Author final response

Dear Editor, Dear Reviewer #1 and Reviewer #2,

thank you very much for spending the time to carefully review the manuscript and for your constructive comments to 5 improving the paper. We carefully revised the paper and considered all points as listed below (equivalent to the final response). Please find also attached a latexdiff document which shows all changes made to the manuscript.

Below are all referee comments (RC) by Referee #1 and ¹⁰ Referee #2 and the corresponding author comments (AC). Suggested changes in the manuscript are indicated by *italic* words.

Main changes are

- Removal of the single-image detection which did not provide new insight. 15
 - The essay about meteorological conditions was replaced by a reference.
 - A quantitative analysis of the avalanche size distribution was added (see figure).
- The discussion of the multiorbital composites were im-20 proved.

The two removals (as suggested by Reviewer #2.) shorten the body manuscript which, however, was balanced in length by adding additional information (size distribution, additional

25 discussion). Therefore we will try to shorten the manuscript wherever possible.

Author response to reviewer #1:

General comments

RC1: The paper describes (...). It analyzes (...) and cross 30 compares(...). The paper is interesting and well organized (...) of high interest for the scientific community(...).

AC1: Thank you very much for this positive feedback.

RC1.1: cont. general comment

The only flaw, honestly declared by the authors, is the lack 35 of a real comparison with a ground truth which in the studied case is practically impossible.

AC1.1: Yes, the study was based on archive TSX data and such avalanche events can only be predicted very few days in advance. Therefore it was impossible to schedule any ground

40 truth campaigns. We are currently working on a follow-up study which will evaluate if operationally acquired ground based data could be used for ground truth.

RC1.2: cont. general comment

A minor issue of the dataset is also the revisit time of the ana-45 lyzed satellites that, obviously, is not synchronized with main avalanche event but it is widely balanced by the possibility of carrying out an avalanche mapping of entire country like Switzerland (Figure 9 is really impressive when zoomed).

AC1.2: This might be a misunderstanding but scene selection was indeed not simple. The area of TSX images was defined 50 by available data from the archived data synchonized with the two avalanche events. Then, S1 images were synchonized by time in incidence angle with the TSX data. The image selection to cover entire Switzerland was defined by the first avalanche event. Unfortunately, TSX data for the second pe- 55 riod did not well match the acquisition date of the SPOT-6 images.

To clarify that we did our best to synchronize the satellite acquisitions we will modify section 2:

For TSX, no systematic coverage is available over Switzer- 60 land because TSX acquires data upon request. (...) To cover the two extreme avalanche events around Jan 4th and 22nd 2018 (Fig. 2) with images acquired from identical orbits as good as possible we searched the archive for a sequence of images which limited the study area to the Alps of Uri in central Switzerland. The orbit repeat time defines the dates and limits the revisit time to 11 d for the first event and 22 d for the second one (one missing acquisition). The Sentinel-1 images were carefully selected to cover the first main avalanche event. We suggest to add to section 2: To study the possi- 70 bility of detecting avalanches for entire Switzerland for the first avalanche event, S1 acquisitions were carefully selected from multiple orbits during a 5 day period with from before and after the first event (Table A1).

Specific comments

RC2: The experiment was carried out in a zone featuring a high avalanche activity. It would be interesting, at least at discussion level, to evaluate the performance of the proposed approach in a low frequency area, i.e. to test the capability to detect few sparse events.

AC2: The first criterion to detect avalanches is a brightness threshold of 4 dB which is better fulfilled as long as no new avalanches overlap with old ones. That means that sparse events are more likely to detected than overlapping avalanches in densely avalanche-covered areas. A later crite-85 rion is that avalanches must have a certain size to be detected which efficiently filters out noise in areas with sparse events. To address these two point, we suggest to add after the first sentence in section 6.5 (discussion: automated avalanche detection):

For both, TSX and S1 images the implemented avalanche detection algorithm performs with reasonable results, at least when the number of overlapping avalanches is low. That means that a few sparse events are more likely to be detected than overlapping clusters of avalanches.

RC3: Figure 2 is really interesting and deserves an improvement for the sake of readability. As the investigated time span

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is only a fraction of the plotted graph. Maybe a zoomed plot could be added beside the current one. In the main plot only the AAI and the avalanche type time series should be plotted. In the second, zoomed, one also satellites acquisitions should 5 be added, possibly on a secondary x-axis on top of the plot.

AC3: Thanks for this suggestion. Honestly, I spent multiple days working on this figure and I tried already (before submission) to improve the figure in the direction suggested by you. However, I was not satisfied with the solution ¹⁰ main+subplot because to show the intensity of the two ex-

treme events on 2018-01-04 and 2018-01-22 the y-axis of the graph should not be cut more than it already is (AAI = 1200). Zooming to the study period late December - early February leaves no space for the legend and y-axis label; fur-

¹⁵ thermore, a zoomed sub-graph would require a lot of vertical space when not cutting of the y-axis. I think the readability will *appear* improved in the two-column format (without changing the size) because then it fills the full width of one of the two columns. I agree, that in the 1-column manuscript,

²⁰ where it is displayed with half page width, it appears a bit small.

RC4: Line 134. I guess the topographic relief map is the same used for orthorectification, i.e SwissAlti3D. It would be better to specify it.

25 AC4: Yes, we used the Alti3D for that evaluating the flow path in single-image avalanche detection. However, we like to follow the suggestion of Reviewer #2 to remove the results and discussion about single-image detection. According to Reviewer #2, there is no point to evaluate a technique which

30 is behind the state-of-the-art of two-image change detection.

Technical corrections

RC5: Technical corrections In figures 4-8,11, A2, A3 the scale bar is too close to its outline, please increase the distance.

35 AC5: done.

RC6: In figure 6-8,11, A2, A3 the line fill masks the readability of detected avalanches.

AC6: The idea of these images is to show and compare the masks. - Figure 6 will be removed as suggested by Reviewer 40 #2.

- The sole purpose of Figure 7 is to compare masks. Even when the line fill masks would be removed and only an outline would be used to show the avalanche area, the outline would either bias the reader or mask the avalanche edge (for ⁴⁵ the optical image 7).

- For Fig. 8 we will add to the caption that *the S1 image in the background is shown without mask in Fig. 4c.*

- The same holds for Fig. 11 (TSX) which is already shown in Fig. 4b without mask.

50 - In Figure A2 and A3, the relatively large spaces between

the line fill mask make it possible to see the backscatter image when zooming in.

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RC7: (typos)

Line 18. moasic –>mosaic Line 101. scatters –>scatter Line 367. reduces –>reduced

AC7: Thanks; all typos have been corrected.

Author response to reviewer #2:

General comments

RC8: Overall the manuscript is sound and very well written (...). The biggest problems I see, actually, are that some of 5 the content might not be relevant and the manuscript could potentially be shortened.

AC8: We will consider your suggestions and will remove the single-avalanche detection (reduces the manuscript length by 2 pages and 2 figures) and will replace the description of me-

¹⁰ teorological event (1/2 page) by a reference. (See RC8.1/24 and RC16).

RC8.1: I do not see much value in presenting detection in single backscatter images.

AC8.1: See **AC8** and **RC24**. We will remove the single ¹⁵ backscatter analysis. This will shorten the manuscript by almost 2 pages and will also remove 2 figures.

RC8.2: although beautiful to look at, I do not see much value in the multiorbital composite, especially not for automatic detection.

- ²⁰ **AC8.2:** This contradicts a bit RC26 "very impressive", doesn't it? We like explicitly point out here the three main advantages of multiorbital composites:
 - + the reduction of invisible areas (mainly layover).
 - + the enhancement of resolution for near-layover areas.
- ²⁵ + the reduction of radar speckle noise.

However, there are also some apparent disadvantages of the multiorbital composites which we will discuss in the manuscript (see also RC31):

loosing some temporal resolution (when the time difference between asc+desc acquisitions is large). Under unfortunate cases, when avalanches occur between the averaged acquisitions, they appear half as bright and are more likely to be missed. However, the less time elapses between asc and desc acquisitions the more

likely it is that avalanches are captures with both orbits which improves the SNR for detection. In our study, acquisitions were carefully selected to best image the avalanches of the first avalanche event on 2018-01-04. We will add this information to the discussion of the

⁴⁰ multiorbit composites and will mention also in the conclusion that especially the ratio between the elapsed time between asc and desc acquisition and the revisittime must be minimized.

We think the above disadvantage is only *apparent*, because ⁴⁵ we draw important conclusions from the multiorbit averaging which hint in the direction that local resolution weighting (Small, 2012) will further improve our results (and reduces the effect of loosing temporal resolution) we will add to the discussion: *In mountaineous regions, LRW applies already unequal weights for ascending and descending acquisitions* ⁵⁰ *which further decreases the probability that avalanche visibility is reduced by the multiorbital averaging.*

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While reconsidering the advantage of the multiorbital composites we also realized that *shadow areas are not improved because they are located in layover when imaged from* ⁵⁵ *the opposite orbit.*

Specific comments

RC9: Figure 1: there is no black rectangle.

AC9: It's in the inset. We will modify the figure caption to: The black rectangle in the insets shows the full footprint of 60 the TSX scene over Switzerland.

RC10: Table 1: could you also indicate orbit number and geometry (asc/desc)?

AC10: sure.

RC11: line 53: 55x35 km2, that does not seem correct.

AC11: This number was measured from the scene extent. It is close to the standard footprint size of TSX in single-pol stripmap mode. We will hint to the *inset of Fig. 1* which shows the size of the footprint to avoid misunderstanding.

See also **RC13** "Why the square?": When specifying an ⁷⁰ area I think the correct unit should be km². It might seem a bit awkward to write 55×35 km² but in my opinion it's the best way to specify the extent. Alternative formulations would be 55 km $\times 35$ km or 1925 km² (I have not found any standards for areas in NHESS). ⁷⁵

RC12: line 57: where did you download since you had to wait 24 h?

AC12: This might be a misunderstanding: for this study we did not analyze any time-critical data and did not had to wait. The 24 h describe the general availability of S1 data. ⁸⁰ As the current reference does not contain this information, we will update the reference where this information is provided: "Global products will be systematically generated for all acquired data. (...) These products are made available (...) in any case within 24 h after observation." (ESA, 2012, ⁸⁵ p.35). See also https://sentinel.esa.int/web/sentinel/missions/ sentinel-1/data-distribution-schedule

RC13: line 59: same as line 53. why the square? **AC13:** See **AC11**.

RC14: Figure 2: could you add some more details on the ⁹⁰ AAI. if I understand it correctly, this is the AAI for entire SUI? From which observations is it calculated (no need to tell us how).

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AC14: I will add to the caption: *The avalanche activity index is the weighted sum of all reported avalanches for Switzerland (Schweizer et al., 2003, 1998).* The AAI depends on visibility, because avalanches can only be *reported* when visible ⁵ for a human observer.

RC14.1: What does mixed snow mean (dry high up, wet in the valleys, or due to aspect)?

AC14.1: We'll add to the caption: "mixed snow" indicates dry snow avalanches which started high up but were slowed 10 down at medium altitude by wet snow.

RC15: Figure 2: why are the dates of the multiorbital S1 images not shown in Table 1? ok see them in table A1 now!

AC15: We will add the reference to table A1 to the caption of table 1 and Fig. 2.

¹⁵ RC16: section 2.1: in case you feel like your article is too long, I think this section could be deleted or shortened substantially. You could refer to Yves paper or the SLF special report.

AC16: We'll delete this section and refer in Sect. 2 to Yves ²⁰ paper (Bühler et al., 2019) instead. We do anyway not refer to this section in the remainder of the paper.

RC17: line 85: dry slab avalanches at least have three different zones. In case of very wet slab avalanches the zones are more diffuse and in case of loose snow avalanches I would ²⁵ say they are absent.

