



## 1                    **Quantitative Risk Assessment of Vehicles Hit by Landslides: A Case Study**

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5                    **Abstract:** Landslides threaten the safety of vehicles on highways. Nevertheless, a rigorous  
6 quantitative highway landslide risk assessment seems difficult. Using a case study in Hong Kong,  
7 this paper presents a method for quantitative risk assessment for highway landslides. The suggested  
8 method consists of three parts, i.e., analysis of annual failure probability of the slope, the spatial  
9 impact analysis and the consequence analysis. In the case study, the annual failure probability of the  
10 slope is analyzed based on historical failure data in Hong Kong. The spatial impact of the landslides  
11 is estimated based on empirical correlations with the geometry of the slope. The consequence is  
12 assessed based on probabilistic modeling of the traffic on the highway. Based on the suggested  
13 method, the annual failure probability of the slope, the distance from the slope and the road and the  
14 density of vehicles on the road can significantly affect the landslide risk and the suggested method  
15 can be used to quantify the effects of these factors. The suggested method can be also potentially  
16 used to analyze the highway landslide risk in other regions.

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18                    **Key words:** Landslides; Vehicles; Risk assessment; Historical failure data; Probabilistic modeling

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## 20 **1 Introduction**

21 With a total land area of about 1100 km<sup>2</sup>, Hong Kong is one of the most densely populated regions in  
22 the world with a population of about 7.5 million (GovHK, 2019). Throughout the territory of Hong  
23 Kong, there are more than 57, 000 registered man-made slope features (Cheung and Tang, 2005).  
24 With an average annual rainfall of about 2400 mm, rainfall induced landslides are one of the major  
25 natural hazards threatening the public safety in Hong Kong (GEO, 2017). In particular, slope failures  
26 along highways have resulted in serious fatalities, damaged vehicles and disruption to the traffic. For  
27 example, in August 1994, a public light bus on the Castle Peak Road was hit by landslide debris,  
28 causing three persons trapped inside the bus and one man killed. In August 1995, the slope along  
29 Shum Wan Road failed, induced by large rainfall, which resulted in two fatalities and five injuries. In  
30 August 1997, the landslide along Ching Cheung Road resulted in the closure of the highway for more  
31 than three weeks (GEO, 2017). Similar phenomena have indeed also been reported in many other  
32 parts of the world (Bil et al., 2015), such as Italy (Donnini et al., 2017) and India (Negi et al., 2013).

33 There are many uncertainties in the assessment of the hazard of moving vehicles hit by  
34 landslides, such as the occurrence of landslides, the travel distance of the landslide and the presence  
35 of the moving vehicles at the moment of landslides. Risk assessment is a framework in which both  
36 the uncertainties and the consequence of a hazard can be addressed, which is now increasingly been  
37 used for landslide risk management (e.g. Lessing et al., 1983; Fell, 1994; Dai et al., 2002; Remondo  
38 et al., 2008; Erenner, 2012; Vega and Hidalgo, 2016). Indeed, landslide risk assessment has been  
39 accepted as an effective tool for the planning of land use in Hong Kong. Nevertheless, the risk  
40 assessment of moving vehicles attacked by landslides is special in that the elements at risk are highly  
41 mobile. Several studies have also been conducted on assessing the impact probability of landslides



42 on vehicles (e.g. Budetta, 2004; Peila and Guardini, 2008; Nicolet et al., 2016). Fell et al. (2005)  
43 assessed the probability of a falling block hitting a vehicle based on the length of the vehicle and the  
44 traffic flow. Dorren et al. (2009) suggested a method to assess the probability of a vehicle hit by a  
45 landslide based on the dimension of the landslide and the traffic flow. Michoud et al. (2012) assessed  
46 the probability of a vehicle hit by a falling rock considering the dimensions of the vehicle and size of  
47 falling rocks. However, few attempts have been made to suggest a rigorous assessment framework of  
48 vehicles hit by landslides. As such, implementation of rigorous risk assessment of vehicles hit by  
49 landslides is still challenging.

50 Through a case study in Hong Kong, the objective of this paper is to suggest a method to  
51 quantitatively assess the risk of vehicles hit by landslides along highways. The structure of this paper  
52 is as follows. Firstly, how the annual failure probability of the slope is calculated is described. Then,  
53 the spatial impact of the landslide is analyzed. Thereafter, the consequence of the landslide is  
54 analyzed. Finally, the annual risk of vehicles hit by the landslide is calculated. The assessment  
55 method provides a convenient and useful tool to investigate the risk of vehicles hit by landslides in  
56 Hong Kong.

57

## 58 **2 Engineering Background**

59 Fig. 1 shows the slope under investigation in this study, which is along the Kennedy Road in the Wan  
60 Chai district of Hong Kong. Wan Chai is one of the oldest and most traditional cultural areas in Hong  
61 Kong and attracts many tourists. According to Transport Department of Hong Kong (TDHK) (2018),  
62 Kennedy Road is a major road with three lanes in this region. Fig. 2 shows a typical cross section of  
63 the slope. The height of the slope,  $H$ , is 26 m and the slope angle is about 45 degrees. As shown in



64 this figure, the horizontal distance from the crest of the landslide scar to the side of Kennedy Road  
65 close to the slope,  $l_{ch}$ , is about 35 m and the horizontal distance from the slope toe to the side of  
66 Kennedy Road close to the slope,  $l_{th}$ , is about 3 m. The width of Kennedy Road,  $b_h$ , is 10 m. Fig. 3  
67 shows the plan view of the slope. The landslide scar is about 25 m in length and about 18 m in width.  
68 On 8 May 1992, the slope failed during an intense rainfall, which hit a car travelling along Kennedy  
69 Road and killed the driver (GEO, 1996). According to TDHK (2018), vehicles in Hong Kong are  
70 composed of private buses, non-franchised public buses, franchised buses, taxis, private cars, public  
71 light buses, private light buses, goods vehicles, special purpose vehicles, government vehicles and  
72 motor cycles. The percentage of each type of vehicle with respect to total numbers of vehicles is  
73 shown in Table 1 (TDHK, 2018). According to TDHK (2018), the typical length of each type of  
74 vehicle is also shown in Table 1. The purpose of this case study is to analyze the risk of vehicles hit  
75 by the landslide if this slope fails again.

