



1 **Evaluating forest fire probability under the influence of** 2 **human activity based on remote sensing and GIS**

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7 **Abstract:** Fires are an important factor involved in the disturbance of forest ecosystems, causing
8 resource damage and the loss of human life. Evaluating forest fire probability can provide an effective
9 method to minimize these losses. In this study, a comprehensive method that integrates remote-sensing
10 data and geographic information systems is proposed to evaluate forest fire probability. In our analysis,
11 we selected four probability indicators: drought index, vegetation condition, topographical factors and
12 anthropogenic factors. To evaluate the influence of anthropogenic factors on fire probability, a distance
13 analysis from fire locations to settlements or roads was conducted to see which distance was associated
14 with a higher probability. The forest fire probability index (FFPI) was calculated to assess the
15 probability level in Heilongjiang Province, China. According to the FFPI, five classes were identified:
16 very low, low, moderate, high, and very high. A receiver operating characteristics (ROC) curve was
17 used as the validation method, and the results of the ROC analysis showed that the proposed model
18 performed well in terms of forest fire probability prediction. The results of this study provide a
19 technical framework for the Department of Forest Resource Management to predict occurrence of fires.

20

21 **Keywords:** Forest fire probability; Geographic information system; Remote sensing; Natural hazards.

22

23 **1. Introduction**

24 Fire is considered to be one of the most important factors that disturb forest ecosystems (Cyr et al.,
25 2007; Lecomte et al., 2006). Fires have both positive (Wagner and Fraterrigo, 2015) and negative
26 (Sivrikaya et al., 2014; Somashekar et al., 2009) influences on forest ecosystems. In terms of positive
27 aspects, fires can control understory growth (Burton et al., 2011), promote the growth of native plants
28 and the recruitment of non-native species (Kuppinger et al., 2010). Regarding negative aspects, fires
29 cause serious destruction to forest ecosystems, including the loss of biodiversity (Saranya et al., 2014),
30 damage to landscape (Alencar et al., 2015), and alter vegetation structure and function (Pausas and
31 Keeley, 2009). Additionally, forest fires can cause economic losses for people, including loss of
32 property (Merlo et al., 2000), damage to agriculture, and even loss of human life (Pourghasemi et al.,
33 2016). Considering the negative effects of fires, fires risks should be mitigated in some areas, and to



34 achieve this, it is necessary to evaluate forest fire probability, which is an effective approach to monitor
35 where and when a fire is more likely to happen (Chuvieco et al., 2014). As such, forest fire probability
36 maps are helpful tools for forest managers, fire fighters and decision makers.

37 Many studies have conducted fire risk estimations in areas that are seriously affected by fires
38 (Alonso-Betanzos et al., 2003; Brillinger et al., 2003; Chuvieco et al., 2010; Dong et al., 2005b; Yin et
39 al., 2004). Several methods have been used to evaluate forest fire probability, such as analytical
40 hierarchy processes (Eskandari, 2017; Sharma et al., 2012; Vadrevu et al., 2010), fuzzy logic models
41 (Iliadis et al., 2002; Yi et al., 2013), support vector machines (Koetz et al., 2008; Zhao et al., 2011), and
42 system dynamics (Collins et al., 2013). The development of geographical information systems (GISs)
43 and remote-sensing (RS) data have provided a comprehensive tool to develop forest fire probability
44 assessment methods. GIS is an effective tool to analyze complicated spatial problems (Jaiswal et al.,
45 2003), which is widely used in forest fire probability mapping (Alemu and Suryabhadgavan, 2015;
46 Eugenio et al., 2016; Kumar et al., 2015; Said et al., 2017). RS data provide fundamental information
47 regarding which parameters need to be considered when mapping forest fire probability, including
48 forest structure (Lim et al., 2003), land use and land cover (Joshi et al., 2016), land surface temperature
49 (Caselles, 2011), vegetation moisture content (Wang et al., 2013), etc. The integration of GISs and RS
50 data is widely used in fire probability estimation, and is considered to be one of the most cost-effective
51 and most-appropriate methods for mapping forest fire probability (Ardakani et al., 2010).