AC17: Thanks for noting this. We will reformulation the sentence to *Fig. 3 illustrates a classification scheme from (International Commission of Snow and Ice, 1981). The scheme suggests that all types of snow avalanches can be composed* ³⁰ *into three different zones, however, for some avalanche types*

(e.g. loose snow avalanches) zones can be difficult to differentiate.

RC18: paragraphs 95 - 100: this section reads well but would benefit from some references to microwave scattering in ³⁵ undisturbed snow as well as avalanche debris.

AC18: There is a large number of publications of various quality study the interaction of microwaves with snow under different conditions. Instead of picking publications describing specific models for microwave scattering at rough

⁴⁰ surfaces, we think the best approach is similar to (Eckerstorfer and Malnes, 2015) and to provide a general, qualitative description of scattering physics: *Currently, there exists no specific model tailored to the backscatter properties of snow avalanches (cf. (Eckerstorfer and Malnes, 2015, Sect. 5.3)),*

⁴⁵ however, general scattering physics from bi-continuous media and rough surfaces can be applied. In that sense, scattering in snow increases with the spatial correlation length of ice grains (Wiesmann et al., 1998) and also with increased surface- and interface roughness and with decreasing incidence angle θ (Leader, 1971; Fung and Eom, 1982; Kendra 50 et al., 1998).

RC19: paragraph 105: this section reads like discussion. You have not done your analysis yet but conclude already which parts of an avalanche are detectable and why. if you leave this here, you have to refer to work that tried to assess this at least ⁵⁵ qualitatively (eg Eckerstorfer et al 2015).

AC19: We agree (See also comment above). Basically, we encounter the same problem as stated in (Eckerstorfer and Malnes, 2015): "To date, no appropriate electromagnetic backscatter model for disturbed snowpacks like avalanche ⁶⁰ debris exists. Due to this lack of an appropriate model (...) we cannot give an exact theoretical or statistical explanation.". We agree and I think that such an appropriate model is neither required nor of particular help because for avalanches the general scattering physics of rough surfaces should apply ⁶⁵ and explain already qualitatively the observed scattering behavoir. Still, as we follow similar ideas it makes a lot of sense to cite (Eckerstorfer and Malnes, 2015, Sect 5.3).

RC20: line 131: was manual identification done only in TSX data?

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AC20: We will add to section 4.3 *From the images (TSX and S1) avalanche outlines were drawn manually.* (Note, that the single-image section you are referring to will be removed).

RC21: line 180: why do you use 4 db as a threshold? is that based on literature or on your data?

AC21: We will add: The threshold was determined empirically based on TSX data but other authors also used thresholds of 4–6 dB (Eckerstorfer et al., 2019; Karbou et al., 2018; Vickers et al., 2016).

RC22: paragraphs 185 - 200: I would consider these para- ⁸⁰ graphs also as method. please consider moving it there.

AC22: We will add a method-subsection: "comparison between mapping results".

RC22.1: and for clarification: how do you deal with the following situation: dataset A shows 2 separate avalanches ⁸⁵ which are overlapped by 1 avalanche in dataset B?

RC22.1: The problem over overlapping avalanches will be detailed in the above mentioned subsection. The problem is also already discussed in the discussion section 6.6 "avalanche differentiation".

RC23: Figure 6: I am wondering if the readability could be improved by only showing the manually drawn outline, but delete the red lines inside?

AC23: In general, see AC6 (figures without hashed lines are shown in Fig. 4). This specific figure will be removed anyway ⁹⁵ (see also **RC8.1** and **RC24**).

RC24: section 5.3: I am unsure why this exercise of comparing detection in single images and change detection images is of interest? I think it is well established that change detection is the only feasible way to reduce uncertainty in satellite ⁵ avalanche detection.

AC24: See general comment RC8.1 (will be removed). Instead we provide a few references at the begin of the method section 4.3 and reasons why change detection is the preferred way: *Although well visible avalanches could be manually*

- ¹⁰ detected in single radar images, single images are difficult to analyze with automatic methods. As radar systems carry their own illumination system the backscatter signal is primarily determined by topography and land cover type. It is therefore common practise to analyze temporal backscatter
- ¹⁵ variations to separate sudden changes from signals of stable topographic and land cover features (Wiesmann et al., 2001; Eckerstorfer and Malnes, 2015).

RC25: sections 5.4 and 5.5: this is a very interesting exercise that establishes the upper detection limit of SAR data. ²⁰ Could you consider giving some more details here, about how avalanche size plays into detectability?

AC25: Thanks for this comment. Though lengthening the manuscript, we will add a new section (5.8) presenting the systematic analysis of detected avalanche sizes. We found

²⁵ this analysis very interesting and important. See attached figure.

We will add to Sect. 5.4: We did not found significant differences in area for the lower detection limits: for both, TSX and SPOT-6 the smallest detectable avalanches had an area 30 of 500 m². (reference to new Sect. 5.8).

We will add to Sect. 5.5: We found that the smallest avalanches detected by S1 have an area of around $2000 m^2$ (reference to new Sect. 5.8).

RC26: section 5.6: that composite is great, very impressive. ³⁵ just to clarify: you did manual detections in entire Switzerland and found 7361 avalanches?

AC26: Yes! But I only counted them systematically using screen-fitting boxes of 12x12 km (1200x1200 px) which was pretty fast (a bit more than 4 hours). We will add: we *manu-*⁴⁰ *ally* counted 7361 avalanches *but did not draw any polygons*.

RC27: line 290: the POD and FNR are calculated for the red or blue box in your study area? same question also when you compare manual detections.

AC27: POD and FNR were calculated for *the entire study* ⁴⁵ *area* (*red polygon in Fig. 1*)., not only for the blue visualization window. We will add this information to figure captions and the text.

RC28: line 290: is the comparison pixel or also feature based? if feature based, how did you handle that for exam-⁵⁰ ple the automatic detection algorithm split up an avalanche



Figure 1. (a): Classification of avalanche area into size classes. (b): the cumulative avalanche area plotted over avalanche size reveals that the smallest avalanches detected by TSX and SPOT-6 is about 500 m^2 and 2000 m^2 for S1. It may surprise that in the study region the total avalanche area of SPOT-6 is an order of magnitude(!) larger than the total area of radar-detected *new* avalanches. Still, a factor of three remains when comparing the area of all (*new, old, unsure*) radar detected avalanches with SPOT-6 (no age classification, only 24% of outlines clearly visible; 76% of avalanches outlines were estimated from partially visible avalanche patches (Bühler et al., 2019)). Considering that with radar mainly the deposition zone has been detected and mapped this difference is reasonable.

into two features and the manual detection indicates one avalanche?

AC28: The comparison is feature based. See first result section (line 185-196). This section will be moved to the method (RC22). See also discussion 6.6 and RC22.

RC29: line 335: these statements read confusing. you have mapped an almost similar number in TSX and Spot-6 images, however, only 68% and 44% of the detected avalanches overlap respectively? Could you explain this a little bit better please!

Also, did the same person outline the avalanches in all data?

AC29: See also RC22, RC28. We suggest to add to the results section "SPOT-6 vs. TSX comparison": Avalanche were mapped independently by E. Hafner in SPOT-6 images (Bühler et al., 2019) and by the second author of this work in TSX

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images. The polygons differed significantly, however, most likely because different features (avalanche origin, path, deposition zone) are visible in optical and radar images. Therefore we decided for a feature-based comparison, i.e. overlap-

- ⁵ ping polygon are considered as avalanches detected in both data sets. Avalanches split up into discontiguous polygons were counted separately, also if all polygons overlap with one single large polygon in the other data set (see also new Sect. 4.7 ->RC22). We hope, that explaining the reason of
- ¹⁰ split up avalanches solves this confusion. The difference 68% vs. 44% is also explained by the differentiability of adjacent avalanches in the succeeding paragraph (339-342).

RC30: section 6.3: this is a very important section in my view. could you say a little bit more about the size distri-¹⁵ bution of the avalanches and what the cut-off size is for

avalanches not detectable in S1, but clearly visible in TSX.

AC30: Based on the added size distribution we suggest to add (in the sense of **RC25**): *The size of smallest detectable avalanches for TSX are "medium" avalanches* ($500 - 20 \ 10 \ 000 \ m^2$) with a width of more than $20 \ m$. S1 misses mainly

"medium" avalanches smaller than $2\,000\,m^2$. Similar results for S1 with a minimum cutoff of $4\,000\,m^2$ were found by Eckerstorfer et al. (2019).

RC31: section 6.4: I feel like this is more a repetition of ²⁵ your methods than a discussion of the results. I agree that manual interpretability was improved by all the filtering and smoothing done. however, I somewhat question the use and need of these multiorbital composites, except for visual respresentation of an avalanche cycle. I cannot discern when all

³⁰ these visible avalanches released and which one came first in case of overlapping avalanche activity. this rather long section does not really add much to the overall good discussion of the results.

AC31: We will carefully check this section to decide what ³⁵ should be shortened (*NL mean discussion*) or moved to the method part (*some resolution discussion will be (re)moved to the method section*). But we think especially the multiorbitcomposites requires a detailed discussion as it contains may promising approaches and outlooks. See also **RC8.2**.

⁴⁰ For discern which avalanche came first, see suggested changes in **RC8.2**.

RC32: section 6.5: the 4 dB threshold might be probematic and could maybe be replaced with more dynamic thresholding considering backscatter intensity change in individual ⁴⁵ change detection pairs.

AC32: We will add this suggestions. A dynamic threshold based on backscatter changes in individual image pairs could improve these results (Eckerstorfer et al., 2019).

RC33: section 6.6: I am somewhat confused that you write ⁵⁰ about 'avalanche differentiation' but I think you are discussing the detectability of avalanches in each of the data!?

AC33: Detectability and differentiation differ in the following sense: one method could better detect weakly visible large avalanches than another method. or: one method ⁵⁵ could better differentiate a large patch into multiple smaller avalanches. We mean the latter: *some methods show a much higher potential to differentiate large connected avalanche patches into multiple smaller ones. The reciprocal, two-way comparison of avalanches found in two data sets allows to estimate which of the methods can better differentiate adjacent avalanches.*.

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Snow Avalanche Detection and Mapping in multitemporal and multiorbital Radar Images from TerraSAR-X and Sentinel-1

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Abstract. Snow avalanches can endanger people and infrastructure, especially in densely populated mountainous regions. In Switzerland, the public is informed by an avalanche bulletin issued twice a day during winter which is based on

- ⁵ weather information and snow and avalanches reports from a network of observers. During bad weather, however, information about occurred avalanches can be scarce or even be missing completely. To asses the potential of weather independent radar satellites we compared manual and au-
- ¹⁰ tomatic change detection avalanche mapping results from high resolution TerraSAR-X (TSX) stripmap images and from medium resolution Sentinel-1 (S1) interferometric wide swath images . Within a selected test site in the central Swiss Alps the for a study site in central Switzerland. The TSX re-
- ¹⁵ sults were also compared to available mapping results from high-resolution SPOT-6 optical satellite images. We found that avalanche outlines from TSX and S1 agree well with each otherbut with TSX about 40% more, mainly smaller avalanches were detected. However, . Cut-off thresholds of
- ²⁰ mapped avalanche areas of 500 m^2 for TSX and 2000 m^2 for S1 were found. S1 provides a much higher spatial and temporal coverage and allows for mapping of the entire Alps at least every 6 days with freely available acquisitions. With costly SPOT-6 images the Alps can be even covered in a
- ²⁵ single day at meter-resolution, at least for clear sky conditions. For the SPOT-6 and TSX mapping results we found a fair agreement but the temporal information from radar change detection allows for a better separation of overlapping avalanches. Still , the total mapped avalanche area differed
- 30 by at least a factor of three because with radar, mainly the avalanche deposition zone was detected, whereas the release zone was well visible already in SPOT-6 data. With automatic avalanche mapping we detected around 70% of the

manually mapped new avalanches in the same image pair, at least when the number of old avalanches is low. To further improve the radar mapping capabilities, we combined S1 images from multiple orbits and polarizations and obtained a notable enhancement of resolution and speckle reduction such that the obtained mapping results are almost comparable to the single orbit TSX change detection results. In a multiorbital S1 moasie-mosaic covering entire Switzerland, we detected manually counted 7361 new avalanches which occurred during an extreme avalanche period around Jan 4th 2018.

