

1 **1 Point-by-point response**

2 **1.1 Referee 1**

3 **RC:** Thank you for your clarifications and corrections. I agree that mapping a few landslides and then applying a
4 susceptibility model, to map the remaining area, might be a good strategy for a rapid response in emergency situations.
5 However, as I commented in the previous revision, this analysis can only be used to model the spatial probability of co-
6 seismic landslides but it can never be used to model the spatial probability of post-seismic landslides (as you mentioned in
7 your response). This has to be clearly stated in the text. Furthermore, if you are only mapping a few landslides to later export
8 the model to the whole affected area you should, at least, mention the possible limitations/assumptions of this approach.

9 Finally, and very important: these and other clarifications have to be clearly explained in document and not only in the
10 response to reviewer document.

11 **AC:** We thank you very much for your feedback. We agree with your comments on our manuscript. After receiving your
12 latest comments, I checked the response that I submitted four months ago, and I recognized that I misused the term “post-
13 earthquake landslides”. However, in the revised manuscript, we didn’t use this term. As mentioned in the manuscript, we
14 mainly focused on the interpretation of earthquake-triggered landslides. Here, these landslides refer to co-seismic landslides.
15 We also pointed out that these landslides we interpreted were basically consistent with the previous studies (Fan et al., 2018;
16 Wang et al., 2018a; Wang et al., 2018b). So, the approach used in the manuscript can model the spatial probability of the co-
17 seismic landslides. This is consistent with your point of view. We apologize again for the misuse of that term in the response.
18 In the latest revised manuscript, we have carefully revised the related paragraphs and clearly described them. Please see the
19 section 3.1 and section 5.3. In addition, according to your comments, we have added some related sentences to supplement
20 our previous clarifications. Hope that the explanation will meet with approval.

21 **1.2 Editor**

22 Thank you very much for your efforts in advance towards early acceptance of our paper. Here we reply point-by-point to the
23 issues raised by the Referee. Our answers are highlighted in green colour. We are looking forwards to hearing from you soon.

24

1 GIS-based earthquake-triggered landslide susceptibility mapping 2 with an integrated weighted index model in Jiuzhaigou region of 3 Sichuan Province, China

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11 **Abstract.** A Mw 6.5 earthquake struck the Jiuzhaigou region of Sichuan Province, China at 21:19 pm on Tuesday, 8 August
12 2017, and triggered a large number of landslides. For mitigating the damages of earthquake-triggered landslides to
13 individuals and infrastructures of the earthquake affected region, a comprehensive landslide susceptibility mapping was
14 attempted with an integrated weighted index model by combining the frequency ratio and the analytical hierarchy process
15 approaches under GIS-based environment in the earthquake heavily attacked Zhangzha town of the Jiuzhaigou region. For
16 this purpose, a total number of 842 earthquake-triggered landslides were visually interpreted and located from Sentinel-2A
17 images acquired before and after the earthquake at first, and then the recognized landslides were randomly split into two
18 groups to establish the earthquake-triggered landslide inventory, among which 80 % of the landslides was used for training
19 the integrated model and the remaining 20 % for validation. Nine landslide controlling factors were considered including
20 slope, aspect, elevation, lithology, distance from faults, distance from rivers, land-use/cover, normalized difference
21 vegetation index and peak ground acceleration. The frequency ratio was utilized to evaluate the contribution of each
22 landslide controlling factor on landslide occurrence, and the analytical hierarchy process was used to analysis the mutual
23 relationship between landslide controlling factors. Finally, the landslide susceptibility map was produced by using the
24 weighted overlay analysis. Furthermore, an area under the curve approach was adopted to comprehensively evaluate the
25 performance of the integrated weighted index model, including the degree of model fit and model predictive capability. The
26 results demonstrated the reliability and feasibility of the integrated weighted index model in earthquake-triggered landslide
27 susceptibility mapping at regional scale. The generated map can help engineers and decision makers assess and mitigate
28 hazards of the earthquake-triggered landslides to individuals and infrastructures of the earthquake affected region.

29

30 **Keywords**

31 Earthquake-triggered landslide susceptibility mapping; An integrated weighted index model; Frequency ratio; Analytical
32 hierarchy process; The Jiuzhaigou region

2 **1 Introduction**

3 Recent natural disasters and their associated death tolls and financial costs have put mitigation of natural hazards at the
4 forefront of societal needs. Landslides are the most common natural disasters (geological hazards) that cause heavy human
5 casualties and damage to property every year in many areas of the world (Saha et al., 2002; Su et al., 2015). Landslides can
6 be caused by several factors, such as strong earthquakes, intense or prolonged rainfall and multiple human actions (Guzzetti
7 et al., 2012; Sato et al., 2007).

8 On August 8, 2017, a catastrophic earthquake of magnitude 6.5 struck the Jiuzhaigou region of Sichuan Province, China.
9 The epicentre of this earthquake with a depth of 20 km was located latitude 33.20° N and longitude 103.82° E, close to the
10 Jiuzhaigou National Nature Reserve, about 39 km West to the city of Jiuzhaigou. According to China Earthquake
11 Administration, the epicentre of the Jiuzhaigou earthquake was located near the Minjiang, Tazang and Huya faults (as can be
12 seen in Fig. 1), and this earthquake was caused by tectonic movement of an NW-SE-oriented left-lateral strike-slip fault
13 (Wang et al., 2018a). Although intense rainfall was not observed after the earthquake, numerous landslides were triggered by
14 strong seismic vibration of ground (Zhao et al., 2018). Many scenic spots in the Jiuzhaigou National Nature Reserve were
15 destroyed, as presented in Fig. 2(b), the Sparkling Lake was damaged. Due to numerous landslides blocking the roads, many
16 tourists were trapped in the region, as can be seen in Fig. 2(d), the S301 highway was severely obstructed by a significant
17 number of small-scale landslides. Based on field investigation, most of these landslides were small-scale rock slides, rock
18 falls and debris slides (Fan et al., 2018; Zhao et al., 2018). As China Earthquake Administration reported, this earthquake
19 caused 25 deaths and 176,492 injured or affected (Lei et al., 2018; Wang et al., 2018b). Landslides seriously threaten the
20 anthropogenic activities, as well as tourist facilities of the region. Comprehensive earthquake-triggered landslide
21 susceptibility mapping in the earthquake affected area, therefore, is essential to assess landslide hazard and mitigate landslide
22 damages through proper prevention actions for the future.

23 Over the last decades, many approaches for landslide susceptibility mapping were proposed, among which the application of
24 remote sensing associated with GIS modelling techniques became the most popular and effective ones (Alexander, 2008;
25 Carrara et al., 1991; Dai and Lee, 2002; Guzzetti et al., 1999; Lee, 2005; Mantovani et al., 1996; Mansouri Daneshvar, 2014;
26 Xu et al., 2012a). The most commonly used methods for landslide susceptibility mapping include logistic regression
27 (Ayalew and Yamagishi, 2005; Bai et al., 2010; Manzo et al., 2013; Ozdemir and Altural, 2013), weights of evidence
28 (Althuwaynee et al., 2012; Regmi et al., 2010), analytical hierarchy process (AHP) (Kayastha et al., 2013; Komac, 2006;
29 Mansouri Daneshvar, 2014; Yalcin, 2008), frequency ratio (FR) (Guo et al., 2015; Lee and Pradhan, 2007; Li et al., 2017;
30 Mohammady et al., 2012), support vector machine (SVM) (Marjanović et al., 2011; Su et al., 2015), decision tree
31 (Nefeslioglu et al., 2010; Saito et al., 2009) and artificial neural network (ANN) (Caniani et al., 2008; Catani et al., 2005;
32 Conforti et al., 2014; Ermini et al., 2005; Pradhan and Lee, 2009). These methods have been proved capable of mapping the