76

### 77 **3 Methodology**

78 In general, the risk of a landslide hazard depends on the likelihood of the landslide, the spatial extent  
79 of the landslide and the number of vehicles being hit by the landslide. There are multiple types of  
80 vehicles on a highway. The longer the vehicle, the greater the probability that it will be hit by a  
81 landslide. Let  $P(F)$  denote the annual probability of slope failure. Suppose there are  $m$  possible  
82 spatial impacts and let  $P(\mathbf{S} = \mathbf{S}_i | F)$  denote the chance that the spatial impact is  $\mathbf{S}_i$  when the landslide  
83 occurs. Let  $P(n_j = k | \mathbf{S} = \mathbf{S}_i)$  denote the chance that the  $k$  type  $j$  vehicle will be hit by the landslide  
84 when the spatial impact is  $\mathbf{S}_i$ . The risk associated with the  $j$ th type of vehicle, i.e., the expected  
85 annual number of type  $j$  vehicles being hit by the landslide, can be calculated as follows:



$$R_{vj} = P(F) \times \sum_{i=1}^m \left[ P(\mathbf{S} = \mathbf{S}_i | F) \times \sum_{k=1}^{\infty} kP(n_j = k | \mathbf{S} = \mathbf{S}_i) \right] \quad (1)$$

Let  $n_v$  denote total types of vehicles. The total risk of vehicles hit by the landslide considering all types of vehicles, i.e.,  $R_v$ , can then be calculated as follows:

$$R_v = \sum_{j=1}^{n_v} R_{vj} \quad (2)$$

Let  $n_{pj}$  denote the average number of persons in a type  $j$  vehicle. The risk of people hit by the landslide can be calculated as follows:

$$R_{pj} = P(F) \times \sum_{i=1}^m \left[ P(\mathbf{S} = \mathbf{S}_i | F) \times \sum_{k=1}^{\infty} kP(n_j = k | \mathbf{S} = \mathbf{S}_i) \right] \times n_{pj} \quad (3)$$

The total risk of people hit by the landslide considering all types of vehicles can be calculated as follows:

$$R_p = \sum_{j=1}^{n_v} R_{pj} \quad (4)$$

As can be seen from the above equations, the keys for risk assessment are to evaluate: (1) the annual failure probability of the landslide, i.e.,  $P(F)$ , (2) the spatial impact of the landslide, i.e.,  $P(\mathbf{S} = \mathbf{S}_i | F)$  and (3) the number of vehicles being hit by the landslide for a given spatial extent, i.e.,  $P(n_j = k | \mathbf{S} = \mathbf{S}_i)$ . How the above elements are assessed is introduced in the following sections.

100

### 101 3.1 Evaluation of annual probability of the landslide, $P(F)$

102 The estimation of the probability of occurrence of landslides within a given period of time is  
103 fundamental in landslide hazard assessment. Since almost slope failures in Hong Kong are caused by  
104 rainfall infiltration (e.g. Lumb, 1975; Brand, 1984; Finlay et al., 1999), assessing annual probability  
105 of rainfall-induced landslides is important. In general, there are two types of methods for evaluating



106 the likelihood of slope failure within a given exposure time: methods through slope stability analysis  
107 built on principles of soil mechanics (e.g. Christian et al., 1994; Fenton and Griffiths, 2005; Huang et  
108 al., 2010) and empirical methods through statistical analysis of historical slope failure data (e.g. Chau  
109 et al., 2004; Tang and Zhang, 2009). Currently, landslide probability analyses via slope stability  
110 analyses mainly focus on the likelihood of slope failure for a given rainfall. As an illustration, the  
111 statistical methods are used to estimate the annual landslide probability.

112 In Hong Kong, the failure of a slope is highly correlated to the 24-hour rainfall,  $i_{24}$  (Cheung and  
113 Tang, 2005). Zhang and Tang (2009) divided the rainfall events in Hong Kong into three categories  
114 based on  $i_{24}$ , i.e., (1)  $i_{24} < 200$  mm/day (small rainfall, denoted as *SR*), (2)  $200 \text{ mm} < i_{24} < 400$   
115 mm/day (medium rainfall, denoted as *MR*) and (3)  $i_{24} > 400$  mm/day (large rainfall, denoted as *LR*).  
116 Based on slope failure data observed in Hong Kong during 1984-2002, it is found that the failure  
117 probability of an average slope in Hong Kong when subjected to small rainfall, medium rainfall and  
118 large rainfall is  $1.09 \times 10^{-4}$ ,  $2.61 \times 10^{-3}$  and  $8.94 \times 10^{-3}$ , respectively (Zhang and Tang, 2009), i.e.,  
119  $P(F|SR) = 1.09 \times 10^{-4}$ ,  $P(F|MR) = 2.61 \times 10^{-3}$  and  $P(F|LR) = 8.94 \times 10^{-3}$ . The above analyses  
120 provide the conditional failure probability of a slope for a given type of rainfall. To evaluate the  
121 annual probability of slope failure, the probability of each type of rainfall should be analyzed. For  
122 such a purpose, Fig. 4 shows the histogram of the yearly maximum  $i_{24}$  measured at Hong Kong  
123 Observatory Headquarters during 1969 and 2018 (HKO, 2018). As can be seen from Fig. 4, the  
124 maximum  $i_{24}$  in a year in Hong Kong is mainly in the range of 100 to 350 mm. The generalized  
125 extreme value distribution (Hosking et al., 1985) with the following probability density function  
126 (PDF) seems to fit the histogram with reasonable accuracy:



$$f(i_{24}) = \frac{1}{\beta} \left[ 1 + \gamma \left( \frac{i_{24} - \mu}{\beta} \right) \right]^{-\frac{1}{\gamma}} \exp \left\{ \left[ 1 + \gamma \left( \frac{i_{24} - \mu}{\beta} \right) \right]^{-\frac{1}{\gamma}} \right\} \quad (5)$$