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1 Introduction

Snow avalanches frequently threaten people and infrastructure in Switzerland and other mountainous countries. Every winter, dozens of people caught in avalanches suffer serious injuries or even die (Techel et al., 2016) and roads ⁵⁰ and railways have to be closed during periods of high avalanche danger. To inform about the current avalanche danger levels, ranging from 1 (low) to 5 (very high) on the European Avalanche Hazard Scale (Meister, 1995), the WSL-Institute for Snow and Avalanche Research (SLF) publishes an avalanche bulletin twice a day during winter (SLF, 2018e). The bulletin is written by avalanche experts which analyze weather station data, local snow conditions, detailed weather forecast information and avalanche occurrence reported by a network of in-situ observers. Unfortunately ⁶⁰ , low visibility due to heavy snow fall and during high

avalanche activity low visibility and closed valleys and ski resorts closed due to high avalanche activity can lead to incomplete or missing avalanche occurrence information. In such situations, as happened in Switzerland in January 2018 5 and 2019, avalanches can be mapped manually in optical airborne images (Bühler et al., 2009; Eckerstorfer et al., 2016; Korzeniowska et al., 2017) or satellite images which have to be tasked in rapid mapping mode (Scott, 2009; Lato et al., 2012; Bühler et al., 2019). The resulting avalanche 10 outlines can then be used to update avalanche databases which are of great value for hazard mapping and mitigation measure planning (Rudolf-Miklau et al., 2014). As manual mapping is very time-consuming, attempts have been made to automatize avalanche mapping in optical data (Bühler 15 et al., 2009; Lato et al., 2012; Frauenfelder et al., 2015; Korzeniowska et al., 2017). To provide weather-independent observations the project Alpine Avalanche Forecast service

- (AAF) evaluated terrestrial and spaceborne radar images (Bühler et al., 2014). They concluded that medium to large ²⁰ avalanche events could be mapped using very high resolution
- radar satellites but with the drawbacks of limited availability and high costs. Nevertheless, for freely available but medium-resolution Sentinel-1 radar images few but promising manual and automatic avalanche mapping studies exist
- 25 (Vickers et al., 2016; Eckerstorfer et al., 2017; Wesselink et al.,

To evaluate the applicability of high and medium resolution radar images for avalanche detection in the Swiss Alps

- 30 we compare 10-meter resolution Sentinel-1 radar images, 3meter resolution TerraSAR-X radar images, and 1.5 meter resolution SPOT-6 optical images with each other and analyze different methods to detect avalanches from single, using multitemporal and multiorbital radar images for two 35 extreme avalanche events which occurred in Switzerland in
- Jan 2018.

2 Study area and data

The study area, shown in Fig. 1, was determined by the spatial and temporal availability of high resolution radar im-40 ages from the satellite TerraSAR-X (TSX), operated by the German Aerospace Center (DLR). No systematic TSX coverage is available because TSX acquires data upon request for scientists and private customers over Switzerland because data are acquired upon request (Werninghaus and Buck-

- 45 reuss, 2010). To cover the two extreme avalanche events around Jan 4th and 22nd 2018 (Fig. 2) with images acquired from identical orbits as good as possible we searched the TSX archive for a sequence of images which limited the study area to the Alps of Uri in central Switzerland. The
- 50 orbit repeat time defines the dates and limits the revisit time to 11 days for the first event and 22 days for the second one (one acquisition missing). The images were acquired



Figure 1. Area selected for avalanche mapping (Red rectangle). Blue rectangle: subset used to visualize radar images and mapping Testits (40 31 N, 8 34 E). The black rectangle in the insets shows (Vickers et al., 2016; Eckerstorfer et al., 2017; Wesselink et al., 2017; Aberonannet al., 2016; Eckerstorfer et al., 2017; Wesselink et al., 2017; Aberonannet al., 2016; Eckerstorfer et al., 2017; Wesselink et al., 2017; Aberonannet al., 2016; Eckerstorfer et al., 2017; Wesselink et al., 2017; Aberonannet al., 2016; Eckerstorfer et al., 2017; Wesselink et al., 2017; Aberonannet al., 2016; Eckerstorfer et al., 2017; Wesselink et al., 2017; Aberonannet al., 2016; Eckerstorfer et al., 2017; Wesselink et al., 2016; Eckerstorfer et al., 2017; Wesselink et al., 2017; Aberonannet al., 2016; Eckerstorfer et al., 2017; Wesselink et al., 2017; Wesselink et al., 2018; Eckerstorfer et al., 2017; Wesselink et al., 2018; Eckerstorfer et al., 2017; Wesselink et al., 2018; Eckerstorfer et al., 2018; Eckerstorfer et al., 2017; Wesselink et al., 2018; Eckerstorfer et al., 2018; Eckerstorfe rectangle). © 2019 swisstopo (JD100042), reproduced with the authorisation of swisstopo (JA100120).

Table 1. Satellite data with local acquisition time (CET = UTC+1). The TSX Acquisition modes are abbreviated as SM (stripmap), IW (interferometric wide swath), and MS (single pass multi-strip collection). The full list of S1 images were acquired looking east from ascending orbits acquisitions used for the composite of Switzerland is listed in Table A1.

_	satellite	Date, local time date, time (CET)	mode	pol. / band
Satellite	TSX	2017-12-31 - 18:09	SM	HH
	TSX	2018-01-11 - 18:09	SM	HH
	TSX	2018-02-02 - 18:09	SM	HH
	S 1	2017-12-31 - 18:14	IW-1-IW- 1	VV / ,VH
	S 1	2018-01-12 - 18:14	IW-1-IW- 1	VV / ,VH
	SPOT-6	2018-01-24 - 10:03	MS	R,G,B,NI

in X-band (9.6 GHz) with the standard TSX stripmap mode (SM) at a nominal single-look complex (slc) resolution of 2.3×3.3 m (rg×az). Acquisitions are listed in Table 1. Snow 55 and weather conditions during the two avalanche events are summarized by Bühler et al. (2019). Details are provided by Winkler et al. (2019) and SLF (2018a, b, c, d) (in German).

The full TSX scene (black rectangle in the inset in Fig. 1) covers $55 \times 35 \text{ km}^2$ but for the analysis we selected 60 an area of 15.3×8.6 km² which shows a very high avalanche activity (red rectangle in Fig. 1) . The altitude of the

selected area where both the TSX and the validation data (Bühler et al., 2019) show a very high avalanche activity. The selected area contains steep topography which ranges from 400 - 3200 m.a.s.l.. For visualization of results we show in the following only a small subset of the selected area ((blue

rectangle in Fig. 1, blue rectangle)) of the analyzed area. Radar images of the satellite Sentinel-1 (S1) were ana-

lyzed for comparison. S1 images are acquired globally and systematically and are free and openly available for down-10 load within 24 hours after acquisition (Davidson et al., 2010)

- (ESA, 2012). Currently, S1 consists of two satellites, S1-A and S1-B, which alternately image central Europe every six days from the same orbit with a an slc resolution of 2.7×22.5 m (rg×az) with in the interferometric wide swath
- $_{15}$ mode (IW). The S1 images, covering 250×170 km², were selected such that they had orbits and acquisition times similar to TSX.

The first analyzed images of both satellites were acquired on 2017-12-31 a few minutes after 18 h local time (Table 1).

- ²⁰ The second TSX image was acquired on 2018-01-11, one day before the second S1 image (2018-01-12). On the day in between, the avalanche activity was very low (Fig. 2) and the avalanche danger level was moderate for the selected area. Meteorological meteorological conditions were rela-²⁵ tively stable (SLF, 2018b).
- To assess avalanche detection of entire Switzerland, S1 acquisitions were carefully selected from multiple orbits during a 5 day period from before and after the first event (Gray shading in Fig. 2, acquisition details in Table A1).
- To analyze the second avalanche event, the SLF ordered optical SPOT-6 images which were acquired with the singlepass multi-strip collection modethrough which. With this mode the most of the Swiss alps $(300 \times 40 \text{ km}^2)$ could be imaged in a single day (2018-01-24), at a resolution of 1.5 m.
- ³⁵ These images were visually searched for avalanches by an expert (Bühler et al., 2019). For comparison we also analyzed TSX data from 2018-02-02, acquired 9 days later.

2.1 Avalanche events and meteorological conditions

January 2018 was exceptionally warm, humid and stormy. ⁴⁰ It was the warmest January recorded by systematic measurements since 1864 and many stations reported record sums for new snow and precipitation (MeteoSchweiz, 2018) -

On Jan , embedded in warm and humid winds from the Atlantic Ocean, with a snow line above , the storm "Burglind" hit Switzerland and wind speeds up to were measured on alpine summits. Humid Mediterranean currents followed the storm. During the first avalanche period from Jan 3-, the avalanche danger level was generally 3

⁵⁰ (considerable) but raised to 4 (high) on Jan 4/, and on the for a major part of the Swiss alps (SLF, 2018a). During sunny days from Jan 13-the snow line raised up to followed by almost daily precipitation and strong winds from north-west



Figure 2. Avalanche Activity Index The avalanche activity index is the weighted sum of all reported avalanches for Switzerland modified after Winkler et al. (2019) (Schweizer et al., 2003, 1998). Dry snow avalanches which started high up but were slowed down at medium altitude by wet snow are indicated as "mixed snow" in the legend. Satellite acquisitions dates are indicated by arrows. Images for the multiorbital S1 composite were acquired during the gray shaded periods (see also Table A1). Figure modified after Winkler et al. (2019).

on Jan 16–. Wind speeds of over occurred during the storms "Evi" and "Friedericke" on Jan and . On Jan 20/extreme snowfall was registered. From Jan 21–, the avalanche activity reached a new three-day record for the past 19 years since the avalanche winter in 1999 (Winkler et al., 2019). Due to the extraordinary avalanche situation, the avalanche danger level was raised to 5 (very high) on Jan 21/(SLF, 2018c). After Jan the situation eased and temperatures were very warm with a snowline rising from to over until end of January. The avalanche activity was low and the avalanche danger level was mainly moderate for the analyzed area. On Feb temperature dropped and around of snow fell (SLF, 2018d) . Therefore, snow conditions were slightly different between the TSX and SPOT-6 data but only a few new avalanches occurred (Fig. 2).

3 Radar backscatter physics of avalanches

We detected avalanches based on the radar backscat- 70 ter signal and their visual appearance (shape). As illustrated in Fig. 3 , all types of snow avalanches are composed by illustrates a classification scheme (International Commission of Snow and Ice, 1981) from scheme suggests all The that avalanche 75 types are composed of three different zones (International Commission of Snow and Ice, 1981).but for some avalanche types (e.g. loose snow avalanches)



Figure 3. Different avalanche zones illustrated by a slab avalanche.

zones can be difficult to differentiate. The most upslope part is the release area (Fig. 3, blue) with a smooth surface caused by the failure of the weak layer, followed by the zone of transition (purple) with the stauchwall and some 5 deposition caused by the terrain roughness, and finally the

tongue-shaped zone of deposition (red) at the bottom which is covered by densely compacted snow granules.