1 locations that are prone to landslides, however, some shortcomings yet exist in these methods, which reduce the efficiency of
2 these susceptibility methods when applied individually (Tien Bui et al., 2012; Umar et al., 2014). For example, the AHP can
3 be used to identify the mutual relationship between landslide controlling factors and the landslide susceptibility, but the
4 process and results mostly depend on the expert's knowledge, which are somehow subjective in practice (Youssef et al., 2015;
5 Zhang et al., 2016). The FR is capable of representing the influence of the categories of each controlling factor due to
6 landslide occurrences (Lee and Talib, 2005), however, the mutual relationship between the factors is mostly neglected
7 (Zhang et al., 2016), ~~and the same issue also exists in the modelled result.~~ Since different factors have different effects on
8 landslides, analysing the mutual relationship between factors is very important for mapping the landslide susceptibility.
9 Logistic regression is good at analysing the relationships among the landslide controlling factors but is not capable to
10 evaluate the impact of the categories of each factor individually on landslides (Umar et al., 2014). Fuzzy logic has also been
11 employed in landslide susceptibility mapping, but the modelled results largely rely on the expert's knowledge, which often
12 leads to a high degree of uncertainty (Tilmant et al., 2002). In addition, machine learning models (e.g. SVM, decision tree
13 and ANN models) are very popular methods in landslide analysis, nevertheless, heavy dependence of a very high-speed
14 computer along with large amounts of training data needed constrain their practical applications to some extent (Umar et al.,
15 2014).

16 In addition, the combined approach has been gradually used for landslide susceptibility assessment (Ba et al., 2017; Boon et
17 al., 2015; Dehnavi et al., 2015; Kadavi et al., 2018; Pham et al., 2018; Shrestha et al., 2017; Umar et al., 2014; Youssef et al.,
18 2015). For instance, Umar et al. (2014) used an ensemble method of FR and logistic regression to assess the landslide
19 susceptibility in West Sumatera Province, Indonesia, and the similar integrated method was also applied by Youssef et al.
20 (2015). Dehnavi et al. (2015) combined the step-wise weight assessment ratio analysis method and adaptive neuro-fuzzy
21 inference system to produce a landslide susceptibility map of Iran. Ba et al. (2017) proposed an improved information value
22 model based on grey clustering for landslide susceptibility mapping in Chongqing. Kadavi et al. (2018) proposed a hybrid
23 machine learning approach of AdaBoost, LogitBoost, Multiclass Classifier, and Bagging models for spatial prediction of
24 landslides. Although those studies suggested effectiveness of the integrated method in some areas of the world, the
25 universality and efficiency of the integrated method were yet remained as an important issue to be confirmed in different
26 regions of the world (Reichenbach et al., 2018).

27 The main purpose of this study is to map the susceptibility of earthquake-triggered landslides by applying an integrated
28 weighted index model by combining FR and AHP. The integrated model is capable of evaluating the contribution of each
29 landslide controlling factor to landslide occurrence using FR method, meanwhile taking mutual relationships among
30 controlling factors into account by the use of AHP. Such integration is capable to generate a complete model that largely
31 restrains the shortcomings of these two individual methods and reduces the uncertainty and subjectivity resulted by the
32 utilization of individual method. The experiment site was selected at the Zhangzha town of Jiuzhaigou, a region seriously
33 affected by the Jiuzhaigou earthquake. An earthquake-triggered landslide susceptibility map was produced by using the
34 integrated weighted index model along with the remotely sensed information, and a validation analysis by using an area

1 under the curve approach was conducted to the generated susceptibility map of the study area for evaluating the reliability
2 and feasibility of the integrated model.
3 To summarize, the main contributions of this paper are as follows. First, an integrated weighted index model by combining
4 FR and AHP was applied to generate the landslide susceptibility map. Such integration can maximize the benefits of both
5 methods. Second, the landslide susceptibility of Zhangzha town of Jiuzhaigou was investigated. According to the landslide
6 susceptibility map, engineers and decision makers involved in hazard mitigation can understand the probability of landslides
7 in different regions, and may therefore take the effective emergency actions to reduce the impact of the earthquake-triggered
8 landslides. This manuscript is structured as follows: Section 2 introduces the study area. Section 3 describes the data utilized
9 and data preparing procedures. Section 4 gives the detailed explanation about the integrated weighted index model. Section 5
10 presents the results and discussions focusing on validations on the generated earthquake-triggered landslide susceptibility
11 map of the study area followed by the conclusions drawn in Section 6 at the end.

12 **2 Study area**

13 The study area with an area of 1345.19 km², as shown in Fig. 1, is located in the Zhangzha town of Jiuzhaigou County
14 between 33.03° N – 33.35° N Latitude and 103.63° E – 104.05° E Longitude in the Min Shan Mountains to the north of the
15 Sichuan basin, eastern margin of the Tibetan Plateau. As pointed out in Deng (2011), the geological conditions of this region
16 are complex, and the tectonic movement strongly uplifted the entire western region of Jiuzhaigou, while the eastern region
17 had different fault block movements along the early faults. Regional tectonic movements are intense (Wang et al., 2018b), as
18 summarized in Fan et al. (2018), more than 50 earthquake events with magnitude 5.0 or greater occurred in the Jiuzhaigou
19 area in the past century. Active regional tectonic uplift and tilting cause the elevation of the study area to vary from 1624 m
20 to 4855 m above mean sea level. The Jiuzhaigou County belongs to a cold sub-humid and cold semi-arid monsoon climate
21 with annual precipitation about 550 mm (Li et al., 2014). The topography of the region is characterized by alpine karst
22 terrain formed by glacial, hydrological and tectonic activity, and with karstification in travertine deposits, many travertine
23 dikes and shoals appeared in the study area. Soluble carbonate rocks are widely distributed along with tufa deposition of
24 karst developed. Due to abundant recharge supply of groundwater in this region, many lakes and streams develop over
25 extensive alpine karst region, which favours hill slope erosion processes, and results in frequent occurrence of rock slides,
26 debris flows, and rock falls (Florsheim et al., 2013).

27 **3 Data**

28 In order to map the landslide susceptibility of the study area, we designed and developed a spatial database with the help of
29 ArcGIS (version 10.2) software. This database contained two primary parts: (1) the landslide inventory dataset for

1 earthquake-triggered landslides; and (2) the datasets of background condition representing the landslide controlling factors.
2 The data layers used in the landslide susceptibility mapping were briefly described in Table 1.

3 **3.1 Landslide inventory**

4 Landslide inventory is essential for assessing landslide hazard or risk on a regional scale (Pellicani and Spilotro, 2015). The
5 Jiuzhaigou earthquake triggered numerous landslides in the study area. To derive landslide inventory containing detailed and
6 reliable information on landslide distribution, location, etc., Sentinel-2A images on July 29, August 13 and September 7,
7 2017 were used to recognize and locate the earthquake-triggered landslides. Sentinel-2A image has 13 spectral bands (from
8 blue to shortwave infrared) with the spatial resolution of 10 m, 20 m and 60 m, respectively. In this study, three visible bands
9 (red, green, blue) with the spatial resolution of 10 m were adopted to analysis the image characteristics of earthquake-
10 triggered landslides. With the aid of ArcGIS and ENVI tools, the landslide information of the study area was extracted using
11 on-screen visual interpretation on pre- and post-earthquake Sentinel-2A images. In order to ensure the quality of visual
12 interpretation, GF-1 images with spatial resolution of 2 m on January 15, 2017, and GF-2 images with spatial resolution of 1
13 m on August 9, 2017, were used to verify the results. Consequently, a total number of 842 earthquake-triggered landslides
14 were recognized and positioned. Smaller landslides with total pixels less than 20 were not included as they were not clear
15 enough in visual features. It is worthwhile mentioning that most of the interpreted landslides were triggered by the
16 Jiuzhaigou earthquake, and unless otherwise specified, in this article the earthquake-triggered landslide refers to the co-
17 seismic landslide. We assumed that the distribution of the earthquake-triggered landslides was reasonably accurate and
18 complete at regional scale in order to make the problem tractable. For earthquake-triggered landslide susceptibility mapping,
19 the landslide inventory dataset was randomly split into two groups, among which 80 % (673 landslides) of the recognized
20 landslides was used for training the integrated weighted index model and the remaining 20 % (169 landslides) for validation.