where  $\beta$ ,  $\mu$  and  $\gamma$  are the scale parameter, the location parameter and the shape parameter of the generalized extreme distribution, respectively. The values of  $\beta$ ,  $\mu$  and  $\gamma$  can be calculated based on maximum likelihood method and they are equal to -0.17, 66 and 188, respectively. Fig. 5 shows the cumulative distribution function (CDF) of  $i_{24}$  obtained based on the fitted generalized extreme value distribution. As can be seen from this figure, the probability that the rainfall with yearly maximum  $i_{24}$  belongs to small rainfall, medium rainfall and large rainfall is 0.44, 0.55 and 0.01, respectively, i.e.,  $P(SR) = 0.44$ ,  $P(MR) = 0.55$  and  $P(LR) = 0.01$ . Based on the total probability theorem, the annual probability of slope failure can be computed as follows:

$$P(F) = P(F | SR) P(SR) + P(F | MR) P(MR) + P(F | LR) P(LR) \quad (6)$$

### 3.2 Evaluation of spatial impact of the landslide, $P(S = S_i | F)$

The spatial impact of a landslide depends on whether the landslide can reach the highway and the length of the affected road if the landslide can reach the highway. In general, methods to investigate the travel distance of a landslide can be divided into two categories (Hung et al., 2005), i.e., (1) analytical or numerical methods based on the physical laws of solid and fluid dynamics (Scheidegger, 1973), which are often solved numerically (e.g. Hung and McDougall, 2009; Luo et al., 2019) and (2) empirical methods based on field observations (e.g. Budetta and Riso, 2004; Dai and Lee, 2002). Since the empirical method is more convenient to apply (Finlay et al., 1999), it is used in this paper. As illustrated in Fig. 2, the travel distance of the sliding mass ( $L$ ) is highly related to the volume ( $V$ ) and height ( $H$ ) of sliding body (e.g. Corominas, 1996; Liang et al., 2017). According to historical



148 data in Hong Kong, Corominas (1996) found that the travel distance of landslide debris can be  
149 estimated using the following equation:

$$150 \quad \log L = 0.085 \log V + \log H + 0.047 + \varepsilon \quad (7)$$

151 where  $\varepsilon$  is a random variable with a mean of zero and a standard deviation of  $\sigma = 0.161$ .

152 For the slope as shown in Fig. 2, the height is 25 m, i.e.,  $H = 25$  m. To apply Eq. (7), the  
153 landslide volume is needed. Let  $A_s$  denote the landslide scar area, which can be related to landslide  
154 volume through empirical relationships (e.g. Malamud et al., 2004; Imaizumi and Sidle, 2007;  
155 Guzzetti et al., 2008; Guzzetti et al., 2009). In this study, the power relationship suggested by Parker  
156 (2011) is used:

$$157 \quad V = 0.106 \times A_s^{1.388} \quad (8)$$

158 Based on Fig. 3, the landslide scar area is estimated to be  $450 \text{ m}^2$ . Based on Eq. (8), the volume  
159 is estimated about  $510 \text{ m}^3$ , which is close to the real volume of sliding mass in the landslide event on  
160 8 May 1992 (GEO, 1996). Substituting the values of  $H$  and  $V$  into Eq. (7), it can be obtained that the  
161 travel distance of the landslide is lognormally distributed with a mean of 50.7 m and a standard  
162 deviation of 12.6 m. Fig. 6 shows the PDF of the travel distance of the landslide. As can be seen from  
163 this figure, the value of travel distance of the landslide is mainly in the range of 20 m to 150 m.

164 The spatial extent of the landslide is also related to the length of the affected road. As shown in  
165 Fig. 3, when the head or the rear of a vehicle contacts with the landslide mass, the vehicle will be hit  
166 by the landslide, i.e., the number of vehicles being hit by landslides depends on the width of the  
167 landslide ( $b_l$ ) and the length of the vehicles ( $l_v$ ). The length of affected road,  $l_a$ , is the sum of the  
168 width of the landslide and the length of vehicles, i.e.,

$$169 \quad l_a = b_l + 2l_v \quad (9)$$





170 In this study, the spatial extent of the landslide is characterized by the length of the affected road  
171 and the runout distance of the landslide, i.e.,  $\mathbf{S} = \{l_a, L\}$ . For simplicity, the uncertainty associated  
172 with the length of the affected road is not considered. In such a case, the uncertainty associated with  
173  $\mathbf{S}$  is fully characterized by the uncertainty associated with the runout distance. In principle, the runout  
174 distance is a continuous random variable. For simplicity, it can be discretized into a discrete variable.  
175 Let  $L_i$  denote the  $i$ th possible value of  $L$  and let  $\mathbf{S}_i = \{l_a, L_i\}$ .  $P(\mathbf{S} = \mathbf{S}_i | F)$  can be calculated by

$$176 \quad P(\mathbf{S} = \mathbf{S}_i | F) = P(L = L_i) \quad (10)$$

177

### 178 3.3 Evaluation of encounter probability, $P(n_j = k | \mathbf{S} = \mathbf{S}_i)$

179 As shown in Fig. 2, the horizontal distance from the crest of the landslide scar to the side of Kennedy  
180 Road close to the slope is about 35 m, i.e.,  $l_{ch} = 35$  m. The width of Kennedy Road is about 10 m, i.e.,  
181  $b_h = 10$  m. The landslide will reach Kennedy Road once  $L > l_{ch}$ . When  $L \geq l_{ch} + b_h$ , the Kennedy Road  
182 will be totally covered by the sliding mass. When  $l_{ch} < L < l_{ch} + b_h$ , the Kennedy Road will be  
183 partially affected. Thus, the proportion of vehicles within the affected length of the Kennedy Road  
184 which will be hit by the landslide, denoted as  $\alpha(\mathbf{S} = \mathbf{S}_i)$  here, can be calculated as follows:

$$185 \quad \alpha(\mathbf{S} = \mathbf{S}_i) = \begin{cases} 0, & L_i \leq l_{ch} \\ \frac{L_i - l_{ch}}{b_h}, & l_{ch} < L_i < l_{ch} + b_h \\ 1, & L_i \geq l_{ch} + b_h \end{cases} \quad (11)$$

186 In general, the number of vehicles hit by landslides highly depends on the density of vehicles,  
187 the spatial extent of the landslide and the size of the vehicles. The presence of the vehicles on a  
188 highway can be modeled as a Poisson process with a mean arrival rate of  $\lambda$  (Paxson and Floyd, 1995).  
189 Let  $q$  denote the number of vehicles passing a given cross section of a road per unit time. Let  $v$



