



1 **Spatiotemporal Changes of Heat Waves and Extreme Temperatures in**
2 **Main Cities of China from 1955 to 2014**

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8 **Abstract:** In the past decades, severe heat waves have frequently occurred in many parts of
9 the world. These conspicuous heat waves exerted terrible influences on human health, society,
10 economy, agriculture, ecosystem and so on. Based on observed daily temperatures in China,
11 an integrated index of heat waves and extreme temperature days was established involving
12 the frequency, duration, intensity, and scale of these events across large cities in China. Heat
13 waves and extreme temperature days showed increasing trend in most regions except
14 Northwest China from 1955 to 2014. After late 1980s, the increasing trend was more obvious
15 than the past decades. The cities in the middle and lower reaches of the Yangtse river were
16 threatened by the most serious hot events in the past 60 years, especially Chongqing and
17 Changsha. Due to the subtropical monsoon climate and special terrain, Chongqing would
18 occupy the top of hot cities in a long period. In particular, there was obvious fluctuation for
19 hot years in 31 cities, which were not continuously rising with the global warming; 21 cities
20 mainly located in the eastern and southern regions of China had obvious rising trend; 8 cities
21 had clear declining trend which mainly distributed in the western and northern regions of
22 China; and there were no extreme temperature days in Kunming and Lasa in the past 60 years.
23 The study revealed an obvious differentiation of hot events for 31 cities under climate change;
24 hot threat in most cities is aggravating but declining or remained unchanged in the other cities.
25 The trend is likely to intensify with global warming.

26 **Keywords:** Heat waves, Extreme temperature days, Hot Year Index, Climate change, China

27

28 **1 Preface**

29 In the past 100 years, global warming has been an apparent physical phenomenon in the



30 whole world (Stocker et al, 2013). The extreme events (heat waves, flood, drought, typhoon)
31 frequently break out in many parts of the world, which exert huge effects on normal
32 functioning of agriculture, society, human health and ecosystem (Alexander et al., 2006;
33 Diffenbaugh et al., 2016; Coumou and Rahmstorf, 2012). In the past decade, heat waves (HWs)
34 engulfed many countries worldwide, impacting negatively on the whole population especially
35 the elderly and children (Horton et al., 2015; Liu et al., 2012; Angéilil et al., 2017; Peterson et
36 al., 2013); for example, in 2003, the European continent experienced an extraordinary HW
37 which was characterized by excessive long duration, unprecedented extreme temperature
38 and vast spatial scale. This devastating HW took a heavy toll on human lives (at least 50,000
39 deaths) (Stott et al, 2004; Robine et al., 2008). In 2013, a similar HW visited most parts of
40 China with increased intensity and duration resulting in significant economic loss (Sun et al.,
41 2014).

42 Concrete definition and exact assessment of HW has become the main obstacles in
43 developing mitigation and adaptation measures (Hajat et al., 2006; Perkins and Alexander,
44 2013). A HW is usually defined as an event that exceeds prescribed temperature thresholds
45 over a few days (Robinson, 2001). Precise definitions are created in many literature which pay
46 attention to different features of HWs (Bonsal et al., 2001; Klein et al., 2003; Jones et al., 2015).
47 Climate scientists attach greater importance to how to evaluate the intensity and frequency
48 of HWs; disaster scientists pay more attention to the vulnerability evaluation and risk
49 assessment of HWs; sociologists mainly focus on the human health impact of HWs which
50 attempts to estimate the probable heat-related mortality and morbidity of people; besides,
51 there are many researchers who focus on the impact of HWs on agriculture, water resources,
52 forestry, ecosystem and other sectors (Dike et al., 2015; Johnson et al, 2009; Dong et al., 2015;
53 Buscail et al., 2012). On the whole, there are two research trends for HWs; one is about the



54 characteristics analysis of HWs; the other is about the impact assessment and consequence
55 analysis of HWs. The feature analysis of HWs is the basis for impact assessment on different
56 sectors (Liang et al., 2014; Fouillet et al., 2006). But the realities of HWs in different continents
57 are distinctive, so the definitions and thresholds of HWs are debatable for researchers.

58 In Canada and USA, the HW threshold is 40.5°C; when the time is more than 3 hours
59 accumulated in 2 days in which the temperature is over 40.5°C, a HW could be confirmed; the
60 other threshold of HW is 46.5°C, over which in any time of a day a HW would be confirmed
61 (Oswald et al., 2014). In the Netherlands, the HW refers to a period of at least 5 days in which
62 the extreme maximum temperature (Tmax) in each day exceeds 25°C; in the meantime, the
63 Tmax exceeds 30°C in at least 3 days of the above period (Uhe et al., 2016). For World
64 Meteorological Organization (WMO), the threshold of HW is 32°C, which should be exceeded
65 in at least 3 days (Klein et al., 2009). In China, a HW usually refers to a period of at least 3 days
66 when the extreme maximum temperature (Tmax) in each day exceeds 35°C (Liu et al., 2017;
67 Chen et al., 2014). In China, the early warnings of HWs are gradually advanced with the
68 intensity levels of HWs; when Tmax exceeds 35°C, the local meteorological departments
69 would issue a Yellow Warning; when Tmax exceeds 37°C, the local meteorological
70 departments would issue an Orange Warning; when Tmax exceeds 40°C, the local
71 meteorological departments would issue a Red Warning. In a comprehensive view, the
72 thresholds of HW in different regions are depending on the local climate conditions.