21 **3.2 Landslide controlling factors**

22 The occurrence of landslides is a consequence of geological, meteorological, anthropogenic and triggering factors,
23 commonly referred to as landslide controlling factors (Bai et al., 2010). Standard guidelines for choosing the optimal
24 landslide controlling factors are unavailable, but the scale of analysis, the nature of the study area, the data availability and
25 the quasi-empirical and statistical criterions in literatures can be referenced (Romer and Ferentinou, 2016; Zhou et al., 2016).
26 In this study, slope, aspect, elevation, lithology, distance from faults, distance from rivers, land-use/cover (LULC),
27 normalized difference vegetation index (NDVI) and peak ground acceleration (PGA) were selected as the landslide
28 controlling factors, as shown in Fig. 3.

29 Among all landslide controlling factors, slope, aspect and elevation have been recognized as the most important topographic
30 factors closely related to landslides (Ayalew and Yamagishi, 2005; Chalkias et al., 2016). Slope directly affects the velocity
31 of both surface and subsurface flows (Su et al., 2015). Landslides become more possible once the slope gradient is higher
32 than 15° (Lee and Min, 2001). In the study area, the slopes were generally steep, with an average slope angle of about 30°.

1 Aspect, referred to the direction of slope faces, is related to soil moisture, surface runoff and vegetation, which indirectly
2 affects landslide development (Zhang et al., 2016). The elevation, as the measure of the land surface height, is a key factor
3 determining gravitational potential energy of terrain and is often considered in relevant studies (Conforti et al., 2014; Peng et
4 al., 2014). Topographic factors can be calculated with DEM. The DEM from SRTM database was used to extract slope (0° –
5 78°), aspect and elevation (1624–4855 m) in the study area.

6 Lithology is directly related to the slope stability, which plays an important role as one of landslide controlling factors (Guo
7 et al., 2015, Saha et al., 2002). Ten geological formation units including Quaternary (Q, Qh), Triassic (T1, T2, T3), Permian
8 (P, P2), Carboniferous (C), and Devonian (D) outcrop in the study area (Wang et al., 2018a). During the Jiuzhaigou
9 earthquake, most landslides in the study area occurred in the carboniferous formations which is mainly composed of
10 metamorphic quartzite sandstones, limestone and slate (Fan et al., 2018). In addition, the Permian limestone and Triassic
11 sandstone also exhibited a large number of landslides. In this study, the lithological data was obtained from the geological
12 map at 1: 500,000 scale and was digitized in ArcGIS for further analysis. The distances of a slope from faults as well as from
13 the river channels are also important factors in terms of slope stability (Kanungo et al., 2006). In addition, earthquake-
14 triggered landslides are usually found in the vicinity of active faults. Hence, the distances of a slope from geological tectonic
15 zone were often taken into account in slope stability analysis. Fan et al. (2018) had revealed that this earthquake occurred
16 along a previously unknown blind fault probably belonging to a south branch of the Tazang fault or north part of the Huya
17 fault. However, due to its great uncertainty, this blind fault was not taken into account in the study area. In this study, the
18 faults were digitized from the geological map at 1: 500,000 scale, and the river channels were interpreted from remote
19 sensing images. And the LULC map is one of controlling factors that pose direct impact on the occurrence of landslides
20 (Song et al., 2012; Mansouri Daneshvar, 2014). In this study, the LULC map was downloaded from the Geographical
21 Information Monitoring Cloud Platform.

22 Vegetation coverage poses effect on soil water erosion, which indirectly affects the occurrence of landslides. NDVI, as the
23 measure of vegetation coverage, is usually adopted in landslide susceptibility analysis (Siqueira et al., 2015). The NDVI is
24 calculated from these individual measurements as follows:

$$25 \quad NDVI = \frac{DN_{NIR} - DN_R}{DN_{NIR} + DN_R}, \quad (1)$$

26 Where, DN_{NIR} stands for the spectral reflectance derived from the measured radiances in the near-infrared regions (NIR), and
27 DN_R stands for the spectral reflectance derived from the measured radiances in the visible (Red) regions.

28 In this study, the NDVI map was generated from the Landsat-8 image acquired on April 8, 2017 over the study area.

29 Earthquake as an important dynamic factor, often triggers slope failures (Xu et al., 2012a). Usually, the impact of earthquake
30 on landslides is measured and quantified by recording the absolute maximum amplitude of ground acceleration (PGA)
31 (Chalkias et al., 2016). In this study, the PGA map of the study area was downloaded from the USGS website
32 (<https://www.usgs.gov>).

1 To ensure the consistency and easy process of these data, all factor layers were converted into raster data format (GeoTIFF)
2 with an identical spatial projection (WGS84 datum) and resampled to a resolution of 30 m by ENVI 5.3 and ArcGIS 10.2.

3 **4 Methodology**

4 In this study, an integrated weighted index model was developed as a complete landslide susceptibility model by combining
5 AHP and FR approaches. As shown in Fig. 4, the integrated weighted index model was run through three general steps: (1)
6 determining the relative importance of landslide controlling factors using AHP method, (2) characterizing the relationships
7 between controlling factors and landslide locations using FR and GIS techniques, and (3) predicting landslide susceptibility
8 using Weighted Overlay Analysis tool of ArcGIS.

9 **4.1 Analytical hierarchy process (AHP)**

10 The AHP method, developed by Saaty (Saaty, 1977), is an important multiple criteria decision-making method (Vaidya and
11 Kumar, 2006), which has been applied for landslide susceptibility assessment for many years (Akgun, 2012; Barredo et al.,
12 2000; Kayastha et al., 2013; Komac, 2006; Pourghasemi et al., 2012; Yalcin, 2008).

13 In the AHP, a complex non-structural problem is first broken down into several component factors. Then, based on the
14 expert's prior experience and knowledge, a pair-wise comparison matrix can be constructed through comparing the relative
15 importance of each factor (Vargas, 1990). An underlying 9-point recording scale is used to rate the relative importance of
16 factors (Mansouri Daneshvar, 2014). Specifically, when a factor is more important than another, the score varies between 1
17 and 9. Conversely, the score varies between 1/2 and 1/9. The higher the score, the greater the importance of the factor. With
18 the help of a pair-wise comparison matrix, the contribution of factors can be converted into numerical values. Note that a
19 consistency check of comparison matrix needs to be carried out, and the Consistency Ratio (CR) of less than 0.1 is generally
20 accepted.

21 In this study, the relative importance of landslide controlling factors was determined from the prior experience and
22 knowledge of experts. Since the knowledge source varies from person to person, the best judgment always comes from an
23 individual who has good expertise (Ayalew et al., 2004). To find the appropriate correlation between controlling factors, we
24 investigated some related literatures (Shahabi and Hashim, 2015; Xu et al., 2012b; Zhang et al., 2016) and consulted with
25 some professional experts. Finally, the pair-wise comparison matrix was determined by means of discussion (Table 2) and a
26 general consensus achieved by experts. Weights of factors were determined in the process of a pair-wise comparison matrix
27 using Python software, as shown in Table 2. The Consistency Ratio (CR) for this study was 0.017, which showed that the
28 pair-wise comparison matrix satisfied the consistency requirement.