190 denote the average speed of the vehicles. The mean rate of occurrence of moving vehicles,  $\lambda$ , can be  
191 calculated as follows (Lighthill, 1995):

$$192 \quad \lambda = \frac{q}{v} \quad (12)$$

193 Let  $w_j$  denote the proportion of type  $j$  vehicle in the traffic flow. The mean rate of occurrence of  
194 type  $j$  vehicle can be then written as follows:

$$195 \quad \lambda_j = w_j \times \frac{q}{v} \quad (13)$$

196 As an example, Table 2 shows the data about  $q$  and  $v$  of the Kennedy road for the morning peak,  
197 normal period and evening peak, respectively, which are obtained from TDHK (2018). As shown in  
198 Fig. 3, the width of the landslide is about 18 m, i.e.,  $b_l = 18$  m. The length of each type of vehicle,  $l_j$ ,  
199 are shown in Table 1. Based on these data, the mean rate of occurrence of each type of vehicle can be  
200 calculated for different periods of a day, as shown in Figs. 7(a)–(c), respectively. It can be seen that  
201 the mean rate of occurrence of the vehicles during the morning and evening peak is significantly  
202 larger than that in the normal period. Among all types of vehicles, the mean rate of private cars in the  
203 affected road is the greatest, followed by goods vehicles, motor cycles and taxis.

204 Let  $T_1$ ,  $T_2$  and  $T_3$  denote the morning peak, the normal period and the evening peak, respectively;  
205 and  $l_{aj}$  denote the length of affected road for type  $j$  vehicle. Based on the property of a Poisson  
206 process, if the spatial impact is  $\mathbf{S} = \mathbf{S}_i$  and the slope fails during period  $T_i$ , the chance that  $k$  type  $j$   
207 vehicles will be hit by the landslide can be computed by

$$208 \quad P(n_j = k \mid t \in T_i, \mathbf{S} = \mathbf{S}_i) = \frac{[\alpha_j(\mathbf{S} = \mathbf{S}_i) \lambda_j l_{aj}]^k}{k!} \exp[-\alpha_j(\mathbf{S} = \mathbf{S}_i) \lambda_j l_{aj}] \quad (14)$$

209 As an example, Figs. 8(a)–(c) shows the distributions of the number of private cars hit by the  
210 landslide for the case of  $\alpha_j(\mathbf{S} = \mathbf{S}_i) = 1$  when the slope failure occurs during the morning peak, normal



211 period and evening peak, respectively. As can be seen from these figures, the most probable number  
212 of private cars hit by the landslide when the slope failure occurs during the morning peak and  
213 evening peak is both about 3 and its probability is both about 0.20. The most probable number of  
214 private cars hit by the landslide when the slope failure occurs during the normal period is about 1 and  
215 its probability is about 0.37.

216 In Eq. (14), the failure time is assumed known. In reality, the slope can fail during any period of  
217 a day. Based on the total probability theorem, the probability that  $k$  Type  $j$  vehicles will be hit for the  
218 case of  $\mathbf{S} = \mathbf{S}_i$  can be computed by

$$219 \quad P(n_j = k | \mathbf{S} = \mathbf{S}_i) = \sum_{i=1}^3 P(n_j = k | t \in T_i, \mathbf{S} = \mathbf{S}_i) P(t \in T_i) \quad (15)$$

220 As an example, Figs. 8(d) shows the probability distribution of the number of private cars hit by  
221 the landslide for  $\alpha_j(\mathbf{S} = \mathbf{S}_i) = 1$  considering the uncertainty of the failure time. As can be seen from  
222 this figure, the most probable number of private cars hit by the landslide considering the uncertainty  
223 of the failure time is about 1 and its probability is about 0.32.

224

### 225 3.4 Risk assessment

226 In the above analyses, equations for evaluating  $P(F)$ ,  $P(\mathbf{S} = \mathbf{S}_i | F)$  and  $P(n_j = k | \mathbf{S} = \mathbf{S}_i)$  are introduced.  
227 Substituting these equations into Eq. (1), the risk of each type of vehicles hit by the landslide studied  
228 in this paper can then be calculated, which are shown in Figs. 9(a). As can be seen from this figure,  
229 the annual risk of private cars hit by the landslide is the greatest with a value of  $1.67 \times 10^{-3}$  vehicles  
230 per year, followed by the goods vehicles, motor cycles and taxis. The risk associated with each type  
231 of vehicle is highly correlated with the proportion of vehicles in the traffic flow. The private cars  
232 have the greatest proportion in the traffic flow and hence it is natural to be associated with the



233 greatest risk. In reality, the vehicle that was hit by the studied slope on 8 May 1992 was indeed a  
234 private car. With Eq. (2), the risk of vehicles hit by the landslide considering all types of vehicles can  
235 be also calculated, which is about  $2.48 \times 10^{-3}$  vehicles per year.

236 The passenger capacity of each type of vehicle can be investigated through TDHK (2018) and  
237 the assumed average number of persons in a vehicle is also shown in Table 1. Submitting these  
238 numbers into Eq. (3), the risk of persons hit by the landslide associated with each type of vehicle can  
239 be computed and the results are shown in Figs. 9(b). As can be seen from this figure, the annual risk  
240 of persons hit by the landslide for private cars is the greatest with a value of  $8.37 \times 10^{-3}$  persons per  
241 year, followed by non-franchised public buses, franchised buses and goods vehicles. The risk to  
242 persons for each type of vehicles highly depends on the proportion of vehicles in the traffic flow and  
243 the passenger capacity of vehicles. The non-franchised public buses have the higher proportion in the  
244 traffic flow and the largest passenger capacity hence it is natural to be associated with the greater risk.  
245 Based on Eq. (4), the risk of persons hit by the individual landslide studied in this paper considering  
246 all types of vehicles can be also calculated, which is about  $1.36 \times 10^{-2}$  persons per year.