Based on snow properties, the different zones show a different radar backscatter signal. In first order scat-10 tering physics the total backscatter intensity of a snow pack, σ_{snow}^0 , can be composed by of scattering from the snow surface, σ_{surf}^0 , <u>scattering</u> from the snow volume, $\sigma_{\rm vol}^0$, scattering from the ground below the snow pack, σ_{ground}^0 , and <u>scattering</u> from higher order interactions be-¹⁵ tween different structures in the snow pack σ_{inter}^0 . Generally, scattering depends on the Currently, there exists no specific model tailored to the backscatter properties of snow avalanches (cf. Eckerstorfer and Malnes, 2015, Sect. 5.3), however, general scattering physics from bi-continuous 20 media and rough surfaces can be applied. In that sense, scattering in snow increases with the spatial correlation length of ice grains (Wiesmann et al., 1998) and also with increased surface- and interface roughness and on the with decreasing incidence angle θ 25 (Leader, 1971; Fung and Eom, 1982; Kendra et al., 1998).

$$\sigma_{\text{snow}}^{0}(\theta) = \sigma_{\text{surf}}^{0}(\theta) + \sigma_{\text{vol}}^{0}(\theta) + \sigma_{\text{ground}}^{0}(\theta) + \sigma_{\text{inter.}}^{0}(\theta)$$
(1)

For plain dry snow of few meters depth scattering at the ground usually dominates the signal because microwaves between 1 and 10 GHz are weakly scattered at the snow surface and within the snow volume and ³⁰ penetrate therefore the snow pack to the ground - There, (Xu et al., 2012; Cumming, 1952; Rignot et al., 2001), see also conclusion and simulations in Leinss et al. (2015) . For dry snow the ground roughness determines the backscatter signal but for smooth ground mainly forward ³⁵ scattering (away from the sensor) occurs. For deeper snow volume scattering can dominate the signal or higher frequencies the signal can be dominated by volume scattering (Watte and MacDonald, 1970).

In contrast to plain dry snow, snow is deeper and denser in ⁴⁰ the deposition zone and the surface is rougher. Due where the surfaces of the avalanche debris can be very rough. Because of the higher dielectric contrast due to the higher permittivity (Matzler, 1996), the contribution of σ_{vol}^0 and σ_{surf}^0 to the total backscatter intensity increases. Both , Both the rough surface ⁴⁵ and the volume scatters debris volume scatter radiation more omnidirectional (diffuse scattering) compared to an undisturbed snow pack over smooth ground (specular scattering).

For plain wet snow, however, the incoming radar waves are weakly seattered back backscattered at the air-snow interface whereas because most radiation is lost by absorption and forward scattering(Tiuri et al., 1984; Cumming, 1952) and also by forward scattering described by Fresnel coefficients.

As the volume and ground contribution is negligible for ⁵⁵ wet snow avalanche debris, the dominant backscatter signal results from omnidirectional scattering at the increased surface roughness in the deposition zone of avalanches --(cf. Eckerstorfer and Malnes, 2015, Sect. 5.3).

In radar images Based on the above scattering physics, the ⁶⁰ zone of origin is very difficult to detect because only in radar images because the weakly scattering snow volume is reduced without major changes in the surface roughness. The zone of transition is should be only sometimes visible, depending on the deposition of avalanche debris. Therefore, ⁶⁵ mostly the deposition zone can be detected by a brighter backscatter signal and the mostly elongated, tongue shaped geometry.

To obtain a high backscatter contrast with respect to the avalanche surrounding the local incidence angle θ should ⁷⁰ be far away from zero to avoid (i.e. away from layover) to avoid the intense specular backreflection from smooth surfaces. Therefore, the visibility of avalanches in radar images should be much better for slopes facing off the radar. These slopes are anyway-also imaged with a higher ground-range ⁷⁵ resolution $\delta_{sr}/\cos\theta$ which can be close to the slant-range resolution δ_{sr} .

4 Methods

4.1 Data preprocessing

All radar products were downloaded in the single look complex (SLC) format. The data were preprocessed with the ESA

- ⁵ SNAP Sentinel-1 toolbox and also with the GAMMA software for comparison. The workflow using GAMMA was implemented with Nextflow (Di Tommaso et al., 2017) to speed up execution and code development and to ensure a reproducible analysis. Preprocessing consists of coregistration to tion, multilooking for reduction of radar speckle (TSX: 6×5
- px, S1: 4×1 px), orthorectification, and generation of radar shadow and layover masks. The SNAP workflow for S1 images is shown in Fig. A1. We did not apply any radiometric terrain correction as the visible topography helps to identify to the avalanche path direction.

For orthorectification we used the Swiss elevation model SwissAlti3D (2013) downsampled from 2 m to 30 m resolution. We noticed, however, that despite of using the same DEM and output resolution, sharp topographic features seem ²⁰ to be better orthorectified with the GAMMA software which might use a more precise spatial interpolation. The radar images were orthorectified to a resolution of 5x5 m (TSX) and 15x15 m (S1) and the backscatter signal in dB was saved to geotiff files. The exact radiometric normalization is ir-²⁵ relevant, because we did not apply any radiometric terrain correction (Small, 2011) and different ellipsoidal corrections

- $(\sigma_{\rm E}^0, \gamma_{\rm E}^0)$ differ only by almost constant factors. Since the TSX data was acquired with a single polarization (the co-polar channel HH) we also used only the co-polar channel (VV) ³⁰ of the two available polarizations of S1 to obtain a fair comparison. For the multiorbital composites, we used both polar-
- izations of S1 (VVand, VH).

4.2 Single image avalanche detection

For avalanche detection by visual inspection in single images, areas with radar shadow and layover were masked out. The images (as shown in Fig. ??) were then systematically searched for bright features matching the tongue shaped geometry of the avalanche deposition zone. Potential avalanches were manually contoured to create an avalanche mask. For uncertain cases, a topographic relief map was used to decide if the identified shape corresponds to a possible flow path.

4.2 Two-image composite avalanche detection

Since detection in single images is difficult, we composed two consecutive acquisitions from the same orbit to an RGB change detection imageAlthough avalanches could be manually detected in single radar images they are difficult to analyze with automatic methods. As radar systems carry their own illumination system the backscatter so signal is primarily determined by topography and land cover type. It is therefore common practise to analyze change detection images to separate sudden backscatter changes from stable topographic and land cover features (Wiesmann et al., 2001; Eckerstorfer and Malnes, 2015). To correct for large-scale backscatter changes due to wet snow 55 a 500 m highpass filter was applied to the backscatter difference between the two two consecutive images. Examples for TSX and S1 are shown in Figs. 4a and 4b. To create the images, the backscatter intensities in dB were normalized by clipping the lower and upper 1%. Consecutive images were 60 then stored in the channels [R, G, B] = [img2, img1, img1] so that backscatter changes are well visible by the redcyan contrast: increased backscatter appears red, decreased backscatter appears light blue (evan), and unchanged backscatter appears gray. From the images (TSX and S1) 65 avalanche outlines were drawn manually.

The RGB change detection images allows allow for a temporal classification of avalanches into three classes :-(*new*, *old*, <u>unsure</u>, and <u>unsure</u>). New avalanches appear red because of increased backscattering and are therefore assumed to have occurred between the first and the second acquisition. *Old* avalanches, with a decreasing backscatter signal, appear blue are therefore assumed to have occurred before the first acquisition. Bright features with an-unchanged backscatter intensity appear almost white and are classified as <u>unsure</u> if they look like avalanches.

Multiorbital S1 change detection image as in Fig. 4e but with a non-local mean filter applied. Orthorectified with the swissALTI3D © 2019 swisstopo (JD100042), reproduced with the authorisation of swisstopo (JA100120). ⁸⁰ The corresponding full scene is shown in Fig. 7 and covers entire Switzerland.

4.3 Multiorbital composite image for Switzerland

In contrast to the limited availability of TSX data, the The free and systematic availability of S1 radar images and the short revisit period of only six days over central Europe allows six days allow for creation of an RGB composite change detection image covering entire Switzerland. Therefore, 12 images, acquired between 2017-12-28 and 2018-01-01 from different orbits, were combined into an image before the first avalanche event (Jan 4th). Another 12 images, acquired between 2018-01-09 and 2018-01-12 with an identical imaging geometry, were used for the post-avalanche event image. The images (listed in Table A1) were preprocessed according to Sect. 4.1. To reduce radar speckle we averaged both polarizations and weighted the cross-pol channel (VH) by the ratio *a* of the co- and cross-pol backscatter intensities averaged over the entire sceneacquisition footprint:

$$S = \frac{S_{\rm VV} + a S_{\rm VH}}{1 + a} \quad \text{with} \quad a = \frac{\langle S_{\rm VV} \rangle}{\langle S_{\rm VH} \rangle} \tag{2}$$

Then, the weighted mean was converted to dB and scenes 100 from different ascending and descending orbits were aver-

Subset of single radar image TSX(01-11).



(b) Subset of change detection image S1(12-31/01-12).



(d) Subsets of the study area: Multiorbital S1 change detection image as in (ac) single radar image, but with non-local mean filter applied.

Figure 4. (ba,eb) TSX and S1 change detection images , and of a subsets of the study area (dcf. Fig. 1)S1 multiorbital composite. The radar view direction is always from the ascending (left to right) incidence angles are 29° and 34°. Arrows in (ba) indicate old avalanches overrun by new ones. (c) S1 multiorbital composite with (d) non-local mean filter applied. All TerraSAR-X and Copernicus Sentinel data (2019) were orthorectified with the swissALTI3D © 2019 swisstopo (JD100042), reproduced with the authorisation of swisstopo (JA100120).



(a) Subset of change detection image tsx(12-31/01-11).



data sets 2017-12-28 - 2018-01-01 vs. 2018-01-09 - 2018-01-12.

6

agedin overlapping areas. Thereby, a relatively homogeneous bright image is generated where layover areas lighten up the relatively dark slopes facing away from the radar without screening too much of the contained details (Fig. 4c). To fur-

5 ther reduce noise but to preserve edges in the mosaic images, we applied a non-local mean filter (Jin et al., 2011; Condat, 2010). The filtered image is shown in Fig. 4d.

Relative brightness of snow avalanches 4.4

To analyze the brightness of avalanches relative to their sur-10 rounding, we calculated the ratio of the mean backscatter signal of an avalanche area and its surrounding area. Therefore, a visually determined manually generated avalanche mask was dilated once by 9 and once by 18 pixels. The difference of the two masks defines the surrounding. For the avalanche

15 mask, the visual avalanche mask was eroded by 3 pixels to reduce manual contouring errors. To obtain statistically significant results we calculated the backscatter ratios only for avalanches and surrounding areas larger than 100 pixels.

4.5 Automated avalanche detection

- 20 As manual avalanche mapping is time consuming, a reliable automation of this process would make the mapping data quickly available for further application. Therefore, different attempts have been made to automatically detect avalanches mainly on the two satellite platforms S1
- (Vickers et al., 2016; Wesselink et al., 2017; Abermann et al., 2016: Etdpenting out the 2016 tion in which the comparison is , and Radarsat-2 (Hamar et al., 2016; Wesselink et al., 2017). The general workflow in these papers is quite similar to ours. All methods are based on two-image change detection,
- 30 application of various masks (layover, shadow, water bodies, forest), thresholding and filtering of extracted avalanche properties.

In addition to a shadow and layover mask, we applied a slope dependent mask to limit the detection to potential 35 avalanche deposition zones for which we expect the strongest backscatter change. By definition, friction is larger in the deposition zone than the downhill-slope force. Therefore, slopes with an inclination larger than 35°, which typically occur in the zone of origin, are masked out (Bühler et al.,

For noise reduction but to preserve avalanche edges, a $5 \times$ 5 px median filter was applied to the backscatter difference images in dB. As avalanches should have a well defined edge, an edge mask was generated by applying a Sobel filter with $_{45}$ a 5 \times 5 kernel to the median filtered difference image.