1 4.2 Frequency ratio (FR)

2 The FR method is one of the most widely used approaches to assess the landslide susceptibility at regional scale (Guo et al.,
3 2015; Li et al., 2017; Mohammady et al., 2012), which is based on the observed spatial relationship between landslide
4 locations and controlling factors (Lee and Pradhan, 2007; Poudyal et al., 2010). The assumption behind the FR is that future
5 landslides will occur under similar environmental conditions as historical landslides (Guzzetti et al., 1999; Pourghasemi and
6 Rahmati, 2018), and the susceptibility can be evaluated from the relationship between the controlling factors and the
7 landslide occurrence locations (Zhu et al., 2014). The definition of FR is the ratio of the probability of occurrence to non-
8 occurrence for given properties (Lee and Talib, 2005). The spatial relationship between landslides and controlling factors can
9 be investigated by using the FR method. Therefore, the FR values of each controlling factor category were calculated from
10 their relationship with landslide occurrence locations as illustrated in Table 3. The average value of FR is 1 so that a value
11 larger than one represents a higher correlation and those less than it, a lower correlation (Romer and Ferentinou, 2016).
12 The FR value can be calculated as follows (Ghobadi et al., 2017):

$$13 \quad FR_i = \frac{N_{cell}(S_i)/N_{cell}(N_i)}{\sum N_{cell}(S_i)/\sum N_{cell}(N_i)}, \quad (2)$$

14 Where, $N_{cell}(S_i)$ represents number of grid cells recognized as landslides in class i , and $N_{cell}(N_i)$ represents total number
15 of grid cells belonging to class i in the whole area; while $\sum N_{cell}(S_i)$ stands for the total number of grid cells recognized as
16 landslides in the whole area, and $\sum N_{cell}(N_i)$ represents total number of grid cells in the whole area.

17 4.3 Integrated weighted index

18 The integrated weighted index is considered to measure the probability of slope failures. By combining FR and AHP
19 methods, the integrated weighted model can assess the correlation between the controlling factors and also the influence of
20 each landslide controlling factor on landslide occurrence.

21 The integrated weighted index can be calculated as follows:

$$22 \quad I = \sum_i^m (W_i \times FR_i), \quad (3)$$

23 Where, m stands for number of controlling factors, W_i is the weight of each controlling factor calculated by the AHP method,
24 FR_i is the FR value of the controlling factor calculated by the FR method.

25 In this study, the values of W_i and FR_i were used to obtain the integrated weighted index of each grid cell in the study area,
26 and the final landslide susceptibility map was generated by using Weighted Overlay Analysis tool of ArcGIS.

1 **5 Results and discussions**

2 **5.1 Landslide susceptibility mapping**

3 The AHP method was used to assign the weights for each controlling factor. The higher the weight was, the more impacts on
4 landslide occurrence could be expected. As shown in Table 2, the weight of slope was highest, implying the most significant
5 influence of slope on the landslide occurrence, and the weights of aspect and NDVI were the lowest, which indicated that
6 these two factors played the least role in the landslide occurrence.

7 The FR values of each controlling factor category were calculated by using the Eq. (2) (as shown in Table 3). Table 3 clearly
8 shows the relationship between each controlling factor and the landslide occurrence. In the term of the relationship between
9 landslide occurrence and slope, landslides mostly occurred in the slope ranging from 40° to 60°. For the elevation, landslides
10 mostly occurred below the elevation of 3400 m, which implied that the probability of landslide occurrence was higher in
11 moderate steep mountainous region. In terms of the aspect, the FR value was very high for the class of E, N, SE and NE, and
12 it was lowest for the class of Flat. For the lithology, the highest FR value was achieved for Permian System which influenced
13 the landslide occurrence. For the factor of distance from faults, the highest FR value belonged to the area higher than 2000 m.
14 The distance from rivers with the highest FR value for frequent landslide occurrence was found usually between 0 and 600 m,
15 and landslides mostly occurred in the region with low vegetation cover of less NDVI value. In the case of PGA, the value of
16 0.26 g had the highest FR value, which indicated the significant influence of the earthquake on the landslide occurrence. In
17 general, our results were basically consistent with the previous study (Fan et al., 2018), which found that most of the
18 landslides mainly occurred in proximity of rivers and the epicentre, with an elevation of 2600 m to 3200 m and a slope of 35°
19 to 55°.

20 Finally, the landslide susceptibility map of study area was generated by using Weighted Overlay Analysis tool of ArcGIS,
21 and the study area was classified into seven categories of landslide susceptibility levels as presented in Fig. 5: very high,
22 high, relatively high, moderate, relatively low, low and very low by using Natural Breaks (Jenks) method with ArcGIS,
23 respectively.

24 According to the landslide susceptibility map, the location close to the epicentre and rivers was classified as the most
25 susceptible areas for landslides, and the high and very high landslide susceptible areas mostly located in the middle central
26 mountainous region. The low and very low susceptibility areas far from the epicentre and less affected by the earthquake,
27 mainly distributed in the North and South-West parts of the study area. Table 4 presented the distribution of seven landslide
28 susceptibility levels. As indicated in Table 4, the very low susceptible area covered 9.72 % of the whole area, whereas low,
29 relatively low, moderate, relatively high, high and very high susceptible areas covered 25.34 %, 22.92 %, 17.76 %, 13.27 %,
30 7.97 % and 3.02% of the whole area, respectively. A total of 61.76 % of the landslides were observed in the high and very
31 high susceptibility areas, and only 3.08 % of the landslides were observed in the low and very low susceptibility areas. For
32 the landslide density, the values for very low, low, relatively low, moderate, relatively high, high and very high were 0.03,

1 0.06, 0.11, 0.37, 0.96, 3.03 and 4.79, respectively. The landslide density for the very high susceptible area was significantly
2 larger than for the other susceptible areas.

3 **5.2 Validations**

4 For landslide susceptibility mapping, validation of the modelled results is essential. A simple procedure of validation can
5 make a comprehensive and reasonable interpretation of the future landslide hazard (Chung and Fabbri, 2003).

6 In this study, operating characteristics curve (ROC) approach (Brenning, 2005; Bui et al., 2016) was adopted to evaluate the
7 performance of the integrated weighted index model, including the degree of model fit and model predictive capability. The
8 ROC curve was obtained by calculating the area under the curve (AUC) and the AUC value varied from 0.5 to 1.0 (Umar et
9 al., 2014). The AUC value of 1.0 implied a perfect performance of the model, whereas a value close to 0.5 indicated that the
10 model performed not so well. To assess the fitting performance of the integrated weighted index model, five sub-datasets
11 containing 20 %, 40 %, 60 %, 80 % and 100 % of training dataset (i.e., 673 landslides) respectively, were used to obtain the
12 fitting curves. [These fitting curves can be generated by comparing resultant maps with the existing training dataset.](#) Figure
13 6(a) shows a quantitative measure of the ability of integrated weighted index model to describe the known distribution of
14 landslides. The AUC values of five sub-datasets were 82.57 %, 84.52 %, 84.99 %, 86.08 % and 85.65 %, respectively, which
15 suggested the effective fitting capability of the integrated weighted index model developed in this study.

16 To investigate the prediction performance of the integrated weighted index model, we also adopted five sub-datasets
17 containing 20 %, 40 %, 60 %, 80 % and 100 % of validation dataset (i.e., 169 landslides) respectively, to estimate the
18 prediction rates. [The prediction rates can be calculated by comparing resultant maps with the unknown validation dataset.](#)
19 Note that the validation dataset (i.e., 20 % of the landslide inventory dataset) was not used in the training process. The AUC
20 values of five sub-datasets, as presented in Fig. 6(b), were 78.71 %, 81.66 %, 84.27 %, 86.09 % and 87.16 %, respectively.
21 With the increase of input data, the performance of the integrated weighted index model was significantly improved, which
22 indicated a reliable predicting capability of the integrated weighted index model adopted in this study.

23 In addition, the landslide density distribution of each susceptibility level was computed by associating landslides with the
24 classified landslide susceptibility map (as shown in Table 4). There was a clear trend that the increase in the level of
25 landslide susceptibility was highly correlated with the density of landslides. The high and very high susceptibility levels had
26 the significant high landslide density values, while the low susceptibility categories were just the opposite, which also
27 implied the effectiveness of the generated landslide susceptibility map of the study area.

