- 1 I thank Referee#2 for his/her very useful comments. They allowed improving the manuscript. The
- 2 reviewer's comments were taken into account in the revised version of the manuscript, as explained
- 3 below. The reviewer's comments are in italics, the answers are in black and the changes made to the text
- 4 are in red. The lines numbers refer to the lines numbers of the revised manuscript.
- 5 General comments: The author presents the impact of natural hazards on various types of transportation
- 6 networks in the Russian Federation, based on a database containing the important accidents which
- 7 occurred in the recent years. Besides providing potentially useful statistics (although the database is not
- 8 publicly available), the author does not make a comprehensive analysis to really evaluate the causes of
- 9 risks and the correlation between a specific type of hazard, it potential manifestation in time and the
- direct and indirect vulnerability of the infrastructure, nevertheless providing a risk of transport accidents
- and disruptions map which in my opinion induces in error. Therefore, I do not recommend the
- publication of this article in this general form, without major modifications. Specific comments I attach a
- 13 *pdf with my specific comments, hoping that they will help to author to redefine the paper.*
- 14 The manuscript was revised. All changes made to the text are described in detail below.
- 15 Answers to Reviewer#2 specific comments
- 16 Line 2 railway This word is doubled; bus stations are not necessary relevant the enumeration can be
- 17 simplified.
- 18 The enumeration was revised; the doubled word was deleted:
- 19 "According to the Federal Law "On Transport Security" (2019), transport infrastructure of the Russian
- 20 Federation (RF) is considered as a large and complex technological system including railway and bus
- 21 stations; tunnels, overpasses, and bridges; marine terminals and stations; river and sea ports; ports on
- 22 inland waterways; airports; sections of roads, railways, and inland waterways, as well as other buildings,
- 23 structures, devices, and equipment ensuring the functioning of the transport system." (Lines 350-354)
- Lines 23 26 It's not good to repeat the exact same in the previously mentioned abstract.
- 25 The abstract was revised; sentences that repeated the main text of the manuscript were deleted.
- 26 Line 30 almost all of the listed facilities maybe it sounds a bit exagerated?
- 27 I agree with this comment. The paragraph was revised as follows:
- 28 "Throughout the area of Russia, almost all of the listed facilities of Due to the large length of the
- 29 transportation network, as well as climatic, geological, geomorphologic, and other natural features of the
- 30 country, transport infrastructure facilities of Russia are exposed to the undesirable impacts of adverse
- 31 natural processes and phenomena, as well as natural hazards of various genesis, such as geophysical,
- 32 hydro-meteorological, and others (Geography..., 2004). Their distribution through the country area is
- discussed below in section 2.1." (Lines 358-363)
- 34 *Line 32 reference not according to journal specifications*
- 35 The citation of this reference was revised as follows: (Malkhazova and Chalov, 2004). The names of the
- 36 editors were used instead of the title of the book.
- 37 Lines 33 34 Once again, the abstract text is reused not a good practice in my opinion.
- 38 The abstract was revised; repeating text was deleted.
- 39 *Line 55 The author should be mentioned.*

- 40 The author of the Transport Strategy is the Ministry of Transport of the Russian Federation. The citation
- 41 was modified accordingly.
- 42 Line 67 If you are talking about the impact of natural hazards, there are numerous statistics (especially
- in developed countries) providing the causes of accidents please search for them.
- I agree with the reviewer. The literature review was revised; the changes made are below:
- 45 "All the authors agree that the adverse weather is a major factor affecting road situation (e.g. Edwards
- 46 1996; Rakha et al 2007; Andrey 2010; Andersson and Chapman 2011; Bergel-Hayat et al 2013;
- 47 Chakrabarty and Gupta 2013). Many authors connect the maximum number of road accidents with
- 48 precipitations (Jaroszweski and McNamara 2014; Spasova and Dimitrov 2015). Aron et al (2007)
- revealed that 14% of all injury accidents in Normandy (France) took place during rainy weather and 1%
- during fog, frost or snow / hail. Satterthwaite (1976) found the rainy weather to be a major factor
- affecting accident numbers on the State Highways of California: on very wet days the number of
- 52 accidents was often double comparing to dry days. Brodsky & Hakkert (1988) with data from Israel and
- 53 the USA did indicate that the added risk of an injury accident in rainy conditions can be two to three
- 54 times greater than in dry weather. And when a rain follows a dry spell the hazard could be even greater.
- 55 Among other weather factors, bright sunlight was identified as a cause of accidents (Shiryaeva 2016).
- Redelmeier and Raza (2017) investigated visual illusions created by bright sunlight that lead to driver
- 57 error, including fallible distance judgment from aerial perspective. According to their results, the risk of a
- 58 life-threatening crash was 16% higher during bright sunlight than normal weather.
- 59 Some authors consider other natural hazards, such as landslides (Bíl et al., 2014; Schlögl et al., 2019),
- flash floods (Shabou et al., 2017) or rock falls (Bunce et al., 1997; Budetta and Nappi, 2013). However,
- 61 no integrated review of all kinds of natural hazards exists.
- As for railway transport, most of papers also focus on specific hazards, considering impacts of adverse
- 63 weather and hydro-meteorological extremes (Ludvigsen and Klæboe, 2014; Nogal et al., 2016),
- landsliding (Jaiswal et al., 2011), flooding (Hong et al., 2015; Kellermann et al., 2016), snowfall
- 65 (Ludvigsen and Klæboe, 2014) or tree falls (Nyberg and Johansson, 2013; Bil et al., 2017) as triggers of
- 66 accidents.
- 67 Some studies combine all types of natural hazards affecting road and rail infrastructure (Govorushko
- 68 2012; Petrova, 2015; Kaundinya et al., 2016). Voumard et al. (2018) examine small events like earth
- 69 flow, debris flow, rockfall, flood, snow avalanche, and others, which represent three-quarters of the total
- 70 direct costs of all natural hazard impacts on Swiss roads and railways. None of the studies provides a
- 71 comprehensive analysis of the harmful influence of natural events.
- 72 Investigations of natural hazard impacts on other transport systems than roads and railways are not so
- 73 numerous. As example, studies about danger of volcanic eruptions to the aviation should be mentioned
- 74 (Neal et al., 2009; Brenot et al., 2014; Girina et al., 2019). Large explosive eruptions of volcanoes can
- 75 eject several cubic kilometers of volcanic ash and aerosol into the atmosphere and stratosphere during a
- few hours or days posing a threat to modern airliners (Gordeev and Girina, 2014)." (Lines 407-439)

- 78 Line 86 There are also more recent studies available, such as Donald A. Redelmeier, Shehariar Raza
- 79 (2017) or Jonathan J.Rolison et al. (2018)
- 80 I thank the reviewer for pointing me to these very interesting studies. The studies by Donald A.
- 81 Redelmeier, Shehariar Raza (2017) and Jonathan J.Rolison et al. (2018) do not investigate impacts of
- 82 solar activity on drivers, which are discussed in the manuscript. Donald A. Redelmeier and Shehariar
- Raza (2017) investigate visual illusions created by bright sunlight that lead to driver error. This is another
- one aspect. Nevertheless, this reference was included into the literature review. Jonathan J.Rolison et al.
- 85 (2018) study differences between real factors that contribute to road accidents and factors reported by
- 86 police officers in accident report forms. They do not take into account impacts of solar activity on drivers
- among of contributing factors.

- 88 *Line 118 Does large economic damage have a qualitative definition?*
- 89 Yes, it has a qualitative definition. The sentence was replaced by the following paragraphs, which include
- 90 damage information for each mode of transport: "The criteria for statistical accounting and reporting
- 91 transport accident information by the EMERCOM of Russia are as follow:
- 92 1) for road accidents:
 - Any fact of an accident during the transportation of dangerous goods;
 - Damage to 10 or more motor units;
 - Traffic interruptions for 12 hours due to an accident;
 - Severe accidents with the death of five or more people or injured 10 or more people.
 - 2) for railway accidents:
 - Any fact of the train crash;
 - Damage to wagons carrying dangerous goods, causing people to be injured;
 - Traffic interruptions: on the main railway tracks for 6 hours or more; in the subway for 30 minutes and more;
 - 3) for air transport accidents any fact of the aircraft fall or destruction;
 - 4) for water transport accidents:
 - Emergency release of oil and oil products into water bodies in the amount of 1 ton or more:
 - Accidental ingress of liquid and loose toxic substances into water bodies exceeding the maximum permissible concentration by 5 or more times;
 - Any fact of flooding or throwing of ships ashore as a result of a storm (hurricane, tsunami), landing of ships aground;
 - Accidents on small vessels with the death of five or more people or injured 10 or more people;
 - Accidents on small vessels carrying dangerous goods." (Lines 556-578)
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- 114 Line 120 In which statistics? Please explain a bit better the difference the data base provides compared
- to EMERCOM data which I believe is considered also in the statistics.
- The sentence was replaced by the following paragraphs explaining database features:
- 117 "The format of the database makes it possible to structure the collected information and classify it
- according to the author's assessment." (Lines 527-528)
- "The accumulation of all the information in the form of an electronic database allows conducting various
- thematic search queries and analyzing their results depending on the goals and objectives of the research."
- 121 (Lines 581-582)
- 122 *Line 146 Road transport is probably a more comprehensive analysis category.*
- I agree with this comment. The word "automobile" was replaced by "road".
- 124 Line 178 is it correlated the triggering impact of earthquakes on other natural hazards?
- The following explanation was added to section 3.1:
- "Some natural hazards trigger hazards of other types, e.g. earthquake or volcanic eruption can provoke
- such slope processes as rock falls, ice collapses, landslides, debris flows / lahars, snow avalanches, and
- others; heavy rain can cause debris flows, landslides or floods, etc. Gill and Malamud (2016) examine
- hazard interrelationships in more detail. These triggering impacts are also recorded in the database and
- taken into account in the analysis." (Lines 643-647)