73 Unlike US and Europe, HWs assessment in China is primarily focused on occurrence
74 frequencies of individual warm days with extreme temperatures (Huang et al., 2010; Zhang et
75 al., 2005). The basic features of other equally important aspects for HWs, such as duration and
76 intensity, are less emphasized (Li et al., 2010). Some recent studies in the US and the Europe



77 began to separately assess diverse HW types (Gasparrini et al., 2015; Easterling et al., 2016),
78 in which the temperature variable (T_{max} or T_{min}) was delimited into different categorizations
79 but few studies have been able to integrate the different features of HWs for a holistic
80 assessment. An integrated index is therefore desirable for systematic and quantitative
81 evaluation of HWs in China, which includes multiple indicators – frequency, duration, intensity
82 and so on. Moreover, current definition of HWs in China only considered the thresholds of
83 T_{max} , which is not enough for the precise assessment of HWs. For example, it is hard to
84 evaluate the exact difference between a HW event (exceeding 35°C , 5 days) and the other HW
85 event (exceeding 40°C , 3 days). For both scientific literatures and operational practices in
86 China, it just shows the qualitative situation of scorching conditions, which would not easily
87 give policy-makers and general public a clear picture of HWs for efficient precautions. As such,
88 a more quantitative and precise evaluation should be done to distinguish different impacts of
89 HWs, such as, human health, water resource supply burden, forest fires, ecology degeneration,
90 among others.

91 This study therefore aims at building an integrated index of HWs and extreme
92 temperature days. It would compare the observed basic features of HWs and extreme
93 temperature events in the typical 31 cities of China during 1951-2014 and reveal the change
94 trends of HWs and extreme temperature events in mainland China under climate change.
95 Spatial distribution of HWs and extreme temperature days in the past 60 years in different
96 cities would be estimated and mapped. The integrated index of HWs and extreme
97 temperature events would provide an efficient tool for risk assessment of hot events under
98 future climate change scenarios and support for further physical interpretation, attribution
99 and mechanism of HWs.

100 2 Data and Methods



101 **2.1 Data**

102 Data from the National Meteorological Information Centre (NMIC) of the China
103 Meteorological Administration (CMA), which is the first and most authoritative national
104 homogenized temperature data set in China, was used. A database from 31 capital cities in all
105 the provinces of China with historical daily temperature data from 1951 to 2014 was used,
106 except Taiwan, Hongkong and Macao. At some stations the daily data was missing, especially
107 in the years prior to 1955. In order to ensure consistency of temperature extremes and
108 efficiency of the entire study, missing data up to 2% of the data points at each station in more
109 than 50 years was rejected. The data of 31 stations over the period from 1955 to 2014 were
110 ultimately selected for analysis.

111 **2.2 Study area**

112 According to the temperature and precipitation data, combined with the administrative
113 boundaries of provinces, the whole China could be divided into 8 climate regions, including
114 Northeast China (NE), North China (NC), East China (EC), South China (SC), Southwest China
115 (SW), Northwest China (NW), Central China (CC) and Qinghai-Tibet Plateau (QT). Locations for
116 the 31 cities and the climate zones in the study are presented in Fig.1. The total population of
117 31 capital cities currently stood at 278 million representing 20% of the total population of
118 China and contributing 33.5% of the country's GDP. These 31 capital cities were therefore
119 chosen to reveal the trends of extreme temperature in China, which may influence policy
120 directions in reducing extreme temperature disasters, protecting human health and
121 enhancing crop production.

122 **2.2 Method**

123 In this study, an integrated index is established for systematical and quantitative
124 evaluation of HWs and extreme temperature events in China, which includes the frequency,



125 duration and intensity of HWs and extreme temperature days. At first, we made clear two
126 definitions: extreme temperature days and heat wave (HW). As stated earlier, when T_{max}
127 exceeds 35°C , it could be called a day with extreme temperature in China; when T_{max} exceeds
128 35°C in more than 2 consecutive days, it could be defined a heat wave (HW) event. The
129 extreme temperature days are the base of a HW. In one year, there may be several HW events
130 and discontinuous days with extreme temperature, which jointly decide the hot level of one
131 region. So the integrated index would contain two aspects in this study, HWs and discrete days
132 with extreme temperature.

133 According to the statistical data, the hot days with extreme temperature usually
134 concentrate on June, July and August in China, which account for above 90% of all the hot
135 days from 1955 to 2014 in 31 capital cities. In May and September, the hot days account for
136 9% and in the other months it accounts for no more than 1% (Fig.2). It is obvious that HW
137 events mostly break out in June, July and August, which are the hottest months of the whole
138 year in 31 capital cities. So we take the three months as the basic period for intensity
139 assessment of HWs and extreme temperature days. There are totally 92 days in June, July and
140 August. If one HW event lasts for 92 days in a year, it would be regarded as the most serious
141 heat event.

142 **2.2.1 Heat wave index**

143 For HW events, the frequency, duration and intensity should be considered. Firstly, if the
144 HWs last for more days, the intensity of HWs would be bigger. Secondly, according to the
145 definition of HW,

146 3 days are the shortest duration for HWs, in which daily T_{max} exceeds 35°C . So the period
147 of 3 days is made as one essential unit for evaluating the intensity of HWs. Thirdly, as
148 mentioned above, when daily T_{max} exceeds 37°C or 40°C , especially the continuous days



149 above 37°C or 40°C are increasing, the intensity of HWs would go up rapidly. So in the study,
150 Heat Wave Index (HWI) is established as the following formula.

$$151 \quad \text{HWI} = \left(\frac{\text{CD}_{35}}{92} \times \frac{\text{CD}_{35}}{3} + 1 \right) * \left(\frac{\text{AD}_{37}}{92} + \frac{\text{CD}_{37}}{3} + 1 \right) * \left(\frac{\text{AD}_{40}}{92} + \frac{\text{CD}_{40}}{3} + 1 \right) \quad (1)$$

152 HWI represents the integrated intensity of HW events: CD35 represents the continuous
153 days in which daily Tmax exceeds 35°C; AD37 represents the all days in which daily Tmax
154 exceeds 37°C among CD35; CD37 represents the continuous days in which daily Tmax exceeds
155 37°C among CD35; AD40 represents the all days in which daily Tmax exceeds 40°C among CD35;
156 CD40 represents the continuous days in which daily Tmax exceeds 40°C among CD₃₅.