28 **5.3 Discussions**

29 Landslide susceptibility is defined as the likelihood of landslides occurring in an area under local environmental conditions
30 (Fell et al., 2008; Reichenbach et al., 2018). There are numerous methods that have been proposed to evaluate the
31 susceptibility. The main purpose of this study is to assess the spatial probability of landslide occurrences by using an
32 integrated weighted index model in association with the utilization of FR and AHP approaches. The FR is a data-driven

1 statistical approach which can derive spatial relationship between landslide locations and controlling factors. However, the
2 FR method does not consider the mutual relationships between controlling factors. The AHP method is an important multiple
3 criteria decision-making method, which can overcome this shortcoming. To some extent, the integrated method preserves the
4 advantages of FR and AHP methods and restrains their weak points. Some similar studies have also pointed it out
5 (Reichenbach et al., 2018; Youssef et al., 2015; Zhou et al., 2016).

6 The implementation of the integrated weighted index model revealed that landslide susceptibility levels were basically
7 consistent with the distribution of earthquake-triggered landslides. The high susceptibility areas were concentrated in the
8 central mountainous region close to the epicentre of the earthquake of the study area, which indicated the significant
9 influence of the Jiuzhaigou earthquake on the landslide occurrence. From the landslide susceptibility map (as shown in Fig. 5
10 and Table 4), the “very high” and “high” susceptibility areas covered 10.99 % of the whole area and most of the Jiuzhaigou
11 National Nature Reserve was classified as the most landslide susceptible areas.

12 Even though, some limitations yet existed in the proposed method. Firstly, the accuracy of FR method is highly depended on
13 the quality of dataset, especially the landslide inventory (Zhou et al., 2016). Nevertheless, the landslide inventory is
14 generally incomplete (Fell et al., 2008), and is affected by many factors, such as the quality and scale of remote sensing
15 images, the tectonic setting complexity of study area, and the expertise of the interpreter involved (Malamud et al., 2004). In
16 this study, ~~we mainly focused on the interpretation of earthquake triggered landslides, and interpretation results were~~
17 ~~basically consistent with the previous studies (Fan et al., 2018; Wang et al., 2018a; Wang et al., 2018b). We didn't~~
18 ~~accurately identify the landslides before the Jiuzhaigou earthquake due to the limitations of historical images, and smaller~~
19 ~~landslides were also not completely identified.~~ we mainly focused on the interpretation of earthquake-triggered landslides
20 (i.e., co-seismic landslides). We didn't accurately identify the landslides before the Jiuzhaigou earthquake due to the
21 limitations of historical images. Since the remote sensing images we used were very close to the time of earthquake, we have
22 reason to believe that most of the landslides we interpreted were triggered by the Jiuzhaigou earthquake. In addition,
23 interpretation results were basically consistent with the previous studies (Fan et al., 2018; Wang et al., 2018a; Wang et al.,
24 2018b), and smaller landslides were also not completely identified. Future work should focus on the preparation of more
25 detailed landslide inventories, and field work should be carried out in time. Secondly, in this study, as the proposed method
26 was applied to medium-scale datasets, the results may not be suitable for specific analysis of large or detailed scale. At large
27 or detailed scales, more detailed landslide inventory dataset and controlling factor layers are required. Additionally, the
28 assumption behind much of the landslide susceptibility mapping is that future landslides will occur under similar
29 environmental conditions as historical landslides (Guzzetti et al., 1999; Pourghasemi and Rahmati, 2018). ~~However, results~~
30 ~~obtained in the past environmental conditions are not a guarantee for the future (Guzzetti et al., 2005).~~ Although most
31 landslide susceptibility mapping studies are based on this assumption, results obtained in the past environmental conditions
32 are not a guarantee for the future (Guzzetti et al., 2005). In this study, we used a weighted index model by integrating the
33 AHP and FR approaches to map the earthquake-triggered landslides susceptibility and the generated susceptibility map of
34 the study area was made for the present situation. The susceptibility results need to be adapted as soon as environmental

1 conditions or their causal relationships obviously change in the future. ~~Despite its limitations, the integrated method can~~
2 ~~generate a reliable landslide susceptibility map at regional scale which can provide rapid assessment for reconstruction of~~
3 ~~tourism facilities, regional disaster management etc.~~ However, for earthquake emergency and safe planning, a reliable
4 landslide susceptibility map can provide rapid assessment for reconstruction of tourism facilities, regional disaster
5 management etc. Therefore, to some extent, the integrated method can serve engineers and decision makers involved in
6 hazard mitigation.

7 **6 Conclusions**

8 Earthquake is one of the dynamic causes in landslide occurrence. Earthquake-triggered landslides can cause extensive and
9 significant damages to both lives and properties. In this study, given the main motivation to adopt an integrated weighted
10 index model based on FR and AHP methods for earthquake-triggered landslide susceptibility mapping at the Zhangzha town
11 of the Jiuzhaigou County where a Mw 6.5 earthquake struck on Tuesday, 8 August 2017, nine factors such as slope, aspect,
12 elevation, lithology, distance from faults, distance from rivers, LULC, NDVI and PGA as landslide controlling factors were
13 adopted in the integrated weighted index model for generating the landslide susceptibility map of the study area with
14 reclassification of seven levels of landslide susceptibility areas within a GIS environment. The ROC approach was used to
15 comprehensively evaluate the performance of the integrated weighted index model, including the degree of model fit and
16 model predictive capability. The results demonstrated the reliability and feasibility of the integrated weighted index model in
17 landslide susceptibility mapping at regional scale.

18 Even some limitations do exist, the integrated weighted index model can generate a reliable landslide susceptibility map at
19 regional scale that is useful for engineers and decision makers to understand the probability of landslides and mitigate
20 hazards. Furthermore, the integration of some machine learning techniques should be taken into account in the integrated
21 weighted index model for advancement in future studies.