52 Forest fire probability depends on many environmental factors. Tree species can affect the
53 probability of fire ignition (Pellegrini et al., 2016), where, for example, coniferous trees pose more of a
54 fire probability than deciduous trees. Vegetation structures (Carmel et al., 2009), humidity (Huesca et
55 al., 2009), temperature (Guangmeng and Mei, 2004), and topographical features (Satir et al., 2016) are
56 all factors that can affect forest fire probability and provide the potential for fires to arise. Human
57 activities (anthropogenic variables) also play an important role in the occurrence of forest fires, and
58 they are considered to be the main ignitor of forest fires in areas with intense human activities (Adab et
59 al., 2013).

60 The objective of this research was to establish a comprehensive model for mapping forest fire
61 probability. Four influential factors were considered, including drought index, topography, vegetation
62 condition and anthropogenic variables. The influence of human activities were analyzed based on forest
63 fire statistics, while accurate distance values were obtained via a distance analysis.

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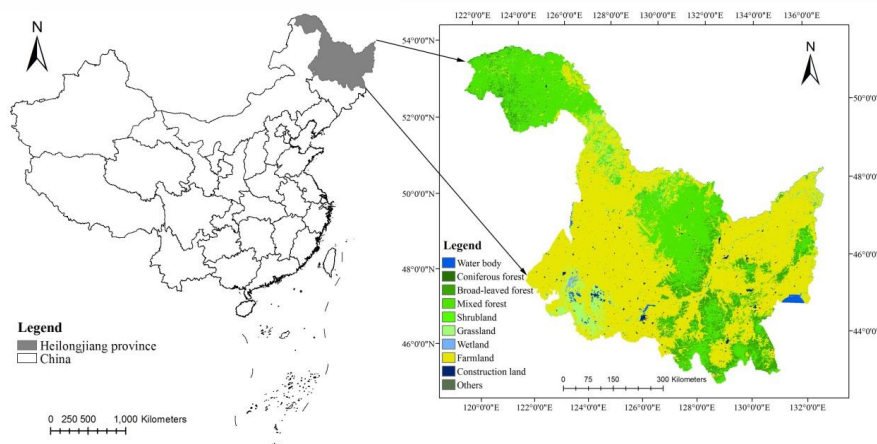
65 **2. Methodology**

66 **2.1 Study area**

67 Heilongjiang Province was selected as the study area, and is located in the northern part of China
68 between E 121° 11' —135° 05' , and N 43° 26' —53° 33' with a total area of 45.19×10^4 km².



69 The forest-covered area in the study area is $16.44 \times 10^4 \text{ km}^2$, which accounts for 36.38% of the total area
 70 (Fig. 1). Heilongjiang Province experiences a continental monsoon climate with an extremely cold and
 71 dry winter, a windy spring and autumn, and a short, mild and moist summer. The average annual
 72 temperature is between $-5 \text{ }^\circ\text{C}$ and $4 \text{ }^\circ\text{C}$. The average precipitation varies in the region, being higher in
 73 the eastern part and lower in the western part. Sixty percent of the precipitation is concentrated between
 74 June and August, while precipitation in the winter accounts for only 17% of the annual total. Abundant
 75 vegetation cover and climatic conditions such as strong winds and droughts make the study area
 76 susceptible to fires. The fire season is from March to November. Forests are considered natural
 77 resources to support the economic development in the province, and the occurrence of fires can cause
 78 great damage to these forest resources. Statistics show that 528 fires have occurred during the past 10
 79 years, and the total burned area reached $13.8 \times 10^4 \text{ hm}^2$. As a hectare of trees lost to fire can cause a loss
 80 of 4398.93 yuan (Zhang et al., 2001), the total economic losses reached 607 million yuan. Due to these
 81 enormous losses, the study area is considered as an important area for forest fire prevention in China.



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Figure 1: Location and land cover types of Heilongjiang Province

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85 2.2 Index system

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Several influencing factors were considered to assess forest fire probability. The indicators selected in the study are shown in Table 1.

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Table 1. Indicators employed to evaluate fire probability in Heilongjiang Province

Influence factor	Variables	Data source
Drought index	Percentage of precipitation anomaly	Monthly precipitation data from meteorological stations
Topographical factors	DEM	ASTER
	Slope	Calculated from DEM
	Aspect	Calculated from DEM



Vegetation condition	Fractional vegetation cover	Calculated based on MOD13Q1
	Vegetation type	MCD12Q1
Anthropogenic factors	Distance from settlement (km)	Settlements obtained by a visual-interpretation method based on Landsat TM data
	Distance from road (km)	Roads were digitized from the traffic map