157 For one year, there may be several HW events. The total intensity of Annual HWI (AHWI)
158 should contain all HW events of the year. Based on HWI, AHWI is calculated as following.

$$159 \quad \text{AHWI} = \sum_{i=1}^n \text{HWI}_i \quad (2)$$

160 AHWI represents the total annual intensity of HW events; n represents the total
161 frequency of HW events in one year; i represents the sequence of HW events occurred in one
162 year.

163 2.2.2 Hot year index

164 As mentioned above, within one year, there are not only HW events, but also
165 discontinuous days with extreme temperature. If the hot levels are compared between
166 different cities in different years, the two aspects should be considered synthetically. The
167 discontinuous days with extreme temperature above 35°C, 37°C or 40°C are not as serious
168 as HW events in some cities. In other cities there may be few HW events in some years, in
169 which the hot levels are mainly decided by the discontinuous days with extreme temperature.
170 So based on AHWI established above, an integrated index for hot years is constructed,
171 considering the discontinuous days with extreme temperature in one year. The formula is as



172 follows:

$$173 \quad \text{HYI} = \text{AHWI} + \frac{D_{35} - \sum \text{CD}_{35}}{92} \times \frac{D_{35} - \sum \text{CD}_{35}}{3} + \frac{D_{37} - \sum \text{AD}_{37}}{3} + \frac{D_{40} - \sum \text{AD}_{40}}{3} \quad (3)$$

174 HYI represents the integrated intensity of hot years in different cities. D_{35} represents
175 the days of one year in which daily Tmax exceeds 35°C ; $\sum \text{CD}_{35}$ represents the continuous
176 days in which daily Tmax exceeds 35°C in one year; D_{37} represents the days in one year in
177 which daily Tmax exceeds 37°C ; $\sum \text{AD}_{37}$ represents the all days in which daily Tmax exceeds
178 37°C among CD_{35} in one year; D_{40} represents the days in one year in which daily Tmax exceeds
179 40°C ; $\sum \text{AD}_{40}$ represents the all days in which daily Tmax exceeds 40°C among CD_{35} in one
180 year.

181 **3 Results**

182 **3.1 Trends of Extreme Temperature days**

183 According to the historical statistics, Chongqing has been threatened by the most serious
184 disasters of extreme temperature in whole China, in which annual D_{35} exceeds 33 days in the
185 past 60 years. Meanwhile, there is no extreme temperature day from 1955 to 2014 in Kunming
186 and Lasa, which are the most comfortable places of the 31 capital cities in summer. There are
187 7 cities in which annual D_{35} is between 20-30 days (Fig.3), including Changsha, Fuzhou,
188 Nanchang, Hangzhou, Haikou, Xi'an and Wuhan. With regards to climate zones, Central China
189 had been threatened by the most frequent extreme temperature disasters in the past 60 years;
190 annual D_{35} in East China and South China was between 10-20 days; North China and
191 Southwest China was between 1-12 days; Northwest China was about 8 days; and Northeast
192 China and Qinghai-Tibet Plateau was less than 3 days.

193 Though the global climate has been continuously warming in the past 60 years, the trend
194 of D_{35} in 31 main cities of China is not increasing constantly. There are 3 main stages for the



195 variation of D_{35} in China (Fig.4). From 1955 to early 1970s, the value of D_{35} in 31 cities of China
196 averagely amounts to 372 days per year, signifying the high level of hot years in this stage;
197 from early 1970s to late 1980s, the value of D_{35} in 31 cities of China averagely amounts to
198 280 days per year, which means that, these cities encountered a relatively cool years in this
199 stage; from early 1990s to 2014, the value of D_{35} in 31 cities of China averagely amounts to
200 425 days per year, which is higher than the past 40 years. It means that the whole China is
201 threatened by more and more serious extreme temperature events in the recent 20 years.
202 However, there are obvious variation in the characteristics of D_{35} in different climate zones
203 of China. The values of D_{35} in South China, East China and Northeast China are obviously going
204 up from 1955 to 2014; the values of D_{35} in Central China, Southwest China and North China
205 are slightly rising; however, the trend in the values of D_{35} in Northwest China have slightly
206 declined in the past 60 years.

207 **3.2 Trends of Heat Waves**

208 Following the HWs definition in China, an average of 1.54 HW events occurred annually
209 in each city from 1955 to 2014, which last for an average of 5.4 days for each HW event. It is
210 obvious that, as the value of D_{35} gets bigger in each city, the amount and frequency of HWs
211 also grow bigger (Fig.5). There is a positive correlation between D_{35} and HWs. Through the
212 analysis of HWs in the 31 typical cities, Chongqing was the most threatened as HW rose up to
213 25.1 days annually; Changsha experienced the most frequent HWs in the past 60 years, almost
214 3.9 times per year; the intensities and frequencies of HWs in Nanchang, Fuzhou, Hangzhou,
215 Haikou and Xi'an are smaller than Chongqing and Changsha, but much bigger than other
216 cities; there was no HW in Kunming, Lasa and Changchun but there were few HWs in Haerbin,
217 Shenyang, Guiyang and Xining. For the other cities, the threat from HWs was in the middle
218 level.



219 According to the statistics, the distribution of amounts and frequencies of HWs per year
220 in the 31 cities were similar to the distribution of D35 (Fig.6). Comparing the different climate
221 zones, cities in Central China had been threatened by the most serious HWs in the past 60
222 years, in which the frequency and amount of HWs per year were the highest; in cities of East
223 China HWs have also been very serious; in cities of South China and Southwest China the
224 threat of HWs have been lower than the Central China and East China; in cities if North China
225 and Northwest China there were less annual HWs; in cities of Northeast China and Qinghai-
226 Tibet Plateau, there had been almost no obvious threat of HWs in the past 60 years.