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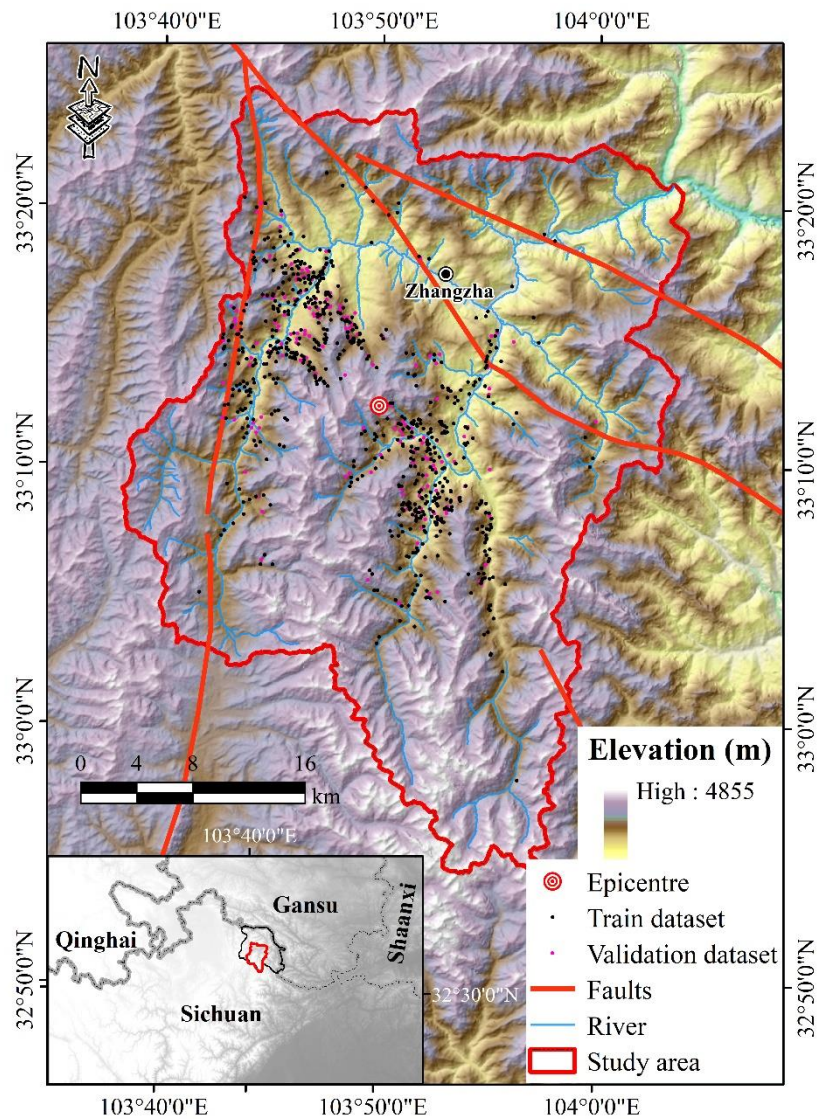
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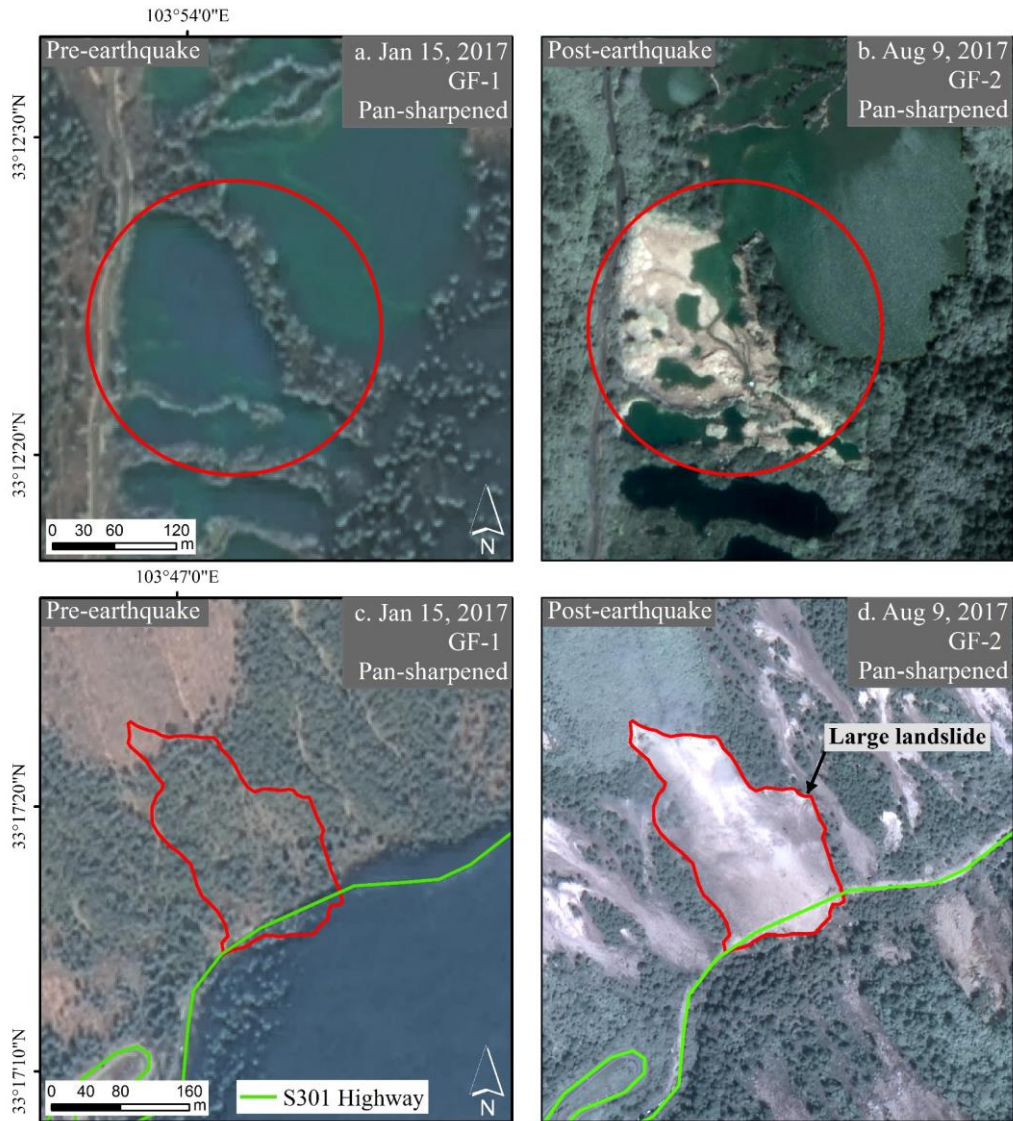
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1 Figures

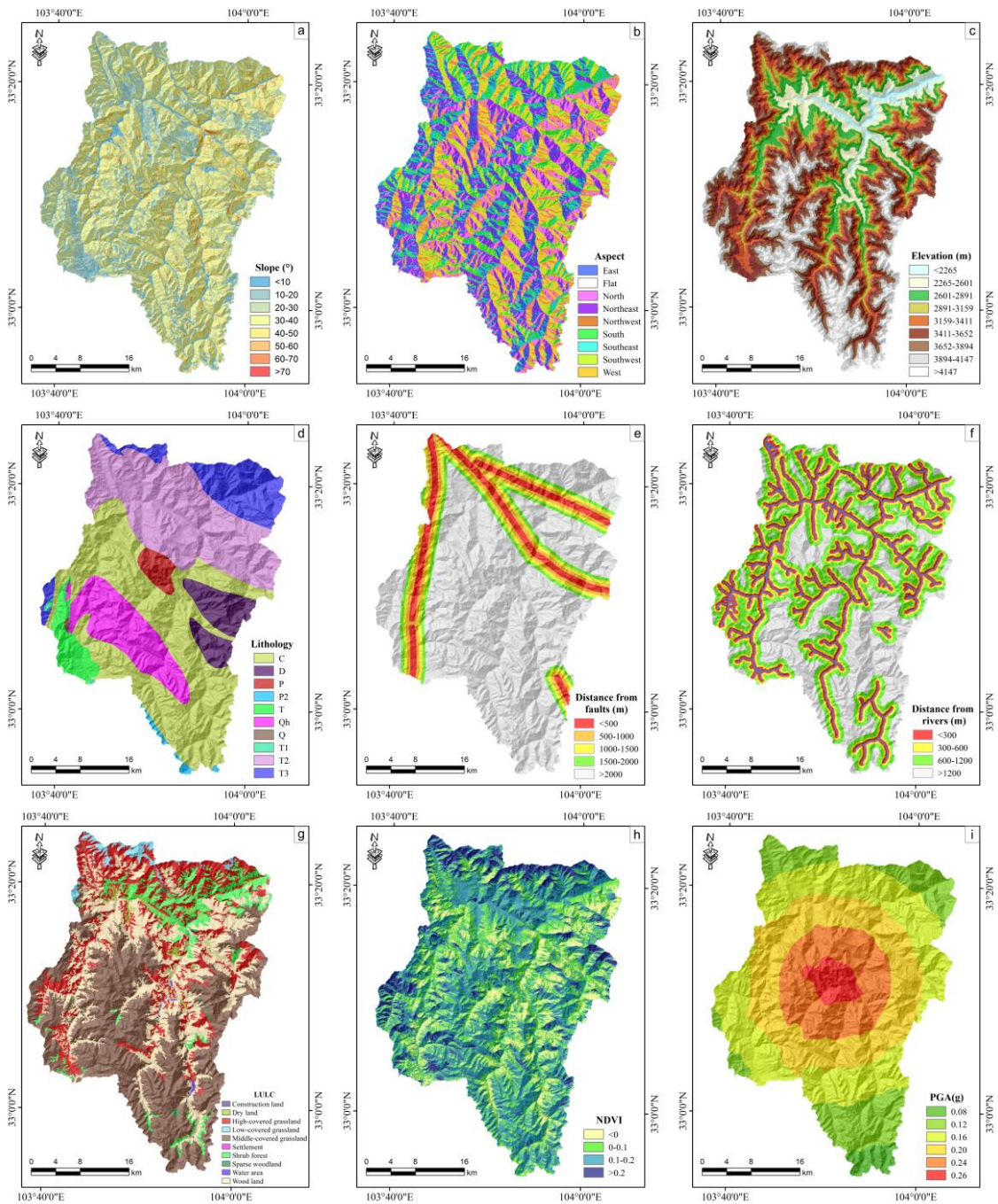


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3 **Figure 1: The digital map showing the location, topography, river networks, faults, epicentre of the Jiuzhaigou earthquake, as well**
4 **as the locations of earthquake-triggered landslides for training and validation over the study area.**



