 12 The 48-hour predicted tracks (a) from 0000 UTC 2 August to 0000 UTC 4 August, (b) from 0600 UTC 2 August to 0600 UTC 4 August 2015, (c) averaged track errors (unit: km) for the two forecasts.

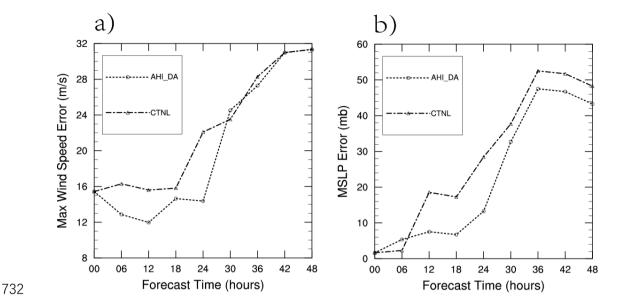


Fig.13 The 48-hour (a) maximum surface wind error (unit: m s⁻¹), (b) minimum sea level pressure error (unit: hPa) of Soudelor (2015) averaged from two forecasts.