40 2009).

In the median filtered difference image, from all pixels brighter than a threshold of 4 dB, the brightest 5% were considered as the mask of potential avalanches. The threshold was determined empirically based on 50 TSX data but other authors also used thresholds of 4–6 dB

. To remove isolated bright pixels from the mask, we determined around each continuous area an ellipse and removed areas with a major axis shorter than 15 pixels (TSX: 45 m, S1:225 m). Additionally, only potential avalanches for which 55 more than 10 pixels intersect with the edge mask were considered for the final avalanche mask.

5 **Results**

4.1 **Comparison between mapping results**

None of the mapping results obtained from TSX, S1, or 60 SPOT-6 can be considered as real ground truth and different avalanches or avalanche shapes were detected with the different methods and satellites. Also, ambiguous relations can exist when a single large avalanche in one mapping result appears as multiple smaller avalanches in another mapping result. This makes the evaluation of binary classifies (e.g. probability of detection or false discovery rate) difficult or even impossible. We refrained from using a pixel-to-pixel comparison which would have demanded a manual mapping precision on the pixel level which contradicts the subjective 70 mapping by an experienced expert who sometimes estimates an avalanche outline from discontinuous avalanche patches.

As a remedy we compare results from two data sets A and B by reciprocal counting of avalanches which overlap in both data sets (considered as "found") and avalanches which do 75 25 (Vickers et al., 2016; Wesselink et al., 2017; Abermann et al., 2010) t overlap (considered as "not found"). These numbers difdone (A \rightarrow B or B \rightarrow A). Depending which data sets is considered as ground truth, avalanches which were "not found" can be either regarded as false negative alarms (missed) or as 80 false positive alarms (false alarm).

> For conciseness we refer in the following sections to the two single radar images from 2018-01-11 and 2018-02-02 as TSX(01-11) and TSX(02-02). Similar, we refer to the corresponding TSX abbreviate the RGB change 85 detection images as tsx(12-31by acquisition month and day (mm-dd/01-11) and tsx(01-11/02-02)and to the S1 change detection image 2017-12-31 vs. 2018-01-12 as S1(12-31/01-12). mm-dd).

4.2 TSX single image

In the first image TSX(01-11), acquired after the first avalanche event, in total 142 avalanches were detected by visual inspection. Figure ?? shows a subset of the analyzed scene. Some avalanches can be clearly identified by their bright backscatter signal and their tongue-like shape.

Single TSX backscatter image from 2018-02-02 (after the second avalanche event) including manually masked avalanches. Orthorectified with the swissALTI3D @ 2019 swisstopo (JD100042), reproduced with the authorisation of (Eckerstorfer et al., 2019; Karbou et al., 2018; Vickers et al., 2016)wisstopo (JA100120).

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 Table 2. Number and classification of manually detected avalanches

 from in TSX change detection images which cover covering the first and the second avalanche period.

change detection image	total	new	unsure	old
tsx(12-31/01-11)	267	164	84	19
tsx(01-11/02-02)	351	170	146	35

Number of manually detected avalanches in TSX single images acquired after the first and after the second avalanche event. TSX image total number of found avalanchesTSX(01-11) 142TSX(02-02) 120-

- ⁵ In the second image, TSX(02-02), acquired after the second avalanche event, a total of 120 avalanches were detected. Figure **??** shows a subset of the analyzed scene with an overlay of the manually generated avalanche mask. For this image, an unambiguous identification of avalanches
- ¹⁰ was difficult. Because of the heavy avalanche activity on Jan 22/, multiple small new avalanches could have formed larger connected areas or even run over the same area multiple times such that the individual avalanches could not be identified. Because 10 days elapsed since the main
- ¹⁵ avalanche event, avalanches progressively lost contrast to the surrounding snow due to loss of the surface roughness by surface melt, windblown snow or fresh snow.

5 Results

5.1 TSX change detection

- ²⁰ In the change detection image tsx(12-31/01-11), covering the first avalanche period, a total of 267 avalanches were manually detected -in the study area (red polygon in Fig. 1). As detailed in Table 2, 164 avalanches were classified as *new* and 19 were classified as *old* avalanches. For 84 avalanches
- ²⁵ a clear assignment to *new* or *old* was not possible. Therefore, they were assigned-we assigned them to the class *unsure*. For example, in the upper part of Fig. 4a , arrows indicate two large new avalanches which completely covered two small old avalanches(indicated by arrows). There-
- ³⁰ fore, the their backscatter signal did not change and these old avalanches were classified as *unsure* (though they could be classified as *old* using spatial context information).

In the change detection image tsx(01-11/02-02), covering the second avalanche period, a total of 351 avalanches were

³⁵ detected, composed by of 170 new avalanches, 35 old ones and 146 unsure cases. Most of these unsure avalanches were actually classified as new after the first avalanche period but overrun by new avalanches during the second avalanche period (compare Fig. A2 with Fig. A3). Therefore, the number
⁴⁰ of old avalanches seems to remains low.

5.2 TSX change detection compared to single images

The TSX change detection images indicates a significantly enhanced sensitivity to avalanches compared to single TSX images. It seems that about twice as much avalanches (of class *new* and *unsure*) have been detected (248 vs. 142 and 316 vs. 120 avalanches, Table ?? vs. Table 2). However, around 90% of the avalanches detected in the single image overlap with one or more avalanches classified as *new* or *unsure* in the change detection image. The better differentiation into multiple small avalanches is the main reason why the detection numbers in the change detection image are higher.

As detailed in Table **??**a, when counting how many of 142 avalanches from TSX(01-11) overlap with avalanches from tsx(12-31/01-11), we found that 71% (101 avalanches) were also found as *new* and 12 avalanches were not found. Vice versa, 32% (53/164 avalanches) classified as *new* by change detection were not found in the single image (Table **??**b).

A similar result is obtained for the 120 avalanches from the second single image TSX(02-02). As detailed in Table ??c, 60 85 of 120 avalanches (71%) were also detected as *new* in the change detection image and 6 avalanches were not found. Vice versa, 31% (52/170) of avalanches classified as *new* were not detected in the single image (Table ??d).

Number of avalanches in single image which correspond 65 to avalanches in TSX change detection image (a, c) and reverse correspondence of *new* avalanches from TSX change detection (b, d).

(a) total *new unsure old* not found142 101 22 7 12(b) total not found164 53(c) total *new unsure old* not found120 85 27 70 2 6(d) total not found170 52-

5.2 TSX compared to optical SPOT-6SPOT-6

The SPOT-6 images were acquired immediately after the second avalanche event in the morning of Jan 24th. Unfortunately due Avalanche were mapped by E. Hafner in SPOT-6 images (Bühler et al., 2019). They found that only 24% of outlines were clearly visible; 76% of the avalanches outlines were estimated between partially visible release and deposit areas. In the study area, the SPOT-6 avalanches did not contain any age information but the authors conclude that 20 - 45% of avalanches were already released many of them are actually old avalanches.

Due to the 11 day revisit time of TSX, the first next available TSX image from after the event was acquired 9 days later after the second event in the evening of Feb 2nd. During ⁸⁵ this 9 days surface melt occurred followed by about 20 cm of new snow on Feb 1st. Due to changing snow properties the contrast between avalanches and the surrounding snow has very likely decreased.

Nevertheless, as detailed in Table 3a, we found that ⁹⁰ 68% (85/120) of the avalanches detected in TSX(02-02) were also detected in the <u>Without knowledge of the</u> SPOT-6 image. Interestingly, of the remaining third (35/120)the majority (28 avalanches) were located in the cast

=



Figure 5. Manually mapped avalanches (blue) from the SPOT-6 image 2018-01-24 (background) vs. change detection results from tsx(01-11/02-02) (red, all classes) in a subset of the entire study area (cf. Fig. 1). Orthorectified with the swissALTI3D © 2019 swisstopo (JD100042), reproduced with the authorisation of swisstopo (JA100120). Radar shadow is added in black. Dots show mountain ridges and arrows the down-slope direction.

shadow mapping results, avalanche were mapped in the TSX images independently by the second author of this work. The outlines differed significantly, however, most likely because different features (avalanche origin, path, deposit zone) are

 visible in optical and radar images. Therefore we decided for a feature-based comparison, i.e. overlapping polygon are considered as detected in both data sets. Avalanches split up into discontinuous polygons were counted separately, even if all polygons overlap with one single large polygon in the
 the to other data set (Sect. 4.1).

Similar,

Despite of non-optimal acquisition timing and mapping conditions, Table 3a shows for the change detection image tsx(01-11/02-02) Table 3b shows that 68% (215/316) of the ¹⁵ avalanches detected as *new* or *unsure* were also detected in the SPOT-6 image. The remaining Interestingly, of the remaining third (101were not detected, again, the majority of them/316) the majority (84 avalanches) were located in the cast shadow. **Table 3.** (a) Number of avalanches detected in single (a) and TSX change detection (b) TSX radar images image compared to avalanches which were also detected in the optical SPOT-6 data. (eb): reverse correspondence of avalanches from SPOT-6 to *new* and *unsure* avalanches from the radar change detection image. Avalanches which were not found are grouped depending on if they are located in the cast shadow (a,b) or in the radar shadow (eb).

(a)	of <i>new/unsure</i> in tsx(01-11/02-02) \rightarrow
	total
	120 85 35 (28 / 7) (b) total found not found(in / not in cast shadow)31
(eb)	of SPOT-6 (01-24)
	total 286

Vice versa, 44% (125/286) of the optically detected ²⁰ avalanches were also found in the TSX change detection image (Table 3eb) but more than half of the optically detected avalanches were not found. 20% (57/286) could not be found because they are located in the radar shadow and 36% (104/286) had a too low backscatter contrast to be visible with radar. We did not found significant differences in area for the lower detection limits: for both, TSX and SPOT-6 the smallest detectable avalanches had an area of 500 m² (Sect. 5.1).

With-Using the temporal information from radar change ³⁰ detection the 125 avalanches detected with SPOT-6 but also with TSX (Table 3eb) can be further classified into 27 *new*, 38 *unsure*, and 6 *old* avalanches. The remaining 54 avalanches could not be uniquely unambiguously classified, because they cover areas which were differentiated into multiple different classes by radar whereas such a temporal classification is difficult with single SPOT6 images (Bühler et al., 2019).

Figure 5 shows a subset of the SPOT-6 images and visualizes the manually mapped avalanches. Especially in the lower part of the image, in the cast shadow, many small radardetected avalanches (red) were not found in the optical analysis (blue). With radar, avalanches could generally not be detected in the radar shadow or layover (added with black) but also many other avalanche were missed by radar.

5.3 TSX compared to S1 change detection

To asses the added value of high resolution TSX images compared we compared them to medium resolution S1 imageswe compared the corresponding mapping results. Images from . We chose the first avalanche period were chosen to simplify counting because of less overlapping old and new avalanches. In the S1 change detection image S1(12-31/01-12) a total of 89 *new*, 13 *unsure*, and 16 *old* avalanches were found. Compared to TSX, the The S1 change detection image shows a significantly ⁵⁵ lower resolution than TSX (Fig. 4a vs. Fig. 4b) such that



Figure 6. Manually mapped *new* avalanches (in red) from the change detection image S1(12-31/01-12) (background) compared to manually mapped *new* avalanches from tsx(12-31/01-11) (yellow). No mask is shown for avalanches classified as *old* or *unsure*. Orthorectified with The images from which the masks were derived are shown in Figs. 4a and 4b. Image orthorectified with swissALTI3D © 2019 swisstopo (JD100042), reproduced with the authorisation of swisstopo (JA100120).

smaller avalanches are more likely not to be mapped (small avalanches (yellow in Fig. 6) are more likely to be missed.