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 2 **Figure 2: Remote sensing interpretation for earthquake disaster of the study area. a) 2 m spatial resolution GF-1 remotely sensed**
 3 **image on January 15, 2017 before the earthquake compared with b) 1 m spatial resolution GF-2 remotely sensed image on August**
 4 **9, 2017 after the earthquake, clearly revealed the dried up of the Sparkling Lake after the Jiuzhaigou earthquake; c) 2 m spatial**
 5 **resolution GF-1 remotely sensed image on January 15, 2017 before the earthquake compared with d) 1 m spatial resolution GF-2**
 6 **remotely sensed image on August 9, 2017 after the earthquake, illustrated the damage of the S301 highway in the Jiuzhaigou**
 7 **earthquake.**
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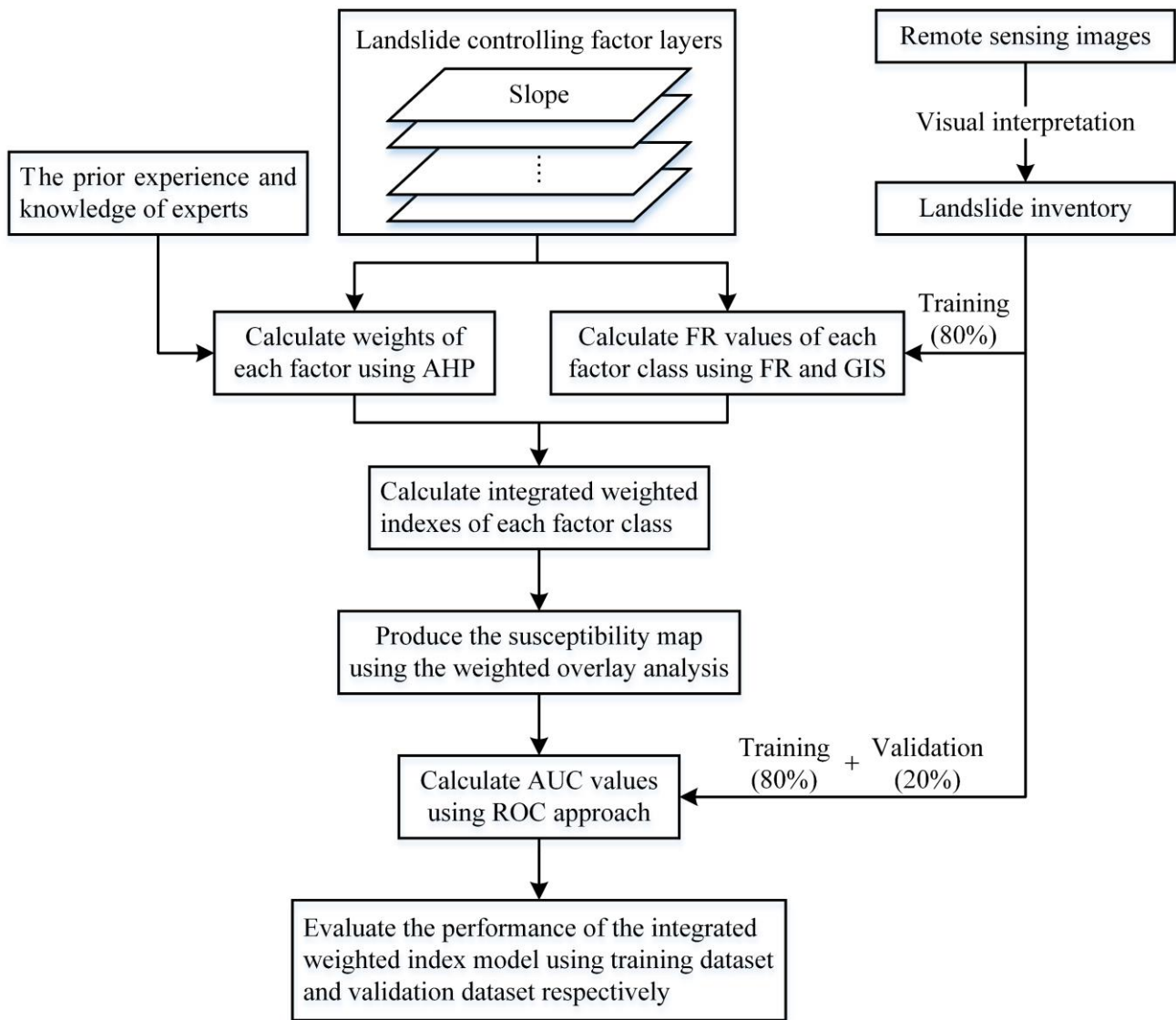


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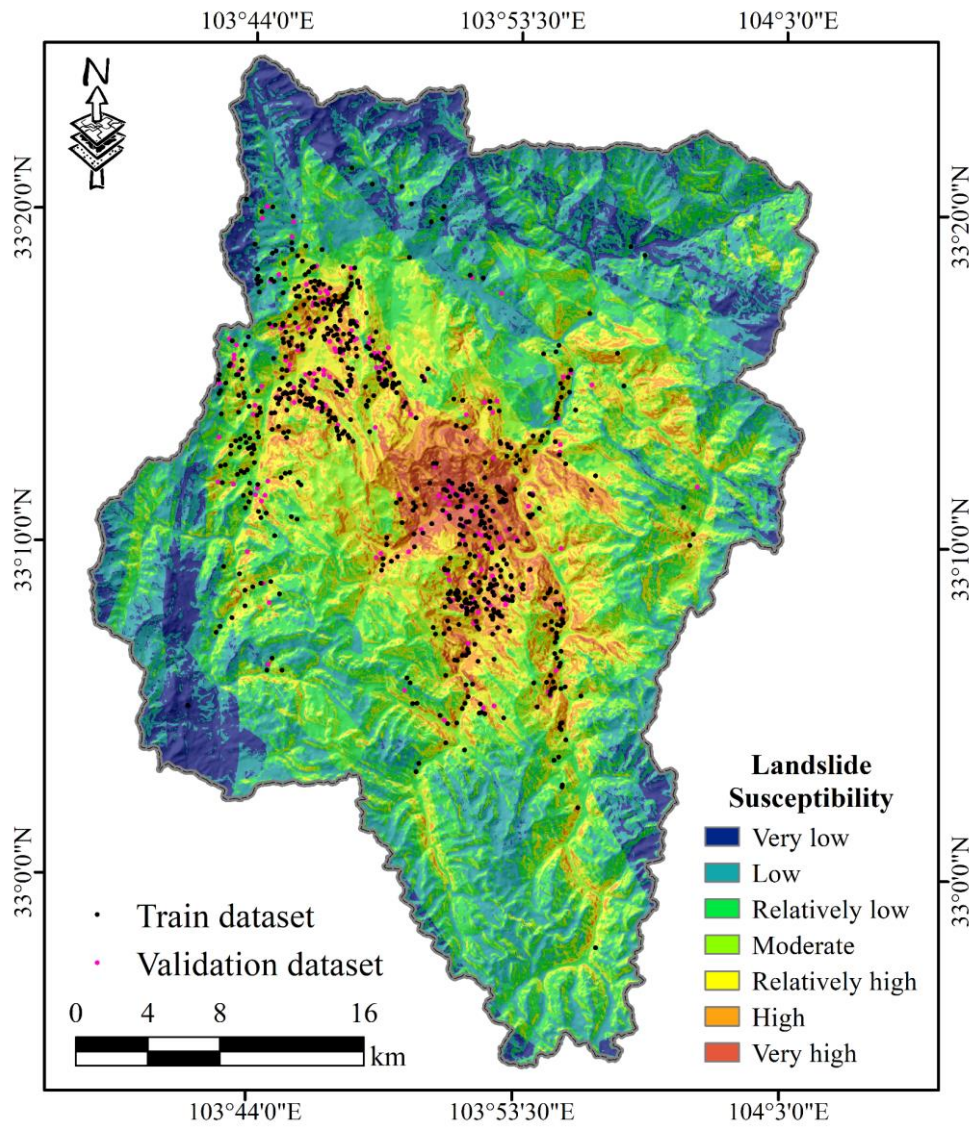
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4 **Figure 3: Landslide controlling factor layers used for landslide susceptibility mapping in the study area. (a) Slope, (b) Aspect, (c)**
 5 **Elevation, were all extracted from DEM data, (d) Lithology, digitized from the geological map at 1: 500,000 scale, (e) Distance**
 6 **from faults, calculated by ArcGIS 10.2 software, (f) Distance from rivers, calculated by ArcGIS 10.2 software, (g) LULC, collected**
 7 **from the Geographical Information Monitoring Cloud Platform, (h) NDVI, extracted from the Landsat-8 image, (i) PGA,**
 8 **downloaded from the USGS website.**