- 131 Line 226 Risk should be correlated also with the length of roads in a specific territory, traffic values
- and moment of day for the occurrence of natural hazards. Without a form of normalisation, it is just
- 133 statistics and not risk analysis.
- Factors affecting risk of accidents in each type of transport are discussed in the revised version of the
- manuscript in sections 3.2.1-3.2.4. The changes made to the text are marked in red in the manuscript.
- Definition of risk and a detailed description of the method used were included in the methodology
- 137 section:
- "Risk is understood as the possibility of undesirable consequences of any action or course of events
- (Miagkov, 1995). Risk is measured by the probability of such consequences or the probable magnitude of
- losses. There are various methods for assessing risk. In the field of natural hazards, risk is generally
- defined as by the product of hazard and vulnerability, i.e. a combination of the damageable phenomenon
- and its consequences (Eckert et al., 2012). The most researchers calculate risk (R) as a function of hazard
- 143 (H), exposure (E) and vulnerability (V): R=f(H,E,V) (e.g. Arrighi et al., 2013; Falter et al., 2015; IPCC,
- 2012; Schneiderbauer and Ehrlich, 2004). Various authors propose their own techniques of calculating
- risk, mainly within the framework of this common approach. In a recent publication, Arosio et al. (2020)
- propose a holistic approach to analyze risk in complex systems based on the construction and study of a
- graph modeling connections between elements.
- Another one approach to measuring risk suggests using the concept of emergency situation. In Russia, an
- emergency situation is defined as a disturbance of the current activity of a populated region due to abrupt
- technological / natural impacts (catastrophes or accidents) resulting in social, economic, and / or
- ecological damage, which requires special management efforts to eliminate it (Petrova, 2005). An
- emergency situation caused by the impact of natural hazards on technological systems and infrastructure
- can be considered as a result of all the factors of risk: hazard, exposure and vulnerability; it combines
- hazard defined in its physical parameters, exposure of a population or facilities located in a hazard area
- and subject to potential losses, and vulnerability that links the intensity of a hazard to undesirable
- 156 consequences. An emergency resulting from a hazardous impact may be a measure of the losses due to
- this impact. The total frequency of emergencies of varying severity may serve as a comprehensive
- indicator of risk assessment (Shnyparkov, 2004).
- Occurrence frequencies In this study, the above approach using frequency of emergency situations as a measure of risk was applied. As an indicator of risk, the average frequency of occurrence of transport
- accidents and traffic disruptions triggered by natural impacts, which led to emergency situations of
- different scale and severity, was for the six year period from 2013 to 2018 were used as risk indicators.
- For this purpose, the Risk indicators were calculated for each federal region as average annual numbers of
- 164 accidents emergency situations in was calculated for each federal region and each type of transport, as
- well as a resulting average annual number of emergencies due to all transport accidents and disruptions.
- Thus, the calculated indicators included the probability of undesirable consequences (emergencies) due to
- impacts of natural hazards on transport infrastructure exposed and vulnerable to these influences.
- Quantitative and qualitative criteria for classifying transport accidents and disruptions as emergency
- situations are listed above. For the analysis, the period from 1992 to 2018 was chosen, since it covered the
- information accumulated in the database.
- Additionally, all the federal regions were divided into groups by according to their levels of risk level.
- 172 The risk level was estimated for each federal region and each type of transport by the average annual
- 173 number of emergency situations in comparison with the average value of the indicator in Russia. The
- 174 number of groups was determined in each case depending on the dispersion of the calculated value." -
- 175 (Lines 596-632)
- 176 Line 255 The database shows for the short period between 2013 and 2018 accidents due to natural
- 177 hazards, but hazards have long or short return periods; not considering this aspect, as well as
- 178 vulnerability and exposure means that you are providing a map reflecting the risk, but a map showing
- 179 recently affected areas. What if a major earthquake in a not so active area strikes an area with no
- transport accidents in the last 10 years? Your map will tell that the risk in that area is small, not really
- 181 helping in mitigation efforts.

- The database covers the period from 1992 to 2018. This period is used in the revised version of the
- analysis for all modes of transport (not only for railway as previously). During this period, events caused
- by hydro-meteorological and exogenous natural hazards are mainly recorded in the database.
- Nevertheless, the most seismically active regions of Russia have the highest risk indicator as a result of
- the assessment. The following explanation was added to the Conclusion section:
- "For the study period of 1992 to 2018, the database mainly recorded events caused by exposure to hydro-
- meteorological and exogenous natural hazards. With high value of the risk index, Kamchatka, Sakhalin,
- the North Caucasus, and south of Siberia are also among the most seismically active regions of Russia,
- which further increases the likelihood of emergencies in these regions in case of an earthquake." (Lines
- 191 <u>934-937</u>)
- 192 *Line 263 How is vulnerability considered?*
- The vulnerability is considered in the concept of emergency situation, which is used in this study to assess
- 194 risk. Definition of risk and a detailed description of the method used are included in the methodology
- section (see response to the comment to line 226). The following explanation was also added to the
- 196 Conclusion section:
- "An annual average frequency of occurrences of emergency situations of various scale and severity
- 198 severe events was is applied chosen in this study among all possible methods for assessing risk. Unlike
- 199 methods that assess risk by measuring its components such as hazard, exposure and vulnerability, this
- approach takes into account the consequences of the above factors and the probability of these
- 201 consequences. Transport accidents and disruptions are considered in this case as consequences of natural
- 202 hazard impacts on transport infrastructure that is exposed and vulnerable to these impacts. The risk index
- is calculated as an annual average number of emergency situations caused by natural hazard impacts in
- each federal region and each type of transport." (Lines 912-919)
- 205 Line 266 Does this correlate with natural hazard maps?
- This does not fully correlate with natural hazard maps. A description of natural hazards in Russia was
- included in section 2.1:

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- "The size and geographical location of the Russian Federation in various climate and geological
 conditions determine a great variety of dangerous natural processes and phenomena in its area, including
 endogenous, exogenous and hydro-meteorological hazards. The most characteristic features of the
- 211 geography of natural hazards in Russia are as follow:
 - Natural hazards associated with cold and snow winters are common throughout the country;
 - The population and the economy are relatively low exposed to the most destructive types of natural hazards (earthquakes, tsunamis, hurricanes, etc.), and therefore the frequency of occurrence of natural emergencies with severe consequences is low;
 - The historically formed strip of the main settlements from the European part of Russia through the south of Siberia to the Far East approximately coincides with the zone of the smallest manifestation of natural hazards (Miagkov, 1995).

In Russia, there are several hundred volcanoes, 78 of which are active. Kamchatka and the Kuril Islands are most at risk of volcanic eruptions; explosive eruptions of two to eight volcanoes are observed annually (Girina et al., 2019). About 20% of the country area with a population of 20 million people is exposed to earthquakes. The most seismically active regions are Kamchatka, Sakhalin, as well as the

- south of Siberia and the North Caucasus.
- 224 Almost the entire territory of Russia is exposed to dangerous exogenous processes; their intensity
- increases from north to south and from west to east (EMERCOM, 2010). Among exogenous processes,
- landslides, which are active in 40% of the country area, debris flows (in 20%), snow avalanches (in more
- than 18% of the area), and other slope processes have the greatest intensity and negative impact on the
- transport infrastructure. The highest avalanche and debris flow activity is observed in the North Caucasus

- 229 (Dagestan, North Ossetia-Alania, Kabardino-Balkaria Republics) and in Sakhalin. The greatest intensity
- of landslides is in the North Caucasus (Stavropol and Krasnodar Territories, Rostov Region, Dagestan,
- 231 Karachaevo-Cherkesia, Ingushetia, North Ossetia-Alania, Kabardino-Balkaria, and Chechen Republics),
- Ural (Chelyabinsk and Sverdlovsk Regions), as well as Irkutsk, Sakhalin, and Amur Regions, Primorsky
- and Khabarovsk Territories.
- Hydro-meteorological hazardous processes and phenomena such as strong winds, squalls, catastrophic
- showers, floods, snowstorms, thunderstorms, hailstorms, etc. are widespread in the country. One of the
- most dangerous climate situations is the combination of heavy precipitation and strong wind in the coastal
- regions of the Far East (Kamchatka, Khabarovsk, and Primorsky Territories, and Sakhalin Region). The
- highest frequency of strong winds is observed in the south and in the middle part of the European Russia,
- as well as in the Far East. The most intense rains take place in Kamchatka, Krasnodar and Primorsky
- 240 Territories; the heaviest snowfalls happen in regions of the North Caucasus, north and south-west of
- Siberia, as well as Far East (Sakhalin and Magadan Regions, Kamchatka, Khabarovsk and Primorsky
- 242 Territories, Chukotka). Regions of the Far East, such as Republic of Sakha-Yakutia, Primorsky and
- 243 Khabarovsk Territories, Amur Region, as well as south of the European Russia (Krasnodar and Stavropol
- Territories, Republics of the North Caucasus) are mostly exposed to catastrophic floods.
- For Russia as a whole, the cumulative degree of natural hazard is increasing from west to east and south,
- 246 with progress to the mountainous regions. The most dangerous areas in terms of natural hazards
- 247 manifestation are situated in the Territories and Republics of the North Caucasus, Altai Mountains,
- 248 Irkutsk Region and Transbaikalia, the Pacific coast of the Far East (Magadan Region and Khabarovsk
- Territory), and especially Sakhalin, the Kuril Islands and Kamchatka (Malkhazova and Chalov, 2004).
- 250 According to the assessment by EMERCOM (2010), the most vulnerable to the impacts of natural
- hazards are the following federal regions: Republics of Sakha-Yakutia, Komi and Karelia, Khabarovsk
- and Primorsky Territories, Amur, Arkhangelsk, Irkutsk, Magadan, Murmansk, and Volgograd Regions, as
- well as Evreiskaia (Yevish) AO, Khanty-Mansiysk and Chukotka Autonomous Okrugs. The vulnerability
- was measured as ratio of the total number of realized natural sources of emergencies to the number of
- emergency situations caused by them. In the listed regions, the vulnerability is higher than an average for
- 256 Russia." (Lines 468-516)
- 257 Line 274 As mentioned before, understanding risk with no consideration of hazard, vulnerability or
- exposure, but just based on a 5-years statistics window, is certainly not the best instrument to target risk
- 259 mitigation; especially also since accidents variations are not considerable. Also, the size of the territories
- *is very different how does this reflect in the analysis?*
- Definition of risk and a detailed description of the method used are included in the methodology section
- 262 (see above responses to the comments to line 226 and 263).
- 263 *Line 279 Not well referenced.*
- The citation of this reference was revised as follows: (Malkhazova and Chalov, 2004). Instead of the title
- of the book, the names of the editors were used.
- 266 *Line 281 Can you please provide an evidence?*
- The sentence was modified as follows:
- 268 "Other factors, such as growing transportation network, increased traffic, and the lack of funding will also
- 269 lead to increasing of adverse impacts, especially in the with further development of transport
- 270 infrastructure to areas with high level of natural identified regions most at risk." (Lines 944-946)
- 271 Line 298 Given the potential usefulness of the mentioned database I think that is a limitation not to
- share this database with the community, also in the purpose of validation and verification.
- 273 The sentence was modified as follows:

- 274 "The data used in this study are collected by the author in an electronic database, which is not confidential
- 275 and property of Lomonosov Moscow State University and cannot be made available publicly".
- 276 Table 1 Volcanic eruption Volcanic eruptions can clearly affect air transport (see what happened in
- 277 Iceland a couple years ago) and in some cases water transport.
- I absolutely agree with the reviewer that volcanic eruptions can affect air transport. Table 1 reflects only
- 279 accidents and disruptions that occurred in Russia. However, the volcanic eruption in Iceland really
- affected Russian airports. I added these incidents to Table 1. The following explanation was also included
- 281 in section 3.1.3:
- 282 "For the study period, there was not a single accident caused by volcanic eruption in Russia. Due to the
- eruption of the Icelandic volcano Eyyafyatlayokudl, airlines canceled and delayed more than 500 flights
- at 10 Russian airports in April 2010; 32 thousand passengers could not fly." (Lines 775-777)
- 285 Snow avalanche Only if the airport is close to the avalanche area probably; in this situation, also water
- transport could be blocked by rock fall.
- As is indicated in the heading: "Transport accidents and traffic disruptions caused by natural hazards in
- Russia (1992-2018)", Table 1 reflects only real accidents that occurred in Russia. The accident on April
- 289 10, 2010 in Kamchatka was recorded in the database when a helicopter was damaged as a result of an
- avalanche. The explanation was included in section 3.1.3 (Lines 773-774). No cases were recorded in the
- database when water transport was blocked by rock fall.
- Figure 2. It would be interesting to have at least the headers in English, to understand what the
- 293 database accounts for.
- Figure 2 was replaced by the following description of the database structure in Section 2.2:
- 295 "The main database table, into which all the information is entered, has the following structure:
 - 1) event number the number changes automatically as information is entered;
- 297 2) date of the incident;
- 298 3) country;
- 299 4) region;

- 5) location the distance to the nearest settlement is additionally indicated;
- 301 6) type of accident according to the EMERCOM classification and assessment by the author;
- 302 7) a brief description of the event, including the time of occurrence, probable cause of the accident, if available, its consequences, and measures taken to eliminate them;
- 8) geographical coordinates, if applicable;
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 9) the scale of the emergency situation caused by the accident local, inter-municipal, regional,
 306 inter-regional, cross-border;
- 307 10) the number of deaths;
- 308 11) the number of injuries;
- 309 12) economic and environmental losses, if any;
- 310 13) source of information." (Lines 528-544)
- 311 Figure 3. I would prefer to see the labels (names of regions) in English, in order to identify places
- 312 *mentioned in the text. This applies to all maps.*
- A new Figure 2 with names of regions in English was included in the revised version of the manuscript.
- All the federal regions, which are mentioned in the manuscript, are indicated in Figure 2.
- Figure 3 How come there are no values between 2.5 and 3.0 or 4.5 and 5?
- Figure 3 was revised to reflect the new assessment results.

317	Figure 5 – How come there are no values between 2.5 and 3.0 or 4.5 and 5?
318	Figure 5 was revised to reflect the new assessment results.
319	Do the air and water transportation accidents are included in the risk analysis?
320 321	Yes, the air and water transportation accidents are included in the risk analysis. The explanation was added to section 2.2:
322	"Road, rail, air and water transport were considered in the total risk analysis" (Lines 594-595)

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Abstract. Transport infrastructure is considered as a large and complex technological system including railway and bus stations; tunnels, overpasses, and bridges; sea- and river ports; airports; roads, railways, and waterways, as well as other structures, buildings and equipment ensuring the functioning of transport. Almost all of the transport infrastructure facilities are exposed to natural hazard impacts of different genesis. Such impacts pose a threat to transport safety and reliability, trigger accidents and failures, cause traffic disruptions and delays in delivery of passengers and goods. Under conditions of climate changes, these harmful impacts with negative consequences will increase. The transport infrastructure of Russia is exposed to multiple impacts of various natural hazards and adverse weather phenomena such as heavy rains and snowfalls, river floods, earthquakes, volcanic eruptions, landslides, debris flows, snow avalanches; rock falls, ice phenomena icing conditions of roads, and others. The paper considers impacts of hazardous natural processes and phenomena on transport within the area of Russia. Using the information of the author's database, contributions of natural factors to road, railway, air, and water transport accidents and failures are assessed. The total risk of transport accidents and traffic disruptions triggered by adverse and hazardous natural impacts, as well as the risk of road and railway accidents and disruptions as the most popular modes of transport is assessed at the level of Russian federal regions. The concept of emergency situation is used to measuring risk. 838 emergency situations of various scale and severity caused by natural hazard impacts on the transport infrastructure over 1992 to 2018 are considered. The average annual number of emergencies is taken as an indicator of risk. Regional differences in the risk of transport accidents and disruptions due to natural events are analyzed. Regions most at risk are identified.

Keywords: Transport infrastructure, natural hazards, transport accident, traffic disruption, database

1. Introduction

According to the Federal Law "On Transport Security" (2019), transport infrastructure of the Russian Federation (RF) is considered as a large and complex technological system including railway and bus stations; tunnels, overpasses, and bridges; marine terminals and stations; river and sea ports; ports on inland waterways; airports; sections of roads, railways, and inland waterways, as well as other buildings, structures, devices, and equipment ensuring the functioning of the transport system. The Russian Federation (RF) Russia has a very extensive transportation network that is among the largest in the world. It includes 1.5 million km of public roads, more than 600,000 km of airways, 123,000 km of railway tracks, and 100,000 km of inland navigable waterways (Rosstat, 2018).

Throughout the area of Russia, almost all of the listed facilities of Due to the large length of the transportation network, as well as climatic, geological, geomorphologic, and other natural features of the country, transport infrastructure facilities of Russia are exposed to the undesirable impacts of adverse natural processes and phenomena, as well as natural hazards of various genesis, such as geophysical, hydro-meteorological, and others (Geography..., 2004). Their distribution through the country area is discussed below in section 2.1. These impacts may endanger transport safety and reliability, trigger accidents and failures, disrupt the normal operation of transport system, cause delays in delivery of passengers and goods, and lead to other negative consequences.

All natural hazards can be divided into two groups, based on their origin, features of time variability and spatial distribution, as well as the impact pattern Natural processes and phenomena can be classified in various ways depending on the objectives of a study. Natural hazards can be typify according to their

- genetic features, the intensity of their manifestation, the main formation and development factors,
- 370 characteristics of spatial distribution and mode, etc. (Malkhazova and Chalov, 2004).
- 371 Previously, two types of natural hazards were found, based on their genesis, distribution in space and
- time, and the impact pattern on the technosphere and society in populated areas (Petrova, 2005). In the
- 373 context of the present study, the proposed classification scheme was adapted taking into account impacts
- of natural hazards on the transport infrastructure (Figure 1).
- 375 Solar and geomagnetic disturbances (space weather), geodynamics, geophysical and astrophysical field
- variations, and other global processes belong to the first group. They have global scale in space and cyclic
- development in time. They Natural processes of this type may influence the transport infrastructure both
- directly, causing electronics error and automatic machinery failure, as well as indirectly, by reducing
- 379 reliability affecting the nervous system of operators, drivers or pilots (Petrova, 2005) and thereby leading
- to a decrease in their reliability. Natural hazards of the second type are of more "earthly" origin, i.e. from
- 381 the atmosphere, lithosphere, hydrosphere or biosphere. They vary greatly in their spatial scale and
- 382 geographical location. This type of natural hazards includes earthquakes, volcanic eruptions, landslides,
- snow avalanches, hurricanes, windstorms, heavy rains, hail, lightning, snow and ice storms, temperature
- extremes, wild fires, floods, droughts, etc. Natural hazards belonging to this Geological, hydro-
- 385 meteorological, biological, and other natural hazards belonging to the second group cause a direct
- destructive effect leading to accidents and disruptions.
- A transport accident is any accident that occurs when people and goods are transported. With over 1.2
- 388 million people killed each year, road accidents are among the world's leading causes of death; another
- 389 20-50 million people are injured each year on the world's roads (WHO, 2017). Transport accidents of
- 390 other types including air, rail, and water transport are not as numerous as road crashes, but the severity of
- their consequences is much higher because of the higher number of people killed and injured per accident.
- 392 Shipwrecks with a large number of passengers have the highest number of casualties.
- 393 Traffic interruptions and disruptions cause multiple social problems because our societies are highly
- dependent on the transport system for people's daily mobility and for goods transport (Mattsson and
- Jenelius, 2015). In the case of emergency situation, transport network serves as a life-line system. Thus,
- ensuring the robustness and reliability of the transport system is one of the most important and pressing
- 397 problems of the socio-economic development of any country. In May 2018, the Ministry of Transport of
- 398 the RF has developed a new version of the Transport Strategy up to 2030 (Ministry of Transport of the
- 399 Russian Federation, 2018). Among the key priorities, the Transport Strategy includes requirements to
- 400 cope with the modern challenges, such as climate change and a need for increasing the safety of the
- 401 transport system.
- Since the early 1950's (Tanner 1952), it has been recognized that weather conditions affect many road
- 403 (un-)safety aspects such as driver's attention and behavior, vehicle's operation, road surface condition, etc.
- A large number of studies devoted to the influence of adverse weather conditions factors on the accident
- rates were published over the last decades (Brodsky and Hakkert 1988; Edwards 1996; Rakha et al 2007;
- 406 Andrey 2010; Andersson and Chapman 2011; Petrova 2013; Bergel-Hayat et al 2013; Chakrabarty and
- 407 Gupta 2013; Jaroszweski and McNamara 2014; Spasova and Dimitrov 2015; Shiryaeva 2016). All the
- 408 authors agree that the adverse weather is a major factor affecting road situation (e.g. Edwards 1996;
- 409 Rakha et al 2007; Andrey 2010; Andersson and Chapman 2011; Bergel-Hayat et al 2013; Chakrabarty
- and Gupta 2013). Many authors connect the maximum number of road accidents with precipitations
- 411 (Jaroszweski and McNamara 2014; Spasova and Dimitrov 2015). Aron et al (2007) revealed that 14% of
- all injury accidents in Normandy (France) took place during rainy weather and 1% during fog, frost or
- snow / hail. Satterthwaite (1976) found the rainy weather to be a major factor affecting accident numbers
- on the State Highways of California: on very wet days the number of accidents was often double