247

## 248 **4 Discussions**

### 249 **4.1 Effect of annual failure probability of the slope**

250 In the above analysis, the annual failure probability of the slope is  $1.58 \times 10^{-3}$ , which is calculated  
251 based on historical data in Hong Kong and represents the failure probability of an average slope in  
252 Hong Kong. To investigate the effect of the failure probability of the slope, Fig. 10 shows the annual  
253 risk of the slope calculated based on different annual failure probabilities. As can be seen from this  
254 figure, the annual risk to all types of vehicles increases linearly with the annual failure probability of



255 the slope. When the failure probability of the slope increase from  $1.0 \times 10^{-4}$  to  $1.0 \times 10^{-2}$ , the annual  
256 risk to vehicles increases from  $1.57 \times 10^{-4}$  vehicles being hit per year to  $1.57 \times 10^{-2}$  vehicles being hit  
257 per year. A similar observation can also be found for the annual risk to persons. Hence, reducing the  
258 annual failure probability of a slope is an effective means to reduce the risk of the slope.

259

#### 260 4.2 Effect of distance from the slope to the highway

261 The risk of damaged vehicles due to landslides is highly associated with spatial impact of landslides.  
262 The further the road is away from the slope, the less chance the road will be affected by the slope. In  
263 the above analysis, the horizontal distance from the crest of the landslide scar to the side of Kennedy  
264 Road close to the slope,  $l_{ch}$ , is about 35 m and the horizontal distance from the slope toe to the side of  
265 Kennedy Road close to the slope,  $l_{th}$ , is about 3 m (GEO, 1996). To study the effect of the distance  
266 from the road to the slope, the annual risk to different types of vehicles and persons along Kennedy  
267 Road are calculated as the distance between the slope and the road varies, and the results are shown  
268 in Figure 11. As can be seen from this figure, the annual risk to vehicles along Kennedy Road is  
269 reduced as  $l_{th}$  becomes larger. When  $l_{th} / H = 0.7$ , the risk is reduced by half compared the case of  $l_{th} /$   
270  $H = 0.1$ . When  $l_{th} / H$  is 2, the risk is negligible. Thus, increasing the distance between the slope and  
271 the road can effectively reduce the risk of landslides.

272

#### 273 4.3 Effect of traffic flow

274 As can be seen from Fig. 7, since the number of vehicles during different periods in a day is different,  
275 the mean rate of occurrence of vehicles in affected road due to the landslide is significantly different.  
276 The high density of vehicles may pose a huge risk to vehicles and persons due to landslides. To



277 indicate the effect of density of vehicles on the landslide risk, the annual risk to all types of vehicles  
278 and persons along Kennedy Road are investigated when the density of vehicles on the highway  
279 increases from 0 to 300 vehicles per kilometer and the results are shown in Fig. 12. As can be seen  
280 from this figure, there is a linear increasing trend of the annual risk to all types of vehicles and  
281 persons as density of vehicles increases. When the density of vehicles is equal to 300 vehicles per  
282 kilometer, the annual risk to vehicles and persons can reach  $1.01 \times 10^{-2}$  vehicles being hit per year  
283 and  $5.52 \times 10^{-2}$  persons being hit per year, respectively. Therefore, the high density of vehicles will  
284 significantly enhance the annual risk to vehicles and persons due to landslides and properly  
285 managing transportation and ensuring smooth traffic flow are important to reduce the risk.

286

## 287 **5 Summary and Conclusions**

288 Quantitative assessment the risk of vehicles hit by landslides can help better understand and manage  
289 such kind of risk. Using a case study in Hong Kong, this paper suggests a method to assess the risk  
290 of highway landslide. For the slope studied in this paper, the annual failure probability is first  
291 assessed based on historical slope failure data in Hong Kong. The spatial impact of the landslide is  
292 then analyzed using an empirical round out analysis method. The consequence of the landslide is  
293 assessed by modeling the traffic on the highway as a Poisson process. For the slope examined in this  
294 paper, it is found that different types of vehicles may be associated with different levels of risk. Also,  
295 it is found that the annual failure probability of the slope, the distance from the slope and the road  
296 and the density of vehicles on the road can significantly affect the landslide risk and the suggested  
297 method can be used to quantify the effect of the above factors. The suggested method can also be  
298 potentially used to analyze the highway landslide risk in other regions.



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- 408



409 **List of Tables**

410

411 **Table 1.** Percent, length and passenger capacity of vehicles in Hong Kong

412 **Table 2.** Number of vehicles passing a given cross section of road per hour and average speed of  
413 vehicles on Kennedy Road in a day

414

415 **List of Figures**

416

417 **Figure 1.** Location of the landslide studied in this paper (© Google Maps 2019)

418 **Figure 2.** Typical cross section of the slope studied in this paper

419 **Figure 3.** Plan view of the slope studied in this paper

420 **Figure 4.** Histogram and fitted PDF of yearly maximum  $i_{24}$  in Hong Kong

421 **Figure 5.** CDF of yearly maximum  $i_{24}$  in Hong Kong

422 **Figure 6.** PDF of travel distance of the landslide studied in this paper

423 **Figure 7.** Mean rates of different types of vehicles during different periods: (a) morning peak (b)  
424 normal period (c) evening peak. (1. Private buses, 2. Non-franchised public buses, 3.  
425 Franchised buses, 4. Taxis, 5. Private cars, 6. Public light buses, 7. Private light buses, 8.  
426 Goods vehicles, 9. Special purpose vehicles, 10. Government vehicles, 11. Motor cycles)

427 **Figure 8.** Probability distribution of number of private cars hit by the landslide studied in this  
428 paper during different periods for the case of  $\alpha_j(\mathbf{S} = \mathbf{S}_i) = 1$ : (a) morning peak (b) normal  
429 period (c) evening peak (d) considering uncertainty of failure time

430 **Figure 9.** Annual risk of elements hit by the landslide studied in this paper: (a) vehicles (b)  
431 persons. (1. Private buses, 2. Non-franchised public buses, 3. Franchised buses, 4. Taxis,  
432 5. Private cars, 6. Public light buses, 7. Private light buses, 8. Goods vehicles, 9. Special  
433 purpose vehicles, 10. Government vehicles, 11. Motor cycles, 12. All types of vehicles)

434 **Figure 10.** Impact of failure probability of the slope on the landslide risk

435 **Figure 11.** Impact of distance between the landslide and the road on the landslide risk

436 **Figure 12.** Impact of density of vehicles on the landslide risk

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Table 1. Percent, length and passenger capacity of vehicles in Hong Kong