As detailed in Table 4, from the 89 *new* avalanches, 83 were also found by TSX. They correspond to 76 *new* and 5 7 *unsure* avalanches; 6 avalanches were not found. Vice versa, two thirds (104/164) of the avalanches found in tsx(12-31/01-11) correspond to the 83 avalanches also found with S1. One third (60/164) was not found, mostly because they were too small to be detected with S1. We found that the smallest avalanches detected by S1 have an area of around 2000 m² (Sect. 5.1).

5.4 Multiorbital S1 change detection composite

By combining S1 acquisitions from multiple ascending and descending orbits, we minimized areas affected by ¹⁵ radar shadow and layover layover (areas with radar shadow appear in layover when imaged with the opposite pass direction). The multiorbital change detection composite **Table 4.** (a) Number of manually detected *new* avalanches in S1(12-31/01-12) which were also detected as *new* or *unsure* in the change detection image tsx(12-31/01-11). (b) reverse correspondence.

(a)	of <i>new</i> in S1(12-31/01-12) \rightarrow	tsx(12-31/01-11)
	total found (new / unsure)	not found
	89 83 (76/7)	6
(b)	of <i>new</i> in tsx(12-31/01-11) \rightarrow	S1(12-31/01-12)
	total found (new/unsure)	not found

is shown in Fig. 7 and covers entire Switzerland during the first avalanche period. In the full, non-local mean filtered 15 m-resolution image, which is available online ²⁰ (Leinss et al., 2019), we manually counted 7361 avalanches but did not draw any polygons. We found that avalanches reaching below the wet snow line were much better visible than avalanches from the dry snow zone. Figure 4c shows a subset which The subset shown in Fig. 4c illustrates the mitigation of shadow and layover layover (in the upper and lower right), the speckle reduction and the enhanced resolution compared to the single orbit S1 image in Fig. 4b. Only areas near radar shadow loose contrast and show a reduced avalanche visibility because the added layover image does ³⁰ not contain useful information.

The comparison of the multiorbital S1 mapping results with the high resolution TSX data is detailed in Table 5. In the study area a total of 136 *new* avalanches were manually detected in the multiorbital image (S1-MO). Of these, ³⁵ 104 avalanches match with avalanches detected in the corresponding single orbit TSX change detection scene (95 of them with *new* avalanches, 9 with *unsure*), whereas 32 avalanches were not found with TSX. 17 of the 32 avalanches could not be detected because they are in the shadow/layover ⁴⁰ areas of TSX. Vice versa, 110 of 164 TSX avalanches were also detected in the multiorbital S1 composite whereas 54 TSX avalanches were not detected.

Table 5. (a) Number of the *new* avalanches in the S1 multiorbital change detection image (S1-MO) compared to avalanches in the TSX change detection image. Reverse correspondence in (b).

(a) *new* in S1-MO(12-28+4d / 01-09+4d) \rightarrow tsx(12-31/01-11)

	total	found	(new/unsure)	not found	l (in/not in shadow)
	136	104	(95 / 9)	32	(17 / 15)
(b)	new in	tsx(12-3	$1/01-11) \rightarrow 3$	S1-MO(12-	-28+4d / 01-09+4d)
	total	found		not found	l
	164	110		54	



Figure 7. In the 15 m-resolution multiorbital S1 change detection mosaic, covering entire Switzerland for the first avalanche period around Jan 4th, we manually counted 7361 *new* avalanches. When zooming into the image, many avalanches are visible in red. The image is combined from each 12 acquisitions from 2017-12-28 until 2018-01-01 and from 2018-01-09 until 2018-01-12 and is available online (Leinss et al., 2019). All Copernicus Sentinel scenes (2019) were orthorectified with the swissALTI3D © 2019 swisstopo (JD100042), reproduced with the authorisation of swisstopo (JA100120).

5.5 Automated avalanche detection

For the implemented automatic avalanche detection algorithm we chose a threshold of 4 dB for the relative brightness of avalanches which corresponds to the upper 82% of the

- ⁵ avalanche brightness distribution shown in Fig. 8a. The figure is based on 99 of 164 *new* avalanches which cover more than 100 pixels (Sect. 4.4) and which were selected from tsx(12-31/01-11) --for the entire study area (red rectangle, Fig. 1). The threshold to mask out areas steeper than 35°
- ¹⁰ (Sect. 4.5) is supported by the slope-dependent distribution of avalanche pixels in Fig. 8b. With these settings, the automatic methods identified about two thirds of the manually identified avalanches in the same image pair. Here we considered the manually determined avalanche mask as a proxy for
- ¹⁵ the true extend of the deposition zone. We are aware that the significance of such a comparison is limited. Nevertheless, the advantage of this comparison is that the performance of the detection algorithm is directly compared to the results of a human avalanche mapping expert.
- For the first image pair tsx(12-31/01-11) Table 6a details that 110 of 164 manually mapped *new* avalanches were also found with the automated detection whereas 54 were not found. As shown in Fig. 9, these "missed" avalanches are often very-small avalanches which were filtered out by the algo-



Figure 8. (a) Histogram of the mean relative brightness of avalanches compared to surrounding area for manually mapped *new* avalanches in of tsx(12-31/01-11) in the study area (red polygon, Fig. 1). (b): Relative brightness of the avalanche pixels in relation to the local slope angle. Lines indicate the thresholds for the backscatter difference (dashed) and the slope-dependent mask (solid).

rithm. Vice versa, of 138 automatically detected avalanches ²⁵ 21 were not found manually (Table 6b).

When considering the total number (164) of manually detected avalanches (164 in the study area (red polygons in Fig. 1) as truth one can assign avalanches which were also found automatically to *true positive* (TP = 110), i.e. correctly ³⁰



Figure 9. Comparison between detected *new* avalanches when manually mapped (red) and automatically detected (yellow) in the TSX acquisition pair 2017-12-31 vs. 2018-01-11. The TSX background image is shown without mask in Fig. 4a. Orthorectified with the swissALTI3D © 2019 swisstopo (JD100042), reproduced with the authorisation of swisstopo (JA100120).

detected. The remaining avalanches, which were not automatically detected, are then assigned to *false negative* (FN = 54), i.e. incorrectly rejected. With this assumption the probability of detection (POD) and the miss rate or false negative 5 rate (FNR) can be calculated:

$$POD = \frac{TP}{TP + FN}$$
 and $FNR = \frac{FN}{TP + FN} = 1 - POD$ (3)

Further, one can assign automatically detected avalanches which were not manually found to *false positives* (FP = 21), i.e. incorrectly detected. When assuming that the number of ¹⁰ correctly detected avalanches is given by TP = 110, the false discovery rate (FDR) reads

$$FDR = \frac{FP}{FP + TP}$$
(4)

With that one obtains a POD = 67 %, a miss rate FNR = 33 % and a false discovery rate FDR = 16 % for tsx(12-31/01-11).

¹⁵ For the second image pair tsx(01-11/02-02) only 82 of 170 manually detected *new* avalanches were automatically found whereas 88 were not found (Table 6c). Vice versa,

Table 6. Number of automatically detected *new* avalanches compared to the number of manually detected *new* avalanchesfrom the same image pair.

(a)	man:t	sx(12-31	/01-11)	\rightarrow	auto:tsx	(12-31/01-11)
	total	found	POD	not fe	ound	FNR
	164	110	67%	5	4	33%
(b)	auto:t	sx(12-31	/01-11)	\rightarrow	man:tsx	(12-31/01-11)
	total	found		not f	ound	FDR
	138	117		2	1	16%
(c)	man:t	sx(01-11	/02-02)	\rightarrow	auto:tsx	(01-11/02-02)
	total	found	POD	not fe	ound	FNR
	170	82	48%	8	8	52%
(d)	auto:ts	sx(01-11	/02-02)	\rightarrow	man:tsx	(01-11/02-02)
	total	found		not f	ound	FDR
	179	125		5	4	40%
(e)	man:S	51(12-31/	(01-12)	\rightarrow	auto:S1	(12-31/01-12)
	total	found	POD	not fe	ound	FNR
	89	68	76%	2	1	24%
(f)	auto:S1(12-31/01-12)		\rightarrow	man:S1	(12-31/01-12)	
	total	found		not f	ound	FDR
	92	72		2	0	23%

54/179 automatically detected avalanches were not found manually (Table 6d). Assuming again that the manually detected avalanches are the true avalanches one obtains a POD ²⁰ of 48 %, a FNR of 52 %, and a FDR = 40 %. The results are expected to be worse compared to the first period, because mapping of *new* avalanches was very difficult for the second period where many old and new avalanches overlapped such that many *unsure* cases occurred for which the backscatter ²⁵ signal changed less than the threshold of 4 dB.

The automated algorithm was also run on the S1 images pair S1(12-31/01-12). As detailed in Table 6e, 68 of 89 manually detected *new* avalanches were also found automatically whereas 21 were not found. Vice versa, of 92 automatically mapped avalanches 72 were also found manually and 20 were not found (Table 6f), resulting in a POD = 76%, a FNR = 24%, and a FDR = 23%.

The higher POD and lower FNR for S1 compared to TSX indicates only, that with S1 the automatic method can detect ³⁵ a larger fraction of the manually detected avalanches. It does not indicate that results obtained from S1 are better compared to TSX data where in total more avalanches were detected.

40

6 Discussion

5.1 Size distribution of detected avalanches

5.2 Advantage of radar change detection images



Figure 10. (a): Classification of mapped avalanche area into size classes. (b): the cumulative avalanche area $\sum_{i}^{i} A_{i}$ plotted over avalanche size (A_{i}) reveals that the smallest avalanches size detected by TSX and SPOT-6 is about 500 m², 2000 m² for S1, and around 1000 m² for the automatic methods. The total cumulative areas differ by an order of magnitude: with radar only bright deposit areas of *new* avalanches were mapped automatically $(1.3 \cdot 10^{6} \text{ m}^{2})$, and less-bright areas were added manually $(2.5 \cdot 10^{6} \text{ m}^{2})$. Summing all classes (*new, old, unsure*) in TSX images results in $7.5 \cdot 10^{6} \text{ m}^{2}$ which is one third of the cumulative area of SPOT-6 outlines $(2.5 \cdot 10^{7} \text{ m}^{2})$ which cover also older avalanches and for which outlines of the entire avalanche area (release, path, deposit) were either clearly visible or were at least estimated.

In both high resolution TSX stripmap radar images more than one hundred avalanches have been manually detected by visual inspection of single radar images within the analyzed area covering .However, a clear advantage has been found when using successive images for change detection where about twice as much avalanches could be differentiated (Sect. ?? The size distribution of detected avalanches depends on sensor resolution and also on which features are actually visible by the sensor. For radar sensors it is likely that only the deposit area is mapped, whereas for the SPOT-6 10 dataset care was taken to map (or at least estimate) the entire avalanche area, including the release area (Sect. 5.2). Because with radar only partial areas were mapped, simple size distributions (Fig. 10a) may appear shifted. To provide more detailed insight we plotted the cumulative area $\Sigma_1^i A_i$ of 15 all avalanches sorted by their apparent area A_i (Fig. 10b).

Additionally, change detection images provide a temporal information which. The smallest detectable avalanche size can be found in the lower tail of the curves in Fig. 10b: for TSX and SPOT-6 the smallest avalanches have about 500 m², 2000 m² for S1, and around 1000 m² for the automatic methods.