- 415 comparing to dry days. Brodsky & Hakkert (1988) with data from Israel and the USA did indicate that the
- added risk of an injury accident in rainy conditions can be two to three times greater than in dry weather.
- 417 And when a rain follows a dry spell the hazard could be even greater. Among other weather factors,
- bright sunlight was identified as a cause of accidents (Shiryaeva 2016). Redelmeier and Raza (2017)
- 419 investigated visual illusions created by bright sunlight that lead to driver error, including fallible distance
- judgment from aerial perspective. According to their results, the risk of a life-threatening crash was 16%
- 421 higher during bright sunlight than normal weather.
- Some authors consider other natural hazards, such as landslides (Bíl et al., 2014; Schlögl et al., 2019),
- flash floods (Shabou et al., 2017) or rock falls (Bunce et al., 1997; Budetta and Nappi, 2013). However,
- 424 no integrated review of all kinds of natural hazards exists.
- 425 As for railway transport, most of papers also focus on specific hazards, considering impacts of adverse
- weather and hydro-meteorological extremes (Ludvigsen and Klæboe, 2014; Nogal et al., 2016),
- 427 landsliding (Jaiswal et al., 2011), flooding (Hong et al., 2015; Kellermann et al., 2016), snowfall
- 428 (Ludvigsen and Klæboe, 2014) or tree falls (Nyberg and Johansson, 2013; Bil et al., 2017) as triggers of
- 429 accidents.
- 430 Some studies combine all types of natural hazards affecting road and rail infrastructure (Govorushko
- 431 2012; Petrova, 2015; Kaundinya et al., 2016). Voumard et al. (2018) examine small events like earth
- flow, debris flow, rockfall, flood, snow avalanche, and others, which represent three-quarters of the total
- direct costs of all natural hazard impacts on Swiss roads and railways. None of the studies provides a
- 434 comprehensive analysis of the harmful influence of natural events.
- Investigations of natural hazard impacts on other transport systems than roads and railways are not so
- numerous. As example, studies about danger of volcanic eruptions to the aviation should be mentioned
- 437 (Neal et al., 2009; Brenot et al., 2014; Girina et al., 2019). Large explosive eruptions of volcanoes can
- 438 eject several cubic kilometers of volcanic ash and aerosol into the atmosphere and stratosphere during a
- few hours or days posing a threat to modern airliners (Gordeev and Girina, 2014).
- Only few researches investigate impacts of global processes, such as geomagnetic storms (space weather)
- and seismic activity. In the early 1990's, Epov (1994) found a correlation (R=0.74) between solar activity
- and temporal distribution of air crashes. Desiatov et al. (1972) argue that the number of road accidents
- multiplies by four on the second day after a solar flare in comparison to "inactive" solar days. According
- 444 to Miagkov (1995), solar activity affects operators, drivers, pilots, etc., causing a "human error" and
- "human factor" of accidents. Kanonidi et al. (2002) study a relationship between disturbances of the
- 446 geomagnetic field and the failure of automatic railway machinery. Kishcha et al. (1999), Anan'in and
- 447 Merzlyi (2002) examine a correlation between seismic activity and air crashes.
- The main purpose of this study is to investigate impacts of natural hazards on the transport infrastructure
- and transport facilities in Russian regions. Using the information collected by the author in the database
- of technological and natural-technological accidents, contributions of natural factors to road, railway, air,
- and water transport accident occurrences and traffic disruptions are assessed. All types of natural hazards
- are considered excluding impacts of global processes (left side in Figure 1) that are not listed in the
- database. The risk of road and railway accidents and traffic disruptions, as well as the total risk of
- 454 transport accidents and disruptions caused by adverse and hazardous natural events is estimated for the
- 455 area of Russia.

- 2. Materials and methods
- **2.1. Study region**
 - The Russian Federation is the study region.

- 459 Federal regions of the RF were taken as basic territorial units for which all the calculations were
- 460 performed during the study analysis. Federal regions are the main administrative units of the Russian
- 461 Federation; at this territorial level, all official statistics are published by the Federal State Statistics
- Service (FSSB) and other federal institutions of Russia.
- The main administrative units of the RF comprise of 85 federal regions (Figure 2), including 22
- Republics, nine Territories (Kraies), 46 Regions (Oblast's), one Autonomous Region / Autonomous
- Oblast' (Evreiskaia (Jewish) AO), and four Autonomous Districts (AD) / Autonomous Okrugs. Moscow,
- Saint Petersburg, and Sevastopol have a special status of Federal Cities. All the federal regions, which are
- mentioned in the paper, are indicated in Figure 2.
- The size and geographical location of the Russian Federation in various climate and geological conditions
- 469 determine a great variety of dangerous natural processes and phenomena in its area, including
- 470 endogenous, exogenous and hydro-meteorological hazards. The most characteristic features of the
- 471 geography of natural hazards in Russia are as follow:

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- Natural hazards associated with cold and snow winters are common throughout the country;
- The population and the economy are relatively low exposed to the most destructive types of natural hazards (earthquakes, tsunamis, hurricanes, etc.), and therefore the frequency of occurrence of natural emergencies with severe consequences is low;
- The historically formed strip of the main settlements from the European part of Russia through the south of Siberia to the Far East approximately coincides with the zone of the smallest manifestation of natural hazards (Miagkov, 1995).

In Russia, there are several hundred volcanoes, 78 of which are active. Kamchatka and the Kuril Islands are most at risk of volcanic eruptions; explosive eruptions of two to eight volcanoes are observed annually (Girina et al., 2019). About 20% of the country area with a population of 20 million people is exposed to earthquakes. The most seismically active regions are Kamchatka, Sakhalin, as well as the south of Siberia and the North Caucasus.

Almost the entire territory of Russia is exposed to dangerous exogenous processes; their intensity increases from north to south and from west to east (EMERCOM, 2010). Among exogenous processes, landslides, which are active in 40% of the country area, debris flows (in 20%), snow avalanches (in more than 18% of the area), and other slope processes have the greatest intensity and negative impact on the transport infrastructure. The highest avalanche and debris flow activity is observed in the North Caucasus (Dagestan, North Ossetia-Alania, Kabardino-Balkaria Republics) and in Sakhalin. The greatest intensity of landslides is in the North Caucasus (Stavropol and Krasnodar Territories, Rostov Region, Dagestan, Karachaevo-Cherkesia, Ingushetia, North Ossetia-Alania, Kabardino-Balkaria, and Chechen Republics), Ural (Chelyabinsk and Sverdlovsk Regions), as well as Irkutsk, Sakhalin, and Amur Regions, Primorsky and Khabarovsk Territories.

Hydro-meteorological hazardous processes and phenomena such as strong winds, squalls, catastrophic showers, floods, snowstorms, thunderstorms, hailstorms, etc. are widespread in the country. One of the most dangerous climate situations is the combination of heavy precipitation and strong wind in the coastal regions of the Far East (Kamchatka, Khabarovsk, and Primorsky Territories, and Sakhalin Region). The highest frequency of strong winds is observed in the south and in the middle part of the European Russia, as well as in the Far East. The most intense rains take place in Kamchatka, Krasnodar and Primorsky Territories; the heaviest snowfalls happen in regions of the North Caucasus, north and south-west of Siberia, as well as Far East (Sakhalin and Magadan Regions, Kamchatka, Khabarovsk and Primorsky Territories, Chukotka). Regions of the Far East, such as Republic of Sakha-Yakutia, Primorsky and Khabarovsk Territories, Amur Region, as well as south of the European Russia (Krasnodar and Stavropol Territories, Republics of the North Caucasus) are mostly exposed to catastrophic floods.

Territories, Republics of the North Caucasus) are mostly exposed to catastrophic floods.

For Russia as a whole, the cumulative degree of natural hazard is increasing from west to east and south, with progress to the mountainous regions. The most dangerous areas in terms of natural hazards manifestation are situated in the Territories and Republics of the North Caucasus, Altai Mountains, Irkutsk Region and Transbaikalia, the Pacific coast of the Far East (Magadan Region and Khabarovsk Territory), and especially Sakhalin, the Kuril Islands and Kamchatka (Malkhazova and Chalov, 2004).