227 3.3 Heat Wave Index

228 In order to do comparative analysis on the HWs occurrence in the different cities for the
229 past 60 years, a Heat Wave Index (HWI) was established as mentioned above. The duration
230 and intensity are the key factors of HWs that define the severity of hot events. So HWI is
231 designed to refer to the number of days one HW event lasts and the maximum temperature
232 one HW event reaches (Tab.1). HWI provides us a quantitative tool to distinguish the different
233 HWs in 31 typical cities of China. According to the climate conditions and national standards
234 of extreme temperature in China, HWs could be classified into 5 levels of hazard by the values
235 of HWI. When the value of HWI is 1.0, it indicates that there is no hot day in which T_{\max} exceeds
236 35°C . When the value of HWI is between 1.0 and 1.5, it indicates slight HW hazards in which
237 the duration and intensity of HWs are minimal. When the value of HWI is between 1.5 and
238 3.0, it means HW hazards are not serious as there are few days of T_{\max} exceeding 37°C . When
239 the value of HWI is between 3.0 and 6.0, it indicates that the HW hazards are serious and the
240 days of T_{\max} exceeding 37°C or 40°C become frequent. When the value of HWI is above 6, it
241 indicates that the HW hazards are very serious and the days of T_{\max} exceeding 37°C or 40°C
242 may last through the whole period of HWs.



243 According to the classification of HWI, the frequencies of HW hazards with different levels
244 in the past 60 years in 31 typical cities of China are analyzed (Fig.7). In all, cities with low HW
245 hazards were the majority accounting for 62.3% of all HWs; the moderate HW hazards
246 accounted for 26.4%; the high HW hazards represented 7.7%; and the extreme high HW
247 hazards accounted for 3.6%. For all the 31 cities, most of the HW hazards are not serious; only
248 1/10 of the HW hazards are of the greatest threats. No HW hazards occurred in Changchun,
249 Shenyang, Guiyang, Kunming and Lasa from 1955 to 2014; no high or extreme high HW
250 hazards occurred in Haerbin, Huhehaote, Xining, Yinchuan, Taiyuan and Chengdu; no extreme
251 high HW hazards occurred in Beijing, Tianjin, Wulumuqi, Lanzhou, Guangzhou and Nanning;
252 in the remaining 14 cities, there were all four levels of HW hazards occurred in the past 60
253 years. However, most HW events of high (0.57 per year) and extreme high (0.47 per year)
254 levels occurred in Chongqing than the other cities; most HW events of moderate levels
255 occurred in Xi'an, reaching 1.35 per year; and most HW events of low level occurred in Haikou,
256 reaching 2.38 per year.

257 Based on the calculation of HWI, the sum of HWIs from 1955 to 2014 in each city is shown
258 in Fig.8. It is obvious that Chongqing has been threatened by the most serious HW hazards in
259 the past 60 years, in which the frequency, duration and intensity of HWs are the biggest of all
260 the 31 cities. The sum value of HWIs in Chongqing is far bigger than other cities; the annual
261 sum value of HWIs in Chongqing reached 13.7. Changsha had been the second hard hit city
262 with most serious HW hazards, in which the annual sum value of HWIs reached 9.5. There
263 were 6 cities that have been threatened by severer HW hazards, include: Hangzhou, Fuzhou,
264 Nanchang, Xi'an, Wuhan and Haikou; the annual sum value of HWIs in each city is between 4
265 and 9. There were 7 cities threatened by moderate severe HW hazards; these cities include:
266 Hefei, Zhengzhou, Nanjing, Jinan, Shijiazhuang, Nanning, and Shanghai and the annual sum



267 value of HWIs in each city is between 2 and 4. The remaining 11 cities encountered lighter
268 serious HW hazards in which the annual sum value of HWIs is between 0 and 2. As mentioned
269 above, there were no HW hazards in 5 cities.

270 **3.4 Hot year Index**

271 Based on Heat Wave Indexes, Hot Year Indexes in the 31 cities were calculated and
272 analyzed, including HW events and discontinuous days with extreme temperature (Tab.2). The
273 analysis revealed the heat levels of the cities in different years. In the study, the quantity of
274 Hot Year Indexes for all cities added up to 1860 from 1955 to 2014.

275 The No-hot year represented 29.1% of the gross; Light hot year, 28.8%; Mild hot year,
276 20.3%; Moderate hot year, 13.7%; Serious hot year, 7.9%; and the Extreme hot year
277 represented 0.3%. Chongqing has been threatened by the most severe heat, in which Serious
278 hot year and Extreme hot year accounted for 50% of the 60 years; in Changsha, Nanchang,
279 Hangzhou and Fuzhou, Serious hot year and Extreme hot year accounted for 25%. However,
280 there was only slight heat threat or no heat threat in the past 60 years in most cities of
281 Northeast China, Northwest China, Southwest China and Qinghai-Tibet Plateau, in which No-
282 hot year and Light hot year accounted for more than 90%. For the remaining 14 cities, Mild
283 hot year and Moderate hot year accounted for the most of 60 years. It is obvious that the west
284 and north regions of China are much cooler than the east and south parts of China; the hottest
285 regions are located in Central China and East China.