89

90 2.2.1 Drought index

91 The percentage of precipitation anomaly (PPA) is an important indicator that represents the
 92 distribution and variation of drought (Wei and Ma, 2003). To calculate PPA, monthly precipitation data
 93 were obtained from 83 meteorological stations (Fig. 3) in Heilongjiang province from March to
 94 November. An inverse distance weighted interpolation method was used to generate the spatial data.
 95 Fires are more likely to arise under drought conditions. The calculation formula of PPA was:

$$96 \quad D_p = \frac{P - \bar{P}}{\bar{P}} \times 100\% \quad (1)$$

97 where D_p was the PPA, P was the amount of precipitation during the fire season, and \bar{P} was the
 98 multi-year average precipitation during the fire season.

99 2.2.2 Topographical factors

100 Topography is often measured in terms of elevation, slope and aspect (Jung et al., 2013). Elevation
 101 is highly associated with moisture, temperature, wind and vegetation structure (Lin et al., 2013);
 102 therefore, it has an important influence on fire occurrences. Slope is a key parameter that influences the
 103 spread of fires (Weise and Biging, 1997). Aspect affects the amount of received solar energy, which
 104 results in different degrees of fuel moisture levels (Adab et al., 2013). These topographical factors were
 105 obtained from ASTER (the Advanced Spaceborne Thermal Emission and Reflection radiometer) and
 106 GDEM (Global Digital Elevation Model) Version 2.

107 2.2.3 Vegetation conditions

108 The vegetation conditions were measured using the vegetation types and the fractional vegetation
 109 cover (FVC). According to existing research (Deng et al., 2012), vegetation types were classified into
 110 five grades, where coniferous forests are considered as very high probability, mixed forests,
 111 broad-leaved forests and shrublands as high probability, grasslands as moderate probability, farmlands
 112 as low probability, and other vegetation types are considered as very low probability. The vegetation
 113 types were obtained from the MODIS land cover type product MCD12Q1.

114 FVC represented the vegetation density, where high FVC values indicated areas with high
 115 probabilities of fire. FVC was calculated by:

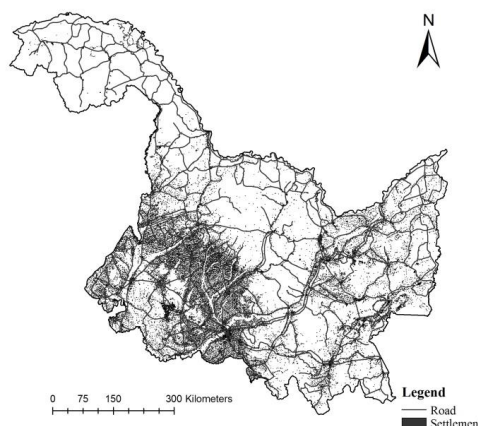
$$116 \quad FVC = \frac{NDVI - NDVI_{min}}{NDVI_{max} - NDVI_{min}} \quad (2)$$



117 where NDVI was the Normalized Difference Vegetation Index, and $NDVI_{min}$ and $NDVI_{max}$ represented
118 its minimum and maximum values, respectively. NDVI was obtained from the MODIS vegetation
119 index product MOD13Q1 every 16 days. Eighteen NDVI data from March to November in 2017 were
120 downloaded, and the mean values were calculated and used in the FVC calculation.

121 2.2.4 Anthropogenic factors

122 Settlements were obtained with a visual-interpretation method based on Landsat TM data. Roads
123 were obtained based on a traffic map of the study area, which included national roads, provincial roads
124 and country roads (Fig. 2).



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126 **Figure 2: Roads and settlements in the study area**

127 For anthropogenic variables, numerous studies have used the distance from roads and settlements
128 to represent the influence of human activities (Dong et al., 2005a; Hernandez-Leal et al., 2009; Sağlam
129 et al., 2008). The key point of this method is how far the distance from roads and settlements should be
130 set with regards to high or low forest fire probability. A distance analysis was employed to see which
131 distance has the highest associated fire probability. Fire numbers and density were calculated for every
132 integer kilometer from settlements and roads. The fire density was calculated as follows:

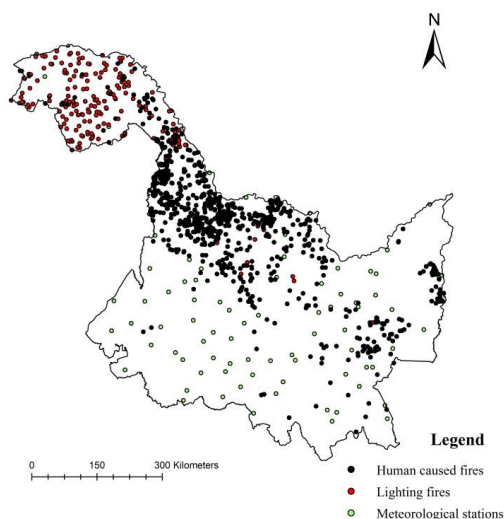
133
$$D_i = \frac{N_i}{A_i} \quad (3)$$

134 where D_i was the fire density in the i^{th} range, N_i was the number of ignition events in the i^{th} range, and
135 A_i was the area of the i^{th} range.

136 To finish this process reasonably, field data from 2000 to 2005 were employed, including
137 coordinates, ignition locations, time, burned area, fire reason, vegetation type in the burned area and
138 other information of each forest fire that occurred during this period (Fig. 3). According to the field
139 data, 992 forest fires occurred from 2000–2005. Among those fires, 234 were caused by lightning
140 strikes, and the other 758 fires (76.41% of the total) were caused by human activities. This clearly
141 indicated that human activities are one of the most important causes of forest fires. According to the



142 purpose of this study, human-caused fires were used in the model construction and validation. Seventy
 143 percent (531) of human-caused fires were used in the distances analysis. The rest (227) were used as
 144 validation data for the subsequent forest fire probability evaluation.



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Figure 3: Forest fire locations and Meteorological stations in the study area

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148 **2.3 Forest fire probability model**

149 **2.3.1 Indicator weights**

150 An analytic hierarchy process (AHP) method, which is commonly used in GIS-based analyses
 151 (Al-Abadi et al., 2016), was employed to obtain the weight of each indicator. AHP is an effective
 152 method to rank decision alternatives. A numerical score can be developed to rank each decision
 153 alternative. In forest fire probability estimation, every indicator can be considered as a single
 154 information layer for the comprehensive analysis. The relative importance of each indicator to fire
 155 probability is the main reference point when setting the weights. Vegetation conditions were considered
 156 with the highest importance which provide the fuels of fires(Deng et al., 2012). Anthropogenic factors
 157 were considered with second importance which is the main ignition of the study area(Jia, 2018).

158 **2.3.2 Models**

159 The variables were divided into five probability ranks: very low, low, moderate, high and very
 160 high, as shown in Table 2. The PPA, topographical factors and FVC were divided into five classes
 161 using a natural breaks method in ArcGIS.

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Table 2. Ranks and weights of the influence factors and variables

Influence factor	Weight	Variables	Weight	Rank of fire probability	Description of fire probability
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Drought index	0.15	PPA	1	1,2,3,4,5	Very low, moderate, high, very high
Topographical factors	0.15	Elevation (m)	0.34	1,2,3,4,5	Very low, moderate, high, very high
		Slope (°)	0.33	1,2,3,4,5	Very low, moderate, high, very high
		Aspect (°)	0.33	1,2,3,4,5	Very low, moderate, high, very high
Vegetation condition	0.4	Fraction vegetation cover	0.5	1,2,3,4,5	Very low, moderate, high, very high
		Vegetation type	0.5	1,2,3,4,5	Very low, moderate, high, very high
Anthropogenic factors	0.3	Distance from settlement (km)	0.6	1,2,3,4,5	Very low, moderate, high, very high
		Distance from road (km)	0.4	1,2,3,4,5	Very low, moderate, high, very high

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164 For a quantitative measurements, each influencing factor was obtained by a weighted model as
 165 follows:

$$166 \quad Y = \sum_{i=1}^n w_i x_i \quad (2)$$

167 where Y represented the four influence factors, x_i ($i=1,2,3,\dots,n$) contained the variables used for the
 168 evaluation of each influence factor, and w_i was the weight of each variable.

169 To measure forest fire probability, the FFPI was calculated as:

$$170 \quad FFPI = 0.4 \times V + 0.3 \times A + 0.15 \times T + 0.15 \times M \quad (3)$$

171 where V was the vegetation condition, A was an anthropogenic factor, T was a topographical factor and
 172 M was a meteorological factor.