According to the assessment by EMERCOM (2010), the most vulnerable to the impacts of natural

511 hazards are the following federal regions: Republics of Sakha-Yakutia, Komi and Karelia, Khabarovsk

and Primorsky Territories, Amur, Arkhangelsk, Irkutsk, Magadan, Murmansk, and Volgograd Regions, as well as Evreiskaia (Yevish) AO, Khanty-Mansiysk and Chukotka Autonomous Okrugs. The vulnerability 514 was measured as ratio of the total number of realized natural sources of emergencies to the number of emergency situations caused by them. In the listed regions, the vulnerability is higher than an average for Russia.

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2.2. Methodology

- 519 The information collected by the author in an electronic database of technological and naturaltechnological accidents is analyzed in this study. The database is constantly updated with new 520 information (Petrova, 2011). Currently, it contains about 20 thousand events from 1992 to 2018. Official 521 daily emergency reports of the EMERCOM¹ of Russia and media reports serve as data sources. Only 522 523 open data is used.
- The time and place of occurrence, type of accident, the number of deaths and injuries, economic and 524 environmental losses, if any, the probable cause of the accident, if available, a brief description and 525 source of information are recorded there (Figure 2). 526
- 527 The format of the database makes it possible to structure the collected information and classify it 528 according to the author's assessment. The main database table, into which all the information is entered, 529 has the following structure:
 - 1) event number the number changes automatically as information is entered;
- 2) date of the incident; 531
- 3) country; 532
- 4) region; 533
- 534 5) location - the distance to the nearest settlement is additionally indicated;
- 6) type of accident according to the EMERCOM classification and assessment by the author; 535
 - 7) a brief description of the event, including the time of occurrence, probable cause of the accident, if available, its consequences, and measures taken to eliminate them;
 - 8) geographical coordinates, if applicable;
 - 9) the scale of the emergency situation caused by the accident local, inter-municipal, regional, inter-regional, cross-border;
 - 10) the number of deaths;
- 542 11) the number of injuries;
- 543 12) economic and environmental losses, if any;
- 544 13) source of information.

545 All types of technological accidents occurring in Russia are recorded in the database, including those 546 triggered by impacts of natural events of various genesis. Such accidents in technological systems and 547 infrastructure due to natural impacts are classified as natural-technological. The transport accidents and 548 traffic interruptions caused by natural hazards events are also listed.

It should be noted that it is not possible to fully cover all the accidents in the database, because they are too numerous, The minimum quantitative criterion for entering an event into the database is as follows: at least five dead, ten injured or large economic damage. Only such severe accidents are reported by the EMERCOM of Russia. Nevertheless, the database provides a unique opportunity to monitor and analyze the events that are not always included into the statistics (e.g., impacts of natural hazards, etc.) especially road accidents. According to the State traffic inspectorate of the Ministry of Internal Affairs of Russia,

555 168 thousand road accidents are registered in Russia in 2019.

¹ The Ministry of the Russian Federation for Civil Defense, Emergencies and Elimination of Consequences of Natural Disasters.

The criteria for statistical accounting and reporting information about transport accidents by the EMERCOM of Russia are as follows:

1) for road accidents:

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- Any fact of an accident during the transportation of dangerous goods;
- Damage to 10 or more motor units;
- Traffic interruptions for 12 hours due to an accident;
- Severe accidents with the death of five or more people or injured 10 or more people.
- 2) for railway accidents:
 - Any fact of the train crash;
 - Damage to wagons carrying dangerous goods, causing people to be injured;
 - Traffic interruptions: on the main railway tracks for 6 hours or more; in the subway for 30 minutes and more;
 - 3) for air transport accidents any fact of the aircraft fall or destruction;
- 4) for water transport accidents:
 - Emergency release of oil and oil products into water bodies in the amount of 1 ton or more;
 - Accidental ingress of liquid and loose toxic substances into water bodies exceeding the maximum permissible concentration by 5 or more times;
 - Any fact of flooding or throwing of ships ashore as a result of a storm (hurricane, tsunami), landing of ships aground;
 - Accidents on small vessels with the death of five or more people or injured 10 or more people;
 - Accidents on small vessels carrying dangerous goods.
- The same selection criteria are used for events to be included into the author's database. Events that meet these criteria are characterized as emergency situations.
- The accumulation of all the information in the form of an electronic database allows conducting various thematic search queries and analyzing their results depending on the goals and objectives of the research.
- For the purposes of this study, a search of information about transport accidents and traffic disruptions caused by the impacts of natural hazards was made. Road, rail, air, and water transport were included in separate search queries. Statistical and geographical analysis of the information accumulated in the database data obtained as a result of these search queries was carried out. Based on the results of the analysis, the role of natural factors among all the causes of various types of transport accidents and traffic
- 588 disruptions was evaluated. Road, railway, air, and water transport were taken into consideration.
- The proportion of accidents and disruptions triggered by natural factors was evaluated. All types of natural hazards and adverse weather conditions were taken into account. The main natural causes of
- accidents and failures were identified for each mode of transport.
- An assessment was made of the risk of road and railway accidents and traffic disruptions, as well as the
- 593 total risk of all the considered transport accidents and disruptions caused by adverse and hazardous
- natural impacts on the transport infrastructure in Russian federal regions. Road, rail, air and water
- transport were considered in the total risk analysis.
- Risk is understood as the possibility of undesirable consequences of any action or course of events
- 597 (Miagkov, 1995). Risk is measured by the probability of such consequences or the probable magnitude of
- 598 losses. There are various methods for assessing risk. In the field of natural hazards, risk is generally
- defined as by the product of hazard and vulnerability, i.e. a combination of the damageable phenomenon
- and its consequences (Eckert et al., 2012). The most researchers calculate risk (R) as a function of hazard
- 601 (H), exposure (E) and vulnerability (V): R=f(H,E,V) (e.g. Arrighi et al., 2013; Falter et al., 2015; IPCC,
- 602 2012; Schneiderbauer and Ehrlich, 2004). Various authors propose their own techniques of calculating
- risk, mainly within the framework of this common approach. In a recent publication, Arosio et al. (2020)

propose a holistic approach to analyze risk in complex systems based on the construction and study of a graph modeling connections between elements.

Another one approach to measuring risk suggests using the concept of emergency situation. In Russia, an emergency situation is defined as a disturbance of the current activity of a populated region due to abrupt technological / natural impacts (catastrophes or accidents) resulting in social, economic, and / or ecological damage, which requires special management efforts to eliminate it (Petrova, 2005). An emergency situation caused by the impact of natural hazards on technological systems and infrastructure can be considered as a result of all the factors of risk: hazard, exposure and vulnerability; it combines hazard defined in its physical parameters, exposure of a population or facilities located in a hazard area and subject to potential losses, and vulnerability that links the intensity of a hazard to undesirable consequences. An emergency resulting from a hazardous impact may be a measure of the losses due to this impact. The total frequency of emergencies of varying severity may serve as a comprehensive indicator of risk assessment (Shnyparkov, 2004).

Occurrence frequencies In this study, the above approach using frequency of emergency situations as a measure of risk was applied. As an indicator of risk, the average frequency of occurrence of transport accidents and traffic disruptions triggered by natural hazard impacts, which led to emergency situations of different scale and severity, was for the six year period from 2013 to 2018 were used as risk indicators. For this purpose, the Risk indicators were calculated for each federal region as average annual numbers of accidents emergency situations in was calculated for each federal region and each type of transport, as well as a resulting average annual number of emergencies due to all transport accidents and disruptions. Thus, the calculated indicators included the probability of undesirable consequences (emergencies) due to impacts of natural hazards on transport infrastructure exposed and vulnerable to these influences. Quantitative and qualitative criteria for classifying transport accidents and disruptions as emergency situations are listed above. For the analysis, the period from 1992 to 2018 was chosen, since it covered the information accumulated in the database.

- Additionally, all the federal regions were divided into groups by according to their levels of risk level.

 The risk level was estimated for each federal region and each type of transport by the average annual number of emergency situations in comparison with the average value of the indicator in Russia. The number of groups was determined in each case depending on the dispersion of the calculated value. For the analysis, the period from 2013 to 2018 was chosen, since it covered the most representative information.
- Using the method of cartogram method, maps were created showing, on which the results of the assessment were presented.

3. Results

3.1. Contributions of natural hazards

The transport infrastructure of Russia is exposed to multiple impacts of various natural hazards and weather phenomena such as heavy rains and snowfalls, strong winds, floods, earthquakes, volcanic eruptions, landslides, debris flows, snow avalanches; rock falls, icing conditions of roads, and others. In many cases, these impacts occur simultaneously or successively, one after another, and reinforce each other. Some natural hazards trigger hazards of other types, e.g. earthquake or volcanic eruption can provoke such slope processes as rock falls, ice collapses, landslides, debris flows / lahars, snow avalanches, and others; heavy rain can cause debris flows, landslides or floods, etc. Gill and Malamud (2016) examine hazard interrelationships in more detail. These triggering impacts are also recorded in the database and taken into account in the analysis.

- 648 Contributions of various natural factors to occurrences of different types of transport accidents and traffic
- disruptions including road, railway, air, and water transport were found revealed as results of relevant
- searches in the database.
- Table 1 shows these results. The "+" sign marks impacts of the listed natural hazards listed in the first
- 652 column that caused accidents and disruptions on the corresponding type of transport. Only accidents and
- disruptions occurred in Russia and recorded in the database over 1992 to 2018 are taken into
- 654 consideration.
- As the analysis of the database revealed, transport infrastructure of Russia is The most often affected by
- adverse impacts were caused by natural hazards of meteorological and hydrological origin, especially by
- hazards associated with cold and snow winters, as well as exogenous slope processes including those
- provoked by the hydro-meteorological hazards. The majority of emergency situations due to natural
- hazards are registered from November to March (more than 67%); among the warmer months, the largest
- number of transport accidents occurs in July.
- The frequencies of occurrence of accidents and disruptions caused by the impacts of natural hazards, as
- well as their proportion among other factors of accidents are discussed in the following sections.