286 On the point of time series, there are 3 kinds of variation trends of HYI for all the 31 cities:
287 uptrend, downtrend and no change. In 21 cities, the value of HYI had obvious rising trend; the
288 remaining 8 cities had clear declining trend in the value of HYI. There were no extreme
289 temperature days in Kunming and Lasa in the past 60 years, so there was no change of HYI in
290 the two cities. There are two rising pathways for the 21 cities; one is rising directly; the other



291 is firstly declining and then rising. In a comprehensive view, there are 3 stages for all the cities
292 in the past 60 years. In the first stage from 1955 to the early years of 1970s, HYIs in most of
293 cities were in a high level; the moderate hot years and serious hot years were frequent, which
294 accounted for 27.0% of the first stage. In the second stage from the middle of 1970s to the
295 end of 1980s, HYIs in most of the cities were in a low level; the moderate hot years and serious
296 hot years were rare, which accounted for 11.7% of the second stage. In the third stage from
297 the early years of 1990s to 2014, HYIs in most of cities were also in a high level; the number
298 of moderate hot years and serious hot years accounted for 26.8%; but the severities of hot
299 years in this stage are more serious than the first stage in most cities. In general, there was
300 obvious fluctuation for hot years in the past 60 years in the 31 cities, which are not
301 continuously rising with the global warming. There was obvious increasing trend for whole
302 China, either the intensity or the frequency of HWs and extreme temperature days.

303 From figure 9, clear variations of HWI events existed in most cities across the main land
304 of China. For example, in Northwest China, HYIs in Lanzhou and Yinchuan were so small that
305 no serious hot events occurred in the past 60 years, but in Wulumuqi and Xi'an, HYIs were
306 much pronounced as annual average value of HYIs from 1955 to 2014 in Xi'an reached 6.96.
307 In North China, the annual average values of HYIs in Beijing, Tianjin and Taiyuan were between
308 1.2 and 2.4, in which light hot years represented 63% of the whole; but in Shijiazhuang, Jinan
309 and Zhengzhou, the annual average values of HYIs were between 3.9 and 5.1 and mild hot
310 years represented 43% of the whole. In Southwest China, there were few hot waves in
311 Chengdu, Guiyang and Kunming making these cities as cool as Northeast China; however, in
312 Chongqing, the annual average value of HYIs rose up to 15.0. This city had been threatened
313 by the most severe hot events, as serious hot years represented 34% and the HYIs ranked first
314 of the 31 cities in 27 years of the past 60 years. From a broader view, 3 types of regions were



315 identified: Northeast China and Qinghai-Tibet Plateau composed of one type of the regions:
316 HYIs of these cities were small and the annual average value was 1.02 in which No-hot years
317 accounted for more than 60%, representing the coolest region in China; Central China, East
318 China and South China also formed one type of regions: HYIs of most of these cities were
319 higher than the other regions and the annual average value of HYIs rose up to 5.61, in which
320 moderate hot years and serious hot years accounted for 40%; in Northwest China, Southwest
321 China and North China which formed the last type of the regions, HYIs of most these cities
322 were in the middle and the annual average value of HYIs was 3.45, in which light hot years and
323 mild hot years accounted for 54%.

324 In brief, there is an apparent feature that most of the cities that were threatened by
325 serious hot events in the past 60 years gather in the middle and lower reaches of the Yangtse
326 river; there were few hot events in NE, NW, SW and QT, except Chongqing, Xi'an and Wulumuqi;
327 the threatened by hot events in SC is not striking, though the annual mean temperatures of 3
328 typical cities in this region is the highest of all 31 cities.

329 **4 Discussion**

330 With global warming, there have been a lot of researches focusing on HWs. Most of these
331 studies paid more attention on a single factor of HW, especially on occurrence frequency. The
332 other key indicators, such as duration, intensity, extent and timing, were usually neglected.
333 There are few studies combining HWs with extreme temperature days to evaluate the annual
334 hot events and compare the inter-annual changes of torridity degrees.

335 From our analysis, we established a statistical model involving the frequency, duration,
336 intensity, and length of the HWs and extreme temperature days across large cities in China.
337 By analyzing HWs and extreme temperature days in large cities of China, we are capturing the
338 changes and spatial distribution in HWs and the extreme temperature events caused from



339 climate fluctuation and climate change, as well as local changes from the urban environment.

340 The results presented in this study are consistent with previous findings on changes in
341 extreme temperature days and HWs in recent decades across China due to global-scale drivers
342 (Chen et al., 2017; Fang et al., 2016; You et al., 2013; Qi et al., 2012). HW is the basic element
343 for evaluation of hot events which is taken into account in most of the researches across the
344 whole world (Spinoni et al., 2015; Oswald et al., 2014; Santamouris et al., 2015; Gershunov et
345 al., 2009). However, the discontinuous extreme temperature days are usually ignored which
346 play an important role on evaluation of annual hot events. The common influences caused by
347 HWs and extreme temperature days exhibit the overall scene of hot events in different cities.
348 The increase in the number of HWs and extreme temperature days in China, are consistent
349 with all other global or regional studies that show that the occurrence of warm days increased
350 (Rusticucci et al., 2012; Nemeč et al., 2013; Pingale et al., 2014). The abrupt changes in the
351 trends of HWs and hot years mainly occurred in the 1970s and 1980s; there was a period from
352 early 1970s to late 1980s, in which the number of HWs and extreme temperature days were
353 relatively lower than the other years; the changes are in accordance with the former findings
354 put forward by other researchers (Zhou and Ren, 2011; Xu et al., 2013).

355 The cities distributed in the middle and lower reaches of Yangtze River had been
356 threatened by the most serious HWs and hot years in the past 60 years, especially Chongqing
357 and Changsha. The long-term anticyclones and the special topography are most responsible
358 for this trend of change; Chongqing is located in a valley surrounded by mountains and
359 Changsha is located in the valley of Xiangjiang river, which are both affected by subtropical
360 monsoon climate. At the mean time, the location, scope and intensity of HWs and extreme
361 temperature events in southern China are closely influenced by the western Pacifica
362 subtropical high and the East Asia jet stream (Wang et al., 2015). In North China, the threat by



363 HWs and hot years in the past 60 years is relatively mild, except Xi'an and Zhengzhou. The
364 main cause is due to the anticyclone circling over the Lake Baikal (Ding et al., 2010). For most
365 cities in western and northern China, the high latitudes and high altitudes remarkably restrict
366 the occurrence of HWs and extreme temperature events, in which the threat is slight and
367 there is no obvious increase in the past 60 years (Zhou and Ren, 2011). It is therefore
368 worthwhile to explore how the atmospheric circulation patterns change in future which would
369 reveal the spatiotemporal trends of HWs and extreme temperature events in China. On the
370 other hand, the elaborate depiction and accurate evaluation of HWs and extreme
371 temperature events in more cities of China would be meaningful for planning of disaster
372 prevention and mitigation.