Vehicles types	Percent (%)	Length (m)	Passenger capacity (persons)
Private buses	0.08	10	55
Non-franchised public buses	0.82	10	55
Franchised buses	0.72	10	55
Taxis	2.30	5	5
Private cars	71.41	5	5
Public light buses	0.50	9	33
Private light buses	0.39	9	33
Goods vehicles	13.77	12	2
Special purpose vehicles	0.23	5	1
Government vehicles	0.74	5	5
Motor cycles	9.24	2	1

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441 Table 2. Number of vehicles passing a given cross section of road per hour and average speed of  
442 vehicles on Kennedy Road in a day  
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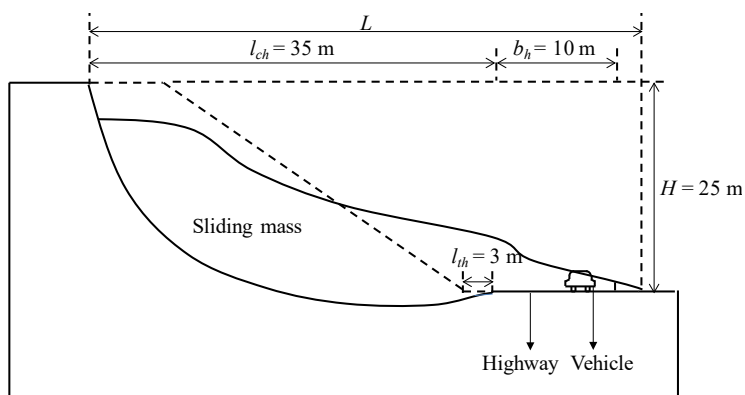
Periods in a day	Morning peak (7–9 am)	Normal period	Evening peak (5–7 pm)
$q$ (vehicles per hour)	3000	1500	2800
$v$ (km per hour)	15	30	15

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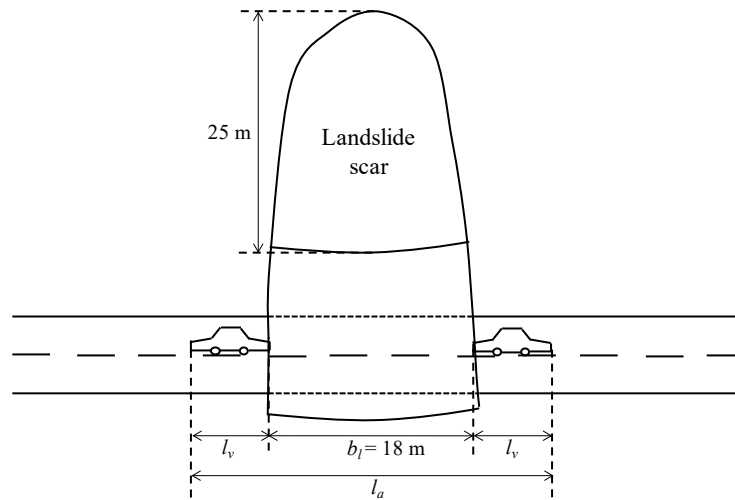
Figure 1. Location of the landslide studied in this paper (© Google Maps 2019)



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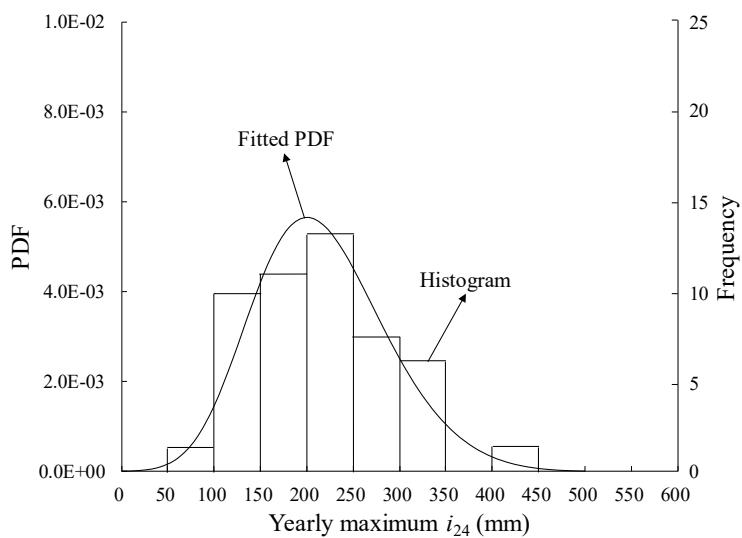
Figure 2. Typical cross section of the slope studied in this paper





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Figure 3. Plan view of the slope studied in this paper



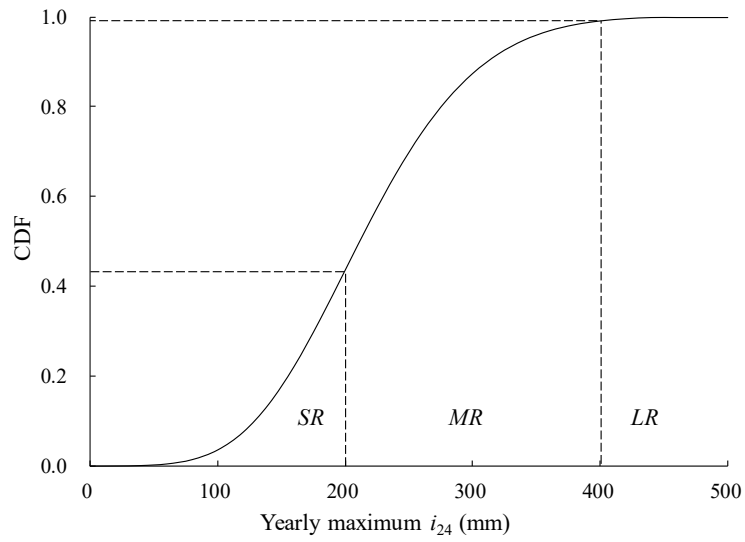
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Figure 4. Histogram and fitted PDF of yearly maximum  $i_{24}$  in Hong Kong