663 3.1.1. Automobile Road transport

- Road transport is one of the main means of moving passengers and goods over short and medium
- distances in Russia. In terms of transport security, it is the most dangerous means of transportation with
- the highest number of fatalities and injuries in accidents (Petrova, 2013) and one of the most common
- sources of technological hazard, as the number of cars on roads increases significantly faster than the
- quality of road infrastructure (EMERCOM, 2010).
- More than 20% of road accidents and traffic disruptions registered in the database were caused by the
- 670 impacts of various natural hazards. This refers to those incidents where the natural impact was indicated
- as the cause of the accident.
- 672 Automobile Road transport facilities and road infrastructure are exposed to adverse and hazardous natural
- processes and phenomena of hydro-meteorological character practically all around Russia. Many sections
- of roads, bridges and other road infrastructure are subject to impacts of snowfalls and snowstorms, heavy
- 675 rainfalls, flooding, and icing roads; from among exogenous hazards, landslides, icy conditions, debris
- flows, snow avalanches, rock falls, and other natural hazards affect road infrastructure. These negative
- 677 impacts trigger road accidents and traffic disruptions leading to emergency situations and causing many
- social problems. Under unfavorable meteorological conditions, the risks of car crashes as well as the
- delay of transportation are increasing, whereas the speed of traffic flow is decreasing (Petrova and
- 680 Shiryaeva 2019).

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- During the study period from 1992 to 2018, the following natural hazard impacts that caused accidents
- and traffic disruptions are identified. They were recorded in 70 from 85 federal regions of Russia. The
- brackets indicate the regions where these accidents and failures occurred:
- heavy snowfall and snowdrift (Altai Republic; Altai, Kamchatka, Krasnodar, Krasnoyarsk,
 Primorsky, Stavropol, and Khabarovsk Territories; Jewish AO; Yamalo-Nenets AD; Amur,
 Arkhangelsk, Astrakhan, Volgograd, Magadan, Murmansk, Novosibirsk, Omsk, Orenburg,
 Rostov, Sakhalin, Saratov, Sverdlovsk, and Chelyabinsk Regions);
 - **bottom snowstorm** (Republics of Bashkortostan and Komi; Altai, Kamchatka, and Krasnoyarsk Territories; Volgograd, Magadan, Murmansk, Orenburg, Sakhalin, Ulyanovsk, and Chelyabinsk Regions);

- *ice phenomena* (Republics of Bashkortostan, Kalmykia, and Khakassia; Primorsky, and Khabarovsk Territories; Jewish AO; Leningrad, Magadan, Rostov, Sakhalin, and Chelyabinsk Regions);
- *abnormally low air temperature* (Yamalo-Nenets AD; Krasnoyarsk Territory; Kemerovo, Novosibirsk, Omsk, and Tomsk Regions);
 - *flooding of road due to heavy rain* (Moscow; Altai Republic, Bashkortostan, Buryatia, Sakha-Yakutia, Khakassia, and Tyva; Chukotka AD; Altai, Krasnodar, Primorsky, and Stavropol Territories; Amur, Arkhangelsk, Leningrad, Magadan, Moscow, Nizhny Novgorod, Novgorod, Sakhalin, and Saratov Regions);
 - washout of road (Republic of Sakha-Yakutia; Kamchatka Territory; Sverdlovsk and Tyumen Regions);
 - *debris flow* (Chechen Republic, Kabardino-Balkaria, Karachay-Cherkessia, and Republic of North Ossetia-Alania; Krasnodar Territory; Sakhalin Region);
 - snow avalanche (Republic of Dagestan, North Ossetia-Alania);
 - rock fall (Republic of Dagestan, North Ossetia-Alania);
 - *volcanic eruption* (Kamchatka Territory).
- 707 The majority of all the emergencies revealed (almost 73%) happened during the cold season from
- November to March. A significant increasing in their number occurred during abrupt changes in weather conditions, such as heavy precipitation, temperature drops, icing. Emergency situations caused by snow
- conditions, such as neavy precipitation, temperature drops, lengt. Emergency situations caused by show
- 710 related natural hazards were most often and most common. Snow drifts on the roads became a real
- 711 disaster leading to long-term traffic disruptions in many regions of Russia, especially in Arkhangelsk,
- 712 Novosibirsk, Omsk, Orenburg, Rostov, Sakhalin, Sverdlovsk, and Chelyabinsk Regions, Altai,
- 713 Krasnodar, and Khabarovsk Territories.
- 714 The frequencies of occurrence of road accidents and disruptions due to natural hazards are discussed in
- 715 section 3.2.1.

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716 3.1.2. Railway transport

- 717 In the Russian Federation, due to its vast and extended territory and natural features, a large distance of
- 718 the raw material base from processing enterprises, railway transportation is the basis of the transport
- 719 system. It accounts for more than 80% of the freight turnover of all types of transport (without pipelines)
- and over 40% of the passenger traffic of public transport in long-distance and suburban communications.
- Railway transport is considered the safest form of modern transportation, although railway catastrophes
- with a large number of victims and injuries occur in many countries. The main causes of railway
- 723 accidents in Russia are technical problems, a high degree of depreciation (of tracks, rolling stocks,
- signaling means, and other equipment), and a "human factor" such as errors of dispatchers and drivers,
- 725 etc. (Petrova, 2015).
- More than 7% of all railway accidents and failures registered in the database were triggered by natural
- factors. This refers to those incidents where natural impacts were indicated as causes of accidents. Over
- 728 1992 to 2018, impacts of natural hazards of various genesis caused railway accidents and traffic
- 729 disruptions in 29 from 85 federal regions of Russia.
- 730 The identified natural hazards that caused these harmful events are listed below. The brackets indicate the
- 731 regions where these accidents and failures occurred:
- *heavy snow* (Yamalo-Nenets AD; Orenburg and Sakhalin Regions);
- washout of railway as a result of heavy rain and flash flood (Dagestan, Karelia, Udmurtia, and Chuvashia Republics; Amur and Sakhalin Regions; Khabarovsk and Krasnodar Territories);
- *snow avalanche* (Sakhalin Region; Khabarovsk Territory);
- rails deformation due to heat wave (Kalmykia Republic; Rostov Region);
- *landslide* (Krasnodar Territory; Orel Region);
- *debris flow* (Sakhalin Region; Krasnodar Territory);

- rock fall (Khabarovsk and Krasnodar Territories; Bashkartostan Republic);
- *flooding due to melting snow* (Murmansk and Vologda Regions).
- Regarding seasonality of accidents, they had two peaks: in summer (in June and July) and in November.
- The most part of emergency situations were caused by snow drifts, washout or flooding of railway tracks
- due to heavy rains or floods, as well as by the slope processes such as landslides, snow avalanches, debris
- 744 flows, and rock falls.
- 745 The frequencies of occurrence of railway accidents due to natural hazards are discussed in section 3.2.2.

3.1.3. Air transport

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- Air transport is the fastest and most expensive mode of transportation. That is why it is primarily used to
- 748 transport passengers over distances of more than 1,000 km. In many distant areas of Russia (in the
- mountains, in the Far North), it is the only means of transport. The main causes of accidents are technical
- 750 failures or "human errors", as well as various natural factors including adverse weather or collision with a
- 751 flock of birds (EMERCOM, 2010).
- 752 The adverse weather conditions and other natural hazard impacts caused more than 8% of all the air
- 753 transport accidents and traffic disruptions recorded in the database. This refers to those incidents where
- natural impacts were indicated as causes of accidents. Over 1992 to 2018, these events were registered in
- 755 27 from 85 federal regions of Russia.
- 756 The following impacts of natural hazards were revealed:
 - *strong winds* (Moscow, Irkutsk, Murmansk, Omsk, Rostov, Sakhalin, Saratov, and Ulyanovsk Regions, Kamchatka, Krasnodar, and Krasnoyarsk Territories, Bashkortostan, Chuvashia, and Tatarstan Republics);
- thunderstorms (Irkutsk Region, Republic of Sakha-Yakutia);
 - heavy rains (Moscow, Irkutsk Region, Krasnodar and Khabarovsk Territories);
- * snowfalls and snowstorms (Moscow, Leningrad, Magadan, Rostov, and Sakhalin Regions, Kamchatka, Krasnodar, and Krasnoyarsk Territories, Republic of Khakassia);
 - *sleets* (Moscow, St. Petersburg, Rostov Region, Kamchatka and Krasnodar Territories, Bashkortostan, Chuvashia, and Tatarstan Republics);
 - runway icing (Moscow, Kaluga and Murmansk Regions, Kamchatka and Primorsky Territories);
 - fog (Moscow, Sverdlovsk Region, Chechen and Ingushetia Republics);
 - snow avalanche (Kamchatka);
- 769 volcanic eruption.
- 770 In many cases, these adverse impacts occurred simultaneously. Thus, the majority of emergency
- situations were caused by the combination of heavy snow and strong winds. Almost 66% of events
- occurred during the cold season from November to March; another one peak of accidents was in July.
- A unique incident, when a helicopter was damaged as a result of an avalanche, was recorded in the
- database on April 10, 2010 in Kamchatka.
- For the study period, there was not a single accident caused by volcanic eruption in Russia. Due to the
- eruption of the Icelandic volcano Eyyafyatlayokudl, airlines canceled and delayed more than 500 flights
- at 10 Russian airports in April 2010; 32 thousand passengers could not fly.
- 778 The frequencies of occurrence of air transport accidents caused by natural hazards were included in the
- total risk analysis (section 3.2.5).