373 **5 Conclusions**

374 This study established an integrated index which contained the duration, intensity, extent
375 and timing of HWs and extreme temperature days. It showed the whole picture of hot threat
376 in 31 main cities from 1955 to 2014.

377 (1) Both HWs and extreme temperature days showed increasing trend from 1955 to 2014 in
378 NC, CC, NE, SW, EC and SC; there was a slight decreasing trend in cities distributed in NW.
379 For whole China, HWs and extreme temperature days exhibited an obvious upward trend
380 in the past 60 years with a rapid increase after late 1980s.

381 (2) The hottest cities were located in CC and EC over the past 60 years; the cities in SC and NC
382 were faced with middle level of threat; there were low threat of heat events in most of
383 the cities from NE, NW and SW, except Chongqing and Xi'an. More especially, Chongqing
384 had been threatened by the most serious HW hazards, much heavier than the other cities.

385 (3) There was obvious fluctuation for hot years in 31 cities over the past 60 years, which were
386 not continuously rising with the global warming; 21 cities mainly located in the eastern



387 and southern regions of China had obvious rising trend; 8 cities had clear declining trend
388 which mainly distributed in the western and northern regions of China; however, there
389 were no extreme temperature days in Kunming and Lasa in the past 60 years. More
390 specially, there were 3 stages for all 31 cities and the abrupt changes occurred separately
391 in early 1970s and late 1980s.

392

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399 is from the National Meteorological Information Centre (NMIC) of the China Meteorological
400 Administration (CMA), which is publicly available online at <http://data.cma.cn/>.

401 **Author contribution:** The first and corresponding author (Kuo Li) is in charge of the data analysis,
402 model construction and writing. The second author (Gyilbag Amatus) is responsible for data
403 collection, mapping and polishing.

404 **Competing interests:** we declare no competing interests in this article.

405

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527

Tab.1 The classification of HW hazards by the values of HWI

Heat Wave Index	Level of hazard	Description
HWI =1.0	No hazard	There is no HW event occurred.
$1.0 < HWI \leq 1.5$	Low hazard	The HW event must last at least 3 days and less than 12 days, in which there is no continuous days above 37°C or 40°C.
$1.5 < HWI \leq 3.0$	Moderate hazard	The HW event must last at least 3 days and less than 24 days, in which there is at most 5 continuous days above 37°C.
$3.0 < HWI \leq 6.0$	High hazard	The HW event must last at least 3 days and less than 38 days, in which there is at most 10 continuous days above 37°C.
$6.0 < HWI$	Extreme high hazard	The HW event must last at least 5 days, in which there is at least 5 continuous days above 37°C.

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Tab.2 The classification of Hot Years by the values of HYI

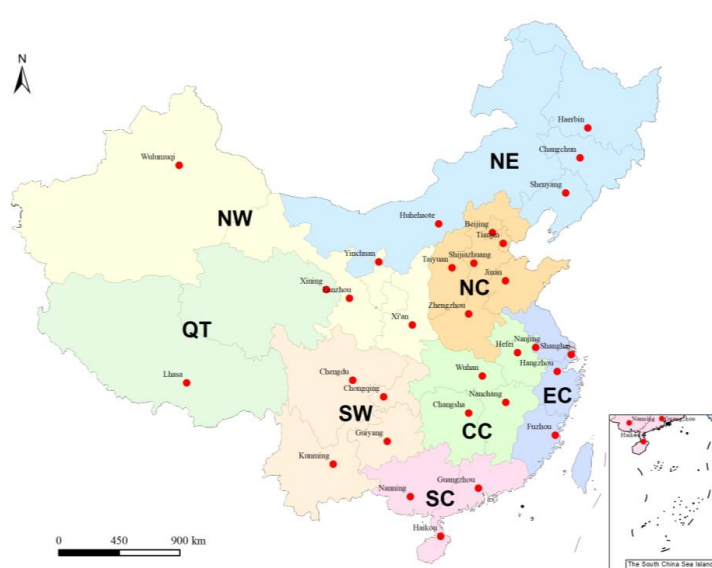
Hot Year Index	Level	Grades	Description
HYI =1	No-hot year	0	There are neither HWs nor hot temperature days (>35°C) occurred in one year.
$1 < HYI \leq 2$	Light hot year	1	There is one HW or a few hot days occurred in one year, which are small and slight.



$2 < HYI \leq 5$	Mild hot year	2	There are a few HWs or hot days occurred in one year, which are usually small.
$5 < HYI \leq 10$	Moderate hot year	3	There are several HWs or some hot days occurred in one year.
$10 < HYI \leq 50$	Serious hot year	4	There are some HWs in high level or many hot days occurred in one year.
$50 < HWI$	Extreme hot year	5	There are some extreme HWs or a lot of hot days occurred in one year.