173 2.3.3 Validation method

174 A receiver operating characteristics (ROC) curve, which is a frequently used technique for
 175 accuracy validation, was employed to validate the accuracy of the proposed model. The area under the
 176 ROC Curve (AUC) represented the performance of the model. AUC values usually range from 0.5 to 1,
 177 where a value close to one indicates that the performance of the model is excellent, while a value close
 178 to 0.5 indicates poor performance.

179 3. Results

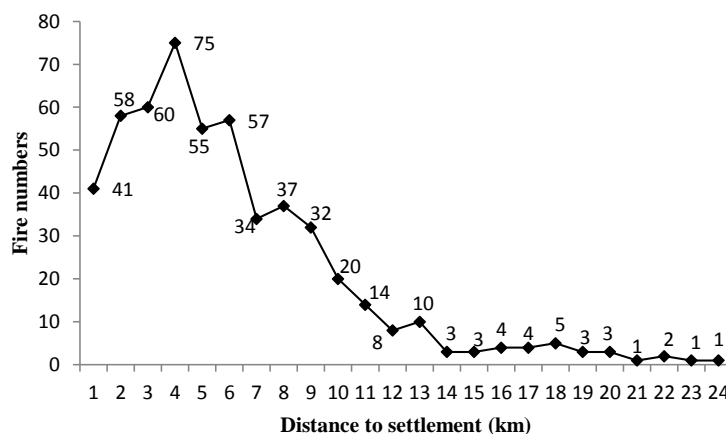
180 3.1 Results of the distance analysis

181 The distance of each fire location to the nearest settlement was calculated. The results showed that
 182 the minimum distance was 58 m and the maximum distance was 28,750 m. The medium distance was
 183 4,000 m, whereafter the fire numbers declined as the distance increased. As shown in Fig. 4, the



184 number of fires relative to the distance to the nearest settlement presented a trend of first increasing,
185 reaching a peak, and then decreasing.

186 Within 1 km of settlements, 41 fires occurred, which accounts for 7.72% of the total fires. More
187 fires occurred between 1 and 6 km, where the number of fires exceeded 55 and a percentage of over 10%
188 for each 1 km distance. Most fires occurred between 3 and 4 km, where the number of fires reached 75,
189 and accounted for 14.12% of the total fires. Within a distance of 10 km, 469 fires occurred, which
190 accounted for 88.32% of the total fires.



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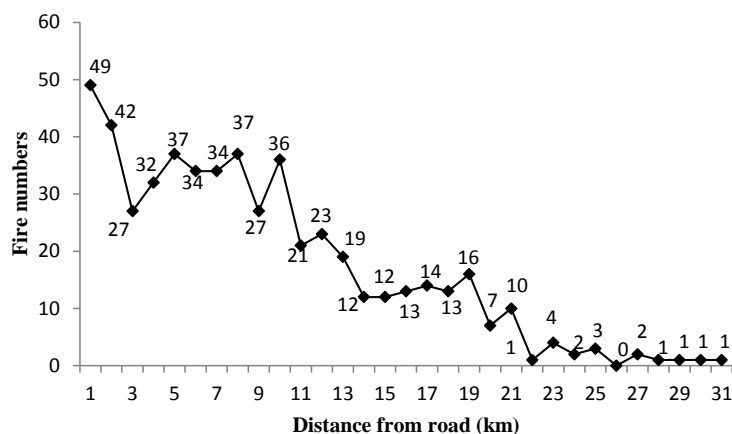
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Figure 4: Distance analysis of fire locations to settlements

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194 The distance of each fire location to the nearest road was also calculated. The results showed that
195 the minimum distance was 26 m and the maximum distance was 31,087 m. As shown in Fig. 4, there
196 was an obvious trend of declining fire numbers as the distance increased. Forty-nine fires arose
197 within 1 km from roads, which accounted for 9.23% of the total fires. Most fires (355) occurred within
198 a 10-km distance, which accounted for 66.86%. At distances greater than 10 km, the fire numbers
199 declined dramatically. When the distance increased to 21 km, the number of fires reached 515, which
200 accounted for 96.97% of the total fires. The fire density also did not show a regular trend as the
201 distance increased.

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Figure 5: Distance analysis from fire locations to roads

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The fire numbers and percentages were considered as the main factors that determine the probability rank of anthropogenic factors. By comprehensively considering the distance analysis result, a rating of fire probability due to anthropogenic factors was set (Table 3).