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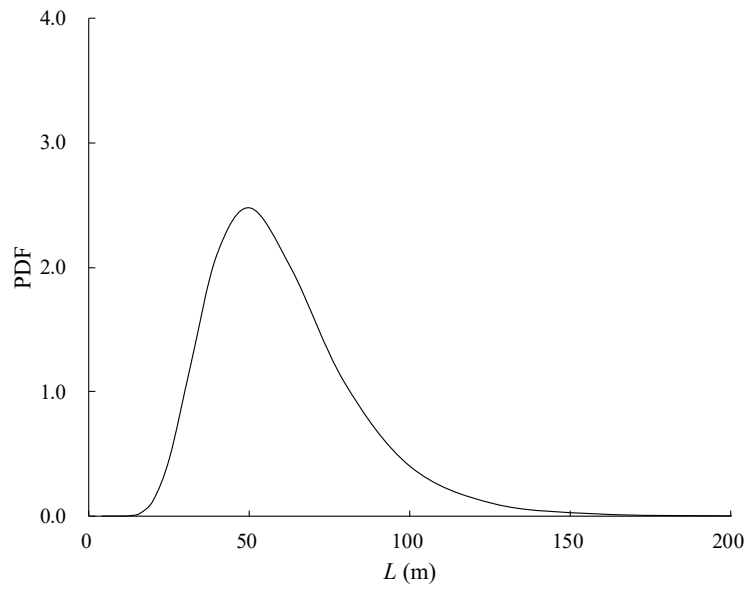
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Figure 5. CDF of yearly maximum  $i_{24}$  in Hong Kong

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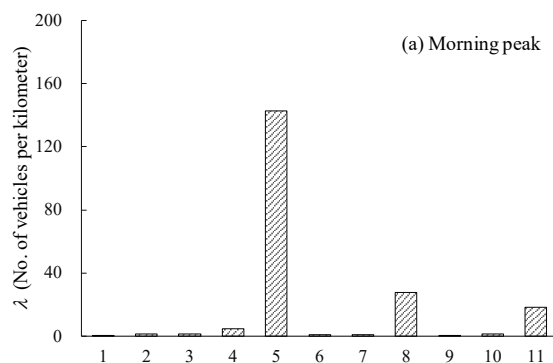


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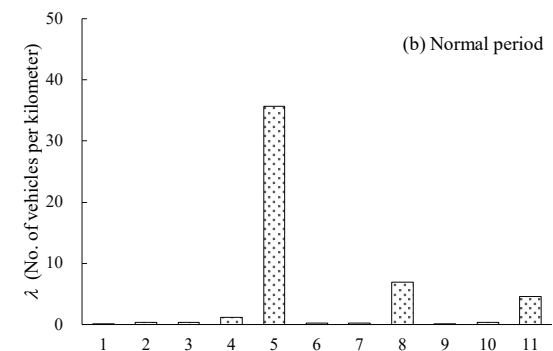
Figure 6. PDF of travel distance of the landslide studied in this paper



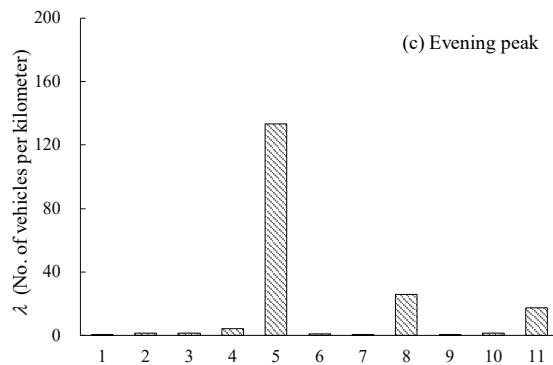
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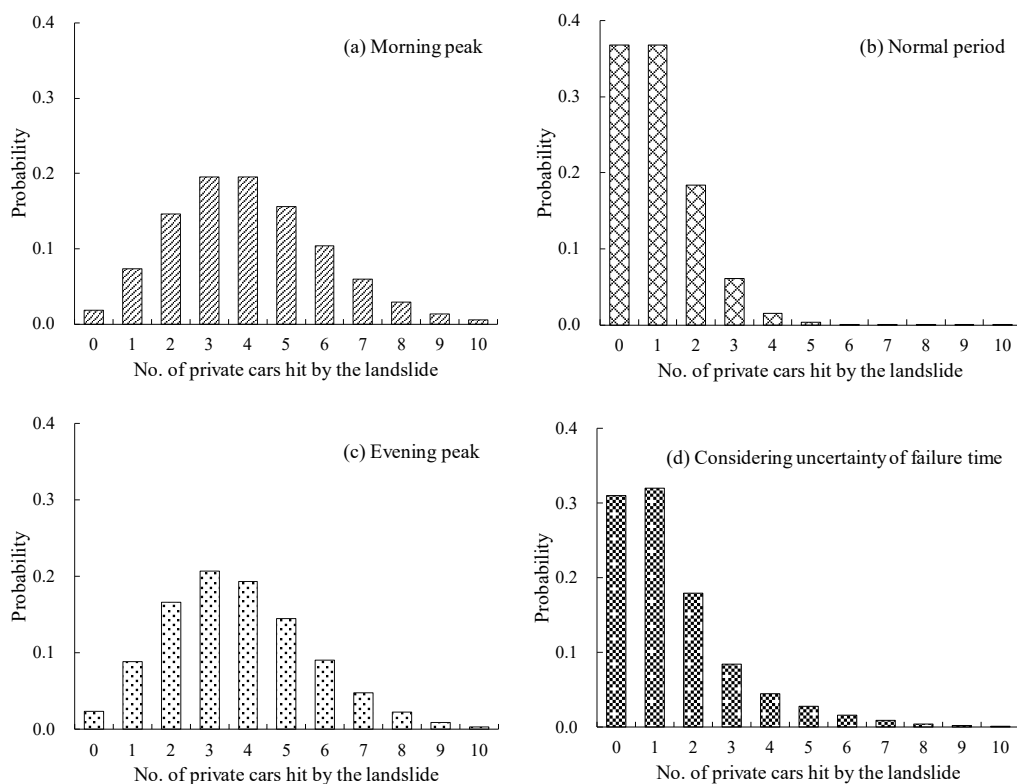