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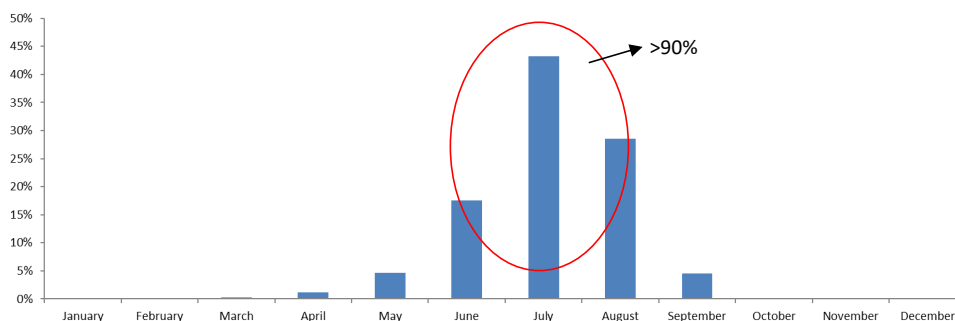
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534 **Fig.1 Distribution of the weather stations in 31 cities and climate zones in Mainland of China (The climate zones includes:**
 535 **NE, NW, NC, CC, EC, SC, SW, QT)**

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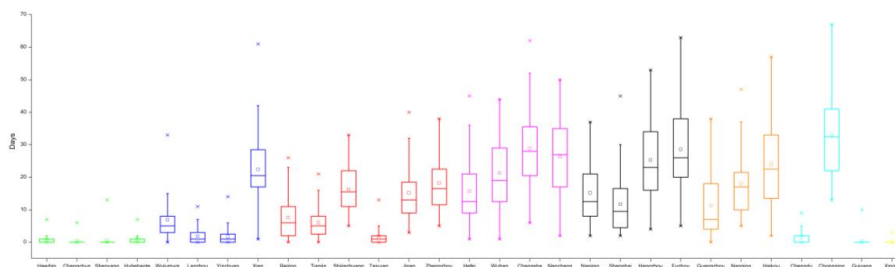


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Fig.2 The proportion distributions of hot days in 12 months from 1955 to 2014 in 31 capital cities in China

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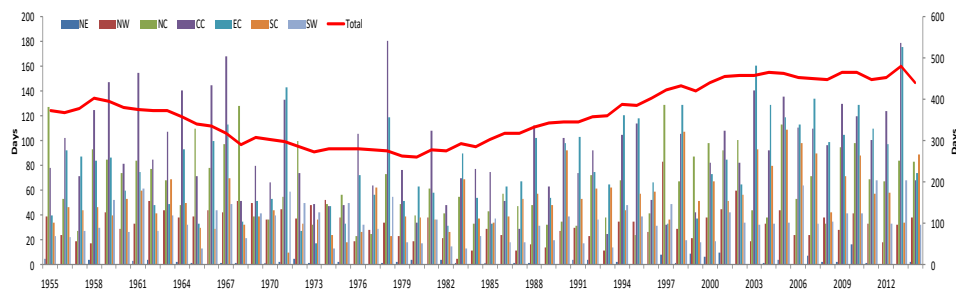


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Fig. 3 Distribution of D_{35} in 31 cities from 1955 to 2014 (Green color: NE; Blue color: NW; Red color: NC; Purple color: CC; Black color: EC; Orange color: SC; Cyan color: SW; Yellow color: QT)

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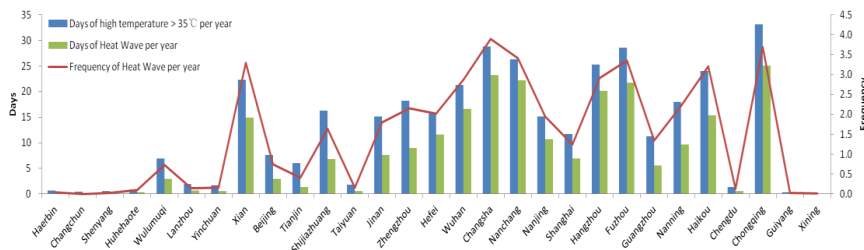


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Fig. 4 Time series of D_{35} in different climate zones of China from 1955 to 2014

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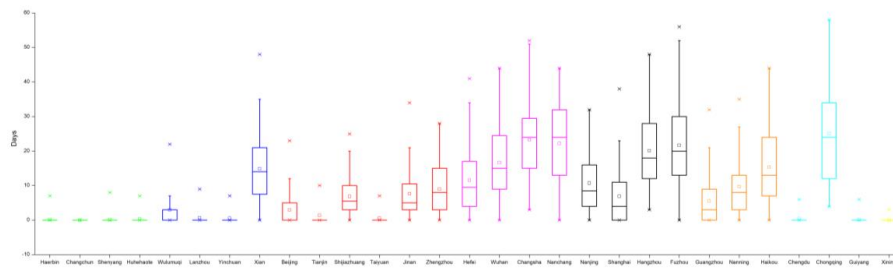


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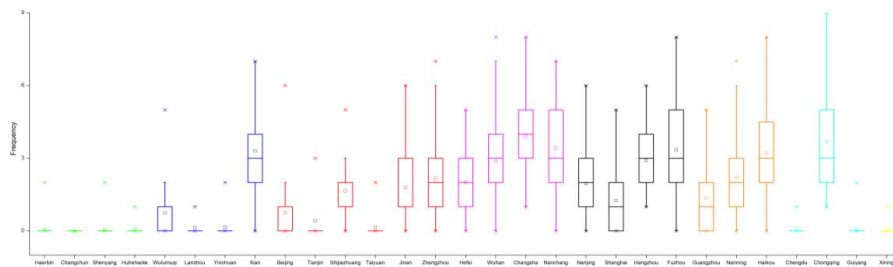


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Fig. 5 Comparison between D_{35} and HWs per year in 31 cities of China from 1955 to 2014