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Table 3. The rating of fire probability of anthropogenic factors

Distance to settlements (km)	<1	1–6	6–9	9–15	15–20	>20
The rating of fire probability	4	5	4	3	2	1
Distance to road (km)	<2	2–6	6–10	10–20	>20	
The rating of fire probability	5	4	3	2	1	

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3.2 Forest fire probability evaluation

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According to the method mentioned above, the FFPIs of the study area were calculated and split into five probability classes as shown in Fig. 6, including very low, low, moderate, high and very high.

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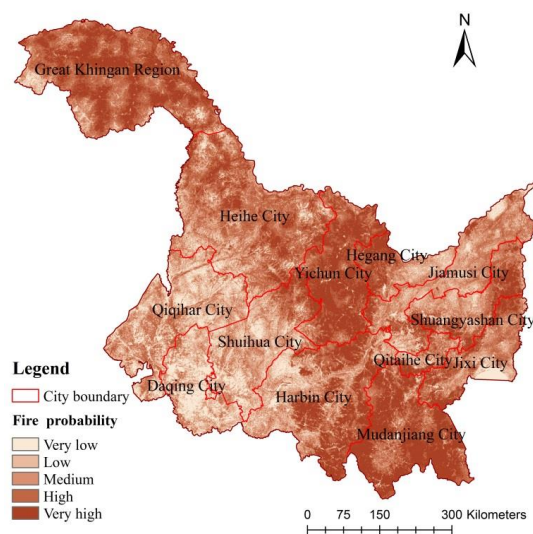


Figure 6: Forest fire probability distribution in Heilongjiang Province

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The forest fire probability grade represents the possibility of a fire occurring. The results show that high probability areas cover 13.10×10^6 hectares, or 28.99% of the total area, which is the largest area. Very high probability areas cover 11.00×10^6 hectares, which account for 24.35% of the total area. Medium probability areas cover 9.46×10^6 hectares, or 20.94% of the total area. Low probability areas cover 7.84×10^6 hectares, or 17.45% of the total area. Finally, very low probability areas cover 3.74×10^6 hectares, or 8.28% of the total area.

The high and very high probability areas exceeded 50% of the total area, meaning that Heilongjiang Province is a forest fire-prone area. However, the distribution of fire probability was quite heterogeneous. As shown in Fig. 6 and Table 4, most high and very high probability areas are distributed in the Great Khingan Region, Heihe City, Yichun City, Harbin City and Mudanjiang City, which respectively account for 20.90%, 15.02%, 12.17%, 11.71% and 14.12% of the total high and very high areas. Other cities, such as Qitaihe City and Daqing City, high and very high areas only account for 1.35% and 0.77%, respectively.

Table 4. Areas of different fire probability in Heilongjiang province (Unit: 10^4 hectares)

City	Very low	Low	Medium	High	Very High
Great Khingan Region	14.61	39.75	86.41	269.60	234.08
Heihe City	29.66	105.27	171.45	266.64	95.46
Yichun City	2.08	8.77	24.02	108.71	184.64
Hegang City	7.89	29.07	31.36	35.80	41.76
Jiamusi City	23.61	82.73	114.68	81.17	24.64



Shuangyashan City	7.33	37.81	56.08	67.75	51.62
Qiqihar City	85.02	150.83	115.82	67.97	1.85
Shuihua City	58.81	110.83	91.78	60.07	27.58
Qitaihe City	2.80	11.13	16.09	18.92	13.51
Daqing City	79.65	72.28	40.93	17.65	0.83
Jixi City	18.81	31.32	55.54	74.17	43.18
Harbin City	41.27	98.41	108.80	132.68	149.66
Mudanjiang City	2.57	10.22	33.29	108.90	231.38

233

234 3.3 Model validation

235 Two hundred and twenty-seven forest fires that occurred during 2000–2005 in Heilongjiang
 236 Province were used as validation data to measure the accuracy of the proposed model. The number of
 237 fires occurring at the different levels of forest fire probability were calculated, as shown in Table 5. The
 238 results show that most fires happened in high and very high probability zones, with fewer in low
 239 probability zones. Indeed, over sixty-eight percent of the fires occurred in high and very high
 240 probability zones.