780 3.1.4. Water transport

- 781 Water transport includes both sea and river transport. Despite the relatively low speed and seasonal
- 782 limitations on traffic, this type of transport is widely used for transporting large volumes of goods and

- passengers at different distances. The main causes of accidents in water transport are violations of the 783
- 784 rules of navigation and transportation, of fire safety, and technical operation of vessels; depreciation of
- 785 ships, ports' equipment, and other objects of infrastructure, as well as impacts of natural hazards and
- 786 adverse weather conditions (EMERCOM, 2010).
- 787 The greatest contribution of natural factors to the accident rate after road transport was recorded for water
- 788 transport. Almost 16% of all the water transport accidents registered in the database were caused by
- various natural hazards. These events were registered in 21 from 85 federal regions of Russia. 789
- 790 The following impacts were revealed from 1992 to 2018:
- 791 strong winds (Leningrad, Sakhalin, and Sverdlovsk Regions, Kamchatka, Krasnodar, and 792 Primorsky Territories);
 - storms (Astrakhan, Irkutsk, Magadan, Murmansk, Rostov, Ryasan, Sakhalin, and Yaroslavl Regions, Kamchatka, Khabarovsk, Krasnodar, and Primorsky Territories, Dagestan, Karelia, and Tatarstan Republics, Yamalo-Nenets AD);
- snowstorms (Irkutsk and Sakhalin Regions); 796
- 797 icing (Sakhalin Region, Primorsky Territory, Republic of Sakha-Yakutia);
 - thunderstorms (Leningrad Region, Komi Republic);
- fog and mist (Leningrad and Sakhalin Regions). 799
- The most part of accidents (more than 70%) occurred during the cold season from September to January. 800
- 801 The frequencies of occurrence of water transport accidents due to natural hazards were included in the 802 total risk analysis (section 3.2.5).

3.2. Risk of transport accidents and traffic disruptions

- 803 Occurrence frequencies of road, railway, air, and water accidents and traffic disruptions due to natural 804 805 hazard impacts at the level of Russian federal regions were estimated for the risk analysis. As mentioned 806 in section 2.2, only accidents and disruptions, which reached the scale of an emergency situation, were 807 taken into account. Annual average numbers of such events over 1992 to 2018 were used as risk
- 808 indicators.

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- 809 All the federal regions were divided into groups by their risk levels of road and railway accidents, as well
- 810 as the total risk of transport accidents and traffic disruptions. In each case, the risk level was determined
- in comparison with the average value of the corresponding indicator for Russia. 811
- 812 The resulting maps were created and analyzed. Regional differences in the risk of transport accidents
- 813 were found. Below are the main results of the risk assessment analysis.

814 3.2.1. Road transport

- Risk of emergencies in road transport depends on the density of the road network, traffic intensity, human 815
- 816 factors (violation of traffic rules by drivers and pedestrians, etc.), as well as climatic conditions,
- seasonality, and other circumstances. With a large area of the country, the paved public road density in 817
- Russia is the lowest of all the G8 countries, equal to 63 km per 1,000 km² (FSSS, 2020). However, it is 818
- 819 much higher in the densely populated regions of the European part of Russia. In the Asian part, only some
- 820 south-western and south-eastern regions have a satisfactory network of hard-surface roads (Petrova and
- Shiryaeva, 2019). Federal Cities Moscow and St. Petersburg have the highest density of paved public 821
- 822 roads, which comprises to about 2,500 km / 1,000 km²; it is also high in federal regions of the central
- 823 Russia (Moscow and Belgorod Regions) and the North Caucasus (Ingushetia and North Ossetia-Alania
- Republics), equal to $700-850 \text{ km} / 1,000 \text{ km}^2 \text{ (FSSS, 2020)}$. 824
- 825 Risk of road accidents and traffic disruptions due to natural hazard impacts within the Russian federal
- 826 regions was is assessed.

- 827 Occurrence frequencies (annual average numbers) of road accidents and traffic disruptions over 2013 to
- 828 2018 are used as risk indicators. 484 serious road accidents and traffic disruptions
- 829 635 emergency situations of various scale and severity caused by the impacts of natural hazards on road
- 830 infrastructure were taken into consideration. The main triggers of these emergencies and the regions of
- their occurrence were identified in section 3.1.1. The risk indicator was calculated as an average annual
- number of emergency situations of this type in each federal region as well as the average for Russia.
- All the federal regions are divided into five groups in accordance with by their risk levels by comparing
- their risk indicators with the average for Russia. The resulting map is shown in the Figure 3.
- Regions of the Far East of Russia (Magadan and Sakhalin Regions, Kamchatka and Khabarovsk
- 836 Territory), and Krasnovarsk Territory in the southern part of Central Siberia, and Republic of North
- 837 Ossetia-Alania in the North Caucasus have the highest risk level. The road infrastructure in these regions
- 838 is mostly affected by the above listed natural hazards impacts especially by those of heavy snowfalls and
- snowstorms, ice phenomena, abnormally low air temperature, and heavy rains, and debris flows. In North
- Ossetia-Alania impacts of snow avalanches and debris flows are most significant.

3.2.2. Railway transport

- Risk of emergencies in railway transport depends on the density of the railway network, traffic intensity,
- human factors, climatic conditions, and seasonality. The highest density of the public railway network is
- in Federal Cities Moscow $(1,921 \text{ km} / 10,000 \text{ km}^2)$ and St. Petersburg $(3,082 \text{ km} / 10,000 \text{ km}^2)$, as well as
- 845 federal regions of the central and north-western parts of the European Russia such as Moscow,
- 846 Kaliningrad, Tula, Kursk, Vladimir, and Leningrad Regions (300-500 km / 10,000 km²). With a lack of
- railways in a large part of the country area, especially in its Asian part, the average density of railways in
- Russia is 51 km / 10,000 km²; in the central part of the European Russia it is 263 km / 10,000 km² (FSSS,
- 849 2020).

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- 850 Risk of railway accidents and traffic disruptions due to natural hazard impacts at the level of Russian
- 851 federal regions was is assessed.
- 852 63 emergency situations of various scale and severity serious events caused by the impacts of natural
- hazards on railway infrastructure were taken into consideration. The main triggers of these emergencies
- and the regions of their occurrence were identified in section 3.1.2. Occurrence frequencies (annual
- 855 average numbers) of railway accidents and disruptions are used as risk indicators these events were
- calculated for each federal region as well as the average for Russia.
- All the federal regions are divided into three groups by their risk levels. In this case, only three groups are
- chosen, since the number of accidents and dispersion of risk indicators are not as great as in the case of
- 859 road accidents. The resulting map is shown in the Figure 4.
- 860 Krasnodar Territory in the southern part of European Russia and regions of the Far East (Sakhalin
- 861 Region; Khabarovsk Territory) have are characterized by the highest level of risk. Railways in these
- regions are mostly affected by the impacts of heavy snowfalls, heavy rains, snow avalanches, landslides,
- debris flows, and rock falls.

3.2.3. Air transport

- Risk of emergencies in air transport depends on the aircraft technical condition, air traffic intensity,
- 866 human factors, meteorological conditions, and seasonality.

- The number of air transport accidents and traffic disruptions due to impacts of natural hazards was
- included in the calculation of the total risk indicator of transport accidents and disruptions. 70 emergency
- situations serious incidents were taken into consideration. The main triggers of these emergencies and the
- regions of their occurrence were identified in section 3.1.3.

3.2.4. Water transport

- Risk of emergencies in water transport depends on technical conditions of vessels, traffic intensity,
- human factors, climatic conditions, and seasonality.
- Water transport accidents due to natural impacts were also included in the calculation of the total risk of
- 875 transport accidents and disruptions. 70 emergency situations serious incidents were taken into
- consideration. The main triggers of these emergencies and the regions of their occurrence were identified
- 877 in section 3.1.4.

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878 **3.2.5.** The total risk

- Additionally, the total risk of transport accidents and traffic disruptions was assessed for the area of
- Russia. Occurrence frequencies of all the above listed types of accidents and disruptions in all the above
- examined types of transport over 2013 1992 to 2018 were used as risk indicators.
- 838 emergency situations of various scale and severity caused by the impacts of natural hazards on
- transport infrastructure were taken into consideration. The main triggers of these accidents were identified
- in section 3.1 and shown in Table 1; annual average numbers of these events were calculated for each
- federal region as well as the average for Russia.
- All the federal regions were divided into five groups by their risk levels. The procedure for selecting
- groups was described in section 2.2.
- The resulting map is shown in the Figure 5. Regions of the Far East (Magadan and Sakhalin Regions;
- 889 Kamchatka, Khabarovsk, and Primorsky Territories), Krasnoyarsk Territory in the southern part of
- 890 Central Siberia, Murmansk Region in the north and Krasnodar Territory in the southern part of European
- Russia and North Ossetia-Alania Republic in the North Caucasus have the highest level of risk. The
- 892 transport infrastructure in these regions is mostly affected by the adverse impacts of the above listed
- 893 natural hazards listed in Table 1, primarily those of hydro-meteorological genesis. Kamchatka,
- 894 Khabarovsk, and Primorsky Territories, as well as Sakhalin Region are characterized by the most
- 895 dangerous meteorological combinations of heavy precipitations and strong winds. In Kamchatka,
- 896 Krasnodar and Primorsky Territories, the most intense rains are recorded. In all the above regions in
- 897 winter, the heaviest snowfalls happen. In spring and early autumn, Khabarovsk, Krasnodar and Primorsky
- 898 Territories are subject to catastrophic floods. Kamchatka is most at risk of volcanic eruptions. North
- 899 Ossetia-Alania and Sakhalin are characterized by the highest avalanche and debris flow activity. All of
- 900 the mentioned natural hazards trigger accidents and lead to delay in the transportation of passengers and
- 901 goods by road, railway, air and water transport. In addition, Kamchatka, Sakhalin, south part of Siberia,
- and the North Caucasus are among the most seismically active regions of Russia; during the study period,
- 903 no traffic accidents due to the earthquake were recorded, but their possibility should be taken into
- 904 account.

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4. Concluding remarks and discussion

Contributions of various natural hazards to occurrences of different types of transport accidents and traffic disruptions including road, railway, air, and water transport are revealed. Among all the identified types of natural hazards, the largest contributions to transport accidents and disruptions have hydro-

meteorological hazards such as heavy snowfalls and rains, floods, and ice phenomena, as well as dangerous exogenous slope processes including snow avalanches, debris flows, landslides, and rock falls.