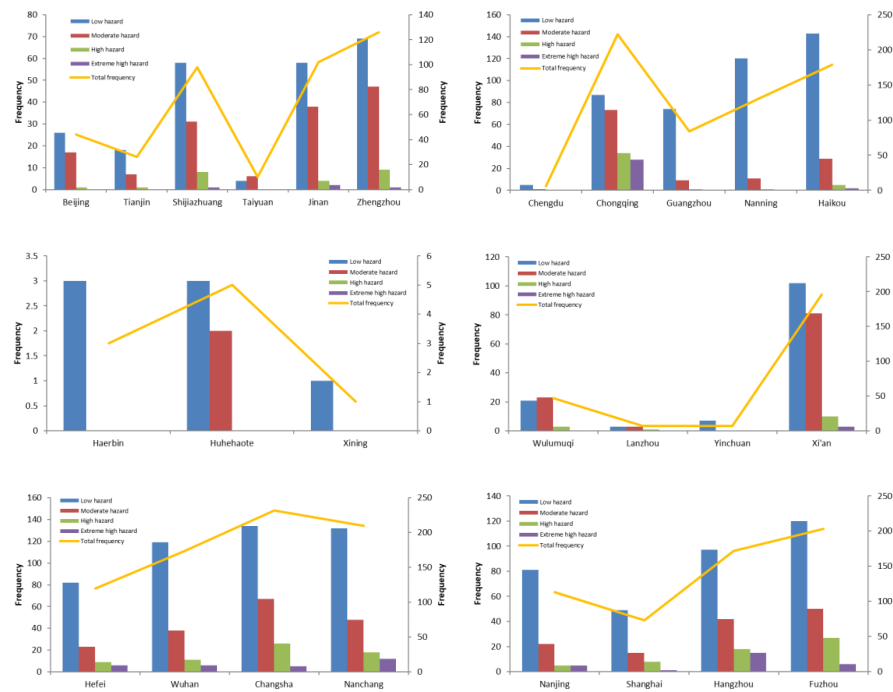
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549

550 Fig. 6 Distribution of amounts and frequencies of HWs in 31 cities from 1955 to 2014 (left graph: amounts of HWs; right
 551 graph: Frequency of HWs. Green color: NE; Blue color: NW; Red color: NC; Purple color: CC; Black color: EC; Orange color:
 552 SC; Cyan color: SW; Yellow color: QT)

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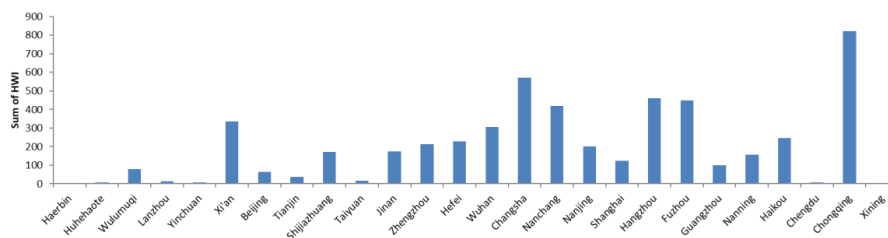
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557 **Fig. 7 Frequency of Low, Moderate, High and Extreme high HW hazards in 31 cities from 1955 to 2014 (Top left: NC; Top**
 558 **right: SW & SC. Middle left: NE; Middle right: NW & QT; Bottom left: CC; Bottom right: EC)**
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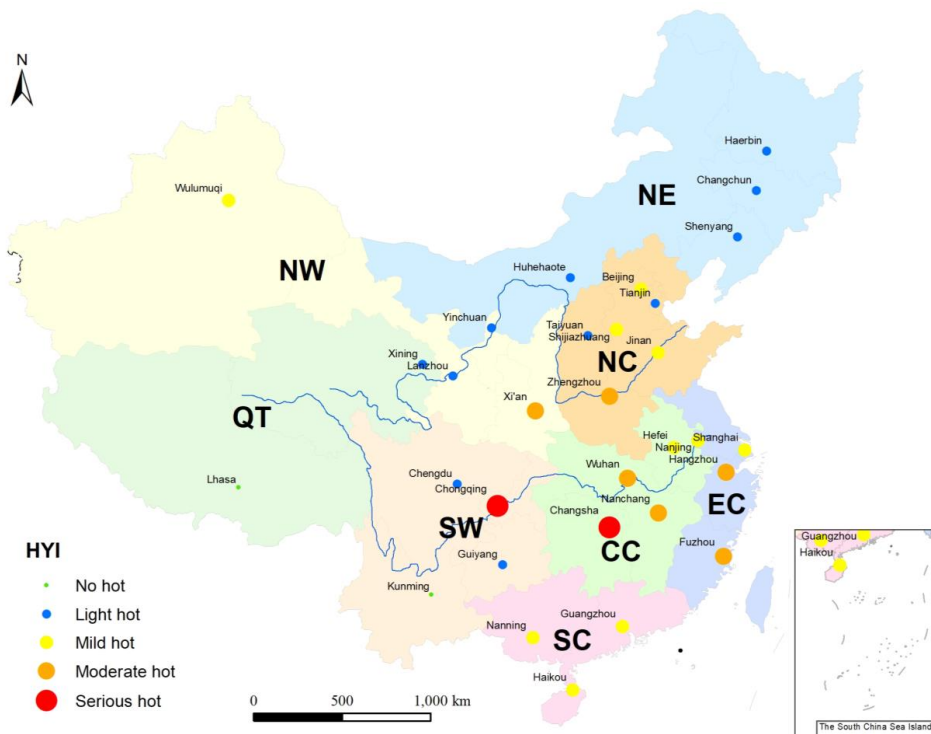
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Fig. 8 The sum values of HWIs in 31 cities from 1955 to 2014

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Fig. 9 Classification of Annual Average of HYIs from 1955 to 2014 in 31 cities in Mainland of China
 (The climate zones includes: NE, NW, NC, CC, EC, SC, SW, QT; The upper blue line: the Yellow River; The below blue line: the Yangtse River)

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