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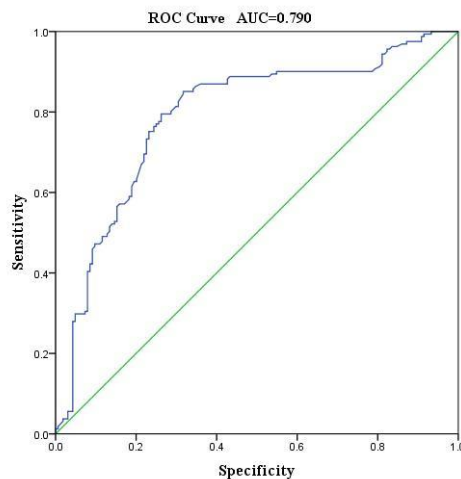
Table 5. Number of fires in each probability zone

Level of fire probability:	Very low	Low	Moderate	High	Very high
Number of fires	6	19	46	82	74
Percentage	2.63%	8.33%	20.18%	35.96%	32.46%

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244 In this study, we found an AUC value of 0.790, at the 95% confidence level (Fig. 7), meaning that
 245 the model showed good performance.

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248 **Figure 7: ROC curve and AUC value of the forest fire probability model**

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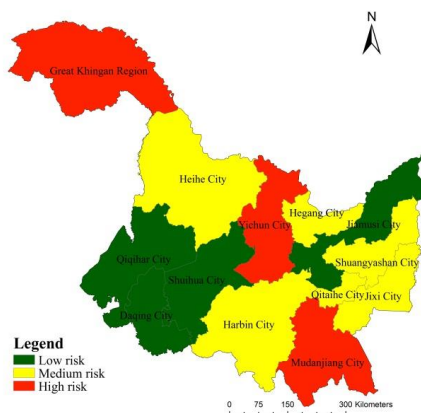
250 **4. Discussion and conclusions**

251 **4.1 Influence of human activities on fire probability**

252 The influence of human activities on fire probability, which were represented by the distances
253 from roads and settlements, was estimated by a distance analysis. Fire numbers, percentages and
254 densities were calculated in each 1 km range. The results show that fire risk declined as the distance to
255 roads increased, where those within 2 km were faced with the highest risk. In terms of the distance to
256 settlements, the highest fire numbers and percentages occurred from 1 to 6 km, but not within 1 km.
257 This suggests a lower fire probability within 1 km, and a higher fire probability from 1 to 6 km. This
258 result is different to previous studies (Hong et al., 2017; Matin et al., 2017) that considered that the
259 nearer one is to a settlement, the greater the fire probability becomes.

260 **4.2 Different fire risk distributions at the city scale**

261 At the city scale, according to the percentage of high and very high probability areas in the city,
262 the fire risk could be divided into three grades (Fig.8). The high risk cities include Yichun City, Great
263 Khingan Region and Mudanjiang City, where the high and very high areas account for 89.38%, 78.16%
264 and 88.07% of the total city area, respectively. The medium risk cities include Heihe City, Hegang city,
265 Shuangyashan City, Qitaihe City, Jixi City and Harbin City, where the high and very high area
266 percentages are between 50% and 55% of the total city area. Other cities, including Jiamusi City,
267 Qiqihar City, Shuihua City and Daqing City, are faced with low fire risk, where the percentage is
268 32.38%, 16.57%, 25.11% and 8.74%, respectively.



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270 **Figure 8: Forest fire risk at the city scale in Heilongjiang Province**

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272 In this study we proposed a synthetic method for evaluating forest fire probability based on
273 assessing the influence of human activities. The variables used in the study were relatively fewer and



274 easily obtained from existing datasets, which makes the method easily repeatable for other years in the
275 study area. The main conclusions are as follows:

276 (1) The influencing distance to settlements and roads is mainly within 10 km. The most influential
277 distance to roads is within 2 km, and the most influential distance to settlement is from 1 to 6 km.
278 Areas within these distances face more risk of fire and should be defined as vigilance priority areas.
279 We consider that the influencing distance should increase as traffic conditions improve, especially for
280 the distance to settlements.

281 2) The distribution of fire probability in Heilongjiang province is heterogeneous. Yichun City,
282 Great Khingan Region and Mudanjiang City are faced with high fire risks, which should be given
283 priority for surveillance.

284 Our results can help governments and forest managers to readily identify high forest fire
285 probability locations easily, and to take actions or form policies to avoid future loss of resources,
286 properties and human life.

287

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293

294 **Author contribution:** Wei Yang and Xiaoli Jiang designed the model, Xiaoli Jiang processed the data,
295 Wei Yang prepared the manuscript with contributions from all co-authors.

296

297 **Competing interests:**

298 The authors declare no conflicts of interest.

299

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