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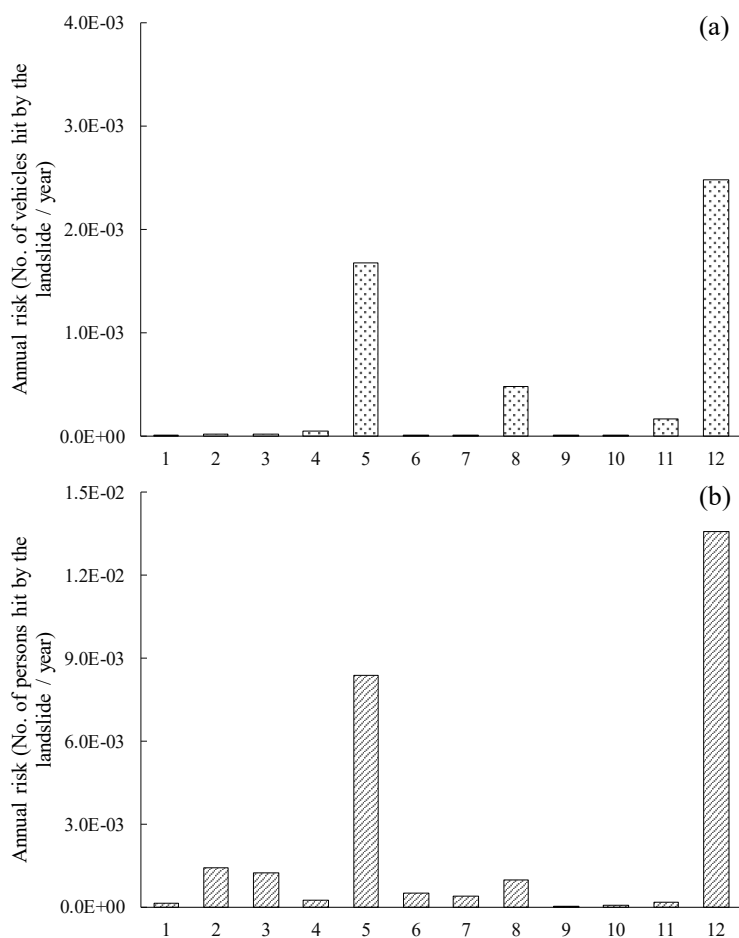
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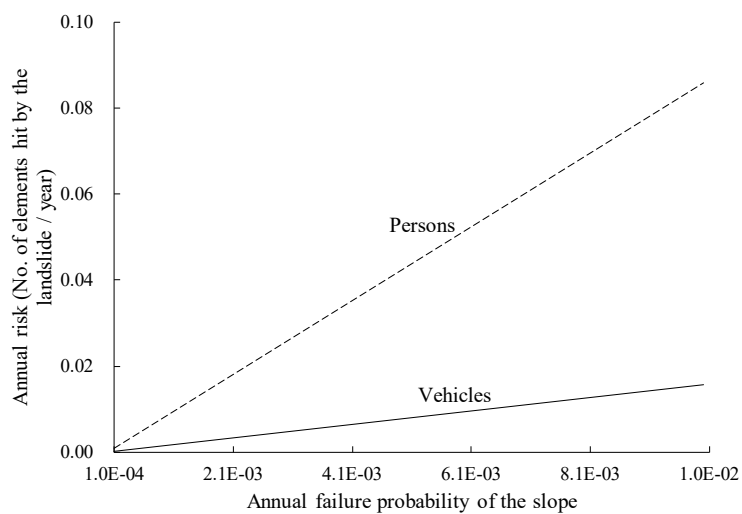


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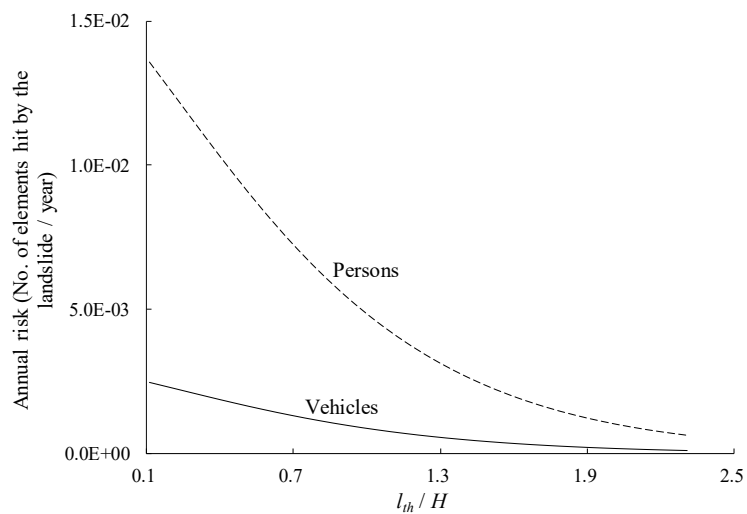
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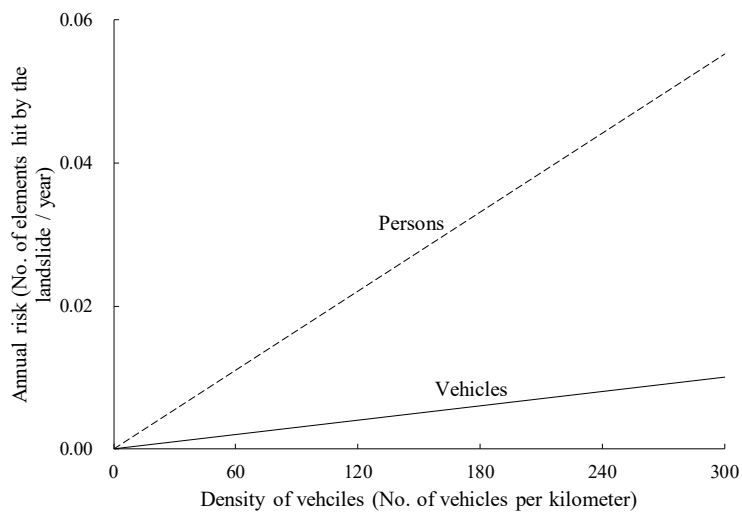
Figure 10. Impact of failure probability of the slope on the landslide risk





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Figure 11. Impact of distance between the landslide and the road on the landslide risk



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Figure 12. Impact of density of vehicles on the landslide risk