An annual average frequency of occurrences of emergency situations of various scale and severity severe 912 913 events was is applied chosen in this study among all possible methods for assessing risk. Unlike methods 914 that assess risk by measuring its components such as hazard, exposure and vulnerability, this approach 915 takes into account the consequences of the above factors and the probability of these consequences. Transport accidents and disruptions are considered in this case as consequences of natural hazard impacts 916 917 on transport infrastructure that is exposed and vulnerable to these impacts. The risk index is calculated as 918 an annual average number of emergency situations caused by natural hazard impacts in each federal region and each type of transport. Thus, the index used combines both the probability and severity of the 919 920 adverse impacts of natural hazards on transport infrastructure, as well as vulnerability of infrastructure to 921 these adverse impacts resulting in accidents and malfunctions. Using this method, it is possible to 922 compare between different regions and identify deficiencies that need to be addressed.

- Regional differences in the risk of transport accidents between Russian federal regions were found. All the federal regions were divided into groups by their risk levels of road and railway accidents, as well as the total risk of transport accidents and traffic disruptions due to natural hazard impacts. The resulting maps were created and analyzed.
- 927 The Magadan, Murmansk, and Sakhalin Regions; Kamchatka, Khabarovsk, Krasnodar, Krasnoyarsk, and Primorsky Territories, and North Ossetia-Alania Republic are characterized by the highest risk of transport accidents and traffic disruptions caused by natural events. More than five severe events per year during 2013-2018 were recorded Emergencies of various scales occur in these regions on average more often than once a year (Figure 5). Murmansk Chelyabinsk, Orenburg, and Rostov Regions, Altai Territory, Dagestan and Bashkortostan the Republics of North Ossetia (Alania), and Moscow also have a high risk level with an average probability of one event in 1-2 years 3.0-4.5 (0.6-1.0 events per year).
- For the study period of 1992 to 2018, the database mainly recorded events caused by exposure to hydrometeorological and exogenous natural hazards. With high value of the risk index, Kamchatka, Sakhalin, the North Caucasus, and south of Siberia are also among the most seismically active regions of Russia, which further increases the likelihood of emergencies in these regions in case of an earthquake. It is in these regions that the necessary measures should first be taken to reduce the vulnerability of transport infrastructure to undesirable natural impacts and increase level of protection and preparedness.
- 940 Under conditions of observed and forecasted global and regional climate changes, adverse and hazardous natural impacts on various facilities of transport infrastructure, primarily from natural hazards of 941 meteorological and hydrological origin, as well as other natural events triggered by them such as 942 943 landslides, snow avalanches, and debris flows are expected to increase (Malkhazova and Chalov, 2004; 944 Yakubovich et al., 2018). Other factors, such as growing transportation network, increased traffic, and the 945 lack of funding will also lead to increasing of adverse impacts, especially in the with further development of transport infrastructure to areas with high level of natural identified regions most at risk. In this regard, 946 947 continuous monitoring and assessment of natural hazard impacts is especially relevant and important.
- Only severe accidents leading to an emergency situation were considered in this study due to a lack of data on small events. This gap should be filled in a future research because small events can also cause a great damage to the infrastructure and trigger accidents and traffic interruptions (Voumard et al., 2018).
- Effects of global processes such as space weather on the transport infrastructure facilities, especially on electronics and automatic machinery were not taken into consideration because these events were not recorded in the database. In the future, these impacts should be also investigated; risk of these events should be considered in the risk assessment.

955 Acknowledgements

- 956 The work described in this paper was supported by Lomonosov Moscow State University (grant I.7
- 957 AAAA-A16-116032810093-2 "Mapping, modeling and risk assessment of dangerous natural processes").

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- Data availability:
- The data used in this study are collected by the author in an electronic database, which is not confidential
- 961 and property of Lomonosov Moscow State University and cannot be made available publicly.

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Competing interest: The author declares that she has no conflict of interest.

964

Author's contribution: The work presented in this study was conducted by E. Petrova.

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Table 1: Transport accidents and traffic disruptions caused by natural hazards in Russia (1992-2018)

Type of transport	Road	Railway	Air	Water
Natural hazard	transport	transport	transport	transport
Strong wind, storm			+	+
Snowfall, snowstorm, snowdrift, sleet	+	+	+	+
Rainfall, hailstone	+	+	+	
Hard frost, icing, ice-crusted ground	+		+	+
Thunderstorm, lightning			+	+
Fog, mist	+		+	+
Flood	+	+		
Heat wave		+		
Earthquake, volcanic eruption	+		+	
Landslide, slump, debris flow	+	+		
Rock fall	+	+		
Snow avalanche	+	+	+	

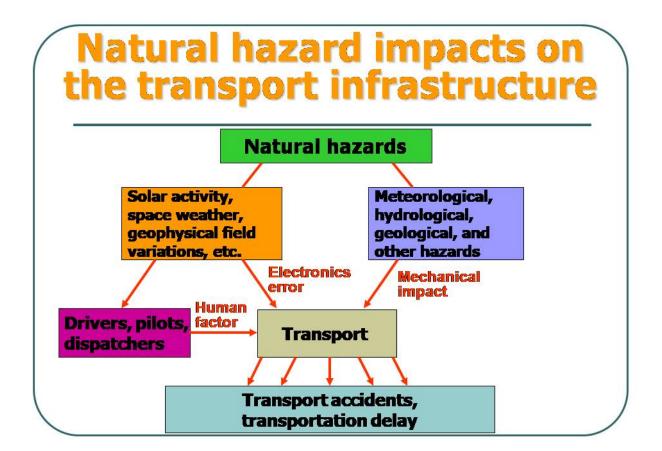


Figure 1: Grouping of natural hazards based on their genesis and impacts on transport infrastructure

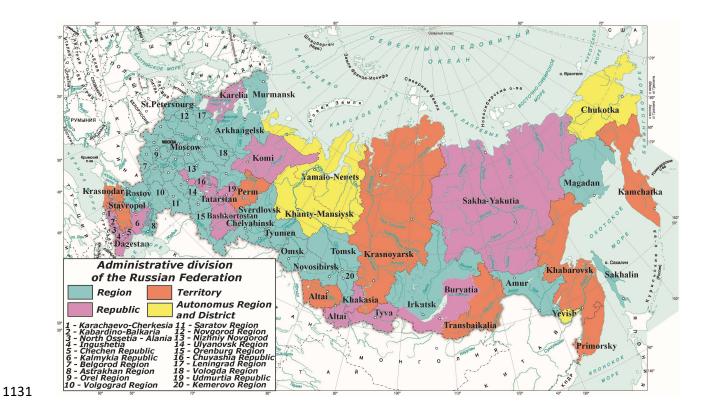


Figure 2: Federal regions of the Russian Federation

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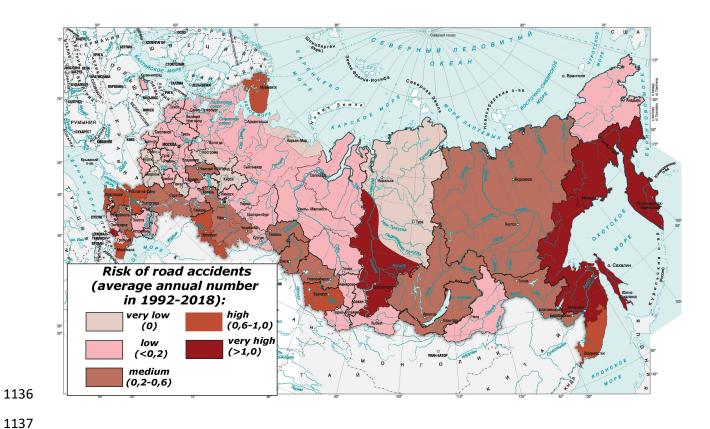


Figure 3: Risk of road accidents and traffic disruptions triggered by natural hazards in the RF (base map: © DIK - Publishing House Design. Information. Cartography)

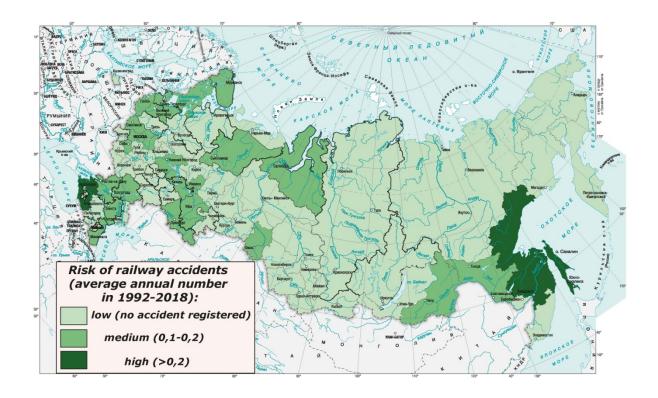


Figure 4: Risk of railway accidents and traffic disruptions triggered by natural hazards in the RF (base map: © DIK - Publishing House Design. Information. Cartography)

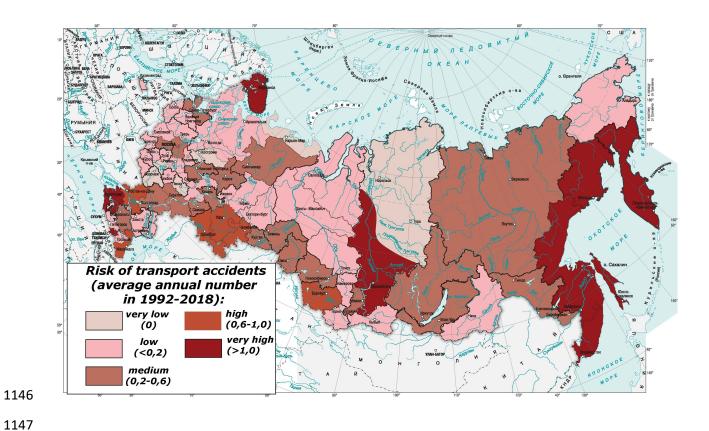


Figure 5: Risk of transport accidents and disruptions triggered by natural hazards in the RF (base map: © DIK - Publishing House Design. Information. Cartography)