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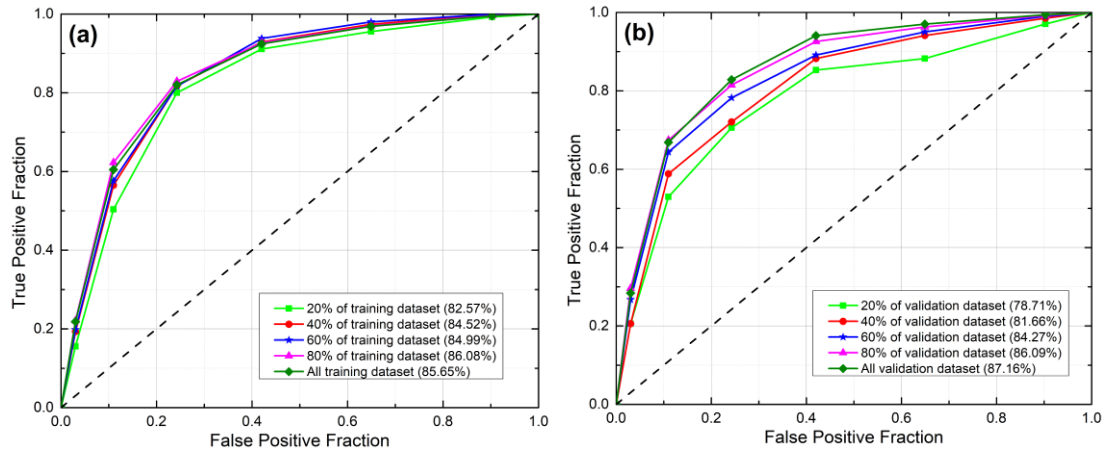
2 **Figure 4: Flow chart of the landslide susceptibility mapping.**



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2 **Figure 5: Landslide susceptibility map of the study area generated by using the integrated weighted index model.**

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Figure 6: ROC curves of the Jiuzhaigou landslide susceptibility assessment. (a) Fitting performance of the integrated weighted index model; (b) Prediction performance of the integrated weighted index model.

1 **Tables**

2 **Table 1: Data layers of the study area.**

Data layer	Data format	Scale/resolution	Data source
DEM	Grid	30 m	Shuttle Radar Topography Mission (SRTM)
Sentinel-2A	IMAGINE image	10 m	European Space Agency
Landsat-8	IMAGINE image	30 m	United States Geological Survey (USGS)
GF-1/2	IMAGINE image	2 m/1 m	China Centre for Resources Satellite Data and Application
Lithology	Shapefile (polygon)	1:500,000	The geological map
Fault	Shapefile (line)	1: 500,000	China Earthquake Administration
River	Shapefile (line)	1:10,000	Remote sensing interpretation
LULC	Grid	30 m	Geographical Information Monitoring Cloud Platform
PGA	Shapefile (polygon)	1:25,000	United States Geological Survey (USGS)

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6 **Table 2: The pair-wise comparison matrix, factor weights, and consistency ratio obtained in present study.**

Factor	a ₁	a ₂	a ₃	a ₄	a ₅	a ₆	a ₇	a ₈	a ₉	Weight
Elevation (a ₁)	1	1/4	2	1/3	1/4	1	1/3	1/2	2	0.058
Slope (a ₂)		1	4	2	1	3	2	3	4	0.222
Aspect (a ₃)			1	1/3	1/4	1/2	1/3	1/2	1	0.043
Lithology (a ₄)				1	1/2	1	1/2	2	3	0.116
Distance from faults (a ₅)					1	2	1	3	4	0.197
LULC (a ₆)						1	1/2	1	2	0.083
PGA (a ₇)							1	2	3	0.158
Distance from rivers (a ₈)								1	2	0.080
NDVI (a ₉)									1	0.043
Consistency Ratio: 0.017										

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1 **Table 3: The FR and weights for landslide controlling factors for the study area.**

Factor	Class	FR	Weight	Factor	Class	FR	Weight
Slope (°)	<10	0.000	0.222	Elevation(m)	<2265	0.451	0.058
	10-20	0.106			2265-2601	1.153	
	20-30	0.431			2601-2891	2.411	
	30-40	1.270			2891-3159	2.437	
	40-50	2.330			3159-3411	1.496	
	50-60	2.807			3411-3652	0.819	
	60-70	1.804			3652-3894	0.177	
Aspect	>70	0.000	0.043	Lithology	3894-4147	0.021	0.116
	Flat	0.000			>4147	0.000	
	N	1.305			T3	0.030	
	NE	1.116			T2	0.528	
	E	1.662			P	3.431	
	SE	1.343			C	1.819	
	SE	0.965			D	0.544	
	SW	0.590			P2	0.000	
	W	0.646			T	0.039	
	NW	0.560			T1	0.000	
Distance from faults (m)	N	0.819	0.197	Distance from rivers (m)	Qh	0.471	0.080
	<500	0.689			Q	0.000	
	500-1000	0.482			<300	1.302	
	1000-1500	0.594			300-600	1.162	
	1500-2000	0.606			600-1200	0.795	
NDVI	>2000	1.169	0.043	LULC	>1200	0.863	0.083
	<0	1.211			Dry land	0.796	
	0-0.1	1.199			Wood land	2.085	
	0.1-0.2	0.975			Shrub forest	0.164	
PGA (g)	>0.2	0.306	0.158	Sparse woodland	0.000		
	0.08	0.000		Water area	0.970		
	0.12	0.009		High-covered grassland	1.072		
	0.16	0.273		Medium-covered grassland	0.550		
	0.20	1.448		Low-covered grassland	0.000		
	0.24	2.194		Settlement	0.000		
	0.26	3.578		Construction	0.000		

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1 **Table 4: Landslide susceptibility levels and density of landslides in the study area.**

Susceptibility level	Area (km ²)	Percentage of area	Number of landslide occurrences	Percentage of number	Density (no./km ²)
Very Low	130.81	9.72 %	4	0.47 %	0.03
Low	340.86	25.34 %	22	2.61 %	0.06
Relatively low	308.29	22.92 %	35	4.16 %	0.11
Moderate	238.84	17.76 %	89	10.57 %	0.37
Relatively high	178.52	13.27 %	172	20.43 %	0.96
High	107.20	7.97 %	325	38.60 %	3.03
Very High	40.67	3.02 %	195	23.16 %	4.79
Total	1345.19	100 %	842	100 %	--

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