It may surprise that in the study region the total avalanche area in SPOT-6 images is an order of magnitude larger $(2.5 \cdot 10^7 \text{ m}^2, \text{ green curve in Fig. 10b)}$ than the total area of manually radar-detected *new* avalanches from TSX and S1 (red, blue, and orange dots: $2.5 \cdot 10^6 \text{ m}^2$). A factor of three remains when comparing the area of all (*new, old*, and *unsure*) avalanches detected by TSX (purple in Fig. 10b) with SPOT-6 which does not contain any age classification.³⁰ Considering the fact that with radar mainly the deposition zone can be mapped a difference of a factor of three is reasonable.

6 Discussion

6.1 Radar change detection images

The temporal information from radar change detection makes it possible to differentiate relatively clearly between new and old avalanches. Therefore, they can be much easier differentiated from bright regions of similar shape but which are in reality erosion features like scree or talus deposits and 40 which do not significantly change their backscatter behavior in time (therefore classified as unsure). Still,, at least for low avalanche activity where old avalanches are rarely overrun by new ones. This can be seen as a major advantage compared to optical images for which temporally dense time series are 45 not reliably available due to weather conditions. The missing temporal information can lead to an overestimation of the avalanche area and (Bühler et al., 2019) report that deposit areas of large avalanches (> 10000 m^2) remain visible for several weeks. 50

Nevertheless, for strong avalanche activity, the differentiation of strongly overlapping avalanches is difficult and requires a high spatial and temporal resolution. In the extreme case, a temporal resolution of seconds or

- 5 minutes would be required to temporally resolve individual avalanches. The even with radar. For example, we found a large number of *unsure* avalanches for the second analyzed avalanche event (Sect. 5.1) which could be assigned to *new* avalanches of the first event. For temporal separation, fast re-
- ¹⁰ peat times of current radar satellites, like 6 days when combining the two S1 satellites is a major advantage compared to other mapping methods. For example, compared to the 11 days of TSX, the temporal resolution of S1 is almost twice as high. Due to clouds, optical data is not reliably available
- ¹⁵ and temporally dense time series cannot exist for weather conditions with heavy snow fall and strong winds during which the highest avalanche risk occurs. satellites (TSX: 11 days, Radarsat: 24 days). To differentiate overlapping avalanches a recently developed age-tracking algorithm
 ²⁰ showed promising results (Eckerstorfer et al., 2019).

6.2 Optical mapping vs. radar change detection

Regardless of the advantages of radar change detection, the spatial resolution of optical sensors is better compared to radar sensors of the same nominal resolution the nominal ²⁵ resolution of radar sensors because the intrinsically coherent SAR imaging method makes radar speckle unavoidable and requires spatial or temporal averaging. Furthermore, the resolution of TSX and S1 is not good enough to recognize flow structures of the avalanche surface which are well visible in

³⁰ the optical SPOT-6 images (Bühler et al., 2019).

- With-Nevertheless, using TSX change detection images we have mapped a similar number of avalanches (316) compared to the results from optical SPOT-6 images (286 avalanches) within the analyzed study area. However, the
- ³⁵ mapped avalanche outlines differ relatively strongly and are sometimes split up into sub-polygons which results in the fact that only 68% of the radar detected avalanches overlap with avalanches found with the optical data or inversely, only 44% of optically detected avalanches were also found by
- ⁴⁰ radar. The differences of the avalanche outlines could also be partially attributed to the estimation of avalanche outlines by the person fact that some avalanche outlines were estimated by the (different) persons mapping the avalanches.

The fact that a larger fraction (68% vs. 44%) of radar-45 detected avalanches matches with optically detected ones results from the better differentiation of adjacent avalanches into multiple classes (*new*, *old*, *unsure*) which have been were often mapped as one large avalanche with optical data. When multitemporal optical data is available, a temporal differen-50 tiation is also possible (Bühler et al., 2019) which, however,

was done for a different region than our analyzed area.

From the analysis of avalanches detected by radar but not found in the optical SPOT-6 image, we found that more than 80% of these avalanches were located in the cast shadow. It seems that these avalanches are not easy to detect in the optical images whereas they are well visible in radar images. Similar, in radar images no information is available from the radar shadow and very poor information is available from layover areas, however, only 35% of avalanches not found in the radar images (but in optical) are located in the radar shadow or layover. We think it is an important result that not only radar acquisitions are affected by (radar) shadow but that avalanche mapping results with using optical data seem also to be deteriorated by the cast shadow from high mountains.

Unfortunately, A main difference between SPOT-6 and radar mapping results is that the total avalanche area differed at least by a factor of three (Fig. 10b). We attribute this difference to the fact with SPOT-6 avalanches were mapped more completely (origin, path, deposition zone) than ⁷⁰ with radar (mainly deposition zone). This has important consequences when comparing avalanches by pixel area rather than by overlap.

Due to unfortunate acquisition timing, the direct comparison of SPOT-6 and TSX data is limited by several 75 uncertainties: the acquisition date of the not ideal: the SPOT-6 images (2018-01-24) was acquired just between the two TSX images (2018-01-11and-, 2018-02-02) and which leaves 9 days where additional avalanches could have occurred, considering about 20 cm of fresh snow on Feb 80 1st. Nevertheless, Fig. 2 indicates that the biggest part of avalanches occurred before the SPOT-6 acquisition and only about 5% of avalanches occurred between the SPOT-6 and the TSX acquisition from until Feb 2nd. To confirm this we analyzed We confirm this by analyzing a multiorbital 85 S1 change detection image S1(01-24+01-28/01-30+02-03) and where we did not find any new avalanches in the study area. As the avalanche risk was slightly higher (level 2-3) for north exposed slopes after Jan (SLF, 2018c, d) we cannot completely exclude that during this time an unknown amount 90 of avalanches too small to be detected by S1 could have still released in the case shadow.

6.3 TSX compared to S1 change detection

The comparison of TSX and S1 change detection images, both of them acquired for the first avalanche period with ⁹⁵ almost identical orbits and acquisition times, shows that the S1 satellites are a valuable source of radar images for avalanche mapping. Though with The size of smallest detectable avalanches for TSX are "medium" avalanches $(500 - 10000 \text{ m}^2)$ with a width of more than 20 m. S1 ¹⁰⁰ very small avalanches are likely to be missed misses mainly "medium" avalanches smaller than 2000 m^2 (Fig. 10b). Similar results for S1 with a minimum cutoff of 4000 m^2 were found by Eckerstorfer et al. (2019).

Still about two thirds of avalanches detected and classified ¹⁰⁵ as *new* with TSX could also be detected with S1 (Sect. 5.3).

Notably, 93% (83/89) of avalanches detected by S1 could also be detected by TSX which reflects the agreement between TSX and S1 mapping results. This is confirmed by Fig. 10b which shows that, despite of a different lower cut 5 off, the total area of radar-mapped *new* avalanches agrees

very well $(2.5 \cdot 10^6 \text{ m}^2)$. Also, the shape of the avalanches masked in S1 data is very similar to the one from TSX (Fig. 6). Therefore, we consider the reduced resolution and separability of avalanches in S1 images to be much less releto vant than the superior availability of S1 data.

6.4 Multiorbital composite

The combination of radar acquisitions images acquired with different polarizations and from ascending and descending orbits minimizes reduced radar speckle and minimized

- ¹⁵ areas affected by radar shadow and layoverand reduces speckle noise. Noise was further reduces by averaging both polarizations (VV, VH) available from the dual-polarization S1 data. By combining the two orbit directions and the two layover. By combining two orbit and (pairwise inco-
- ²⁰ herent) polarizations, areas visible from both orbits were imaged by 4 independent observations. This number can In our case of mapping entire Switzerland for a specific period, this number was even increase to 6 or 8 observations when acquisitions with different incidence angles (from the
- ²⁵ same orbit direction) overlap. Due to the 4–8 independent observations, spatial multilooking (used for speckle reduction) could be reduced to 4×1 pixels to obtain a radiometric accuracy otherwise only possible with multilooking windows of $8...16 \times 2$ pixels. With this multiorbital averaging
- ³⁰ method, we estimate that an effective spatial resolution of about 20×20 m was achieved (TSX: about 10×10 m after multilooking). This resolution enhancement can be clearly observed when comparing Fig. 4b with Fig. 4c. Still, these values hold only for flat terrain.For geometric reasons the
- ³⁵ local resolution $\delta_{rg} = \delta_{sr}/\cos\theta$ of mountain slopes depends on the local incidence angle θ such that, compared to flat terrain, slopes Also, about twice as much medium size avalanches were detected compared to a single S1 image (Fig. 10a). However, because topography was not considered
- ⁴⁰ during averaging the resolution can deteriorate in slopes facing off the radar show an increased resolution whereas slopes facing away from the radar show a significantly deteriorated image quality or even complete loss of resolution in the case of lavover(Sect. 3).
- Another drawback of combining acquisitions from multiple dates is that no unique time stamp can be given to the "before"- and "after"-aquisition. In the worst case, avalanches loose contrast if they had occurred during the collection period of the set of "before" images. However,
- ⁵⁰ in our case, we focused on the extreme avalanche event on 2018-01-04 (Fig. 2) and made sure that the "before"and "after"-imaging period did not overlap with the main avalanche event. For an operational use combined (asc+desc)

acquisitions must be acquired within a time-period as short as possible, i.e. significantly shorter than the orbit revisit time to avoid reduced visibility by averaging out "in-between" avalanches which are only visible in one of the two averaged acquisitions. For S1, ascending and descending acquisitions with only 12 hours time difference should be used, if possible. Considering a revisit time of 6 days over Europe results in probability of 1:12 to reduce the visibility of averaged avalanches.

To combine multiple orbits In this study we simply averaged the change detection radar images in dB which preserved the relative brightness and resolution of local features (avalanches). By the simple average slopes facing off the radar were mainly lightened up by the average with a bright layover area. and did not apply any terrain correction. We think that more advanced methods to merge radar images from multiple orbits, for example local resolution weighting 70 (LRW) by Small (2012), should further improve avalanche mapping results.

From the comparison to optical data we also found that avalanches can be clearer identified in slopes facing off the radar compared to slopes which are facing towards the 75 radar (but not yet in layover). As detailed in Sect. 3, we think that, because of the more isotropic scattering of the rough surface of avalanches steep from the rough avalanche debris surface, steep local radar incidence angles should be used to enhance the local contrast to the surrounding snow. 80 Therefore, slopes facing away from the sensor should be given more weight which is implicitly already done done already implicitly by LRW. Furthermore, in mountainous regions LRW applies already unequal weights for ascending and descending acquisitions which decreases further the 85 probability that avalanche falling inbetween the averaged acquisitions loose their visibility.

The additionally applied non-local mean filter further increased the visibility of avalanches (compare Fig. 4e with Fig. 4d). The enhanced geometric and radiometric ⁹⁰ resolution through multiple orbits and the non-local-mean filter makes the separability of avalanches in S1 data almost equivalent to single-orbit and single-polarization TSX data (Sect. 5.4). This conclusion is also supported by the avalanche differentiation ratio of 1.06 (Table 7) which is ⁹⁵ discussed in Sect. 6.6.

6.5 Automated avalanche detection

For both, TSX and S1 images the implemented avalanche detection algorithm performs with reasonable results, at least when the number of overlapping avalanches is low. As shown 100 in That means that in general a few sparse events are more likely to be detected than overlapping clusters of avalanches.

Compared to the manually detected avalanches (red shading in Fig. 9), the area of automatically detected 105 avalanches (yellow) shows a good agreementwith manually

Table 7. Avalanche differentiation ratios between different satellite acquisitions and methods, and mutual miss-/false discovery rates.

columns indicates that one method detects more avalanches than the other method.

Set A	avalanches in Table ??, we conclude that with $\overline{\text{Mange}}$ $\frac{N_A \rightarrow B}{N_A}$	$\frac{\neg B}{A} = \frac{N_B}{N}$
tsx(12-31 / 01-11) TSX(01-11) 1.10 33% 8%tsx(01-11 / 02-02) TSX(0200251130 37913024588 1253 better 140 differontiato 1224 that 1200 32	% 56
tsx(12-31/01-11)	than 30% of new avalanches can bg ide tested rampared to 374	% 7
tsx(12-31/01-11)	mapping using single images. We substance under the 33 mapping using single images.	% 24
tsx(12-31/01-11)	miss-detection rate of single images inautlativelyutow (0.84%). 33	% 15
S1(12-31/01-12)	manual vs. auto 0.94 24	% 22
tsx(01-11/02-02)	From the comparison to SPOT-6S ponul, there we from the comparison to SPOT-6S ponul, there we have a state of the second	% 30
	ble 3 we infer that TSX change detection allows for a bet-	

detected avalanches (red shading). However, the upslope parts of avalanches are often only fractionally detected because of their relatively low brightness. For a weakly visible starting or transition zone a human observer can con-5 clude that it must belong to the below situated avalanche

- deposit. Also, by choosing a threshold of 4 dB already 18 % of the manually detected avalanches are likely to be missed (Fig. 8a). A dynamic threshold based on backscatter changes in individual image pairs could improve these results 10 (Eckerstorfer et al., 2019). Further, minor parts of manually
- detected avalanches are located in slopes steeper than 35° (Fig. 8b) which were masked out by the automatic method.

6.6 Avalanche differentiation with different methods

The fact that no real ground truth exists makes a di-¹⁵ rect comparison of the different methods difficult. However, some methods show a much higher potential to differentiate avalanches than others. Because of the better differentiation, the quantitative comparison of found avalanches should be interpreted with the consideration that multiple avalanches can correspond to a single, big avalanchelarge connected avalanche patches into multiple smaller ones than other methods. Therefore we use a reciprocal, two-way comparison of avalanche detection numbers to estimate which of the methods can better

²⁵ differentiate adjacent avalanches.

As a proxy for the enhanced differentiation we define the ratio $N_{A\rightarrow B}/N_{B\rightarrow A}$ where $N_{A\rightarrow B}$ is the number of avalanches from data set A which were also found in the data set B, and inversely, $N_{B\rightarrow A}$ is the number of avalanches in B ³⁰ which were also found in A. Additionally, we define the ratio $N_{A\neg B}/N_A$ of avalanches found in A but not found in B relative to all avalanches found in A and analogue $N_{B\neg A}/N_B$. The meaning of the last two ratios depends on interpretation and correspond to the false discovery rate (FDR) under the ³⁵ assumption that B is considered as truth or alternatively to the false negative rate (FNR) if A is considered as truth.

Table 7 lists the three ratios for different data sets. We interpret these numbers such that a differentiation ratio $\frac{N_{A \rightarrow B}}{N_{B \rightarrow A}} > 1$ indicates that set A provides spatially more detailed results than set B. An asymmetry between the last two From the comparison to **SPOT-6S Protive**, the average of the form of able 3, we infer that TSX change detection allows for a better differentiation of avalanches than single optical images. However, both methods show miss rates (and possibly some false detection) of 32 and 56% for avalanches which are not visible by the other method which indicates a certain complementarity of optical and radar images for avalanche detection.

Compared to S1, the higher resolution of TSX allows for a 25% better differentiation and 37% more avalanches were detected (derived from Table 4). Still, the false discovery rate of S1 compared to TSX is quite low (7%).

Interestingly, the avalanche separability of the multiorbital S1 composite, including the NL mean filter, is very comparable to TSX single orbit change detection (1.06) while 33% or 24% of avalanches detected by one method are not visible ⁶⁵ with the other (derived from Table 5). This, because TSX detects smaller avalanches, while the multiorbital methods detects also avalanches which are otherwise in the radar shadow or in slopes facing the radarslopes close to layover.

Finally, the automatic methods detects detect larger ⁷⁰ avalanches fairly comparably to the manual method (derived from Table 6), however, weakly visible avalanches and small avalanches which have not been automatically detected cause a miss rate of about 30 %. The apparently lower differentiation of avalanche by manual analysis results from the fact that ⁷⁵ the automatic method often detects multiple patches instead of a single avalanche (Fig. 9).

7 Conclusions

We studied the capabilities of the radar satellites TerraSAR-X (TSX) and Sentinel-1 (S1) to detect avalanches using single radar images, in two-image change detection images and multiorbital change detection composites. Manual avalanche mapping results from the high- and medium resolution radar data (TSX, S1) and high resolution optical data (SPOT-6SPOT-6) were compared to each other. An automatic detection method was developed and compared to the manual mapping results.

We conclude that both, TSX and S1 radar images can provide valuable, weather-independent information about avalanche activity, even in difficult alpine terrain. We showed that avalanches can be manually identified in single radar images, but the mapping precision is significantly enhanced

and a temporal information is added when two consecutive radar images are combined into an RGB change detection image. With that Despite of different lower cut-off sizes of about 500 m² for TSX and 2000 m² for S1, avalanche 5 outlines and the total area of mapped avalanches agree very

well with each other. Between the manual TSX and SPOT-6 mapping results,

we found a fair agreement between TSX results and mapping from single but the total mapped avalanche area of TSX

- 10 covers only one third (the deposition zone) of the total mapped area (release, path, deposit) in SPOT-6 images. Interestingly, many avalanches located in the cast shadow of SPOT-6 image were not detected in the optical SPOT-6 image whereas they were clearly visible in a TSX image acquired
- 15 10 days later. With the automated detection algorithm we found about 60-80% of the avalanches manually mapped in the same image, at least when no large number of old avalanches were present.

Despite of the high resolution of TSX which allows for

- 20 a more detailed avalanche mapping, the We found that the non-systematic acquisition program and the possibly high cost can be considered as drawback of TSX data. Also, with the maximal swath width of 30 km in stripmap mode and a nominal revisiting period of 11 days, an operational use
- 25 for avalanche mapping over Switzerland with TSX is not feasible. However, high resolution radar from TSX the high resolution images can provide valuable information for validation of lower resolution mapping results for pre-defined test sites and if acquisitions are scheduled in advance.
- In contrast to TSX, and despite of its Despite of 30 the lower resolution, we found that S1 provides a convincing solution for systematic avalanche mapping because of the total swath width of 250 km and the revisit period of 6 days when images from the same or-
- 35 bit of both satellites (S1-A and S1-B) are combined. The results from Norway by Eckerstorfer et al. (2018) Eckerstorfer et al. (2018, 2019) confirm this conclusion. For a selected test site we detected with S1 about two thirds of the avalanches found with TSX but small avalanches were 40 often missed. With the

With the multiorbital combination of systematically available S1 acquisitions from different orbits and with different polarizations we minimized not only areas located in radar shadow and layover but also enhanced the radiomet-

- 45 ric accuracy and obtained a high spatial resolution of about 20×20 m. In the resulting change detection image covering entire Switzerland we found-manually counted in total 7361 new avalanches which occurred during an extreme avalanche period around January 4th 2018. However, we suppose that
- 50 mainly avalanches reaching below the wet snow line were detected and that likely many dry snow avalanches were missed because of their lower contrast to the surrounding snow. A disadvantage of the multiorbital composite is the loss of precise timing of avalanches. For operational applications

55 we suggest therefore to minimize the ratio of elapsed time

between ascending and descending acquisitions and of the revisit time.

We think that avalanche mapping can be even further improved with more advanced methods to combine different orbits, for example with local resolution weighing, LRW 60 (Small, 2012). With that, slopes facing off the radar are weighted stronger which does not only enhance the resolution but should also increase the avalanche visibilityas-: we think that the more omnidirectional scattering of the rough avalanche surface dominates the scattering of smooth snow 65 only for slopes facing off the radar. We found that avalanches are hardly visible in slopes facing the radar (but not yet in layover). As with LRW mountain slopes are unequally weighted the probability that avalanches occur between two averaged images is reduced.

Although we could show that radar change detection mapping with TSX provides results comparable to optical SPOT-6 direct mapping, we note that our study focuses on the exceptionally warm January 2018 with frequent surface melt but also with very intense snowfall periods. As the relative brightness of avalanches with respect to the surrounding snow depends on the water content and the amount of deposited snow, avalanches might be less visible during cold weather with little snowfall. Therefore, we think that the-an analysis of longer time series of radar based avalanche map-80 ping is required to assess will provide insight how snow and weather conditions affect the detection rate of radar based methodsunder different weather conditions and in different regions.

Data availability. TerraSAR-X data are available from the archive 85 https://terrasar-x-archive.terrasar.com. Copernicus Sentinel-1 data processed by ESA have been downloaded from the Copernicus Open Access Hub: https://scihub.copernicus.eu and from the Alaska SAR Facility ASF DAAC 2018 https://www.asf.alaska.edu. The manual mapping results from the optical data and the Sentinel- 90 1 change detection composite of Switzerland is available online (Hafner and Bühler, 2019; Leinss et al., 2019).

Appendix A

Author contributions. SL and RW wrote the manuscript, RW did the avalanches mapping and designed the automatic detection. SL 95 coordinated the study and implemented the nonlocal mean filter. SH, SB, SL preprocessed the radar images. YB initiated the study and complemented the manuscript.

Competing interests. The authors declare that they have no conflict of interest. 100

Table A1. List of S1 acquisitions used for the multiorbital RGB change detection composite shown in Fig. 7.

Satellite	Date	Time (UTC)	rel. orbit, direction
Sentinel-1B	2017-12-28	05:42:17	139, descending
Sentinel-1B	2017-12-28	05:42:43	139, descending
Sentinel-1A	2017-12-29	05:34:45	66, descending
Sentinel-1A	2017-12-29	05:35:10	66, descending
Sentinel-1B	2017-12-30	05:26:02	168, descending
Sentinel-1B	2017-12-30	05:26:27	168, descending
Sentinel-1A	2017-12-30	17:23:14	88, ascending
Sentinel-1A	2017-12-30	17:23:39	88, ascending
Sentinel-1B	2017-12-31	17:14:13	15, ascending
Sentinel-1B	2017-12-31	17:14:38	15, ascending
Sentinel-1A	2018-01-01	17:06:47	117, ascending
Sentinel-1A	2018-01-01	17:07:12	117, ascending
Sentinel-1B	2018-01-09	05:42:17	139, descending
Sentinel-1B	2018-01-09	05:42:42	139, descending
Sentinel-1A	2018-01-10	05:34:45	66, descending
Sentinel-1A	2018-01-10	05:35:10	66, descending
Sentinel-1B	2018-01-11	05:26:01	168, descending
Sentinel-1B	2018-01-11	05:26:26	168, descending
Sentinel-1A	2018-01-11	17:23:14	88, ascending
Sentinel-1A	2018-01-11	17:23:39	88, ascending
Sentinel-1B	2018-01-12	17:14:13	15, ascending
Sentinel-1B	2018-01-12	17:14:38	15, ascending
Sentinel-1A	2018-01-13	17:06:47	117, ascending
Sentinel-1A	2018-01-13	17:07:12	117, ascending





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Figure A2. Full extent of the RGB composite image TSX 2017-31-12 vs. 2018-01-11 with manually mapped avalanches. *New* avalanches are red, *old* avalanches blue and *unsure* avalanches white. Areas in the radar layover and shadow are masked out (black). TerraSAR-X image orthorectified with the swissALTI3D © 2019 swisstopo (JD100042), reproduced with the authorisation of swisstopo (JA100120).



Figure A3. Full extent of the RGB composite image TSX 2018-01-11 vs. 2018-02-02 with manually mapped avalanches. *New* avalanches are red, *old* avalanches blue and *unsure* avalanches white. Areas in the radar layover and shadow are masked out (black). TerraSAR-X image orthorectified with the swissALTI3D © 2019 swisstopo (JD100042), reproduced with the authorisation of swisstopo (JA100120).

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